

# *Motivation and Personality*

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THIRD EDITION

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## chapter 2

# A Theory of Human Motivation

This chapter is an attempt to formulate a positive theory of motivation that will satisfy the theoretical demands listed in the previous chapter and at the same time conform to the known facts, clinical and observational as well as experimental. It derives most directly, however, from clinical experience. This theory is in the functionalist tradition of James and Dewey, and is fused with the holism of Wertheimer, Goldstein, and Gestalt psychology and with the dynamism of Freud, Fromm, Horney, Reich, Jung, and Adler. This integration or synthesis may be called a holistic-dynamic theory.

### THE BASIC NEED HIERARCHY

#### The Physiological Needs

The needs that are usually taken as the starting point for motivation theory are the so-called physiological drives. Two lines of research make it necessary to revise our customary notions about these needs: first, the development of the concept of homeostasis and second, the finding that appetites (preferential choices among foods) are a fairly efficient indication of actual needs or lacks in the body.

*Homeostasis* refers to the body's automatic efforts to maintain a constant, normal state of the blood stream. Cannon (1932) described this process for (1) the water content of the blood, (2) salt content, (3) sugar content, (4) protein content, (5) fat content, (6) calcium content, (7) oxygen content, (8) constant hydrogen-ion level (acid-base balance), and (9) constant temperature of the blood. Obviously this list could be extended to include other minerals, the hormones, vitamins, and so on.



appetite in its relation to body will tend (in an imperfect or that missing food element. make any list of fundamental any number one might wish,

We cannot identify all physiological drives, sheer activity and static has not yet been demonstrated the various sensory pleasures purely physiological and which do we know what to make of energy to inertia, laziness, and, and excitement.

these physiological drives are isolated because they are isolable. In other words, they are relatively isolated from the organism as a whole, localized, underlying somatic has been thought (exceptions are still true in the classic in-

the physiological needs and the channels for all sorts of other needs. He or she is hungry may be, rather than for vitamins or protein, need in part by other activities. In other words, relatively isolable completely so.

the most prepotent of all needs. The organism who is missing everything at the major motivation would be. A person who is lacking food, rather than for food more strongly than

the organism is then dominated by the physiological need, simply nonexistent or be pushed to the background by the whole organism by saying that the most completely preempted by physiological hunger satisfaction, and the physiological need is determined by the one purpose of the organism, intelligence, memory, habits, and tools. Capacities that are not pushed into the background. The urge to eat, the interest in American food, the extreme case, forgotten or who is extremely and danger-

ously hungry, no other interests exist but food. He or she dreams food, remembers food, thinks about food, emotes only about food, perceives only food, and wants only food. The more subtle determinants that ordinarily fuse with the physiological drives in organizing even feeding, drinking, or sexual behavior, may now be so completely overwhelmed as to allow us to speak at this time (but *only* at this time) of pure hunger drive and behavior, with the one unqualified aim of relief.

Another peculiar characteristic of the human organism when it is dominated by a certain need is that the whole philosophy of the future tends also to change. For our chronically and extremely hungry person, Utopia can be defined simply as a place where there is plenty of food. He or she tends to think that, if only guaranteed food for the rest of life, he or she will be perfectly happy and will never want anything more. Life itself tends to be defined in terms of eating. Anything else will be defined as unimportant. Freedom, love, community feeling, respect, philosophy, may all be waved aside as fripperies that are useless, since they fail to fill the stomach. Such a person may fairly be said to live by bread alone.

It cannot possibly be denied that such things are true, but their *generality* can be denied. Emergency conditions are, almost by definition, rare in the normally functioning peaceful society. That this truism can be forgotten is attributable mainly to two reasons. First, rats have few motivations other than physiological ones, and since so much of the research on motivation has been made with these animals, it is easy to carry the rat picture over to the human being. Second, it is too often not realized that culture itself is an adaptive tool, one of whose main functions is to make the physiological emergencies come less and less often. In the United States, chronic extreme hunger of the emergency type is rare, rather than common. Average American citizens are experiencing appetite rather than hunger when they say, "I am hungry." They are apt to experience sheer life-and-death hunger only by accident and then only a few times through their entire lives.

