

ELECTRONIC CONFIRMATION AUTHORITY Form

When completed, this form authorises us to send you trade confirmations electronically. This authority includes confirmation of share, option and warrant trades and **replaces** confirmations that are currently sent to you by post. You can revoke this authority at anytime by instructing us in writing.

Current Account Details

CMC Markets Share Trading Account Number:

Account Holder 1

Company Name

Account Holder 2

(If Applicable)

Account Designation

(If Applicable)

<

Account>

Instructions

Joint and Company Accounts

If you intend authorising a joint or company account to receive confirmations electronically then ALL account holders must sign below. That is, all joint account holders for joint accounts and the appropriately authorised officers for company accounts.

Authorised Agents

Only the account holder(s) may authorise electronic confirmations. A confirmation must be sent to you as the account holder but a duplicate copy may be sent to your authorised agent by including their email address as a secondary email address.

Email Addresses

Primary Email Address

(Must be account holder's email address)

Secondary Email Address

(Second Account Holder or Authorised Agent's email address)

Other Email Address

Identification – IF NOT ALREADY PROVIDED

Each Account Holder must provide us with identification. Your ID MUST be **CERTIFIED***. Please provide us with **either**:

ONE Item from CATEGORY A*

OR

ONE item from CATEGORY B* AND ONE item from CATEGORY C*

(e.g. Copy of current Driver's Licence)

(e.g. Copy of both sides of a Senior's Card AND

Copy of a Notice of Assessment from the ATO)

*Please see over for full list of accepted identification

Authority and Acknowledgement

I authorise CMC Markets Stockbroking to send me confirmations electronically in respect of the accounts listed above.

I acknowledge that I will not receive confirmations by post unless this authority is revoked by me in writing.

Date / /

Account Holder 1

Account Holder 2

Client Signature(s)

Name(s) (printed)

Title (if company)

eg. director, officer, secretary

Head office

Suite 2, Level 2
37-39 The Corso
Manly NSW 2095
Australia

Postal address

GPO Box 4477
Sydney NSW 2001
Australia

Phone 1300 880 160
Int. calls +61 2 8966 9511
Fax 1300 880 260
Int. calls +61 2 8966 9522

Email admin@investsmart.com.au
Web www.investsmart.com.au

If this contract does not correspond with your records, please call InvestSMART Financial Services Pty Ltd within 48 hours of receipt. Execution and Settlement Agent: CMC Markets Stockbroking Ltd (ACN 081 002 851 AFSL No. 246381). Participant of the Australian Securities Exchange Limited Group. Transacted on the ASX Limited.

ABN 70 089 038 531
AFSL 226435

To complete this form we require that you provide us with an appropriate form of identification.
The ID requirements are; **a certified copy* of ONE ITEM from Category A**

CATEGORY A

1. **a Drivers Licence:** a current licence or permit issued under law of a State or Territory or equivalent authority of a foreign country for the purpose of driving a vehicle that contains a photo of the person; or
2. **a Passport** issued by the Commonwealth. This must be **current** or, **less than two years expired**
3. **a Passport or Similar Document Issued for International Travel** that:
 - contains a photo and signature of the person
 - is issued by a foreign government, United Nations or an agency of the United Nations AND
 - is written in English
4. **a Card Issued under a Law of a State or Territory** for the purpose of proving the person's age which contains a photo (e.g. a Proof of Age Card)

If you cannot supply one of the above, you can supply **a certified copy* of: ONE item from Category B plus ONE item from Category C.**

CATEGORY B

- a **Birth Certificate** or birth extract issued by a State or Territory; or
- a **Citizenship Certificate** issued by the Commonwealth; or
- a **Pension Card** issued by Centrelink that entitles the person in whose name the card is issued, to financial benefits. **Both sides** of the card must be copied.

Plus, one item from Category C

CATEGORY C

- **a Notice:**
 - (a) issued to an individual by the Commonwealth, State or Territory within preceding 12 months
 - (b) contains the name of the individual and the residential address, AND
 - (c) records provision of financial benefits to the individual
e.g. a Centrelink Notice
- **a Notice:**
 - (a) issued to an individual by the Australian Taxation Office within preceding 12 months
 - (b) contains the name of the individual and the residential address, AND
 - (c) records a debt payable to or by the individual in respect of taxation
e.g. a Notice of Assessment from the Australian Tax Office
- **a Notice:**
 - (a) issued to an individual by a local government body or utilities provider within the preceding three months
 - (b) contains the name of the individual and the residential address, AND
 - (c) records the provision of services by the local government body or utilities provider to that address or to that person
e.g. a Rates Notice from your Local Council

***Certified copy:** means a document that has been certified as a true copy of an original document by one of the following persons:

- A Justice of the Peace
- An officer with two or more continuous years of service with one or more financial institution
- A person enrolled on the roll of the Supreme Court of a State or Territory, or the High Court, as a legal practitioner;
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more continuous years of service with one or more licensees
- A police officer
- A judge
- A magistrate
- A CEO of a Commonwealth court
- A registrar or deputy registrar of a court
- An agent of Australia Post in charge of supplying postal services
- A permanent employee of Australia Post (for at least two years) employed in an office
- A finance company officer with two or more continuous years of service with one or more finance companies
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership

In order for your ID to be accepted, it must be certified and the certifier is to state that the document is a certified copy of an original and then provide their details, such as: name, address, phone number and under what category of "certifier" they fall. We cannot accept a fax of a certified copy.

If you are unable to meet these ID requirements, please contact the CMC Markets Stockbroking Client Services team on 1300 360 071.