## Form S3



### **UK-WIDE APPLICATION - NUMBERS STARTING '03'**

Service Type:	<u>Number ranges</u> starting:	<u>Number</u> block size:
UK-wide numbers for end users who are public sector or not-for-profit bodies	0300 XXX to 0309 XXX	10,000
UK-wide numbers for any end users	0330 XXX to 0339 XXX	10,000
UK-wide numbers for end users migrating from 084X or 087X numbers to equivalent numbers in 034X or 037X number blocks	034X XXX 037X XXX	10,000

Definitions and Interpretation

1. Except in so far as the context otherwise requires, words or expressions shall have the meaning assigned to them. Otherwise, any word or expression shall have the same meaning as it has-

- (i) in the National Telephone Numbering Plan published by the Director on 22<sup>nd</sup> July 2003 pursuant to section 56 of the Communications Act 2003 (the 'Act');
- (ii) if, and only if, it has no meaning ascribed as mentioned in (i) above, and only if the context so permits, in General Condition 17 (entitled 'Allocation, Adoption and Use of Telephone Numbers') in Part 2 of the Schedule to the Notification published by the Director on 22<sup>nd</sup> July 2003 under section 48(1) of the Act;
- (iii) if, and only if, it has no meaning ascribed as mentioned in (i) and (ii) above, and only if the context so permits, in paragraph 1 of Part 1 of the Schedule to the Notification published by the Director on 22<sup>nd</sup> July 2003 under section 48(1) of the Act; and
- (iv) if, and only if, it has no meaning ascribed as mentioned in (i), (ii) and (iii) above, and only if the context so permits, in the Act.

2. The Interpretation Act 1978 shall apply as if this Application Form were an Act of Parliament.

(Applicants should ensure that all sections have been completed where relevant – incomplete Application Forms may not be processed within the 3-week deadline).

1. Your reference (optional):	
<ul> <li><u>Applicant details and date of application</u>:</li> <li><u>All applicants please provide direct telephone, direct fax, direct e-mail, mobile telephone number.</u></li> <li><u>If the applicant is an individual or unincorporated entity, please provide the full name, address and date</u></li> </ul>	
of birth of that individual or the person(s) responsible for the day-to-day running of the business. Please provide appropriate identification documents to support this information, such as a copy of the birth certificate, passport or driver's licence of each individual.	Date of application:
If the applicant is a company, please provide the full company name and registration number, full name, address and date of birth of each Company Director as listed on Companies House. Please also provide a copy of a Companies House certificate or equivalent documentation to support this, as well as appropriate identification documentation (as above) for each director.	

Company director details need only be provided:	
<ul> <li>With your first application using this number application form; and</li> <li>on any subsequent applications using this application form if the director details have changed since your last application.</li> </ul>	
(Where you are acting on behalf of a Communications Provider (eg a consultant, solicitor, etc), and if you have not previously supplied one, you should enclose a letter from that Communications Provider confirming that you are authorised to represent it).	

## 3. <u>Communications Provider details</u>:

If different from 2. above (eg where you are a consultant, solicitor, etc), provide <u>the details below for</u> the Communications Provider on behalf of whom you are applying for Telephone Numbers.

If the Communications Provider is an individual or unincorporated entity, please provide the full name, address and date of birth of that individual or the person(s) responsible for the day-to-day running of the business. Please provide appropriate identification to support this information, such as a copy of the birth certificate, passport or driver's licence of the individual(s).

If the Communications Provider is a company, please provide the full company name and registration number, full name, address and date of birth of each Company Director as listed on Companies House. Please also provide a copy of a Companies House certificate or equivalent documentation to support this, as well as appropriate identification documentation (as above) for each director.

Individual and company director details need only be provided:

- <u>With your first application using this number</u> <u>application form; **and**</u>
- <u>on any subsequent applications using this</u> <u>application form if the director details have</u> <u>changed since your last application.</u>

4. <u>Declaration of 'Public Electronic</u> <u>Communications Network' or 'Public</u> <u>Electronic Communications Service'</u>	(For providers of Public Electronic Communications Networks, please ensure you <u>have</u> previously supplied the information requested, before you confirm this – your application may be rejected if
The information requested in Annex A helps Ofcom to assess your eligibility to be allocated Telephone Numbers.	this is not the case).
If you are a provider of a Public Electronic Communications Network:	
confirm whether you have previously supplied the information requested in Annex A (or information equivalent to it), to Ofcom's Numbering Unit; or	
if not, you <u>must</u> complete in full all relevant questions in Annex A and submit it along with this form.	
If you have previously supplied the information in Annex A, then move onto the next question – you do not need to submit Annex A with this form.	
If you are a provider of Public Electronic Communications Services:	
you MUST complete all relevant questions in Annex A each time you apply for Telephone Numbers and submit it with this form.	

- 5. <u>Telephone Numbers required</u>: When completing the table below, you should:
  i) give a 1<sup>st</sup> and 2<sup>nd</sup> choice for each type of Telephone Number block applied for in case the block you've applied for is not available at the time the application is processed;and
- ii) ensure that, except in the case of (ii) above, a maximum of 15 blocks are entered on this Application Form. A new Form S3 should be completed for further blocks.