Obviously a good way to obscure the higher motivations, and to get a lopsided view of human capacities and human nature, is to make the organism extremely and chronically hungry or thirsty. Anyone who attempts to make an emergency picture into a typical one and who will measure all of humanity's goals and desires by behavior during extreme physiological deprivation is certainly being blind to many things. It is quite true that humans live by bread alone—when there is no bread. But what happens to their desires when there is plenty of bread and when their bellies are chronically filled?

### Dynamics of the Need Hierarchy

*At once other (and higher) needs emerge* and these, rather than physiological hungers, dominate the organism. And when these in turn are satisfied, again new (and still higher) needs emerge, and so on. This is what we mean by saying that the basic human needs are organized into a hierarchy of relative prepotency.

One main implication of this phrasing is that gratification becomes as important a concept as deprivation in motivation theory, for it releases the organism from the domination of a relatively more physiological need, permitting thereby

the emergence of other more social goals. The physiological needs, along with their partial goals, when chronically gratified cease to exist as active determinants or organizers of behavior. They now exist only in a potential fashion in the sense that they may emerge again to dominate the organism if they are thwarted. But a want that is satisfied is no longer a want. The organism is dominated and its behavior organized only by unsatisfied needs. If hunger is satisfied, it becomes unimportant in the current dynamics of the individual.

This statement is somewhat qualified by a hypothesis to be discussed more fully later, namely, that it is precisely those individuals in whom a certain need has always been satisfied who are best equipped to tolerate deprivation of that need in the future and that, furthermore, those who have been deprived in the past will react differently to current satisfactions from the one who has never been deprived.

### The Safety Needs

If the physiological needs are relatively well gratified, there then emerges a new set of needs, which we may categorize roughly as the safety needs (security; stability; dependency; protection; freedom from fear, anxiety, and chaos; need for structure, order, law, and limits; strength in the protector; and so on). All that has been said of the physiological needs is equally true, although in less degree, of these desires. The organism may equally well be wholly dominated by them. They may serve as the almost exclusive organizers of behavior, recruiting all the capacities of the organism in their service, and we may then fairly describe the whole organism as a safety-seeking mechanism. Again we may say of the receptors, the effectors, the intellect, and the other capacities that they are primarily safety-seeking tools. Again, as in the hungry human, we find that the dominating goal is a strong determinant not only of their current world outlook and philosophy but also of their philosophy of the future and of values. Practically everything looks less important than safety and protection (even sometimes the physiological needs, which, being satisfied, are now underestimated). A person in this state, if it is extreme enough and chronic enough, may be characterized as living almost for safety alone.

However, the healthy and fortunate adults in our culture are largely satisfied in their safety needs. The peaceful, smoothly running, stable, good society ordinarily makes its members feel safe enough from wild animals, extremes of temperature, criminal assault, murder, chaos, tyranny, and so on. Therefore, in a very real sense, they no longer have any safety needs as active motivators. Just as a sated person no longer feels hungry, a safe one no longer feels endangered. If we wish to see these needs directly and clearly we must turn to neurotic or near-neurotic individuals, and to the economic and social underdogs, or else to social chaos, revolution, or breakdown of authority. In between these extremes, we can perceive the expressions of safety needs only in such phenomena as, for instance, the common preference for a job with tenure and protection, the desire for a saving account, and for insurance of various kinds (medical, dental, unemployment, disability, old age).

Other, broader aspects are seen in the very common (Maslow, 1937), or for the some religion or world philosophy it into some sort of satisfaction motivated by safety seeking. as partially motivated by other motivations to science.

Otherwise the need of the organism's resources: catastrophes, crime waves, down of authority, or chaos society are, in many ways reactions are often to unknown to be hostile, overwhelming catastrophe were almost all an emergency. Their safety protector, or a stronger person their childish attitudes of fear underground and, untouchable ready even now to be called endangered. Horney (1937)

The neurosis in which compulsive-obsessive neurosis stabilize the world so that will ever appear. They hedge and formulas so that every no new contingencies may avoiding everything unfamiliar in such a neat, disciplined counted on. They try to avoid cannot possibly occur. If, does occur, they go into a substituted a grave danger. With the healthy person (e.g., ) necessity in abnormal cases missing or at a minimum in

The safety needs can are real threats to law, to order or of nihilism can be expected from any higher needs to the expectable reaction, is the This tends to be true for all

<sup>1</sup>Not all neurotic individuals have affection and esteem needs in a prominent way.

biological needs, along with exist as active determinants in potential fashion in the sense in which they are thwarted. But if the organism is dominated and its safety need is satisfied, it becomes almost entirely irrelevant.

