Curriculum Vitae

GLENN A. WOROCH

BUSINESS ADDRESS

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WORK EXPERIENCE

Visiting Professor of Economics, Department of Economics, University of California-Berkeley, 1993-present.

Executive Director, Center for Research on Telecommunications Policy, Haas School of Business, University of California-Berkeley, 1995-present.

Consulting Professor, Public Policy Program, Stanford University, Spring 1993.

Senior Research Associate, California Energy Institute, University of California-Berkeley, 1993-94.

Senior Member of Technical Staff, Economics & Statistics Department, GTE Laboratories Incorporated, 1986-1992.

Assistant Professor of Economics, University of Rochester, 1981-1986.

Lecturer and Teaching Assistant, Department of Economics, University of California-Berkeley, 1978-81.

Research Assistant, University of California-Berkeley, 1975-80.

Research Assistant, Carnegie Council on Higher Education, Berkeley, CA, 1977-78.

Project Specialist, Institute for Research on Poverty, University of Wisconsin-Madison, Summer 1976.

Undergraduate Assistant, University of Wisconsin-Madison, 1971-1974.

EDUCATION

Ph.D. (Economics), University of California-Berkeley, 1983.

M.A. (Statistics), University of California-Berkeley, 1978.

B.A., University of Wisconsin-Madison, 1974.

HONORS/AWARDS

Lilly Post-Doctoral Teaching Fellowship, University of Rochester, 1982-83.

Sloan Foundation Research Assistantship, University of California-Berkeley, 1976-77.

Flood Fellowship, University of California-Berkeley, 1974-75.

Phi Beta Kappa, University of Wisconsin-Madison, 1974.

PROFESSIONAL ACTIVITIES

Editorial Board, <u>Journal of Regulatory Economics</u> (Michael Crew, editor), 1988-present. Editorial Board, <u>Information Economics & Policy</u> (Donald Lamberton, editor), 1990-1996. Conference organizer, <u>Center for Research on Telecommunications Policy</u>, Berkeley, CA, June 1997 and October 2000.

PROFESSIONAL ACTIVITIES (Cont'd)

Conference program organizer, <u>Center for Research on Telecommunications Policy</u>, Evanston, IL, May 1996; <u>Strategic Alliance Symposium</u>, Boulder, CO, January 1995; <u>International Telecommunications Society</u>, Cambridge, MA, July 1988.

Session organizer, Western Economic Association International Conference, Lake Tahoe, June 1993; International Telecommunications Society, Cannes France, June 1992; 19th Telecommunications Policy Research Conference, Solomon Island, MD, September 1991; American Economic Association, New York City, December 1988.

Referee for professional journals:

American Economic Review

Economic Journal

Information Economics & Policy

International J. of Industrial Organization

Journal of Economic Literature

Journal of Policy Analysis & Management

Journal of Regulatory Economics

Transportation Science

Journal of Law, Economics and Organization

Economic Inquiry

IEEE Transactions on Networks
International Economic Review

J. of Economics & Management Strategy

Journal of Industrial Economics
Journal of Public Economics
Telecommunications Systems
Utilities Policy

Reviewer, National Science Foundation, RAND Corporation, MacMillan Publishing, Prentice-Hall,

MIT Press, Oxford University Press.

Member, American Economic Association, International Telecommunications Society, American Law & Economics Association.

EXTRAMURAL ACTIVITIES

Board of Directors, <u>International Telecommunications Society</u>, 1987-present.

Consultant to the Office of Technology Assessment, U. S. Congress, 1994.

Advisor, Office of Policy, Planning and Analysis, U.S. Department of Energy, 1985-86.

Member of the California Public Utility Commission's Incremental Cost Task Force, 1988-89.

Member of the Pricing Flexibility Workgroup, United States Telephone Association, 1992.

Member of the National Science Foundation's Information Infrastructure Forum, 1994.

Member, Deloitte & Touche, eBusiness Strategy Brain Trust, 2000-present.

JOURNAL ARTICLES

Facilities Competition and Local Network Investment: Theory, Evidence and Policy Implications, Industrial and Corporate Change, **7:4**, December 1998.

Monopoly Pricing with Network Externalities, (with Luis Cabral and David Salant) <u>International</u> <u>Journal of Industrial Organization</u>, January 1999.

Regulatory Pricing Rules to Neutralize Network Dominance, (with Nicholas Economides and Giuseppe Lopomo) Industrial and Corporate Change, **5:4**, December 1996, 1013-1028.

Microsoft Plays Hardball: Use of Nonlinear Pricing and Technical Incompatibility to Exclude Rivals in Operating Software, (with Kenneth Baseman and Frederick Warren-Boulton), Antitrust Bulletin, XL:2, Summer 1995, 265-315.

