## Frequency spectrum of focused broadband pulses of electromagnetic radiation generated by polarization currents with superluminally rotating distribution patterns

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We investigate the spectral features of the emission from a superluminal polarization current whose distribution pattern rotates (with an angular frequency  $\omega$ ) and oscillates (with a frequency  $\Omega > \omega$  differing from an integral multiple of  $\omega$ ) at the same time. This type of polarization current is found in recent practical machines designed to investigate superluminal emission. Although all of the processes involved are linear, we find that the broadband emission contains frequencies that are higher than  $\Omega$  by a factor of the order of  $(\Omega/\omega)^2$ . This generation of frequencies *not* required for the creation of the source stems from mathematically rigorous consequences of the familiar classical expression for the retarded potential. The results suggest practical applications for superluminal polarization currents as broadband radio-frequency and infrared sources.  $\bigcirc$  2003 Optical Society of America

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### 1. INTRODUCTION

Considerable recent interest has been generated by the design,<sup>1-3</sup> the construction,<sup>4</sup> and the testing<sup>5</sup> of novel light sources that employ extended distributions of polarization currents moving faster than *c*, the speed of light *in vacuo*. Although special relativity does not allow a charged particle with finite inertial mass to move faster than *c*, there is no such restriction on macroscopic polarization currents, because their superluminally moving distribution patterns may be created by the coordinated motion of aggregates of subluminally moving particles.<sup>6-12</sup> Moreover, the distinction between massive and massless charges is not in any way reflected in Maxwell's equations, so that the propagating distribution patterns of such polarization currents radiate, as would any other moving sources of the electromagnetic field.<sup>6,10-12</sup>

In view of this interest, the present paper explores the frequency spectrum produced by such superluminal sources. We base our analysis on the practical implementation of a superluminal source (Appendix  $A^{1,4}$ ) and consider the electromagnetic waves emitted from a polarization current whose distribution pattern both *rotates* and *oscillates*, rotating with a constant angular frequency  $\omega$  and oscillating with a frequency  $\Omega > \omega$  that is different from an integral multiple of  $\omega$  (Table 1 below). It is found

that the broadband signals carried by such waves contain frequencies that are by a factor of the order of  $(\Omega/\omega)^2$ higher than the frequency  $\Omega$ ; i.e., the radiation contains frequencies that are *not* required for the creation or the practical implementation of the source. This results from the cooperation of two effects, both of which are mathematically rigorous consequences of the familiar classical expression for the retarded potential:

1. The retarded time is a multivalued function of the observation time in the superluminal regime, so that the interval of retarded time during which a particular set of wave fronts is emitted by a source point can be significantly longer than the interval of observation time during which the same set of wave fronts is received at the observation point.

2. The centripetal acceleration enriches the spectral content of a rotating volume source, for which  $\Omega/\omega$  is different from an integer, by effectively endowing the distribution of its density with space-time discontinuities.

These two effects make it possible, for instance, to generate a broadband pulse of radiation whose spectrum has a peak in the terahertz band by means of a device whose construction and operation entails oscillations at only two radio frequencies: at  $\Omega/(2\pi) = 302.5$  MHz and at a multiple  $m\omega$  (with, e.g., m = 72) of  $\omega/(2\pi) = 5$  MHz (see Table 1 below and Appendix A).

The results of the present analysis are not without precedents. There are known solutions to the homogeneous wave equation describing focused broadband pulses or beams of radiation that propagate through free space with unexpectedly slow rates of distortion, spreading, or decay (see, e.g., Refs. 13 and 14). Not only is it established that any two-dimensional approximations to the missing sources of such wave fields would have to consist of independently addressable array elements, but also it is widely suspected that the excitation of the apertures in question would have to be dynamic, involving moving distribution patterns with speeds that are superluminal.<sup>15–17</sup>

This paper is organized as follows. Subsection 2.A outlines the novel features of the radiation from oscillating, accelerated superluminal polarization currents, contrasting this type of emission with those of fast traveling-wave antennas and with Cerenkov and synchrotron radiation. Although considerable mathematical rigor is required to derive the frequency spectrum of such superluminal sources (this stems from the necessary three dimensionality of superluminal sources; superluminal sources cannot be pointlike<sup>6,10-12</sup>), some of the essential features can be demonstrated in the case of a *localized* source, a source whose dimensions are appreciably smaller than the radiation wavelength; this is carried out in Subsection 2.B as an aid to understanding the more complex analysis that follows. Subsection 2.C introduces those properties of a rotating *volume* source that contribute to the distinctive spectral features of the emitted radiation. The algebraic description of the polarization current (its time dependence and orientation) used in the subsequent analysis is given in Subsection 2.D; all of the later results are presented in terms of the parameters defined here. Finally, Subsection 2.E emphasizes the important contribution that the centripetal acceleration makes to the broadband nature of the emission. The detailed mathematical formulation of the problem of an extended superluminal source is set out in Section 3. The special case of those volume elements that contribute *coherently* to the field at the observer is discussed in Section 4, highlighting the importance of focal regions in the space of observation points (Subsection 4.A) and the inadequacies of conventional far-field approximations in evaluating the electromagnetic fields (Subsection 4.B). The radiation field in the plane of the source's orbit is treated in Section 5, which contains graphical representations of the spectral distribution of the emitted power (Figs. 8 and 9 below); the predicted frequency spectra show broadband, highfrequency emission from a source whose implementation entails only two basic frequencies. A similar treatment for the radiation field outside the plane of the source's orbit is given in Section 6, which also describes the polarization of the emitted radiation (see Table 2 below). In Section 7 an analogous mathematical description is used to provide a detailed comparison with synchrotron and dipole radiation, and the efficiency of the radiative process is estimated in Section 8. Conclusions and a summary are given in Section 9.

### 2. DISTINCTIVE FEATURES OF THE EMISSION FROM AN EXTENDED, ROTATING, OSCILLATING SUPERLUMINAL POLARIZATION CURRENT

# A. Comparison with Fast Traveling-Wave Antennas and with Čerenkov and Synchrotron Radiation

Superluminally moving surface charges are already encountered in fast traveling-wave antennas<sup>18</sup> and certain types of leaky waveguides.<sup>19</sup> The wave fronts emanating from such uniformly moving superluminal sources possess an envelope on which the vacuum version of the Čerenkov effect can be observed. However, the source considered in the present paper has the time dependence of a traveling wave with both an accelerated superluminal motion and an oscillating amplitude; neither of these features is present in extant antennas.

The superluminal sources considered in the present paper have distinctive features of the sources of Čerenkov and synchrotron radiation: Not only do they move with a speed that exceeds the propagation speed of the waves that they generate (like sources of Čerenkov radiation), but also their motion is centripetally accelerated (like that of sources of synchrotron radiation). However, in contrast to sources of these familiar types of emission, they are extended (rather than pointlike) and fluctuate in their strength (rather than being time independent in their own rest frames).

Acceleration leads to the formation of a cusp in the envelope of the wave fronts that emanate from each volume element of the superluminal source,<sup>20</sup> a curve along which two sheets of the envelope meet tangentially (Fig. 1). (By contrast, the conical envelope of wave fronts in the Čerenkov emission has no cusp.<sup>21</sup>) At any given observation time, the radiated field entails a set of such envelopes (each associated with the wave fronts emanating from a specific member of a corresponding set of source elements) whose cusps pass through the observation point. These caustics arise from those volume elements of the source that approach the observer, along the radiation direction, with the speed of light and zero acceleration at the retarded time (Fig. 2). The contribution from the filamentary locus of such source elements toward the value of the field at the observation point has certain unexpected properties. Its intensity, for instance, does not diminish with the distance  $R_P$  from the source like  $R_P^{-2}$ , as in the case of a spherically spreading wave, but more slowly: like  $R_P^{-\kappa}$  with  $0 < \kappa < 2$  (see Ref. 6).

In the current paper, we shall restrict our analysis to the frequency content of the spherically decaying emission; the spectrum of the non-spherically-decaying component of the emission is treated in a separate work.<sup>22</sup> As has been mentioned above, a rigorous analysis of this problem is of considerable complexity (Sections 3-8) owing to the extended nature of superluminal sources; we therefore first briefly discuss the case of a localized source, a source whose dimensions are appreciably smaller than the radiation wavelength. This simplified case defines the Green's function for the more complex problem and illustrates some properties of the emission that are also valid in the more general case.



Fig. 1. (a) Envelope of the spherical wave fronts emanating from a source point S that moves with a constant angular velocity  $\omega$  on a circle of radius  $r = 2.5c/\omega$  ( $\hat{r} = r\omega/c = 2.5$ ). The dashed circles designate the orbit of S and the light cylinder  $r_P = c/\omega$  ( $\hat{r}_P = 1$ ). The curves to which the emitted wave fronts are tangential are the cross sections of the two sheets  $\phi_{\pm}$  of the envelope with the plane of the source's orbit. (b) Three-dimensional view of the light cylinder and the envelope of wave fronts for the same source point S. The tube-like surface constituting the envelope is symmetric with respect to the plane of the orbit. The cusp along which the two sheets of this envelope meet touches, and is tangential to, the light cylinder at a point on the plane of the source's orbit and spirals around the rotation axis out into the radiation zone (based on Ref. 6).



Fig. 2. Bifurcation surface (i.e., the locus of source points that approach the observer along the radiation direction with the speed of light at the retarded time) associated with the observation point P for a clockwise source motion. The cusp  $C_b$ , along which the two sheets of the bifurcation surface meet tangentially, touches the light cylinder ( $\hat{r} = 1$ ) at point *O*. This cusp curve is the locus of source points that approach the observer not only with the speed of light but also with zero acceleration along the radiation direction. For an observation point in the radiation zone, the spiraling surface that issues from *P* undergoes a large number of turns, in which its two sheets intersect each other, before reaching the light cylinder. Note that the bifurcation surface issues from the observation point P and resides in the space  $(r, \, \hat{arphi}, \, z)$  of source points, while the envelope of wave fronts issues from a source point and resides in the space  $(r_P, \hat{\varphi}_P, z_P)$  of observation points; the similarity between these two surfaces reflects the reciprocity properties of the Green's function for the problem (based on Ref. 6).

### B. Properties of the Emission from a Localized, Rotating, and Oscillating Superluminal Source

Consider a localized source (a source whose dimensions are appreciably smaller than the radiation wavelength, i.e., essentially a point source) that moves on a circle of radius r with the constant angular velocity  $\omega \hat{\mathbf{e}}_z$ , i.e., whose path  $\mathbf{x}(t)$  is given, in terms of the cylindrical polar coordinates  $(r, \varphi, z)$ , by

$$r = \text{const.}, \quad z = \text{const.}, \quad \varphi = \hat{\varphi} + \omega t, \quad (1)$$

where  $\hat{\mathbf{e}}_z$  is the basis vector associated with z and  $\hat{\varphi}$  is the initial value of  $\varphi$ .

The wave fronts that are emitted by this point source in an empty and unbounded space are described by

$$\left|\mathbf{x}_{P} - \mathbf{x}(t)\right| = c(t_{P} - t), \qquad (2)$$

where the coordinates  $(\mathbf{x}_P, t_P) = (r_P, \varphi_P, z_P, t_P)$  mark the space-time of observation points. The distance  $|\mathbf{x}_P - \mathbf{x}| \equiv R$  between the observation point  $\mathbf{x}_P$  and the point source  $\mathbf{x}$  is given by

$$R = [(z_P - z)^2 + r_P^2 + r^2 - 2r_P r \cos(\varphi_P - \varphi)]^{1/2},$$
(3)

so that the insertion of Eqs. (1) in Eq. (2) yields

$$t_{P} = t + [(z_{P} - z)^{2} + r_{P}^{2} + r^{2} - 2r_{P}r$$
$$\times \cos(\varphi_{P} - \hat{\varphi} - \omega t)]^{1/2}/c.$$
(4)

For a given source point  $(r, \hat{\varphi}, z)$  and various positions  $(r_P, \varphi_P, z_P)$  of the observation point, this dependence of the reception time  $t_P$  on the emission time t can have one of the generic forms shown in Fig. 3.

When  $r\omega > c$ , there is a one-dimensional set of observation points for which dR/dt = -c and  $d^2R/dt^2 = 0$  at the emission times  $t_c$  of the waves, i.e., whose members are approached by the superluminally moving source point with the speed of light and zero acceleration at the retarded time. These observation points are located on the cusp of the envelope of the emitted wave fronts (Fig. 1), where curve (b) of Fig. 3 passes through an inflection point. In their vicinity, Eq. (4) reduces to

$$t_P = t_{Pc} + \frac{1}{6}\omega^2 (t - t_c)^3 + \cdots,$$
(5)

where  $t_{Pc}$  is the value of  $t_P$  at which the waves emitted at  $t = t_c$  arrive, and constructively interfere, at the cusp curve of the envelope (see Appendix C Ref. 6). Note that the coefficient of the third-order term in the above Taylor expansion happens to be independent of the coordinates r and z of the source, a feature that enhances the cooperative (coherent) nature of the process to be described below (Section 4).

Now suppose that, in addition to moving faster than its own waves, the point source in question has a strength that fluctuates with a frequency higher than that of its rotation, such as  $\cos(\Omega t)$  with  $\Omega > \omega$  (Table 1). The amplitude of the field that it would generate will then be proportional to the retarded value of this fluctuating factor, i.e., to a function of  $t_P$  that, according to Eq. (5), has the form  $\cos\{\Omega[t_c + 6^{1/3}\omega^{-2/3}(t_P - t_{Pc})^{1/3}]\}$  at points close to the caustics of the wave fronts. The period of oscillations of the observed field is given by the time interval in which the argument of this function changes from  $\Omega t_c$  to  $\Omega t_c$ 



Fig. 3. Relationship between the observation time  $t_P$  and the emission time t for an observation point that lies (a) inside or on, (b) on the cusp of, and (c) outside the envelope of the wave fronts or the bifurcation surface shown in Figs. 1 and 2. This relationship is given by  $g(r, \varphi, z; r_P, \varphi_P, z_P) = \hat{\varphi} - \hat{\varphi}_P$ , an equation that applies to the envelope when the position  $(r, \hat{\varphi}, z)$  of the source point is fixed and to the bifurcation surface when the location  $(r_P, \hat{\varphi}_P, z_P)$  of the observer is fixed. (Note that, by virtue of the linear relation  $\varphi = \hat{\varphi} + \omega t$ , the motion of the source may be parameterized either by t or by  $\varphi$ .) The maxima and the minima of curve (a), at which dR/dt = -c, occur on the sheets  $\phi_+$  and  $\phi_-$  of the envelope (or the bifurcation surface), respectively (see Figs. 1 and 2). The inflection points of curve (b), at which  $d^2R/dt^2 = 0$ , occur on the cusp curve of the envelope (or the bifurcation surface).

+  $2\pi$ . It will have a value, therefore, that is by the factor  $\frac{2}{3}(\pi\omega/\Omega)^2$  shorter than the period  $2\pi/\Omega$  of the fluctuations of the source strength. Stated differently, the time interval  $t - t_c = 2\pi/\Omega$  in which a set of wave fronts is emitted is by the factor  $\frac{3}{2}(\pi\omega/\Omega)^{-2}$  longer than the time interval  $t_P - t_{Pc}$  during which the same set of wave fronts is received.