The hypothesis to be discussed more fully is that in whom a certain need to tolerate deprivation of that need has been deprived in the person who has never been

When this need is satisfied, there then emerges a new set of safety needs (security; freedom from fear; anxiety, and chaos; need for a protector; and so on). All that is true, although in less degree, is that the person is wholly dominated by them. The pattern of behavior, recruiting all the resources, may then fairly describe the person. Again we may say of the receptivities that they are primarily safety needs, and we find that the dominating factor in their world outlook and philosophy is the need for safety values. Practically everything that is true in the physiological and psychological is satisfied. A person in this state, if he is characterized as living almost

In our culture are largely satisfied with a safe, stable, good society or with freedom from wild animals, extremes of poverty, and so on. Therefore, in the absence of safety needs as active motivators. Just when one no longer feels endangered. In such cases we must turn to neurotic or to social underdogs, or else to the person who is in a state of safety. In between these extremes, only in such phenomena as, for example, the desire for tenure and protection, the desire for security in various kinds (medical, dental, un-

Other, broader aspects of the attempt to seek safety and stability in the world are seen in the very common preference for familiar rather than unfamiliar things (Maslow, 1937), or for the known rather than the unknown. The tendency to have some religion or world philosophy that organizes the universe and the people in it into some sort of satisfactorily coherent, meaningful whole is also in part motivated by safety seeking. Here too we may list science and philosophy in general as partially motivated by the safety needs (we shall see later that there are also other motivations to scientific, philosophical, or religious endeavor).

Otherwise the need for safety is seen as an active and dominant mobilizer of the organism's resources only in real emergencies, such as war, disease, natural catastrophes, crime waves, societal disorganization, neurosis, brain injury, breakdown of authority, or chronically bad situations. Some neurotic adults in our society are, in many ways, like unsafe children in their desire for safety. Their reactions are often to unknown psychological dangers in a world that is perceived to be hostile, overwhelming, and threatening. Such people behave as if a great catastrophe were almost always impending—they are usually responding as if to an emergency. Their safety needs often find specific expression in a search for a protector, or a stronger person or system, on whom they may depend. It is as if their childish attitudes of fear and threat reaction to a dangerous world have gone underground and, untouched by the growing-up and learning processes, remain ready even now to be called out by any stimulus that would make a child feel endangered. Horney (1937), in particular, has written well about "basic anxiety."

The neurosis in which the search for safety takes its clearest form is in the compulsive-obsessive neurosis.<sup>1</sup> Compulsive-obsessives try frantically to order and stabilize the world so that no unmanageable, unexpected, or unfamiliar dangers will ever appear. They hedge themselves about with all sorts of ceremonials, rules, and formulas so that every possible contingency may be provided for and so that no new contingencies may appear. They manage to maintain their equilibrium by avoiding everything unfamiliar and strange and by ordering their restricted world in such a neat, disciplined, orderly fashion that everything in the world can be counted on. They try to arrange the world so that anything unexpected (dangers) cannot possibly occur. If, through no fault of their own, something unexpected does occur, they go into a panic reaction as if this unexpected occurrence constituted a grave danger. What we can see only as a none-too-strong preference in the healthy person (e.g., preference for the familiar) becomes a life-and-death necessity in abnormal cases. The healthy taste for the novel and unknown is missing or at a minimum in the average neurotic.

The safety needs can become very urgent on the social scene whenever there are real threats to law, to order, to the authority of society. The threat of chaos or of nihilism can be expected in most human beings to produce a regression from any higher needs to the more prepotent safety needs. A common, almost an expectable reaction, is the easier acceptance of dictatorship or of military rule. This tends to be true for all human beings, including healthy ones, since they too

<sup>1</sup>Not all neurotic individuals feel unsafe. Neurosis may have at its core a thwarting of the affection and esteem needs in a person who is generally safe.

will tend to respond to danger with realistic regression to the safety need level and will prepare to defend themselves. But it seems to be most true of people who are living near the safety line. They are particularly disturbed by threats to authority, to legality, and to the representatives of the law.