Economics of Intellectual Property Protection for Software: The Proper Role for Copyright, (with Kenneth Baseman and Frederick Warren-Boulton) StandardView, **2:2**, June 1995.

Current Copyright Protection Can Make Economic Sense, (with Kenneth Baseman and Frederick Warren-Boulton), The Computer Lawyer, **12:2**, February 1995.

Trigger Price Regulation (with David Salant), Rand Journal of Economics, **23:1**, Spring 1992, 29-51.

JOURNAL ARTICLES (Cont'd)

- Crossing Dupuit's Bridge Again: a Trigger Policy for Efficient Investment in Infrastructure (with David Salant), Contemporary Policy Issues, **IX**, April 1991, 101-114.
- Regulatory Risk, Investment, and Welfare, <u>Review of Industrial Organization</u>, **3:3**, May 1988, 75-99.
- Second-best Pricing with Upstream Distortions, Economics Letters, June 1985.

BOOK CHAPTERS & CONFERENCE PROCEEDINGS

- Local Network Competition, chapter in <u>Handbook of Telecommunications Economics</u>, Martin Cave, Sumit Majumdar and Ingo Vogelsang, editors, Elsevier Publishing, forthcoming, 2002. Introduction to Special Issue on Telecommunications, (with Michael Katz) in <u>Industrial and</u> Corporate Change, **6:4**, December 1997.
- Local Telephone Service: A Complex Dance of Technology, Regulation and Competition, (with Ingo Vogelsang) in <u>Industry Studies</u>, 2nd Edition, edited by Larry Duetsch, M. E. Sharpe, 1998.
- Exclusionary Behavior in the Market for Operating System Software: the Case of Microsoft, (with Kenneth Baseman and Frederick Warren-Boulton), in <u>Opening Networks to Competition: The Regulation and Pricing of Access</u>, edited by David Gabel and David Weiman, Kluwer Academic, 1997, 221-238.
- Turning the Cables: Economic and Strategic Analysis of Cable Entry into Telecommunications, in Globalism and Localism in Telecommunications, edited by Eli Noam, Elsevier Science, 1996.
- Promoting Capital Improvements by Public Utilities: a Supergame Approach, (with David Salant), <u>Economic Theory & International Trade: Essays in Honor of John Trout Rader III</u>, W. Neuefeind and R. Reizman (editors), Springer-Verlag, 1992, 445-461.
- Economics of Bypass in a Simple Model of the Telephone System, <u>Proceedings of the Fifteenth Annual Telecommunications Policy Research Conference</u>, edited by Edward Zajak, ERIC Reports, 1987, 68-96.

BOOK REVIEWS

- Networks, Infrastructure and the New Task for Regulation, (edited by Werner Sichel and Donald Alexander, University of Michigan Press, 1996), reviewed in <u>Journal of Economic Literature</u>, **XXXV**, September 1997, 801-803.
- Regulation and Markets (by Daniel Spulber, MIT Press, 1989), reviewed in <u>Journal of Regulatory</u> <u>Economics</u>, December 1989, 1409-1412.

PAPERS UNDER REVIEW

- Strategic Commitments and the Principle of Reciprocity in Interconnection Pricing, (with Nicholas Economides and Giuseppe Lopomo) December 2000, under review at the <u>Journal of</u> Regulatory Economics.
- Benefits and Pitfalls of Network Interconnection, (with Nicholas Economides) New York University Stern School Working Paper EC-92-31, November 1992, revisions requested from Rand Journal of Economics.
- Efficiency and Sustainability of the Telephone Network with Potential Bypass, September 1989, revisions requested, <u>Information Economics and Policy</u>.
- Credible Price Control, GTE Laboratories Economics Working Paper 88-1, February 1988, revisions requested, Journal of Regulatory Economics.

COMPLETED RESEARCH PAPERS

Open Access Rules and the Broadband Race, February 2001.

Competition's Effect on Investment in Digital Infrastructure, May 2000.

Symmetric/Asymmetric Regulation of Telecommunications, (with Michael Salinger) May 1996.

Interconnection and Foreclosure of Network Competition, (with Nicholas Economides) April 1996.