### C. Extension to Superluminal Volume Sources

The field of a uniformly rotating point source constitutes only the Green's function for the present emission process.<sup>23</sup> For a corresponding extended source to emit waves of a certain frequency, it is necessary in addition that the spectrum of temporal fluctuations of its density contain that frequency. In this respect, the frequencyenhancing effect associated with a rotating superluminal source differs radically from that which is familiar from synchrotron radiation. The space-time distribution of density for the charged particle that acts as the source of synchrotron radiation entails the Dirac delta function and so has a spectral decomposition that is independent of frequency. That the maximal intensity in the spectrum of synchrotron radiation corresponds to a frequency that is much higher than the rotation frequency of its source merely reflects a spectral property of the Green's function for that emission process (Section 7). Superluminal sources, on the other hand, are necessarily extended  $^{6,10-12}$ ; the spectra of their densities do not, in general, contain all frequencies.

We now come to a vital distinction between stationary and rotating volume sources, which leads to a radical difference in the spectral content of the associated emission. This is connected with the differing constraints on the ranges of values of  $\varphi$  and  $\hat{\varphi}$ , constraints that in turn dictate the form assumed by the Fourier decomposition of the source density with respect to time.

The space-time distribution of the rotating *point* source described in Eqs. (1), whose path may be written as  $r = r_0$ ,  $\varphi = \hat{\varphi} + \omega t$ , z = 0, has the density

$$\rho(r, \varphi, z, t) = q \,\delta(r - r_0) \delta(\varphi - \omega t - \hat{\varphi}) \delta(z)/r, \quad (6)$$

where  $\delta$  is the Dirac delta function, q is the volume integral of  $\rho$ , and  $r_0$  is a constant. It is known from the analysis of synchrotron radiation that the azimuthal angle  $\varphi$  that appears in Eqs. (1) and (6) is not limited to an interval of length  $2\pi$ , as in the description of a station-

 
 Table 1. Definition of the Various Frequencies and Numbers Used To Describe the Source and the Emitted Radiation

Symbol	Definition
ω	Angular rotation frequency of the distribution pattern of the source
Ω	Angular frequency with which the source oscillates (in addition to moving)
f	Frequency of the radiation generated by the source
$n = 2\pi f/\omega$	Harmonic number associated with the radiation frequency
m	Number of cycles of the sinusoidal wave train representing the azimuthal dependence of the rotating source distribution [see Eq. (7)] around the circumference of a circle centered on, and normal to, the rotation axis
$ \Omega \pm m \omega $	Two frequencies to which the spectrum of the spherically decaying component of the radiation is limited when $\Omega/\omega$ is an integer. (The non-spherically-decaying component of the radiation is emitted at only these two frequencies, irrespective of whether $\Omega/\omega$ is an integer or not.)

ary source distribution, but (by virtue of having the value  $\hat{\varphi} + \omega t$ ) can range over the same interval as the time t, i.e., over  $(-\infty, \infty)$ . Since  $\varphi = 2\pi$  represents the same point in space as  $\varphi = 0$  at any given (r, z), the source density (6) is periodic, both in  $\varphi$  and in t. However, the azimuthal coordinate  $\varphi$  does not discontinuously change back to zero each time a rotation is completed. If the time interval over which the source density is described by Eq. (6) exceeds a rotation period, then the angle  $\varphi = \hat{\varphi} + \omega t$  that is traversed by the source during this time interval would also exceed  $2\pi$ .

Now consider a localized *volume* source that rotates about the z axis with a constant angular frequency  $\omega$ (Table 1). The density distribution  $\rho(r, \varphi, z, t)$  for a source of this kind depends on the azimuthal coordinate  $\varphi$ only through the combination  $\hat{\varphi} = \varphi - \omega t$ , i.e., is a function of  $(r, \hat{\varphi}, z, t)$ . If we label each volume element of this source by the value  $\hat{\varphi}$  of its azimuthal coordinate  $\varphi$  at t = 0, the equations describing the trajectories of these elements would each have the same form as Eq. (1). It can be seen from the collection of space-time trajectories of the constitutent volume elements of this source, therefore, that the ranges of values of both  $\varphi$  and t are infinite, as in the case of a rotating point source, but values of the Lagrangian coordinate  $\hat{\varphi}$  are limited to an interval of length  $2\pi$ , e.g., to  $-\pi < \hat{\varphi} \le \pi$  (Fig. 4). The coordinate  $\hat{\varphi}$  cannot range over a wider interval because no volume element of an extended source may be labeled by more than one value of a Lagrangian coordinate. Phrased differently, the aggregate of volume elements that constitute a rotating source in its entirety can at most occupy an azimuthal interval of length  $2\pi$  at any given time (e.g., t = 0).

### D. Algebraic Representation of Polarization Currents with Superluminally Rotating Distribution Patterns

For an extended source of radiation whose distribution pattern rotates uniformly, the cylindrical components of the electric current density  $\mathbf{j}$  similarly depend on  $\varphi$  only through the Lagrangian coordinate  $\hat{\varphi} \equiv \varphi - \omega t$ : They have the space-time dependence  $j_{r,\varphi,z}(r, \varphi, z, t)$  $= j_{r,\varphi,z}(r, \hat{\varphi}, z)$ , where  $j_{r,\varphi,z}$  stand for the components of  $\mathbf{j}$  along the cylindrical base vectors  $(\hat{\mathbf{e}}_r, \hat{\mathbf{e}}_{\varphi}, \hat{\mathbf{e}}_{z})$ . In this paper, we consider sources that oscillate as well as move, i.e., sources for which  $j_{r,\varphi,z}$  are given by  $j_{r,\varphi,z}(r, \hat{\varphi}, z)f(t)$ with an additional dependence f(t) on time. To be specific, we base the analysis on a representative polarization current  $\mathbf{j} = \partial \mathbf{P}/\partial t$  for which

$$P_{r,\varphi,z}(r, \varphi, z, t) = s_{r,\varphi,z}(r, z)\cos(m\hat{\varphi})\cos(\Omega t),$$
$$-\pi < \hat{\varphi} \le \pi.$$
(7)

where  $P_{r,\varphi,z}$  are the cylindrical components of the polarization (the electric dipole moment per unit volume),  $\mathbf{s}(r, z)$  is an arbitrary vector that vanishes outside a finite region of the (r, z) space, and m is a positive integer (Table 1).

For a fixed value of *t*, the azimuthal dependence of the above density along each circle of radius *r* within the source is the same as that of a sinusoidal wave train, with the wavelength  $2 \pi r/m$ , whose *m* cycles fit around the cir-

cumference of the circle smoothly. As time elapses, this wave train both propagates around each circle with the velocity  $r\omega$  and oscillates in its amplitude with the frequency  $\Omega$  (Table 1). The vector **s** is here left arbitrary in order that we may later investigate the polarization of the resulting radiation for all possible directions of the emitting current (this will be summarized in Table 2). Note that one can construct any distribution with a uniformly rotating pattern,  $P_{r,\varphi,z}(r, \hat{\varphi}, z)$ , by the superposition over m of terms of the form  $s_{r,\varphi,z}(r, z, m)\cos(m\hat{\varphi})$ .

An experimentally viable device capable of generating a polarization with the distribution (7) is described in Appendix A.<sup>1,4</sup> Even though the practical implementation of the polarization (7) by means of this specific device entails only the two frequencies  $m \omega$  and  $\Omega$ , the spectral decomposition of this polarization consists of an infinite set of frequencies if  $\Omega/\omega$  is different from an integer. One can directly demonstrate this by Fourier-analyzing the right-hand side of Eq. (7).

Because the domain of definition of the source density (7) extends only over the interval  $-\pi < \hat{\varphi} \leq \pi$ , or equivalently  $(\varphi - \pi)/\omega \leq t < (\varphi + \pi)/\omega$ , Fourier decomposition of the time dependence of this function at a given  $(r, \varphi, z)$  should be performed by means of a series rather than an integral. Representation of Eq. (7) by a Fourier integral would entail assumptions about the dependence of  $j_{r,\varphi,z}$  on  $\hat{\varphi}$  in intervals  $(-\infty < \hat{\varphi} < -\pi$  and  $\pi < \hat{\varphi} < \infty$ ) that lie outside the domain of definition of this density. The following Fourier series faithfully represents the right-hand side of Eq. (7) within its domain of definition and replaces it by a periodic function outside the physically relevant domain  $-\pi < \hat{\varphi} \leq \pi$ :



Fig. 4. Projection of the trajectories (world lines) of the volume elements of a uniformly rotating extended source onto the  $(\varphi, t)$  space. The Lagrangian coordinate  $\hat{\varphi} \equiv \varphi - \omega t$  designating the initial (t = 0) position of each source element lies in  $(-\pi, \pi)$ , while both  $\varphi$  and t range over  $(-\infty, \infty)$ . The space-time trajectory (world tube) of the extended source itself in  $(\varphi, t)$  space consists of the array of trajectories of its constituent volume elements (dashed lines) encompassed by the lines  $\hat{\varphi} = -\pi$  and  $\hat{\varphi} = \pi$ .

Table 2.State of Polarization of the Radiation In<br/>and Out of the Plane of Rotation for DifferentOrientations of the Emitting Polarization Current

Source	$ heta_P = \pi/2$	$\theta_P \neq \pi/2$
$s_r \neq 0, s_{\varphi} = s_z = 0$	linear, $\hat{\mathbf{e}}_{\parallel}$ , phase = 0	elliptic
$s_{\varphi} \neq 0, s_r = s_z = 0$	linear, $\hat{\mathbf{e}}_{\parallel}$ , phase = $\pi/2$	elliptic
$s_z \neq 0, s_r = s_{\varphi} = 0$	linear, $\hat{\mathbf{e}}_{\perp}$ , phase = $\pi$	linear, $\hat{\mathbf{e}}_{\perp}$

$$P_{r,\varphi,z}(r, \varphi, z, t) = s_{r,\varphi,z}(r, z) \sum_{n=-\infty}^{\infty} C_n \exp(in\,\omega t), \quad (8)$$

where

$$C_{n} = (2 \pi/\omega)^{-1} \int_{(\varphi-\pi)/\omega}^{(\varphi+\pi)/\omega} dt \cos[m(\varphi - \omega t)] \\ \times \cos(\Omega t) \exp(-in \omega t) \\ = (-1)^{m+n+1} (2\pi)^{-1} (n - \Omega/\omega) [(n - \Omega/\omega)^{2} - m^{2}]^{-1} \\ \times \sin(\pi\Omega/\omega) \exp[-i(n - \Omega/\omega)\varphi] \\ + \{\Omega \to -\Omega\}.$$
(9)

The symbol  $\{\Omega \to -\Omega\}$  designates a term like the one preceding it but in which  $\Omega$  is everywhere replaced by  $-\Omega$ .

Note that the Fourier components  $C_n$  of the function describing the time dependence of  $P_{r,\varphi,z}$  would vanish for all  $n \neq (\Omega/\omega) \pm m$  only if  $\Omega/\omega$  is an integer (Table 1). When  $\Omega$  is not an integral multiple of  $\omega$ , the factor  $\sin(\pi\Omega/\omega)$  in Eq. (9) is different from zero and the spectrum of  $P_{r,\varphi,z}$  contains all frequencies. It is not difficult to see the reason for this: The Fourier-series representation of  $P_{r,\varphi,z}$  equals a periodic function in  $-\infty < \hat{\varphi} < \infty$ whose values at the beginning and at the end of a period are different when  $\Omega/\omega$  is different from an integer (see Fig. 5). The higher frequencies stem from these steplike discontinuities of the global function represented by the series, discontinuities that lie outside the physically relevant domain  $-\pi < \hat{\varphi} \leq \pi$  but that nevertheless mathematically influence the Fourier expansion of the limited part of this function that describes the source density.

## E. Role of Centripetal Acceleration in Providing Broadband Emission

Physically, the agent responsible for this implicit discontinuity, and thus the broadening of the spectrum of the source density, is centripetal acceleration. That the spectral content of a *rectilinearly* moving source is broadened by acceleration can be directly seen from the transformation  $x' = x + ut + \frac{1}{2}at^2$  between an accelerated frame S (with the initial velocity u and acceleration a) and an inertial frame S'. A source that is spatially monochromatic in its own rest frame, e.g.,  $\exp(ikx)$  with the wave number k, is transformed into one,  $\exp[ik(x' - ut$  $\frac{1}{2}at^2$ ], whose spectrum does not even decay at high fre-\_ quencies. The corresponding effect of centripetal acceleration on the spectrum of a source is more subtle and is manifested in a kinematic constraint set by the geometry of rotation.

Because there is only one parameter  $(\omega)$  for describing both the speed  $(r\omega)$  and the acceleration  $(r\omega^2)$  of a uniformly rotating source element, the centripetal acceleration of a rotating extended source shows up in the constraint  $-\pi < \hat{\varphi} \leq \pi$  on the range of values of the Lagrangian coordinate  $\hat{\varphi}$ : an aspect of the geometry of rotation that acts as an additional parameter. Had the range of  $\hat{\varphi}$  been infinite, the time dependence of the distribution in Eq. (7) would have been indistinguishable from that of a rectilinearly moving source with a constant velocity and its Fourier transform would have contained



Fig. 5.  $\hat{\varphi}$  dependence  $\cos(m\hat{\varphi})\cos[\Omega(\hat{\varphi} - \varphi)/\omega]$  of the source density described by Eq. (7) at a fixed  $(r, \varphi, z)$  for  $m = 1, \Omega/\omega = 3.5$ , and  $\varphi = \pi/5$ . This  $\hat{\varphi}$  dependence is, by virtue of the relationship  $t = (\hat{\varphi} - \varphi)/\omega$ , equivalent to a time dependence: The space-time of source elements may be marked either by the coordinates  $(r, \varphi, z, t)$ , as in Eq. (7), or by the coordinates  $(r, \varphi, z, \hat{\varphi})$ , as in relation (19). The plotted function is physically meaningful only within the interval  $-\pi < \hat{\varphi} < \pi$ . However, once this function is expanded into a Fourier series over the interval  $(-\pi, \pi)$ , a new periodic function results, represented by the series, which is coincident with the original function within  $(-\pi, \pi)$  and periodically reproduces the original function outside this interval. The periodic function represented by the series outside  $(-\pi, \pi)$  is designated by the dashed curves.

only the frequencies  $|\Omega \pm m\omega|$ , irrespective of whether  $\Omega$  is an integral multiple of  $\omega$  or not. It is the fact that  $\hat{\varphi}$  is an angle marking the elements of a rotating source that gives rise to the constraint  $-\pi < \hat{\varphi} \leq \pi$ , to effective space-time discontinuities in the source density, and to the higher frequencies.