### The Belongingness and Love Needs

If both the physiological and the safety needs are fairly well gratified, there will emerge the love and affection and belongingness needs, and the whole cycle already described will repeat itself with this new center. The love needs involve giving and receiving affection. When they are unsatisfied, a person will feel keenly the absence of friends, mate, or children. Such a person will hunger for relations with people in general—for a place in the group or family—and will strive with great intensity to achieve this goal. Attaining such a place will matter more than anything else in the world and he or she may even forget that once, when hunger was foremost, love seemed unreal, unnecessary, and unimportant. Now the pangs of loneliness, ostracism, rejection, friendlessness, and rootlessness are preeminent.

We have very little scientific information about the belongingness need, although this is a common theme in novels, autobiographies, poems, and plays and also in the newer sociological literature. From these we know in a general way the destructive effects on children of moving too often; of disorientation; of the general overmobility that is forced by industrialization; of being without roots, or of despising one's roots, one's origins, one's group; of being torn from one's home and family, friends, and neighbors; of being a transient or a newcomer rather than a native. We still underplay the deep importance of the neighborhood, of one's territory, of one's clan, of one's own "kind," one's class, one's gang, one's familiar working colleagues. And we have largely forgotten our deep animal tendencies to herd, to flock, to join, to belong.<sup>2</sup>

I believe that the tremendous and rapid increase in training groups (T-groups), personal growth groups, and intentional communities may in part be motivated by this unsatisfied hunger for contact, intimacy, and belongingness. Such social phenomena may arise to overcome the widespread feelings of alienation, strangeness, and loneliness that have been worsened by increasing mobility, the breakdown of traditional groupings, the scattering of families, the generation gap, and steady urbanization. My strong impression is also that *some* proportion of youth rebellion groups—I don't know how many or how much—is motivated by the profound hunger for group feelings, for contact, for real togetherness in the face of a common enemy, *any* enemy that can serve to form an amity group simply by posing an external threat. The same kind of thing has been observed in groups of soldiers who were pushed into an unwonted brotherliness and intimacy by their common external danger, and who may stick together throughout a lifetime as a consequence. Any good society must satisfy this need, one way or another, if it is to survive and be healthy.

<sup>2</sup>Ardrey's *Territorial Imperative* (1966) will help to make all of this conscious. Its very rashness was good for me because it stressed as crucial what I had been only casual about and forced me to think seriously about the matter. Perhaps it will do the same for other readers.

In our society the core in cases of maladjustment as well as their possible ambivalence and are custodians. Practically all the love needs as basic in the therefore been made of the other needs except an excellent analysis of our

One thing that must with sex. Sex may be studied human sexual behavior is only by sexual but also affection needs. Also not both giving and receiving

### The Esteem Needs

All people in our society desire for a stable, firmly respect or self-esteem, and be classified into two subachievement, adequacy, in world, and independence: desire for reputation or people), status, fame and dignity, or appreciation. Th and his followers, and have today, however, there is a portance among psychoanalysts

Satisfaction of the self-worth, strength, capability world. But thwarting of the and of helplessness. These or else compensatory or not

From the theologians theories about the self-perception work with self, from sources as well, we have the self-esteem on the opinion

<sup>3</sup>Whether or not this part especially important today, is: War and rebellious? We may assume a known true freedom (not paid for adequate safety and security) will them. But we do not know for sure this problem in Fromm (1941).



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In our society the thwarting of these needs is the most commonly found core in cases of maladjustment and more severe pathology. Love and affection, as well as their possible expression in sexuality, are generally looked upon with ambivalence and are customarily hedged about with many restrictions and inhibitions. Practically all theorists of psychopathology have stressed thwarting of the love needs as basic in the picture of maladjustment. Many clinical studies have therefore been made of this need, and we know more about it perhaps than any of the other needs except the physiological ones. Suttie (1935) has written an excellent analysis of our "taboo on tenderness."

One thing that must be stressed at this point is that love is not synonymous with sex. Sex may be studied as a purely physiological need, although ordinarily human sexual behavior is multidetermined. That is to say, it is determined not only by sexual but also by other needs, chief among which are the love and affection needs. Also not to be overlooked is the fact that the love needs involve both giving *and* receiving love.

