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Document Interchange Specification

Ecma TC45

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Part 2: Open Packaging Conventions

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Text highlighted like this indicates a placeholder for some TODO action.

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1 Introduction

2 This Standard is Part 2 of a multi-part standard covering Open XML-related technology.

- 3 • Part 1: "Fundamentals"
- 4 • **Part 2: "Open Packaging Conventions" (this document)**
- 5 • Part 3: "Primer"
- 6 • Part 4: "Markup Language Reference"
- 7 • Part 5: "Markup Compatibility"

1. Scope

2 This Standard specifies the structure and functionality of a *package* in terms of a package model and a physical
3 model.

4 The *package model* defines a package abstraction that holds a collection of *parts*. The parts are composed,
5 processed, and persisted according to a set of rules. Parts can have relationships to other parts or external
6 resources, and the package as a whole can have relationships to parts it contains or external resources. The
7 package model specifies how the parts of a package are named and related. Parts have content types and are
8 uniquely identified using the well-defined naming guidelines provided in this Standard.

9 The *physical mapping* defines the mapping of the components of the package model to the features of a specific
10 physical format, namely a ZIP archive.

11 This Standard also describes certain features that might be supported in a package, including *core properties* for
12 package metadata, a *thumbnail* for graphical representation of a package, and *digital signatures* of package
13 contents.

14 Because this Standard will continue to evolve, packages are designed to accommodate extensions and support
15 compatibility goals in a limited way. The versioning and extensibility mechanisms described in Part 4: "Markup
16 Compatibility" support compatibility between software systems based on different versions of this Standard
17 while allowing package creators to make use of new or proprietary features.

18 This Standard specifies requirements for package implementers, producers, and consumers.

2. Conformance to this Standard

Conformance to this Standard is of interest to the following audiences:

- Those designing, implementing, or maintaining Open Packaging Conventions consumers or producers.
- Governmental or commercial entities wishing to procure Open Packaging Conventions consumers or producers.
- Testing organizations wishing to provide an Open Packaging Conventions conformance test suite.
- Programmers wishing to interact programmatically with Open Packaging Conventions consumers or producers.
- Educators wishing to teach about Open Packaging Conventions consumers or producers.
- Authors wanting to write about Open Packaging Conventions consumers or producers.

As such, conformance is most important, and the bulk of this Standard is aimed at specifying the characteristics that make Open Packaging Conventions consumers or producers strictly conforming ones.

Use of the word “shall” indicates required behavior.

The text in this Standard is divided into normative and informative categories. Normative text is further broken into *mandatory* and *optional* subcategories. A mandatory feature shall be implemented as specified by this Standard. An optional feature need not be implemented; however, if it is supported, it shall be implemented as specified by this Standard. Unless stated otherwise, all features are mandatory. The text in this Standard that specifies requirements is considered mandatory. All other text in this specification is informative; that is, for information purposes only.

To conform to this Standard, an implementation shall provide the specified normative elements and meet the criteria of 2.1, A Conforming Implementation.

This Standard does not contain any unspecified behavior.

2.1 A Conforming Implementation

A *strictly conforming consumer or producer* shall use only those features of Open Packaging Conventions specified in this Standard as being mandatory. It shall not act in a manner that is dependent on any unspecified or implementation-defined behavior. A strictly conforming consumer shall accept any valid Open Packaging Conventions package. The Open Packaging Conventions packages generated by a strictly conforming producer shall be valid.

A strictly conforming consumer or producer shall interpret characters in conformance with ISO/IEC 10646-1 as required by the XML 1.0 Standard. A strictly conforming consumer or producer shall accept Unicode source files encoded with either the UTF-8 or UTF-16 encoding forms as required by the XML 1.0 Standard.

- 1 A strictly conforming consumer shall produce at least one diagnostic message if its input package is invalid. A
 2 package is invalid if any of its contents violate any rule of syntax or any negative requirement in this Standard.
- 3 A (non-strictly) *conforming consumer or producer* is one having capabilities that are a superset of those
 4 described in this Standard, provided these capabilities do not alter the behavior that is required by a strictly
 5 conforming consumer or producer. Conforming consumers and producers shall diagnose Open Packaging
 6 Conventions packages containing extensions that are outside the scope of this Standard. However, having done
 7 so, they are permitted to continue to consume or produce such packages.
- 8 A conforming consumer or producer shall be accompanied by a document that defines all implementation-
 9 defined characteristics and all extensions.
- 10 In order for any consumer to be considered conformant, it shall observe the following rules:
- 11 It shall not report errors when processing conforming instances of the documented formats except when forced
 12 to do so by resource exhaustion.
- 13 It should report errors when processing non-conforming instances of the documented formats when doing so
 14 does not pose an undue processing or performance burden.
- 15 In order for any producer to be considered conformant, it shall observe the following rules:
- 16 It shall not generate any new, non-conforming instances of a documented format.
- 17 It shall not introduce any non-conformance when modifying an instance of a documented format.
- 18 Editing applications shall observe all of the above rules.
- 19 Conformance requirements are documented inline in this specification, and each requirement is denoted with a
 20 rule number enclosed in brackets. For convenience, these rules are collected together in Annex J, “Conformance
 21 Requirements”.

22 **2.2 Verbal Forms for the Expression of Provisions**

23 Specific verbal forms are used in the normative clauses of this Standard in order to distinguish among
 24 requirements for compliance, provisions allowing a freedom of choice, and recommendations. Those verbal
 25 forms are prescribed by ISO/IEC Directives, Part 2, “Rules for the structure and drafting of International
 26 Standards.”

27 The following Table 2–1, “Verbal forms” summarizes the prescribed verbal forms and equivalent expressions
 28 used in this Standard.

29 Table 2–1. Verbal forms

| Provision | Verbal form | Alternative expression |
|-----------|-------------|------------------------|
|-----------|-------------|------------------------|

| Provision | Verbal form | Alternative expression |
|--|--------------------------|---|
| A requirement on a producer or consumer, strictly to be followed for compliance to this Standard | shall shall not | is required to is, is to is not permitted is not allowed |
| A permission expressed by the Standard | might need not | is permitted to is allowed is not required to |
| A recommendation expressed by the Standard, it need not be followed | should should not | it is recommended that is recommended |
| A capability or possibility open to a producer or a consumer of the Standard | can cannot | is able to it is possible to is possible |

1 3. Normative References

2 The following normative documents contain provisions, which, through reference in this text, constitute
3 provisions of this Standard. For dated references, subsequent amendments to, or revisions of, any of these
4 publications do not apply. However, parties to agreements based on this Standard are encouraged to investigate
5 the possibility of applying the most recent editions of the normative documents indicated below. For undated
6 references, the latest edition of the normative document referred to applies. Members of ISO and IEC maintain
7 registers of currently valid International Standards.

8 ISO 8601, *Data elements and interchange formats — Information interchange — Representation of dates and*
9 *times*.

10 ISO/IEC 9594-8 *Public-key and attribute certificate frameworks (x.509 Certificate)*.

11 ISO/IEC 10646 (all parts), *Information technology — Universal Multiple-Octet Coded Character Set (UCS)*.

4. Definitions

For the purposes of this Standard, the following definitions apply. Other terms are defined where they appear in italic type. Terms explicitly defined in this Standard are not to be presumed to refer implicitly to similar terms defined elsewhere.

access style — The style in which local access or networked access is conducted. The access styles are as follows: streaming creation, streaming consumption, simultaneous creation and consumption, and direct access consumption.

behavior — External appearance or action.

behavior, implementation-defined — Unspecified behavior where each implementation shall document that behavior, thereby promoting predictability and reproducibility within any given implementation. (This term is sometimes called “application-specific behavior”.)

behavior, unspecified — Behavior where this Standard imposes no requirements.

communication style — The style in which package contents are delivered by a producer or received by a consumer. Communication styles include: random access and sequential delivery.

consumer — A piece of software or a device that reads packages through a package implementer. A consumer is often designed to consume packages only for a specific physical package format.

content type — Describes the content stored in a part. Content types define a media type, a subtype, and an optional set of parameters, as defined in RFC 2616.

Content Types stream — A specially-named stream that defines mappings from part names to content types. The content types stream is not itself a part, and is not URI addressable.

device — A piece of hardware, such as a personal computer, printer, or scanner, that performs a single function or set of functions.

growth hint — A suggested number of bytes to reserve for a part to grow in-place.

Interleaved ordering — The layout style of a physical package where parts are broken into pieces and “mixed-in” with pieces from other parts. When delivered, interleaved packages help improve the performance of the consumer processing the package.

Layout style — The style in which the collection of parts in a physical package is laid out: either simple ordering or interleaved ordering.

- 1 **local access** — The access architecture in which a pipe carries data directly from a producer to a consumer on a
2 single device.
- 3 **Networked access** — The access architecture in which a consumer and the producer communicate over a
4 protocol, such as across a process boundary, or between a server and a desktop computer.
- 5 **Pack URI** — A URI scheme that allows URIs to be used as a uniform mechanism for addressing parts within a
6 package. Pack URIs are used as Base URIs for resolving relative references among parts in a package.
- 7 **Package** — A logical entity that holds a collection of parts.
- 8 **Package Implementer** — Software that implements the physical input-output operations to a package according
9 to the requirements and recommendations of this Standard. A package implementer is used by a producer or
10 consumer to interact with a physical package. A package implementer may be either a stand-alone API or may
11 be an integrated component of a producer, consumer application, or device.
- 12 **Package model** — A package abstraction that holds a collection of parts.
- 13 **Package relationship** — A relationship whose target is a part and whose source is the package as a whole.
14 Package relationships are found in the package relationships part named “/_rels/.rels”.
- 15 **Part** — A stream of bytes with a MIME content type and associated common properties. Typically corresponds
16 to a file [*Example: on a file system end example*], a stream [*Example: in a compound file end example*], or a
17 resource [*Example: in an HTTP URI end example*].
- 18 **Part name** — The path component of a pack URI. Part names are used to refer to a part in the context of a
19 package, typically as part of a URI.
- 20 **Physical model** — A description of the capabilities of a particular physical format.
- 21 **Physical package format** — A specific file format, or other persistence or transport mechanism, that can
22 represent all of the capabilities of a package.
- 23 **Piece** — A portion of a part. Pieces of different parts may be interleaved together. The individual pieces are
24 named using a unique mapping from the part name. Piece name grammar does not conform with part name
25 grammar. Pieces are not addressable in the package model.
- 26 **Pipe** — A communication mechanism that carries data from the producer to the consumer.
- 27 **Producer** — A piece of software or a device that writes packages through a package implementer. A producer is
28 often designed to produce packages according to a particular physical package format specification.
- 29 **Random access** — A style of communication between the producer and the consumer of the package. Random
30 access allows the consumer to reference and obtain data from anywhere within a package.

- 1 **Relationship** —The kind of connection between a source part and a target part in a package. Relationships make
2 the connections between parts directly discoverable without looking at the content in the parts, and without
3 altering the parts themselves. (See also Package Relationships.)
- 4 **Relationships part** — A part containing an XML representation of relationships.
- 5 **Sequential delivery** — A communication style in which all of part n is delivered to a consumer before part n+1.
- 6 **Simple ordering** — A defined ordering for laying out the parts in a package in which, when the package is
7 delivered in a purely linear fashion, all of the bytes for the first part arrive first, then all of the bytes for the
8 second part, and so on.
- 9 **Simultaneous creation and consumption** — A style of communication between a producer and a consumer in
10 highly pipelined environments where streaming creation and streaming consumption occur simultaneously.
- 11 **Stream** — A linearly ordered sequence of bytes.
- 12 **Streaming consumption** — An access style in which parts of a physical package may be processed by a consumer
13 before all of the bits of the package have been delivered through the pipe.
- 14 **Streaming creation** — A generating style in which a producer may dynamically add parts to a package after
15 other parts have been added.
- 16 **Thumbnail** — A small Image that is a graphical representation of a part or the package as a whole.
- 17 **Well-known part** — A part with a well-known relationship, which enables the part to be found without knowing
18 the location of other parts.
- 19 **ZIP Archive** — A ZIP file as defined in the ZIP file format specification. A ZIP archive contains ZIP items.
- 20 **ZIP Item** — A ZIP item is an atomic set of data in a ZIP archive that becomes a file when the archive is
21 uncompressed. When a user unzips a ZIP based package, the user sees an organized set of files and folders.

5. Notational Conventions

5.1 Document Conventions

Except where otherwise noted, syntax descriptions are expressed in the ABNF format as defined in RFC 4234.

Glossary terms are formatted like *this*.

Syntax descriptions and code are formatted in monospace type.

Attributes names are formatted in Arial type.

Attribute values are formatted in Lucida Console type.

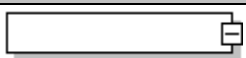

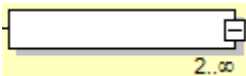


Elements are formatted in Arial type.


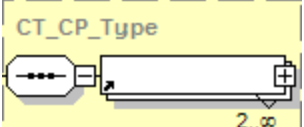
Examples are delimited by [*Example: ... end example*] Examples are informative.

Notes are delimited by [*Note: ... end note*] Notes are informative.

5.2 Diagram Notes

In some cases, markup semantics are described using diagrams. The diagrams place the parent element on the left, with attributes and child elements to the right. The symbols are described below.

| Symbol | Description |
|---|--|
|  | Required element: This box represents an element that shall appear exactly once in markup when the parent element is included. The "+" and "-" symbols on the right of these boxes have no semantic meaning. |
|  | Optional element: This box represents an element that shall appear zero or one times in markup when the parent element is included. |
|  | Range indicator: These numbers indicate that the designated element or choice of elements can appear in markup any number of times within the range specified. |
|  | Attribute group: This box indicates that the enclosed boxes are each attributes of the parent element. Solid-border boxes are required attributes; dashed-border boxes are optional attributes. |
|  | Sequence symbol: The element boxes connected to this symbol shall appear in markup in the illustrated sequence only, from top to bottom. |

| Symbol | Description |
|---|--|
|  | <p>Choice symbol: Only one of the element boxes connected to this symbol shall appear in markup.</p> |
|  | <p>Type indicator: The elements within the dashed box are of the complex type indicated.</p> |

1 6. Acronyms and Abbreviations

2 **This clause is informative.**

3 The following acronyms and abbreviations are used throughout this Standard

4 IEC — the International Electrotechnical Commission

5 ISO — the International Organization for Standardization

6 W3C — World Wide Web Consortium

7 **End of informative text.**

7. General Description

This Standard is intended for use by implementers, academics, and application programmers. As such, it contains a considerable amount of explanatory material that, strictly speaking, is not necessary in a formal language specification.

This Standard is divided into the following subdivisions:

1. Front matter (clauses 1–7);
2. Overview and introductory material (clause 8);
3. Main body (clauses 9–13);
4. Annexes

Examples are provided to illustrate possible forms of the constructions described. References are used to refer to related clauses. Notes are provided to give advice or guidance to implementers or programmers. Annexes provide additional information and summarize the information contained in this Standard.

The following form the normative part of this Standard:

- Clauses 1, "Scope," through 5, "Notational Conventions;" 7, "General Description;" and 9, "Package Model," through 13, "Digital Signatures"
- Annex A, "Resolving Unicode Strings to Part Names," through Annex H, "Standard Namespaces and Content Types"

The following form the informative part of this Standard:

- Introduction
- Clauses 6, "Acronyms and Abbreviations," and 8, "Overview"
- Annex I, "Physical Model Design Considerations," and Annex J, "Conformance Requirements"
- All notes
- All examples
- The bibliography

Whole clauses and annexes that are informative are identified as such. Informative text that is contained within normative text is identified as either an example, or a note as specified in 5.1, "Document Conventions."

1 8. Overview

2 **This clause is informative.**

3 This specification describes an abstract model and physical format conventions for the use of XML, Unicode, ZIP,
4 and other openly available technologies and specifications to organize the content and resources of a document
5 within a package. It is intended to support the content types and organization for various applications and is
6 written for developers who are building systems that process package content.

7 In addition, this specification defines common services that can be included in a package, such as Core
8 Properties and Digital Signatures.

9 A primary goal is to ensure the interoperability of independently created software and hardware systems that
10 produce or consume package content and use common services. This specification defines the formal
11 requirements that producers and consumers shall satisfy in order to achieve interoperability.

12 Various XML-based building blocks within a package make use of the conventions described in Part 5: "Markup
13 Compatibility" to facilitate future enhancement and extension of XML markup. That specification shall be
14 explicitly cited by any markup specification that bases its versioning and extensibility strategy on Markup
15 Compatibility elements and attributes.

16 **End of informative text.**

9. Package Model

A *package* is a logical entity that holds a collection of parts. The purpose of the package is to aggregate all of the pieces of a document (or other type of content) into a single object. [Example: A package holding a document with a picture might contain two parts: an XML markup part representing the document and another part representing the picture. end example] The package is also capable of storing relationships between parts.

The package provides a convenient way to distribute documents with all of their component pieces, such as images, fonts, and data. Although this specification defines a single-file package format, the package model allows for the future definition of other physical package representations. [Example: A package could be physically represented in a collection of loose files, in a database, or ephemerally in transit over a network connection. end example]

This specification also defines a URI scheme, the *pack URI*, that allows URIs to be used as a uniform mechanism for addressing parts within a package.

9.1 Parts

A *part* is a stream of bytes with the properties listed in Table 9–1. A *stream* is a linearly ordered sequence of bytes. Parts are analogous to a file in a file system or to a resource on an HTTP server.

Table 9–1. Part properties

| Name | Description | Required/Optional |
|--------------|--|---|
| Name | The name of the part | Required. The package implementer shall require a part name. [M1.1] |
| Content Type | The type of content stored in the part | Required. The package implementer shall require a content type and the format designer shall specify the content type. [M1.2] |
| Growth Hint | A suggested number of bytes to reserve for the part to grow in-place | Optional. The package implementer might allow a growth hint to be provided by a producer. [O1.1] |

9.1.1 Part Names

Each part has a name. *Part names* refer to parts within a package. [Example: The part name `"/hello/world/doc.xml"` contains three segments: `"hello"`, `"world"`, and `"doc.xml"`. The first two segments in the sample represent levels in the logical hierarchy and serve to organize the parts of the package, whereas the

1 third contains actual content. Note that segments are not explicitly represented as folders in the package model,
2 and no directory of folders exists in the package model. *end example]*

3 9.1.1.1 Part Name Syntax

4 The part name grammar is defined as follows:

```
5 part_name = 1*( "/" segment )
6 segment   = 1*( pchar )
```

7 pchar is defined in RFC 3986.

8 The part name grammar implies the following constraints. The package implementer shall neither create any
9 part that violates these constraints nor retrieve any data from a package as a part if the purported part name
10 violates these constraints.

- 11 • A part name shall not be empty. [M1.1]
- 12 • A part name shall not have empty segments. [M1.3]
- 13 • A part name shall start with a forward slash ("/") character. [M1.4]
- 14 • A part name shall not have a forward slash as the last character. [M1.5]
- 15 • A segment shall not hold any characters other than pchar characters. [M1.6]

16 Part segments have the following additional constraints. The package implementer shall neither create any part
17 with a part name comprised of a segment that violates these constraints nor retrieve any data from a package as
18 a part if the purported part name contains a segment that violates these constraints.

- 19 • A segment shall not contain percent-encoded forward slash ("/"), or backward slash ("\") characters.
20 [M1.7]
- 21 • A segment shall not contain percent-encoded unreserved characters. [M1.8]
- 22 • A segment shall not end with a dot (".") character. [M1.9]
- 23 • A segment shall include at least one non-dot character. [M1.10]

24 *[Example:*

25 Example 9–1. A part name

```
26 /a/%D1%86.xml
```

27 *end example]*

28 9.1.1.2 Part Naming

29 A package implementer shall neither create nor recognize a part with a part name derived from another part
30 name by appending segments to it. [M1.11] *[Example:* If a package contains a part named
31 *"/segment1/segment2/.../segmentn"*, then other parts in that package shall not have names such as:
32 *"/segment1"*, *"segment1/segment2"*, or *"/segment1/segment2/.../segmentn-1"*. *end example]*

9.1.1.3 Part Name Equivalence

Part name equivalence is determined by comparing part names as case-insensitive ASCII strings. Packages shall not contain equivalent part names and package implementers shall neither create nor recognize packages with equivalent part names. [M1.12]

9.1.2 Content Types

Every part has a *content type*, which identifies the type of content that is stored in the part. Content types define a media type, a subtype, and an optional set of parameters. Package implementers shall only create and only recognize parts with a content type; format designers shall specify a content type for each part included in the format. Content types for package parts shall fit the definition and syntax for media types as specified in RFC 2616, §3.7. [M1.13] This definition is as follows:

```
media-type = type "/" subtype *( ";" parameter )
```

where parameter is expressed as

```
attribute "=" value
```

The type, subtype, and parameter attribute names are case-insensitive. Parameter values may be case-sensitive, depending on the semantics of the parameter attribute name.

Content types shall not use linear white space either between the type and subtype or between an attribute and its value. Content types also shall not have leading or trailing white spaces. Package implementers shall create only such content types and shall require such content types when retrieving a part from a package; format designers shall specify only such content types for inclusion in the format. [M1.14]

The package implementer shall require a content type that does not include comments and the format designer shall specify such a content type. [M1.15]

Format designers might restrict the usage of parameters for content types. [O1.2]

Content types for package-specific parts are defined in Annex H, “Standard Namespaces and Content Types.”

9.1.3 Growth Hint

Sometimes a part is modified after it is placed in a package. Depending on the nature of the modification, the part might need to grow. For some physical package formats, this could be an expensive operation and could damage an otherwise efficiently interleaved package. Ideally, the part should be allowed to grow in-place, moving as few bytes as possible.

To support these scenarios, a package implementer can associate a growth hint with a part. [O1.1] The *growth hint* identifies the number of bytes by which the producer predicts that the part will grow. In a mapping to a particular physical format, this information might be used to reserve space to allow the part to grow in-place. This number serves as a hint only. The package implementer might ignore the growth hint or adhere only loosely to it when specifying the physical mapping. [O1.3] If the package implementer specifies a growth hint, it is set

1 when a part is created and the package implementer shall not change the growth hint after the part has been
2 created. [M1.16]

3 9.1.4 XML Usage

4 All XML content of the parts defined in this specification shall conform to the following validation rules:

- 5 1. XML content shall be encoded using either UTF-8 or UTF-16. If any part includes an encoding declaration
6 (as defined in §4.3.3 of the XML specification), that declaration shall not name any encoding other than
7 UTF-8 or UTF-16. Package implementers shall enforce this requirement upon creation and retrieval of
8 the XML content. [M1.17]
- 9 2. The XML 1.0 specification allows for the usage of Data Type Definitions (DTDs), which enable Denial of
10 Service attacks, typically through the use of an internal entity expansion technique. As mitigation for this
11 potential threat, DTD content shall not be used in the XML markup defined in this specification. Package
12 implementers shall enforce this requirement upon creation and retrieval of the XML content and shall
13 treat the presence of DTD content as an error. [M1.18]
- 14 3. If the XML content contains the Markup Compatibility namespace, as described in Part 4: "Markup
15 Compatibility", it shall be processed by the package implementer to remove Markup Compatibility
16 elements and attributes and ignorable namespaces before applying further validation rules below.
17 [M1.19]
- 18 4. XML content shall be valid according to the corresponding XSD schema defined in this specification. In
19 particular, the XML content shall not contain namespaces that are not explicitly defined in the
20 corresponding XSD unless the XSD allows any namespace to be present in particular locations in the XML
21 markup. Package implementers shall enforce this requirement upon creation and retrieval of the XML
22 content. [M1.20]
- 23 5. XML content shall not contain elements or attributes drawn from "xml" or "xsi" namespaces unless they
24 are explicitly defined in the XSD schema or by other means described in the specification. Package
25 implementers shall enforce this requirement upon creation and retrieval of the XML content. [M1.21]

26 9.2 Part Addressing

27 Parts often contain references to other parts. *[Example: A package might contain two parts: an XML markup file
28 and an image. The markup file holds a reference to the image so that when the markup file is processed, the
29 associated image can be identified and located. end example.]*

30 9.2.1 Relative References

31 The terms *base URI* and *relative reference* are used in accordance with RFC 3986.

32 A relative reference is expressed so that the address of the referenced part is determined relative to the part
33 containing the reference.

34 Relative references from a part are interpreted relative to the base URI of that part. By default, the base URI of a
35 part is derived from the name of the part, as defined in §B.3, "Composing a Pack URI".

1 If the format designer permits it, parts can contain Unicode strings representing references to other parts. If
2 allowed by the format designer, format producers can create such parts and format consumers shall consume
3 them. [O1.4] In particular, XML markup might contain Unicode strings referencing other parts as a value of the
4 `xsd:anyURI` data type. Format consumers shall convert these Unicode strings to URIs, as defined in Annex A,
5 “Resolving Unicode Strings to Part Names,” before resolving them relative to the base URI of the part containing
6 the Unicode string. [M1.23]

7 Some types of content provide a way to override the default base URI by specifying a different base in the
8 content. [*Example: XML Base or HTML end example*]. In the presence of one of these overrides, format
9 consumers shall use the specified base URI instead of the default. [M1.24]

10 [*Example:*

11 Example 9–2. Part names and relative references

12 A package includes parts with the following names:

- 13 • `/markup/page.xml`
- 14 • `/images/picture.jpg`
- 15 • `/images/other_picture.jpg`

16 If `/markup/page.xml` contains a reference to `../images/picture.jpg`, then this reference is interpreted as referring
17 to the part name `/images/picture.jpg`.

18 *end example*]

19 9.2.2 Fragments

20 Sometimes it is useful to address a portion of or a specific point in a part. In URIs, a fragment identifier is used
21 for this purpose. (See RFC 3986.)

22 [*Example: In an XML part a fragment identifier might identify a portion of the XML content using an XPath
23 expression. end example*]

24 9.3 Relationships

25 Parts often contain references to other parts in the package and to resources outside of the package. In general,
26 these references are represented inside the referring part in ways that are specific to the content type of the
27 part, that is, in arbitrary markup or an application-specific encoding. This effectively hides the internal and
28 external links between parts from consumers that do not understand the content types of the parts containing
29 such references.

30 The package introduces a higher-level mechanism to describe references from parts to other internal or external
31 resources: relationships. *Relationships* represent the type of connection between a source part and a target
32 resource. They make the connection directly discoverable without looking at the part contents, so they are
33 independent of content-specific schemas and quick to resolve.

1 Relationships provide a second important function: relating parts without modifying their content. Sometimes
2 relationships act as a label where the content type of the labeled part does not define a way to attach the given
3 information. Some scenarios require information to be attached to an existing part without modifying that part,
4 either because the part is encrypted and cannot be decrypted, or because it is digitally signed and changing it
5 would invalidate the signature.