Given that both a representative source density and the Green's function for the present emission process have spectra that contain infinite sets of frequencies, it is not unexpected that the analysis in Sections 5 and 6 should predict a resulting radiation that is correspondingly broadband. This prediction is not incompatible with the fact that oscillations at no more than two frequencies  $(m \omega \text{ and } \Omega)$  are required for creating the representative source distribution [Eq. (7)] in the laboratory (Appendix A; Table 1). As in the case of any other linear system, the present emission process generates an output at only those frequencies that are carried by both its input (the source) and its response (Green's) function. What entails only two frequencies is the practical implementation of the source that we are considering and not its spectral content. The density distribution of the present source includes implicit space-time discontinuities (Fig. 5) whose Fourier decompositions contain all frequencies [Eq. (9)]. These steplike discontinuities do not require (for their practical implementation) the creation of any rapid changes either in space or in time; they have to do with the geometry of rotation and automatically stem from centripetal acceleration.

The radiation field that arises from the superluminal portion  $(r > c/\omega)$  of the volume source described in Eq. (7) consists of (as shown in Ref. 6) two components: a spherically decaying component whose intensity diminishes like  $R_P^{-2}$  with the distance  $R_P$  from the source and a non-spherically-spreading component whose intensity diminishes more slowly with the distance. The analysis in this paper is concerned only with the spectral properties of the spherically decaying component of the radiation. The component of the radiation whose intensity decays like  $R_P^{-1}$  instead of  $R_P^{-2}$  is emitted at only the two frequencies  $|\Omega \pm m\omega|$ .<sup>22</sup>

# 3. DETAILED FORMULATION OF THE PROBLEM

#### A. Electromagnetic Fields in the Far-Field Limit

In the absence of boundaries, the retarded potential  $A^{\mu}$ arising from any localized distribution of charges and currents with a density  $j^{\mu}$  is given by

$$A^{\mu}(\mathbf{x}_{P}, t_{P}) = c^{-1} \int d^{3}x dt \, j^{\mu}(\mathbf{x}, t) \, \delta(t_{P} - t - R/c)/R,$$
$$\mu = 0.....3. \quad (10)$$

where *R* stands for the magnitude of  $\mathbf{R} \equiv \mathbf{x}_P - \mathbf{x}$  and  $\mu = 1, 2, 3$  designate the spatial components **A** and **j** of  $A^{\mu}$  and  $j^{\mu}$  in a Cartesian coordinate system. The expressions that follow for the electromagnetic fields

$$\mathbf{E} = -\nabla_{P} A^{0} - \partial \mathbf{A} / \partial (ct_{P}), \qquad \mathbf{B} = \nabla_{P} \times \mathbf{A} \qquad (11)$$

when we simply differentiate Eq. (10) under the integral sign, and evaluate the resulting integrals by parts, are

$$\mathbf{E} = -\frac{1}{c} \int d^3x dt \frac{\delta(t_P - t - R/c)}{R} \\ \times \left[ \frac{1}{c} \frac{\partial \mathbf{j}}{\partial t} - \left( \frac{1}{c} \frac{\partial j^0}{\partial t} + \frac{j^0}{R} \right) \frac{\mathbf{R}}{R} \right], \quad (12)$$

$$\mathbf{B} = -\frac{1}{c} \int d^3x dt \, \frac{\delta(t_P - t - R/c)}{R} \, \frac{\mathbf{R}}{R}$$
$$\times \left(\frac{1}{c} \, \frac{\partial \mathbf{j}}{\partial t} + \frac{\mathbf{j}}{R}\right), \tag{13}$$

since  $j^{\mu}$  vanishes outside a finite volume.

Terms of the order of  $R^{-2}$  in the above integrands, which do not contribute toward the flux of energy at infinity, may be discarded if we are concerned only with the radiation field. Since the problem that we will be considering entails the formation of caustics, however, we need to treat the phases of the above integrands, i.e., the arguments of the delta functions in Eqs. (12) and (13), more accurately. If we replace  $\partial j^0/\partial(ct)$  in Eq. (12) by  $-\nabla \cdot \mathbf{j}$ from continuity, integrate this term by parts, and retain only those terms in the integrands of Eqs. (12) and (13) that are of the order of  $R^{-1}$ , we obtain

$$\mathbf{E} \simeq \frac{1}{c^2} \int d^3x dt \, \frac{\delta(t_P - t - R/c)}{R} \, \hat{\mathbf{n}} \times \left( \hat{\mathbf{n}} \times \frac{\partial \mathbf{j}}{\partial t} \right) \quad (14)$$

and  $\mathbf{B} \simeq \hat{\mathbf{n}} \times \mathbf{E}$ . Here we have set the origin of the coordinate system within the source distribution so that  $|\mathbf{x}| \ll |\mathbf{x}_P|$  for an observation point in the far field and  $\mathbf{R}/R$ can be approximated by the constant vector  $\hat{\mathbf{n}} \equiv \mathbf{x}_P/|\mathbf{x}_P|$ ; the symbol  $\simeq$  indicates that the expression is valid in the far-field limit. These differ from the standard expressions for radiation fields<sup>21</sup> only in that the argument of the delta function in their integrands is left exact.

For the purposes of evaluating the integrals in relation (14) for the current density that is given by Eq. (7), the space-time of source points may be marked either with  $(\mathbf{x}, t) = (r, \varphi, z, t)$  or with the coordinates  $(r, \hat{\varphi}, z, t)$  that naturally appear in the description of that rotating

source. In fact, once  $\hat{\varphi}$  is adopted as the coordinate that ranges over  $(-\pi, \pi)$ , the retarded position  $\varphi$  of the rotating source point  $(r, \hat{\varphi}, z)$  as well as the retarded time *t* could be used as the coordinate whose range is unlimited (see Fig. 4).

The electric current density  $\mathbf{j} = \partial \mathbf{P}/\partial t$  that arises from the polarization distribution (7) is given, in terms of  $\varphi$  and  $\hat{\varphi}$ , by

$$\mathbf{j} = \frac{1}{4} i \omega \sum_{\mu = \mu_{\pm}} \mu \exp[-i(\mu \hat{\varphi} - \Omega \varphi/\omega)]$$

$$\times (s_r \hat{\mathbf{e}}_r + s_{\varphi} \hat{\mathbf{e}}_{\varphi} + s_z \hat{\mathbf{e}}_z)$$

$$+ \{m \to -m, \ \Omega \to -\Omega\}, \qquad -\pi < \hat{\varphi} \le \pi,$$
(15)

where  $\mu_{\pm} \equiv (\Omega/\omega) \pm m$  and the symbol  $\{m \to -m, \Omega \to -\Omega\}$  designates a term exactly like the one preceding it but in which *m* and  $\Omega$  are everywhere replaced by -m and  $-\Omega$ , respectively. To put this source density into a form suitable for inserting in relation (14), we need to express the  $\varphi$ -dependent base vectors  $(\hat{\mathbf{e}}_r, \hat{\mathbf{e}}_{\varphi}, \hat{\mathbf{e}}_z)$  associated with the source point  $(r, \varphi, z)$  in terms of the constant base vectors  $(\hat{\mathbf{e}}_{r_p}, \hat{\mathbf{e}}_{\varphi_p}, \hat{\mathbf{e}}_{z_p})$  at the observation point  $(r_P, \varphi_P, z_P)$ :

$$\begin{pmatrix} \hat{\mathbf{e}}_r \\ \hat{\mathbf{e}}_{\varphi} \\ \hat{\mathbf{e}}_{z} \end{pmatrix} = \begin{bmatrix} \cos(\varphi - \varphi_P) & \sin(\varphi - \varphi_P) & 0 \\ -\sin(\varphi - \varphi_P) & \cos(\varphi - \varphi_P) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{pmatrix} \hat{\mathbf{e}}_{r_P} \\ \hat{\mathbf{e}}_{\varphi_P} \\ \hat{\mathbf{e}}_{z_P} \end{pmatrix}.$$
(16)

Equations (15) and (16) together with the far-field value of  $\boldsymbol{\hat{n}},$ 

$$\lim_{R \to \infty} \hat{\mathbf{n}} = (\sin \theta_P) \hat{\mathbf{e}}_{r_P} + (\cos \theta_P) \hat{\mathbf{e}}_{z_P},$$
$$\theta_P \equiv \arctan(r_P/z_P), \tag{17}$$

yield the following expression for the source term in relation (14):

$$\hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \partial \mathbf{j} / \partial t) = \frac{1}{4} \omega^2 \sum_{\mu = \mu_{\pm}} \mu^2 \exp[-i(\mu \hat{\varphi} - \Omega \varphi / \omega)] \\ \times \{ [s_{\varphi} \cos \theta_P \sin(\varphi - \varphi_P) \\ - s_r \cos \theta_P \cos(\varphi - \varphi_P) \\ + s_z \sin \theta_P ] \hat{\mathbf{e}}_{\perp} + [s_r \sin(\varphi - \varphi_P) \\ + s_{\varphi} \cos(\varphi - \varphi_P)] \hat{\mathbf{e}}_{\parallel} \} \\ + \{ m \to -m, \ \Omega \to -\Omega \}, \qquad (18)$$

where  $\hat{\mathbf{e}}_{\parallel} \equiv \hat{\mathbf{e}}_{\varphi_{P}}$  (which is parallel to the plane of rotation) and  $\hat{\mathbf{e}}_{\perp} \equiv \hat{\mathbf{n}} \times \hat{\mathbf{e}}_{\parallel}$  constitute a pair of unit vectors normal to the radiation direction  $\hat{\mathbf{n}}$ .

#### **B.** Green's Functions

Inserting Eq. (18) in relation (14) and changing the variables of integration  $(\mathbf{x}, t)$  from  $(r, \varphi, z, t)$  to  $(r, \varphi, z, \hat{\varphi})$ , we obtain

$$\mathbf{E} \approx \frac{1}{4} (\omega/c)^2 \sum_{\mu=\mu_{\pm}} \int_0^\infty r dr \int_{-\infty}^{+\infty} dz \int_{-\pi}^{+\pi} d\hat{\varphi} \ \mu^2$$

$$\times \exp(-i\mu\hat{\varphi}) \{ (s_r G_2 + s_{\varphi} G_1) \hat{\mathbf{e}}_{\parallel}$$

$$+ [(\cos \theta_P) (s_{\varphi} G_2 - s_r G_1) + (\sin \theta_P) s_z G_3] \hat{\mathbf{e}}_{\perp} \}$$

$$+ \{ m \to -m, \ \Omega \to -\Omega \}, \tag{19}$$

where  $\simeq$  again indicates that the expression is accurate in the far-field limit and  $G_i$  (i = 1, 2, 3) are the functions resulting from the remaining integration with respect to  $\varphi$ :

$$\begin{pmatrix} G_1\\G_2\\G_3 \end{pmatrix} = \int_{\Delta\varphi} d\varphi \frac{\delta(g-\phi)}{R} \exp(i\Omega \varphi/\omega) \begin{pmatrix} \cos(\varphi-\varphi_P)\\\sin(\varphi-\varphi_P)\\1 \end{pmatrix}.$$
(20)

Here *R* is as in Eq. (3),  $\phi$  stands for  $\hat{\varphi} - \hat{\varphi}_P$  with  $\hat{\varphi} \equiv \varphi$ -  $\omega t$  and  $\hat{\varphi}_P \equiv \varphi_P - \omega t_P$ , the function *g* is defined by

$$g \equiv \varphi - \varphi_P + \hat{R} \tag{21}$$

with  $\hat{R} \equiv R \omega/c$ , and  $\Delta \varphi$  is the interval of azimuthal angle traversed by the source. The *r* and *z* integrations in relation (19), though extending over the entire (r, z) space, of course receive contributions only from those regions of this space in which the source densities  $s_{r,\varphi,z}$  are nonzero.

Note that it would make no difference to the outcome of the calculation whether one uses the expression for **E** that is given in relation (19) and Eq. (20) and integrates over the coordinates  $(\hat{\varphi}, \varphi)$ , as we have done, or one uses relation (14) with  $(\mathbf{x}, t) = (r, \varphi, z, t)$  and integrates over  $(t, \varphi)$ , as is conventionally done. If one follows the conventional procedure and first integrates with respect to t, then the constraint  $-\pi < \hat{\varphi} \leq \pi$  would show up as the restriction  $(\varphi - \pi)/\omega \leq t < (\varphi + \pi)/\omega$  on the range of t integration (see Fig. 4).

The functions  $G_i(r, \hat{\varphi}, z; r_P, \hat{\varphi}_P, z_P, \varphi_P)$  here act as Green's functions: They describe the fields of uniformly rotating point sources with fixed (Lagrangian) coordinates  $(r, \hat{\varphi}, z)$  whose strengths sinusoidally vary with time. The field (19) is given by the superposition of the fields of the assembly of such uniformly rotating volume elements from which the extended source (15) is built up. In the special case in which  $\Omega = 0$ , i.e., the strength of the source is constant,  $G_3$  reduces to the Green's function called  $G_0$  in Ref. 6. The singularity structures of  $G_i$  are determined by the stationary points of the phase function g and so are identical to the singularity structure already outlined in connection with  $G_0$  (see Ref. 6).

### C. Spectral Decomposition of the Radiated Field

Spectral decomposition of the radiated field **E** may be achieved, as in any other time-dependent problem, simply by replacing the delta function in Eq. (20) by its Fourier representation. Because the integration with respect to  $\hat{\varphi}$  extends only over the interval  $(-\pi, \pi)$  (Subsection 2.C), Fourier decomposition of the  $\hat{\varphi}$  dependence of this delta function should be performed by means of a series.

The integrand in the expression for  $\mathbf{E}$  [which is given by relation (19) and Eq. (20) jointly] needs to be faithfully represented only within the range of integration. Representation of this integrand by a Fourier integral would entail assumptions about the dependence of the source density (15) on  $\hat{\varphi}$  in intervals that lie outside its domain of definition: assumptions about the dependence of  $j_{r,\varphi,z}$  on  $\hat{\varphi}$  in  $-\infty < \hat{\varphi} < -\pi$  and  $\pi < \hat{\varphi} < \infty$  (see Section 2).

Once the delta function  $\delta(g - \phi)$  that appears in the integral representation of  $G_i$  in Eq. (20) is expanded into a Fourier series over the interval  $-\pi < \hat{\varphi} < \pi$ , i.e., is written as

$$\delta(g - \phi) = (2\pi)^{-1} \sum_{n = -\infty}^{\infty} \exp[-in(g - \phi)], \quad (22)$$

relation (19) becomes

$$\mathbf{E} = \operatorname{Re}\left\{\widetilde{\mathbf{E}}_{0} + 2\sum_{n=1}^{\infty}\widetilde{\mathbf{E}}_{n}\exp(-in\,\hat{\varphi}_{P})\right\},\qquad(23)$$

in which

$$\begin{split} \widetilde{\mathbf{E}}_{n} &\simeq \frac{1}{4} (\omega/c)^{2} \sum_{\mu=\mu\pm} \int_{0}^{\infty} r dr \int_{-\infty}^{+\infty} dz \int_{-\pi}^{+\pi} d\hat{\varphi} \, \mu^{2} \exp(-i\mu\hat{\varphi}) \\ &\times \{ (s_{r} \widetilde{G}_{2} + s_{\varphi} \widetilde{G}_{1}) \widehat{\mathbf{e}}_{\parallel} + [(\cos\theta_{P})(s_{\varphi} \widetilde{G}_{2} - s_{r} \widetilde{G}_{1}) \\ &+ (\sin\theta_{P}) s_{z} \widetilde{G}_{3} ] \widehat{\mathbf{e}}_{\perp} \} + \{ m \to -m, \ \Omega \to -\Omega \} \end{split}$$

and Re{Z} stands for the real part of Z. The functions  $\tilde{G}_i$  in this expression are given by

$$\widetilde{G}_{i} = (2\pi)^{-1} \exp(in\hat{\varphi}) \int_{\Delta\varphi} d\varphi f_{i} \exp[-i(ng - \Omega\varphi/\omega)],$$
(25)

with

$$\begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix} \equiv R^{-1} \begin{pmatrix} \cos(\varphi - \varphi_P) \\ \sin(\varphi - \varphi_P) \\ 1 \end{pmatrix},$$
(26)

and constitute the Fourier components of the Green's functions  $G_i$ .

