



# Acute Radiation Risks and Countermeasures for Space Radiation

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## NASA Space Radiation Program Goal:

To live and work safely in space  
with acceptable risks from radiation

*Risk is not measured-It is predicted by a model*



# The NASA Vision for Space Exploration

- NASA will carry out missions returning to the moon in next decade
  - Sortie missions ~14 days by 2020
  - Long duration missions up to 240 days by 2022
- Missions to Mars will occur towards 2030 building on the lunar program
- Radiation protection requirements including dose limits for lunar missions are now being formalized
  - Protection against large solar proton events are a major near-term goal
- Proposed NSBRI Acute Countermeasures Team requires Risk initial assessment focus



Cucinotta and Durante, *The Lancet- Oncology* (06)  
courtesy of John Frassanito and associates



# Constellation Program

- New NASA Program for human exploration missions
  - Near term focus development of Crew Exploration Vehicle replacing Space Shuttle for missions to the ISS and onto moon





# Integrated Risk Projection

Mitigation:

- Shielding materials

- Radioprotectants

- Pharmaceuticals

Space Radiation Environment

Radiation Shielding

Initial Cellular and Tissue Damage  
*DNA breaks, tissue microlesions*

DNA repair, Recombination,  
Cell cycle checkpoint, Apoptosis, Mutation,  
Persistent oxidative damage, & Genomic Instability

Tissue and Immune Responses

Risks:

Chronic: Cancer, Cataracts,  
Central Nervous System,  
Heart Disease

Acute: Lethality, Sickness,  
Performance

Risk Assessment:

-Dosimetry

-Biomarkers

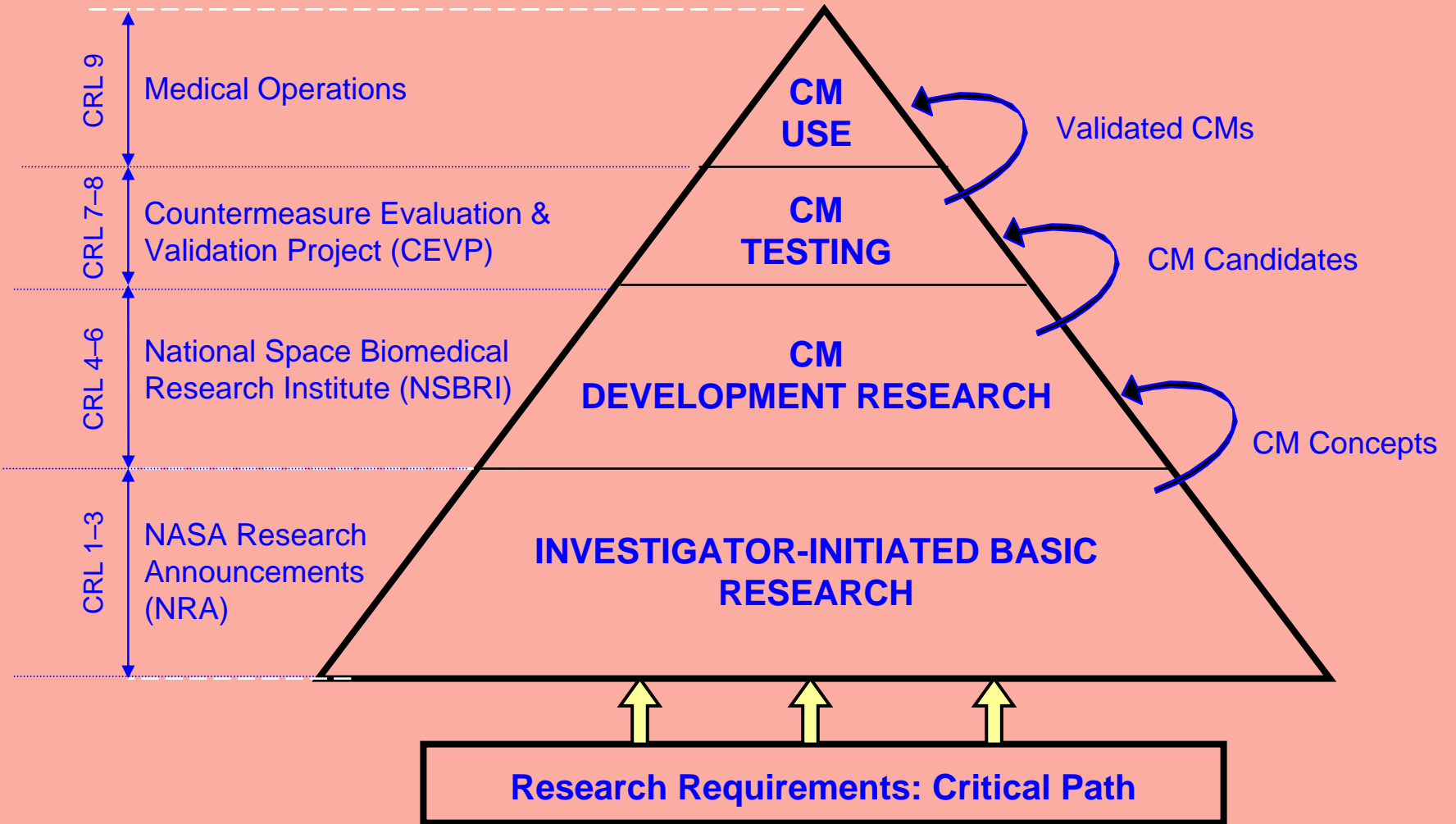
-Uncertainties

-Space Validation

Risk<sub>j</sub>  
(age,sex,mission)



# Countermeasure Development Process





# The Space Radiation Environment

**Solar particle events (SPE)** (generally associated with Coronal Mass Ejections from the Sun):

medium to high energy protons

largest doses occur during maximum solar activity

not currently predictable

MAIN PROBLEM: develop realistic forecasting and warning strategies

**Trapped Radiation:**

medium energy protons and electrons

effectively mitigated by shielding

mainly relevant to ISS

MAIN PROBLEM: develop accurate dynamic model

**Galactic Cosmic Rays (GCR)**

high energy protons

**highly charged, energetic atomic nuclei (HZE particles)**

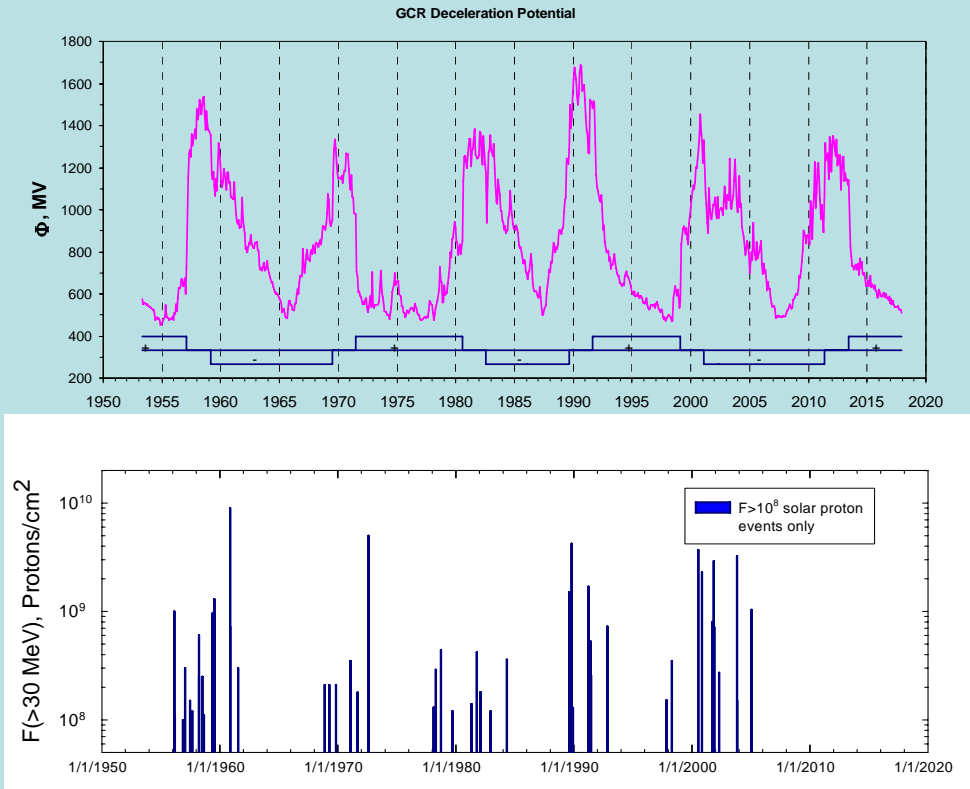
not effectively shielded (break up into lighter, more penetrating pieces)

abundances and energies quite well known

MAIN PROBLEM: biological effects poorly understood but known to be most significant space radiation hazard



# Times of Occurrence of Large SPE's



Modern Era (1956-2005)

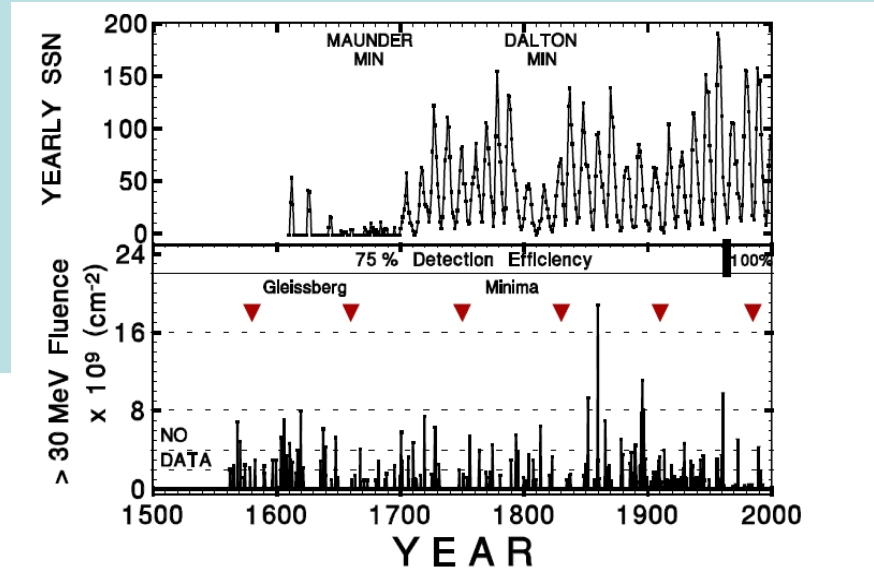


Fig. 1. The times of occurrence of  $>30 \text{ MeV}$  solar proton events with fluence exceeding  $1.0 \times 10^9/\text{cm}^2$ , and the annual international sunspot numbers.