6 9.3.1 Relationships Part

7 Relationships are represented by XML stored in a *Relationships part*. The Relationships part is URI-addressable
8 and it can be opened, read, and deleted. The Relationships part shall not have relationships to any other part.
9 Package implementers shall enforce this requirement upon the attempt to create such a relationship and shall
10 treat any such relationship as invalid. [M1.25]

11 The content type of the Relationships part is defined in Annex H, "Standard Namespaces and Content Types".

12 9.3.2 Package Relationships

13 A relationship whose source is a package as a whole is known as a *package relationship*. Package relationships
14 are used to identify the "starting" parts in a package for a given context. This method avoids relying on naming
15 conventions for finding parts in a package.

16 9.3.3 Relationship Markup

17 Relationships are represented using <Relationship> elements nested in a single <Relationships> element.
18 These elements are defined in the Relationships namespace, as specified in Annex H, "Standard Namespaces
19 and Content Types". The schema for relationships is described in Annex D, "Relationships Schema."

20 The package implementer shall require that every <Relationship> element has an Id attribute, the value of
21 which is unique within the Relationships part, and that the Id type is xsd:ID, the value of which conforms to the
22 naming restrictions for xsd:ID as described in the W3C Recommendation "XML Schema Part 2: Datatypes."
23 [M1.26]

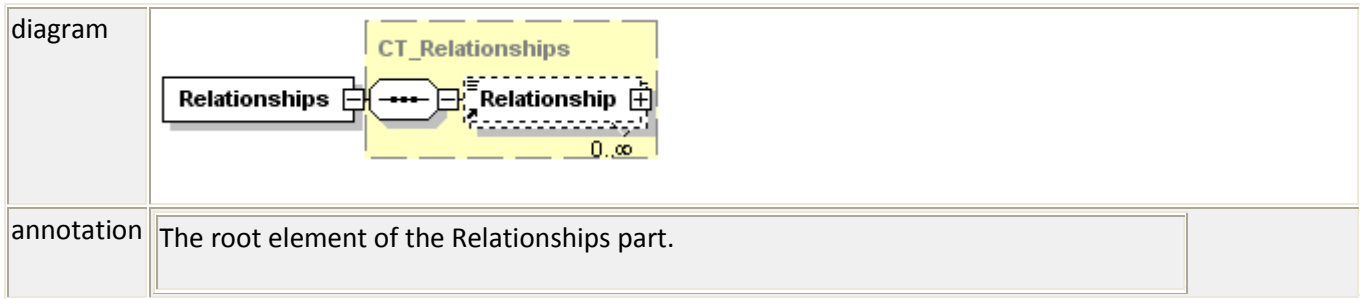
24 The nature of a <Relationship> element is identified by the Type attribute. Relationship Type is defined in the
25 same way that namespaces are defined for XML namespaces. By using types patterned after the Internet
26 domain-name space, non-coordinating parties can safely create non-conflicting relationship types.

27 Relationship types can be compared to determine whether two <Relationship> elements are of the same type.
28 This comparison is conducted in the same way as when comparing URIs that identify XML namespaces: the two
29 URIs are treated as strings and considered identical if and only if the strings have the same sequence of
30 characters. The comparison is case-sensitive and no escaping is done or undone.

31 The Target attribute of the <Relationship> element holds a URI that points to a target resource. Where the URI
32 is expressed as a relative reference, it is resolved against the base URI of the Relationships source part. The
33 xml:base attribute shall not be used to specify a base URI for relationship XML content.

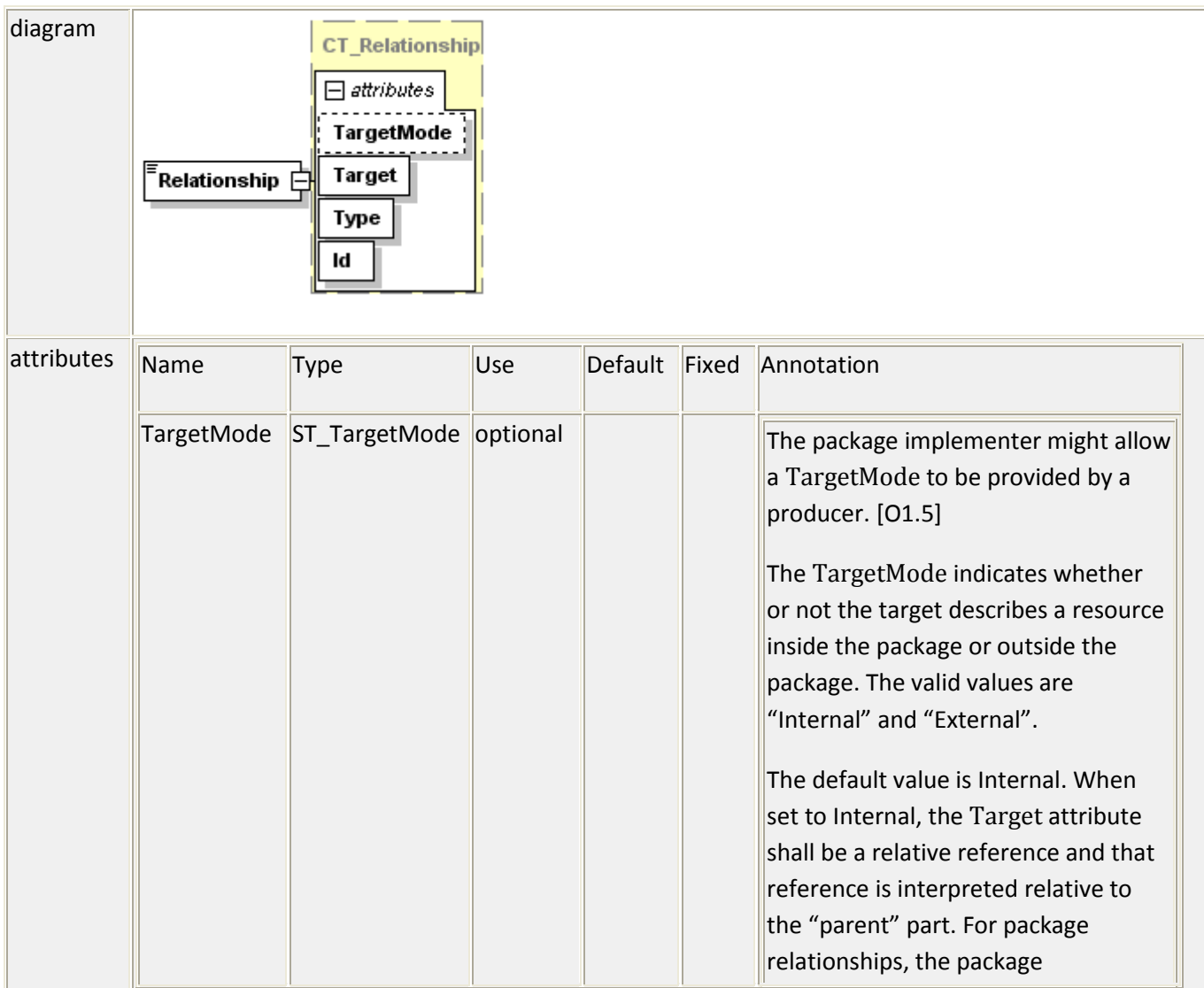
1 9.3.3.1 <Relationships>Element

2 The structure of a <Relationships> element is shown in the following diagram:



3 9.3.3.2 <Relationship>Element

4 The structure of a <Relationship> element is shown in the following diagram:



| | | | | | |
|------------|-----------------------------------|------------|----------|--|--|
| | | | | | <p>implementer shall resolve relative references in the Target attribute against the pack URI that identifies the entire package resource. [M1.29] For more information, see Annex B, “Pack URI.”</p> <p>When set to External, the Target attribute may be a relative reference or a URI. If the Target attribute is a relative reference, then that reference is interpreted relative to the location of the package.</p> |
| | Target | xsd:anyURI | required | | <p>The package implementer shall require the Target attribute to be a URI reference pointing to a target resource. The URI reference shall be a URI or a relative reference. [M1.28]</p> |
| | Type | xsd:anyURI | required | | <p>The package implementer shall require the Type attribute to be a URI that defines the role of the relationship and the format designer shall specify such a Type. [M1.27]</p> |
| | Id | xsd:ID | required | | <p>The package implementer shall require a valid XML identifier. [M1.26] The Id type is xsd:ID and it shall conform to the naming restrictions for xsd:ID as specified in the W3C Recommendation “XML Schema Part 2: Datatypes.” The value of the Id attribute shall be unique within the Relationships part.</p> |
| annotation | Represents a single relationship. | | | | |

1 A format designer might allow fragment identifiers in the value of the Target attribute of the <Relationship>
 2 element. [O1.6] If a fragment identifier is allowed in the Target attribute of the <Relationship> element, a
 3 package implementer shall not resolve the URI to a scope less than an entire part. [M1.32]

4 9.3.4 Representing Relationships

5 Relationships are represented in XML in a Relationships part. Each part in the package that is the source of one
 6 or more relationships can have an associated Relationships part. This part holds the list of relationships for the
 7 source part. For more information on the Relationships namespace and relationship types, see Annex H,
 8 “Standard Namespaces and Content Types.”

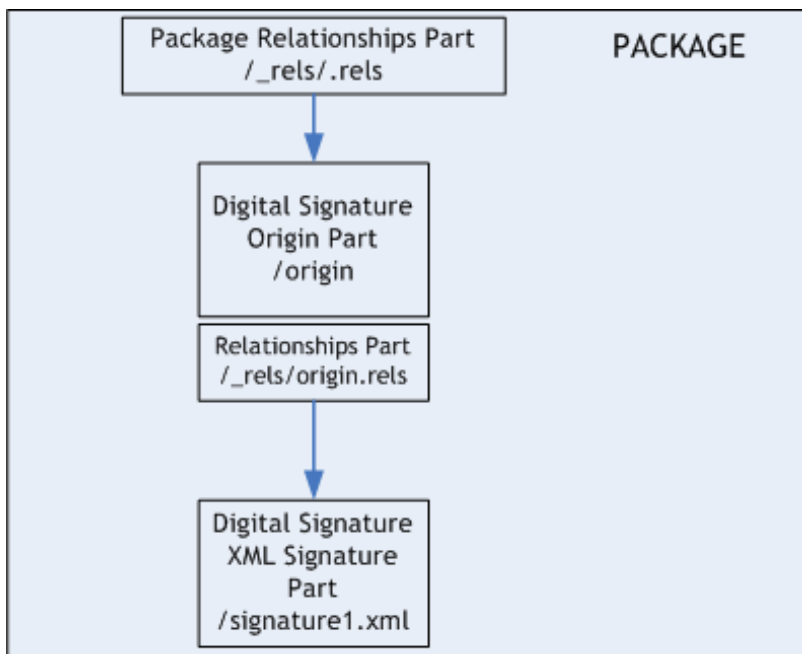
9 A special naming convention is used for the Relationships part. First, the Relationships part for a part in a given
 10 folder in the name hierarchy is stored in a sub-folder called “_rels”. Second, the name of the Relationships part
 11 is formed by appending “.rels” to the name of the original part. Package relationships are found in the package
 12 relationships part named “/_rels/.rels”.

13 The package implementer shall name relationship parts according to the special relationships part naming
 14 convention and require that parts with names that conform to this naming convention have the content type for
 15 a Relationships part. [M1.30]

16 *[Example:*

17 Example 9–3. Sample relationships and associated markup

18 The figure below shows a Digital Signature Origin part and a Digital Signature XML Signature part. The Digital
 19 Signature Origin part is targeted by a package relationship. The connection from the Digital Signature Origin to
 20 the Digital Signature XML Signature part is represented by a relationship.



21

1 The relationship targeting the Digital Signature Origin part is stored in `/_rels/.rels` and the relationship for the
 2 Digital Signature XML Signature part is stored in `/_rels/origin.rels`.

3 The Relationships part associated with the Digital Signature Origin contains a relationship that connects the
 4 Digital Signature Origin part to the Digital Signature XML Signature part. This relationship is expressed as follows:

```
5 <Relationships
6   xmlns="http://schemas.openxmlformats.org/package/2006/relationships">
7   <Relationship
8     Target="./Signature.xml"
9     Id="A5FFC797514BC"
10    Type="http://schemas.openxmlformats.org/package/2006/relationships/
11      digital-signature/signature"/>
12 </Relationships>
```

13 *end example]*

14 *[Example:*

15 Example 9–4. Targeting resources

16 Relationships can target resources outside of the package at an absolute location and resources located relative
 17 to the current location of the package. The following Relationships part specifies relationships that connect a
 18 part to `pic1.jpg` at an external absolute location, and to `my_house.jpg` at an external location relative to the
 19 location of the package:

```
20 <Relationships
21   xmlns="http://schemas.openxmlformats.org/package/2006/relationships"
22   <Relationship
23     TargetMode="External"
24     Id="A9EFC627517BC"
25     Target="http://www.custom.com/images/pic1.jpg"
26     Type="http://www.custom.com/external-resource"/>
27   <Relationship
28     TargetMode="External"
29     Id="A5EFC797514BC"
30     Target="./images/my_house.jpg"
31     Type="http://www.custom.com/external-resource"/>
32 </Relationships>
```

33 *end example]*

34 *[Example:*

35 Example 9–5. Re-using attribute values

36 The following Relationships part contains two relationships, each using unique Id values. The relationships share
 37 the same Target.

```
38 <Relationships
```

```
1      xmlns="http://schemas.openxmlformats.org/package/2006/relationships">
2      <Relationship
3          Target="./Signature.xml"
4          Id="A5FFC797514BC"
5          Type="http://schemas.openxmlformats.org/package/2006/
6              relationships/digital-signature/signature"/>
7      <Relationship
8          Target="./Signature.xml"
9          Id="B5F32797CC4B7"
10         Type="http://www.custom.com/internal-resource"/>
11 </Relationships>
```

12 *end example]*

13 **9.3.5 Support for Versioning and Extensibility**

14 Producers might generate relationship markup that uses the versioning and extensibility mechanisms defined in
15 Part 5: "Markup Compatibility" to incorporate elements and attributes drawn from other XML namespaces.
16 [O1.7]

17 Consumers shall process relationship markup in a manner that conforms to Part 5: "Markup Compatibility".
18 Producers editing relationships based on this version of the relationship markup specification shall not preserve
19 any ignored content, regardless of the presence of any preservation attributes as defined in Part 5: "Markup
20 Compatibility". [M1.31]

10. Physical Package

In contrast to the package model that describes the contents of a package in an abstract way, the physical package refers to a package that is stored in a particular physical file format. This includes the physical model and physical mapping considerations.

The *physical model* abstractly describes the capabilities of a particular physical format and how producers and consumers can use a package implementer to interact with that physical package format. The physical model includes the *access style*, or the manner in which package input-output is conducted, as well as the *communication style*, which describes the method of interaction between producers and consumers across a communications *pipe*. The physical model also includes the *layout style*, or how part contents are physically stored within the package. The layout style can either be *simple ordering*, where the parts are arranged contiguously as atomic blocks of data, or *interleaved ordering*, where the parts are broken into individual pieces and the pieces are stored as interleaved blocks of data in an optimized fashion. The performance of a physical package design is reliant upon the physical model capabilities.

[Note: See Annex I, “Physical Model Design Considerations” for additional discussion of the physical model. *end note*]

Physical mappings describe the manner in which the package contents are mapped to the features of that specific physical format. Details of how package components are mapped are described, as well as common mapping patterns and mechanisms for storing part content types. This Standard describes both the specific considerations for physical mapping to a ZIP archive as well as generic physical mapping considerations applicable to any physical package format.

10.1 Physical Mapping Guidelines

Whereas the package model defines a package abstraction, an *instance* of a package must be based on a physical representation. A *physical package format* is a mapping from the components of the packaging model to the features of a particular physical format.

Producers and consumers use a combination of access styles, layout styles, and communication styles. At least one style from each category is used.

Many physical package formats have features that partially match the packaging model components. In defining mappings from the package model to a storage format, it is advisable to take advantage of any similarities in capabilities between the package model and the physical package medium while using layers of mapping to provide additional capabilities not inherently present in the physical package medium. [Example: Some physical package formats store parts as individual files in a file system, in which case it is advantageous to map many part names directly to identical physical file names. *end example*]

1 *[Note: Part names using characters that are not valid for the file system require an escaping mechanism. end*
 2 *note]*

3 Designers of physical package formats face some common mapping problems. *[Example: Associating arbitrary*
 4 *content types with parts and supporting part interleaving end example]* Package implementers might use the
 5 common mapping solutions defined in this Standard. [O2.3]

6 **10.1.1 Mapped Components**

7 The package implementer shall define a physical package format with a mapping for the required components
 8 package, part name, part content type and part contents. [M2.2] Not all physical package formats support the
 9 part growth hint.

10 Table 10–1. Mapped components

| Name | Description | Required/Optional |
|-------------------|---|--|
| Package | URI-addressable resource that identifies package as a whole unit | Required. The package implementer shall provide a physical mapping for the package. [M2.2] |
| Part name | Names a part | Required. The package implementer shall provide a physical mapping for each part's name. [M2.2] |
| Part content type | Identifies the kind of content stored in the part | Required. The package implementer shall provide a physical mapping for each part's content type. [M2.2] |
| Part contents | Stores the actual content of the part | Required. The package implementer shall provide a physical mapping for each part's contents. [M2.2] |
| Part growth hint | Number of additional bytes to reserve for possible growth of part | Optional. The package implementer might provide a physical mapping for a growth hint that might be specified by a producer. [O2.2] |

11 **10.1.2 Mapping Content Types**

12 Methods for mapping part content types to a physical format are described below.

13 **10.1.2.1 Identifying the Part Content Type**

14 The package implementer shall define a physical package format mapping with a mechanism for associating
 15 content types with parts. [M2.3]

16 Some physical package formats have a native mechanism for representing content types. *[Example: the content*
 17 *type header in MIME end example]* For such packages, the package implementer should use the native
 18 mechanism to map the content type for a part. [S2.1]

1 For all other physical package formats, the package implementer should include a specially-named XML stream
2 in the package called the *Content Types stream*. [S2.2] The Content Types stream shall not be mapped to a part
3 by the package implementer. [M2.1] This stream is therefore not URI-addressable. However, it can be
4 interleaved in the physical package using the same mechanisms used for interleaving parts.

5 10.1.2.2 Content Types Stream Markup

6 The Content Types stream identifies the content type for each package part. The Content Types stream contains
7 XML with a top-level <Types> element, and one or more <Default> and <Override> child elements. <Default>
8 elements define default mappings from the extensions of part names to content types. <Override> elements
9 are used to specify content types on parts that are not covered by, or are not consistent with, the default
10 mappings. Package producers can use pre-defined <Default> elements to reduce the number of <Override>
11 elements on a part, but are not required to do so. [O2.4]

12 The package implementer shall require that the Content Types stream contain one of the following for every
13 part in the package:

- 14 • One matching <Default> element
- 15 • One matching <Override> element
- 16 • Both a matching <Default> element and a matching <Override> element, in which case the <Override>
17 element takes precedence. [M2.4]

18 The package implementer shall require that there not be more than one <Default> element for any given
19 extension, and there not be more than one <Override> element for any given part name. [M2.5]

20 The order of <Default> and <Override> elements in the Content Types stream is not significant.

21 If the package is intended for streaming consumption:

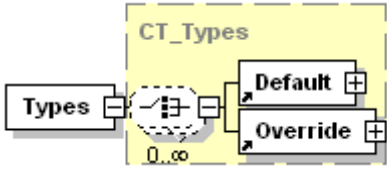
- 22 • The package implementer should not allow <Default> elements; as a consequence, there should be one
23 <Override> element for each part in the package.
- 24 • The format producer should write the <Override> elements to the package so they appear before the
25 parts to which they correspond, or in close proximity to the part to which they correspond.

26 [S2.3]

27 The package implementer can define <Default> content type mappings even though no parts use them. [O2.5]

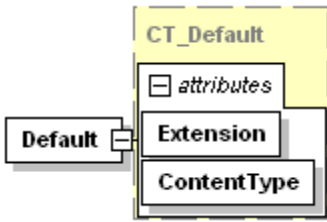
28 10.1.2.2.1 <Types>Element

29 The structure of a <Types> element is shown in the following diagram:

| | |
|------------|--|
| diagram |  <p>The diagram shows a box labeled 'CT_Types' containing three elements: 'Types', 'Default', and 'Override'. 'Types' is connected to 'Default' and 'Override' with a multiplicity of '0..∞'.</p> |
| annotation | The root element of the Content Types stream. |

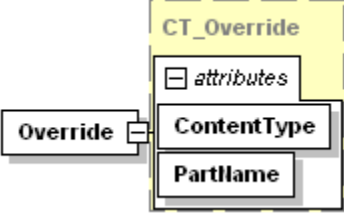
1 10.1.2.2.2 <Default>Element

2 The structure of a <Default> element is shown in the following diagram:

| | | | | | | |
|------------|---|----------------|----------|---------|-------|---|
| diagram |  <p>The diagram shows a box labeled 'CT_Default' containing three elements: 'attributes', 'Extension', and 'ContentType'. 'Default' is connected to 'Extension' and 'ContentType'.</p> | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | Extension | ST_Extension | required | | | A part name extension. A <Default> element matches any part whose name ends with a period followed by the value of this attribute. The package implementer shall require a non-empty extension in a <Default> element. [M2.6] |
| | ContentType | ST_ContentType | required | | | A content type as defined in RFC 2616. Indicates the content type of any matching parts (unless overridden). The package implementer shall require a content type in a <Default> element and the format designer shall specify the content type. [M2.6] |
| annotation | Defines default mappings from the extensions of part names to content types. | | | | | |

3 10.1.2.2.3 <Override>Element

4 The structure of an <Override> element is shown in the following diagram:

| diagram |  | | | | | | | | | | | | | | | | | | | | | | | |
|-------------|--|----------|---------|-------|--|-------|------------|-------------|----------------|----------|--|--|--|----------|-----------|----------|--|--|---|--|--|--|--|--|
| attributes | <table border="1"> <thead> <tr> <th>Name</th> <th>Type</th> <th>Use</th> <th>Default</th> <th>Fixed</th> <th>Annotation</th> </tr> </thead> <tbody> <tr> <td data-bbox="285 558 459 890">ContentType</td> <td data-bbox="467 558 672 890">ST_ContentType</td> <td data-bbox="680 558 797 890">required</td> <td data-bbox="805 558 911 890"></td> <td data-bbox="919 558 997 890"></td> <td data-bbox="1005 558 1477 890">A content type as defined in RFC 2616. Indicates the content type of the matching part. The package implementer shall require a content type and the format designer shall specify the content type in an <Override> element. [M2.7]</td> </tr> <tr> <td data-bbox="285 898 459 1150">PartName</td> <td data-bbox="467 898 672 1150">xs:anyURI</td> <td data-bbox="680 898 797 1150">required</td> <td data-bbox="805 898 911 1150"></td> <td data-bbox="919 898 997 1150"></td> <td data-bbox="1005 898 1477 1150">A part name. An <Override> element matches the part whose name is equal to the value of this attribute. The package implementer shall require a part name. [M2.7]</td> </tr> </tbody> </table> | Name | Type | Use | Default | Fixed | Annotation | ContentType | ST_ContentType | required | | | A content type as defined in RFC 2616. Indicates the content type of the matching part. The package implementer shall require a content type and the format designer shall specify the content type in an <Override> element. [M2.7] | PartName | xs:anyURI | required | | | A part name. An <Override> element matches the part whose name is equal to the value of this attribute. The package implementer shall require a part name. [M2.7] | | | | | |
| Name | Type | Use | Default | Fixed | Annotation | | | | | | | | | | | | | | | | | | | |
| ContentType | ST_ContentType | required | | | A content type as defined in RFC 2616. Indicates the content type of the matching part. The package implementer shall require a content type and the format designer shall specify the content type in an <Override> element. [M2.7] | | | | | | | | | | | | | | | | | | | |
| PartName | xs:anyURI | required | | | A part name. An <Override> element matches the part whose name is equal to the value of this attribute. The package implementer shall require a part name. [M2.7] | | | | | | | | | | | | | | | | | | | |
| annotation | Specifies content types on parts that are not covered by, or are not consistent with, the default mappings. | | | | | | | | | | | | | | | | | | | | | | | |

1 10.1.2.2.4 Content Types Stream Markup Example

2 *[Example:*

3 Example 10–6. Content Types stream markup

```

4 <Types
5   xmlns="http://schemas.openxmlformats.org/package/2006/content-types">
6   <Default Extension="txt" ContentType="text/plain" />
7   <Default Extension="jpeg" ContentType="image/jpeg" />
8   <Default Extension="picture" ContentType="image/gif" />
9   <Override PartName="/a/b/sample4.picture" ContentType="image/jpeg" />
10 </Types>
```

11 The following is a sample list of parts and their corresponding content types as defined by the Content Types
12 stream markup above.

| Part name | Content type |
|----------------------|--------------|
| /a/b/sample1.txt | text/plain |
| /a/b/sample2.jpg | image/jpeg |
| /a/b/sample3.picture | image/gif |
| /a/b/sample4.picture | image/jpeg |

1 *end example]*

2 10.1.2.3 Setting the Content Type of a Part

3 When adding a new part to a package, the package implementer shall ensure that a content type for that part is
 4 specified in the Content Types stream; the package implementer shall perform the following steps to do so
 5 [M2.8]:

- 6 1. Get the extension from the part name by taking the substring to the right of the rightmost occurrence of
 7 the dot character (.) from the rightmost segment.
- 8 2. If a part name has no extension, a corresponding <Override> element shall be added to the Content
 9 Types stream.
- 10 3. Compare the resulting extension with the values specified for the Extension attributes of the <Default>
 11 elements in the Content Types stream. The comparison shall be case-insensitive ASCII.
- 12 4. If there is a <Default> element with a matching Extension attribute, then the content type of the new
 13 part shall be compared with the value of the ContentType attribute. The comparison might be case-
 14 sensitive and include every character regardless of the role it plays in the content-type grammar of RFC
 15 2616, or it might follow the grammar of RFC 2616.
 - 16 a. If the content types match, no further action is required.
 - 17 b. If the content types do not match, a new <Override> element shall be added to the Content
 18 Types stream. .
- 19 5. If there is no <Default> element with a matching Extension attribute, a new <Default> element or
 20 <Override> element shall be added to the Content Types stream.

21 10.1.2.4 Getting the Content Type of a Part

22 To get the content type of a part, the package implementer shall perform the following steps [M2.9]:

- 23 1. Compare the part name with the values specified for the PartName attribute of the <Override>
 24 elements. The comparison shall be case-insensitive ASCII.
- 25 2. If there is an <Override> element with a matching PartName attribute, return the value of its
 26 ContentType attribute. No further action is required.
- 27 3. If there is no <Override> element with a matching PartName attribute, then
 - 28 a. Get the extension from the part name by taking the substring to the right of the rightmost
 29 occurrence of the dot character (.) from the rightmost segment.
 - 30 b. Check the <Default> elements of the Content Types stream, comparing the extension with the
 31 value of the Extension attribute. The comparison shall be case-insensitive ASCII.