Because the values of  $\hat{\varphi}$  are limited to an interval of length  $2\pi$ , the radiation is emitted in harmonics  $n\omega$  of the rotation frequency (Table 1). The periodic nature of the motion of the source imposes this constraint despite the fact that the source distribution [Eq. (7)] lacks periodicity. [Recall that the ratio  $\Omega/\omega$  that appears in the expression for the source density, Eq. (7), is different from an integer.] In the regime  $\Omega/\omega \ge 1$ , however, the peak of the spectrum happens to occur at such a high value of the harmonic number n that this spectrum is essentially continuous.

# 4. LOCI OF COHERENTLY CONTRIBUTING SOURCE ELEMENTS

## A. Importance of Focal Regions in the Space of Observation Points

The filamentary cusps of the envelopes of the wave fronts that emanate from various volume elements of an extended superluminal source (Fig. 1) collectively occupy a tubular volume of the  $\mathbf{x}_P$  space, a volume that we shall refer to as the focal region of the space of observation points. At any given observation point P within this focal region, there are certain volume elements of the source whose contributions toward the value of the field at the observation time  $t_P$  interfere constructively, i.e., arrive at  $\mathbf{x}_{P}$  with the same phase. These consist of those elements of the superluminally moving source that approach the observer along the radiation direction with the speed of light and zero acceleration at the retarded time (Subsection 2.B). Or stated mathematically, for large values of the harmonic number n, the main contributions toward the value of the multiple integral (24) representing the radiation field  $\tilde{\mathbf{E}}_n$  come from the stationary points of the optical distance  $t_P - t - R/c$ , given by the function  $g(r, \varphi, z)$  of Eq. (21), that appears in the phase of the rapidly oscillating exponential exp(-ing) in the integrand of this integral.<sup>24-26</sup> As a first step toward the asymptotic evaluation of the multiple integral in relation (24), therefore, we need to identify the loci of points at which the derivatives  $\partial g/\partial r$ ,  $\partial g/\partial \varphi$ , and  $\partial g/\partial z$  vanish and to expand g into a Taylor series about each of its stationary points.

There is in the present case a point at which all three of the above derivatives are zero. The coordinates of this point, which we shall designate as *O*, are given by

$$O: \quad \hat{r} = 1, \qquad \varphi = \varphi_P + 2\pi - \arccos(1/\hat{r}_P) \equiv \varphi_O,$$
$$z = z_P, \quad (27)$$

where  $\hat{r} \equiv r \omega/c$  and  $\hat{r}_p \equiv r_p \omega/c$  stand for the values of rand  $r_p$  in units of the light-cylinder radius  $c/\omega$ . The second derivative  $\partial^2 g/\partial \varphi^2$  of g also vanishes at O. Since the derivative of g with respect to  $\varphi$  at fixed  $(r, \hat{\varphi}, z)$  is proportional to the derivative of  $t - t_p + R/c$  with respect to t at fixed  $(r, \varphi, z)$ , the conditions  $\partial g/\partial \varphi = 0$  and  $\partial^2 g/\partial \varphi^2 = 0$  respectively correspond to the conditions dR/dt = -c and  $d^2 R/dt^2 = 0$  that we encountered in Subsection 2.B (see Fig. 3). Not only does the point O belong to the locus of source points that approach the observer with the speed of light and zero acceleration at the retarded time [i.e., lies on the cusp curve of the observer's bifurcation surface (Figs. 1 and 2) (Ref. 6)], but also it is in fact the point at which this locus touches, and is tangential to, the light cylinder  $r = c/\omega$  (see Fig. 2).

Whether the above stationary point falls within the domain of integration depends on the position of the observation point. For a localized source distribution whose dimensions are much smaller than the distance of the observer from the source, O would fall within the domain of integration only if the observer lies in the plane of rotation, i.e., if the plane that passes through the observation point and is normal to the rotation axis intersects the source distribution; otherwise, there would be no source points for which z equals  $z_P$ .

For an observer who is located outside the plane of rotation, i.e., whose coordinate  $z_P$  does not match the coordinate z of any source element, only  $\partial g/\partial r$  and  $\partial g/\partial \varphi$  can vanish simultaneously. This occurs along the curve

$$C: \qquad \hat{r} = \hat{r}_{C}(\hat{z}) \equiv \{\frac{1}{2}(\hat{r}_{P}^{2} + 1) - [\frac{1}{4}(\hat{r}_{P}^{2} - 1)^{2} \\ - (\hat{z} - \hat{z}_{P})^{2}]^{1/2} \}^{1/2}, \varphi = \varphi_{C}(\hat{z}) \equiv \varphi_{P} + 2\pi - \arccos(\hat{r}_{C}/\hat{r}_{P}).$$
(28)

In the far-field limit, where the terms  $(\hat{z} - \hat{z}_P)^2/(\hat{r}_P^2 - 1)^2$  and  $\hat{r}_C/\hat{r}_P$  in Eqs. (28) are much smaller than unity, this curve coincides with the locus

$$C_b: \quad \hat{r} = [1 + (\hat{z} - \hat{z}_P)^2 / (\hat{r}_P^2 - 1)]^{1/2},$$
  
$$\varphi = \varphi_P + 2\pi - \arccos[1/(\hat{r}\hat{r}_P)] \quad (29)$$

of source points that approach the observer along the radiation direction with the wave speed and zero acceleration at the retarded time; i.e., it coincides with the cusp curve of the bifurcation surface (Fig. 2).

## **B.** Inadequacy of Conventional Far-Field Approximations

The above calculation makes it clear how essential it is that one should start with the exact form of the optical distance  $|\mathbf{x}_P - \mathbf{x}(t)| - c(t_P - t)$  for identifying the loci of its stationary points. The far-field approximation  $|\mathbf{x}_P - \mathbf{x}(t)| \simeq |\mathbf{x}_P| - (\mathbf{x} \cdot \mathbf{x}_P)/|\mathbf{x}_P|$  that is normally introduced at the outset of a calculation in radiation theory<sup>21</sup> here would obliterate not only significant geometrical features of the loci of these stationary points but also such determining characteristics as the degree of their degeneracy. The far-field approximation would replace the function g by

$$g \simeq R_P - \hat{z} \cos \theta_P + \varphi - \varphi_P - \hat{r} \sin \theta_P \cos(\varphi - \varphi_P),$$
$$\hat{R}_P \ge 1, \quad (30)$$

where  $\hat{R}_P \equiv (\hat{r}_P^2 + \hat{z}_P^2)^{1/2}$ . It is hardly possible to discern the geometrical details of O and C from this expression, let alone their nature.

To preserve the essential features of g about its critical point O, we need to express this function in terms of the variables

$$\xi \equiv \varphi - \varphi_0, \qquad \eta \equiv \hat{r} - 1, \qquad \zeta \equiv \hat{z} - \hat{z}_P \quad (31)$$

before proceeding to the far-field limit. The resulting exact expression for  $g(\xi, \eta, \zeta)$  reduces, when expanded in powers of  $\hat{r}_P^{-1}$ , to

$$g = \phi_0 + \xi - (1 + \eta)\sin\xi + [4(1 + \eta)\sin^2(\xi/2) - (1 + \eta)^2\sin^2\xi + \eta^2 + \zeta^2]/(2\hat{r}_P) + \cdots, \quad (32)$$

where  $\phi_0 \equiv \hat{r}_P + \varphi_0 - \varphi_P$ . The term of the order of  $\hat{r}_P^{-1}$  in this expansion clearly plays a crucial role in determining the nature of the stationary point  $\xi = \eta = \zeta = 0$  and so cannot be discarded, as in conventional radiation theory. For the purposes of calculating the asymptotic values of the radiation integrals by the method of stationary phase,<sup>24-26</sup> however, it is mathematically permissible to approximate the coefficient of this term by means of a Taylor expansion about O. To within the third order in  $\xi$  and  $\eta$ , the result is  $(\eta^2 + \zeta^2)/2$ , so that

$$g = \phi_0 + \xi - (1 + \eta) \sin \xi + (\eta^2 + \zeta^2) / (2\hat{r}_P) + \cdots.$$
(33)

This is a more accurate version of the far-field approximation, which, in contrast to that appearing in Eq. (30), exhibits the nondegenerate nature of the stationary point Oexplicitly: Neither the coefficient of  $\eta^2$  nor that of  $\zeta^2$  is zero in the Taylor expansion of g about O.

The corresponding expansion of g about a point  $(\hat{r}_C, \varphi_C, \hat{z})$  on curve C (with an arbitrary coordinate  $\hat{z} \neq \hat{z}_P$ ) can likewise be found by first rewriting this function in terms of the variables

$$\rho \equiv \hat{r} - \hat{r}_C, \qquad \mu \equiv \varphi - \varphi_C. \tag{34}$$

The result is

$$g = \varphi_C - \varphi_P + \mu + [\hat{R}_C^2 - 2(1 + \rho/\hat{r}_C)\hat{R}_C \sin \mu + 4\hat{r}_C(\hat{r}_C + \rho)\sin^2(\mu/2) + \rho^2]^{1/2}, \qquad (35)$$

in which we have denoted the value of  $\hat{R}$  on C by

$$\hat{R}_C \equiv [(\hat{z}_P - \hat{z})^2 + \hat{r}_P^2 - \hat{r}_C^2]^{1/2}$$
(36)

and have made use of the fact that, according to Eqs. (28) and (36),  $\hat{r}_C (\hat{r}_P^2 - \hat{r}_C^2)^{1/2}$  equals  $\hat{R}_C$ .

If we now expand the right-hand side of Eq. (35) in powers of  $\hat{R}_{C}^{-1}$  (which tends to  $\hat{R}_{P}^{-1}$  in the far zone) and approximate the coefficient of  $\hat{R}_{C}^{-1}$  in the resulting expansion by its value in the vicinity of  $\rho = \mu = 0$ , we arrive at

$$g = \phi_C + \mu - (1 + \rho/\hat{r}_C) \sin \mu + [\rho^2 + (\hat{r}_C^2 - 1)\mu^2]/(2\hat{R}_C) + \cdots, \qquad (37)$$

where  $\phi_C \equiv \hat{R}_C + \varphi_C - \varphi_P$ . The term  $\rho^2$  in the coefficient of  $\hat{R}_C^{-1}$  in Eq. (37) plays an essential role in specifying the degree to which g is stationary on C:  $\rho$  appears only linearly in the earlier terms of the expansion. The term  $\mu^2$  in this coefficient, on the other hand, merely represents a small correction of the order of  $\hat{R}_C^{-1}$  to the dependence of the value of g on  $\mu$  and is of no consequence as far as the asymptotic values of the radiation integrals are concerned. We shall therefore neglect the term  $\hat{R}_C^{-1}\mu^2$  in Eq. (37) from now on.

We have already alluded to the distinction between observation points in and outside the plane of the source (Subsection 4.A); we shall now treat these two cases separately in Sections 5 and 6.

# 5. RADIATION FIELD IN THE PLANE OF THE SOURCE'S ORBIT

### A. Treatment of Individual Volume Elements; Asymptotic Expansion of the Green's Function

Suppose that the observation point is located within the region  $z_{<} \leq z_{P} \leq z_{>}$  spanned by the orbital planes of various volume elements of the source and that its coordinate  $\varphi_{P}$  at the observation time  $t_{P}$  is such that the stationary point O falls within the range  $\Delta \varphi$  of integration in Eq. (25) ( $z_{<} < 0$  and  $z_{>} > 0$  stand for the extremities of the z extent of the source distribution). Then the leading term in the asymptotic expansion of  $\tilde{G}_{i}$  for large  $R_{P}$  and n may be obtained by the method of stationary phase: by replacing the phase function g in Eq. (25) with its expanded version (33), approximating the coefficient  $f_{i}$  of the rapidly oscillating exponential by its limiting value

$$f_i|_0 = r_P^{-1}(\sin\xi -1 \quad 1) \tag{38}$$

at O, and extending the range of integration  $\Delta \varphi$  to ( $\varphi_0 - \pi$ ,  $\varphi_0 + \pi$ ) (see Refs. 24–26).

The integral that appears in the resulting expression,

$$\begin{split} \widetilde{G}_i &\sim (2\pi)^{-1} \exp\{in[\hat{\varphi} - \phi_O - (\eta^2 + \zeta^2)/(2\hat{r}_P)] \\ &+ i\Omega\varphi_O/\omega\} \int_{-\pi}^{\pi} \mathrm{d}\xi f_i|_O \\ &\times \exp\{-i[(n - \Omega/\omega)\xi - n\hat{r}\sin\xi]\}, \end{split}$$
(39)

can be evaluated in terms of an Anger function and its derivative:

$$\begin{split} \tilde{G}_{i} &\sim -r_{P}^{-1} \exp\{in[\hat{\varphi} - \phi_{O} - (\eta^{2} + \zeta^{2})/(2\hat{r}_{P})] \\ &+ i\Omega\varphi_{O}/\omega\} \\ &\times [i\mathbf{J}_{n-\Omega/\omega}'(n\hat{r}) \quad \mathbf{J}_{n-\Omega/\omega}(n\hat{r}) \quad -\mathbf{J}_{n-\Omega/\omega}(n\hat{r})], \end{split}$$

$$\end{split}$$

$$(40)$$

where **J** and **J**' are an Anger function and the derivative of an Anger function with respect to its argument and the symbol ~ denotes asymptotic approximation. The Anger function  $\mathbf{J}_{\nu}(\chi)$  is defined by

$$\begin{aligned} \mathbf{J}_{\nu}(\chi) &\equiv (2\pi)^{-1} \int_{-\pi}^{\pi} \mathrm{d}\xi \exp[-i(\nu\xi - \chi\sin\xi)] \\ &= J_{\nu}(\chi) + \pi^{-1}\sin(\nu\pi) \int_{0}^{\infty} \mathrm{d}\tau \exp(-\nu\tau - \chi\sinh\tau), \end{aligned}$$

$$(41)$$

in which  $J_{\nu}(\chi)$  is the Bessel function of the first kind.<sup>27,28</sup>

There is no difference between an Anger and a Bessel function when  $\nu$  is an integer. The second integral in Eq. (41), which constitutes the difference between these two functions when  $\sin(\nu\pi) \neq 0$ , has the asymptotic expansion

$$\int_{0}^{\infty} d\tau \exp(-\nu\tau - \chi \sinh \tau)$$
  
 
$$\sim (1 + \nu/\chi)^{-1}\chi^{-1} - (1 + \nu/\chi)^{-4}\chi^{-3}$$
  
 
$$+ (9 - \nu/\chi)(1 + \nu/\chi)^{-7}\chi^{-5} + \cdots$$
(42)

for large  $\chi$  and positive  $\nu$  (see Ref. 28). The leading contributions toward the values of the Anger functions in relation (40), therefore, come from the Bessel functions  $J_{n-\Omega/\omega}(n\hat{r})$  and  $J'_{n-\Omega/\omega}(n\hat{r})$ , whose asymptotic values for large *n* decay more slowly than  $n^{-1}$  in the superluminal regime. (Here and in what follows, we treat  $\Omega$  as a positive constant.)