Recent Era (1550-2000)  
*McCracken et al*





# Acute Radiation Risks Research

- **Overall Objectives**

- Accurate Risk assessment models support
  - **Permissible Exposure Limits (PEL) Determination**
  - **Informed Consent Process**
  - **Operational Procedures**
    - Dosimetry
    - EVA timelines
    - Solar Forecasting Requirements
  - **Shielding Requirements**
  - **Countermeasure (CM) Requirements**

- **Approach**

- Probabilistic Risk Assessment applied to Solar Particle Events (SPE)
- Models of acute risks used to evaluate acute CMs for SPE and Lunar Surface conditions



# Overarching Question for Proposed NSBRI Acute Radiation Risks Team?

- For which acute risks are biological countermeasures needed?
  - Risk assessment research and data for appropriate Animal models needed to answer this question
  - Appropriate experimental risk models should be used for testing of CM effectiveness
- What are the most promising high CRL Biological Countermeasures for Acute Risks of concern to NASA?



# Major Questions for Acute Risk Models

- What are the dose-rate modification (DRM) effects for SPE Acute risks?
- What are the RBE's for protons and secondaries?
- How do DRM and RBE's vary with Acute risks?
- Are there synergistic effects from other flight stressors (microgravity, stress, bone loss) or GCR on Acute risks?
- Is the shape of dose-response for Acute risks altered for any of the above, especially at  $P \sim 10\%$ ?
- Are there individual variations at low  $P \sim 10\%$  Acute risk?
- For which Acute risks are countermeasures needed?
- How can the effectiveness of Acute countermeasures be evaluated and extrapolated to Humans?

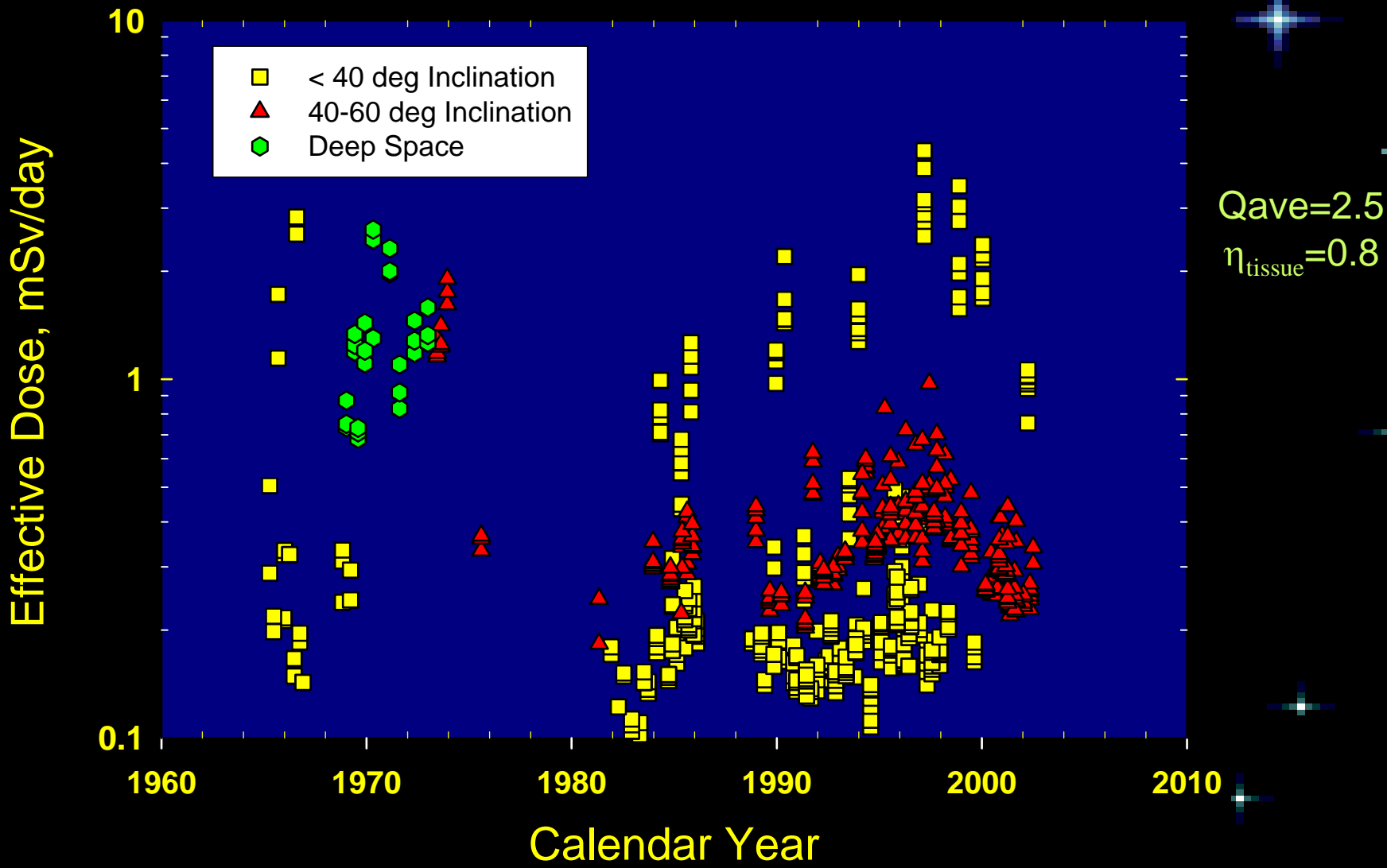


# BFO Limits

- Historically NASA Short-term limits are stated for acute risks but in actuality they are to both limit life-shortening while preventing any acute risks
- NRC Limit (1970) basis was for Reactor environment at high altitude (>500 km) not to prevent Prodromal risks of death
- NRC rationale:
  - Below 1 rem/day rate of injury and recovery are in equilibrium (steady state)
  - Thus over 1-year daily rate should be less 0.2 to 0.4 rem/day
  - Thus do not exceed 75 rem/yr or 35 rem/quarter
- “...The quarterly exposure should be restricted further so that accumulation in a single prompt exposure does not exceed 25 rem... no demonstrable effect....Exposure at the reference risk level, therefore may impose an actuarial risk of loss of 0.5 to 3.0 years from the normal 40 to 45-yr after expectation of life for the age group under consideration”
- NCRP in 2000 recommend use of Gray-equivalent based on RBE ad Human geometry model to replace 5-cm depth dose



# Crew Doses on Past Space Missions





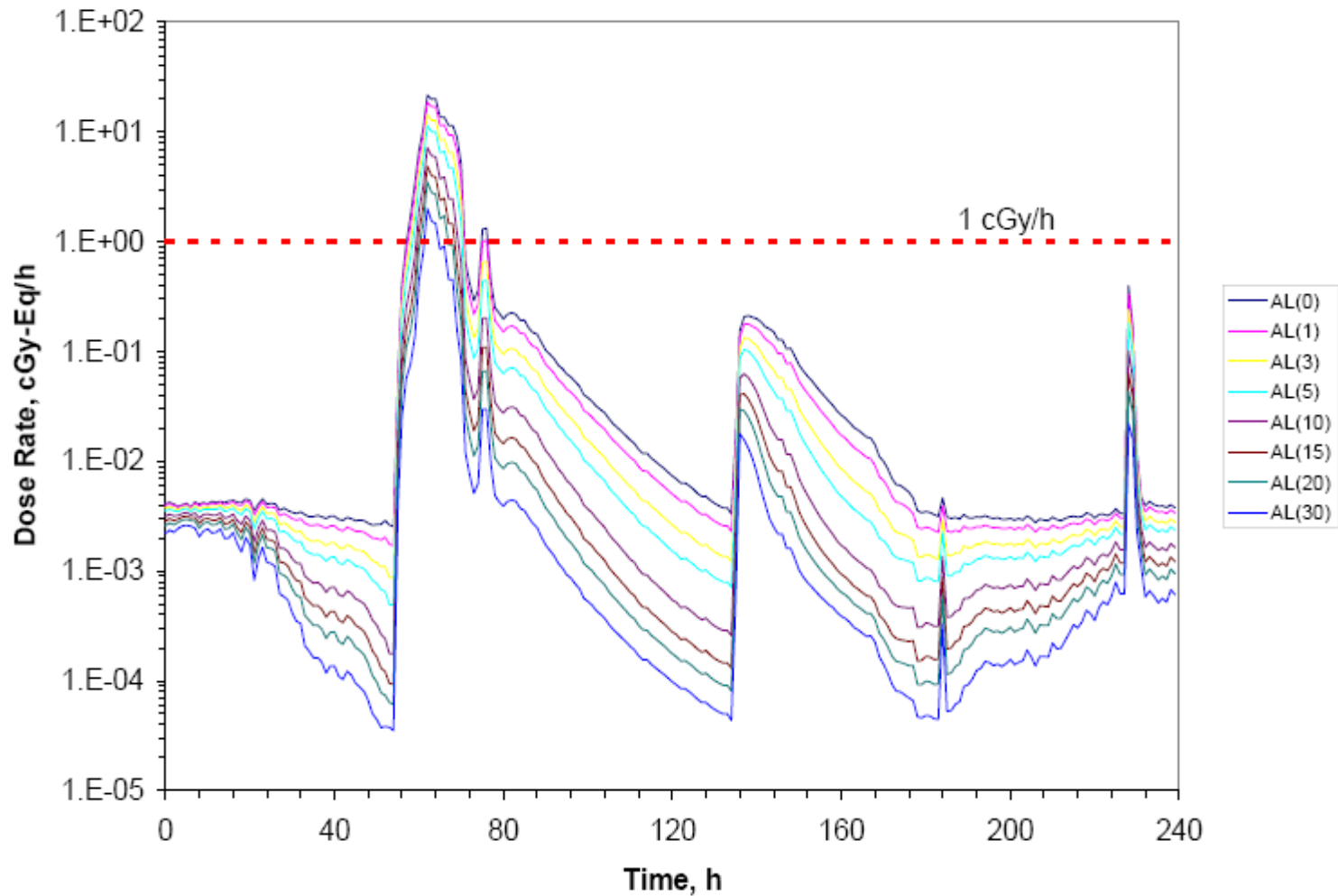
# Acute Risks

	ED <sub>50</sub> $\gamma$ -rays	ED <sub>50</sub> Solar protons*
• <b>Death</b>		
– Blood Marrow Failure	3.0 Gy	4 to 6 Gy-Eq (LDR)
– Gut Death	8.0	>12
– CNS	>10	>10
– Lung	>20	>20
• <b>Radiation Sickness/Damage</b>		
– Anorexia	1.0, Gy	?, Gy-EQ
– Fatigue	1.5	?
– Vomiting	1.8	?
– Nausea	1.4	?
– Skin Damage	5	?
– Blood Count Changes	0.2	>0.2
– Sterility	0.4	?
	0.3	?

