



# Application for Exhibit Space Preview Night July 23, 2008 Comic-Con International July 24-27, 2008

## 1. Directory Listing Info:

A. Company Name \_\_\_\_\_

B. Parent Company Name (if different) \_\_\_\_\_

C. Pre-Show Contact Name \_\_\_\_\_

Title/Position \_\_\_\_\_

D. On-Site Contact Name (if different) \_\_\_\_\_

Title/Position \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country (if other than USA) \_\_\_\_\_

F. Phone \_\_\_\_\_ Fax \_\_\_\_\_

G. E-mail Address \_\_\_\_\_

H. Website \_\_\_\_\_

## 2. Exhibitor Past History:

A. Number of Years You Have Exhibited at Comic-Con \_\_\_\_\_

B. Other Names You Have Exhibited Under (Very Important) \_\_\_\_\_

## 3. Products & Services (check one):

- Retailer       Publisher  
 Artist         Manufacturer  
 Distributor     Non-Profit

Description \_\_\_\_\_

## 4. CA State Seller's Permit:

Exhibitors at Comic-Con must provide show management with a BOE-410-D wrap meet, flea market, or special events certification. If you will be conducting selling during the event you must also provide a valid CA Permanent/Temporary Seller's Permit. If you set up without a valid number, all fines incurred will be passed on to you, the Exhibitor.

CA State Seller's Permit \_\_\_\_\_

## 5. Adult Material:

- I will have adult material for sale or display.  
 I will not have adult material for sale or display.

All Exhibitors must still complete the Adult Materials Request form sent separately.

For Office Use Only: <span style="float: right;">rev. 07/08</span>		
Amount paid: _____	<input type="checkbox"/> cash	<input type="checkbox"/> check/mo# _____
Rec'd by: _____	Date: _____	Notes: _____

## How to Register

By Mail:  
 Comic-Con International  
 P.O. Box 128458  
 San Diego, CA 92112

## Return this completed form

By Fax: (619) 414-1022  
 If faxing please initial here to having read back page \_\_\_\_\_  
 Questions? Please call (619) 414-1020

## 6. Exhibit Space:

A. Comic-Con 10 X 10 Booth: \$1,800.00 X \_\_\_\_\_ = \_\_\_\_\_  
 Quantity \_\_\_\_\_

B. Early Payment Discount: - \$300.00 X \_\_\_\_\_ = \_\_\_\_\_  
 If paid in full at 2007 convention Quantity \_\_\_\_\_

OR

C. Early Payment Discount: - \$150.00 X \_\_\_\_\_ = \_\_\_\_\_  
 If paid in full by Jan. 4, 2008 Quantity \_\_\_\_\_

**TOTAL #1 = \_\_\_\_\_**  
 (Total #1 is line A minus line B or C)

D. Corner Premium Booth: + \$500.00 X \_\_\_\_\_ = \_\_\_\_\_  
 Quantity \_\_\_\_\_

E. Island Premium Booth: + \$700.00 = \_\_\_\_\_

Islands of 4-6 booths pay four corner premiums.  
 Islands 8 booths or larger pay one island premium.  
 Carpeting, power, table & chairs are **not** included.  
 Must be reserved by Jan. 4, 2008.

**TOTAL #2 = \_\_\_\_\_**  
 (Total #2 is line D plus line E)

D and E charges are in addition to space charges above.  
 Please use a separate check for premium charges (total #2).  
 Payment is not a guarantee of space.

F. Comic-Con Table (LIMIT 2): \$850.00 X \_\_\_\_\_ = \_\_\_\_\_  
 Quantity \_\_\_\_\_

G. Early Payment Discount: - \$150.00 X \_\_\_\_\_ = \_\_\_\_\_  
 If paid in full by Jan. 4, 2008 Quantity \_\_\_\_\_

### Optional Power Drop

Power can be provided at no charge to Comic-Con tables if requested on this form.

Check here if you would like a power drop.