4. If there is a <Default> element with a matching Extension attribute, return the value of its ContentType attribute. No further action is required.
5. If neither <Override> nor <Default> elements with matching attributes are found for the specified part, the implementation shall consider this an error.

10.1.2.5 Support for Versioning and Extensibility

The package implementer shall not use the versioning and extensibility mechanisms defined in Part 5: "Markup Compatibility" to incorporate elements and attributes drawn from other XML-namespaces into the Content Types stream markup. [M2.10]

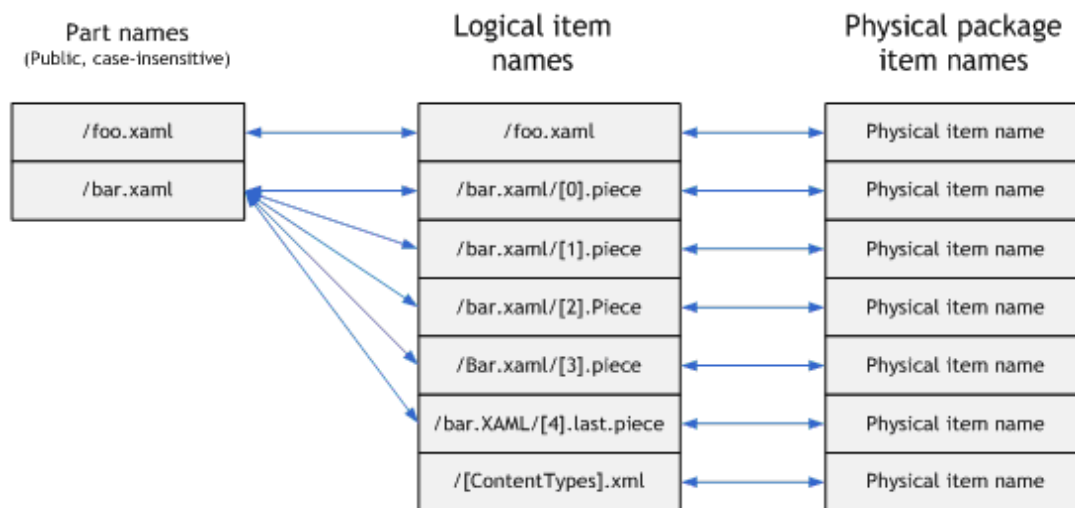
10.1.3 Mapping Part Names to Physical Package Item Names

The mapping of part names to the names of items in the physical package uses an intermediate *logical item name* abstraction. This logical item name abstraction allows package implementers to manipulate physical data items consistently regardless of whether those data items can be mapped to parts or not or whether the package is laid out with simple ordering or interleaved ordering. See §10.1.4, "Interleaving" for interleaving details.

[Example:

Figure 10–1 illustrates the relationship between part names, logical item names, and physical package item names.

Figure 10–1. Part names and logical item names



end example]

10.1.3.1 Logical Item Names

Logical item names have the following syntax:

```

1 LogicalItemName = PrefixName [SuffixName]
2 PrefixName     = *AChar
3 AChar          = %x20-7E
4 SuffixName     = "/" "[" PieceNumber "]" [".last"] ".piece"
5 PieceNumber    = "0" | NonZeroDigit [1*Digit]
6 Digit         = "0" | NonZeroDigit
7 NonZeroDigit  = "1" | "2" | "3" | "4" | "5" | "6" | "7" | "8" | "9"

```

8 *[Note: Piece numbers identify the individual pieces of an interleaved part. end note]*

9 The package implementer shall compare prefix names as case-insensitive ASCII strings. [M2.12]

10 The package implementer shall compare suffix names as case-insensitive ASCII strings. [M2.13]

11 Logical item names are considered equivalent if their prefix names and suffix names are equivalent. The package
 12 implementer shall not allow packages that contain equivalent logical item names. [M2.14] The package
 13 implementer shall not allow packages that contain logical items with equivalent prefix names and with equal
 14 piece numbers, where piece numbers are treated as integer decimal values. [M2.15]

15 Logical item names that use suffix names form a complete sequence if and only if:

- 16 1. The prefix names of all logical item names in the sequence are equivalent, and
- 17 2. The suffix names of the sequence start with “/[0].piece” and end with “/[n].last.piece” and include a
 18 piece for every piece number between 0 and n, without gaps, when the piece numbers are interpreted
 19 as decimal integer values.

20 10.1.3.2 Mapping Part Names to Logical Item Names

21 Non-interleaved part names are mapped to logical item names that have an equivalent prefix name and no
 22 suffix name.

23 Interleaved part names are mapped to the complete sequence of logical item names with an equivalent prefix
 24 name.

25 10.1.3.3 Mapping Logical Item Names and Physical Package Item Names

26 The mapping of logical item names and physical package item names is specific to the particular physical
 27 package.

28 10.1.3.4 Mapping Logical Item Names to Part Names

29 A logical item name without a suffix name is mapped to a part name with an equivalent prefix name provided
 30 that the prefix name conforms to the part name syntax.

31 A complete sequence of logical item names is mapped to the part name that is equal to the prefix name of the
 32 logical item name having the suffix name “/[0].piece”, provided that the prefix name conforms to the part name
 33 syntax.

1 The package implementer might allow a package that contains logical item names and complete sequences of
2 logical item names that cannot be mapped to a part name. [O2.7] The package implementer shall not map
3 logical items to parts if the logical item names violate the part naming rules, even if a third-party format includes
4 these logical items in the package. [M2.16]

5 The package implementer shall consider naming collisions within the set of part names mapped from logical
6 item names to be an error. [M2.17]

7 **10.1.4 Interleaving**

8 Not all physical packages natively support interleaving of the data streams of parts. The package implementer
9 should use the mechanism described in this Standard to allow interleaving when mapping to the physical
10 package for layout scenarios that support streaming consumption. [S2.4]

11 The interleaving mechanism breaks the data stream of a part into *pieces*, which can be interleaved with pieces
12 of other parts or with whole parts. Pieces are named using a unique mapping from the part name, defined in
13 §10.1.3, “Mapping Part Names to Physical Package Item Names”. This enables a consumer to join the pieces
14 together in their original order, forming the data stream of the part.

15 The individual pieces of an interleaved part exist only in the physical package and are not addressable in the
16 packaging model. A piece might be empty.

17 An individual part shall be stored either in an interleaved or non-interleaved fashion. The package implementer
18 shall not mix interleaving and non-interleaving for an individual part. [M2.11] The format designer specifies
19 whether that format might use interleaving. [O2.1]

20 The grammar for deriving piece names from a given part name is defined by the logical item name grammar as
21 defined in §10.1.3.1, “Logical Item Names.” A suffix name is mandatory.

22 The package implementer should store pieces in their natural order for optimal efficiency. [S2.5] The package
23 implementer might create a physical package containing interleaved parts and non-interleaved parts. [O2.6]

24 *[Example:*

25 Example 10–7. ZIP archive contents

26 A ZIP archive might contain the following item names mapped to part pieces and whole parts:

```
27 spine.xml/[0].piece  
28 pages/page0.xml  
29 spine.xml/[1].piece  
30 pages/page1.xml  
31 spine.xml/[2].last.piece  
32 pages/page2.xml
```

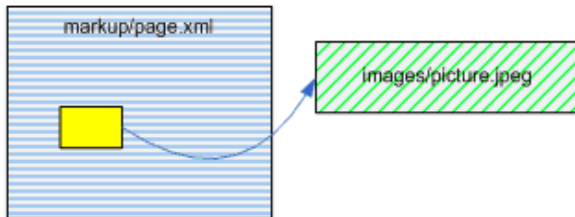
33 *end example]*

1 Under certain scenarios, interleaved ordering can provide important performance benefits, as demonstrated in
 2 the following example.

3 *[Example:*

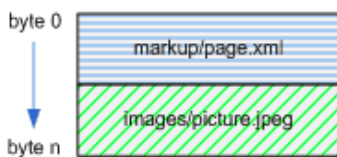
4 Example 10–8. Performance benefits with interleaved ordering

5 The figure below contains two parts: a page part (markup/page.xml) describing the contents of a page, and an
 6 image part (images/picture.jpeg) referring to an image that appears on the page.



7

8 With simple ordering, *all* of the bytes of the page part are delivered before the bytes of the image part. The
 9 figure below illustrates this scenario. The consumer is unable to display the image until it has received *all* of the
 10 page part *and* the image part. In some circumstances, such as small packages on a high-speed network, this may
 11 be acceptable. In others, having to read through all of markup/page.xml to get to the image results in
 12 unacceptable performance or places unreasonable memory demands on the consumer's system.



13

14 With interleaved ordering, performance is improved by splitting the page part into pieces and inserting the
 15 image part immediately following the reference to the image. This allows the consumer to begin processing the
 16 image as soon as it encounters the reference.



17

18 *end example]*

19 10.2 Mapping to a ZIP Archive

20 This specification defines a mapping for the ZIP archive format. Future versions of this specification might
 21 provide additional mappings.

1 A *ZIP archive* is a ZIP file as defined in the ZIP file format specification excluding all elements of that specification
 2 related to encryption or decryption. A ZIP archive contains *ZIP items*. ZIP items become files when the archive is
 3 unzipped. When users unzip a ZIP-based package, they see a set of files and folders that reflects the parts in the
 4 package and their hierarchical naming structure.

5 Table 10–2, Package model components and their physical representations, shows the various components of
 6 the package model and their corresponding physical representation in a ZIP archive.

7 Table 10–2. Package model components and their physical representations

| Package model component | Physical representation |
|-------------------------|---|
| Package | ZIP archive file |
| Part | ZIP item |
| Part name | Stored in item header (and ZIP central directory as appropriate). See §10.2.3, “Mapping Part Names to ZIP Item Names,” for conversion rules. |
| Part content type | ZIP item containing XML that identifies the content types for each part according to the pattern described in §10.1.2.1, “Identifying the Part Content Type”. |
| Growth hint | Padding reserved in the ZIP Extra field in the local header that precedes the item. See §10.2.7, “Mapping the Growth Hint,” for a detailed description of the data structure. |

8 **10.2.1 Mapping Part Data**

9 In a ZIP archive, the data associated with a part is represented as one or more items.

10 A package implementer shall store a non-interleaved part as a single ZIP item. [M3.1] When interleaved, a
 11 package implementer shall represent a part as one or more pieces, using the method described in §10.1.4,
 12 “Interleaving”. [M2.18] Pieces are named using the specified pattern, making it possible to rebuild the entire
 13 part from its constituent pieces. Each piece is stored within a ZIP archive as a single ZIP item.

14 In the ZIP archive, the chunk of bits that represents an item is stored contiguously. A package implementer
 15 might intentionally order the sequence of ZIP items in the archive to enable an efficient organization of the part
 16 data in order to achieve correct and optimal interleaving. [O3.1]

17 **10.2.2 ZIP Item Names**

18 ZIP item names are case-sensitive ASCII strings. Package implementers shall create ZIP item names that conform
 19 to ZIP archive file name grammar. [M3.2] Package implementers shall create item names that are unique within
 20 a given archive. [M3.3]

21 **10.2.3 Mapping Part Names to ZIP Item Names**

22 To map part names to ZIP item names the package implementer shall perform, in order, the following steps
 23 [M3.4]:

- 1 1. Convert the part name to a logical item name or, in the case of interleaved parts, to a complete
2 sequence of logical item names.
- 3 2. Remove the leading forward slash (/) from the logical item name or, in the case of interleaved parts,
4 from each of the logical item names within the complete sequence.

5 The package implementer shall not map a logical item name or complete sequence of logical item names sharing
6 a common prefix to a part name if the logical item prefix has no corresponding content type. [M3.5]

7 **10.2.4 Mapping ZIP Item Names to Part Names**

8 To map ZIP item names to part names, the package implementer shall perform, in order, the following steps
9 [M3.6]:

- 10 1. Map the ZIP item names to logical item names by adding a forward slash (/) to each of the ZIP item
11 names.
- 12 2. Map the obtained logical item names to part names. For more information, see §10.1.3.4, “Mapping
13 Logical Item Names to Part Names”.

14 **10.2.5 ZIP Package Limitations**

15 The package implementer shall only find ZIP items that are recognized as MS-DOS files according to the ZIP
16 specification as eligible to be mapped to parts; all other ZIP items shall not be mapped to parts. [M3.7]

17 *[Note: The ZIP specification specifies that ZIP items recognized as MS-DOS files are those with a “version made
18 by” field and an “external file attributes” field in the “file header” record in the central directory that have a
19 value of 0. end note]*

20 In ZIP archives, the package implementer shall not exceed 65,515 bytes for the combined length of the item
21 name, Extra field, and Comment fields. [M3.8] Accordingly, part names stored in ZIP archives are limited to some
22 length less than 65,535 characters, depending on the size of the Extra and Comment fields.

23 Package implementers should restrict part naming to accommodate file system limitations when naming parts
24 to be stored as ZIP items. [S3.1]

25 *[Example:*

26 Examples of these limitations are:

- 27 • On Windows file systems, the asterisk (“*”) and colon (“:”) are not valid, so parts named with this
28 character will not unzip successfully.
- 29 • On Windows file systems, many programs can handle only file names that are less than 256 characters
30 *including* the full path; parts with longer names might not behave properly once unzipped.
- 31 • On Unix file systems, the semicolon (“;”) has a special meaning, so parts with this character might not be
32 processed as expected.

33 *end example]*

1 ZIP-based packages shall not include encryption as described in the ZIP specification. Package implementers
2 shall enforce this restriction. [M3.9]

3 **10.2.6 Mapping Part Content Type**

4 Part content types are used for associating content types with part data within a package. In ZIP archives,
5 content type information is stored using the common mapping pattern that stores this information in a single
6 XML stream as follows:

- 7 • Package implementers shall store content type data in an item(s) mapped to the logical item name with
8 the prefix_name equal to “/[Content_Types].xml” or in the interleaved case to the complete sequence
9 of logical item names with that prefix_name. [M3.10]
- 10 • Package implementers shall not map logical item name(s) mapped to the Content Types stream in a ZIP
11 archive to a part name. [M3.11]

12 **10.2.7 Mapping the Growth Hint**

13 In a ZIP archive, the growth hint is used to reserve additional bytes that can be used to allow an item to grow in-
14 place. The padding is stored in the Extra field, as defined in the ZIP file format specification. If a growth hint is
15 used for an interleaved part, the package implementer shall store the Extra field containing the growth hint
16 padding with the item that represents the first piece of the part. [M3.12]

17 The ZIP file format specification defines the format of the Extra field to be as shown in Table 10–3, Structure of
18 the Extra field.

19 Table 10–3. Structure of the Extra field

| Field | Size | Value |
|------------------------------|------------------|--|
| Header ID | 2 bytes | A220 |
| Length of Extra field | 2 bytes | The signature length (2 bytes) + the padding initial value length (2 bytes) + Length of the padding (variable) |
| Signature (for verification) | 2 bytes | A028 |
| Padding Initial Value | 2 bytes | Hex number value is set by the producer when the item is created |
| <padding> | [Padding Length] | Should be filled with NULL characters |

20 **10.2.8 Late Detection of ZIP Items Unfit for Streaming Consumption**

21 Several substantial conditions that represent a package unfit for streaming consumption may be detected mid-
22 processing by a streaming package implementer. These include:

- 23 • A duplicate ZIP item name is detected the moment the second ZIP item with that name is encountered.
24 Duplicate ZIP item names are not allowed. [M3.3]

- 1 • In interleaved packages, an incomplete sequence of ZIP items is detected when the last ZIP item is
2 received. Because one of the interleaved pieces is missing, the entire sequence of ZIP items cannot be
3 mapped to a part and is therefore invalid. [M2.16]
- 4 • An inconsistency between the local ZIP item headers and the ZIP central directory file headers is
5 detected at the end of package consumption, when the central directory is processed.
- 6 • A ZIP item that is not a file, according to the file attributes in the ZIP central directory, is detected at the
7 end of package consumption, when the central directory is processed. Only a ZIP item that is a file shall
8 be mapped to a part in a valid package.

9 When any of these conditions are detected, the streaming package implementer shall generate an error,
10 regardless of any processing that has already taken place. Package implementers shall not generate a package
11 containing any of these conditions when generating a package intended for streaming consumption. [M3.13]

12 **10.2.9 ZIP Format Clarifications for Packages**

13 The ZIP format includes a number of features that packages do not support. Some ZIP features are clarified in
14 the package context. See Annex C, “ZIP Appnote.txt Clarifications,” for package-specific ZIP information.

11. Core Properties

Core properties enable users to get and set well-known and common sets of property metadata within packages. The core properties and the Standard that describes them are shown in Table 11–1, “Core properties”. The namespace for the properties in this table in the Open Packaging Conventions domain are defined in Annex H, “Standard Namespaces and Content Types.”

Table 11–1. Core properties

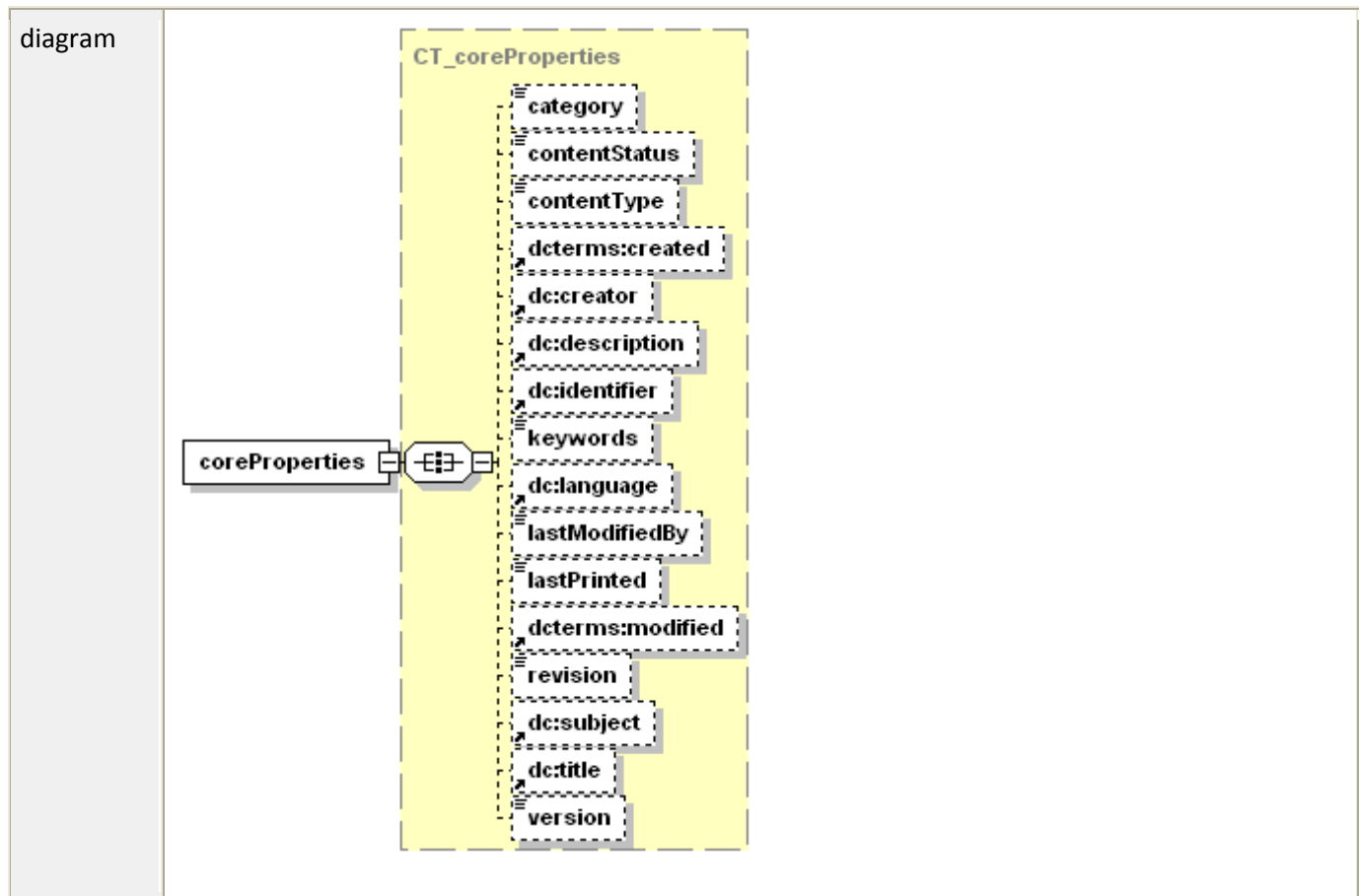
| Property | Domain | Description |
|----------------|----------------------------|--|
| category | Open Packaging Conventions | The category. This value is typically used by UI applications to create navigation controls. |
| contentStatus | Open Packaging Conventions | The status of the content. <i>[Example: Values might include “Draft”, “Reviewed”, and “Final”. end example]</i> |
| contentType | Open Packaging Conventions | The type of content represented, generally defined by a specific use and intended audience. <i>[Example: Values might include “Whitepaper”, “Security Bulletin”, and “Exam”. end example]</i> <i>[Note: This property is distinct from MIME content types as defined in RFC 2616. end note]</i> |
| created | Dublin Core | Date of creation of the resource. |
| creator | Dublin Core | An entity primarily responsible for making the content of the resource. |
| description | Dublin Core | An explanation of the content of the resource. <i>[Example: Values might include an abstract, table of contents, reference to a graphical representation of content, and a free-text account of the content. end example]</i> |
| identifier | Dublin Core | An unambiguous reference to the resource within a given context. |
| keywords | Open Packaging Conventions | A delimited set of keywords to support searching and indexing. This is typically a list of terms that are not available elsewhere in the properties. |
| language | Dublin Core | The language of the intellectual content of the resource. |
| lastModifiedBy | Open Packaging Conventions | The user who performed the last modification. The identification is environment-specific. <i>[Example: A name, email address, or employee ID. end example]</i> It is recommended that this value be as concise as possible. |

| Property | Domain | Description |
|-------------|----------------------------|---|
| lastPrinted | Open Packaging Conventions | The date and time of the last printing. |
| modified | Dublin Core | Date on which the resource was changed. |
| revision | Open Packaging Conventions | The revision number. <i>[Example: This value might indicate the number of saves or revisions, provided the application updates it after each revision. end example]</i> |
| subject | Dublin Core | The topic of the content of the resource. |
| title | Dublin Core | The name given to the resource. |
| version | Open Packaging Conventions | The version number. This value is set by the user or by the application. |

1 11.1.1 Core Properties Part

2 Core properties are stored in XML in the Core Properties part. The Core Properties part content type is defined
3 in Annex H, “Standard Namespaces and Content Types.”

4 The structure of the <CoreProperties> element is shown in the following diagram:



| | |
|------------|---|
| annotation | Producers might provide all or a subset of these metadata properties to describe the contents of a package. |
|------------|---|

1 *[Example:*

2 Example 11–1. Core properties markup

3 An example of a core properties part is illustrated by this example:

```

4 <coreProperties
5   xmlns="http://schemas.openxmlformats.org/package/2006/metadata/
6     core-properties"
7   xmlns:dcterms="http://purl.org/dc/terms/"
8   xmlns:dc="http://purl.org/dc/elements/1.1/"
9   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
10  <dc:creator>Alan Shen</dc:creator>
11  <dcterms:created xsi:type="dcterms:w3CDTF">
12    2005-06-12
13  </dcterms:created>
14  <contentType>Functional Specification</contentType>
15  <dc:title>OPC Core Properties</dc:title>
16  <dc:subject>Spec defines the schema for OPC Core Properties and their
17    location within the package</dc:subject>
18  <dc:language>eng</dc:language>
19  <version>1.0</version>
20  <lastModifiedBy>Alan Shen</lastModifiedBy>
21  <dcterms:modified xsi:type="dcterms:w3CDTF">
22    2005-11-23
23  </dcterms:modified>
24  <contentStatus>Reviewed</contentStatus>
25 </coreProperties>

```

26 *end example]*

27 **11.1.2 Discoverability of Core Properties**

28 The location of the Core Properties part within the package is discovered by traversing a well-defined package
29 relationship as listed in Annex H, “Standard Namespaces and Content Types”. The format designer shall specify
30 and the format producer shall create at most one core properties relationship for a package. A format consumer
31 shall consider more than one core properties relationship for a package to be an error. If present, the
32 relationship shall target the Core Properties part. [M4.1]

33 **11.1.3 Support for Versioning and Extensibility**

34 The format designer shall not specify and the format producer shall not create Core Properties that use the
35 Markup Compatibility namespace as defined in Annex H, “Standard Namespaces and Content Types”. A format
36 consumer shall consider the use of the Markup Compatibility namespace to be an error. [M4.2] Instead,
37 versioning and extensibility functionality is accomplished by creating a new part and using a relationship with a

- 1 new type to point from the Core Properties part to the new part. This specification does not provide any
- 2 requirements or guidelines for new parts or relationship types that are used to extend core properties.

1 12. Thumbnails

2 The format designer might allow images, called *thumbnails*, to be used to help end-users identify parts of a
3 package or a package as a whole. These images are generated by the producer and stored as parts. [O5.1]

4 12.1 Thumbnail Parts

5 The format designer shall specify thumbnail parts that are identified by either a part relationship or a package
6 relationship. The producer shall build the package accordingly. [M5.1] For information about the relationship
7 type for Thumbnail parts, see Annex H, “Standard Namespaces and Content Types.”

13. Digital Signatures

Format designers might allow a package to include digital signatures to enable consumers to validate the integrity of the contents. The producer might include the digital signature when allowed by the format designer. [O6.1] Consumers can identify the parts of a package that have been signed and the process for validating the signatures. Digital signatures do not protect data from being changed. However, consumers can detect whether signed data has been altered and notify the end-user, restrict the display of altered content, or take other actions.

Producers incorporate digital signatures using a specified configuration of parts and relationships. This clause describes how the package digital signature framework applies the W3C Recommendation “XML-Signature Syntax and Processing” (referred to here as the “XML Digital Signature specification”). In addition to complying with the XML Digital Signature specification, producers and consumers also apply the modifications specified in §13.2.4.1, “Modifications to the XML Digital Signature Specification”.

13.1 Choosing Content to Sign

Any part or relationship in a package can be signed, including Digital Signature XML Signature parts themselves. An entire Relationships part or a subset of relationships can be signed. By signing a subset, other relationships can be added, removed, or modified without invalidating the signature.

Because applications use the package format to store various types of content, application designers that include digital signatures should define signature policies that are meaningful to their users. A signature policy specifies which portions of a package should not change in order for the content to be considered intact. To ensure validity, some clients require that *all* of the parts and relationships in a package be signed. Others require that *selected* parts or relationships be signed and validated to indicate that the content has not changed. The digital signature infrastructure in packages provides flexibility in defining the content to be signed, while allowing parts of the package to remain changeable.