>>Dose-rate modifiers for  $\gamma$ -rays and especially protons poorly known

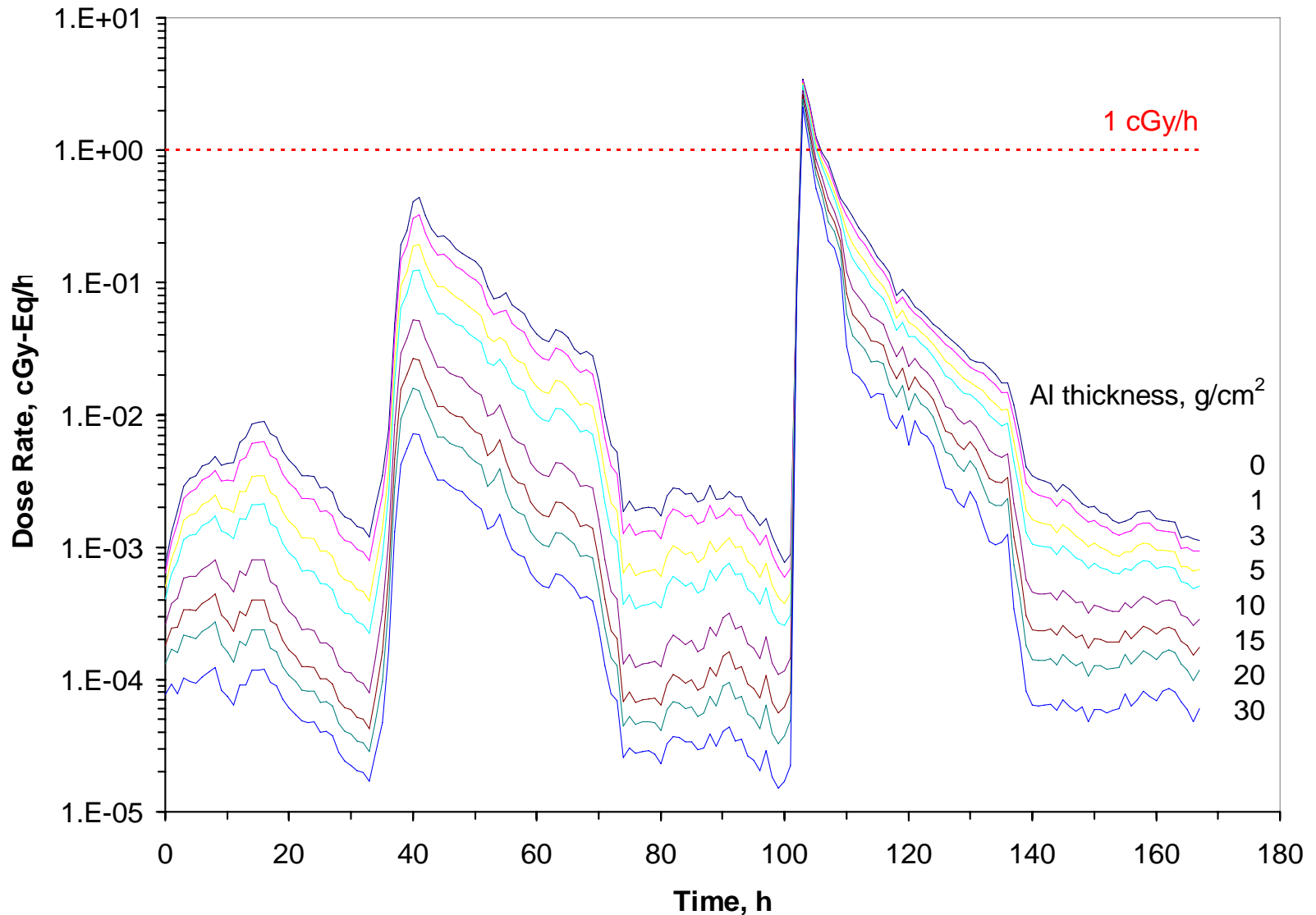


# Dose-Rates to BFO for August 72 SPE





# BFO Dose Rate January 16-22, 2005 SPE

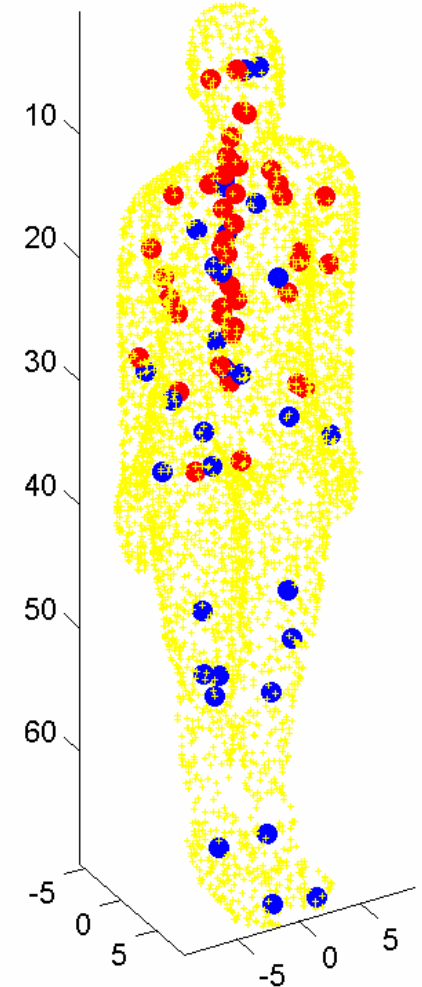
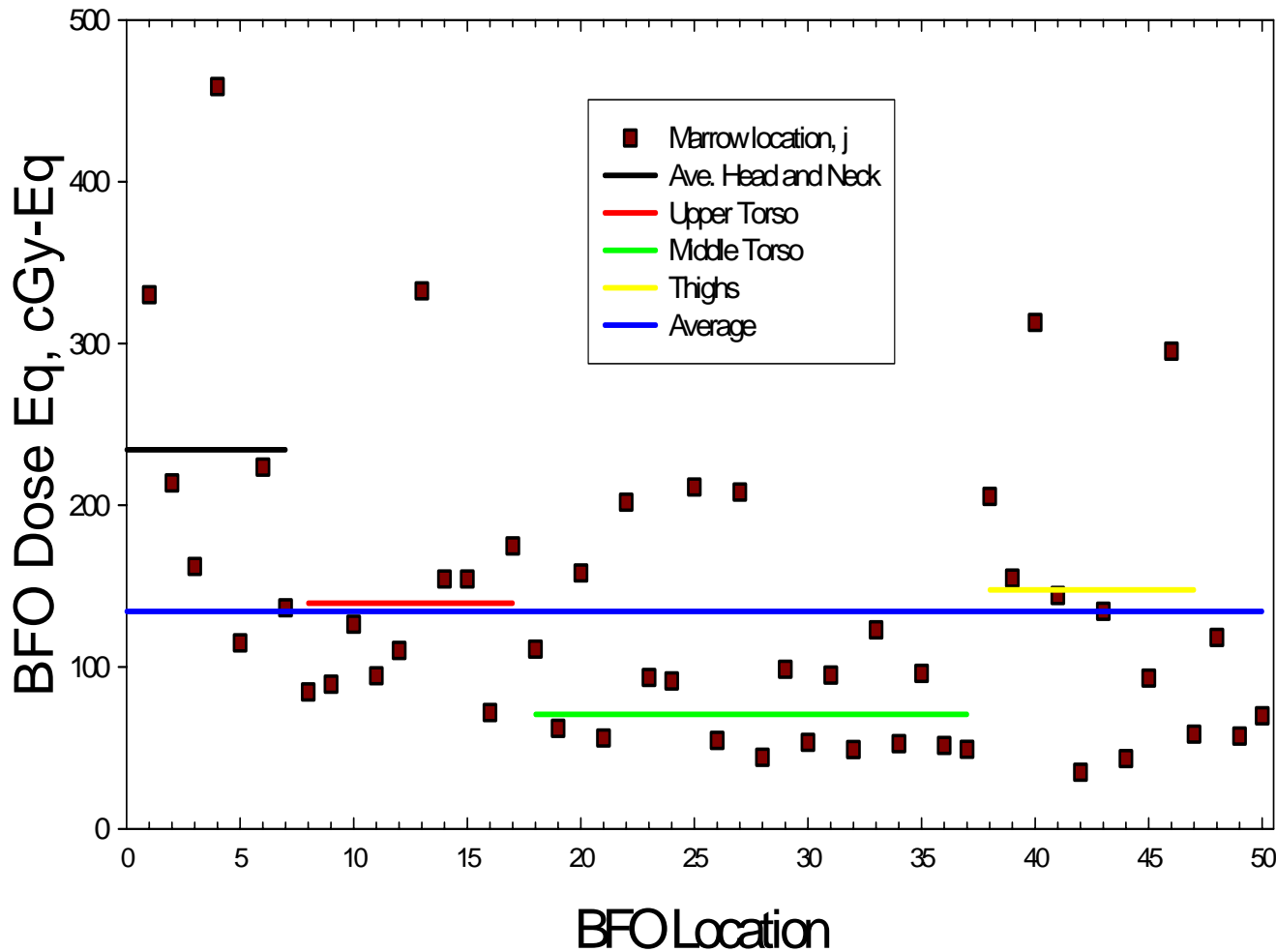






# August 1972 Solar Proton Event

(1 g/cm<sup>2</sup> Al shielding)