**TOTAL #3 = \_\_\_\_\_**  
 (Total #3 is line F minus line G)

H. Small Press Table: (limit 1) \$350.00 = \_\_\_\_\_  
 On Approval

Exhibit space consists of one 6' table per exhibitor.  
 Small press space is limited. **You must submit a current example of your publication with your application to be considered for small press space. Deadline for submission is Feb 1, 2008.**

**TOTAL #4 = \_\_\_\_\_**  
 (Total #4 is line H)

**Grand Total: \$ \_\_\_\_\_**  
 (Total is totals #1, plus #2, plus #3, plus #4)

## 7. Placement:

Placement of your booth and/or table is at CCI's sole and absolute discretion.

Where on the exhibit floor would you prefer to be located in order of preference?

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

## 8. Authorized Signature (read, sign and print name):

By signing below, I hereby acknowledge that I have read, understand and agree to be bound by both the General Terms and Conditions set forth on the back page of this application and the attached Rules and Regulations for Exhibitors (collectively the "SDCC Rules"). I further acknowledge that failure to abide by the SDCC Rules may result in the loss of my Exhibitor Privileges without recourse or refund. **Contracted exhibit space that is not fully set-up by one hour before the show opens will be released.** By signing below you agree to allow SDCC or its agents and representatives to contact you by phone, fax and email with information and special offers regarding SDCC and its shows, services and products. **COMPLETING AND SUBMITTING THIS APPLICATION IS NOT A GUARANTEE OF SPACE OR PLACEMENT. NEITHER ACCEPTANCE OF THIS APPLICATION NOR ACCEPTANCE OR NEGOTIATION OF PAYMENTS OR DEPOSITS BY SDCC SHALL BE CONSTRUED AS PAYMENT IN FULL OR A GUARANTEE OF SPACE. THIS APPLICATION SHALL NOT BE A BINDING CONTRACT UNTIL THIS PAGE OF THE APPLICATION HAS BEEN SIGNED BY BOTH THE EXHIBITOR AND AN AUTHORIZED REPRESENTATIVE OF SDCC.**

Print Name \_\_\_\_\_

Signature \_\_\_\_\_

Title/Date \_\_\_\_\_

Print Name \_\_\_\_\_

SDCC Authorized Signature \_\_\_\_\_

Title/Date \_\_\_\_\_

Please keep a copy of your application as proof of payment.

## GENERAL TERMS AND CONDITIONS

### 1. Defined Terms

The term "Event" means Comic-Con International, currently scheduled to be held on July 24-27, 2008 ("Event Dates") at the San Diego Convention Center ("Exhibit Facility"). The Event is owned, produced and managed by San Diego Comic Convention, Inc. ("SDCC"). As used hereinafter, the term "Organizer" means, collectively, SDCC, and each of its/their respective officers, directors, agents, affiliates, representatives, employees and assigns, unless the context requires otherwise. The term "Exhibitor" means, collectively (i) the company, any other business entity, or person that applied for exhibit space rental and agreed to enter into this contract upon acceptance by SDCC in the manner stated below and (ii) each of its officers, directors, shareholders, employees, contractors, agents, representatives, and/or invitees, as applicable.

### 2. Contract Acceptance

This contract shall become binding and effective only when it has been signed on the facing page by Exhibitor and counter-signed on the facing page by a duly authorized representative of SDCC.