When the argument of  $J_{\nu}(\chi)$  is smaller than its order and so can be written as  $\chi = \nu \operatorname{sech} \alpha$  for some  $\alpha > 0$ , the asymptotic values, for large  $\nu$ , of this Bessel function and its derivative are given by

$$J_{\nu}(\nu \operatorname{sech} \alpha) \sim (2 \pi \nu \tanh \alpha)^{-1/2} \exp[\nu(\tanh \alpha - \alpha)],$$
(43a)
$$J_{\nu}'(\nu \operatorname{sech} \alpha) \sim (4 \pi \nu/\sinh 2\alpha)^{-1/2} \exp[\nu(\tanh \alpha - \alpha)]$$
(43b)

(see Ref. 27). In this regime, the Bessel functions in question decrease exponentially with increasing  $\nu$ : The exponent  $\tanh \alpha - \alpha$  is negative for all positive  $\alpha$ . But when the argument of  $J_{\nu}(\chi)$  is greater than its order and can be written as  $\chi = \nu \sec \beta$  for some  $0 < \beta < \pi/2$ , we have<sup>27</sup>

$$J_{\nu}(\nu \sec \beta) \sim (\frac{1}{2}\pi\nu \tan \beta)^{-1/2} \cos[\nu(\tan \beta - \beta) - \frac{\pi}{4}],$$
(44a)
$$J_{\nu}'(\nu \sec \beta) \sim (\pi\nu/\sin 2\beta)^{-1/2} \sin[\nu(\tan \beta - \beta) - \frac{\pi}{4}].$$
(44b)

In this case, the Bessel functions in question oscillate with amplitudes that decrease algebraically, like  $\nu^{-1/2}$ , with increasing  $\nu$ . When  $\chi$  and  $\nu$  are equal, these functions decay even more slowly:  $J_{\nu}(\nu) \sim 0.44730 \nu^{-1/3}$  and  $J'_{\nu}(\nu) \sim 0.41085 \nu^{-2/3}$  (see Ref. 27).

The contributions in relation (24) that arise from the source elements in  $\hat{r} < 1 - \Omega/(n\omega)$ , therefore, are exponentially smaller than those that arise from  $\hat{r} > 1 - \Omega/(n\omega)$ : The asymptotic values of the Green's functions appearing in relation (24) are proportional either to  $J_{n-\Omega/\omega}(n\hat{r})$  or to  $J'_{n-\Omega/\omega}(n\hat{r})$  for large n. In particular, the contributions proportional to  $J_{n-\Omega/\omega}(n)$  and  $J'_{n-\Omega/\omega}(n)$ , which arise from a source element at the stationary point O, are greater for the terms involving  $\Omega$  in relation (24) than for those involving  $-\Omega$ .

## **B.** Frequencies of Emission from Individual Volume Elements

The emission is strongest at the frequency with which the source oscillates as it moves, i.e., at a value of  $n\omega$  for which the integer n is closest to  $\Omega/\omega$ . Figures 6 and 7 respectively show the n dependences of the squares of the two Bessel functions  $J_{n-\Omega/\omega}(n)$  and  $J'_{n-\Omega/\omega}(n)$  for  $\Omega/\omega = 15.5$ , each normalized by its value at n = 16. The plotted quantities are respectively proportional to the squares of moduli of the Green's functions  $\tilde{G}_2$  and  $\tilde{G}_1$  at O [see relation (40)]. Figure 6 depicts the spectral distribution of the emission that arises from the single comoving point O of a polarization along the r or the z direction, and Fig. 7 depicts the spectrum of the corresponding emission from a current that flows in the  $\varphi$  direction [see relation (24) for  $\theta_P = \pi/2$ ].

The spectral distributions shown in Figs. 6 and 7 confirm the following result that was earlier inferred from time-domain considerations in Section 2: The contributions of a rotating point source that is coincident with O, and so approaches the observer with the speed of light and zero acceleration at the retarded time, are made over a wide range of frequencies and have peaks at harmonic numbers  $n \ge 1$  that are of the order of  $(\Omega/\omega)^3$  when  $\omega \ll \Omega \ll n\omega$ . This is a consequence of the fact that in this regime we have  $J_{n-\Omega/\omega}(n)$  $\sim (2/n)^{1/3} \operatorname{Ai}[-(2/n)^{1/3}\Omega/\omega]$  and  $J'_{n-\Omega/\omega}(n)$  $\sim -(2/n)^{2/3} \operatorname{Ai}'[-(2/n)^{1/3}\Omega/\omega]$  and that the Airy function Ai $[-(2/n)^{1/3}\Omega/\omega]$  and its derivative peak where the magnitude of their arguments is of the order of unity.<sup>27</sup>

### C. Superposition of the Contributions from the Constituent Volume Elements of the Source

The Green's function  $G_i(r, \hat{\varphi}, z; n)$  calculated in Section 5.A represents the contribution to  $\mathbf{\tilde{E}}_n$  of a specific volume element of the uniformly rotating source: that which has the azimuthal coordinate  $\hat{\varphi}$  at the time t = 0 and which moves on a circular orbit of radius r on a plane that is normal to and crosses the rotation axis at z. To find the radiation field that arises from an extended source, we must



Fig. 6. Spectral distribution of the Green's function  $\tilde{G}_2(n)$  for  $\Omega/\omega = 15.5$ , normalized by the value  $\tilde{G}_2(16)$  of this function at a harmonic number (n = 16) close to  $\Omega/\omega$ . (Frequency f and harmonic number n are related through  $2\pi f = n\omega$ .) The inset highlights the highest-frequency peak of the spectrum. Note that the ranges of frequencies shown in the figure and its inset are complementary. This function represents the spectral distribution of the emission arising from the single comoving point O of a polarization along the r or the z direction [see relations (24) and (40)].



Fig. 7. Spectral distribution of the Green's function  $\bar{G}_1(n)$  for  $\Omega/\omega = 15.5$ , normalized by the value  $\tilde{G}_1(16)$  of this function at a harmonic number (n = 16) close to  $\Omega/\omega$ . (Frequency f and harmonic number n are related through  $2\pi f = n\omega$ .) The inset highlights the highest-frequency peak of the spectrum. Note that the ranges of frequencies shown in the figure and its inset are complementary. This function represents the spectral distribution of the emission arising from the single comoving point O of a polarization current that flows in the  $\varphi$  direction [see relation (40) and relation (24) for  $\theta_P = \pi/2$ ].

superpose the contributions from the constituent volume elements of that source, i.e., we must insert this Green's function in the integral representation (24) of the field and perform the integrations with respect to r,  $\hat{\varphi}$ , and zthat extend over the localized region of the rest frame occupied by that source.

Relations (24) and (40) jointly yield

$$\begin{split} \widetilde{\mathbf{E}}_{n} &\sim \frac{1}{2} \hat{r}_{P}^{-1} \exp[-i(n \phi_{O} - \Omega \varphi_{O} / \omega)] Q_{\hat{\varphi}} \int_{-\infty}^{\infty} \mathrm{d}\hat{z} \\ &\times \exp\left[-\frac{1}{2} i n \hat{r}_{P}^{-1} (\hat{z} - \hat{z}_{P})^{2}\right] \\ &\times \int_{0}^{\infty} \hat{r} \mathrm{d}\hat{r} \exp\left[-\frac{1}{2} i n \hat{r}_{P}^{-1} (\hat{r} - 1)^{2}\right] \\ &\times \mathbf{V} + \{m \to -m, \ \Omega \to -\Omega\}, \end{split}$$
(45)

in which

$$Q_{\hat{\varphi}} \equiv -\frac{1}{2} \sum_{\mu=\mu_{\pm}} \int_{-\pi}^{+\pi} d\hat{\varphi} \, \mu^2 \exp[i(n-\mu)\hat{\varphi}]$$
  
=  $(-1)^{n+m} \sin(\pi\Omega/\omega) [\mu_+^2(n-\mu_+)^{-1}$   
+  $\mu_-^2(n-\mu_-)^{-1}]$  (46)

and V stands for

$$\mathbf{V} \equiv (s_r W_2 + s_{\varphi} W_1) \hat{\mathbf{e}}_{\parallel} + [(\cos \theta_P) (s_{\varphi} W_2 - s_r W_1) + (\sin \theta_P) s_z W_3] \hat{\mathbf{e}}_{\perp}, \qquad (47)$$

with

$$W_{j} \equiv \begin{bmatrix} i \mathbf{J}_{n-\Omega/\omega}^{\prime}(n\hat{r}) & \mathbf{J}_{n-\Omega/\omega}(n\hat{r}) & -\mathbf{J}_{n-\Omega/\omega}(n\hat{r}) \end{bmatrix}.$$
(48)

Here we have replaced r,  $r_P$ , and z by their values in units of the light-cylinder radius  $(\hat{r}, \hat{r}_P, \text{ and } \hat{z})$  and have used the definition  $\mu_{\pm} \equiv (\Omega/\omega) \pm m$  to rewrite  $\sin[\pi(n - \mu_{\pm})]$  as  $(-1)^{n+m+1} \sin(\pi \Omega/\omega)$ . The Anger function **J** and its derivative **J**' are defined in Eq. (41).

Note that if  $\Omega/\omega$  is an integer, the factors  $\sin[\pi(n - \mu_{\pm})]/(n - \mu_{\pm})$  in Eq. (46) would have the value  $\pi$  at  $n = \mu_{\pm}$  and would vanish at all other n. When  $\Omega$  is not an integral multiple of  $\omega$ , on the other hand, neither the numerators nor the denominators in these factors can vanish at any n. For nonintegral values of n, the quantity  $Q_{\hat{\varphi}}$ , and hence the spectrum of the source density (15), is nonzero for all frequencies (see also Section 2 and Fig. 5). We shall here assume not only that the parameter  $\Omega/\omega$  is different from an integer but also that it is appreciably greater than unity. Our interest lies primarily in the high-frequency regime, where the radiation frequency  $n\omega$  is appreciably greater than the frequencies  $|m\omega \pm \Omega|$  that enter into the creation of the source (see Appendix A).

The leading terms in the asymptotic expansions for large *n* of the integrals over  $\hat{r}$  and  $\hat{z}$  in the expression for  $\tilde{\mathbf{E}}_n$  can both be found by an elementary version of the method of stationary phase.<sup>24–26</sup> If the lower and upper limits of the radial interval in which the source densities  $s_{r,\varphi,z}$  are nonzero are denoted by  $\hat{r}_< < 1$  and  $\hat{r}_> > 1$ , respectively, then the asymptotic value of the integral over  $\hat{r}$  in relation (45) is given by

$$\int_{0}^{\infty} \hat{r} d\hat{r} \exp\left[-\frac{1}{2}in\hat{r}_{P}^{-1}(\hat{r}-1)^{2}\right] \mathbf{V} \sim \mathbf{V}|_{\hat{r}=1}Q_{r}, \quad (49)$$

in which

$$Q_{r} \equiv \int_{\hat{r}_{<}}^{\hat{r}_{>}} d\hat{r} \exp\left[-\frac{1}{2}in\hat{r}_{P}^{-1}(\hat{r}-1)^{2}\right]$$
  
=  $(\pi\hat{r}_{P}/n)^{1/2} \{C(\eta_{>}) - C(\eta_{<}) - i[S(\eta_{>}) - S(\eta_{<})]\},$   
(50a)

with

$$\eta_{>} \equiv [n/(\pi \hat{r}_{P})]^{1/2} (\hat{r}_{>} - 1), \qquad (50b)$$

$$\eta_{<} \equiv [n/(\pi \hat{r}_{P})]^{1/2} (\hat{r}_{<} - 1), \qquad (50c)$$

and the functions  $C(\eta)$  and  $S(\eta)$  are the Fresnel integrals.<sup>27</sup> We have integrated over the interval  $(\hat{r}_{<}, \hat{r}_{>})$ , rather than  $(-\infty, \infty)$ , in order to obtain expres-

sions that are valid also within the Fresnel distance  $r_P \sim (r_> - r_<)^2 (n\omega)/(\pi c)$  from the source. For an observation point that lies at infinity, the integration with respect to  $\hat{r}$  may be directly performed over  $(-\infty, \infty)$ , without introducing  $\hat{r}_<$  and  $\hat{r}_>$ .

If we now insert relation (49) in relation (45) and carry out the remaining integration with respect to  $\hat{z}$  in a similar way, we arrive at

$$\widetilde{\mathbf{E}}_{n} \sim \frac{1}{2} \hat{r}_{P}^{-1} \exp[-i(n\phi_{O} - \Omega\varphi_{O}/\omega)] Q_{\hat{\varphi}} Q_{r} Q_{z} \mathbf{V}|_{\hat{r}=1,\hat{z}=\hat{z}_{P}} + \{m \to -m, \ \Omega \to -\Omega\},$$
(51)

in which

$$\begin{aligned} Q_z &\equiv \int_{\hat{z}_{<}}^{\hat{z}_{>}} \mathrm{d}\hat{z} \exp \Biggl[ -\frac{1}{2} i n \hat{r}_P^{-1} (\hat{z} - \hat{z}_P)^2 \Biggr] \\ &= (\pi \hat{r}_P / n)^{1/2} \{ C(\zeta_{>}) - C(\zeta_{<}) - i [S(\zeta_{>}) - S(\zeta_{<})] \}, \end{aligned}$$
(52a)

with

$$\zeta_{>} \equiv [n/(\pi \hat{r}_{P})]^{1/2} (\hat{z}_{>} - \hat{z}_{P}), \qquad (52b)$$

$$\zeta_{<} \equiv [n/(\pi \hat{r}_{P})]^{1/2} (\hat{z}_{<} - \hat{z}_{P}).$$
(52c)

Here  $z_> > z_P$  and  $z_< < z_P$  are respectively the lower and upper limits on the extent of the source distribution in the z direction. (The coordinates r and z without the caret are measured in standard units of length rather than in units of the light-cylinder radius  $c/\omega$ .)

When the z interval  $z_{>} - z_{<}$  that is occupied by the source is appreciably smaller than the radius of the light cylinder, the observer would need to have a colatitude  $\theta_{P}$  that is quite close to  $\pi/2$  for the stationary point O to lie within the source distribution. From Eqs. (47) and (48) for  $\theta_{P} = \pi/2$ , it therefore follows that

$$\mathbf{V}|_{\hat{r}=1,\hat{z}=\hat{z}_{p}} = \mathbf{J}_{n-\Omega/\omega}(n)(s_{rO}\hat{\mathbf{e}}_{\parallel} - s_{zO}\hat{\mathbf{e}}_{\perp}) + i\mathbf{J}_{n-\Omega/\omega}'(n)s_{\varphi O}\hat{\mathbf{e}}_{\parallel},$$
(53)

in which  $s_{rO,\varphi O,zO}$  stand for the values of  $s_{r,\varphi,z}$  at the stationary point *O*. Thus the contributions of the poloidal components of the polarization  $(s_r \text{ and } s_z)$  to the radiation field are both stronger than, and 90 deg out of phase with, the contribution of the toroidal component  $s_{\varphi}$  (see Table 2).