# Dose-Rate Dependence of $LD_{50}$ for Uniform Exposures

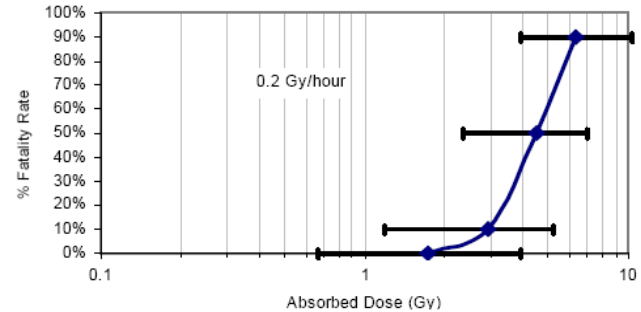
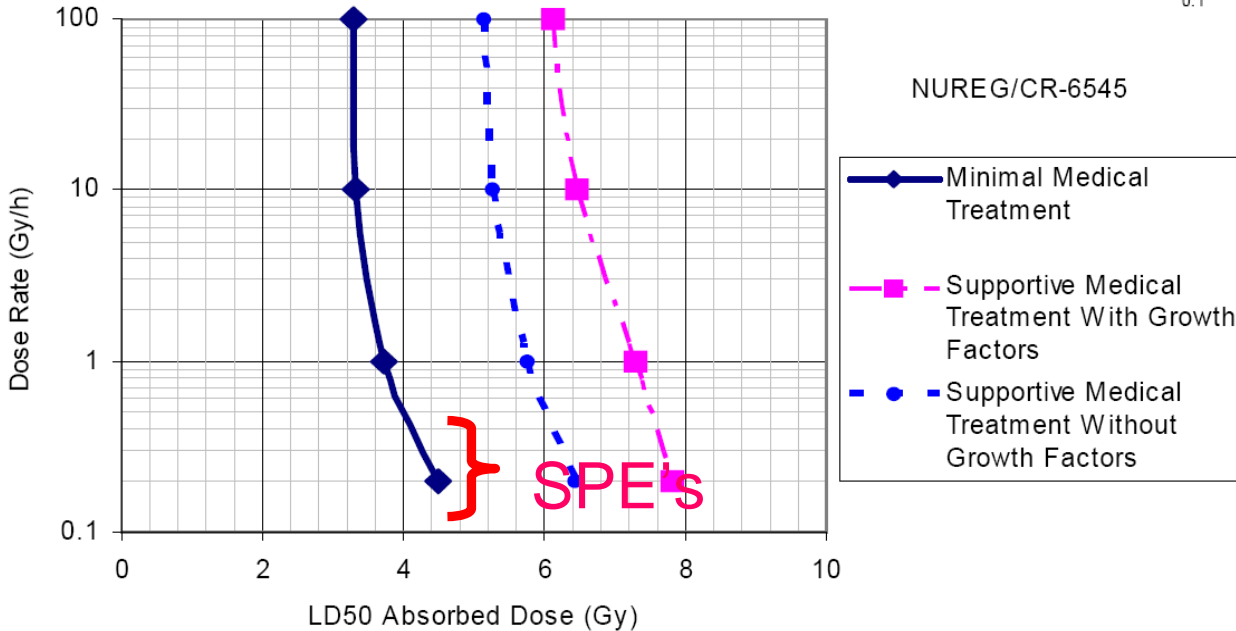


Figure 2. Effect of medical treatment and dose rate on the  $LD_{50}$  from gamma radiation (digitized data from Haskin et al. 1997)



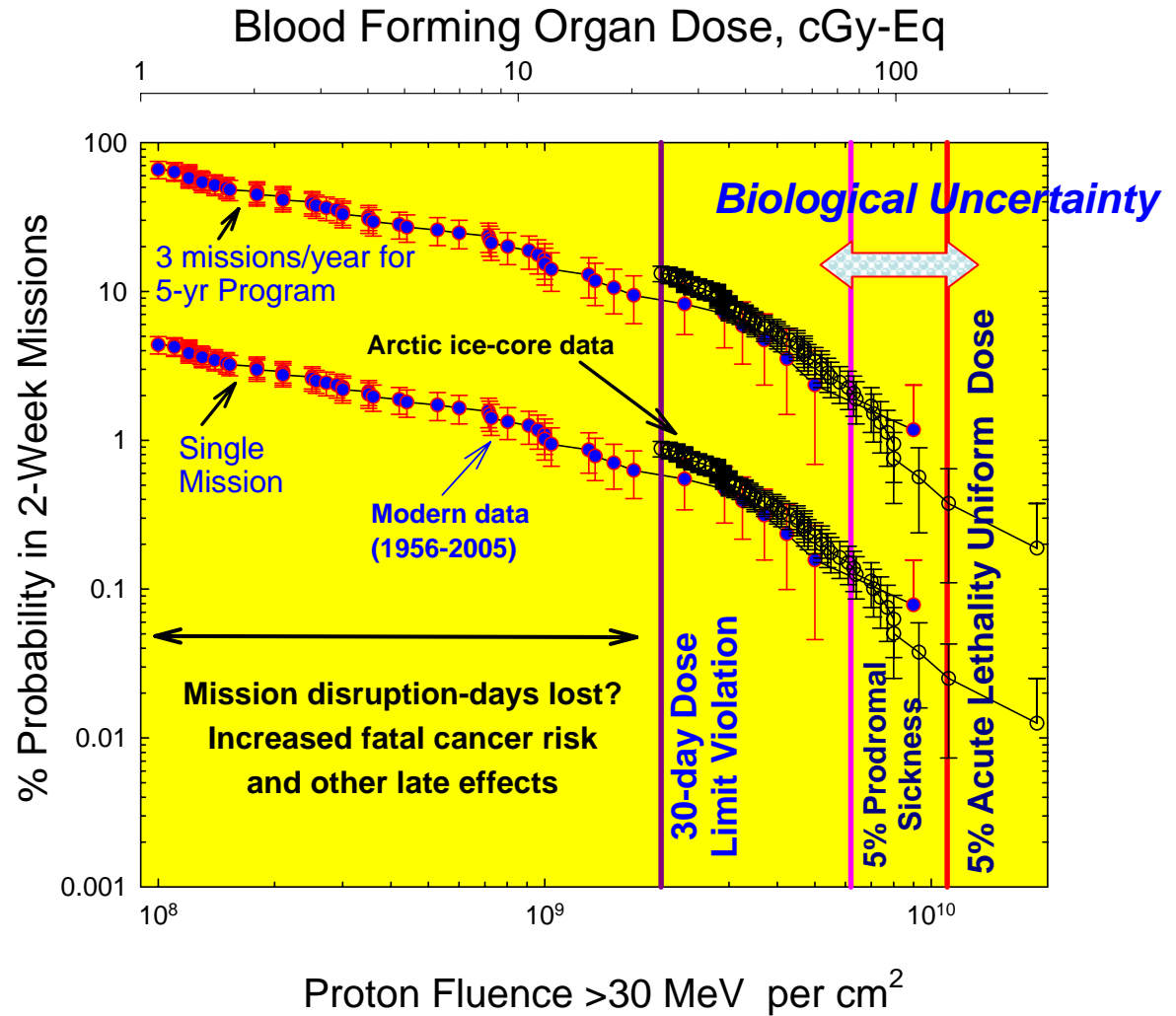
# SPE's Heterogeneous Dose Distribution Further Increases LD50

## MODIFICATION OF LETHAL DOSE ACCORDING TO LATERALITY OF EXPOSURE\*

Factor	Dog	Sheep	Pig
Body Mass (kg)	7-13	32-57	62 (average)
Radiation	X rays (1 MeV)	X rays (1 MeV)	X rays (2 MeV)
LD <sub>50</sub> Mean ± SE	(Roentgen at midplane of exposure volume in absence of animal)		
Unilateral Exposure (UE)	386 ± 10	303 ± 13	434 ± 13
Bilateral Exposure (BE)	321 ± 9	252 ± 17	362 ± 13
Difference (UE-BE)	65	51	72
Ratio (UE/BE)	1.20	1.20	1.20

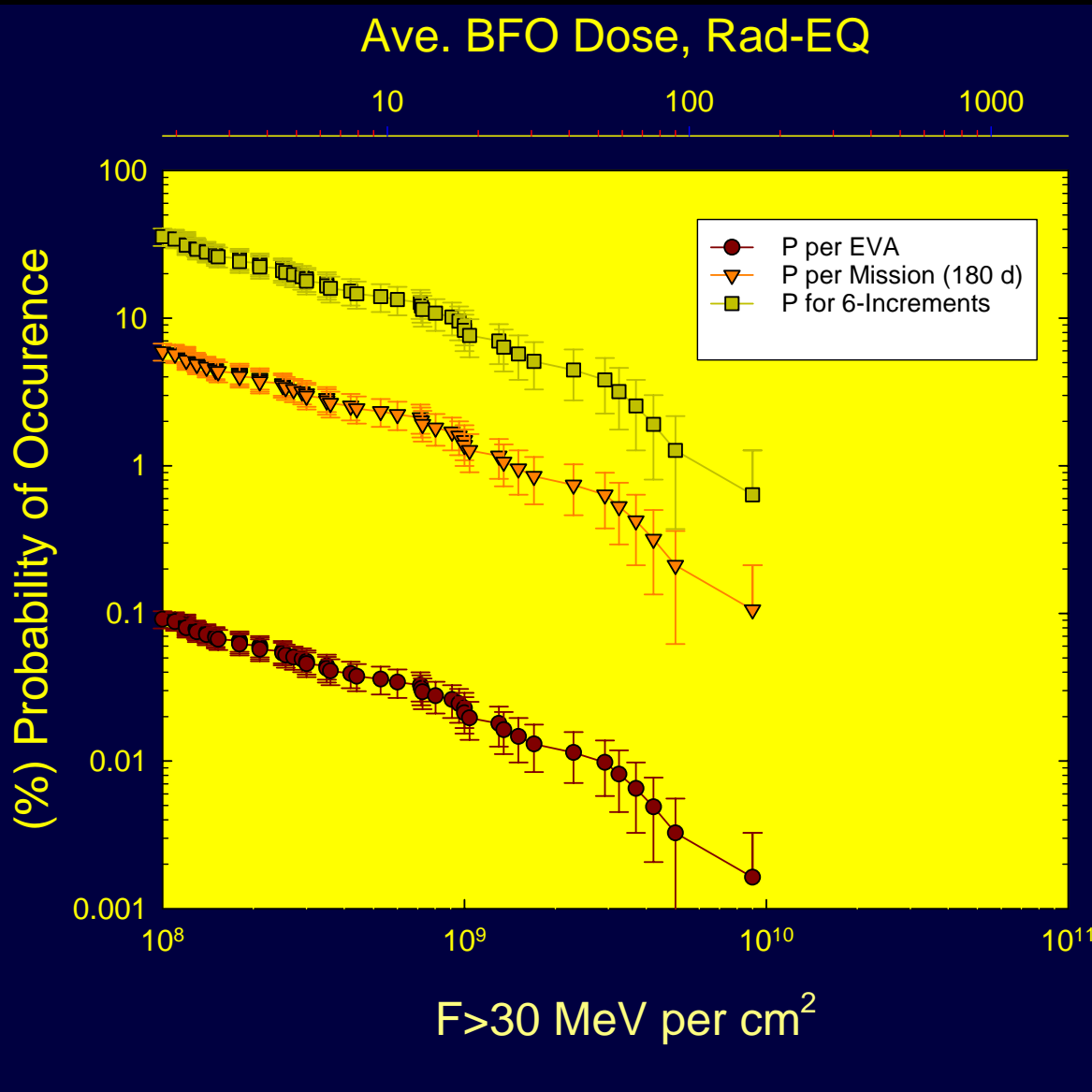
\*A unilateral exposure from any radiation type may result in the sparing of distant stem-cell populations, thereby raising the LD<sub>50</sub>.