### 3. Assumption of Risks; Releases

Exhibitor expressly assumes all risks associated with, resulting from or arising in connection with Exhibitor's participation or presence at the Event, including, without limitation, all risks of theft, loss, harm, damage or injury to the person (including death), property, business or profits of Exhibitor, whether caused by negligence, intentional act, accident, act of God or otherwise. Exhibitor has sole responsibility for its property or any theft, damage or other loss to such property (whether or not stored in any courtesy storage area, including without limitation any subrogation claims by its insurer). Neither Organizer nor the Exhibit Facility accepts responsibility, nor is a bailment created, for property delivered by or to Exhibitor. Neither Organizer nor the Exhibit Facility shall be liable for, and Exhibitor hereby fully and forever release and discharge the Organizer and the Exhibit Facility, individually and collectively, and their present and former officers, directors, shareholders, partners, affiliates, employees, agents, representatives and attorneys, and predecessors, assignees and successors of each of them, from all claims, actions, causes of action, demands, cross-claims, counter-claims, obligations, contracts, indemnities, contributions, suits, debts, sums, accounts, controversies, rights, damages, costs, attorneys' fees, losses, expenses and liabilities whatsoever, in law, equity or otherwise (collectively "Claims") which either may now have or have had or which may hereafter accrue, individually, collectively or otherwise in connection with, relating to or arising out of Exhibitor's participation and/or presence in the Event. Exhibitor acknowledges that there is a possibility that subsequent to the execution of this contract, it will discover facts or incur or suffer claims that were unknown or unsuspected at the time this contract was executed, and which if known by it at that time may have materially affected its decision to execute this contract. Exhibitor acknowledges and agrees that by reason of this contract, and the releases contained in this Section 3, it is assuming any risk of such unknown facts and such unknown and unsuspected claims. Exhibitor has been advised of the existence of Section 1542 of the California Civil Code ("Section 1542"), which provides:

**A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM MUST HAVE MATERIALLY AFFECTED HIS SETTLEMENT WITH THE DEBTOR.**

Notwithstanding such provisions, this release shall constitute a full release in accordance with its terms. Exhibitor knowingly and voluntarily waives the provision of Section 1542, as well as any other statute, law or rule of similar effect.

### 4. Indemnification

Exhibitor shall on a current basis, indemnify, defend (with legal counsel satisfactory to SDCC in its sole discretion) and hold Organizer and the Exhibit Facility harmless from any and all claims, demands, suits, liabilities, damages, losses, costs, reasonable attorneys' fees and expenses, which result from or arise out of or in connection with (a) Exhibitor's participation or presence at the Event; (b) any breach by Exhibitor of any agreements, covenants, promises or other obligations under this contract; (c) any matter for which Exhibitor is otherwise responsible under the terms of this contract; (d) any violation or infringement (or claim or violation or infringement) of any law or ordinance or the rights of any party under any patent, copyright, trademark, trade secret or other proprietary right; (e) any libel, slander, defamation or similar claims resulting from the actions of Exhibitor; (f) harm or injury (including death) to Exhibitor; and (g) loss of or damage to property or the business or profits of Exhibitor, whether caused by negligence, intentional act, accident, act of God, theft, mysterious disappearance or otherwise. Exhibitor shall not settle or compromise any claims against Organizer without Organizer's prior written consent.

### 5. Limitation of Liability

Under no circumstances shall Organizer or the Exhibit Facility be liable for any lost profits or any incidental, special, indirect, punitive or consequential damages whatsoever for any of the acts or omissions whether or not apprised of the possibility of any such lost profits or damages. In no event shall Organizers maximum liability under any circumstance exceed the amount actually paid to SDCC by Exhibitor for exhibit space rental pursuant to this contract. Organizer makes no representations or warranties, express or implied, regarding the number of persons who will attend the Event or regarding any other matters.

### 6. Qualifications of Exhibitor

SDCC, in its sole discretion, shall have the right to determine whether a prospective exhibitor is eligible to participate in the Event. Applicants who have not previously exhibited at a prior event held by Organizer similar to that of the Event may be required to submit a description of the nature of their business and the items intended to be exhibited. SDCC reserves the right to restrict or remove any exhibit which SDCC, in its sole discretion, believes is objectionable or inappropriate. Only Exhibitors with products and/or services relating to comic books, comic strips, animation, or other related popular culture items are eligible to exhibit. No adult materials (as defined pursuant to San Diego's municipal code) may be displayed or sold without prior written permission of SDCC.

### 7. Assignment of Space

Exhibit space shall be assigned by SDCC in its sole discretion for the Event and for the Event Dates only. Any such assignment does not imply that similar space will be assigned for future events held by Organizer. SDCC reserves the right to change the floor plan or to move an Exhibitor to another booth location prior to or during the Event if SDCC in its sole discretion determines that to do so is in the best interest of the Event.