### The Esteem Needs

All people in our society (with a few pathological exceptions) have a need or desire for a stable, firmly based, usually high evaluation of themselves, for self-respect or self-esteem, and for the esteem of others. These needs may therefore be classified into two subsidiary sets. These are, first, the desire for strength, achievement, adequacy, mastery and competence, confidence in the face of the world, and independence and freedom.<sup>3</sup> Second, we have what we may call the desire for reputation or prestige (defining it as respect or esteem from other people), status, fame and glory, dominance, recognition, attention, importance, dignity, or appreciation. These needs have been relatively stressed by Alfred Adler and his followers, and have been relatively neglected by Freud. More and more today, however, there is appearing widespread appreciation of their central importance among psychoanalysts as well as among clinical psychologists.

Satisfaction of the self-esteem need leads to feelings of self-confidence, worth, strength, capability, and adequacy; of being useful and necessary in the world. But thwarting of these needs produces feelings of inferiority, of weakness, and of helplessness. These feelings in turn give rise to either basic discouragement or else compensatory or neurotic trends.

From the theologians' discussion of pride and *hubris*, from the Frommian theories about the self-perception of untruth to one's own nature, from the Rogerian work with self, from essayists like Ayn Rand (1943), and from other sources as well, we have been learning more and more of the dangers of basing self-esteem on the opinions of others rather than on real capacity, competence,

<sup>3</sup>Whether or not this particular desire is universal we do not know. The crucial question, especially important today, is: Will men who are enslaved and dominated inevitably feel dissatisfied and rebellious? We may assume on the basis of commonly known clinical data that people who have known true freedom (not paid for by giving up safety and security but rather built on the basis of adequate safety and security) will not willingly or easily allow their freedom to be taken away from them. But we do not know for sure that this is true for people born into slavery. See discussion of this problem in Fromm (1941).

and adequacy to the task. The most stable and therefore most healthy self-esteem is based on *deserved* respect from others rather than on external fame or celebrity and unwarranted adulation. Even here it is helpful to distinguish the actual competence and achievement that is based on sheer will power, determination, and responsibility from that which comes naturally and easily out of one's own true inner nature, one's constitution, one's biological fate or destiny, or, as Horney puts it, out of one's Real Self rather than out of the idealized pseudo-self (1950).

### The Self-actualization Need

Even if all these needs are satisfied, we may still often (if not always) expect that a new discontent and restlessness will soon develop, unless the individual is doing what *he* or *she*, individually, is fitted for. Musicians must make music, artists must paint, poets must write if they are to be ultimately at peace with themselves. What humans *can* be, they *must* be. They must be true to their own nature. This need we may call self-actualization. (See Chapters 11, 12, and 13 for a fuller description.)

This term, first coined by Kurt Goldstein (1939), is being used in this book in a much more specific and limited fashion. It refers to people's desire for self-fulfillment, namely, the tendency for them to become actualized in what they are potentially. This tendency might be phrased as the desire to become more and more what one idiosyncratically is, to become everything that one is capable of becoming.

The specific form that these needs will take of course vary greatly from person to person. In one individual they may take the form of the desire to be an excellent parent, in another they may be expressed athletically, and in still another they may be expressed in painting pictures or in inventing things.<sup>4</sup> At this level, individual differences are greatest. However, the common feature of the needs for self-actualization is that their emergence usually rests upon some prior satisfaction of the physiological, safety, love, and esteem needs.

### Preconditions of the Basic Needs

There are certain conditions that are immediate prerequisites for the basic need satisfactions. Such conditions as freedom to speak, freedom to do what one wishes so long as no harm is done to others, freedom to express oneself, freedom to investigate and seek for information, freedom to defend oneself, justice, fairness, honesty, and orderliness in the group are examples of such preconditions for basic need satisfactions. These conditions are not ends in themselves but they are *almost* so since they are so closely related to the basic needs, which are apparently the only ends in themselves. Danger to these freedoms is reacted to with emergency

<sup>4</sup>Clearly, creative behavior is like any other behavior in having multiple determinants. It may be seen in innately creative people whether they are satisfied or not, happy or unhappy, hungry or sated. Also it is clear that creative activity may be compensatory, ameliorative, or purely economic. In any case, here too we must distinguish, in a dynamic fashion, the overt behavior itself from its various motivations or purposes.

### A THEORY OF HUMAN MOTIVATION

response as if there were conditions are defended because possible, or at least severe

If we remember that (ing) are a set of adjustive isfaction of our basic need vation or blocking of their basic needs themselves. Surlems of curiosity, the searpersistent urge to solve the and blocking of communic

### THE BASIC COGNITIVE NEEDS

#### The Desires to Know and

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Schilder is the only n and understanding are seen needs only in passing. Acquisition been considered as, in part world, or for the intelligent of inquiry and expression I the basic needs. Useful the definitive answers to the philosophical, experiment answers.