# SPE Risks in Apollo Command Module





# SPE Risks- Lunar Surface EVA's



## Assumptions

- 7 hr EVA
- 65 EVA's in 180-d surface stay
- Multiple Outpost Increments
- 3 hr EVA response time to shelter
- $P_c = P_{SPE} \times P_{Risk}$

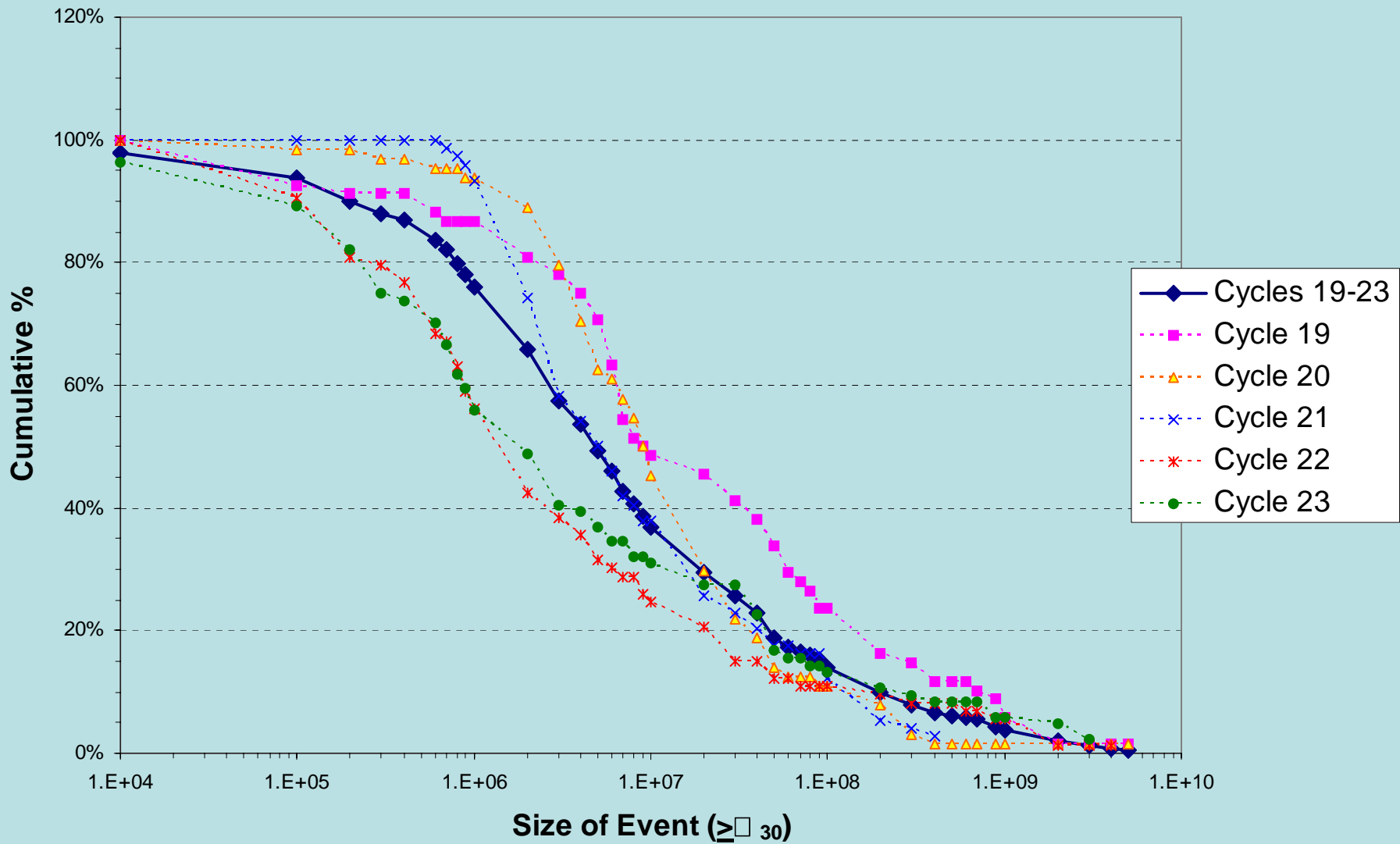
## Issues

- Lethality minor concern ( $P_c < 1\%$ )
- Prodromal likely ( $P_c > 10\%$ ) for NASA program



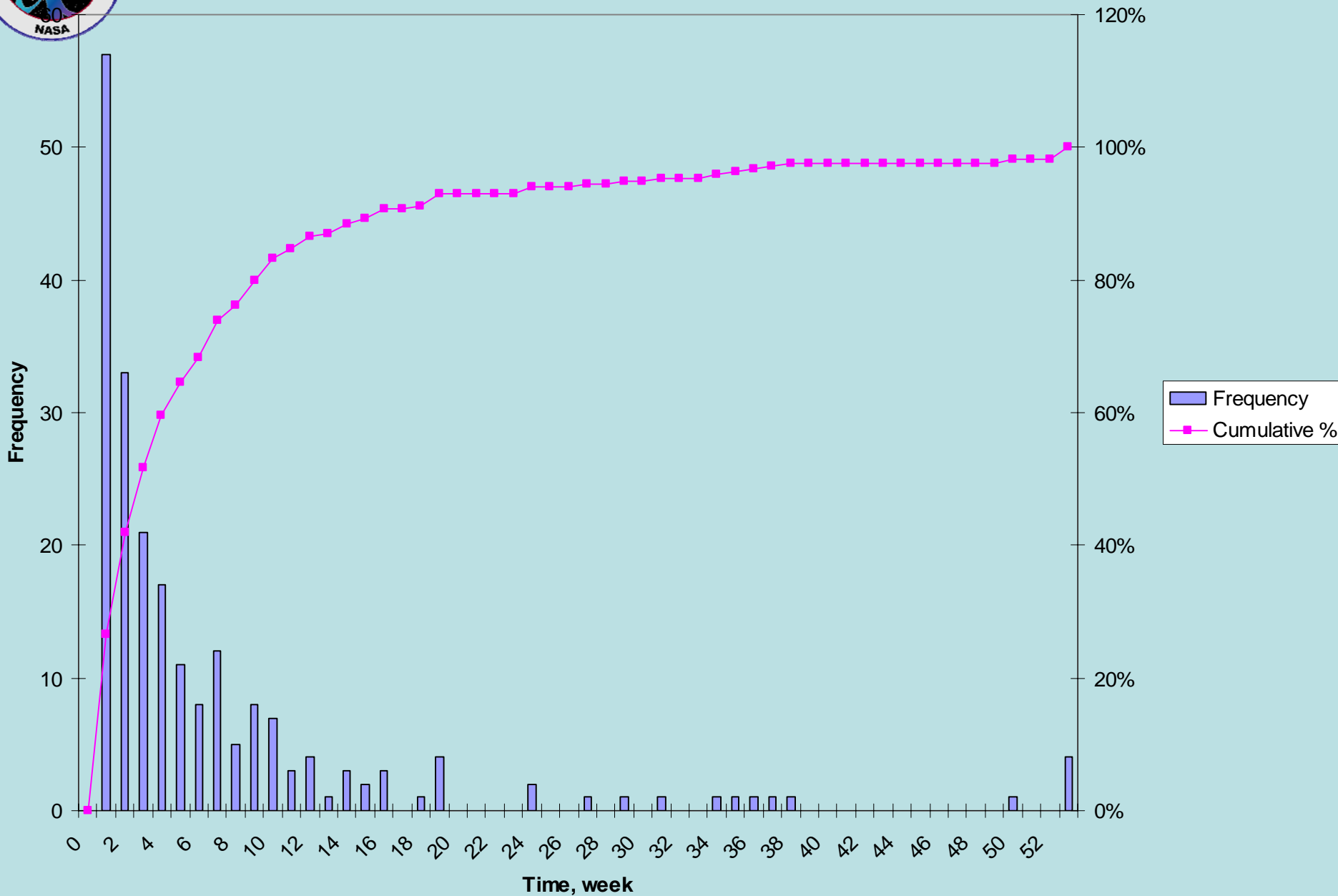
# Cumulative Distribution of SPE

## Cumulative Distribution of SPE





# Histogram of Time Gap between Consecutive Events

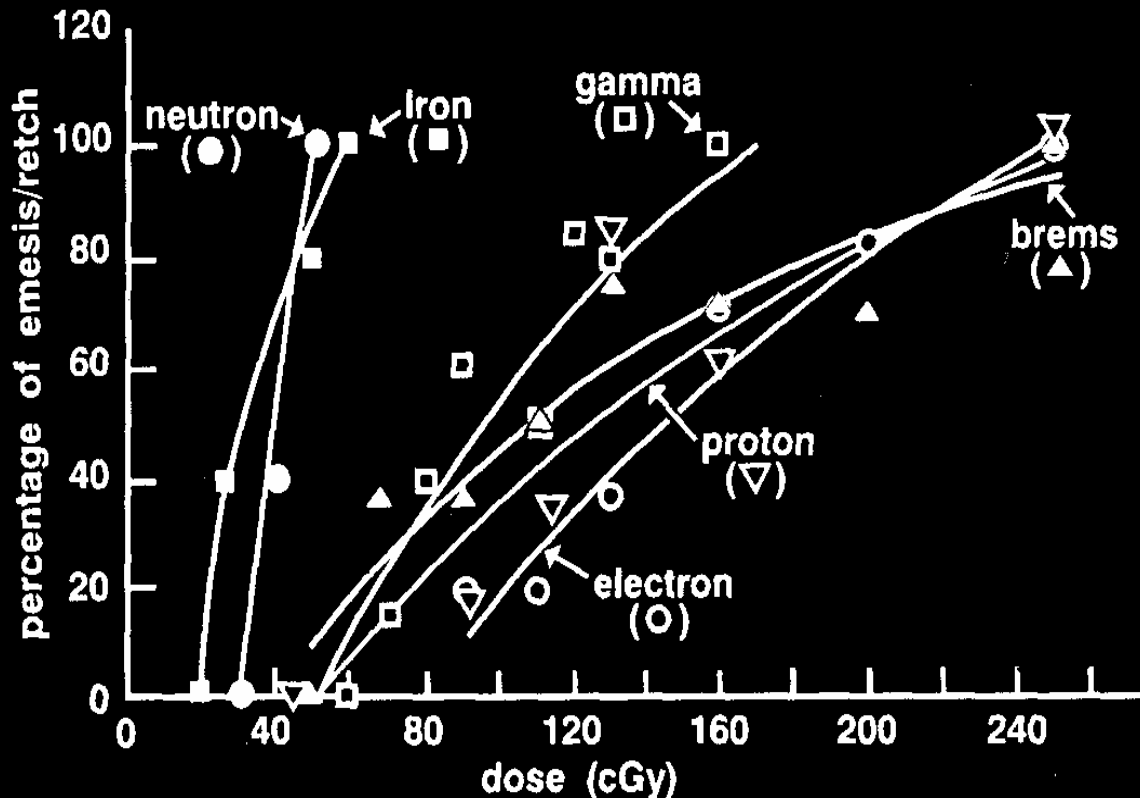




# Acute Dose Responses and Thresholds

- **Threshold dose dependencies**
  - Acute risk (endpoint)
  - Dose-rate and radiation quality
  - Space flight stressors?
  - Individual sensitivity?
  - GCR background?
- **Extrapolation to humans?**
  - Shape from animal data
  - ED50 from Human studies