### D. Radiated Power and Its Spectral Distribution

The expression that is given by relation (51) for the Fourier component  $\tilde{\mathbf{E}}_n$  of the radiation field in the plane of rotation applies to any frequency  $n\omega$  for which  $n \ge 1$ , provided of course that the distance  $r_P$  of the observer appreciably exceeds both the radius  $c/\omega$  of the light cylinder and the dimensions  $r_> - r_<$  and  $z_> - z_<$  of the source. If  $n\omega$  is also much greater than the frequencies  $|m\omega \pm \Omega|$  that enter into the creation of the source, the asymptotic value of the radiation field for large frequency reduces to

$$\begin{split} \widetilde{\mathbf{E}}_{n} &\sim (-1)^{n+m} \hat{r}_{p}^{-1} \exp[-i(n\phi_{O} - \Omega\varphi_{O}/\omega)](m^{2} + \Omega^{2}/\omega^{2}) \\ &\times \sin(\pi\Omega/\omega) Q_{r} Q_{z} n^{-1} [J_{n-\Omega/\omega}(n)(s_{rO} \hat{\mathbf{e}}_{\parallel} - s_{zO} \hat{\mathbf{e}}_{\perp}) \\ &+ i J_{n-\Omega/\omega}'(n) s_{\varphi O} \hat{\mathbf{e}}_{\parallel}], \end{split}$$
(54)

for both the additional terms in the Anger functions [Eq. (41)] and the terms associated with  $-\Omega$  [relation (51)] would be negligibly small. This expression holds not only in the far zone but also within the Fresnel zone. The Fresnel distance from the source, which designates the boundary between the near and far zones at a given frequency  $n \omega$ , is defined by  $r_P \simeq [n \omega/(\pi c)] \max\{(r_> - r_<)^2, (z_> - z_<)^2\}$  in the present case.

When the observation point lies closer to the source than the Fresnel distance, the arguments of the Fresnel integrals C and S in Eqs. (50) and (52) are large and so  $Q_r$  and  $Q_z$  assume the values

$$\begin{split} &Q_r \sim (2 \, \pi \hat{r}_P / n)^{1/2} \exp(-i \, \pi / 4), \qquad \hat{r}_P \ll (n / \pi) (\hat{r}_> - \hat{r}_<)^2, \\ &(55a) \\ &Q_z \sim (2 \, \pi \hat{r}_P / n)^{1/2} \exp(-i \, \pi / 4), \qquad \hat{r}_P \ll (n / \pi) (\hat{z}_> - \hat{z}_<)^2. \\ &(55b) \end{split}$$

Beyond the Fresnel distance from the source, on the other hand, the limiting values of C and S are such<sup>27</sup> that

$$Q_r \sim \hat{r}_> - \hat{r}_<, \qquad \hat{r}_P \gg (n/\pi)(\hat{r}_> - \hat{r}_<)^2,$$
 (56a)

$$Q_z \sim \hat{z}_> - \hat{z}_<, \qquad \hat{r}_P \gg (n/\pi)(\hat{z}_> - \hat{z}_<)^2,$$
 (56b)

for in the far zone the arguments of the oscillating exponentials in the integrands in Eqs. (50a) and (52a) tend to zero. The inclusion of higher-order terms in the expansion of g in powers of  $\hat{r} - 1$  and  $\hat{z} - \hat{z}_P$  would not alter this result: The coefficients of these higher-order terms contain correspondingly higher powers of  $\hat{r}_P^{-1}$ .

The radiated power received by an observer in the far zone per harmonic per unit solid angle is given by  $dP_n/d\Omega_P = cR_P^2 |\tilde{\mathbf{E}}_n|^2/(2\pi)$ , where  $d\Omega_P$  denotes the element  $(\sin \theta_P) d\theta_P d\varphi_P$  of solid angle in the space of observation points. According to relations (54) and (56), this power has the following asymptotic value for high frequency and  $\theta_P = \pi/2$ :

$$dP_n/d\Omega_P \sim (2\pi c)^{-1} \omega^2 (m^2 + \Omega^2/\omega^2)^2 \times \sin^2(\pi\Omega/\omega)(r_> - r_<)^2 \times (z_> - z_<)^2 n^{-2} |J_{n-\Omega/\omega}(n)(s_{rO}\hat{\mathbf{e}}_{\parallel} - s_{zO}\hat{\mathbf{e}}_{\perp}) + iJ'_{n-\Omega/\omega}(n)s_{\omega O}\hat{\mathbf{e}}_{\parallel}|^2.$$
(57)

It depends on the harmonic number n like  $n^{-2}J_{n-\Omega/\omega}^2(n)$  if the polarization lies in the z or the r direction and like  $n^{-2}J_{n-\Omega/\omega}'^2(n)$  if the polarization lies in the  $\varphi$  direction.

Figures 8 and 9 show the emitted power by a poloidal polarization current  $(s_r \text{ or } s_z)$  for  $\Omega/\omega = 15.5$  and m = 72. The amplitude of this power has in both figures been normalized by its value at the harmonic number closest to the source frequency  $m\omega + \Omega$ , i.e., by the power that is emitted into n = 87. [Note that the peak emission of the device described in Appendix A is always close to  $f = (m\omega + \Omega)/(2\pi)$ ; in the case of  $\Omega/\omega$  = integer, the only emission occurs at this frequency and its companion  $f = (m\omega - \Omega)/(2\pi)$ . We show elsewhere<sup>22</sup> that, irrespective of the value of  $\Omega/\omega$ , the non-spherically-decaying part of the emission contains only the frequencies  $f = |m\omega \pm \Omega|/(2\pi)$ .]

The curves shown in Figs. 8 and 9 decrease monotonically from unity (the maximum power) at n = 87 to zero at its minimum (see Fig. 9). As a result of the presence of

the extra factor  $Q_{\hat{\varphi}}^2 \sim n^{-2}$ , the value of *n* at which the curve in Fig. 8 attains its high-frequency maximum is somewhat lower than that of the corresponding curve shown in the inset to Fig. 6. The order of magnitude of this *n*, however, is still given by  $(\Omega/\omega)^3$ : The result earlier encountered in Sections 2 and 5.B in the context of a point source thus applies also to an extended source.

Note that, according to relations (54) and (55), the amplitude of  $\mathbf{E}_n$  is independent of the distance  $r_P$  from the source throughout the Fresnel zone: It diminishes like  $r_P^{-1}$  only beyond the Fresnel distance, i.e., for  $r_P$  $\gg [n \omega/(\pi c)] \max\{(r_> - r_<)^2, (z_> - z_<)^2\}.$ This nonspherical decay of the field amplitude within the Fresnel zone is encountered whenever the radiated wave fronts have envelopes; the field of Cerenkov radiation, for instance, decays like  $R_P^{-1/2}$  within its Fresnel zone. However, the constancy of the amplitude of the radiation with the distance is here compensated by a steeper dependence of this amplitude on the frequency. At the position of an observer who is closer to the source than the Fresnel distance, the rate of decay of  $dP_n/d\Omega_P$  with *n* is by the factor  $n^{-2}$  higher than that of the power that is radiated in the far zone.



Fig. 8. Spectral distribution of the radiation that is generated by a poloidal polarization current for  $\Omega/\omega = 15.5$  and m = 72. The normalization factor is the magnitude  $\tilde{\mathbf{E}}_{87}$  of the radiation field at a harmonic number (n = 87) close to the peak emission at  $n = (m + \Omega/\omega)$  or  $f = (m\omega + \Omega)/(2\pi)$  (see Fig. 9); frequency f and harmonic number n are related through  $2\pi f = n\omega$ . The plotted quantity has the value of unity at n = 87 and decreases monotonically over the range 87 < n < 400 of harmonic numbers not shown here (see Fig. 9). The inset highlights the highest-frequency peak of the spectrum.



Fig. 9. Logarithm of the normalized intensity,  $\log_{10}|\mathbf{\tilde{E}}_n/\mathbf{\tilde{E}}_n|^2$ , shown in Fig. 8 over the lower range (30 < n < 400) of harmonic numbers (recall that  $\Omega/\omega = 15.5$  and m = 72). Note that the ranges of frequencies in this figure and in Fig. 8 are complementary. The contribution from  $|\mu_{-}\omega| = m\omega - \Omega$  (see Table 1) to the radiation field is in this case too small to make a difference to the figure, even at these lower frequencies.

### 6. RADIATION FIELD OUTSIDE THE PLANE OF THE SOURCE'S ORBIT

### A. Asymptotic Expansion of the Green's Function

When the observation point is located at a colatitude  $\theta_P$  that is different from  $\pi/2$ , the leading contribution to the asymptotic value of the radiation field for large  $\hat{R}_P$  and large *n* comes from those volume elements of the source that lie on the curve described in Eqs. (28), the curve that we designated as *C*. For  $\hat{R}_P \ge 1$ , *C* is coincident with the cusp curve of the bifurcation surface,  $C_b$ , and the source points in question are those that approach the observer with the speed of light and zero acceleration at the retarded time (see Fig. 2). The integrations with respect to  $\varphi$  and *r* in relation (24) and Eq. (25) can, as a result, be evaluated by the method of stationary phase once again.

It can be seen from the far-field limit of Eqs. (29) that the cusp curve  $C_b$  will intersect the source distribution if  $\theta_P$  lies in the interval  $|\theta_P - \pi/2| \leq \arccos(1/\hat{r}_{>})$ , where  $r_{>}$ is the radial coordinate of the outer boundary of the source.<sup>6</sup> Hence the range of values of  $\theta_P$  to which the following analysis is applicable would be as wide as  $(0, \pi)$  if the extent  $r_{>} - c/\omega$  of the superluminally moving part of the source is comparable with the radius  $c/\omega$  of the light cylinder.

For  $\theta_P \neq \pi/2$ , the relevant expansion of the phase function *g* about the locus of its stationary points is that found in Eq. (37). The counterpart of relation (39) is therefore given by

$$\begin{split} \tilde{G}_i &\sim (2\pi)^{-1} \exp\{i[n(\hat{\varphi} - \phi_C - \frac{1}{2}\hat{R}_C^{-1}\rho^2) + \Omega\varphi_C/\omega]\} \\ &\times \int_{-\pi}^{\pi} d\mu f_i|_C \exp\{-i[(n - \Omega/\omega)\mu \\ &- n(\hat{r}/\hat{r}_C)\sin\mu]\}, \end{split}$$
(58)

in which  $\hat{r}_C$ ,  $\varphi_C$ , and  $\hat{R}_C$ , defined in Eqs. (28) and (36), have their far-field values

$$\hat{r}_C \simeq \csc \theta_P, \qquad \varphi_C \simeq \varphi_P + 3\pi/2,$$
  
 $\hat{R}_C \simeq \hat{R}_P - \hat{z} \cos \theta_P$  (59)

and  $f_i|_C$  has its limiting value

$$f_i|_C = R_P^{-1}(\sin\mu \ -1 \ 1).$$
 (60)

[The term  $\hat{R}_C^{-1}\mu^2$  in Eq. (37) has, for the reasons given in Section 4, been omitted here.]

The integral that appears in relation (58) is expressible in terms of an Anger function and its derivative:

$$\begin{split} \tilde{G}_{i} &\sim -R_{P}^{-1} \exp\{i[n(\hat{\varphi} - \frac{3}{2}\pi - \hat{R}_{P} + \hat{z}\cos\theta_{P} - \frac{1}{2}\hat{R}_{P}^{-1}\rho^{2}) \\ &+ \Omega\varphi_{C}/\omega]\}\bar{W}_{i}, \end{split}$$
(61)

with

$$\bar{W}_{j} \equiv [i \mathbf{J}_{n-\Omega/\omega}^{\prime}(n\hat{r}\sin\theta_{P}) \quad \mathbf{J}_{n-\Omega/\omega}(n\hat{r}\sin\theta_{P}) - \mathbf{J}_{n-\Omega/\omega}(n\hat{r}\sin\theta_{P})].$$
(62)

Hence relations (24) and (61) jointly yield

$$\begin{split} \widetilde{\mathbf{E}}_{n} &\sim \frac{1}{2} \hat{R}_{P}^{-1} \exp\{-i[n(\hat{R}_{P} + \frac{3}{2}\pi) - \Omega \varphi_{C}/\omega]\} Q_{\hat{\varphi}} \\ &\times \int_{-\infty}^{\infty} d\hat{z} \exp(in\hat{z} \cos \theta_{P}) \\ &\times \int_{0}^{\infty} \hat{r} d\hat{r} \exp\left[-\frac{1}{2} in\hat{R}_{P}^{-1} (\hat{r} - \csc \theta_{P})^{2}\right] \mathbf{\bar{V}} \\ &+ \{m \to -m, \ \Omega \to -\Omega\}, \end{split}$$
(63)

where  $\overline{\mathbf{V}}$  differs from the vector  $\mathbf{V}$  defined in Eq. (47) only in that  $W_i$  is everywhere replaced in it by  $\overline{W}_i$  [cf. Eq. (62)]. The quantity  $Q_{\hat{\varphi}}$ , resulting from the integration with respect to  $\hat{\varphi}$ , is the same here as in Eq. (46).

The dominant contribution toward the asymptotic approximation to the integral over  $\hat{r}$  for high frequency arises from the value of its integrand at the stationary point  $\hat{r} = \csc \theta_P$  of its phase, just as in relation (49). The  $\hat{r}$  integral in relation (63) therefore has the asymptotic value

$$\int_{0}^{\infty} \hat{r} d\hat{r} \exp\left[-\frac{1}{2}in\hat{R}_{P}^{-1}(\hat{r} - \csc \theta_{P})^{2}\right] \mathbf{\bar{V}}$$
$$\sim (\csc \theta_{P})\mathbf{\bar{V}}|_{\hat{r} = \csc \theta_{P}} \mathbf{\bar{Q}}_{r}, \quad (64)$$

where

$$\bar{Q}_{r} = (\pi \hat{R}_{P}/n)^{1/2} \{ C(\bar{\eta}_{>}) - C(\bar{\eta}_{<}) - i[S(\bar{\eta}_{>}) - S(\bar{\eta}_{<})] \},$$
(65a)

with

$$\bar{\eta}_{>} \equiv [n/(\pi \hat{R}_{P})]^{1/2} (\hat{r}_{>} - \csc \theta_{P}),$$
 (65b)

$$\bar{\eta}_{<} \equiv [n/(\pi \hat{R}_{P})]^{1/2} (\hat{r}_{<} - \csc \theta_{P}), \qquad (65c)$$

and  $\hat{r}_{<} < \csc \theta_{P}$  and  $\hat{r}_{>} > \csc \theta_{P}$  are respectively the lower and upper limits of the radial interval in which the source densities  $s_{r,\varphi,z}$  are nonzero.