### 8. Cancellation by Exhibitor

Cancellation: Generally, partial cancellation of booth space is not permitted, any partial cancellation must have the prior written consent of SDCC, which consent shall be in SDCC's absolute discretion. Exhibitors with 6 or more booth spaces who cancel exhibit space more than 90 days prior to the opening day of the Event, will be refunded monies paid, less \$50.00. Exhibitors with 6 or more booth spaces who cancel exhibit space less than 90 days prior to the opening day of the Event, but more than 60 days prior to the opening day of the Event, will pay a cancellation fee of 50% of monies paid. For Exhibitors with 6 or more booth spaces, there will be no refunds for cancellations made less than 60 days prior to the opening day of the Event.

Exhibitors with less than 6 booth spaces who cancel exhibit more than 60 days prior to the opening day of the Event, will be refunded monies paid, less \$50.00 for handling. Exhibitors with less than 6 booth spaces who cancel exhibit space less than 60 days prior to the opening day of the Event, but more than 30 days prior to the opening day of the Event, will pay a cancellation fee of 50% of monies paid. For Exhibitors with 6 or less booth spaces, there will be no refunds for cancellations made less than 30 days prior to the opening day of the Event.

### 9. Cancellation by SDCC

If Exhibitor fails to make a payment required by this contract in a timely manner, SDCC may terminate this contract immediately (and Exhibitor's participation in the Event) without further notice and without obligation to refund monies previously paid. SDCC reserves the right at its discretion to refuse Exhibitor permission to move in and set up an exhibit if Exhibitor is in arrears of any payment due to SDCC. SDCC is expressly authorized (but has no obligation, expressed or implied) to occupy or dispose of any space vacated or made available by reason of action taken under this paragraph in such manner as it may deem best, and without releasing Exhibitor from any liability hereunder. SDCC may also terminate this contract effective upon written notice of termination if Exhibitor breaches any of its obligations under the contract without any obligations, expressed or implied, on SDCC's part to refund any payments previously made and without releasing Exhibitor from any liability arising as a result of or in connection with such breach. If SDCC removes or restricts an exhibit which SDCC considers to be objectionable or inappropriate, no refund will be due Exhibitor.

### 10. Cancellation of the Event

If SDCC cancels the Event due to circumstances beyond the reasonable control of SDCC (such as acts of God, acts of war, governmental emergency, labor strike or unavailability of the Exhibit Facility) SDCC shall refund to each Exhibitor its exhibit space rental payment previously paid, minus a share of costs and expenses incurred, in full satisfaction of Organizer's liabilities to Exhibitor. SDCC reserves the right to cancel, re-name or re-locate the Event or change the dates on which it is held. If SDCC changes the name of the Event, relocates the Event to another event facility within the same city or changes the dates for the Event to dates that are not more than thirty (30) days earlier or thirty (30) days later than the dates on which the Event originally was scheduled to be held, no refund will be due to Exhibitor; provided however, SDCC shall assign use of such space to Exhibitor pursuant to the terms of this contract. If SDCC elects to cancel the Event other than for reasons previously described in this paragraph, SDCC shall refund to each Exhibitor its entire

## When faxing this form, please sign here (required)

X \_\_\_\_\_ Date \_\_\_\_\_

Company Name \_\_\_\_\_

exhibit space rental payment previously paid, in full satisfaction of any and all liabilities on the part of the Organizer to Exhibitor.

### 11. Exhibit Space Occupancy

Hours and dates for installing, occupying and dismantling exhibits shall be those expressly specified by SDCC. If Exhibitor fails to install its display in its assigned space by one hour before show opens or leaves its space unattended during the Exhibit hours, SDCC shall have the right to take possession of the space and no refund will be due to Exhibitor. All exhibits must be open for business during the Event hours. Exhibitor may not dismantle the display until the Event is officially closed by SDCC.

### 12. Listings and Promotional Materials

By Exhibitor's participation in the Event, Exhibitor expressly grants to SDCC a fully paid, perpetual non-exclusive license to use, display and reproduce the name, trade names and product names of Exhibitor in any directory (print, electronic or other media) listing the exhibiting companies at the Event and to use such names in SDCC promotional materials. SDCC shall not be liable for any errors in any listing or descriptions or for omitting any Exhibitor from the directory or other lists or materials. Exhibitor agrees that SDCC may also take photographs or Exhibitor's booth space, exhibit and personnel during, before or after the open hours of the Event and use such photographs for any SDCC promotional purpose.