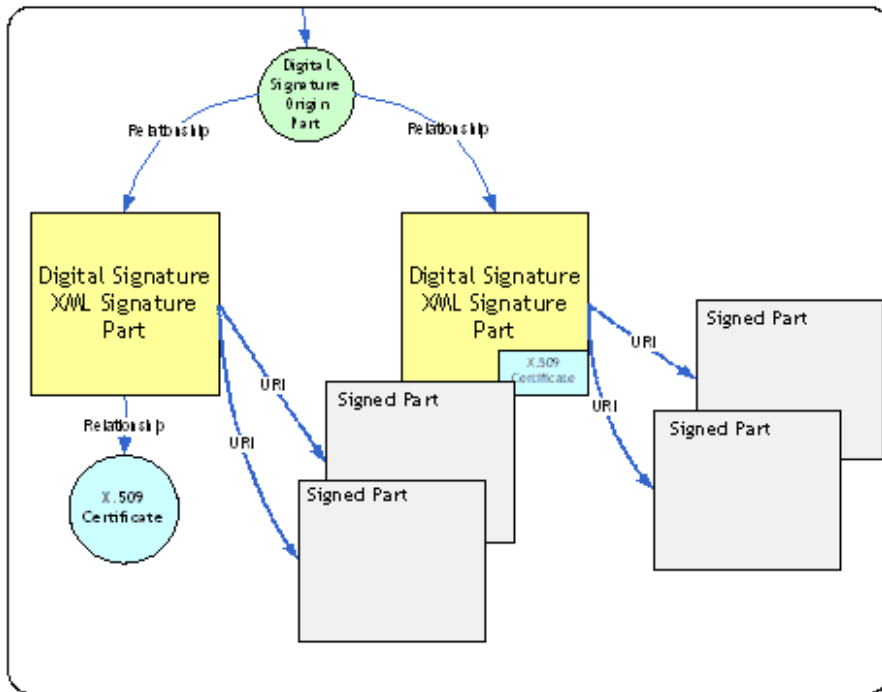
13.2 Digital Signature Parts

The digital signature parts consist of the Digital Signature Origin part, Digital Signature XML Signature parts, and Digital Signature Certificate parts. Relationship names and content types relating to the use of digital signatures in packages are defined in Annex H, “Standard Namespaces and Content Types.”

[Example:

Figure 13–1 shows a signed package with signatures, signed parts, and an X.509 certificate. The example Digital Signature Origin part references two Digital Signature XML Signature parts, each containing a signature. The signatures relate to the signed parts.

Figure 13–1. A signed package



1

2 *end example]*

3 **13.2.1 Digital Signature Origin Part**

4 The Digital Signature Origin part is the starting point for navigating through the signatures in a package. The
 5 package implementer shall include only one Digital Signature Origin part in a package and it shall be targeted
 6 from the package root using the well-defined relationship type specified in Annex H, "Standard Namespaces and
 7 Content Types". [M6.1] When creating the first Digital Signature XML Signature part, the package implementer
 8 shall create the Digital Signature Origin part, if it does not exist, in order to specify a relationship to that Digital
 9 Signature XML Signature part. [M6.2] If there are no Digital Signature XML Signature parts in the package, the
 10 Digital Signature Origin part is optional. [O6.2] Relationships to the Digital Signature XML Signature parts are
 11 defined in the Relationships part. The producer should create empty contents in the Digital Signature Origin part
 12 itself. [S6.1]

13 The presence of the Digital Signature Origin part indicates to a consumer that at least one digital signature is
 14 present in the package, stored in a Digital Signature XML Signature part. The producer shall create Digital
 15 Signature XML Signature parts that have a relationship from the Digital Signature Origin part and the consumer
 16 shall use that relationship to locate signature information within the package. [M6.3]

17 **13.2.2 Digital Signature XML Signature Part**

18 Digital Signature XML Signature parts are targeted from the Digital Signature Origin part by a relationship that
 19 uses the well-defined relationship type specified in Annex H, "Standard Namespaces and Content Types". The
 20 Digital Signature XML Signature part contains digital signature markup. The producer might create each Digital
 21 Signature XML Signature part with a different form of signature, which can be handled by the consumer with

1 different signature processors. [O6.3] The producer might create zero or many Digital Signature XML Signature
2 parts in a package. [O6.4]

3 **13.2.3 Digital Signature Certificate Part**

4 The Digital Signature Certificate part contains an optional X.509 certificate for validating the signature. The
5 producer might store the certificate as a separate part in the package, might embed it within the Digital
6 Signature XML Signature part itself, or might not include it in the package if certificate data is known or can be
7 obtained from a local or remote certificate store. [O6.5]

8 The package digital signature infrastructure supports X.509 certificate technology for signer authentication.

9 If the certificate is represented as a separate part within the package, the producer shall target that certificate
10 from the appropriate Digital Signature XML Signature part by a Digital Signature Certificate relationship as
11 specified in Annex H, “Standard Namespaces and Content Types” and the consumer shall use that relationship to
12 locate the certificate. [M6.4] The producer might sign the part holding the certificate. [O6.6] The content types
13 of the Digital Signature Certificate part and the relationship targeting it from the Digital Signature XML Signature
14 part are defined in Annex H, “Standard Namespaces and Content Types”, Producers might share Digital
15 Signature Certificate parts by using the same certificate to create more than one signature. [O6.7] Producers
16 generating digital signatures should not create Digital Signature Certificate parts that are not the target of at
17 least one Digital Signature Certificate relationship from a Digital Signature XML Signature part. In addition,
18 producers should remove a Digital Signature Certificate part if removing the last Digital Signature XML Signature
19 part that has a Digital Signature Certificate relationship to it. [S6.2]

20 **13.2.4 Digital Signature Markup**

21 The markup described here includes a subset of elements and attributes from the XML Digital Signature
22 specification and some package-specific markup. For a complete example of a digital signature, see §13.3,
23 “Digital Signature Example”.

24 **13.2.4.1 Modifications to the XML Digital Signature Specification**

25 The package modifications to the XML Digital Signature specification are summarized as follows:

- 26 1. The producer shall create <Reference> elements within a <SignedInfo> element that reference
27 elements within the same <Signature> element. The consumer shall consider <Reference> elements
28 within a <SignedInfo> element that reference any resources outside the same <Signature> element to
29 be in error. [M6.5] The producer should only create <Reference> elements within a <SignedInfo>
30 element that reference an <Object> element. [S6.5] The producer shall not create a reference to a
31 package-specific <Object> element that contains a transform other than a canonicalization transform.
32 The consumer shall consider a reference to a package-specific <Object> element that contains a
33 transform other than a canonical transform to be an error. [M6.6]
- 34 2. The producer shall create one and only one package-specific <Object> element in the <Signature>
35 element. The consumer shall consider zero or more than one package-specific <Object> element in the
36 <Signature> element to be an error. [M6.7]

- 1 3. The producer shall create package-specific <Object> elements that contain only <Manifest> and
2 <SignatureProperties> elements. The consumer shall consider package-specific <Object> elements
3 that contain other types of elements to be an error. [M6.8] *[Note: A signature may contain other*
4 *<Object> elements that are not package-specific. end note]*
- 5 a. The producer shall create <Reference> elements within a <Manifest> element that reference
6 with their URI attribute only parts within the package. The consumer shall consider
7 <Reference> elements within a <Manifest> element that reference resources outside the
8 package to be an error. [M6.9] The producer shall create relative references to the local parts
9 that have query components that specifies the part content type as described in §13.2.4.6,
10 “<Reference>Element”. The consumer shall consider a relative reference to a local part that has
11 a query component that incorrectly specifies the part content type to be an error. [M6.10] The
12 producer shall create <Reference> elements with a query component that specifies the content
13 type that matches the content type of the referenced part. The consumer shall consider
14 signature validation to fail if the part content type does not match the content type specified in
15 the query component of the part reference. [M6.11]
- 16 b. The producer shall not create <Reference> elements within a <Manifest> element that contain
17 transforms other than the canonicalization transform and relationships transform. The
18 consumer shall consider <Reference> elements within a <Manifest> element that contain
19 transforms other than the canonicalization transform and relationships transform to be in error.
20 [M6.12]
- 21 c. A producer that uses an optional relationships transform shall follow it by a canonicalization
22 transform. The consumer shall consider any relationships transform that is not followed by a
23 canonicalization transform to be an error. [M6.13]
- 24 d. The producer shall create only <SignatureProperty> elements that contain a <SignatureTime>
25 element. The consumer shall consider a <SignatureProperty> element that does not contain a
26 <SignatureTime> element to be in error. [M6.14].

27 *[Note: All modifications to XML Digital Signature markup occur in locations where the XML Signature schema*
28 *allows any namespace. Therefore, package digital signature XML is valid against the XML Signature schema. end*
29 *note]*

30 13.2.4.2 <Signature>Element

31 The structure of a <Signature> element is shown in the following diagram:

| <p>diagram</p> | | | | | | | | | | | | | |
|-------------------|--|----------|---------|-------|--|-------|------------|----|-------|----------|--|--|--|
| <p>namespace</p> | <p>http://www.w3.org/2000/09/xmldsig#</p> | | | | | | | | | | | | |
| <p>attributes</p> | <table border="1"> <thead> <tr> <th>Name</th> <th>Type</th> <th>Use</th> <th>Default</th> <th>Fixed</th> <th>Annotation</th> </tr> </thead> <tbody> <tr> <td>Id</td> <td>xs:ID</td> <td>optional</td> <td></td> <td></td> <td>A unique identifier of the signature xml document.</td> </tr> </tbody> </table> | Name | Type | Use | Default | Fixed | Annotation | Id | xs:ID | optional | | | A unique identifier of the signature xml document. |
| Name | Type | Use | Default | Fixed | Annotation | | | | | | | | |
| Id | xs:ID | optional | | | A unique identifier of the signature xml document. | | | | | | | | |
| <p>annotation</p> | <p>The root element of the signature xml document stored in a signature part. The producer shall create a <Signature> element that contains one local-data, package-specific <Object> element and zero or more application-specific <Object> elements. If a <Signature> element violates this constraint, a consumer shall consider this to be an error. [M6.15]</p> | | | | | | | | | | | | |

1 **13.2.4.3** <SignedInfo>Element

2 The structure of a <SignedInfo> element is shown in the following diagram:

| | |
|-------------------|--|
| <p>diagram</p> | |
| <p>namespace</p> | <p>http://www.w3.org/2000/09/xmldsig#</p> |
| <p>annotation</p> | <p>Specifies the data in the package that is signed. Holds one or more references to <Object> elements within the same Digital Signature XML Signature part. The producer shall create a <SignedInfo> element that contains a reference to the package-specific <Object> element. The consumer shall consider it an error if a <SignedInfo> element does not contain a reference to the package-specific <Object> element. [M6.16]</p> |

3

1

2 **13.2.4.4 <CanonicalizationMethod>Element**

3 The structure of a <CanonicalizationMethod> element is shown in the following diagram:

| | | | | | | |
|------------|--|-----------|----------|---------|-------|---|
| diagram | | | | | | |
| namespace | http://www.w3.org/2000/09/xmldsig# | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | Algorithm | xs:anyURI | required | | | Contains a URI that identifies the particular canonicalization algorithm. |
| annotation | Specifies the canonicalization algorithm applied to the <SignedInfo> element prior to performing signature calculations. | | | | | |

4

5 Since XML allows equivalent content to be represented differently, a producer should apply a canonicalization
6 transform to the <SignedInfo> element when it generates it, and a consumer should apply the canonicalization
7 transform to the <SignedInfo> element when validating it. [S6.3]

8 *[Note: Performing a canonicalization transform ensures that <SignedInfo> content can be validated even if the
9 content has been regenerated using, for example, different entity structures, attribute ordering, or character
10 encoding.*

11 Producers and consumers should also use canonicalization transforms for references to parts that hold XML
12 documents. These transforms are defined using the <Transform> element. *end note]*

13 The following canonicalization methods shall be supported by producers and consumers of packages with digital
14 signatures:

- 15 1. XML Canonicalization (c14n)
- 16 2. XML Canonicalization with Comments (c14n with comments)0.

17 Consumers validating signed packages shall fail the validation if other canonicalization methods are
18 encountered. [M6.34]

19 **13.2.4.5 <SignatureMethod>Element**

20 The structure of a <SignatureMethod> element is shown in the following diagram:

| | | | | | | |
|------------|--|-----------|----------|---------|-------|---|
| diagram | | | | | | |
| namespace | http://www.w3.org/2000/09/xmldsig# | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | Algorithm | xs:anyURI | required | | | Contains a URI that identifies the particular algorithm for the signature method. |
| annotation | Defines the algorithm that is used to convert the <SignedInfo> element into a hashed value contained in the <SignatureValue> element. Producers shall support DSA and RSA algorithms to produce signatures. Consumers shall support DSA and RSA algorithms to validate signatures. [M6.17] | | | | | |

1

2 **13.2.4.6 <Reference>Element**

3 The structure of a <Reference> element is shown in the following diagram:

| | | | | | | |
|------------|---|-----------|----------|---------|-------|--|
| diagram | | | | | | |
| namespace | http://www.w3.org/2000/09/xmldsig# | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | URI | xs:anyURI | required | | | Contains a URI that identifies an element within the signature xml document. |
| annotation | Specifies the object being signed, a digest algorithm, a digest value, and a list of transforms to be applied prior to digesting. | | | | | |

4

1 *[Note: <Reference> elements within a <SignedInfo> element should reference an <Object> element, but*
 2 *might not. end note]*

3 The producer shall create a <Reference> element within a <Manifest> element with a URI attribute and that
 4 attribute shall contain a part name, without a fragment identifier. The consumer shall consider a <Reference>
 5 element with a URI attribute that does not contain a part name to be an error. [M6.18]

6 References to package parts include the part content type as a query component. The syntax of the relative
 7 reference is as follows:

8 `/page1.xml?ContentType="value"`

9 where value is the content type of the targeted part.

10 *[Note: See §13.2.4.1, “Modifications to the XML Digital Signature Specification” for additional requirements on*
 11 *<Reference> elements. end note]*

12 *[Example:*

13 Example 13–2. Part reference with query component

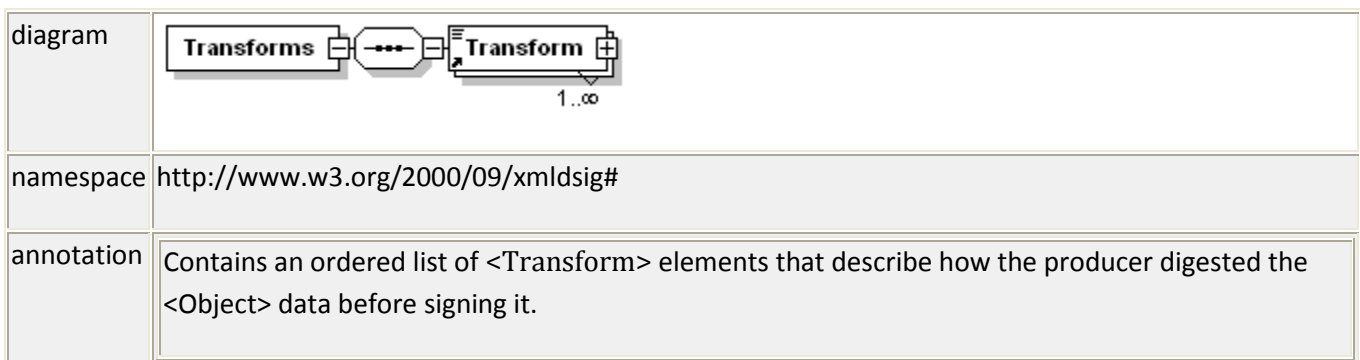
14 In the following example, the content type is “application/vnd.ms-package.relationships+xml”.

15 `URI="/_rels/document.xml.rels?ContentType=application/vnd.ms-`
 16 `package.relationships+xml"`

17 *end example]*

18 13.2.4.7 <Transforms>Element

19 The structure of a <Transforms> element is shown in the following diagram:



20

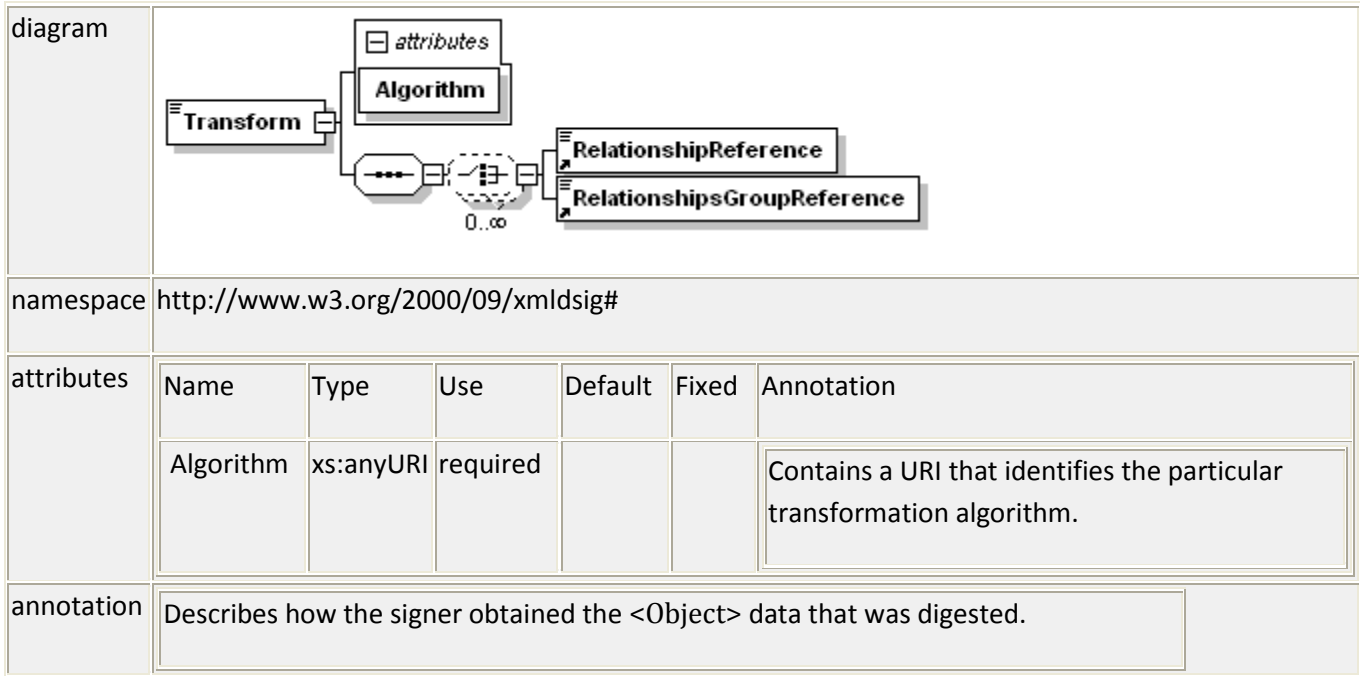
21 The following transforms shall be supported by producers and consumers of packages with digital signatures:

- 22 1. XML Canonicalization (c14n)
- 23 2. XML Canonicalization with Comments (c14n with comments)
- 24 3. Relationships transform (package-specific)

1 Consumers validating signed packages shall fail the validation if other transforms are encountered. [M6.19]

2 **13.2.4.8 <Transform>Element**

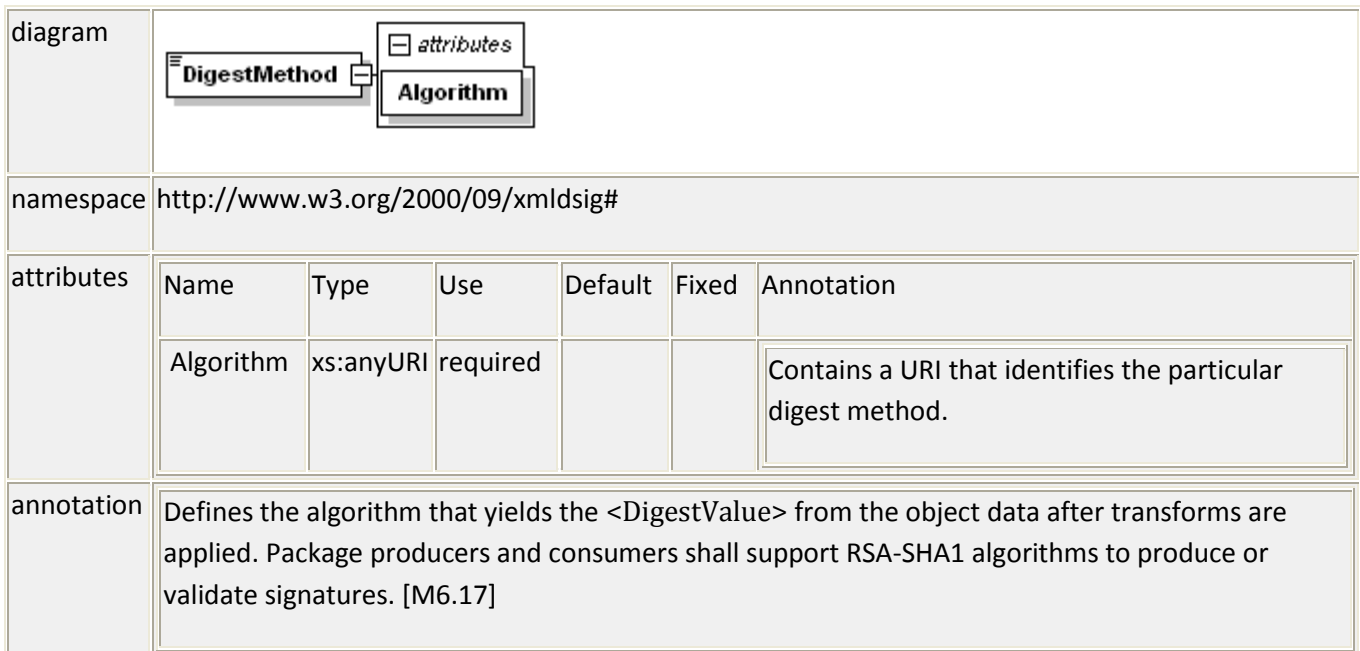
3 The structure of a <Transform> element is shown in the following diagram:



4


5 **13.2.4.9 <DigestMethod>Element**

6 The structure of a <DigestMethod> element is shown in the following diagram:



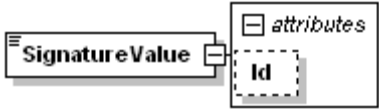
1 **13.2.4.10 <DigestValue>Element**

2 The structure of a <DigestValue> element is shown in the following diagram:

| | |
|------------|---|
| diagram |  |
| namespace | http://www.w3.org/2000/09/xmldsig# |
| annotation | Contains the encoded value of the digest in base64. |

3 **13.2.4.11 <SignatureValue>Element**

4 The structure of a <SignatureValue> element is shown in the following diagram:

| | | | | | | |
|------------|---|-------|----------|---------|-------|--|
| diagram |  | | | | | |
| namespace | http://www.w3.org/2000/09/xmldsig# | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | Id | xs:ID | optional | | | Contains a URI that identifies the <SignatureValue> element within the signature xml document. |
| annotation | Contains the actual value of the digital signature in base64. | | | | | |

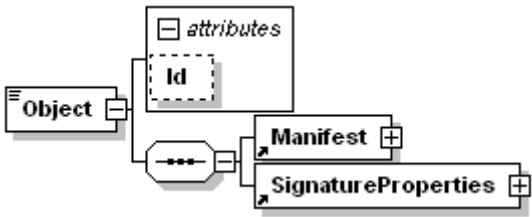
5

6 **13.2.4.12 <Object>Element**

7 The <Object> element can be either package-specific or application-specific.

8 **13.2.4.13 Package-Specific <Object> Element**

9 The structure of a <Object> element is shown in the following diagram:

| | | | | | | |
|---------|---|--|--|--|--|--|
| diagram |  | | | | | |
|---------|---|--|--|--|--|--|

| | | | | | | |
|------------|--|-------|-----|---------|-------|--|
| namespace | http://www.w3.org/2000/09/xmldsig# | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | Id | xs:ID | | | | Shall have value of "idPackageObject". |
| annotation | Holds the Manifest and SignatureProperties elements that are package-specific. | | | | | |

1

2 *[Note: Although the diagram above shows use of the Id attribute as optional, as does the XML Digital Signature*
 3 *schema, for package-specific <Object> elements, the Id attribute shall be specified and have the value of*
 4 *"idPackageObject". This is a package-specific restriction over and above the XML Digital Signature schema. end*
 5 *note]*


6 The producer shall create each <Signature> element with exactly one package-specific <Object>. For a signed
 7 package, consumers shall treat the absence of a package-specific <Object>, or the presence of multiple package-
 8 specific <Object> elements, as an invalid signature. [M6.15]

9 **13.2.4.14 Application-Specific <Object> Element**

10 The application-specific <Object> element specifies application-specific information. The format designer might
 11 permit one or more application-specific <Object> elements. If allowed by the format designer, format producers
 12 can create one or more application-specific <Object> elements. [O6.8] Producers shall create application-
 13 specific <Object> elements that contain XML-compliant data; consumers shall treat data that is not XML-
 14 compliant as an error. [M6.20] Format designers and producers might not apply package-specific restrictions
 15 regarding URIs and <Transform> elements to application-specific <Object> element. [O6.9]

16 **13.2.4.15 <KeyInfo>Element**

17 The structure of a <KeyInfo> element is shown in the following diagram:

| | |
|------------|---|
| diagram |  |
| namespace | http://www.w3.org/2000/09/xmldsig# |
| annotation | Enables recipients to obtain the key needed to validate the signature. Can contain keys, names, certificates, and other public key management information. Producers and consumers shall use the certificate embedded in the Digital Signature XML Signature part when it is specified. [M6.21] |

18

1 **13.2.4.16** **<X509Data>Element**

2 The structure of a <X509Data> element is shown in the following diagram:

| | |
|------------|--|
| diagram |  The diagram shows a box labeled 'X509Data' connected by a dashed line to a box labeled 'X509Certificate'. Below the dashed line is the cardinality '1..∞'. |
| namespace | http://www.w3.org/2000/09/xmldsig# |
| annotation | Contains one or more identifiers of X509 certificates. |

3

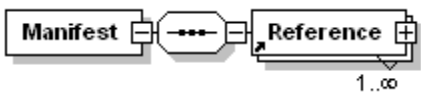
4 **13.2.4.17** **<X509Certificate>Element**

5 The structure of a <X509Certificate> element is shown in the following diagram:

| | |
|------------|---|
| diagram |  The diagram shows a single box labeled 'X509Certificate'. |
| namespace | http://www.w3.org/2000/09/xmldsig# |
| annotation | Contains a base64-encoded X509 certificate. |