Above and beyond (anxiety, fear), there are sexual impulses to satisfy curiosity (1968).

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<sup>5</sup>However, human beings tation. They derive a deep satisfaction as a threat to existence. C of safety and security in this world Even then, discomfort and danger security and safety in touch with

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prerequisites for the basic need freedom to do what one wishes to express oneself, freedom to defend oneself, justice, fairness, and of such preconditions for basic needs but they are *almost* needs, which are apparently the reaction is reacted to with emergency

in having multiple determinants. It may be not, happy or unhappy, hungry or satiated, ameliorative, or purely economic. In short, the overt behavior itself from its

response as if there were direct danger to the basic needs themselves. These conditions are defended because without them the basic satisfactions are quite impossible, or at least severely endangered.

If we remember that the cognitive capacities (perceptual, intellectual, learning) are a set of adjustive tools, which have among other functions that of satisfaction of our basic needs, then it is clear that any danger to them, any deprivation or blocking of their free use, must also be indirectly threatening to the basic needs themselves. Such a statement is a partial solution of the general problems of curiosity, the search for knowledge, truth, and wisdom, and the ever-persistent urge to solve the cosmic mysteries. Secrecy, censorship, dishonesty, and blocking of communication threaten *all* the basic needs.

## THE BASIC COGNITIVE NEEDS

### The Desires to Know and to Understand

The main reason we know little about the cognitive impulses, their dynamics, or their pathology is that they are not important in the clinic, and certainly not in the clinic dominated by the medical-therapeutic tradition of getting rid of disease. The florid, exciting, and mysterious symptoms found in the classical neuroses are lacking here. Cognitive psychopathology is pale, subtle, and easily overlooked or defined as normal. It does not cry for help. As a consequence we find nothing on the subject in the writings of the great inventors of psychotherapy and psychodynamics, Freud, Adler, Jung, and others.

Schilder is the only major psychoanalyst I know in whose writings curiosity and understanding are seen dynamically.<sup>5</sup> So far, we have mentioned the cognitive needs only in passing. Acquiring knowledge and systematizing the universe have been considered as, in part, techniques for the achievement of basic safety in the world, or for the intelligent person, expressions of self-actualization. Also freedom of inquiry and expression have been discussed as preconditions of satisfaction of the basic needs. Useful though these formulations may be, they do not constitute definitive answers to the questions as to the motivational role of curiosity, learning, philosophizing, experimenting, and so on. They are at best no more than partial answers.

Above and beyond these negative determinants for acquiring knowledge (anxiety, fear), there are some reasonable grounds for postulating positive per se impulses to satisfy curiosity, to know, to explain, and to understand (Maslow, 1968).

1. Something like human curiosity can easily be observed in the higher animals. The monkey will pick things apart, will poke its finger into

<sup>5</sup>"However, human beings have a genuine interest in the world, in action, and in experimentation. They derive a deep satisfaction when they venture into the world. They do not experience reality as a threat to existence. Organisms, and especially human organisms, have a genuine feeling of safety and security in this world. Threats come merely from specific situations and deprivations. Even then, discomfort and danger are experienced as passing points, which finally leads to a new security and safety in touch with the world" (Schilder, 1942).

holes, will explore in all sorts of situations where it is improbable that hunger, fear, sex, comfort status, and so on are involved. Harlow's experiments (1950) have amply demonstrated this in an acceptably experimental way.