### 13. Care of Exhibit Facility

Exhibitor shall promptly pay for any and all damages to the Exhibit Facility or associated facilities, booth equipment or the property of others caused by Exhibitor.

### 14. Taxes and Licenses

Exhibitor shall obtain any licenses, permits or approvals under federal, state or local law applicable to its activities at the Event at its sole expense. Exhibitor shall obtain any necessary tax identification numbers and permits and for paying all taxes, license fees, use fees, or other fees, charges, levies or penalties that become due to any governmental authority in connection with its activities at the Event.

### 15. Copyrighted Materials

Exhibitors shall not play or permit the playing or performance of, or distribution of any copyrighted material at the Event unless it has obtained all necessary rights and paid all required royalties, fees or other payments.

### 16. Observance of Laws

Exhibitor shall abide by and observe all federal, state and local laws, codes, ordinances, rules and regulations, and all rules and regulations of the Exhibit Facility (including without limitation any union labor work rules). Without limiting the generality of the foregoing, Exhibitor shall construct its exhibits to comply with the Americans with Disabilities Act.

### 17. Additional Terms and Conditions

SDCC has sole control over attendance policies. Except as provided to the contrary in this contract, all monies paid by Exhibitor shall be deemed fully earned and non-refundable at the time of payment. Exhibitor shall conduct itself at all times in accordance with normal standards of decorum and good taste. In addition to its right to close an exhibit and withdraw acceptance of the contract, SDCC in its sole judgment may refuse to consider for participation in future events held by Organizer an Exhibitor who violates or fails to abide by the contract and any of the accompanying rules and regulations. Any amendment or modification to this contract must be in writing and signed by an authorized representative of SDCC. Exhibitor may not assign this contract or any right hereunder nor may Exhibitor sublet or license all or any portion of its exhibit space without the prior written consent of SDCC, which consent shall be in SDCC's sole discretion.

### 18. Exhibitor Service Guide

Approximately two months from the Event, SDCC will send an Exhibitor Service Guide to the Primary Contact listed on the front of this agreement. The Exhibitor Service Guide will include information integral to participation at the Event, including but not limited to additional exhibitor rules and regulations, official contractor order forms, registration, shipping and drayage, utilities and building services, exhibitor display rules, and move-in, move-out schedules.

### 19. Incorporation of Rules and Regulations

Any and all matters pertaining to the Event and not specifically covered by the terms and conditions of this contract shall be subject to determination by SDCC in its sole discretion. SDCC may adopt rules or regulations from time to time governing such matters and may amend or revoke them at any time, upon reasonable notice to Exhibitor. Any such rules and regulations (whether or not included in an Exhibitor Service Guide or similar document) are an integral part of this contract and are incorporated herein by reference and shall have the full force and effect as if such rules and regulations are fully set forth herein. Exhibitor shall observe and abide by additional regulations made by SDCC as soon as these additional rules or regulations are communicated to Exhibitor. This contract (including the Exhibitor Service Guide and any additional rules or regulations adopted by SDCC from time to time) states the entire agreement of the parties with respect to the subject matter hereof.

### 20. Governing Law

This contract is governed by the laws of the State of California as applied to contracts entered into and entirely performed within such state. Exhibitor agrees that the courts located in the State of California shall constitute the exclusive forum for the resolution of any and all disputes arising out of, connected with or related to this contract or the breach of any provision of this contract. Exhibitor waives any right to assert lack of personal or subject matter jurisdiction and agrees that venue properly lies in San Diego, California.

### 21. Character of Displays: Use of Aisles and Common Areas

Distribution of samples and printed matter of any kind, and any promotional material is restricted to the exhibit booth. All exhibits shall display products or services in a tasteful manner as determined in SDCC's sole discretion. The aisles, passageways and overhead spaces remain strictly under control of SDCC and no signs, decorations, banners, advertising material or special exhibits will be permitted in any of these spaces except by written permission of SDCC. Uniformed attendants, models and other employees must remain within the booths occupied by their employers. Any and all advertising distribution must be made from Exhibitor only from within his or her booth. Equipment must be arranged so that show visitors do not stand in the aisle while examining equipment or watching demonstrations. Strolling entertainment or moving advertisements outside of an Exhibitor's exhibit space is prohibited.