### **B.** Radiated Power and Its Spectral Distribution From relations (63) and (64) it now follows that

$$\widetilde{\mathbf{E}}_{n} \sim \frac{1}{2} \hat{r}_{P}^{-1} \exp\{-i[n(\hat{R}_{P} + \frac{3}{2}\pi) - \Omega\varphi_{C}/\omega]\} Q_{\hat{\varphi}} \bar{Q}_{r} \bar{\mathbf{Q}}_{z} + \{m \to -m, \ \Omega \to -\Omega\},$$
(66)

in which

$$\begin{aligned} \bar{\mathbf{Q}}_{z} &\equiv \left[\bar{s}_{r} \mathbf{J}_{n-\Omega/\omega}(n) + i\bar{s}_{\varphi} \mathbf{J}_{n-\Omega/\omega}'(n)\right] \hat{\mathbf{e}}_{\parallel} + \left[(\bar{s}_{\varphi} \cos \theta_{P} - \bar{s}_{z} \sin \theta_{P}) \mathbf{J}_{n-\Omega/\omega}(n) - i\bar{s}_{r} (\cos \theta_{P}) \mathbf{J}_{n-\Omega/\omega}'(n)\right] \hat{\mathbf{e}}_{\perp}, \end{aligned}$$

$$(67)$$

with

$$\overline{s}_{r,\varphi,z} \equiv \int_{-\infty}^{\infty} \mathrm{d}\hat{z} \exp(in\hat{z}\cos\theta_P)s_{r,\varphi,z}|_C.$$
(68)

The source densities  $s_{r,\varphi,z}$  in  $\overline{s}_{r,\varphi,z}$  are evaluated along curve *C* and so are functions of *z* only. Note that the Anger functions that appear in this expression are precisely the same as those appearing in Eq. (53) and depicted in Figs. 6 and 7: The field  $\mathbf{\tilde{E}}_n$  depends on  $\theta_P$  only through the other factors in Eq. (67).

The corresponding expression for the radiated power per harmonic per unit solid angle is therefore given by

$$dP_n/d\Omega_P \sim (2\pi)^{-1}c \csc^2 \theta_P \sin^2(\pi\Omega/\omega) \times (m^2 + \Omega^2/\omega^2)^2 (r_> - r_<)^2 n^{-2} |\bar{\mathbf{Q}}_z|^2$$
(69)

when the observation point lies beyond the Fresnel distance  $R_P \sim (n \omega/c)(r_> - r_<)^2$  and *n* appreciably exceeds  $|m \pm \Omega/\omega|$ . Here, as in relation (54), both the additional terms in the Anger functions [Eq. (41)] and the terms associated with  $-\Omega$  [relation (66)] are negligibly small, so that the Anger functions in  $|\mathbf{\bar{Q}}_z|$  may be approximated by Bessel functions.

The rate of decay of  $|\mathbf{Q}_z|$  with the harmonic number n depends on the length scale of variations of  $s_{r,\varphi,z}|_C$  with z. The smoother the distribution of these source densities in z, the faster the rate of decay of  $|\mathbf{\bar{Q}}_z|$  with n. In the case of a source with a limited extent in z whose density falls to zero sharply at its z boundaries, such as the source described in Appendix A, the quantity  $|\mathbf{\bar{Q}}_z|$  decays like  $n^{-1}$  for large n. The dependence of the poloidal part of the above  $dP_n/d\Omega_P$  on n for  $|\mathbf{\bar{Q}}_z| \sim n^{-1}$  differs from the corresponding spectrum shown in Fig. 8 only in that its amplitude is reduced and its peak is shifted to a slightly lower value of n.

In the high-frequency regime [where  $J_{n-\Omega/\omega}(n)$  decreases like  $n^{-1/3}$ ], the dominant terms of  $dP_n/d\Omega_P$  in relations (57) and (69) decay according to a power law  $n^{-\alpha}$ : The index  $\alpha$  in this power law has the value 8/3 in the plane of the source's orbit and a value  $\geq 11/3$  outside that plane.

### C. Polarization State of the Emitted Radiation

Having examined the dependence of the emitted radiation on the polar angle  $\theta_P$ , we finally summarize its polarization and indicate how this is related to the direction **s** of the emitting current within the device described in Appendix A. This summary, for cases in which one of the cylindrical components of **s** is appreciably larger than the other components, is shown in Table 2.

### 7. COMPARISON WITH CERENKOV, SYNCHROTRON, AND DIPOLE RADIATION

The features that the above analysis has in common with that of Čerenkov radiation, such as the multivaluedness of the retarded time and the presence of an envelope of wave fronts at which the phases of the radiation integrals are stationary, are easily recognizable. To compare our results with those that are familiar from the analyses of synchrotron and dipole radiation, however, we need to give a brief parallel account of certain features of these more conventional emission processes in the present notation.

The electric current density for a uniformly rotating point source (i.e., the source of synchrotron radiation) is described by

$$\mathbf{j}(r, \,\varphi, \,z, \,t) = q \,\omega \,\delta(r - r_0) \,\delta(\hat{\varphi} - \hat{\varphi}_0) \,\delta(z - z_0) \mathbf{\hat{e}}_{\varphi},$$
(70)

in which the charge q and the coordinates  $(r_0, \hat{\varphi}_0, z_0)$  are all constant. (Recall that  $\hat{\varphi} \equiv \varphi - \omega t$ .)

Insertion of this source density in relation (14) results in

$$\mathbf{E} \simeq q(\omega/c)^2 \int_0^\infty r \mathrm{d}r \int_{-\infty}^{+\infty} \mathrm{d}z \int_{-\pi}^{+\pi} \mathrm{d}\hat{\varphi} \,\,\delta(r - r_0)$$
$$\times \,\,\delta'(\hat{\varphi} - \hat{\varphi}_0) \,\delta(z - z_0) (G_1 \hat{\mathbf{e}}_{\parallel} + (\cos \theta_P) G_2 \hat{\mathbf{e}}_{\perp}),$$
(71)

where  $G_1$  and  $G_2$  are defined by the same integral as that in Eq. (20) with  $\Omega = 0$ . The time dependence of the integrand in relation (71) can once again be expanded into a Fourier series, not because  $\hat{\varphi}$  is limited to an interval of length  $2\pi$  (here  $\hat{\varphi}$  has a single fixed value  $\hat{\varphi}_0$ ) but because the source density is periodic. Evaluation of the trivial integrals with respect to  $(r, \hat{\varphi}, z)$  thus results in the following counterpart of relation (24):

$$\widetilde{\mathbf{E}}_{n} \simeq -in(\omega/c)^{2} q r_{0} [\widetilde{G}_{1} \widehat{\mathbf{e}}_{\parallel} + (\cos \theta_{P}) \widetilde{G}_{2} \widehat{\mathbf{e}}_{\perp}].$$
(72)

The definitions of  $\tilde{G}_1$  and  $\tilde{G}_2$  in this expression differ from those appearing in Eqs. (25) and (26) only in that in them  $\Omega = 0, \Delta \varphi$  is  $(\varphi_P - \pi, \varphi_P + \pi)$ , and  $(r, \hat{\varphi}, z)$  are everywhere replaced by  $(r_0, \hat{\varphi}_0, z_0)$ .

Once the phase function g in these definitions is approximated by its far-field value [relation (30)] and the integrations with respect to  $\varphi$  are performed, we arrive at expressions for  $\tilde{G}_i$  whose limiting values for  $\hat{r}_0 \rightarrow \hat{r}_C$  are identical to the expressions that follow from the far-field versions of relation (61) and Eq. (62) when  $\Omega = 0$ . Insertion of the resulting expressions for  $\tilde{G}_1$  and  $\tilde{G}_2$  in relation (72) then leads to

$$\begin{split} \widetilde{\mathbf{E}}_{n} &\sim inq(\omega/c)^{2}(r_{0}/R_{P}) \\ &\times \exp[in(\hat{\varphi}_{0} - \frac{3}{2}\pi - \hat{R}_{P} + \hat{z}_{0}\cos\theta_{P})] \\ &\times [iJ_{n}'(n\hat{r}_{0}\sin\theta_{P})\hat{\mathbf{e}}_{\parallel} + \hat{r}_{0}^{-1}J_{n}(n\hat{r}_{0}\sin\theta_{P}) \\ &\times (\cot\theta_{P})\hat{\mathbf{e}}_{\perp}], \end{split}$$
(73)

i.e., to the familiar field of synchrotron radiation (see Ref. 21).

The Bessel functions that appear in relation (73) have arguments that are smaller than their orders [as in relation (43)] and so decrease exponentially with increasing n: The speed  $r_0\omega$  of the source is subluminal  $(\hat{r}_0 \equiv r_0\omega/c$ < 1) in the synchrotron process. In contrast, the Bessel functions that appear in the superluminal regime have arguments that could equal or exceed their orders and so oscillate with an amplitude that decreases algebraically, like  $n^{-1/3}$ ,  $n^{-1/2}$ , or  $n^{-2/3}$  [see relation (44) and the paragraph following them]. Were it to exist, a superluminally rotating *point* source would therefore be a much more efficient source of high-frequency radiation than a subluminally rotating one. In fact, as can be more directly seen from an analysis in the time domain,<sup>6,22</sup> the field of a (hypothetical) superluminally moving point source is infinitely strong, i.e., has a divergent value, on the envelope of the wave fronts that emanate from it.

On the other hand, by virtue of being pointlike, the source of synchrotron radiation has a spectrum that already contains all frequencies. Because the spectral distribution of the source in Eq. (70) is independent of frequency, the spectrum of synchrotron radiation is determined solely by the spectral distribution of its Green's function. An extended source is radically different in this respect: The spectral content of an extended source of the same type, i.e., a rotating source with a density  $\mathbf{j} = \mathbf{j}(r, \hat{\varphi}, z)$  whose strength is time independent in its own rest frame, is limited to only those wavelengths that characterize the length scales of its variations in  $\hat{\varphi}$ . Had  $\Omega$  been zero for the source described in Eq. (7), the spectrum of this source, and hence that of the radiation that arose from it, would have contained only the single frequency  $m\omega$ . [What endows the volume source (7) with the broad—albeit rapidly decaying—spectral distribution given in Eq. (9) is a new effect, having to do with centripetal acceleration, which would not come into play unless the source strength varied with time.]

In the subluminal regime, volume-distributed charges and currents are typically weak as sources of radiation: The contributions from their separate volume elements (those more distant from each other than a radiation wavelength) would arrive at the observer with differing phases and so would, as a rule, superpose and interfere destructively. The contributions to the radiation field that arise from the source elements located in the vicinity of a stationary point of the optical distance g, however, are an exception to this rule. In the case of a superluminally moving extended source, where the derivatives of g have zeros, it is possible for the contributions from source elements that are more distant than a wavelength to superpose and interfere constructively. Not only does the radiation described in Section 5 receive contributions from an interval of retarded time that is by a factor of the order of  $n^{2/3}$  longer than its period  $2\pi/(n\omega)$ , but also the source elements that contribute coherently toward this emission occupy r and z intervals that are by the factors  $\sim n^{1/2}$  and  $\sim n$  longer than the wavelength  $2\pi c/(n\omega)$  in the Fresnel and far zones, respectively [see the values of  $Q_r$  and  $Q_z$  found in relations (55) and (56)].

To compare the present results with those that are familiar from the analysis of dipole radiation, we consider a case in which the polarization  $\mathbf{P}$  lies in the *z* direction (i.e.,  $s_r = s_{\varphi} = 0$ ) and  $\Omega/\omega$  is an integer. The only non-zero values of the quantity  $Q_{\hat{\varphi}}$  appearing in Eq. (46) are in this case those that occur when  $n = (\Omega/\omega) \pm m$ , so that the amplitude of the radiation field  $\tilde{\mathbf{E}}_n$  reduces to

$$|\mathbf{\tilde{E}}_{n}| \sim \pi \hat{r}_{P}^{-1} |\mathbf{s}| (\hat{r}_{>} - \hat{r}_{<}) (\hat{z}_{>} - \hat{z}_{<}) n^{2} |J_{n-\Omega/\omega}(n)| \quad (74)$$

for  $n = m + \Omega/\omega$  [see relations (45), (54), and (56)].

The  $\hat{\varphi}$  extent of the contributing part of the source is of the order of a wavelength,  $2\pi c/(n\omega)$ . In terms of the total dipole moment  $\mathbf{p} \equiv (r_> - r_<)(z_> - z_<)[2\pi c/(n\omega)]\mathbf{P}$  of the contributing source, therefore, relation (74) can be written as

$$|\mathbf{\widetilde{E}}_{n}| \sim \frac{1}{2} r_{P}^{-1} (n \,\omega/c)^{2} |n J_{n-\Omega/\omega}(n) \mathbf{p}|.$$
(75)

This differs from the familiar expression for the radiation field of a stationary dipole in the plane normal to its direction<sup>21</sup> by the factor  $|\frac{1}{2}nJ_{n-\Omega/\omega}(n)|$ , a factor that is of the order of  $n^{2/3}$  when  $n \ge 1$ . The difference can clearly be traced to the phasing of the array of oscillating dipoles that constitute the present moving source (cf. Appendix A): The field calculated in Section 5 receives contribu-

tions from an interval of retarded time that exceeds the period  $2\pi/(n\omega)$  of the oscillations of its source by the very same factor.

# 8. EFFICIENCY OF THE RADIATIVE PROCESS

The efficiency of the emission process analyzed in Sections 5 and 6 is essentially independent of the way in which a polarization current with a superluminally rotating distribution pattern is created. Our purpose in this section is to derive a general expression for estimating the radiation efficiency in the high-frequency regime  $n \sim (\Omega/\omega)^3$  and to apply the resulting expression to the particular method of implementing the source density (7) that is described in Appendix A.

If the polarization current density **j** that acts as the source of the present radiation is produced by the influence of an external electric field  $\mathbf{E}_{\text{ext}}$  on a polarizable medium with electric susceptibility  $\chi_e$ , then the power required for maintaining **j** within a volume V would be  $P_{\text{in}} = \int_V (\mathbf{j} \cdot \mathbf{E}_{\text{ext}}) d^3 x$ . The induced polarization **P** is given by  $\chi_e \mathbf{E}_{\text{ext}}$ , so that the polarization current  $\mathbf{j} = \partial \mathbf{P}/\partial t$  would have the magnitude  $|\mathbf{j}| \sim \chi_e \Omega |\mathbf{E}_{\text{ext}}|$ , where  $\Omega$  is the dominant frequency in the spectrum of oscillations of  $\mathbf{E}_{\text{ext}}$  and hence **j**. The input power would therefore be of the order of

$$P_{\rm in} \sim L_r L_{\hat{\varphi}} L_z |\mathbf{j}|^2 / (\chi_e \Omega) \tag{76}$$

in terms of  $|\mathbf{j}|$ , where we have expressed V as the product  $L_r L_{\varphi} L_z$  of the length scales of the source distribution in various directions.