Rabin et al. (1994)  
Retching/Emesis  
in Ferrets

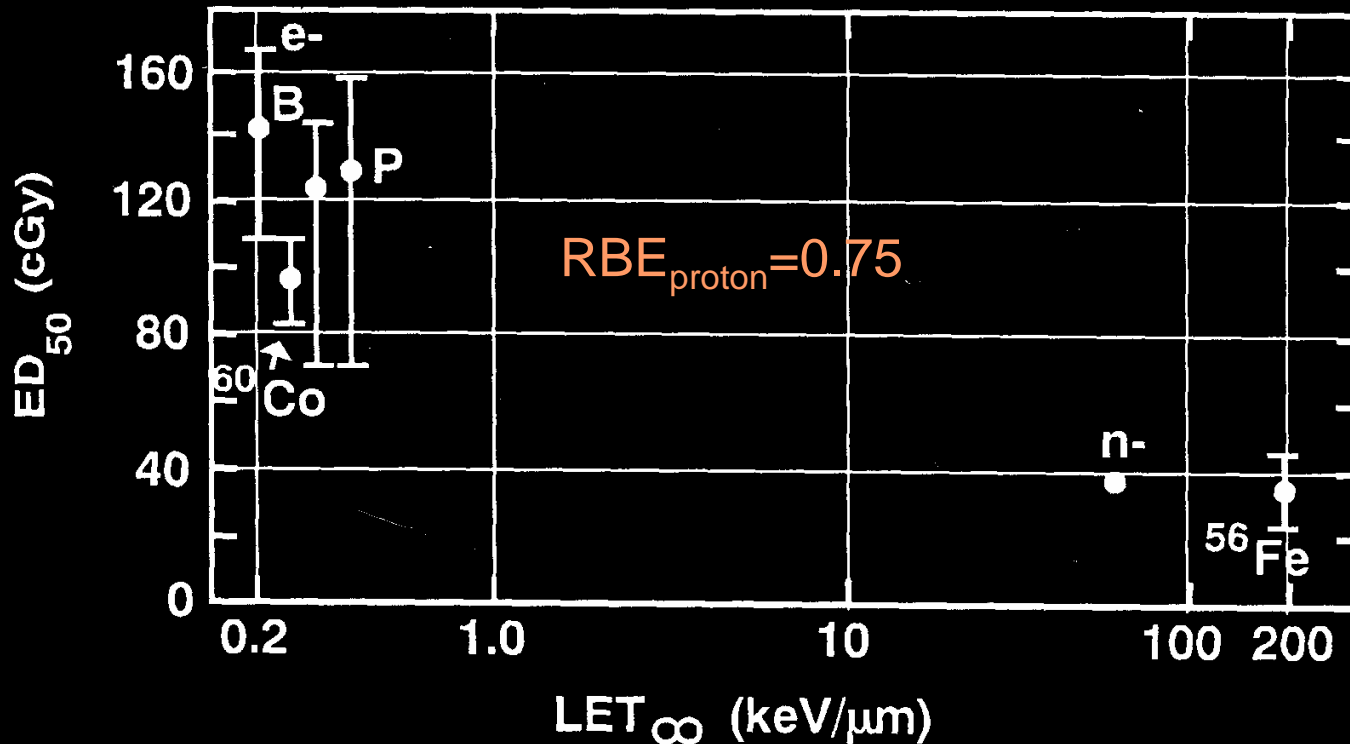






# RBE's for Prodromal Effects

- High-energy Protons RBE<1
- Mixed-field protons RBE=1.1 used in Radiotherapy
- Paucity of data across acute risks to assess SPE RBEs



Rabin et al. (1994)  
Retching/Emesis  
in Ferrets



# Potential Acute Risk CM's

- Because SPE doses are below ED50 for prodromal most effects will manifest after EVA is concluded
- Classes of Biological CM's of Interest
  - Antiemetics
    - **Neuroleptics (phenothiazines, butyrophenones)**
    - **Anticholinergics**
    - **Anthihistaminics H1 and H2**
    - **Cannabinoids**
  - Cytokines and Growth Factors
  - Antimicrobial therapy for infection control
  - Radioprotectors and anti-oxidants are generally not protective of prodromal effects
    - **Combinations with Antiemetics are of interest**
  - Anti-inflammatory drugs



# Conclusions

- NASA Realignment around the Constellation Program shuffles research time-lines to place earlier emphasis on Acute Risk assessment and Biological CM Development from SPE's
- The risk of Acute Lethality from Major SPE is small due to cumulative dose, dose-rate, and dose distribution
- Major goals of a new NSBRI research team should be on Prodromal (Acute) Risk assessments and Countermeasure Development
- Risk questions include:
  - Dose-rate modifiers
  - Heterogeneous tissue doses
  - RBE effects



# Conclusions- continued

- The risk of infection and immune suppression should be a major focus of new NSRBI Acute Radiation Risk Team
  - Synergistic effects with other flight stressors
- CM's post-exposure are most likely scenario
- Biological Countermeasures research can leverage on low CRL developments from
  - Radiation Therapy (protection of normal tissues)
  - Homeland Defense related bio-terrorism research

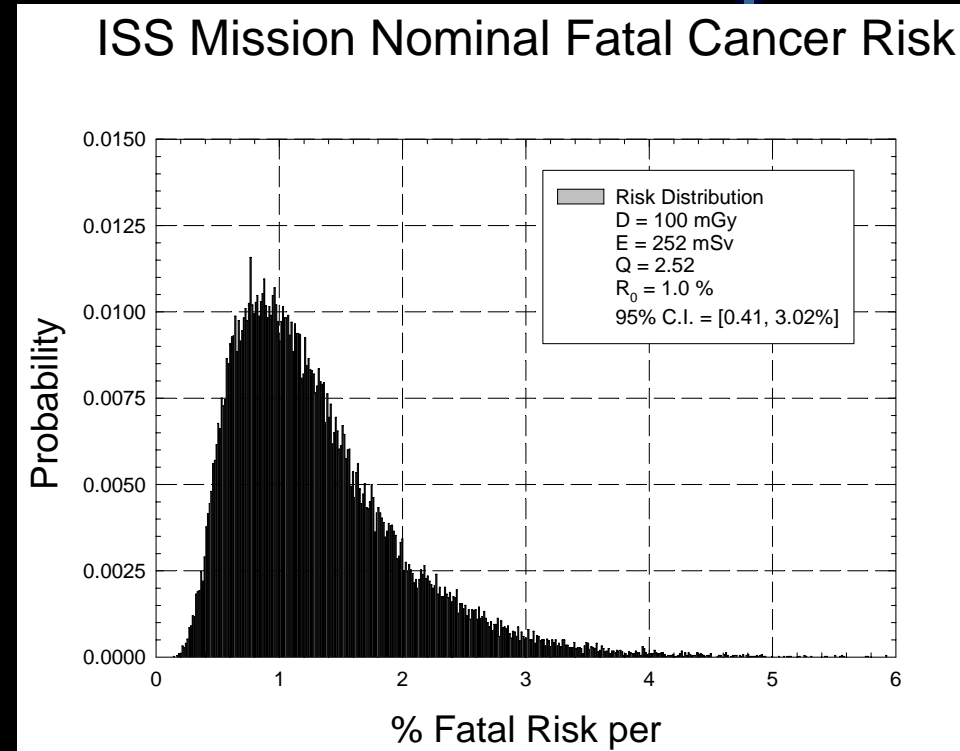


# Backup material



# NASA New Standards for Radiation Limits

- ❑ NASA uses gender and age specific radiation limits
- ❑ Revised standard applies a 95% confidence level to the career limit of 3% risk of fatal cancer
- ❑ 95% confidence is conservative
  - **Specific risk probabilities of individuals**
  - **Narrows range of increased risk**
  - **Uncertainties-**
    - **Epidemiology data**
    - **Dose-rate effects**
    - **Radiation Quality (QF)**
    - **Dosimetry/transport codes**



*Monte-Carlo simulation of risk estimates including range of quality factors, dose-rate factors, epidemiology data, and errors in Dosimetry or transport codes.*