2. The history of humanity supplies us with a satisfactory number of instances in which people looked for facts and created explanations in the face of the greatest danger, even to life itself. There have been innumerable humbler Galileos.
3. Studies of psychologically healthy people indicate that they are, as a defining characteristic, attracted to the mysterious, to the unknown, to the chaotic, unorganized, and unexplained. This seems to be a per se attractiveness; these areas are in themselves and of their own right interesting. The contrasting reaction to the well known is one of boredom.
4. It may be found valid to extrapolate from the psychopathological. The compulsive-obsessive neurotic shows (at the clinical level of observation) a compulsive and anxious clinging to the familiar and a dread of the unfamiliar, the anarchic, the unexpected, the undomesticated. On the other hand, there are some phenomena that may turn out to nullify this possibility. Among these are forced unconventionality, a chronic rebellion against any authority whatsoever, and the desire to shock and to startle, all of which may be found in certain neurotic individuals, as well as in those in the process of deacculturation.
5. Probably there are true psychopathological effects when the cognitive needs are frustrated (Maslow, 1967, 1968c). The following clinical impressions are also pertinent: I have seen a few cases in which it seemed clear that the pathology (boredom, loss of zest in life, self-dislike, general depression of the bodily functions, steady deterioration of the intellectual life and of tastes, and so on)<sup>6</sup> were produced in intelligent people leading stupid lives in stupid jobs. I had at least one case in which the appropriate cognitive therapy (resuming parttime studies, getting a position that was more intellectually demanding, insight) removed the symptoms. I have seen many women, intelligent, prosperous, and unoccupied, slowly develop these same symptoms of intellectual inanition. Those who followed the recommendation to immerse themselves in something worthy of them showed improvement or cure often enough to impress me with the reality of the cognitive needs. In those countries in which access to the news, to information, and to the facts were cut off, and in those where official theories were profoundly contradicted by obvious facts, at least some people responded with generalized cynicism, mistrust of all values, suspicion even of the obvious, a profound disruption of ordinary interpersonal relationships, hopelessness, loss of morale, and so on. Others seem to have responded in the more passive direction with dullness, submission, loss of capacity, coartation, and loss of initiative.
6. The needs to know and to understand are seen in late infancy and childhood, perhaps even more strongly than in adulthood. Furthermore this seems to be a spontaneous product of maturation rather than of learning,

<sup>6</sup>This syndrome is very similar to what Ribot (1896) and later Myerson (1925) called *anhedonia* but which they ascribed to other sources.

however defined, they may be taken as a whole.

7. Finally, the grasping and yielding understanding has so on, it nevertheless emotional spot span. The overthwarting, the never-dying (the notion of this need potentialities, the individual, all the

This postulation, however, is not a demand to know more and on the other, more philosophy, theology, and so on for meaning. We shall try to organize, to analyze, to of values.

Once these desires are themselves into a small the desire to understand. we have described above

We must guard our desires from the basic dichotomy between cognitive and affective needs. Understand are themselves much personality needs more, as we have seen, separated and, as we shall see, For further development *Psychology of Being* (1968c)

### The Aesthetic Needs

We know even less about the history, of the humanistic Attempts to study this phenomenon individuals have at least aesthetic need. They get beautiful surroundings; only by beauty (Maslow, Some evidence of such a far back as the cave dwellers. Much overlapping

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however defined. Children do not have to be taught to be curious. But they *may* be taught, as by institutionalization, *not* to be curious.

7. Finally, the gratification of the cognitive impulses is subjectively satisfying and yields end-experience. Though this aspect of insight and understanding has been neglected in favor of achieved results, learning, and so on, it nevertheless remains true that insight is usually a bright, happy, emotional spot in any person's life, perhaps even a high spot in the life span. The overcoming of obstacles, the occurrence of pathology upon thwarting, the widespread occurrence (cross-species, cross-cultural), the never-dying (though weak) insistent pressure, the necessity of gratification of this need as a prerequisite for the fullest development of human potentialities, the spontaneous appearance in the early history of the individual, all these point to a basic cognitive need.

This postulation, however, is not enough. Even after we know, we are im- pelled to know more and more minutely and microscopically on the one hand, and on the other, more and more extensively in the direction of a world philos- ophy, theology, and so on. This process has been phrased by some as the search for meaning. We shall then postulate a desire to understand, to systematize, to organize, to analyze, to look for relations and meanings, to construct a system of values.

Once these desires are accepted for discussion, we see that they too form themselves into a small hierarchy in which the desire to know is prepotent over the desire to understand. All the characteristics of a hierarchy of prepotency that we have described above seem to hold for this one as well.

We must guard ourselves against the too-easy tendency to separate these desires from the basic needs we have discussed above, that is, to make a sharp dichotomy between cognitive and conative needs. The desire to know and to understand are themselves conative (i.e., having a striving character) and are as much personality needs as the basic needs we have already discussed. Further- more, as we have seen, the two hierarchies are interrelated rather than sharply separated and, as we shall see below, they are synergic rather than antagonistic. For further development of the ideas in this section, see Maslow, *Toward a Psy- chology of Being* (1968c).