### 22. Sound Advertisements

The use of devices for mechanical reproduction of sound or music is permitted, but must be controlled. Sound of any kind must not be projected outside of the exhibit booth. Exhibitors are specifically prohibited from employing any carnival-type attraction, animal or human, or from operating such noise-creating devices as bells, horns or megaphones. SDCC reserves the right to determine sound interference with others and Exhibitor shall comply with any request by SDCC to discontinue any such sound or music.

### 23. Fire and Safety Laws

Federal, state and city Laws must be strictly observed. A full listing of these fire and safety regulations will be found in the exhibitor service guide.

### 24. Rights of Offset; Enforcement

In the event Exhibitor is indebted to SDCC, whether or not such indebtedness arises from this or any other agreement, SDCC shall have the right in its discretion, to apply any refunds of exhibit booth fees properly due Exhibitor to such other indebtedness in the event legal action is filed by SDCC to enforce the terms and provisions of the agreement, the prevailing party in such action shall be entitled to reimbursement of court costs and reasonable legal fees.

25. SDCC will be providing to you from time to time additional materials which will specify additional terms and conditions for your participation and/or presence at the Event. Such additional terms and conditions (including without limitation those specified in the document entitled "General Terms and Conditions") are hereby fully incorporated herein by reference and shall have the full force and effect as if such terms and conditions are fully and expressly set forth herein. You hereby agree that all information containing terms and conditions provided to you by SDCC shall be deemed fully read and understood by you and that you shall be bound by all the terms and conditions contained herein and therein.

**SWAP MEETS, FLEA MARKETS, OR  
SPECIAL EVENTS CERTIFICATION**

People who sell merchandise in California are generally required to hold a seller's permit.

You **may not** sell at this event unless you have a seller's permit or are not required to hold a permit. You are required to have a permit if you are selling, even temporarily, new or handcrafted items or used items you purchased for the purpose of reselling to others. You are not required to hold a permit if you are only making "occasional" sales, selling products that are not taxable when sold at retail, or selling on behalf of a section 6015 retailer.

Seller's permits can be obtained at any local Board of Equalization office at no cost to you. To find a Board office near you, call our Information Center at 800-400-7115 or check our website at [www.boe.ca.gov](http://www.boe.ca.gov). Permit applications can also be found online at [www.boe.ca.gov/sutax/sutprograms.htm](http://www.boe.ca.gov/sutax/sutprograms.htm). If you obtain a temporary seller's permit, the business address on your temporary permit should be the address of the temporary selling location and the mailing address should be your permanent place of business or residence.

**Occasional and Nontaxable Sales** - Occasional sellers are usually people who are not required to hold a seller's permit because they will not be making a series of qualifying sales. A person who has cleared their garage of used items *accumulated for their own use* and who sells *only* those items would usually qualify as an occasional seller, provided they make sales no more than twice in a 12-month period. Some sellers who make only nontaxable sales are also not required to hold seller's permits. Examples include sellers of fresh produce or other cold food products sold "to go." Please note, however, some food sales are taxable, including sales of food for consumption in places where admission is charged.

**Section 6015 Retailers** - Revenue and Taxation Code section 6015 relieves certain individuals of the requirement to obtain a seller's permit when: (1) the product supplier is a Board approved section 6015 retailer, (2) the product supplier reports and pays tax on the actual "retail selling price," (3) the individual is selling only those items purchased from the section 6015 retailer, and (4) the individual provides the name of the product supplier. Typical section 6015 retailers include multi-level marketing retailers that solicit sales through a network of individual salespeople/representatives (e.g., Avon, Tupperware).