- Straws in the Bottleneck: a Proposal for Efficient Provision of Essential Facilities, (with Frederick Warren-Boulton and John Woodbury) June 1992.
- Technology, Regulation, and the Emergence of Competitive Access Providers, presented for 19th Telecommunications Policy Research Conference, October 1991.
- Competitive Entry into the Local Exchange: Survey of Strategic Models and Econometric Tests, appeared as Rand Paper P-7644, May 1990.
- Regulation and the Adoption of New Local Service Technologies, prepared for the conference on Policy Approaches to Deregulation of Network Industries, American Enterprise Institute, October 1990.
- On the Stability of Efficient Networks: Integration vs. Fragmentation in Communications and Transportation, July 1990.
- Dividing a Sunk Cost: a Bargaining Approach to Regulatory Treatment of Powerplant Cancellations, July 1989.
- Lumpy Investment, Capital Recovery, and Rate Shock: Comparing Rate of Return Regulation with Price Caps, GTE Laboratories Technical Note 879.3, November 1989.
- On Pricing with Lumpy Investment: Two Problems of Boiteux, University of Rochester, Department of Economics Working Paper, November 1987.
- Long-term Regulatory Contracts, University of Rochester, Department of Economics Working Paper, January 1986.

RESEARCH IN PROGRESS

Do Wireless and Wireline Service Compete? (with Michael Ward) Empirical Tests of Entrant and Incumbent Strategies in Local Telephone Markets Reducing Rivals Revenue: Exclusionary Discounts by Dominant Firms

GOVERNMENT REPORTS

- The Evolving Structure of the U.S. Wireless Communications Industry, prepared for Office of Technology Assessment, U.S. Congress, December 1994.
- A Primer on Rate Design with Imperfect Knowledge, prepared for the Office of Policy, Planning, and Analysis, U. S. Department of Energy, July 1985.

MISCELLANEOUS CORPORATE & CONSULTING REPORTS

- The New Economics of the Cable Television Industry, prepared for Arthur Andersen Economic Consulting, April 1993.
- Cable Entry into Telecommunications: Alternate Access Services, GTE Laboratories Technical Correspondence TC-0188-08-92-419, September 1992.
- Deployment of Urban Fiber Rings by LECs and Caps: the Record to Date, (with Frederick Kinzler and L. Keta Ruiz) GTE Labs Technical Correspondence TC-0189-08-92-419, August 1992.
- GTE's Capital Investment Profile: an Empirical Assessment, (with L. Keta Ruiz) GTE Laboratories Technical Correspondence 0180-03-92-419, March 1992.

MISCELLANEOUS CORPORATE & CONSULTING REPORTS (Cont'd)

- Deploying Telecommunications Technologies on Time: Challenges and Opportunities for Policymakers, GTE Labs Technical Correspondence TC-0164-09-91-419, Sept. 1991.
- Are Two Regulators Better than One? Economics of Dual Regulation of Telecommunications, GTE Laboratories Technical Correspondence 0136-01-91-419, November 1990.
- A Model of Entry by Alternative Local Carriers: I and II, GTE Laboratories Technical Correspondences 0132/0133-01-91-419, November 1990.
- Consistent Pricing of Access to a New Network Service, GTE Laboratories Technical Note 879.6, October 1989.

SELECTED REGULATORY AND LITIGATION EXPERIENCE

- Brief Amicus Curiae, U.S. Supreme Court in Lotus v. Borland, (with Joseph Farrell), December 1995.
- Affidavit and Reply Affidavit, Motion of Bell Atlantic, BellSouth, NYNEX, and Southwestern Bell to Vacate the Modification of Final Judgment, Civil Action 82-0192, June 1994 and July 1995.
- Comments and Reply Comments, A Comparative Efficiency Approach to the FCC's Prime Time Access Rule, (with Oliver Williamson) on Federal Communication Commission's Mass Media Docket 94-123, April and July 1995.
- Comments submitted to New Zealand Commerce Department and The Treasury, Finishing the Job of Telecommunications Reform in New Zealand, November 1995.

SELECTED PRESENTATIONS

- Open Access Rules and the Broadband Race, Beyond Microsoft conference, University of California at Berkeley, March, 2001.
- Do Wireless and Wireline Services Compete? (with Michael Ward), International Communications Forecasting Conference, Seattle, September 2000; TNS Telecoms conference, New Orleans, October 2000.
- Competition's Effect on Investment in Digital Infrastructure, CRTP conference; Telecommunications Policy Research Conference, September 1999; University of Arizona, May 2000; ITS Biennial Conference, Buenos Aires, July 2000; California Public Utility Commission, San Francisco, July 2000; Northwestern University, October 2000.
- Building U.S. Infrastructure for Ecommerce, The Digital Economy in International Perspective, Washington, D.C., May 1999; Cyber Rendezvous, Jackson Hole, WY, October 1999.
- Reducing Rivals' Revenue: Exclusionary Discounts by Dominant Firms, Telecommunications Policy Research Conference, Arlington, VA, October 1998.
- Economics of Network Interconnection, Sogang University and Korean Telecom, Seoul, Korea, December 1998; University of the Andes, Bogota, Colombia, July 1997.
- Investment in Local Network Infrastructure under Deregulation, Consortium for Research on Telecommunications Policy, University of Michigan-Ann Arbor, June 1998; Fordham University, October 1998; KDI and KISDI, Seoul, Korea, December 1998.
- Antitrust's Future in Local Exchange Markets: An Economist's View, American Bar Association annual meetings, San Francisco, August 1997.
- Regulatory Pricing Rules and the New Telecom Act, University of California, Berkeley, October 1996; California Public Utility Commission, May 1997; Federal Reserve Bank of Cleveland, July 1997; University of the Andes, Bogota, Colombia, July 1997.
- Symmetric Regulation of Deregulated Telecommunications Markets, Consortium for Research on Telecommunications Policy, Northwestern University, May 1996.