The power that is emitted into the frequency band  $(\Delta n)\omega$  centered at  $n\omega \sim (\Omega/\omega)^2\Omega$  is, according to the analysis in Section 5, given by

$$P_{\rm out} \sim c^{-1} L_r^2 L_z^2 |\mathbf{j}|^2 n^{-2} \Delta n \Delta \Omega_P , \qquad (77)$$

where the solid angle  $\Delta\Omega_P$  is an estimate of the size of the beam that is emitted into the plane of the source's orbit. Note that  $r_> - r_<$ ,  $z_> - z_<$ , and  $\Omega|\mathbf{s}|$  in relation (57) correspond to  $L_r$ ,  $L_z$ , and  $|\mathbf{j}|$ , respectively, and that the high-frequency limit of  $J_n(n)$  has the same order of magnitude as  $(\Omega/\omega)^{-1} \sim n^{-1/3}$ .

The above two expressions for the input and output powers imply that the efficiency of the emission process in question has a value of the order of

$$P_{\rm out}/P_{\rm in} \sim \chi_e(L_r/L_{\hat{\varphi}})(L_z\omega/c)n^{-5/3}\Delta n\Delta\Omega_P,$$
 (78)

in which we have replaced  $\Omega/\omega$  by  $n^{1/3}$ . The interval  $\Delta n$  over which the high-frequency component of the radiation is emitted is of the same order of magnitude as n (cf. Figs. 6–9). But the solid angle  $\Delta \Omega_P$  in this expression is only a small fraction of  $4\pi$  (see below): The estimate in relation (77) is valid only within the distance  $L_z$  of the plane of the source's orbit.

Radiation of frequency  $n\omega \sim (\Omega/\omega)^2 \Omega$  can be detected also outside the plane of the source's orbit. Although the value of  $\Delta \Omega_P$  in the corresponding expression for  $P_{\rm out}/P_{\rm in}$ is of the order of unity when  $\theta_P \neq \pi/2$  (see Section 6), the greater steepness of the spectrum reduces the efficiency in this case: The dependence of  $P_{\rm out}/P_{\rm in}$  on n is by a factor of the order of  $|\mathbf{Q}_z|^2 \sim n^{-2}$  smaller than that found in relation (78) [see relation (69)].

Consider an experimental device, such as that described in Appendix A, that is built with a polarizable medium with the electric susceptibility  $\chi_e \simeq 10$  and electrodes that have the dimensions  $L_r\simeq L_{\hat{arphi}}\simeq 5~{
m cm},~L_z$ If this device is operated with  $\omega/(2\pi)$  $\simeq 1$  cm.  $\simeq 5$  MHz and  $\Omega/(2\pi) \simeq 300$  MHz (the exact value of  $\Omega/\omega$ being different from an integer), then the efficiency with which the radiation of frequency  $n \omega/(2\pi)$  $\sim (\Omega/\omega)^2 \Omega/(2\pi) \simeq 1$  THz is generated by each electrode would be of the order of  $P_{\rm out}/P_{\rm in} \simeq 3 \times 10^{-6} \Delta \Omega_P$ . Note that  $(n/\pi)(L_z \omega/c)^2 \simeq 10^{-1}$  and  $(n/\pi)(L_r \omega/c)^2 \simeq 1$  in this case, so that the boundary between the Fresnel and far zones lies at a distance from the source that is shorter than the radius  $c/\omega \simeq 10^3$  cm of the light cylinder [see relation (55)]. Beyond the Fresnel zone, the radiation that is beamed into the cylindrical region  $z_{<} \leq z_{P} \leq z_{>}$  surrounding the plane of the source's orbit therefore subtends a solid angle that is smaller than  $\Delta \Omega_P \sim L_z \omega/c$  $\sim~10^{-3}\,rad.$ 

### 9. CONCLUDING REMARKS

We have investigated the spectral features of the intense localized electromagnetic waves that are generated by volume polarization currents with superluminally moving distribution patterns. The analysis is based on current practical devices for investigating emission from accelerated superluminal sources<sup>1,4,5</sup>; these devices (Appendix A) produce polarization currents whose distribution patterns both rotate and oscillate, rotating with a constant angular frequency  $\omega$  and oscillating with a frequency  $\Omega > \omega$  that is different from an integral multiple of  $\omega$ . Although the only frequencies entering the production of the emitting currents are  $m\omega$  and  $\Omega$  (see Table 1), we find that the broadband signals from such devices contain frequencies that are higher than the oscillation frequency  $\Omega$  by a factor of the order of  $(\Omega/\omega)^2$ . This does not mean that the linear emission process considered here is capable of generating an output at frequencies that are not carried by its input (the source), thus violating the convolution theorem. What is made possible by this process is to generate radiation of a certain frequency from a source whose creation does not require that frequency. The spectra of such sources do contain the emitted frequencies [see Eq. (9)].

The high frequencies (none of which is required for the practical implementation of the source) stem from the cooperation of the following two effects. The retarded time is a multivalued function of the observation time in the superluminal regime, so that the interval of retarded time during which a particular set of wave fronts is emitted by a volume element of the source can be significantly longer than the interval of observation time during which the same set of wave fronts is received at the observation point. In addition, a remarkable effect of centripetal acceleration is to enrich the spectral content of a rotating volume source, for which  $\Omega/\omega$  is different from an integer, by effectively endowing the distribution of its density with space-time discontinuities. These results are mathematically rigorous consequences of the familiar classical expression for the retarded potential.

The spectral distribution for the emitted radiation is summarized in Figs. 8 and 9, and its possible polarization states are listed in Table 2.

Many features of the radiation that is discussed in the present paper are shared by the high-frequency emissions that would arise from superluminally moving sources with generically different trajectories. An example is a superluminal source that moves along a line with acceleration. In the case of the circularly moving superluminal source considered here, the parameter  $\omega$  determines both the linear velocity of each source element  $(r\omega)$  and its acceleration  $(r\omega^2)$ . For a superluminal source whose distribution pattern moves rectilinearly, on the other hand, velocity (v) and acceleration (a) are two independent parameters.

The emission  $(\delta t)$  and reception  $(\delta t_P)$  time intervals for the waves arising from the source elements that approach the observer with the wave speed and zero acceleration are in the rectilinear case, too, related by a cubic equation:

$$\delta t_P = \frac{1}{2} (a/c)^2 [(v/c)^2 - 1]^{-1} (\delta t)^3 + \cdots,$$
(79)

in which v is the retarded value of the source velocity (see Appendix D of Ref. 6). Once again, therefore, a moving source whose strength fluctuates like  $\cos(\Omega t)$  would generate a field that oscillates with a period different from that of its source: with the period  $[2 \pi a/(c\Omega)]^2[(v/c)^2 - 1]^{-1}(2 \pi/\Omega)$ .

In practice, however, there is a crucial difference between rectilinear and centripetal accelerations. Centripetal acceleration, as we have seen, enriches the spectral content of a rotating volume source at the same time as giving rise to the formation of caustics and so the compression of  $\delta t_P$  relative to  $\delta t$ . In contrast, rectilinear acceleration requires for its implementation the very frequencies that it endows the source with: The range of frequencies with which the amplitude of a linearly accelerated source oscillates at a fixed point on its path (within its distribution) is as wide as the range of frequencies that feature in the Fourier decomposition of its density with respect to time.

The rotating superluminal source described in Eq. (7) can be implemented by an experimentally viable device<sup>1,4</sup> whose construction and operation entail oscillations at only the two frequencies  $m \omega$  and  $\Omega$  (see Appendix A). As a source of radiation at frequencies that cannot be normally generated in the laboratory, except by means of large-scale facilities such as synchrotrons or free-electron lasers, the potential practical significance of such a device is clearly enormous<sup>1</sup>; as we have shown in Section 8, the efficiency is large enough for many spectroscopic applications to be viable.

### APPENDIX A: PRACTICAL IMPLEMENTATION OF THE SOURCE

The purpose of this appendix is to demonstrate that the polarization described in Eq. (7) can be implemented by

an experimentally viable device<sup>1,4</sup> whose construction and operation entail oscillations at only the two frequencies  $m \omega$  and  $\Omega$ .

Consider a circular ring of radius r, made of a dielectric material, with an array of N electrode pairs that are placed beside each other around its circumference (Fig. 10). With a sufficiently large value of N (to be determined below), it would be possible to generate a sinusoidal distribution of polarization along the length of the dielectric by applying a voltage to each pair independently. The distribution pattern of this polarization can then be animated, i.e., set in motion, by energizing the electrodes with time-varying signals. We can synthesize a transverse polarization wave  $\cos[m(\varphi - \omega t)]$  moving around the ring by driving each electrode pair with a sinusoidal signal whose frequency is fixed but whose phase depends on the position of the pair around the ring.

The frequency  $m\omega$  and the wavelength  $2\pi r/m$  of the traveling polarization wave, and hence its speed  $r\omega$ , can be controlled at will by varying the frequency  $m\omega$  with which the electrodes are driven and the phase difference  $2\pi m/N$  between neighboring electrode pairs. Introducing the factor  $\cos(\Omega t)$  of Eq. (7) simply corresponds to mixing a second frequency into the signal, driving the electrodes with a phase that is the same for all electrodes.

To estimate the required value of N, we note that the  $(\varphi, t)$  dependence of the polarization that is thus generated by the discrete set of electrodes described above has the form

$$P(\varphi, t) = \cos(\Omega t) \sum_{k=0}^{N-1} \Pi(k - N\varphi/2\pi)$$
$$\times \cos[m(\omega t - 2\pi k/N)].$$
(A1)

in which  $\Pi(x)$  denotes the rectangle function, a function that is unity when  $|x| < \frac{1}{2}$  and zero when  $|x| > \frac{1}{2}$ . [For any given k, the function  $\Pi(k - N\varphi/2\pi)$  is nonzero only over the interval  $(2k - 1)\pi/N < \varphi < (2k + 1)\pi/N$ .] When the electrodes operate over a time interval exceeding  $2\pi/\omega$ , the generated current is a periodic function of  $\varphi$  for which the range of values of  $\varphi$  correspondingly exceeds the period  $2\pi$ .

The Fourier-series representation of the function  $\Pi(k - N\varphi/2\pi)$  with the period  $2\pi$  is given by

$$\Pi(k - N\varphi/2\pi) = N^{-1} + \sum_{n=1}^{\infty} (n\pi/2)^{-1} \sin(n\pi/N) \cos[n(\varphi - 2\pi k/N)].$$
(A2)

Fig. 10. View of the experimental device (a) from the side and (b) from above, showing an arc of the dielectric medium (lightly shaded regions), its polarized part (darkly shaded region), and the electrode pairs (designated by  $\pm$  where on and by 00 where off).

If we now insert Eq. (A2) in Eq. (A1) and use formula (4.3.32) of Ref. 27 to rewrite the product of the two cosines in the resulting expression as the sum of two cosines, we obtain two infinite series, each involving a single cosine and extending over  $n = 1, 2, ..., \infty$ . These two infinite series can then be combined (by replacing *n* in one of them by -n everywhere and performing the summation over  $n = -1, -2, ..., -\infty$ ) to arrive at

$$P(\varphi, t) = \cos(\Omega t) \sum_{n=-\infty}^{\infty} (n \pi)^{-1} \sin(n \pi/N)$$
$$\times \sum_{k=0}^{N-1} \cos[m \omega t - n \varphi + 2\pi (n - m)k/N],$$
(A3)

in which the order of summations with respect to n and k has been interchanged and the contribution  $N^{-1}$  on the right-hand side of Eq. (A2) has been incorporated into the n = 0 term: The coefficient  $(n \pi)^{-1} \sin(n \pi/N)$  has the value  $N^{-1}$  when n = 0.

The finite sum over k can be evaluated by means of the geometric progression. The result, according to formula (1.341.3) of Ref. 29, is

$$\sum_{k=0}^{N-1} \cos[m\omega t - n\varphi + 2\pi(n-m)k/N]$$
$$= \cos[m\omega t - n\varphi + \pi(n-m)(N-1)/N]$$
$$\times \sin[(n-m)\pi]\csc[(n-m)\pi/N]. \quad (A4)$$

The right-hand side of Eq. (A4) vanishes when (n - m)/N is different from an integer. If n = m + lN, where l is an integer, the above sum would have the value  $N \cos(m\omega t - n\varphi)$ , as can be seen by directly inserting n = m + lN in the left-hand side of Eq. (A4). Performing the summation with respect to k in Eq. (A3), we therefore obtain

$$P(\varphi, t) = (m \pi/N)^{-1} \sin(m \pi/N) \cos(\Omega t)$$

$$\times \left\{ \cos[m(\varphi - \omega t)] + \sum_{l \neq 0} (-1)^{l} (1 + Nl/m)^{-1} + \cos[(Nl + m)\varphi - m\omega t] \right\}, \quad (A5)$$

since only those terms of the infinite series survive for which *n* has the value m + lN with an *l* that ranges over all integers from  $-\infty$  to  $\infty$ .

We have written out the l = 0 term of the series in Eq. (A5) explicitly in order to bring out the following points. The parameter N/m, which signifies the number of electrodes within a wavelength of the source distribution, need not be large for the factor  $(m \pi/N)^{-1} \sin(m \pi/N)$  to be close to unity: This factor equals 0.9 even when N/m is only 4. Moreover, if the traveling polarization wave  $\cos(m\hat{\varphi})$  that is associated with the l = 0 term has a phase speed  $r\omega$  that is only moderately superluminal, the phase speeds  $r\omega/|1 + Nl/m|$  of the waves described by all

the other terms in the series would be subluminal. Not only would these other polarization waves have amplitudes that are by the factor  $|1 + Nl/m|^{-1}$  smaller than that of the fundamental wave associated with l = 0, but also they would generate electromagnetic fields whose characteristics (e.g., the peak frequencies of their spectra) are different from those generated by the superluminally moving polarization wave.

The fundamental (l = 0) component of the polarization current that is created by the present device, therefore, has precisely the same  $(\varphi, t)$  dependence as that which is described in Eq. (7) above. Neither the reduction in its amplitude, which arises from the departure of the value of  $(m \pi/N)^{-1} \sin(m \pi/N)$  from unity, nor the presence of the other lower-amplitude waves that are superposed on it, makes any difference to the fact that the creation of this fundamental component entails only the two frequencies  $m\omega$  and  $\Omega$ . Linearity of the emission process ensures that the radiation generated by an individual term of the series in Eq. (A5) is not in any way affected by those that are generated by the other terms of this series.

For the distribution pattern of the created polarization current to be moving, it is, however, essential that the number of electrodes per wavelength of this pattern, N/m, exceed 2. For N/m = 2, the l = -1 term becomes  $\cos[m(\varphi + \omega t)]$  and so represents a wave that has the same amplitude as, and travels with the same speed in the opposite direction to, the wave represented by the l = 0 term. In this particular case, the fundamental wave is thus turned into a standing wave.

Note, finally, that the speed of light is easily attainable: If N = 100 electrodes on a circle of radius r = 1 m are driven with the frequency  $m\omega = 600$  MHz and the phase difference  $2\pi m/N = 0.125$  rad, then the distribution pattern of the induced polarization current will consist of m = 2 wavelengths of a sinusoidal wave train that moves along the circle with the speed  $r\omega = 3 \times 10^8$  m/s. Moreover, only an arc of such a circularly shaped device is needed for generating the present radiation: The pulse that is received at any given observation point arises almost exclusively from the limited part of the source that approaches the observer with the speed of light and zero acceleration at the retarded time.

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