6 **13.2.4.18** **<Manifest>Element**

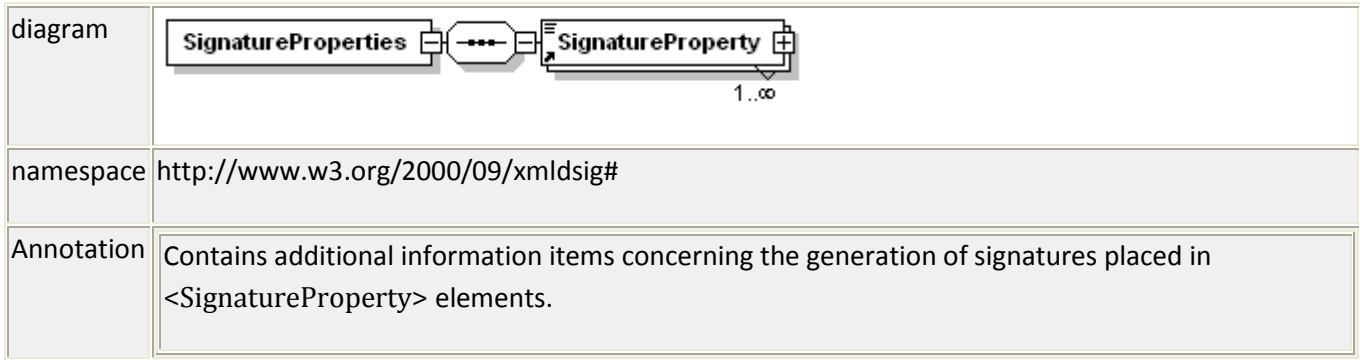
7 The structure of a <Manifest> element is shown in the following diagram:

| | |
|------------|--|
| diagram |  The diagram shows a box labeled 'Manifest' connected by a dashed line to a box labeled 'Reference'. Below the dashed line is the cardinality '1..∞'. |
| namespace | http://www.w3.org/2000/09/xmldsig# |
| annotation | Contains references to the signed parts of the package. The producer shall not create a <Manifest> element that references any data outside of the package. The consumer shall consider a <Manifest> element that references data outside of the package to be in error. [M6.22] |

8

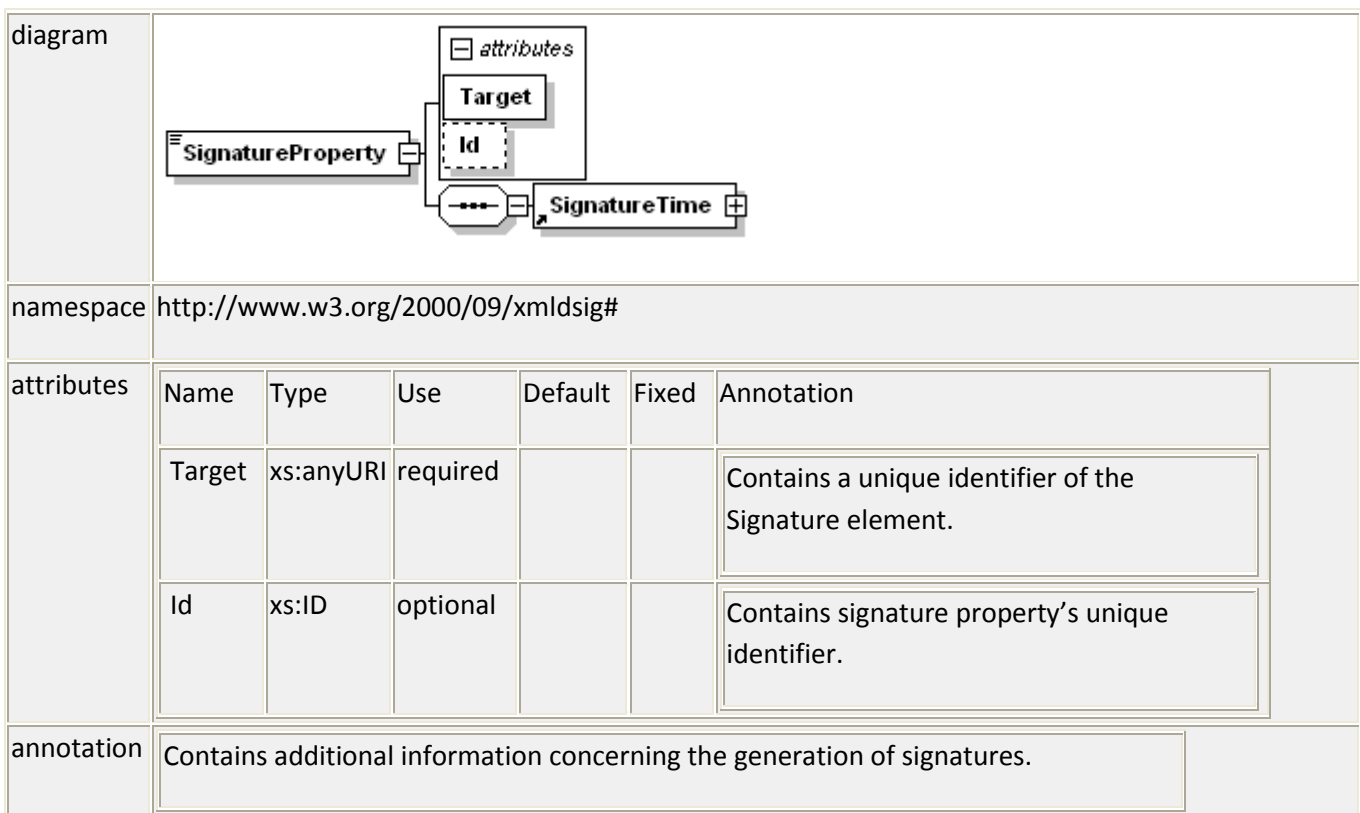
9 **13.2.4.19** **<SignatureProperties>Element**

10 The structure of a <SignatureProperties> element is shown in the following diagram:



1 **13.2.4.20 <SignatureProperty>Element**

2 The structure of a <SignatureProperty> element is shown in the following diagram:



3

4 **13.2.4.21 <SignatureTime>Element**

5 The structure of a <SignatureTime> element is shown in the following diagram:

| | | |
|------------|--|--|
| diagram | | |
| namespace | http://schemas.openxmlformats.org/package/2006/digital-signature | |
| annotation | Holds the date/time stamp for the signature. | |

1

2 **13.2.4.22 <Format>Element**

3 The structure of a <Format> element is shown in the following diagram:

| | | |
|------------|--|--|
| diagram | | |
| namespace | http://schemas.openxmlformats.org/package/2006/digital-signature | |
| annotation | Specifies the format of the date/time stamp. The producer shall create a data/time format that conforms to the syntax described in the W3C Note "Date and Time Formats". The consumer shall consider a format that does not conform to the syntax described in that WC3 note to be in error. [M6.23] | |

4 The date and time format definition conforms to the syntax described in the W3C Note "Date and Time
5 Formats."

6 **13.2.4.23 <Value>Element**

7 The structure of a <Value> element is shown in the following diagram:

| | | |
|------------|---|--|
| diagram | | |
| namespace | http://schemas.openxmlformats.org/package/2006/digital-signature | |
| annotation | Holds the value of the date/time stamp. The producer shall create a value that conforms to the format specified in the <Format> element. The consumer shall consider a value that does not conform to that format to be in error. [M6.24] | |

1 **13.2.4.24 <RelationshipReference>Element**

2 The structure of a <RelationshipReference> element is shown in the following diagram:

| | | | | | | |
|------------|--|------------|----------|---------|-------|--|
| diagram | | | | | | |
| namespace | http://schemas.openxmlformats.org/package/2006/digital-signature | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | SourceId | xsd:string | required | | | Specifies the value of the Id attribute of the <Relationship> element. |
| annotation | Specifies the <Relationship> element to be signed. | | | | | |

3

4 **13.2.4.25 <RelationshipsGroupReference>Element**

5 The structure of a <RelationshipsGroupReference> element is shown in the following diagram:

| | | | | | | |
|------------|--|------------|----------|---------|-------|---|
| diagram | | | | | | |
| namespace | http://schemas.openxmlformats.org/package/2006/digital-signature | | | | | |
| attributes | Name | Type | Use | Default | Fixed | Annotation |
| | SourceType | xsd:anyURI | required | | | Specifies the value of the Type attribute of <Relationship> elements. |
| annotation | Specifies that the group of <Relationship> elements with the specified Type value is to be signed. | | | | | |

6

7 Format designers might permit producers to sign individual relationships in a package or the Relationships part
8 as a whole. [O6.10] To sign a subset of relationships, the producer shall use the package-specific relationships
9 transform. The consumer shall use the package-specific relationships transform to validate the signature when a
10 subset of relationships are signed. [M6.25] The transform filters the contents of the Relationships part to include
11 only relationships that have Id values matching the specified SourceId values or Type values matching the
12 specified SourceType values. A producer shall not specify more than one relationship transform for a particular

1 relationships part. A consumer shall treat the presence of more than one relationship transform for a particular
2 relationships part as an error. [M6.35]

3 Producers and consumers shall perform a canonicalization transform following the relationships transform.
4 [M6.26]

5 13.2.4.26 Relationships Transform Algorithm

6 The relationships transform takes the XML document from the Relationships part and converts it to another
7 XML document.

8 The package implementer might create relationships XML that contains content from several namespaces, along
9 with versioning instructions as defined in Part 4: "Markup Compatibility". [O6.11]

10 The relationships transform algorithm is as follows:

11 **Step 1: Process versioning instructions**

- 12 1. The package implementer shall process the versioning instructions, considering that the only known
13 namespace is the Relationships namespace.
- 14 2. The package implementer shall remove all ignorable content, ignoring preservation attributes.
- 15 3. The package implementer shall remove all versioning instructions.

16 **Step 2: Sort and filter relationships**

- 17 1. The package implementer shall remove all namespace declarations except the Relationships namespace
18 declaration.
- 19 2. The package implementer shall remove the Relationships namespace prefix, if it is present.
- 20 3. The package implementer shall sort relationship elements by Id value in increasing order, considering Id
21 values as case-sensitive Unicode strings.
- 22 4. The package implementer shall remove all <Relationship> elements with an Id value that does not
23 match any SourceId values *and* with a Type value that does not match any SourceType values specified
24 in the transform definition. Producers and consumers shall compare values byte-for-byte as case-
25 sensitive Unicode strings. [M6.27] The resulting XML document holds all <Relationship> elements that
26 have an Id value that matches a SourceId value *or* a Type value that matches a SourceType value
27 specified in the transform definition.

28 **Step 3: Prepare for canonicalization**

- 29 1. The package implementer shall remove all characters between the <Relationships> start tag and the
30 first <Relationship> start tag.
- 31 2. The package implementer shall remove all characters between each pair of <Relationship> start and
32 end tags.
- 33 3. The package implementer shall remove all characters between the last <Relationship> end tag and the
34 <Relationships> end tag.

4. If there are no <Relationship> elements, the package implementer shall remove all characters between the <Relationships> start tag and the <Relationships> end tag.

13.3 Digital Signature Example

The contents of digital signature parts are defined by the W3C Recommendation “XML-Signature Syntax and Processing” with some package-specific modifications specified in §13.2.4.1, “Modifications to the XML Digital Signature Specification”.

[Example:

Digital signature markup for packages is illustrated in this example. For information about namespaces used in this example, see Annex H, “Standard Namespaces and Content Types.”

```

<Signature Id="SignatureId" xmlns="http://www.w3.org/2000/09/xmlsig#">
  <SignedInfo>
    <CanonicalizationMethod Algorithm="http://www.w3.org/TR/2001/
      REC-xml-c14n-20010315"/>
    <SignatureMethod Algorithm="http://www.w3.org/2000/09/
      xmlsig#dsa-sha1"/>
    <Reference
      URI="#idPackageObject"
      Type="http://www.w3.org/2000/09/xmlsig#Object">
      <Transforms>
        <Transform Algorithm="http://www.w3.org/TR/2001/
          REC-xml-c14n-20010315"/>
      </Transforms>
      <DigestMethod Algorithm="http://www.w3.org/2000/09/
        xmlsig#sha1"/>
      <DigestValue>...</DigestValue>
    </Reference>
    <Reference
      URI="#Application"
      Type="http://www.w3.org/2000/09/xmlsig#Object">
      <Transforms>
        <Transform Algorithm="http://www.w3.org/TR/2001/
          REC-xml-c14n-20010315"/>
      </Transforms>
      <DigestMethod
        Algorithm="http://www.w3.org/2000/09/xmlsig#sha1"/>
      <DigestValue>...</DigestValue>
    </Reference>
  </SignedInfo>
  <SignatureValue>...</SignatureValue>

  <KeyInfo>
    <X509Data>
      <X509Certificate>...</X509Certificate>
    </X509Data>
  </KeyInfo>

```

```

1      <Object Id="idPackageObject"
2      xmlns:pds="http://schemas.openxmlformats.org
3      /package/2006/digital-signature">
4      <Manifest>
5          <Reference URI="/document.xml?ContentType=application/
6          vnd.ms-document+xml">
7              <Transforms>
8                  <Transform Algorithm="http://www.w3.org/TR/2001/
9                  REC-xml-c14n-20010315"/>
10             </Transforms>
11             <DigestMethod Algorithm="http://www.w3.org/2000/09/
12             xmldsig#sha1"/>
13             <DigestValue>...</DigestValue>
14         </Reference>
15         <Reference
16             URI="/_rels/document.xml.rels?ContentType=application/
17             vnd.ms-package.relationships+xml">
18             <Transforms>
19                 <Transform Algorithm="http://schemas.microsoft.com/
20                 package/2005/06/RelationshipTransform">
21                     <pds:RelationshipReference SourceId="B1"/>
22                     <pds:RelationshipReference SourceId="A1"/>
23                     <pds:RelationshipReference SourceId="A11"/>
24                     <pds:RelationshipsGroupReference SourceType=
25                     "http://schemas.custom.com/required-resource"/>
26                 </Transform>
27                 <Transform Algorithm="http://www.w3.org/TR/2001/
28                 REC-xml-c14n-20010315"/>
29             </Transforms>
30             <DigestMethod Algorithm="http://www.w3.org/2000/09/
31             xmldsig#sha1"/>
32             <DigestValue>...</DigestValue>
33         </Reference>
34     </Manifest>
35     <SignatureProperties>
36         <SignatureProperty Id="idSignatureTime" Target="#SignatureId">
37             <pds:SignatureTime>
38                 <pds:Format>YYYY-MM-DDThh:mmTZD</pds:Format>
39                 <pds:Value>2003-07-16T19:20+01:00</pds:Value>
40             </pds:SignatureTime>
41         </SignatureProperty>
42     </SignatureProperties>
43 </Object>
44 <Object Id="Application">...</Object>
45 </Signature>

```

47 *end example]*

13.4 Generating Signatures

The steps for signing package contents follow the algorithm outlined in §3.1, “Core Generation,” of the W3C Recommendation “XML-Signature Syntax and Processing,” with some modification for package-specific constructs.

The steps below might not be sufficient for signing or validating signatures that contain application-specific <Object> elements. Format designers that utilize application-specific <Object> elements shall also define the additional steps that shall be performed to sign or validate the application-specific <Object> elements.

To generate references:

1. For each package part being signed:
 - a. The package implementer shall apply the transforms, as determined by the producer, to the contents of the part.
 - b. The package implementer shall calculate the digest value using the resulting contents of the part.
2. The package implementer shall create a <Reference> element that includes the reference of the part with the query component matching the content type of the target part, necessary transform elements, the digest algorithm, and the <DigestValue>element.
3. The package implementer shall construct the package-specific <Object> element containing a <Manifest> element with child <Reference> elements and a <SignatureProperties> element with a child <SignatureTime> element.
4. The package implementer shall create a reference to the resulting package-specific <Object> element.

When signing <Object> element data, package implementers shall follow the generic reference creation algorithm described in §3.1, “Core Generation,” of the W3C Recommendation “XML-Signature Syntax and Processing”. [M6.28]

To generate signatures:

1. The package implementer shall create the <SignedInfo> element with a <SignatureMethod>element, a <CanonicalizationMethod> element, and at least one <Reference> element.
2. The package implementer shall canonicalize the data and then calculate the <SignatureValue> element using the <SignedInfo>element based on the algorithms specified in the <SignedInfo>element.
3. The package implementer shall construct a <Signature> element that includes <SignedInfo>, <Object>, and <SignatureValue> elements. If a certificate is embedded in the signature, the package implementer shall also include the <KeyInfo>element.

13.5 Validating Signatures

Consumers validate signatures following the steps described in §3.2, “Core Validation,” of the W3C Recommendation “XML-Signature Syntax and Processing.” When validating digital signatures, consumers shall

1 verify the content type and the digest contained in each <Reference> descendant element of the <SignedInfo>
2 element, and validate the signature calculated using the <SignedInfo> element. [M6.29]

3 To validate references:

- 4 1. The package implementer shall canonicalize the <SignedInfo> element based on the
5 <CanonicalizationMethod> element specified in the <SignedInfo> element.
- 6 2. For each <Reference> element in the <SignedInfo> element:
 - 7 a. The package implementer shall obtain the <Object> element to be digested.
 - 8 b. For the package-specific <Object> element, the package implementer shall validate references
9 to signed parts stored in the <Manifest> element. The package implementer shall consider
10 references invalid if there is a missing part. [M6.9]
 - 11 c. For the package-specific <Object> element, validation of <Reference> elements includes
12 verifying the content type of the referenced part and the content type specified in the reference
13 query component. Package implementers shall consider references invalid if these two values
14 are different. The string comparison shall be case-sensitive and locale-invariant. [M6.11]
 - 15 d. The package implementer shall digest the obtained <Object> element using the
16 <DigestMethod> element specified in the <Reference> element.
 - 17 e. The package implementer shall compare the generated digest value against the <DigestValue>
18 element in the <Reference> element of the <SignedInfo> element. Package implementers shall
19 consider references invalid if there is any mismatch. [M6.30]

20 To validate signatures:

- 21 1. The package implementer shall obtain the public key information from the <KeyInfo> element or from
22 an external source.
- 23 2. The package implementer shall obtain the canonical form of the <SignatureMethod> element using the
24 <CanonicalizationMethod> element. The package implementer shall use the result and the previously
25 obtained <KeyInfo> element to confirm the <SignatureValue> element stored in the <SignedInfo>
26 element. The package implementer shall decrypt the <SignatureValue> element using the public key
27 prior to comparison.

28 **13.5.1 Signature Validation and Streaming Consumption**

29 Streaming consumers that maintain signatures shall be able to cache the parts necessary for detecting and
30 processing signatures. [M6.31]

31 **13.6 Support for Versioning and Extensibility**

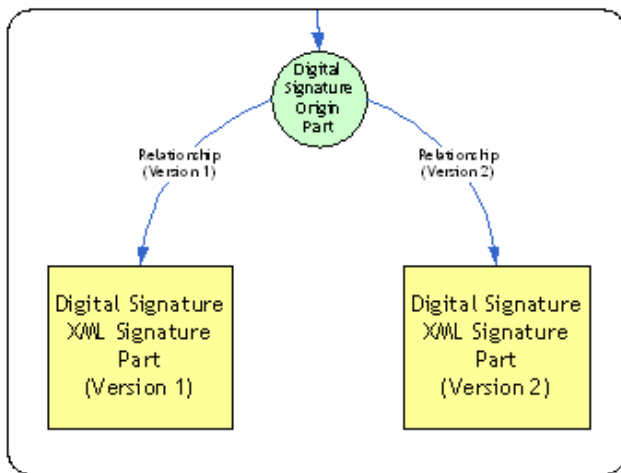
32 The package digital signature infrastructure supports the exchange of signed packages between current and
33 future package clients.

1 13.6.1 Using Relationship Types

2 Future versions of the package format will specify distinct relationship types for revised signature parts. Using
3 these relationships, producers will be able to store separate signature information for current and previous
4 versions. Consumers will be able to choose the signature information they know how to validate.

5 Figure 13–2, “Part names and logical item names”, illustrates this versioning capability that will be available in
6 future versions of the package format.

7 Figure 13–2. A package containing versioned signatures



9 13.6.2 Markup Compatibility Namespace for Package Digital Signatures

10 The package implementer shall not use the Markup Compatibility namespace, as specified in Annex H, “Standard
11 Namespaces and Content Types,” within the package-specific <Object> element. The package implementer shall
12 consider the use of the Markup Compatibility namespace within the package-specific <Object> element to be an
13 error. [M6.32]

14 Format designers might specify an application-specific package part format that allows for the embedding of
15 versioned or extended content that might not be fully understood by all present and future implementations.
16 Producers might create such embedded versioned or extended content and consumers might encounter such
17 content. [O6.12] *[Example: An XML package part format might rely on Markup Compatibility elements and
18 attributes to embed such versioned or extended content. end example]*

19 If an application allows for a single part to contain information that might not be fully understood by all
20 implementations, then the format designer shall carefully design the signing and verification policies to account
21 for the possibility of different implementations being used for each action in the sequence of content creation,
22 content signing, and signature verification. Producers and consumers shall account for this possibility in their
23 signing and verification processing. [M6.33]

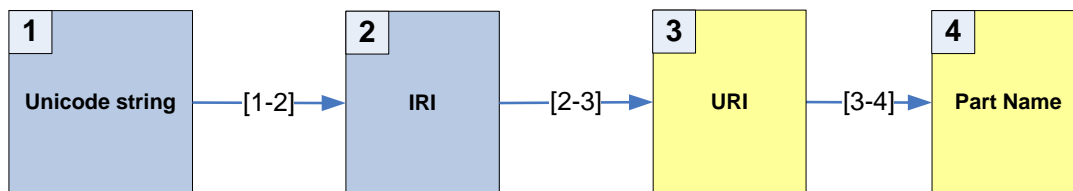
Annex A. Resolving Unicode Strings to Part Names

Package clients might use Unicode strings for referencing parts in a package. *[Example: Values of xsd:anyURI data type within XML markup are Unicode strings. end example]*

This annex specifies how such Unicode strings shall be resolved to part names.

The diagram below illustrates the conversion path from the Unicode string to a part name. The numbered arcs identify string transformations.

Figure A–1. Strings are converted to part names for referencing parts



A Unicode string representing a URI can be passed to the producer or consumer. The producing or consuming application shall convert the Unicode string to a URI. If the URI is a relative reference, the application shall resolve it using the base URI of the part, which is expressed using the pack scheme, to the URI of the referenced part. [M1.33]

The process for resolving a Unicode string to a part name follows Arcs [1-2], [2-3], and [3-4].

A.1 Creating an IRI from a Unicode String

With reference to Arc [1-2] in Figure A–1, a Unicode string is converted to an IRI by percent-encoding each ASCII character that does not belong to the set of reserved or unreserved characters as defined in RFC 3986.

A.2 Creating a URI from an IRI

With reference to Arc [2-3] in Figure A–1, an IRI is converted to a URI by converting non-ASCII characters as defined in Step 2 in §3.1 of RFC 3987

If a consumer converts the URI back into an IRI, the conversion shall be performed as specified in §3.2 of RFC 3987. [M1.34]

1 A.3 Resolving a Relative Reference to a Part Name

2 If the URI reference obtained in §A.2 is a URI, it is resolved in the regular way, that is, with no package-specific
3 considerations. Otherwise, if the URI reference is a relative reference, it is resolved (with reference to Arc [3-4]
4 in Figure A–1) as follows:

- 5 1. Percent-encode each open bracket ([) and close bracket (]).
- 6 2. Percent-encode each percent (%) character that is not followed by a hexadecimal notation of an octet
7 value.
- 8 3. Un-percent-encode each percent-encoded unreserved character.
- 9 4. Un-percent-encode each forward slash (/) and back slash (\).
- 10 5. Convert all back slashes to forward slashes.
- 11 6. If present in a segment containing non-dot (".") characters, remove trailing dot (".") characters from
12 each segment.
- 13 7. If a single trailing forward slash (/) is present, remove that trailing forward slash.
- 14 8. Remove complete segments that consist of three or more dots.
- 15 9. Resolve the relative reference against the base URI of the part holding the Unicode string, as it is defined
16 in §5.2 of RFC 3986.

17 The producer shall not create a relative reference that would resolve to a pack URI that does not have a path
18 component that conforms to the part name grammar, and a consumer shall issue an error if it encounters such a
19 relative reference. [M1.35]

20 A.4 String Conversion Examples

21 *[Example:*

22 Examples of Unicode strings converted to IRIs, URIs, and part names are shown below:

| Unicode string | IRI | URI | Part name |
|----------------|----------------|----------------|---------------|
| /a/b.xml | /a/b.xml | /a/b.xml | /a/b.xml |
| /a/ü.xml | /a/ü.xml | /a/%D1%86.xml | /a/%D1%86.xml |
| /%41/%61.xml | /%41/%61.xml | /%41/%61.xml | /A/a.xml |
| /%25XY.xml | /%25XY.xml | /%25XY.xml | /%25XY.xml |
| /%XY.xml | /%XY.xml | /%25XY.xml | /%25XY.xml |
| /%2541.xml | /%2541.xml | /%2541.xml | /%2541.xml |
| ././a.xml | ././a.xml | ././a.xml | /a.xml |
| ././ü.xml | ././ü.xml | ././%D1%86.xml | /%D1%86.xml |
| /%2e/%2e/a.xml | /%2e/%2e/a.xml | /%2e/%2e/a.xml | /a.xml |
| \a.xml | %5Ca.xml | %5Ca.xml | /a.xml |
| \%41.xml | %5C%41.xml | %5C%41.xml | /A.xml |
| /%D1%86.xml | /%D1%86.xml | /%D1%86.xml | /%D1%86.xml |

| Unicode string | IRI | URI | Part name |
|----------------|--------------|--------------|-----------|
| \%2e/a.xml | %5C%2e/a.xml | %5C%2e/a.xml | /a.xml |

1 *end example]*

1 Annex B. Pack URI

2 A package is a logical entity that holds a collection of parts. This specification defines a way to use URIs to
3 reference part resources inside a package. This approach defines a new scheme in accordance with the
4 guidelines in RFC 3986.

5 The following terms are used as they are defined in RFC 3986: *scheme*, *authority*, *path*, *segment*, *reserved*
6 *characters*, *sub-delims*, *unreserved characters*, *pchar*, *pct-encoded characters*, *query*, *fragment*, and *resource*.

7 B.1 Pack URI Scheme

8 RFC 3986 provides an extensible mechanism for defining new kinds of URIs based on new schemes. Schemes are
9 the prefix in a URI before the colon. [Example: “http”, “ftp”, “file” end example] This specification defines a
10 specific URI scheme used to refer to parts in a package: the pack scheme. A URI that uses the pack scheme is
11 called a *pack URI*.