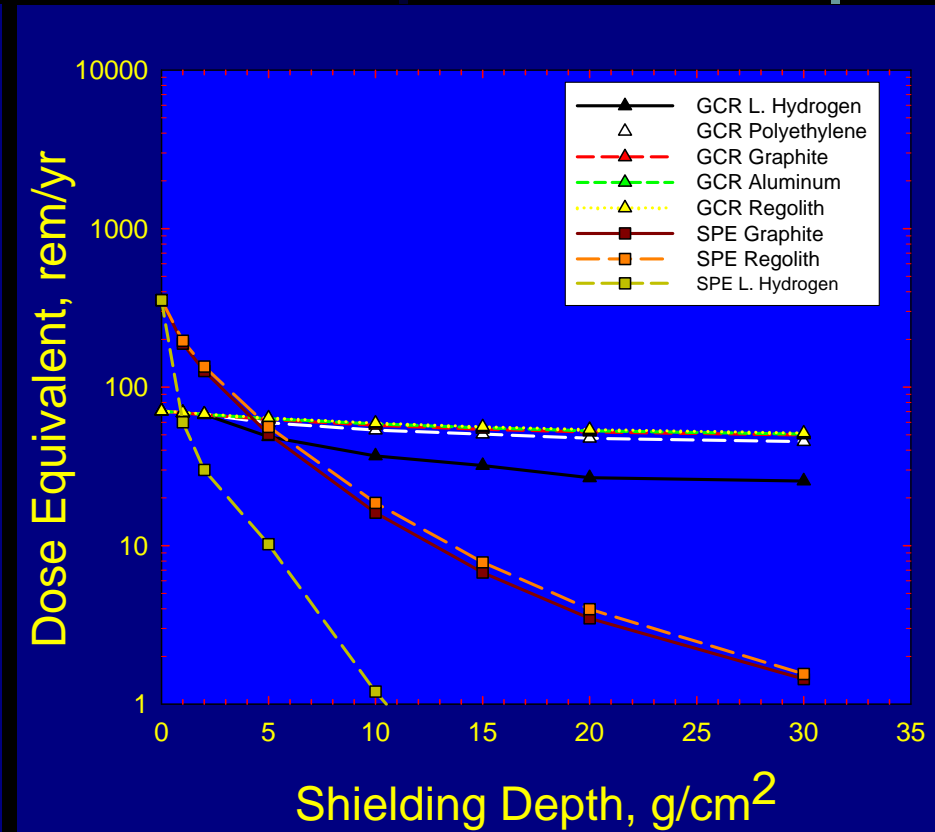
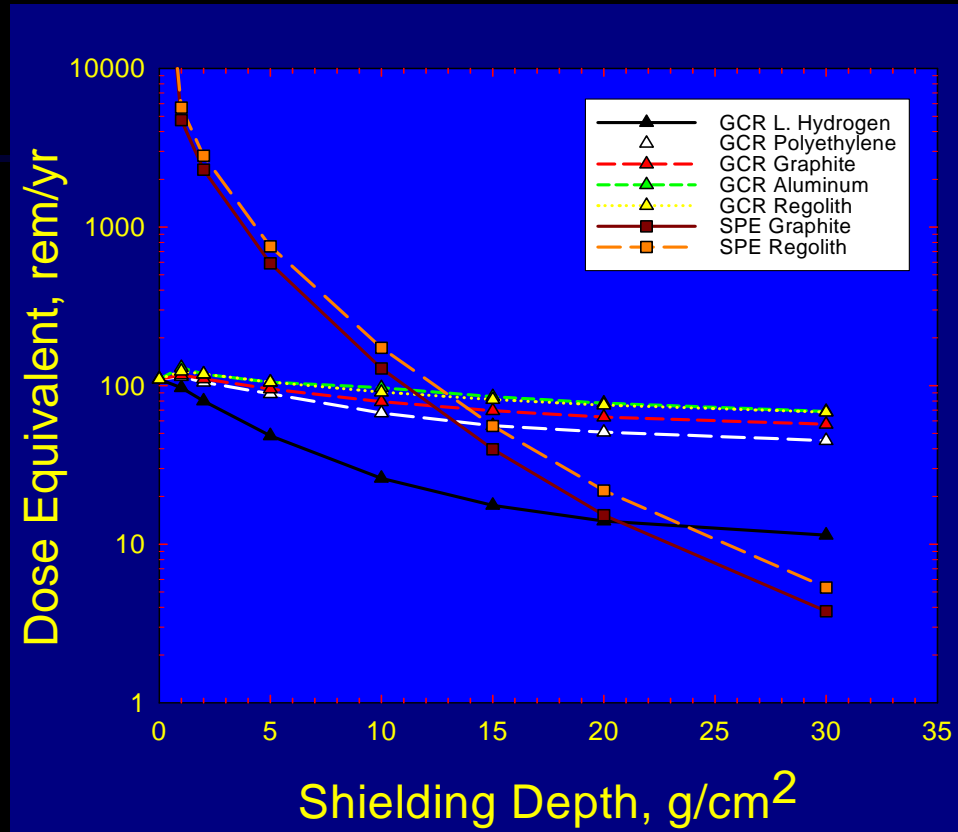


# Galactic and Solar Cosmic Rays

## - Limitations of Radiation Shielding

### No Tissue Shielding

### With Tissue Shielding



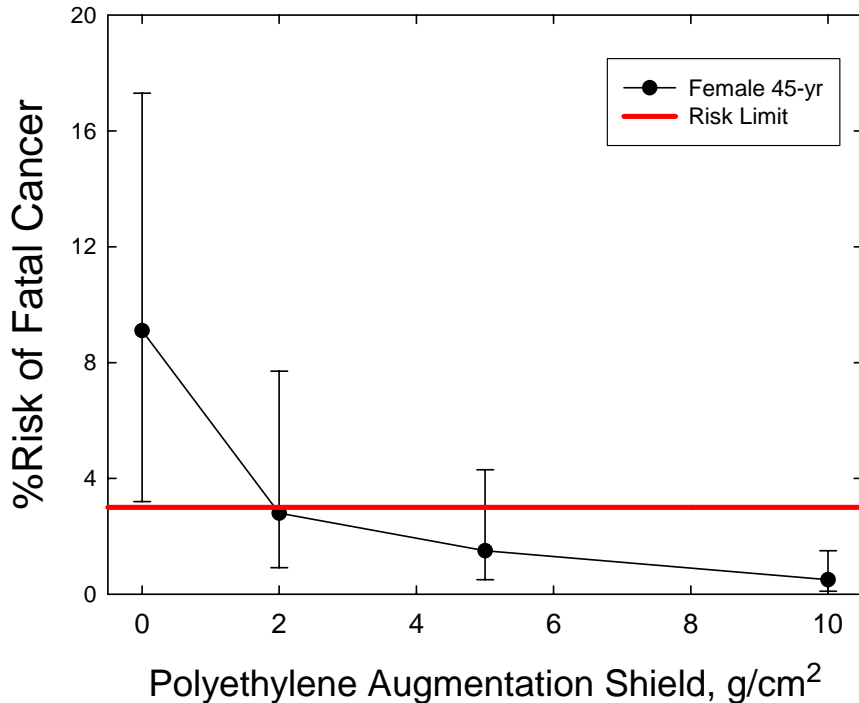
August 1972 SPE



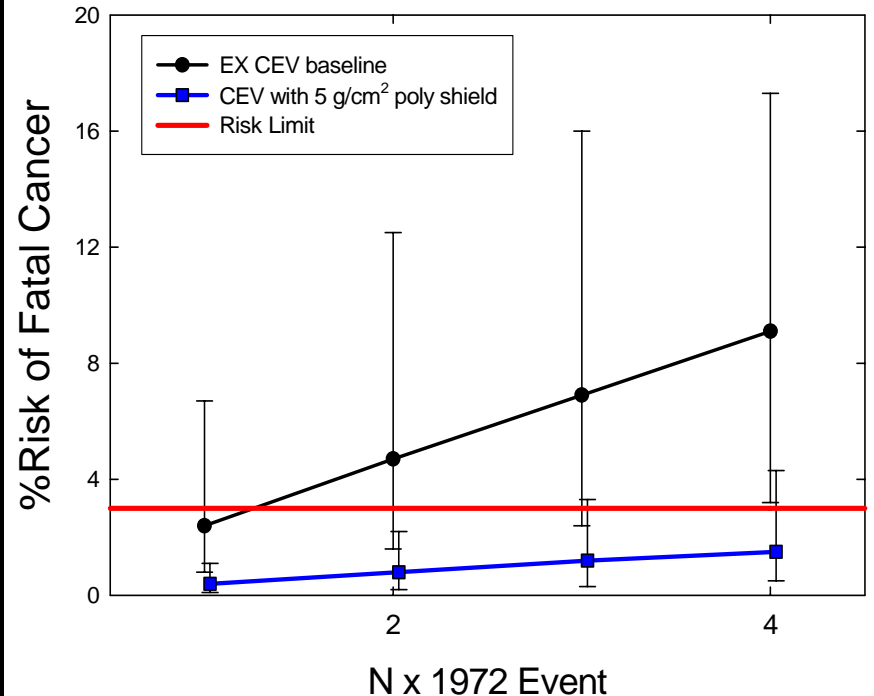
# Solar Proton Events

- What is the largest Solar proton event? Flux, Spectra, Dose-rate?
  - Statistical models of 99% worst-case events
  - Historical information from ice-core samples (14<sup>th</sup> to 19<sup>th</sup> centuries)
- Large SPE's will have variable dose-rates (1 to 50 cGy/hr) adding to uncertainties in DDREF

### 4x1972 Event for Vehicle Design



### Females 45-yr (no prior missions)







# Accuracy of Physics Models: $\pm 20\%$ (environments, transport, shielding)

## HZETRN Comparisons to GCR Secondary Energy Spectra on STS 48

- Because of Earth Magnetic Cutoff predominantly secondary protons and deuterons are measured (Stringent test of HZETRN Code)

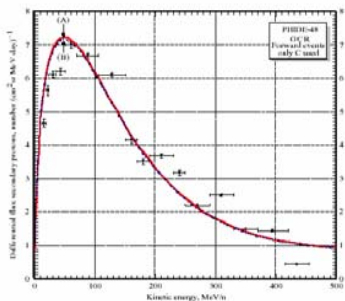


Figure 5. Comparison of observed and calculated secondary proton energy spectra.

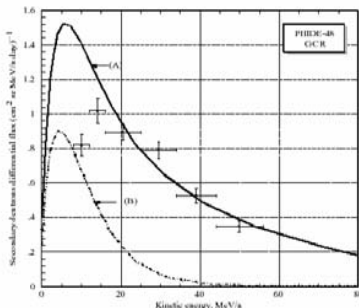


Figure 6. Comparison of observed and calculated secondary deuteron energy spectra.

## Absolute Predictions from HZETRN and Flight Measurements

Mission	DATE	Inclination	Altitude	Shielding	Dose, mGy/d			Dose Eq., mSv/d		
					Measured	Theory	%Difference	Measured	Theory	%Difference
STS-40	1991	39	293	Dloc2	0.052	0.048	7.7	0.13	0.16	-23.1
STS-49	1992	28.5	358	Dloc2	0.05	0.048	4.0	0.127	0.155	-22.0
STS-51	1993	28.5	296	Payload	0.044	0.048	-9.1	0.144	0.154	-6.9
				Bay						
STS-57	1993	57	298	Bay	0.113	0.109	3.5	0.422	0.434	-2.8
STS-57	1993	57	298	DLOC-2	0.138	0.11	20.3	0.414	0.37	10.6
Mir-18	1995	51.6	390	P	0.142	0.141	0.7	0.461	0.526	-14.1
STS-81	1997	51.6	400	0-sphere	0.147	0.135	8.2	0.479	0.521	-8.8
STS-81	1997	51.6	400	Poly 3-in	0.138	0.138	0.0	0.441	0.400	9.3
STS-81	1997	51.6	400	Poly 5-in	0.129	0.118	8.5	0.316	0.368	-16.5
STS-81	1997	51.6	400	Poly 8-in	0.128	0.113	11.7	0.371	0.323	12.9
STS-81	1997	51.6	400	Poly 12-in	0.116	0.111	4.3	0.290	0.298	-2.8
STS-89	1998	51.6	393	0-sphere	0.176	0.148	15.8	0.561	0.614	-9.4
STS-89	1998	51.6	393	Al 3-in	0.167	0.159	4.8	0.445	0.488	-9.7
STS-89	1998	51.6	393	Al 7-in	0.149	0.161	-8.1	0.529	0.617	-16.6
STS-89	1998	51.6	393	Al 9-in	0.171	0.162	5.3	0.492	0.541	-10.0