		Code - first 4 digits after initial '0' e.g 3001 – Public sector and not-for- profit bodies, 3704 – for migration from 8704	digits of number e.g. 34	Planned 'In- Service' Date (applications should not be submitted more than 6 months prior to in- service date)	Forecast of expected Adoption in 1 <sup>st</sup> 12 months	expected Adoption in 2nd 12 months
	, et al.	(SABC)	(DE)		(%)	(% cumulative)
e.g. 1 <sup>st</sup> Block	1 <sup>st</sup> Choice	3001	21	mid Apr 2007	20	80
nd	2 <sup>nd</sup> Choice		7	mid Apr 2007	20	-80
e.g. 2 <sup>nd</sup> Block		3339	02	beg. May 2007	40	100
	2 <sup>nd</sup> Choice			beg. May 2007	40	100
e.g. 3 <sup>rd</sup> Block	1 <sup>st</sup> Choice	3704	34	end May 2007	15	90
	2 <sup>nd</sup> Choice	3705	33	end May 2007	15	90
1 <sup>st</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
2 <sup>nd</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
3 <sup>rd</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
4 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
5 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					

# 5. <u>continued</u>

		Code - first 4 digits after initial '0' e.g 3001 – Public sector and not-for- profit bodies, 3704 – migration from 8704	Next 2 digits of number e.g. 34	Planned 'In- Service' Date (applications should not be submitted more than 6 months prior to in- service date)	Forecast of expected Adoption in 1 <sup>st</sup> 12 months	Forecast of expected Adoption in 2nd 12 months
6 <sup>th</sup> Block	1 <sup>st</sup> Choice	(SABC)	(DE)		(%)	(% cumulative)
0 DIOCK	2 <sup>nd</sup> Choice					
7 <sup>th</sup> Block	1 <sup>st</sup> Choice					
Dioolt	2 <sup>nd</sup> Choice					
8 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
9 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
10 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
11 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
12 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
13 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
14 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					
15 <sup>th</sup> Block	1 <sup>st</sup> Choice					
	2 <sup>nd</sup> Choice					

6. Service and Market:
For each of the Telephone Number blocks applied for above, give a brief description of the type of Public Electronic Communications Service for which the Telephone Numbers applied for will be Adopted, and the market to be served by the service e.g. charitable helplines for UK customers, retail financial services etc.

### 7. Adoption of existing Telephone Number blocks:

For each type of Telephone Number block applied for above, you should provide details, in the table below, of any other number blocks in the same category (and at the same tariff – where relevant) that you have been allocated to date - consistent with its duty in the Communications Act 2003 to secure best use of numbers Ofcom requires a justification for applying for further numbering capacity where there may be non-utilised numbers.

digits : '0' – SA (see to for n	e – first 6 after initial show as BC DE op of Form umber of to specify)	Total Numbers Allocated to End Users: ie, in use or ported out	Total Numbers not in use but contracted out	
(SABC)	(DE)	(Numbers or %)	(Numbers or %)	
3005	28	942	8	
3330	95	860	35	
3456	23	6540	2050	
3709	56	7560	180	

(You should e-mail this application form to <u>numbering.applications@ofcom.org.uk</u>)

## A1. Confirmation of Status:

Ofcom generally only Allocates Telephone Numbers to providers of Public Electronic Communications Networks.

Ofcom may also Allocate numbers, where number resource implications do not preclude allocation, to providers of a Public Electronic Communications Service. Ofcom would also normally expect to see that the provider has arrangements in place for its service to be carried over a network, and, where appropriate, would usually expect the provider to have taken reasonable steps to seek a sub-allocation of Telephone Numbers of the type applied for prior to making the application.

Providers of Public Electronic Communications Networks

It is not Ofcom's intention for a Communications Provider to be assessed more than once to determine whether or not it is a provider of a Public Electronic Communications Network.

Therefore, please now complete question A4. If you have answered 'yes' to question A4(a), you do not need to answer questions A1 (a) and (b) below.

If you have not registered i.e. you have answered no to question A4(a), Ofcom needs certain information from you in order to determine whether or not you are a provider of a Public Electronic Communications Network.

Please provide details of:

a) the Electronic Communications Network on which the Telephone Numbers applied for are intended to be Adopted;

(a diagram may be useful to assist Ofcom in assessing your eligibility for Telephone Numbers)

b) the Electronic Communications Service which you are intending to provide over that network.

Providers of Public Electronic Communications Services

In order to determine whether or not you are a provider of a Public Electronic Communications Service, Ofcom needs certain information from you. Please provide details of:

c) the Electronic Communications Service which you are intending to provide with the Telephone Numbers applied for. Please also provide details of the network on which the numbers you are applying for will be Adopted.

A2. <u>Applications from providers of Public</u> <u>Electronic Communications Services</u>
If you are applying for Telephone Numbers as a provider of Public Electronic Communications Services, where appropriate Ofcom would usually expect your company to have already taken reasonable steps to obtain a sub- allocation of Telephone Numbers of the type you are applying for from a provider of a Public Electronic Communications Network.
<ul> <li>a) Have you taken steps to obtain a sub-allocation of Telephone Numbers of the type for which you are applying? If not, would you please provide a justification for that; and</li> </ul>
b) If you have taken steps, would you provide a brief description of the steps you have taken, and state why did you not obtain a sub-allocation?

A3. Interconnection arrangements
Describe your Interconnection arrangements (or those of the provider of a Public Electronic Communications Network on whose network the Telephone Numbers applied for would be Adopted), if any, with other Communications Providers – a simple network diagram may be useful.

(You should e-mail this application form to <u>numbering.applications@ofcom.org.uk</u>)