12 The pack URI grammar is defined as follows:

```
13 pack_URI      = "pack://" authority [ "/" | path ]
14 authority     = *( unreserved | sub-delims | pct-encoded )
15 path         = 1*( "/" segment )
16 segment      = 1*( pchar )
```

17 unreserved, sub-delims, pchar and pct-encoded are defined in RFC 3986

18 The authority component contains an embedded URI that points to a package. The package implementer shall
19 create an embedded URI that meets the requirements defined in RFC 3986 for a valid URI. [M7.1] §B.3,
20 “Composing a Pack URI” describes the rules for composing pack URIs by combining the URI of an entire package
21 resource with a part name.

22 The package implementer shall not create an authority component with an unescaped colon (:) character.
23 [M7.4] Consumer applications, based on the obsolete URI specification RFC 2396, might tolerate the presence of
24 an unescaped colon character in an authority component. [O7.1]

25 The optional path component identifies a particular part within the package. The package implementer shall
26 only create path components that conform to the part naming rules. When the path component is missing, the
27 resource identified by the pack URI is the package as a whole. [M7.2]

28 In order to be able to embed the URI of the package in the pack URI, it is necessary to replace or percent-encode
29 occurrences of certain characters in the embedded URI. For example, forward slashes (/) are replaced with
30 commas (,). The rules for these substitutions are described in §B.3, “Composing a Pack URI”.

31 The optional query component in a pack URI is ignored when resolving the URI to a part.

1 A pack URI might have a fragment identifier as specified in RFC 3986. If present, this fragment applies to
2 whatever resource the pack URI identifies.

3 *[Example:*

4 Example B–1. Using the pack URI to identify a part

5 The following URI identifies the “/a/b/foo.xml” part within the “http://www.microsoft.com/my.container”
6 package resource:

7 `pack://http%3c,,www.microsoft.com,my.container/a/b/foo.xml`

8 *end example]*

9 *[Example:*

10 Example B–2. Equivalent pack URIs

11 The following pack URIs are equivalent:

12 `pack://http%3c,,www.microsoft.com,my.container`
13 `pack://http%3c,,www.microsoft.com,my.container/`

14 *end example]*

15 *[Example:*

16 Example B–3. A pack URI with percent-encoded characters

17 The following URI identifies the “/c/d/bar.xml” part within the
18 “http://myalias:pswr@www.my.com/containers.aspx?my.container” package:

19 `pack://http%3c,,myalias%3cpswr%40www.my.com,containers.aspx%3fmy.containe`
20 `r`
21 `/c/d/bar.xml`

22 *end example]*

23 **B.2 Resolving a Pack URI to a Resource**

24 The following is an algorithm for resolving a pack URI to a resource (either a package or a part):

- 25 1. Parse the pack URI into the potential three components: scheme, authority, path, as well as any
26 fragment identifier.
- 27 2. In the authority component, replace all commas (,) with forward slashes (/).
- 28 3. Un-percent-encode ASCII characters in the resulting authority component.
- 29 4. The URI for the package identified by the pack URI is resulting authority component
- 30 5. If the path component is empty, the pack URI resolves to the package as a whole and the resolution
31 process is complete.

- 1 6. A non-empty path component shall be a valid part name. If it is not, the pack URI is invalid.
- 2 7. The pack URI resolves to the part with this part name in the package identified by the authority
- 3 component.

4 *[Example:*

5 Example B–4. Resolving a pack URI to a resource

6 Given the pack URI:

7 `pack: //http%3c, ,www.my.com, packages.aspx%3fmy.package/a/b/foo.xml`

8 The components:

9 `<authority>= http%3c, ,www.my.com, packages.aspx%3fmy.package`
 10 `<path>= /a/b/foo.xml`

11 Are converted to the package URI:

12 `http://www.my.com/packages.aspx?my.package`

13 And the path:

14 `/a/b/foo.xml`

15 Therefore, this URI refers to a part named “/a/b/foo.xml” in the package at the following URI:

16 `http://www.my.com/packages.aspx?my.package.`

17 *end example]*

18 **B.3 Composing a Pack URI**

19 The following is an algorithm for composing a pack URI from the URI of an entire package resource and a part

20 name.

21 In order to be suitable for creating a pack URI, the URI reference of a package resource shall conform to

22 RFC 3986 requirements for valid absolute URIs.

23 To compose a pack URI from the absolute package URI and a part name, the following steps shall be performed,

24 in order:

- 25 1. Remove the fragment identifier from the package URI, if present.
- 26 2. Percent-encode all percent signs (%), question marks (?), at signs (@), colons (:), and commas (,) in the
- 27 package URI.
- 28 3. Replace all forward slashes (/) with commas (,) in the resulting string.
- 29 4. Append the resulting string to the string “pack:///”.
- 30 5. Append a forward slash (/) to the resulting string. The constructed string represents a pack URI with a
- 31 blank path component.

1 6. Using this constructed string as a base URI and the part name as a relative reference, apply the rules
2 defined in RFC 3986 for resolving relative references against the base URI.

3 The result of this operation will be the pack URI that refers to the resource specified by the part name.

4 *[Example:*

5 Example B–5. Composing a pack URI

6 Given the package URI:

7 `http://www.my.com/packages.aspx?my.package`

8 And the part name:

9 `/a/foo.xml`

10 The pack URI is:

11 `pack:http%3c,,www.my.com,packages.aspx%3fmy.package/a/foo.xml`

12 *end example]*

13 **B.4 Equivalence**

14 In some scenarios, such as caching or writing parts to a package, it is necessary to determine if two pack URIs are
15 equivalent without resolving them.

16 The package implementer shall consider pack URIs equivalent if:

- 17 1. The scheme components are octet-by-octet identical after they are both converted to lowercase; *and*
- 18 2. The authority components are equivalent (the rules for which will vary by scheme, as per RFC 3986); *and*
- 19 3. The path components are equivalent when compared as case-insensitive ASCII strings.

20 [M7.3]

Annex C. ZIP Appnote.txt Clarifications

The ZIP specification includes a number of features that packages do not support. Some ZIP features are clarified in the context of this specification. Package producers and consumers shall adhere to the requirements noted below.

C.1 Archive File Header Consistency

Data describing files stored in the archive is substantially duplicated in the Local File Headers and Data Descriptors, and in the File headers within the Central Directory Record. For a ZIP archive to be a valid physical layer for a package, the package implementer shall ensure that the ZIP archive holds equal values in the appropriate fields of every File Header within the Central Directory and the corresponding Local File Header and Data Descriptor pair. [M3.14]

C.2 Table Key

- “Yes” — During consumption of a package, a “Yes” value for a field in a table in Annex C indicates a package implementer shall support reading the ZIP archive containing this record or field, however, support may mean ignoring. [M3.15] During production of a package, a “Yes” value for a field in a table in Annex C indicates that the package implementer shall write out this record or field. [M3.16]
- “No” — A “No” value for a field in a table in Annex C indicates the package implementer shall not use this record or field during consumption or production of packages. [M3.17]
- “Optional” — An “Optional” value for a record in a table in Annex C indicates that package implementers might write this record during production. [O3.2]
- “Partially, details below” — A “Partially, details below” value for a record in a table in Annex C indicates that the record contains fields that might not be supported by package implementers during production or consumption. See the details in the corresponding table to determine requirements. [M3.18]
- “Only used when needed” — The value “Only used when needed” associated with a record in a table in Annex C indicates that the package implementer shall use the record only when needed to store data in the ZIP archive. [M3.19]

Table C–1, “Support for records”, specifies the requirements for package production, consumption, and editing in regard to particular top-level records or fields described in the ZIP Appnote.txt.

Table C–1. Support for records

| Record name | Supported on Consumption | Supported on Production | Pass through on editing |
|-------------------|--------------------------------|--------------------------------|-------------------------|
| Local File Header | Yes (partially, details below) | Yes (partially, details below) | Yes |
| File data | Yes | Yes | Yes |

| Record name | Supported on Consumption | Supported on Production | Pass through on editing |
|--|---------------------------------|---|-------------------------|
| Data descriptor | Yes | Optional | Optional |
| Archive decryption header | No | No | No |
| Archive extra data record | No | No | No |
| Central directory structure: File header | Yes (partially, details below) | Yes (partially, details below) | Yes |
| Central directory structure: Digital signature | Yes (ignore the signature data) | Optional | Optional |
| Zip64 end of central directory record V1 (from spec version 4.5) | Yes (partially, details below) | Yes (partially, details below, used only when needed) | Optional |
| Zip64 end of central directory record V2 (from spec version 6.2) | No | No | No |
| Zip64 end of central directory locator | Yes (partially, details below) | Yes (partially, details below, used only when needed) | Optional |
| End of central directory record | Yes (partially, details below) | Yes (partially, details below, used only when needed) | Yes |

1

2 Table C–2, “Support for record components”, specifies the requirements for package production, consumption,
 3 and editing in regard to individual record components described in the ZIP Appnote.txt.

4 Table C–2. Support for record components

| Record | Field | Supported on Consumption | Supported on Production | Pass through on editing |
|-------------------|-----------------------------|--------------------------------|--------------------------------|--------------------------------|
| Local File Header | Local file header signature | Yes | Yes | Yes |
| | Version needed to extract | Yes (partially, see Table C–3) | Yes (partially, see Table C–3) | Yes (partially, see Table C–3) |
| | General purpose bit flag | Yes (partially, see Table C–5) | Yes (partially, see Table C–5) | Yes (partially, see Table C–5) |

| Record | Field | Supported on Consumption | Supported on Production | Pass through on editing |
|--|---|--------------------------------|--|--------------------------------|
| | Compression method | Yes (partially, see Table C-4) | Yes (partially, see Table C-4) | Yes (partially, see Table C-4) |
| | Last mod file time | Yes | Yes | Yes |
| | Last mod file date | Yes | Yes | Yes |
| | Crc-32 | Yes | Yes | Yes |
| | Compressed size | Yes | Yes | Yes |
| | Uncompressed size | Yes | Yes | Yes |
| | File name length | Yes | Yes | Yes |
| | Extra field length | Yes | Yes | Yes |
| | File name (variable size) | Yes | Yes | Yes |
| | Extra field (variable size) | Yes (partially, see Table C-6) | Yes (partially, see Table C-6) | Yes (partially, see Table C-6) |
| Central directory structure: File header | Central file header signature | Yes | Yes | Yes |
| | version made by: high byte | Yes | Yes (0 = MS-DOS is default publishing value) | Yes |
| | Version made by: low byte | Yes | Yes (partial, always 4.5) | Yes |
| | Version needed to extract (see Table C-3 for details) | Yes (partially, see Table C-3) | Yes (1.0, 1.1, 2.1, 4.5) | Yes |
| | General purpose bit flag | Yes (partially, see Table C-5) | Yes (partially, see Table C-5) | Yes (partially, see Table C-5) |
| | Compression method | Yes (partially, see Table C-4) | Yes (partially, see Table C-4) | Yes (partially, see Table C-4) |
| | Last mod file time (Pass through, no interpretation) | Yes | Yes | Yes |
| | Last mod file date (Pass through, in interpretation) | Yes | Yes | Yes |
| | Crc-32 | Yes | Yes | Yes |
| | Compressed size | Yes | Yes | Yes |
| | Uncompressed size | Yes | Yes | Yes |
| | File name length | Yes | Yes | Yes |
| | Extra field length | Yes | Yes | Yes |
| | File comment length | Yes | Yes (always set to 0) | Yes |

| Record | Field | Supported on Consumption | Supported on Production | Pass through on editing |
|--|---|--|--|--|
| | Disk number start | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| | Internal file attributes | Yes | Yes | Yes |
| | External file attributes (Pass through, no interpretation) | Yes | Yes (MS DOS default value) | Yes |
| | Relative offset of local header | Yes | Yes | Yes |
| | File name (variable size) | Yes | Yes | Yes |
| | Extra field (variable size) | Yes (partially, see Table C–6) | Yes (partially, see Table C–6) | Yes (partially, see Table C–6) |
| | File comment (variable size) | Yes | Yes (always set to empty) | Yes |
| Zip64 end of central directory V1 (from spec version 4.5, only used when needed) | Zip64 end of central directory signature | Yes | Yes | Yes |
| | Size of zip64 end of central directory | Yes | Yes | Yes |
| | Version made by: high byte (Pass through, no interpretation) | Yes | Yes (0 = MS-DOS is default publishing value) | Yes |
| | Version made by: low byte | Yes | Yes (always 4.5) | Yes |
| | Version needed to extract (see Table C–3 for details) | Yes (4.5) | Yes (4.5) | Yes (4.5) |
| | Number of this disk | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| | Number of the disk with the start of the central directory | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| | Total number of entries in the central directory on this disk | Yes | Yes | Yes |
| | Total number of entries in the central directory | Yes | Yes | Yes |
| | Size of the central directory | Yes | Yes | Yes |

| Record | Field | Supported on Consumption | Supported on Production | Pass through on editing |
|--|---|--|-------------------------|--|
| | Offset of start of central directory with respect to the starting disk number | Yes | Yes | Yes |
| | Zip64 extensible data sector | Yes | No | Yes |
| Zip64 end of central directory locator (only used when needed) | Zip64 end of central dir locator signature | Yes | Yes | Yes |
| | Number of the disk with the start of the zip64 end of central directory | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| | Relative offset of the zip64 end of central directory record | Yes | Yes | Yes |
| | Total number of disks | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| End of central directory record | End of central dir signature | Yes | Yes | Yes |
| | Number of this disk | Yes (partial — no multi disk archives) | Yes (always 1 disk) | Yes (partial — no multi disk archives) |
| | Number of the disk with the start of the central directory | Yes (partial — no multi disk archive) | Yes (always 1 disk) | Yes (partial — no multi disk archive) |
| | Total number of entries in the central directory on this disk | Yes | Yes | Yes |
| | Total number of entries in the central directory | Yes | Yes | Yes |
| | Size of the central directory | Yes | Yes | Yes |
| | Offset of start of central directory with respect to the starting disk number | Yes | Yes | Yes |
| | ZIP file comment length | Yes | Yes | Yes |
| | ZIP file comment | Yes | No | Yes |

1

2 Table C-3, “Support for Version Needed to Extract field”, specifies the detailed production, consumption, and
 3 editing requirements for the Extract field, which is fully described in the ZIP Appnote.txt.

1 Table C–3. Support for Version Needed to Extract field

| Version | Feature | Supported on Consumption | Supported on Production | Pass through on editing |
|---------|---|--------------------------|-------------------------|-------------------------|
| 1.0 | Default value | Yes | Yes | Yes |
| 1.1 | File is a volume label | Ignore | No | (rewrite/remove) |
| 2.0 | File is a folder (directory) | Ignore | No | (rewrite/remove) |
| 2.0 | File is compressed using Deflate compression | Yes | Yes | Yes |
| 2.0 | File is encrypted using traditional PKWARE encryption | No | No | No |
| 2.1 | File is compressed using Deflate64(tm) | No | No | No |
| 2.5 | File is compressed using PKWARE DCL Implode | No | No | No |
| 2.7 | File is a patch data set | No | No | No |
| 4.5 | File uses ZIP64 format extensions | Yes | Yes | Yes |
| 4.6 | File is compressed using BZIP2 compression | No | No | No |
| 5.0 | File is encrypted using DES | No | No | No |
| 5.0 | File is encrypted using 3DES | No | No | No |
| 5.0 | File is encrypted using original RC2 encryption | No | No | No |
| 5.0 | File is encrypted using RC4 encryption | No | No | No |
| 5.1 | File is encrypted using AES encryption | No | No | No |
| 5.1 | File is encrypted using corrected RC2 encryption | No | No | No |
| 5.2 | File is encrypted using corrected RC2-64 encryption | No | No | No |
| 6.1 | File is encrypted using non-OAEP key wrapping | No | No | No |
| 6.2 | Central directory encryption | No | No | No |

2

3 Table C–4, “Support for Compression Method field”, specifies the detailed production, consumption, and editing
 4 requirements for the Compression Method field, which is fully described in the ZIP Appnote.txt.

1 Table C–4. Support for Compression Method field

| Code | Method | Supported on Consumption | Supported on Production | Pass through on editing |
|------|---|--------------------------|-------------------------|-------------------------|
| 0 | The file is stored (no compression) | Yes | Yes | Yes |
| 1 | The file is Shrunk | No | No | No |
| 2 | The file is Reduced with compression factor 1 | No | No | No |
| 3 | The file is Reduced with compression factor 2 | No | No | No |
| 4 | The file is Reduced with compression factor 3 | No | No | No |
| 5 | The file is Reduced with compression factor 4 | No | No | No |
| 6 | The file is Imploded | No | No | No |
| 7 | Reserved for Tokenizing compression algorithm | No | No | No |
| 8 | The file is Deflated | Yes | Yes | Yes |
| 9 | Enhanced Deflating using Deflate64™ | No | No | No |
| 10 | PKWARE Data Compression Library Imploding | No | No | No |
| 11 | Reserved by PKWARE | No | No | |

2

3 Table C–5, “Support for modes/structures defined by general purpose bit flags”, specifies the detailed
 4 production, consumption, and editing requirements when utilizing these general-purpose bit flags within
 5 records.

6 Table C–5. Support for modes/structures defined by general purpose bit flags

| Bit | Feature | Supported on Consumption | Supported on Production | Pass through on editing |
|-----|---|--------------------------|-------------------------|-------------------------|
| 0 | If set, indicates that the file is encrypted. | No | No | No |

| Bit | Feature | | | Supported on Consumption | Supported on Production | Pass through on editing |
|------|--|--------------|---|--------------------------|-------------------------|-------------------------|
| 1, 2 | Bit 2 | Bit 1 | | Yes | Yes | Yes |
| | 0 | 0 | Normal (-en) compression option was used. | | | |
| | 0 | 1 | Maximum (-exx/-ex) compression option was used. | | | |
| | 1 | 0 | Fast (-ef) compression option was used. | | | |
| | 1 | 1 | Super Fast (-es) compression option was used. | | | |
| 3 | If this bit is set, the fields crc-32, compressed size and uncompressed size are set to zero in the local header. The correct values are put in the data descriptor immediately following the compressed data. (PKZIP version 2.04g for DOS only recognizes this bit for method 8 compression, newer versions of PKZIP recognize this bit for any compression method.) | | | Yes | Yes | Yes |
| 4 | Reserved for use with method 8, for enhanced deflating | | | Ignore | Bits set to 0 | Yes |
| 5 | If this bit is set, this indicates that the file is compressed patched data. (Requires PKZIP version 2.70 or greater.) | | | Ignore | Bits set to 0 | Yes |
| 6 | Strong encryption. If this bit is set, you should set the version needed to extract value to at least 50 and you must also set bit 0. If AES encryption is used, the version needed to extract value must be at least 51. | | | Ignore | Bits set to 0 | Yes |
| 7 | Currently unused | | | Ignore | Bits set to 0 | Yes |
| 8 | Currently unused | | | Ignore | Bits set to 0 | Yes |
| 9 | Currently unused | | | Ignore | Bits set to 0 | Yes |
| 10 | Currently unused | | | Ignore | Bits set to 0 | Yes |
| 11 | Currently unused | | | Ignore | Bits set to 0 | Yes |

| Bit | Feature | Supported on Consumption | Supported on Production | Pass through on editing |
|-----|---|--------------------------|-------------------------|-------------------------|
| 12 | Reserved by PKWARE for enhanced compression | Ignore | Bits set to 0 | Yes |
| 13 | Used when encrypting the Central Directory to indicate selected data values in the Local Header are masked to hide their actual values. See the section describing the Strong Encryption Specification for details. | Ignore | Bits set to 0 | Yes |
| 14 | Reserved by PKWARE | Ignore | Bits set to 0 | Yes |
| 15 | Reserved by PKWARE | Ignore | Bits set to 0 | Yes |

1

2 Table C–6, “Support for Extra field (variable size), PKWARE-reserved”, specifies the detailed production,
 3 consumption, and editing requirements for the Extra field entries reserved by PKWARE and described in the ZIP
 4 Appnote.txt.

5 Table C–6. Support for Extra field (variable size), PKWARE-reserved

| Field ID | Field description | Supported on Consumption | Supported on Production | Pass through on editing |
|----------|--|--------------------------|-------------------------|-------------------------|
| 0x0001 | ZIP64 extended information extra field | Yes | Yes | Optional |
| 0x0007 | AV Info | Ignore | No | Yes |
| 0x0008 | Reserved for future Unicode file name data (PFS) | Ignore | No | Yes |
| 0x0009 | OS/2 | Ignore | No | Yes |
| 0x000a | NTFS | Ignore | No | Yes |
| 0x000c | OpenVMS | Ignore | No | Yes |
| 0x000d | Unix | Ignore | No | Yes |
| 0x000e | Reserved for file stream and fork descriptors | Ignore | No | Yes |
| 0x000f | Patch Descriptor | Ignore | No | Yes |
| 0x0014 | PKCS#7 Store for X.509 Certificates | Ignore | No | Yes |
| 0x0015 | X.509 Certificate ID and Signature for individual file | Ignore | No | Yes |

| Field ID | Field description | Supported on Consumption | Supported on Production | Pass through on editing |
|----------|--|--------------------------|-------------------------|-------------------------|
| 0x0016 | X.509 Certificate ID for Central Directory | Ignore | No | Yes |
| 0x0017 | Strong Encryption Header | Ignore | No | Yes |
| 0x0018 | Record Management Controls | Ignore | No | Yes |
| 0x0019 | PKCS#7 Encryption Recipient Certificate List | Ignore | No | Yes |
| 0x0065 | IBM S/390 (Z390), AS/400 (I400) attributes — uncompressed | Ignore | No | Yes |
| 0x0066 | Reserved for IBM S/390 (Z390), AS/400 (I400) attributes — compressed | Ignore | No | Yes |
| 0x4690 | POZIP 4690 (reserved) | Ignore | No | Yes |

1

2 Table C–7, “Support for Extra field (variable size), third-party extensions”, specifies the detailed production,
3 consumption, and editing requirements for the Extra field entries reserved by third parties and described in the
4 ZIP Appnote.txt.

5 Table C–7. Support for Extra field (variable size), third-party extensions

| Field ID | Field description | Supported on Consumption | Supported on Production | Pass through on editing |
|----------|---|--------------------------|-------------------------|-------------------------|
| 0x07c8 | Macintosh | Ignore | No | Yes |
| 0x2605 | ZipIt Macintosh | Ignore | No | Yes |
| 0x2705 | ZipIt Macintosh 1.3.5+ | Ignore | No | Yes |
| 0x2805 | ZipIt Macintosh 1.3.5+ | Ignore | No | Yes |
| 0x334d | Info-ZIP Macintosh | Ignore | No | Yes |
| 0x4341 | Acorn/SparkFS | Ignore | No | Yes |
| 0x4453 | Windows NT security descriptor (binary ACL) | Ignore | No | Yes |
| 0x4704 | VM/CMS | Ignore | No | Yes |
| 0x470f | MVS | Ignore | No | Yes |
| 0x4b46 | FWKCS MD5 (see below) | Ignore | No | Yes |

| Field ID | Field description | Supported on Consumption | Supported on Production | Pass through on editing |
|----------|--|--------------------------|-------------------------|-------------------------|
| 0x4c41 | OS/2 access control list (text ACL) | Ignore | No | Yes |
| 0x4d49 | Info-ZIP OpenVMS | Ignore | No | Yes |
| 0x4f4c | Xceed original location extra field | Ignore | No | Yes |
| 0x5356 | AOS/VS (ACL) | Ignore | No | Yes |
| 0x5455 | extended timestamp | Ignore | No | Yes |
| 0x554e | Xceed unicode extra field | Ignore | No | Yes |
| 0x5855 | Info-ZIP Unix (original, also OS/2, NT, etc) | Ignore | No | Yes |
| 0x6542 | BeOS/BeBox | Ignore | No | Yes |
| 0x756e | ASi Unix | Ignore | No | Yes |
| 0x7855 | Info-ZIP Unix (new) | Ignore | No | Yes |
| 0xa220 | Padding, Microsoft | Optional | Optional | Optional |
| 0xfd4a | SMS/QDOS | Ignore | No | Yes |

1

2 The package implementer shall ensure that all 64-bit stream record sizes and offsets have the high-order bit = 0.
3 [M3.20]

4 The package implementer shall ensure that all fields that contain “number of entries” do not exceed
5 2,147,483,647. [M3.21]

1 Annex D. Relationships Schema

```

2 <?xml version="1.0" encoding="UTF-8" standalone="no"?>
3 <xsd:schema
4 xmlns="http://schemas.openxmlformats.org/package/2006/relationships"
5 xmlns:xsd="http://www.w3.org/2001/XMLSchema"
6 targetNamespace="http://schemas.openxmlformats.org/package/2006/relations
7 hips" elementFormDefault="qualified" attributeFormDefault="unqualified"
8 blockDefault="#all">
9   <xsd:element name="Relationships" type="CT_Relationships"/>
10  <xsd:element name="Relationship" type="CT_Relationship"/>
11  <xsd:complexType name="CT_Relationships">
12    <xsd:sequence>
13      <xsd:element ref="Relationship" minOccurs="0"
14 maxOccurs="unbounded"/>
15    </xsd:sequence>
16  </xsd:complexType>
17  <xsd:complexType name="CT_Relationship">
18    <xsd:simpleContent>
19      <xsd:extension base="xsd:string">
20        <xsd:attribute name="TargetMode" type="ST_TargetMode"
21 use="optional"/>
22        <xsd:attribute name="Target" type="xsd:anyURI" use="required"/>
23        <xsd:attribute name="Type" type="xsd:anyURI" use="required"/>
24        <xsd:attribute name="Id" type="xsd:ID" use="required"/>
25      </xsd:extension>
26    </xsd:simpleContent>
27  </xsd:complexType>
28  <xsd:simpleType name="ST_TargetMode">
29    <xsd:restriction base="xsd:string">
30      <xsd:enumeration value="External"/>
31      <xsd:enumeration value="Internal"/>
32    </xsd:restriction>
33  </xsd:simpleType>
34 </xsd:schema>