## ISS Mission

### CALCULATIONS & % DIFFERENCES

	TRAPPED (mGy/day)	GCR (mGy/day)	TOTAL (mGy/day)	% DIFF. (C-M)/M
BRAIN	0.066	0.077	0.143	13.3
THYROID	0.072	0.077	0.148	9.4
HEART	0.061	0.077	0.138	6.6
STOMACH	0.057	0.077	0.133	5.5
COLON	0.056	0.076	0.131	2.5
LIVER	0.053	0.077	0.130	-4.0

### Phantom Data on STS-91 for Trapped + GCR (51.6 x 390 km)

Organ	Measured (mGy)	Theory (mGy)	Theory* (mGy)	% Difference	% Difference*
Brain	2.23	2.42	2.26	-8.5	-1.4
Bone Surface	2.16	2.36	2.21	-9.3	-2.1
Esophagus	1.71	1.79	1.67	-4.7	2.2
Lung	1.92	1.81	1.69	5.7	11.9
Stomach	2.05	2.08	1.94	-1.5	5.2
Liver	1.88	2.15	2.01	-14.4	-6.9
Spinal Column	1.65	1.98	1.85	-20.0	-12.1
Bone Marrow	1.75	1.98	1.85	-13.1	-5.7
Colon	1.71	1.9	1.78	-11.1	-3.8
Bladder	1.58	1.87	1.75	-18.4	-10.6
Gonad	1.75	1.85	1.73	-5.7	1.2
Skin/Breast	2.46	2.58	2.41	-4.9	2.0
Skin/Abdomen	2.35	2.58	2.41	-9.8	-2.6

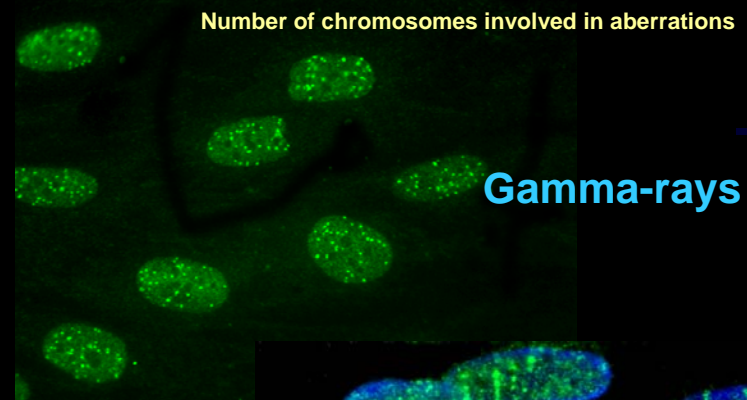
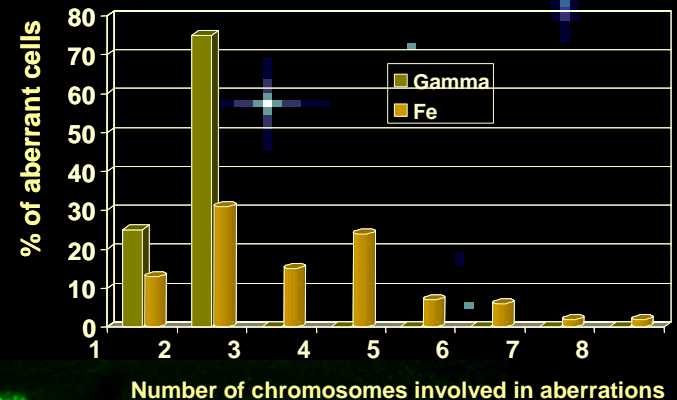
\*Includes a correction to TLD efficiency vs. LET.



# The Space Radiation Problem

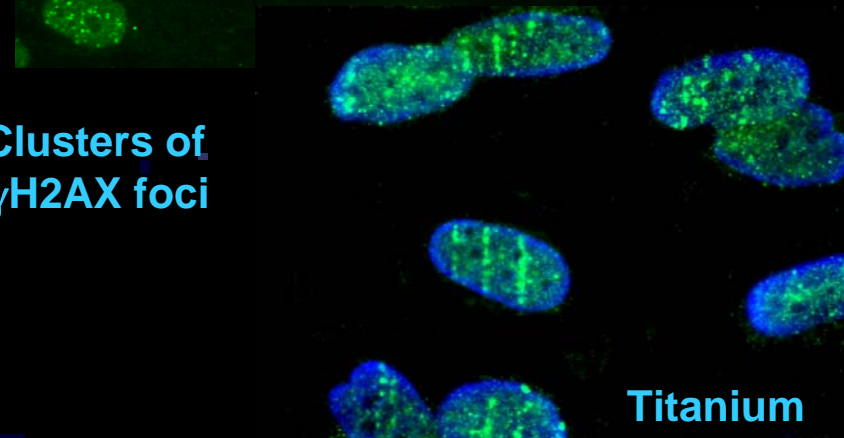
- Space radiation is comprised of high-energy protons and heavy ions (HZE's) and secondary protons, neutrons, and heavy ions produced in shielding
  - Unique damage to biomolecules, cells, and tissues occurs from HZE ions
  - No human data to estimate risk
  - Animal models must be applied or developed to estimate cancer, CNS or other risks
  - Solar particle events (SPE) can not be predicted with sufficient warning at this time
  - Shielding has excessive costs and will not eliminate GCR
    - SPE's can be mitigated with shielding
    - GCR can not (energies too high)

Chromosomes aberrations in lymphocytes exposed to 30 cGy of  $\gamma$ -rays or 1 GeV/n Fe-ions (% of aberrant cells)



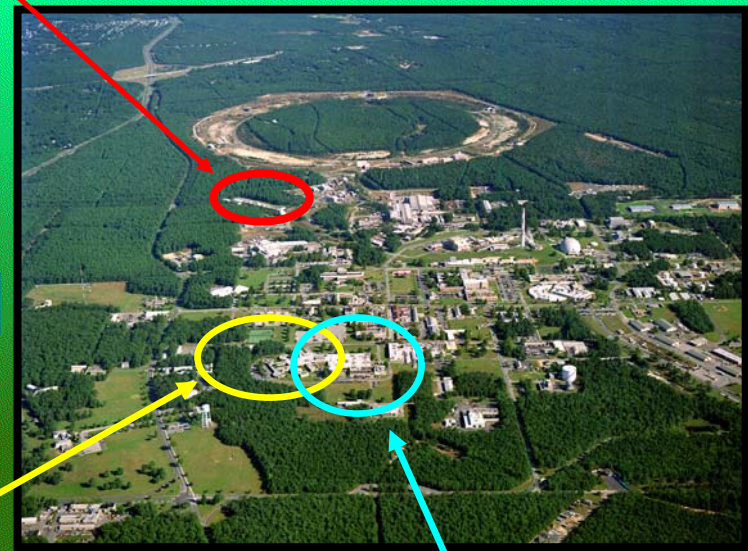
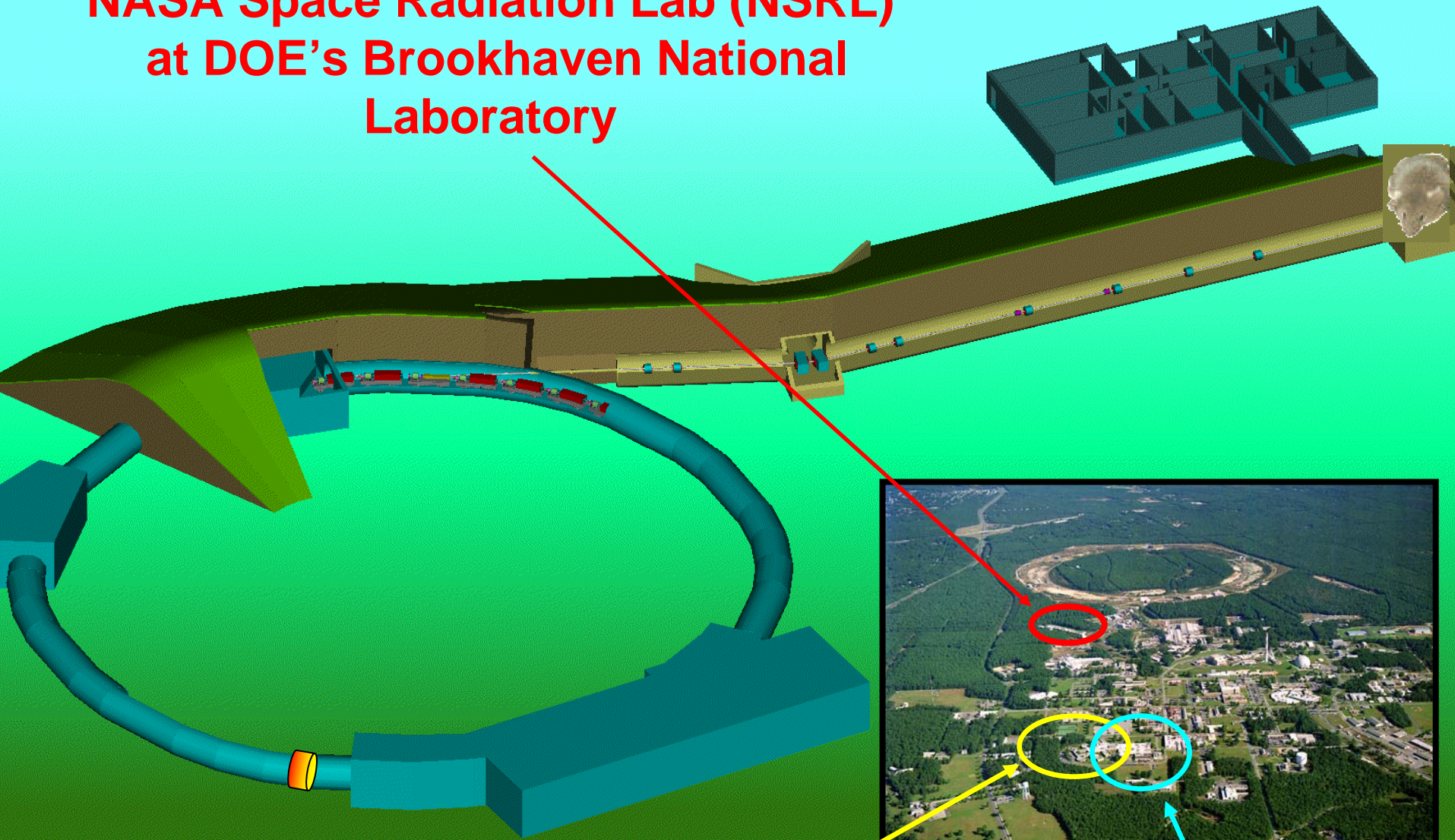
Gamma-rays

Clusters of  $\gamma$ H2AX foci



Titanium

# NASA Space Radiation Lab (NSRL) at DOE's Brookhaven National Laboratory



Medical Dept.

Biology Dept.