SELECTED PRESENTATIONS (Cont'd)

- Interconnection and Foreclosure of Network Competition, ITS Interconnection & Interoperability Symposium, Wellington, New Zealand, October 1995; IO Fest, University of California, April 1996.
- Microsoft Plays Hardball: Use of Nonlinear Pricing and Technical Incompatibility to Exclude Rivals in Operating Software, Western Economic Association, San Diego, July 1995; Stanford University, June 1996.
- Turning the Cables: Strategic Analysis of Cable Entry into Telecommunications, CITI conference, Columbia University, New York, December 1993; AEI Telecommunications Summit, Washington, July 1994; ITS Symposium, Boulder, January 1995; 23rd Telecommunications Policy Research Conference, Solomon Island, MD, October 1995.
- Intellectual Property Protection for the National Information Infrastructure: Getting the Rules Right from the Start, NSF's Information Infrastructure Forum, Annenberg Foundation, Washington DC, July 1994.
- Monopoly Pricing with Network Externalities, University of California at Berkeley, April 1994; AEA annual meetings, January 1996.
- Benefits and Pitfalls of Network Interconnection, Columbia University, December 1992; University of Illinois, March 1993; University of California, April 1993, Western Economics Association meetings, Lake Tahoe, June 1993.
- An Empirical Model of Innovative Entry and a Test of Strategic Deterrence: an Application to Local Access Markets, 20th Telecommunications Policy Research Conference, Solomon Island, MD, September 1992; University of California-Davis, May 1993.
- Straws in the Bottleneck: a Proposal for Efficient Network Interconnection, presented at the Tenth Biennial Conference of the International Telecommunications Society, Cannes, France, June 1992; <u>Journal of Regulatory Economics</u> Editors' Conference, San Diego, October 1992, Western Economics Association meetings, Lake Tahoe, June 1993.
- Models of Network Interconnection, Columbia Institute for Tele-Information, December 1991.

 Deploying Telecommunications Technologies on Time: Challenges and Opportunities for Policy makers, Michigan State University's Technical Education Conference for Regulators, San Francisco, CA, July 1991.
- Regulation and the Adoption of New Local Service Technologies, Boston University, May 1992; Wharton School, May 1992; Rutgers Conference on Regulation and Public Utility Regulation, San Diego, July 1991; EARIE, Ferrara, Italy, September 1991; American Enterprise Institute, October 1990; Southern Economic Association, New Orleans, November 1990.
- Lumpy Investment, Capital Recovery, and Rate Shock: Comparing Rate of Return Regulation to Price Caps, Telecommunications Policy Research Conference, Airlie, VA, October 1990.
- Competitive Entry into Communications Networks, NTT World Headquarters, Tokyo, July 1989. On the Stability of Efficient Networks, ITS Conference, Cannes, France, June 1992; ORSA/TIMS conference, Denver, October 1988; Fifth World Congress on Transportation Research, Yokohama, Japan, July 1989.
- Dividing a Sunk Cost: a Bargaining Approach to Abandoned Powerplants, American Economic Association, New York City, December 1988; Rutgers Public Utility Conference, Monterey, CA, July 1989.

COURSES TAUGHT

Intermediate Microeconomics (7 times)
Undergraduate Regulation (3 times)
Undergraduate Econometrics (1 time)
MBA Microeconomics (1 time)
Graduate Regulation & Antitrust (3 times)

Undergraduate Industrial Organization (11 times)
Undergraduate Senior Seminar (4 times)
Seminar in Telecommunications (1 time)
Graduate Industrial Organization (1 time)
Graduate Incentives and Information (4 times)

SHORT COURSES

Strategies for Strengthening Competencies in the Communications Industry (UC Berkeley Extension's Worldwide IT Education Program, July 1996).

Competition, Investment and Regulation in Telecommunications (University of the Andes, Bogota Colombia, July 1997 and Controller General and Ministry of Communications, Bogota, Colombia, July 1998)

March 2001