**Verification of a seller's status is required by law. Please complete all four sections of this form. Please print.**

**1. EVENT INFORMATION**

EVENT NAME AND PLACE

EVENT DATE(S)

TABLE/BOOTH/LOCATION ID#

**2. VENDOR/EXHIBITOR INFORMATION**

OWNER'S NAME

MAILING ADDRESS (*street number or P.O. box*)*(city, state and zip code)*

TELEPHONE NUMBER

(       )

DRIVER LICENSE NUMBER OR STATE ID NUMBER AND STATE

TYPE OF BUSINESS, DESCRIPTION OF ITEMS TO BE SOLD/DISPLAYED

**3. STATUS** — *Check appropriate boxes, and provide requested information*

- I hold a valid seller's permit. My number is:   **S**
- No sales of tangible personal property are being made or solicited at this event.
- I am not required to hold a seller's permit because:
- My retail product sales are not subject to tax       My sales are exempt occasional sales
- I sell on behalf of a section 6015 retailer \_\_\_\_\_

**4. CERTIFICATION** — *Partners/additional sellers, complete a separate copy of this form*

*The above statements are certified to be correct to the best knowledge and belief of the undersigned.*

NAME (*typed or printed*)

TITLE

SIGNATURE

DATE



## **Sales and Use Tax Privacy Notice**

### **Information Provided to the Board of Equalization**

We ask you for information so that we can administer the state's sales and use tax laws (Revenue and Taxation Code sections 6001-7176, 7200-7226, 7251-7279.6, 7285-7288.6). We will use the information to determine whether you are paying the correct amount of tax and to collect any amounts you owe. You must provide all of the information we request, including your social security number (used for identification purposes [see Title 42 U.S. Code sec.405(c)(2)(C)(i)]).

#### **What happens if I don't provide the information?**

If your application is incomplete, we may not issue your seller's permit or use tax certificate. If you do not file complete returns, you may have to pay penalties and interest. Penalties may also apply if you don't provide other information we request or that is required by law, or if you give us fraudulent information. In some cases, you may be subject to criminal prosecution.

In addition, if you don't provide information we request to support your exemptions, credits, exclusions, or adjustments, we may not allow them. You may end up owing more tax or receiving a smaller refund.

#### **Can anyone else see my information?**

Your records are covered by state laws that protect your privacy. However, we may share information regarding your account with certain government agencies. We may also share certain information with companies authorized to represent local governments.

Under some circumstances we may release to the public the information printed on your permit, account start and closeout dates, and names of business owners or partners. When you sell a business, we can give the buyer or other involved parties information regarding your outstanding tax liability.

With your written permission, we can release information regarding your account to anyone you designate.

#### **We may disclose information to the proper officials of the following agencies, among others:**

- United States government agencies: U.S. Attorney's Office; Bureau of Alcohol, Tobacco and Firearms; Depts. of Agriculture, Defense, and Justice; Federal Bureau of Investigation; General Accounting Office; Internal Revenue Service; Interstate Commerce Commission
- State of California government agencies and officials: Air Resources Board; Dept. of Alcoholic Beverage Control; Auctioneer Commission; Dept. of Motor Vehicles, Employment Development Department; Energy Commission; Exposition and Fairs; Dept. of Food and Agriculture; Board of Forestry; Forest Products Commission; Franchise Tax Board; Dept. of Health Services; Highway Patrol; Dept. of Housing and Community Development; California Parent Locator Service
- State agencies outside of California for tax enforcement purposes
- City attorneys and city prosecutors; county district attorneys, police and sheriff departments.

#### **Can I review my records?**

Yes. Please contact your closest Board office (see the white pages of your phone book). If you need more information, you may contact our Disclosure Officer in Sacramento by calling 916- 445-2918. You may also want to obtain publication 58-A, *Inspecting and Correcting Your Records*. You may order a copy from our Information Center: 800-400-7115 or download it from the Internet: [www.boe.ca.gov](http://www.boe.ca.gov) (look under "Forms and Publications").

#### **Who is responsible for maintaining my records?**

The deputy director of the Sales and Use Tax Department, whom you may contact by calling 916-445-6464 or writing at the address shown.

Deputy Director, Sales and Use Tax Department MIC:43  
450 N Street  
Sacramento, CA 95814