```


Annex E. Package Digital Signature Schema

```

1  <?xml version="1.0" encoding="UTF-8" standalone="no"?>
2  <xsd:schema
3  xmlns="http://schemas.openxmlformats.org/package/2006/digital-signature"
4  xmlns:xsd="http://www.w3.org/2001/XMLSchema"
5  xmlns:pds="http://schemas.openxmlformats.org/package/2006/digital-
6  signature"
7  targetNamespace="http://schemas.openxmlformats.org/package/2006/digital-
8  signature" elementFormDefault="qualified"
9  attributeFormDefault="unqualified" blockDefault="#all">
10
11  <!-- Individual date and time patterns
12  For better readability, each pattern using numbers is also described
13  in a comment using one of the following pattern designators.
14
15  The actual patterns are generated by replacement by the schema
16  publication process.
17  -->
18  <!--DEFINE [_y]      "([0-9][0-9][0-9][0-9])" -->
19  <!--DEFINE [_mo]    "((0[1-9])|(1(0|1|2)))" -->
20  <!--DEFINE [_d]     "((0[1-9])|(1[0-9])|(2[0-9])|(3(0|1)))" -->
21  <!--DEFINE [_h]     "((0[0-9])|(1[0-9])|(2(0|1|2|3)))" -->
22  <!--DEFINE [_ms]    "((0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-
23  9]))" -->
24  <!--DEFINE [_mss]   "(((0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-
25  9]))\.[0-9])" -->
26  <!--DEFINE [_TZD]   "(((\+|-)((0[0-9])|(1[0-9])|(2(0|1|2|3))):((0[0-
27  9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))|Z)" -->
28
29
30  <xsd:element name="SignatureTime" type="CT_SignatureTime"/>
31  <xsd:element name="RelationshipReference"
32  type="pds:CT_RelationshipReference"/>
33  <xsd:element name="RelationshipsGroupReference"
34  type="pds:CT_RelationshipsGroupReference"/>
35  <xsd:complexType name="CT_SignatureTime">
36  <xsd:sequence>
37  <xsd:element name="Format">
38  <xsd:simpleType>
39  <xsd:restriction base="xsd:string">
40  <xsd:pattern value="(YYYY)|(YYYY-MM)|(YYYY-MM-DD)|(YYYY-MM-
41  DDThh:mmTZD)|(YYYY-MM-DDThh:mm:ssTZD)|(YYYY-MM-DDThh:mm:ss.STZD)"/>
42  </xsd:restriction>
43  </xsd:simpleType>
44  </xsd:element>
45  <xsd:element name="value">
46  <xsd:simpleType>

```

```

1      <xsd:restriction base="xsd:string">
2          <xsd:pattern value="((([0-9][0-9][0-9][0-9]))|((([0-9][0-9][0-9][0-9])-((0[1-9])|(1(0|1|2)))))|((([0-9][0-9][0-9][0-9])-((0[1-9])|(1(0|1|2))))-((0[1-9])|(1[0-9])|(2[0-9])|(3(0|1)))))|((([0-9][0-9][0-9][0-9])-((0[1-9])|(1(0|1|2))))-((0[1-9])|(1[0-9])|(2[0-9])|(3(0|1))))T((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))(((\+|-)((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))|z)|((([0-9][0-9][0-9][0-9])-((0[1-9])|(1(0|1|2))))-((0[1-9])|(1[0-9])|(2[0-9])|(3(0|1))))T((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))(((\+|-)((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))|z)|((([0-9][0-9][0-9][0-9])-((0[1-9])|(1(0|1|2))))-((0[1-9])|(1[0-9])|(2[0-9])|(3(0|1))))T((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))(((\+|-)((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))|z))\.[0-9)(((\+|-)((0[0-9])|(1[0-9])|(2(0|1|2|3)))):(([0[0-9])|(1[0-9])|(2[0-9])|(3[0-9])|(4[0-9])|(5[0-9])))|z))"/>
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20      <!--
21      <xsd:pattern value="([_y])|\
22          ([_y]-[_mo])|\
23          ([_y]-[_mo]-[_d])|\
24          ([_y]-[_mo]-[_d]T[_h]:[_ms][_TZD])|\
25          ([_y]-[_mo]-[_d]T[_h]:[_ms]:[_ms][_TZD])|\
26          ([_y]-[_mo]-[_d]T[_h]:[_ms]:[_mss][_TZD])"/>
27      -->
28      </xsd:restriction>
29      </xsd:simpleType>
30      </xsd:element>
31      </xsd:sequence>
32      </xsd:complexType>
33      <xsd:complexType name="CT_RelationshipReference">
34          <xsd:simpleContent>
35              <xsd:extension base="xsd:string">
36                  <xsd:attribute name="SourceId" type="xsd:string" use="required"/>
37              </xsd:extension>
38          </xsd:simpleContent>
39      </xsd:complexType>
40      <xsd:complexType name="CT_RelationshipsGroupReference">
41          <xsd:simpleContent>
42              <xsd:extension base="xsd:string">
43                  <xsd:attribute name="SourceType" type="xsd:anyURI"
44 use="required"/>
45              </xsd:extension>
46          </xsd:simpleContent>
47      </xsd:complexType>
48      </xsd:schema>

```

Annex F. Core Properties Schema

F.1 Schema

```

1  <?xml version="1.0" encoding="UTF-8"?>
2  <xs:schema
3  targetNamespace="http://schemas.openxmlformats.org/package/2006/metadata/
4  core-properties"
5  xmlns="http://schemas.openxmlformats.org/package/2006/metadata/core-
6  properties" xmlns:xs="http://www.w3.org/2001/XMLSchema"
7  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
8  xmlns:dc="http://purl.org/dc/elements/1.1/"
9  xmlns:dcterms="http://purl.org/dc/terms/" elementFormDefault="qualified"
10 blockDefault="#all">
11
12  <!--
13  schemaLocation="http://dublincore.org/schemas/xmls/qdc/2003/04/02/dc.xsd"
14  -->
15  <xs:import namespace="http://purl.org/dc/elements/1.1/" />
16
17  <!--
18  schemaLocation="http://dublincore.org/schemas/xmls/qdc/2003/04/02/dcterms
19  .xsd" -->
20  <xs:import namespace="http://purl.org/dc/terms/" />
21
22  <xs:element name="coreProperties" type="CT_coreProperties" />
23
24  <xs:complexType name="CT_coreProperties">
25  <xs:all>
26  <xs:element name="category" minOccurs="0" maxOccurs="1"
27  type="xs:string" />
28  <xs:element name="contentStatus" minOccurs="0" maxOccurs="1"
29  type="xs:string" />
30  <xs:element name="contentType" minOccurs="0" maxOccurs="1"
31  type="xs:string" />
32  <xs:element ref="dcterms:created" minOccurs="0" maxOccurs="1" />
33  <xs:element ref="dc:creator" minOccurs="0" maxOccurs="1" />
34  <xs:element ref="dc:description" minOccurs="0" maxOccurs="1" />
35  <xs:element ref="dc:identifier" minOccurs="0" maxOccurs="1" />
36  <xs:element name="keywords" minOccurs="0" maxOccurs="1"
37  type="xs:string" />
38  <xs:element ref="dc:language" minOccurs="0" maxOccurs="1" />
39  <xs:element name="lastModifiedBy" minOccurs="0" maxOccurs="1"
40  type="xs:string" />
41  <xs:element name="lastPrinted" minOccurs="0" maxOccurs="1"
42  type="xs:dateTime" />
43  <xs:element ref="dcterms:modified" minOccurs="0" maxOccurs="1" />
44
45

```

```

1      <xs:element name="revision" minOccurs="0" maxOccurs="1"
2 type="xs:string" />
3      <xs:element ref="dc:subject" minOccurs="0" maxOccurs="1" />
4      <xs:element ref="dc:title" minOccurs="0" maxOccurs="1" />
5      <xs:element name="version" minOccurs="0" maxOccurs="1"
6 type="xs:string" />
7    </xs:all>
8  </xs:complexType>
9 </xs:schema>

```

10 F.2 Restrictions

11 The following restrictions apply to every XML document instance that contains Open Packaging Conventions
 12 core properties:

- 13 1. Producers shall not create a document element that contains refinements to the Dublin Core elements,
 14 except for the two specified in the schema: <dcterms:created> and <dcterms:modified> Consumers shall
 15 consider a document element that violates this constraint to be an error. [M4.3]
- 16 2. Producers shall not create a document element that contains the xml:lang attribute. Consumers shall
 17 consider a document element that violates this constraint to be an error. [M4.4] For Dublin Core
 18 elements, this restriction is enforced by applications.
- 19 3. Producers shall not create a document element that contains the xsi:type attribute, except for a
 20 <dcterms:created> or <dcterms:modified> element where the xsi:type attribute shall be present and
 21 shall hold the value "dcterms:W3CDTF." Consumers shall consider a document element that violates this
 22 constraint to be an error. [M4.5]

Annex G. Content Types Schema

```

1  <?xml version="1.0" encoding="UTF-8" standalone="no"?>
2  <xs:schema
3  targetNamespace="http://schemas.openxmlformats.org/package/2006/content-
4  types" elementFormDefault="qualified" attributeFormDefault="unqualified"
5  blockDefault="#all"
6  xmlns="http://schemas.openxmlformats.org/package/2006/content-types"
7  xmlns:xs="http://www.w3.org/2001/XMLSchema">
8
9
10 <!-- Individual patterns for content type grammar
11
12 For better readability, each pattern using numbers is also described in
13 a comment using one of the following pattern designators.
14
15 The actual patterns are generated by replacement by the schema
16 publication process.
17 -->
18
19 <!--DEFINE [media-type]
20 "([type]/[subtype]([LWS]*;[LWS]*[parameter]))*" -->
21 <!--DEFINE [parameter]      "([attribute]=[value])" -->
22 <!--DEFINE [attribute]      "([token])" -->
23 <!--DEFINE [value]          "([token]|[quoted-string])" -->
24 <!--DEFINE [subtype]        "([token])" -->
25 <!--DEFINE [type]           "([token])" -->
26 <!--DEFINE [quoted-string]  "&quot;([qdtype]|[quoted-pair])*&quot;)" --
27 >
28 <!--DEFINE [qdtype]         "([\p{Cc}[DEL]&quot;\n\r|[LWS])" -->
29 <!--DEFINE [quoted-pair]    "(\\[CHAR])" -->
30
31 <!--DEFINE [LWS]            "([SP]+)" -->
32 <!--DEFINE [CHAR]           "[\p{IsBasicLatin}]" -->
33 <!--DEFINE [TEXT]           "[^\p{Cc}[DEL]]" -->
34 <!--DEFINE [separators]    "[\(\)\&lt;&gt;@,;:\&quot;\/\[\]\?=\{\}\s\t]"
35 -->
36 <!--DEFINE [token]
37 "([\p{Cc}[DEL]\(\)\&lt;&gt;@,;:\&quot;\/\[\]\?=\{\}\s\t]+)" -->
38 <!--DEFINE [SP]             "\s" -->
39 <!--DEFINE [DEL]           "&#127;" -->
40
41 <xs:element name="Types" type="CT_Types" />
42
43 <xs:complexType name="CT_Types">
44 <xs:choice minOccurs="0" maxOccurs="unbounded">
45 <xs:element name="Default" type="CT_Default" />
46 <xs:element name="Override" type="CT_Override" />

```

```

1     </xs:choice>
2 </xs:complexType>
3
4     <xs:complexType name="CT_Default">
5         <xs:attribute name="Extension" type="ST_Extension" use="required" />
6         <xs:attribute name="ContentType" type="ST_ContentType"
7 use="required"/>
8     </xs:complexType>
9
10    <xs:complexType name="CT_Override">
11        <xs:attribute name="ContentType" type="ST_ContentType"
12 use="required"/>
13        <xs:attribute name="PartName" type="xs:anyURI" use="required" />
14    </xs:complexType>
15
16    <xs:simpleType name="ST_ContentType">
17        <xs:restriction base="xs:string">
18            <xs:whitespace value="collapse" />
19            <!--
20            <xs:pattern value="[media-type]"/>
21            -->
22            <xs:pattern
23 value="((([^\p{Cc}\(\)&lt;&gt;@,;:\\">
24 } \(\)&lt;&gt;@,;:\\">
25 (\)&lt;&gt;@,;:\\">
26 &quot; \[\] \? = \{ \} \s \t ] + ) = ( ( [ ^ \p { Cc } \
27 asicLatin} ] ) * &quot; ; ) ) ) * )" />
28        </xs:restriction>
29    </xs:simpleType>
30
31    <xs:simpleType name="ST_Extension">
32        <xs:restriction base="xs:string">
33            <xs:pattern value="(!$&#x27; \(\) \* \+, :=) | (%[0-9a-fA-F] [0-9a-fA-
34 F]) | [:@] | [a-zA-Z0-9\-\_~])*" />
35        </xs:restriction>
36    </xs:simpleType>
37
38 </xs:schema>

```

Annex H. Standard Namespaces and Content Types

The namespaces available for use in a package are listed in Table H–1, Package-wide namespaces

Table H–1. Package-wide namespaces

| Description | Namespace URI |
|----------------------|---|
| Content Types | http://schemas.openxmlformats.org/package/2006/content-types |
| Core Properties | http://schemas.openxmlformats.org/package/2006/metadata/core-properties |
| Digital Signatures | http://schemas.openxmlformats.org/package/2006/digital-signature |
| Relationships | http://schemas.openxmlformats.org/package/2006/relationships |
| Markup Compatibility | http://schemas.openxmlformats.org/markup-compatibility/2006 |

The content types available for use in a package are listed in Table H–2, Package-wide content types

Table H–2. Package-wide content types

| Description | Content Type |
|--------------------------------------|---|
| Core Properties part | application/vnd.openxmlformats-package.core-properties+xml |
| Digital Signature Certificate part | application/vnd.openxmlformats-package.digital-signature-certificate |
| Digital Signature Origin part | application/vnd.openxmlformats-package.digital-signature-origin |
| Digital Signature XML Signature part | application/vnd.openxmlformats-package.digital-signature-xmlsignature+xml |
| Relationships part | application/vnd.openxmlformats-package.relationships+xml |

Package implementers and format designers shall not allow content types for the package-specific parts defined in this specification to include parameters. [M1.22]

The relationship types available for use in a package are listed in Table H–3, Package-wide relationship types.

Table H–3. Package-wide relationship types

| Description | Relationship Type |
|-------------------|---|
| Core Properties | http://schemas.openxmlformats.org/package/2006/relationships/metadata/core-properties |
| Digital Signature | http://schemas.openxmlformats.org/package/2006/relationships/digital-signature/signature |

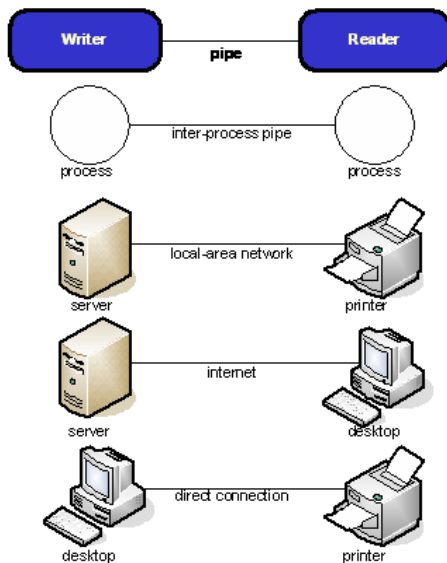
| Description | Relationship Type |
|-------------------------------|---|
| Digital Signature Certificate | http://schemas.openxmlformats.org/package/2006/relationships/digital-signature/certificate |
| Digital Signature Origin | http://schemas.openxmlformats.org/package/2006/relationships/digital-signature/origin |
| Thumbnail | http://schemas.openxmlformats.org/package/2006/relationships/metadata/thumbnail |

Annex I. Physical Model Design Considerations

This annex is informative.

The physical model defines the ways in which packages are produced and consumed. This model is based on three components: a producer, a consumer, and a pipe between them.

Figure I-1. Components of the physical model



A *producer* is a piece of software or a device that *writes* packages. A *consumer* is a piece of software or a device that *reads* packages. A *device* is a piece of hardware, such as a printer or scanner that performs a single function or set of functions. Data is carried from the producer to the consumer by a *pipe*.

In *local access*, the pipe is simply the API calls that the consumer makes to read the package from the local file system.

In *networked access* the consumer and the producer communicate with each other over a protocol. The significant communications characteristics of this pipe are speed and request latency. For example, this communication might occur across a process boundary or between a server and a desktop computer.

In order to maximize performance, physical package designs consider access style, layout style, and communication style.

1 I.1 Access Styles

2 The *access style* in which local access or networked access is conducted determines the simultaneity possible
3 between processing and input-output operations.

4 I.1.1 Direct Access Consumption

5 *Direct access consumption* allows consumers to request the specific portion of the package desired, without
6 sequentially processing the preceding parts of the package. For example a byte-range request. This is the most
7 common access style.

8 I.1.2 Streaming Consumption

9 *Streaming consumption* allows consumers to begin processing parts before the entire package has arrived.
10 Physical package formats should be designed to allow consumers to begin interpreting and processing the data
11 they receive before all of the bits of the package have been delivered through the pipe.

12 I.1.3 Streaming Creation

13 *Streaming creation* allows producers to begin writing parts to the package without knowing in advance all of the
14 parts that will be written. For example, when an application begins to build a print spool file package, it may not
15 know how many pages the package will contain. Likewise, a program that is generating a report may not know
16 initially how long the report will be or how many pictures it will have.

17 In order to support streaming creation, the package implementer should allow a producer to add parts after
18 other parts have already been added. A Consumer shall not require a producer to state how many parts they will
19 create when they start writing. The package implementer should allow a producer to begin writing the contents
20 of a part without knowing the ultimate length of the part.

21 I.1.4 Simultaneous Creation and Consumption

22 *Simultaneous creation and consumption* allows streaming creation and streaming consumption to happen at the
23 same time on a package. Supporting this access style can push the design of a physical format in opposing
24 directions. However, because of the benefits that can be realized within pipelined architectures that use it, the
25 package implementer should support simultaneous creation and consumption in the physical package.

26 I.2 Layout Styles

27 The style in which parts are ordered within a package is referred to as the *layout style*. Parts can be arranged in
28 one of two styles: simple or interleaved.

29 I.2.1 Simple Ordering

30 With *simple ordering*, parts are arranged contiguously. When a package is delivered sequentially, all of the bytes
31 for the first part arrive first, followed by all of the bytes for the second part, and so on. When such a package
32 uses simple ordering, all of the bytes for part n appear in the package before the bytes for part $n+1$.

1 I.2.2 Interleaved Ordering

2 With *interleaved ordering*, pieces of parts are interleaved, allowing optimal performance in certain scenarios.
3 For example, interleaved ordering improves performance for multi-media playback, where video and audio are
4 delivered simultaneously and inline resource referencing, where a reference to an image occurs within markup.

5 By breaking parts into pieces and interleaving those pieces, it is possible to optimize performance while allowing
6 easy reconstruction of the original contiguous part.

7 Because of the performance benefits it provides, package implementers should support interleaving in the
8 physical package. The package implementer might handle the internal representation of interleaving differently
9 in different physical models. Regardless of how the physical model handles interleaving, a part that is broken
10 into multiple pieces in the physical file is considered one logical part; the pieces themselves are not parts.

11 I.3 Communication Styles

12 The style in which a package and its parts are delivered by a producer or accessed by a consumer is referred to
13 as the *communication style*. Communication can be based on sequential delivery of or random access to parts.
14 The communication style used depends on the capabilities of both the pipe and the physical package format.

15 I.3.1 Sequential Delivery

16 With *sequential delivery*, all of part n is delivered to a consumer before part $n+1$. Generally, all pipes support
17 sequential delivery.

18 I.3.2 Random Access

19 *Random access* allows consumers to request the delivery of a part out of sequential order. Some pipes are based
20 on protocols that can enable random access. For example, HTTP 1.1 with byte-range support. In order to
21 maximize performance, the package implementer should support random access in both the pipe and the
22 physical package. In the absence of this support, consumers need to wait until the parts they need are delivered
23 sequentially.

24 **End of informative text.**

Annex J. Conformance Requirements

This annex is informative.

This annex summarizes all conformance requirements for producers and consumers implementing the Open Packaging Conventions. It is intended as a convenience; the text in the referenced clause or subclause is considered normative in all cases.

Conformance requirements are divided into tables based on their general topic below. The tables contain the requirements that producers and consumers shall follow, those that they should follow, and those that are optional. Each conformance requirement is given a unique ID comprised of a letter (M – MANDATORY; S – SHOULD; O – OPTIONAL), an identifier for the topic it relates to, and a unique ID within that topic. Producers and consumers might use these IDs to report error conditions.

The top-level topics and their identifiers are described as follows:

1. Package Model requirements
2. Physical Packages requirements
3. ZIP Physical Mapping requirements
4. Core Properties requirements
5. Thumbnail requirements
6. Digital Signatures requirements
7. Pack URI requirements

Additionally, these tables identify, as does the referenced text, who is burdened with enforcing or supporting the requirement:

J.1 Package Model

Table J–1. Package model conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|------------|---------------------|-----------------|-----------------|-----------------|
| M1.1 | The package implementer shall require a part name. | 9.1, 9.1.1 | × | | | |
| M1.2 | The package implementer shall require a content type and the format designer shall specify the content type. | 9.1 | × | × | | |
| M1.3 | A part name shall not have empty segments. | 9.1.1.1 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.4 | A part name shall start with a forward slash ("/") character. | 9.1.1.1 | × | | | |
| M1.5 | A part name shall not have a forward slash as the last character. | 9.1.1.1 | × | | | |
| M1.6 | A segment shall not hold any characters other than pchar characters. . | 9.1.1.1 | × | | | |
| M1.7 | A segment shall not contain percent-encoded forward slash ("/"), or backward slash ("\") characters. | 9.1.1.1 | × | | | |
| M1.8 | A segment shall not contain percent-encoded unreserved characters. | 9.1.1.1 | × | | | |
| M1.9 | A segment shall not end with a dot (".") character. | 9.1.1.1 | × | | | |
| M1.10 | A segment shall include at least one non-dot character | 9.1.1.1 | × | | | |
| M1.11 | A package implementer shall neither create nor recognize a part with a part name derived from another part name by appending segments to it. | 9.1.1.2 | × | | | |
| M1.12 | Part name equivalence is determined by comparing part names as case-insensitive ASCII strings. Packages shall not contain equivalent part names and package implementers shall neither create nor recognize packages with equivalent part names. | 9.1.1.3 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.13 | Package implementers shall only create and only recognize parts with a content type; format designers shall specify a content type for each part included in the format. Content types for package parts shall fit the definition and syntax for media types as specified in RFC 2616, §3.7. | 9.1.2 | x | x | | |
| M1.14 | Content types shall not use linear white space either between the type and subtype or between an attribute and its value. Content types also shall not have leading or trailing white spaces. Package implementers shall create only such content types and shall require such content types when retrieving a part from a package; format designers shall specify only such content types for inclusion in the format. | 9.1.2 | x | x | | |
| M1.15 | The package implementer shall require a content type that does not include comments and the format designer shall specify such a content type. | 9.1.2 | x | x | | |
| M1.16 | If the package implementer specifies a growth hint, it is set when a part is created and the package implementer shall not change the growth hint after the part has been created. | 9.1.3 | x | | x | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.17 | XML content shall be encoded using either UTF-8 or UTF-16. If any part includes an encoding declaration (as defined in §4.3.3 of the XML specification), that declaration shall not name any encoding other than UTF-8 or UTF-16. Package implementers shall enforce this requirement upon creation and retrieval of the XML content. | 9.1.4 | × | | | |
| M1.18 | DTD content shall not be used in the XML markup defined in this specification. Package implementers shall enforce this requirement upon creation and retrieval of the XML content and shall treat the presence of DTD content as an error. | 9.1.4 | × | | | |
| M1.19 | If the XML content contains the Markup Compatibility namespace, as described in Part 4: "Markup Compatibility", it shall be processed by the package implementer to remove Markup Compatibility elements and attributes and ignorable namespaces before applying further validation rules below. | 9.1.4 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.20 | XML content shall be valid according to the corresponding XSD schema defined in this specification. In particular, the XML content shall not contain namespaces that are not explicitly defined in the corresponding XSD unless the XSD allows any namespace to be present in particular locations in the XML markup. Package implementers shall enforce this requirement upon creation and retrieval of the XML content. | 9.1.4 | x | | | |
| M1.21 | XML content shall not contain elements or attributes drawn from “xml” or “xsi” namespaces unless they are explicitly defined in the XSD schema or by other means described in the specification. Package implementers shall enforce this requirement upon creation and retrieval of the XML content. | 9.1.4 | x | | | |
| M1.22 | Package implementers and format designers shall not allow content types for the package-specific parts defined in this specification to include parameters. | Annex H | x | x | | |
| M1.23 | XML markup might contain Unicode strings referencing other parts as a value of the xsd:anyURI data type. Format consumers shall convert these Unicode strings to URIs, as defined in Annex A, “Resolving Unicode Strings to Part Names,” before resolving them relative to the base URI of the part containing the Unicode string. | 9.2.1 | | | | x |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.24 | Some types of content provide a way to override the default base URI by specifying a different base in the content. In the presence of one of these overrides, format consumers shall use the specified base URI instead of the default. | 9.2.1 | | | | × |
| M1.25 | The Relationships part shall not have relationships to any other part. Package implementers shall enforce this requirement upon the attempt to create such a relationship and shall treat any such relationship as invalid. | 9.3.1 | × | | | |
| M1.26 | The package implementer shall require that every <Relationship> element has an Id attribute, the value of which is unique within the Relationships part, and that the Id type is xsd:ID, the value of which conforms to the naming restrictions for xsd:ID as described in the W3C Recommendation “XML Schema Part 2: Datatypes.” | 9.3.3 | × | | | |
| M1.27 | The package implementer shall require the Type attribute to be a URI that defines the role of the relationship and the format designer shall specify such a Type. | 9.3.3.2 | × | × | | |
| M1.28 | The package implementer shall require the Target attribute to be a URI reference pointing to a target resource. The URI reference shall be a URI or a relative reference. | 9.3.3.2 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.29 | When set to Internal, the Target attribute shall be a relative reference and that reference is interpreted relative to the "parent" part. For package relationships, the package implementer shall resolve relative references in the Target attribute against the pack URI that identifies the entire package resource. | 9.3.3.2 | x | | | |
| M1.30 | The package implementer shall name relationship parts according to the special relationships part naming convention and require that parts with names that conform to this naming convention have the content type for a Relationships part | 9.3.4 | x | | | |
| M1.31 | Consumers shall process relationship markup in a manner that conforms to Part 5: "Markup Compatibility". Producers editing relationships based on this version of the relationship markup specification shall not preserve any ignored content, regardless of the presence of any preservation attributes as defined in Part 5: "Markup Compatibility". | 9.3.5 | | | x | x |
| M1.32 | If a fragment identifier is allowed in the Target attribute of the <Relationship> element, a package implementer shall not resolve the URI to a scope less than an entire part. | 9.3.3.2 | x | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M1.33 | A Unicode string representing a URI can be passed to the producer or consumer. The producing or consuming application shall convert the Unicode string to a URI. If the URI is a relative reference, the application shall resolve it using the base URI of the part, which is expressed using the pack scheme, to the URI of the referenced part. | Annex A | | | × | × |
| M1.34 | If a consumer converts the URI back into an IRI, the conversion shall be performed as specified in §3.2 of RFC 3987. | A.2 | | | | × |
| M1.35 | The producer shall not create a relative reference that would resolve to a pack URI that does not have a path component that conforms to the part name grammar, and a consumer shall issue an error if it encounters such a relative reference. | A.3 | | | × | × |

1 Table J–2. Package model optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|------------|---------------------|-----------------|-----------------|-----------------|
| O1.1 | The package implementer might allow a growth hint to be provided by a producer. | 9.1, 9.1.3 | × | | | |
| O1.2 | Format designers might restrict the usage of parameters for content types. | 9.1.2 | | × | | |
| O1.3 | The package implementer might ignore the growth hint or adhere only loosely to it when specifying the physical mapping. | 9.1.3 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| O1.4 | If the format designer permits it, parts can contain Unicode strings representing references to other parts. If allowed by the format designer, format producers can create such parts and format consumers shall consume them. | 9.2.1 | | × | × | × |
| O1.5 | The package implementer might allow a TargetMode to be provided by a producer. | 9.3.3.2 | × | | | |
| O1.6 | A format designer might allow fragment identifiers in the value of the Target attribute of the <Relationship> element. | 9.3.3.2 | | × | | |
| O1.7 | Producers might generate relationship markup that uses the versioning and extensibility mechanisms defined in Part 5: "Markup Compatibility" to incorporate elements and attributes drawn from other XML namespaces. | 9.3.5 | | | × | |

1 **J.2 Physical Packages**

2 Table J–3. Physical packages conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M2.1 | The Content Types stream shall not be mapped to a part by the package implementer. | 10.1.2.1 | ×A | | | |
| M2.2 | The package implementer shall define a physical package format with a mapping for the required components package, part name, part content type and part contents. | 10.1.1 | × | | | |
| M2.3 | The package implementer shall define a physical package format mapping with a mechanism for associating content types with parts. | 10.1.2.1 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|------------|---------------------|-----------------|-----------------|-----------------|
| M2.4 | The package implementer shall require that the Content Types stream contain one of the following for every part in the package: One matching <Default> element One matching <Override> element Both a matching <Default> element and a matching <Override> element, in which case the <Override> element takes precedence. | 10.1.2.2 | ×A | | | |
| M2.5 | The package implementer shall require that there not be more than one <Default> element for any given extension, and there not be more than one <Override> element for any given part name. | 10.1.2.2 | ×A | | | |
| M2.6 | The package implementer shall require a non-empty extension in a <Default> element. The package implementer shall require a content type in a <Default> element and the format designer shall specify the content type. | 10.1.2.2.2 | ×A | ×A | | |
| M2.7 | The package implementer shall require a content type and the format designer shall specify the content type in an <Override> element. The package implementer shall require a part name. | 10.1.2.2.3 | ×A | ×A | | |
| M2.8 | When adding a new part to a package, the package implementer shall ensure that a content type for that part is specified in the Content Types stream; the package implementer shall perform the steps described in §10.1.2.3, “Setting the Content Type of a Part”. | 10.1.2.3 | ×A | | | |
| M2.9 | To get the content type of a part, the package implementer shall perform the steps described in §10.1.2.4, “Getting the Content Type of a Part”. | 10.1.2.4 | ×A | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M2.10 | The package implementer shall not use the versioning and extensibility mechanisms defined in Part 5: "Markup Compatibility" to incorporate elements and attributes drawn from other XML-namespaces into the Content Types stream markup. | 10.1.2.5 | ×A | | | |
| M2.11 | The package implementer shall not mix interleaving and non-interleaving for an individual part. | 10.1.4 | ×B | | | |
| M2.12 | The package implementer shall compare prefix names as case-insensitive ASCII strings. | 10.1.3.1 | × | | | |
| M2.13 | The package implementer shall compare suffix names as case-insensitive ASCII strings. | 10.1.3.1 | ×B | | | |
| M2.14 | The package implementer shall not allow packages that contain equivalent logical item names. | 10.1.3.1 | × | | | |
| M2.15 | The package implementer shall not allow packages that contain logical items with equivalent prefix names and with equal piece numbers, where piece numbers are treated as integer decimal values. | 10.1.3.1 | ×B | | | |
| M2.16 | The package implementer shall not map logical items to parts if the logical item names violate the part naming rules, even if a third-party format includes these logical items in the package. | 10.1.3.4 | × | | | |
| M2.17 | The package implementer shall consider naming collisions within the set of part names mapped from logical item names to be an error. | 10.1.3.4 | × | | | |
| M2.18 | When interleaved, a package implementer shall represent a part as one or more pieces, using the method described in §10.1.4, "Interleaving". | 10.2.1 | ×B | | | |

1 **Notes:**

- 1 A: Only relevant if using the content type mapping strategy specified in the Open Packaging Conventions.
- 2 B: Only relevant if supporting the interleaving strategy specified in the Open Packaging Conventions.
- 3 Table J–4. Physical packages recommendations

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| S2.1 | Some physical package formats have a native mechanism for representing content types. For such packages, the package implementer should use the native mechanism to map the content type for a part. | 10.1.2.1 | × | | | |
| S2.2 | If no native method of mapping a content type to a part exists, the package implementer should include a specially-named XML stream in the package called the Content Types stream | 10.1.2.1 | × | | | |
| S2.3 | If the package is intended for streaming consumption: The package implementer should not allow <Default> elements; as a consequence, there should be one <Override> element for each part in the package. The format producer should write the <Override> elements to the package so they appear before the parts to which they correspond, or in close proximity to the part to which they correspond. | 10.1.2.2 | ×A | | ×A | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| S2.4 | The package implementer should use the mechanism described in this Standard to allow interleaving when mapping to the physical package for layout scenarios that support streaming consumption. | 10.1.4 | ×B | | | |
| S2.5 | The package implementer should store pieces in their natural order for optimal efficiency. | 10.1.4 | ×B | | | |

1 **Notes:**

2 A: Only relevant if using the content type mapping strategy specified in the Open Packaging Conventions.

3 B: Only relevant if supporting the interleaving strategy specified in the Open Packaging Conventions.

4 Table J–5. Physical packages optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| O2.1 | The format designer specifies whether that format might use interleaving. | 10.1.4 | | × | | |
| O2.2 | Optional. The package implementer might provide a physical mapping for a growth hint that might be specified by a producer. | 10.1.1 | × | | | |
| O2.3 | Package implementers might use the common mapping solutions defined in this Standard. | 10.1 | × | | | |
| O2.4 | Package producers can use pre-defined <Default> elements to reduce the number of <Override> elements on a part, but are not required to do so. | 10.1.2.2 | | | ×A | |
| O2.5 | The package implementer can define <Default> content type mappings even though no parts use them. | 10.1.2.2 | ×A | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| O2.6 | The package implementer might create a physical package containing interleaved parts and non-interleaved parts. | 10.1.4 | × | | | |
| O2.7 | The package implementer might allow a package that contains logical item names and complete sequences of logical item names that cannot be mapped to a part name. | 10.1.3.4 | ×B | | | |

1 **Notes:**

2 A: Only relevant if using the content type mapping strategy specified in the Open Packaging Conventions.

3 B: Only relevant if supporting the interleaving strategy specified in the Open Packaging Conventions.

4 **J.3 ZIP Physical Mapping**

5 The requirements in Table J-6, Table J-7, and Table J-8 are only relevant when mapping to the ZIP physical
6 package format.

7 Table J-6. ZIP physical mapping conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M3.1 | A package implementer shall store a non-interleaved part as a single ZIP item. | 10.2.1 | × | | | |
| M3.2 | ZIP item names are case-sensitive ASCII strings. Package implementers shall create ZIP item names that conform to ZIP archive file name grammar. | 10.2.2 | × | | | |
| M3.3 | Package implementers shall create item names that are unique within a given archive. | 10.2.2 | × | | | |
| M3.4 | To map part names to ZIP item names the package implementer shall perform, in order, the steps described in §10.2.3, “Mapping Part Names to ZIP Item Names”. | 10.2.3 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M3.5 | The package implementer shall not map a logical item name or complete sequence of logical item names sharing a common prefix to a part name if the logical item prefix has no corresponding content type. | 10.2.3 | x | | | |
| M3.6 | To map ZIP item names to part names, the package implementer shall perform, in order, the steps described in §10.2.4, “Mapping ZIP Item Names to Part Names”. | 10.2.4 | x | | | |
| M3.7 | The package implementer shall only find ZIP items that are recognized as MS-DOS files according to the ZIP specification as eligible to be mapped to parts; all other ZIP items shall not be mapped to parts. | 10.2.5 | x | | | |
| M3.8 | <p>The package implementer shall only find ZIP items that are recognized as MS-DOS files according to the ZIP specification as eligible to be mapped to parts; all other ZIP items shall not be mapped to parts. [M3.7] <i>[Note: The ZIP specification specifies that ZIP items recognized as MS-DOS files are those with a “version made by” field and an “external file attributes” field in the “file header” record in the central directory that have a value of 0. end note]</i></p> <p>In ZIP archives, the package implementer shall not exceed 65,515 bytes for the combined length of the item name, Extra field, and Comment fields.</p> | 10.2.5 | x | | | |
| M3.9 | ZIP-based packages shall not include encryption as described in the ZIP specification. Package implementers shall enforce this restriction. | 10.2.5 | x | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M3.10 | Package implementers shall store content type data in an item(s) mapped to the logical item name with the prefix_name equal to “[Content_Types].xml” or in the interleaved case to the complete sequence of logical item names with that prefix_name. | 10.2.6 | × | | | |
| M3.11 | Package implementers shall not map logical item name(s) mapped to the Content Types stream in a ZIP archive to a part name. | 10.2.6 | × | | | |
| M3.12 | If a growth hint is used for an interleaved part, the package implementer shall store the Extra field containing the growth hint padding with the item that represents the first piece of the part. | 10.2.7 | × | | | |
| M3.13 | Several substantial conditions that represent a package unfit for streaming consumption may be detected mid-processing by a streaming package implementer, described in §10.2.8, “Late Detection of ZIP Items Unfit for Streaming Consumption”. When any of these conditions are detected, the streaming package implementer shall generate an error, regardless of any processing that has already taken place. Package implementers shall not generate a package containing any of these conditions when generating a package intended for streaming consumption. | 10.2.8 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M3.14 | For a ZIP archive to be a valid physical layer for a package, the package implementer shall ensure that the ZIP archive holds equal values in the appropriate fields of every File Header within the Central Directory and the corresponding Local File Header and Data Descriptor pair. | Annex C | × | | | |
| M3.15 | During consumption of a package, a "Yes" value for a field in a table in Annex C indicates a package implementer shall support reading the ZIP archive containing this record or field, however, support may mean ignoring. | Annex C | × | | | |
| M3.16 | During production of a package, a "Yes" value for a field in a table in Annex C indicates that the package implementer shall write out this record or field. | Annex C | × | | | |
| M3.17 | A "No" value for a field in a table in Annex C indicates the package implementer shall not use this record or field during consumption or production of packages. | Annex C | × | | | |
| M3.18 | A "Partially, details below" value for a record in a table in Annex C indicates that the record contains fields that might not be supported by package implementers during production or consumption. See the details in the corresponding table to determine requirements. | Annex C | × | | | |
| M3.19 | The value "Only used when needed" associated with a record in a table in Annex C indicates that the package implementer shall use the record only when needed to store data in the ZIP archive. | Annex C | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M3.20 | The value “Only used when needed” associated with a record in a table in Annex C indicates that the package implementer shall use the record only when needed to store data in the ZIP archive. | Annex C | × | | | |
| M3.21 | The package implementer shall ensure that all 64-bit stream record sizes and offsets have the high-order bit = 0. | Annex C | × | | | |

1 Notes:

2 A: Only relevant if supporting the interleaving strategy specified in the Open Packaging Conventions.

3 Table J–7. ZIP physical mapping recommendations

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| S3.1 | Package implementers should restrict part naming to accommodate file system limitations when naming parts to be stored as ZIP items. | 10.2.5 | × | | | |

4 Table J–8. ZIP physical mapping optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| O3.1 | A package implementer might intentionally order the sequence of ZIP items in the archive to enable an efficient organization of the part data in order to achieve correct and optimal interleaving. | 10.2.1 | × | | | |
| O3.2 | An “Optional” value for a record in a table in Annex C indicates that package implementers might write this record during production. | Annex C | × | | | |

5 J.4 Core Properties

6 The requirements in Table J–9 are only relevant if using the core properties feature.

7 Table J–9. Core properties conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M4.1 | The format designer shall specify and the format producer shall create at most one core properties relationship for a package. A format consumer shall consider more than one core properties relationship for a package to be an error. If present, the relationship shall target the Core Properties part. | 11.1.2 | | x | x | x |
| M4.2 | The format designer shall not specify and the format producer shall not create Core Properties that use the Markup Compatibility namespace as defined in Annex H, "Standard Namespaces and Content Types". A format consumer shall consider the use of the Markup Compatibility namespace to be an error. | 11.1.3 | | x | x | x |
| M4.3 | Producers shall not create a document element that contains refinements to the Dublin Core elements, except for the two specified in the schema: <dcterms:created> and <dcterms:modified> Consumers shall consider a document element that violates this constraint to be an error. | F.2 | | | x | x |
| M4.4 | Producers shall not create a document element that contains the xml:lang attribute. Consumers shall consider a document element that violates this constraint to be an error. | F.2 | | | x | x |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M4.5 | Producers shall not create a document element that contains the xsi:type attribute, except for a <dcterms:created> or <dcterms:modified> element where the xsi:type attribute shall be present and shall hold the value "dcterms:W3CDTF." Consumers shall consider a document element that violates this constraint to be an error. | F.2 | | | × | × |

1 **J.5 Thumbnail**

2 The requirements in Table J–10 and Table J–11 are only relevant if using the thumbnail feature.

3 Table J–10. Thumbnail conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M5.1 | The format designer shall specify thumbnail parts that are identified by either a part relationship or a package relationship. The producer shall build the package accordingly. | 12.1 | | × | × | |

4 Table J–11. Thumbnail optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| O5.1 | The format designer might allow images, called thumbnails, to be used to help end-users identify parts of a package or a package as a whole. These images are generated by the producer and stored as parts. | 12 | | × | × | |

5 **J.6 Digital Signatures**

6 The requirements in Table J–12, Table J–13, and Table J–14 are only relevant if using the digital signatures
7 feature.

8 Table J–12. Digital Signatures conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|----|------|-----------|---------------------|-----------------|-----------------|-----------------|
|----|------|-----------|---------------------|-----------------|-----------------|-----------------|

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.1 | The package implementer shall include only one Digital Signature Origin part in a package and it shall be targeted from the package root using the well-defined relationship type specified in Annex H, "Standard Namespaces and Content Types". | 13.2.1 | × | | | |
| M6.2 | When creating the first Digital Signature XML Signature part, the package implementer shall create the Digital Signature Origin part, if it does not exist, in order to specify a relationship to that Digital Signature XML Signature part. | 13.2.1 | × | | | |
| M6.3 | The producer shall create Digital Signature XML Signature parts that have a relationship from the Digital Signature Origin part and the consumer shall use that relationship to locate signature information within the package. | 13.2.1 | | | × | × |
| M6.4 | If the certificate is represented as a separate part within the package, the producer shall target that certificate from the appropriate Digital Signature XML Signature part by a Digital Signature Certificate relationship as specified in Annex H, "Standard Namespaces and Content Types" and the consumer shall use that relationship to locate the certificate. | 13.2.3 | | | × | × |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.5 | The producer shall create <Reference> elements within a <SignedInfo> element that reference elements within the same <Signature> element. The consumer shall consider <Reference> elements within a <SignedInfo> element that reference any resources outside the same <Signature> element to be in error. | 13.2.4.1 | | | × | × |
| M6.6 | The producer shall not create a reference to a package-specific <Object> element that contains a transform other than a canonicalization transform. The consumer shall consider a reference to a package-specific <Object> element that contains a transform other than a canonical transform to be an error. | 13.2.4.1 | | | × | × |
| M6.7 | The producer shall create one and only one package-specific <Object> element in the <Signature> element. The consumer shall consider zero or more than one package-specific <Object> element in the <Signature> element to be an error. | 13.2.4.1 | | | × | × |
| M6.8 | The producer shall create package-specific <Object> elements that contain only <Manifest> and <SignatureProperties> elements. The consumer shall consider package-specific <Object> elements that contain other types of elements to be an error. | 13.2.4.1 | | | × | × |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.9 | The producer shall create <Reference> elements within a <Manifest> element that reference with their URI attribute only parts within the package. The consumer shall consider <Reference> elements within a <Manifest> element that reference resources outside the package to be an error. | 13.2.4.1 | | | × | × |
| M6.10 | The producer shall create relative references to the local parts that have query components that specifies the part content type as described in §13.2.4.6, “<Reference>Element”. The consumer shall consider a relative reference to a local part that has a query component that incorrectly specifies the part content type to be an error. | 13.2.4.1 | | | × | × |
| M6.11 | The producer shall create <Reference> elements with a query component that specifies the content type that matches the content type of the referenced part. The consumer shall consider signature validation to fail if the part content type does not match the content type specified in the query component of the part reference. | 13.2.4.1 | | | × | × |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.12 | The producer shall not create <Reference> elements within a <Manifest> element that contain transforms other than the canonicalization transform and relationships transform. The consumer shall consider <Reference> elements within a <Manifest> element that contain transforms other than the canonicalization transform and relationships transform to be in error. | 13.2.4.1 | | | x | x |
| M6.13 | A producer that uses an optional relationships transform shall follow it by a canonicalization transform. The consumer shall consider any relationships transform that is not followed by a canonicalization transform to be an error. | 13.2.4.1 | | | x | x |
| M6.14 | The producer shall create only <SignatureProperty> elements that contain a <SignatureTime> element. The consumer shall consider a <SignatureProperty> element that does not contain a <SignatureTime> element to be in error. | 13.2.4.1 | | | x | x |
| M6.15 | The producer shall create a <Signature> element that contains one local-data, package-specific <Object> element and zero or more application-specific <Object> elements. If a <Signature> element violates this constraint, a consumer shall consider this to be an error. | 13.2.4.2 | | | x | x |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.16 | The producer shall create a <SignedInfo> element that contains a reference to the package-specific <Object> element. The consumer shall consider it an error if a <SignedInfo> element does not contain a reference to the package-specific <Object> element. | 13.2.4.3 | | | x | x |
| M6.17 | Producers shall support DSA and RSA algorithms to produce signatures. Consumers shall support DSA and RSA algorithms to validate signatures. | 13.2.4.5 | | | x | x |
| M6.18 | The producer shall create a <Reference> element within a <Manifest> element with a URI attribute and that attribute shall contain a part name, without a fragment identifier. The consumer shall consider a <Reference> element with a URI attribute that does not contain a part name to be an error. | 13.2.4.6 | | | x | x |
| M6.19 | <p>The following transforms shall be supported by producers and consumers of packages with digital signatures:</p> <ul style="list-style-type: none"> 8. XML Canonicalization (c14n) 9. XML Canonicalization with Comments (c14n with comments) 10. Relationships transform (package-specific) <p>Consumers validating signed packages shall fail the validation if other transforms are encountered.</p> | 13.2.4.7 | | | x | x |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.20 | Producers shall create application-specific <Object> elements that contain XML-compliant data; consumers shall treat data that is not XML-compliant as an error. | 13.2.4.14 | | | × | × |
| M6.21 | Producers and consumers shall use the certificate embedded in the Digital Signature XML Signature part when it is specified. | 13.2.4.15 | | | × | × |
| M6.22 | The producer shall not create a <Manifest> element that references any data outside of the package. The consumer shall consider a <Manifest> element that references data outside of the package to be in error. | 13.2.4.18 | | | × | × |
| M6.23 | The producer shall create a data/time format that conforms to the syntax described in the W3C Note "Date and Time Formats". The consumer shall consider a format that does not conform to the syntax described in that WC3 note to be in error. | 13.2.4.22 | | | × | × |
| M6.24 | The producer shall create a value that conforms to the format specified in the <Format> element. The consumer shall consider a value that does not conform to that format to be in error. | 13.2.4.23 | | | × | × |
| M6.25 | To sign a subset of relationships, the producer shall use the package-specific relationships transform. The consumer shall use the package-specific relationships transform to validate the signature when a subset of relationships are signed. | 13.2.4.25 | | | × | × |
| M6.26 | Producers and consumers shall perform a canonicalization transform following the relationships transform. | 13.2.4.25 | | | × | × |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.27 | When applying a relationships transform for digital signatures, the package implementer shall remove all <Relationship> elements with an Id value that does not match any SourceId values <i>and</i> with a Type value that does not match any SourceType values specified in the transform definition. Producers and consumers shall compare values byte-for-byte as case-sensitive Unicode strings. | 13.2.4.26 | | | × | × |
| M6.28 | When signing <Object> element data, package implementers shall follow the generic reference creation algorithm described in §3.1, “Core Generation,” of the W3C Recommendation “XML-Signature Syntax and Processing”. | 13.4 | × | | | |
| M6.29 | When validating digital signatures, consumers shall verify the content type and the digest contained in each <Reference> descendant element of the <SignedInfo> element, and validate the signature calculated using the <SignedInfo> element. | 13.5 | | | | × |
| M6.30 | The package implementer shall compare the generated digest value against the <DigestValue> element in the <Reference> element of the <SignedInfo> element. Package implementers shall consider references invalid if there is any mismatch. | 13.5 | × | | | |
| M6.31 | Streaming consumers that maintain signatures shall be able to cache the parts necessary for detecting and processing signatures. | 13.5.1 | | | | × |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.32 | The package implementer shall not use the Markup Compatibility namespace, as specified in Annex H, "Standard Namespaces and Content Types," within the package-specific <Object> element. The package implementer shall consider the use of the Markup Compatibility namespace within the package-specific <Object> element to be an error. | 13.6.2 | x | | | |
| M6.33 | If an application allows for a single part to contain information that might not be fully understood by all implementations, then the format designer shall carefully design the signing and verification policies to account for the possibility of different implementations being used for each action in the sequence of content creation, content signing, and signature verification. Producers and consumers shall account for this possibility in their signing and verification processing. | 13.6.2 | | x | x | x |
| M6.34 | The following canonicalization methods shall be supported by producers and consumers of packages with digital signatures: XML Canonicalization (c14n) XML Canonicalization with Comments (c14n with comments)0. Consumers validating signed packages shall fail the validation if other canonicalization methods are encountered. | 13.2.4.4 | | | x | x |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M6.35 | A producer shall not specify more than one relationship transform for a particular relationships part. A consumer shall treat the presence of more than one relationship transform for a particular relationships part as an error. | 13.2.4.25 | | | × | × |

1 Table J–13. Digital signatures recommendations

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| S6.1 | The producer should create empty contents in the Digital Signature Origin part itself. | 13.2.1 | | | × | |
| S6.2 | Producers generating digital signatures should not create Digital Signature Certificate parts that are not the target of at least one Digital Signature Certificate relationship from a Digital Signature XML Signature part. In addition, producers should remove a Digital Signature Certificate part if removing the last Digital Signature XML Signature part that has a Digital Signature Certificate relationship to it. | 13.2.3 | | | × | |
| S6.3 | For digital signatures, a producer should apply a canonicalization transform to the <SignedInfo> element when it generates it, and a consumer should apply the canonicalization transform to the <SignedInfo> element when validating it. | 13.2.4.4 | | | × | × |
| S6.4 | Producers and consumers should also use canonicalization transforms for references to parts that hold XML documents. | 13.2.4.4 | | | × | × |
| S6.5 | The producer should only create <Reference> elements within a <SignedInfo> element that reference an <Object> element. | 13.2.4.1 | | | × | |

2 Table J–14. Digital signatures optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| O6.1 | Format designers might allow a package to include digital signatures to enable consumers to validate the integrity of the contents. The producer might include the digital signature when allowed by the format designer. | 13 | | × | × | |
| O6.2 | If there are no Digital Signature XML Signature parts in the package, the Digital Signature Origin part is optional. | 13.2.1 | | | × | |
| O6.3 | The producer might create each Digital Signature XML Signature part with a different form of signature, which can be handled by the consumer with different signature processors. | 13.2.2 | | | × | × |
| O6.4 | The producer might create zero or many Digital Signature XML Signature parts in a package. | 13.2.2 | | | × | |
| O6.5 | The producer might store the certificate as a separate part in the package, might embed it within the Digital Signature XML Signature part itself, or might not include it in the package if certificate data is known or can be obtained from a local or remote certificate store. | 13.2.3 | | | × | |
| O6.6 | The producer might sign the part holding the certificate. | 13.2.3 | | | × | |
| O6.7 | Producers might share Digital Signature Certificate parts by using the same certificate to create more than one signature. | 13.2.3 | | | × | |
| O6.8 | The format designer might permit one or more application-specific <Object> elements. If allowed by the format designer, format producers can create one or more application-specific <Object> elements. | 13.2.4.14 | | × | × | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|-------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| O6.9 | Format designers and producers might not apply package-specific restrictions regarding URIs and <Transform> elements to application-specific <Object> element. | 13.2.4.14 | | × | × | |
| O6.10 | Format designers might permit producers to sign individual relationships in a package or the Relationships part as a whole. | 13.2.4.25 | | × | × | |
| O6.11 | The package implementer might create relationships XML that contains content from several namespaces, along with versioning instructions as defined in Part 4: "Markup Compatibility". | 13.2.4.26 | × | | | |
| O6.12 | Format designers might specify an application-specific package part format that allows for the embedding of versioned or extended content that might not be fully understood by all present and future implementations. Producers might create such embedded versioned or extended content and consumers might encounter such content. | 13.6.2 | | × | × | × |

1 **J.7 Pack URI**

2 Table J–15. Pack URI conformance requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|--|-----------|---------------------|-----------------|-----------------|-----------------|
| M7.1 | The authority component contains an embedded URI that points to a package. The package implementer shall create an embedded URI that meets the requirements defined in RFC 3986 for a valid URI. | B.1 | × | | | |

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| M7.2 | The optional path component identifies a particular part within the package. The package implementer shall only create path components that conform to the part naming rules. When the path component is missing, the resource identified by the pack URI is the package as a whole. | B.1 | × | | | |
| M7.3 | The package implementer shall consider pack URIs equivalent if: The scheme components are octet-by-octet identical after they are both converted to lowercase; <i>and</i> The authority components are equivalent (the rules for which will vary by scheme, as per RFC 3986); <i>and</i> The path components are equivalent when compared as case-insensitive ASCII strings. | B.4 | × | | | |
| M7.4 | The package implementer shall not create an authority component with an unescaped colon (:) character. | B.1 | × | | | |

1 Table J–16. Pack URI optional requirements

| ID | Rule | Reference | Package Implementer | Format Designer | Format Producer | Format Consumer |
|------|---|-----------|---------------------|-----------------|-----------------|-----------------|
| O7.1 | Consumer applications, based on the obsolete URI specification RFC 2396, might tolerate the presence of an unescaped colon character in an authority component. | B.1 | | | | × |

2 **End of informative text.**

Annex K. Bibliography

The bibliography is informative.

The following documents are useful references for implementers and users of this Standard, in addition to the normative references:

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- 2 *ZIP File Format Specification, Version 6.2.1*, PKWARE Inc., 2005.
- 3 **End of informative text.**

1 Annex L. Index

2 This annex is informative.

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