### The Aesthetic Needs

We know even less about these than about the others, and yet the testimony of history, of the humanities, and of aestheticians forbids us to bypass this area. Attempts to study this phenomenon on a clinical-personological basis with selected individuals have at least shown that in *some* individuals there is a truly basic aesthetic need. They get sick (in special ways) from ugliness, and are cured by beautiful surroundings; they *crave* actively, and their cravings can be satisfied *only* by beauty (Maslow, 1967). It is seen almost universally in healthy children. Some evidence of such an impulse is found in every culture and in every age as far back as the cave dwellers.

Much overlapping with conative and cognitive needs makes it impossible to

separate them sharply. The needs for order, for symmetry, for closure, for completion of the act, for system, and for structure may be indiscriminately assigned to cognitive, conative, aesthetic, or even to neurotic needs.

### CHARACTERISTICS OF THE BASIC NEEDS

#### Exceptions in the Hierarchy of Needs

We have spoken so far as if this hierarchy were a fixed order, but actually it is not nearly so rigid as we may have implied. It is true that most of the people with whom we have worked have seemed to have these basic needs in about the order that has been indicated. However, there have been a number of exceptions.

1. There are some people in whom, for instance, self-esteem seems to be more important than love. This most common reversal in the hierarchy is usually due to the development of the notion that the person who is most likely to be loved is a strong or powerful person, one who inspires respect or fear and who is self-confident or aggressive. Therefore such people who lack love and seek it may try hard to put on a front of aggressive, confident behavior. But essentially they seek high self-esteem and its behavior expressions more as a means to an end than for its own sake; they seek self-assertion for the sake of love rather than for self-esteem itself.
2. There are other apparently innately creative people in whom the drive to creativeness seems to be more important than any other counterdeterminant. Their creativeness might appear not as self-actualization released by basic satisfaction, but in spite of lack of basic satisfaction.
3. In certain people the level of aspiration may be permanently deadened or lowered. That is to say, the less prepotent goals may simply be lost and may disappear forever, so people who have experienced life at a very low level (e.g., chronic unemployment) may continue to be satisfied for the rest of their lives if only they can get enough food.
4. The so-called psychopathic personality is another example of permanent loss of the love needs. One understanding of this personality dysfunction is that there are people who have been starved for love in the earliest months of their lives and have simply lost forever the desire and the ability to give and to receive affection (as animals lose sucking or pecking reflexes that are not exercised soon enough after birth).
5. Another cause of reversal of the hierarchy is that when a need has been satisfied for a long time, this need may be underevaluated. People who have never experienced chronic hunger are apt to underestimate its effects and to look upon food as a rather unimportant thing. If they are dominated by a higher need, this higher need will seem to be the most important of all. It then becomes possible, and indeed does actually happen, that they may, for the sake of this higher need, put themselves into the position of being deprived of a more basic need. We may expect that after a long-time deprivation of the more basic need there will be a tendency to reevaluate both needs so that the more prepotent need will actually become consciously prepotent for the individual who may have

given it up lightly. They lose self-respect and are willing to take it.

6. Another partial exception is that we have been told that in war-torn areas, where there is a consciously felt war, the person will not care for his needs. There is no need for desires. Let us say that other than the need for survival.
7. Perhaps more important is the fact that high social ideals, high social goals, people become particular idealists. In part, by reference to a standard called increased self-esteem, who have been particularly in their lives, withstand present conditions, have strong, healthy. They are the strong position, who can stand up for their values, have loved and been loved, who can hold out

We say all this in spite of the fact that it is also involved in any future. It is likely that those persons who have been in a long time are partially enervated. A balance must be made between the hand and of past satisfaction. On the other hand, remains to be worked out that both are operative, since in respect to this phenomenon that the most important goal is to say, people who have learned to remain secure and strong.

#### Degrees of Satisfaction

So far, our theoretical discussion of needs—physiological, safety, and so on—are somehow in such a position that another emerges. This state of affairs is that the most important goal is to say, people who have learned to remain secure and strong.

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lose self-respect, and who then starves for six months or so, may be  
willing to take the job back even at the price of losing self-respect.

6. Another partial explanation of *apparent* reversals is seen in the fact that we have been talking about the hierarchy of prepotency in terms of consciously felt wants or desires rather than of behavior. Looking at behavior itself may give us the wrong impression. What we have claimed is that the person will *want* the more basic of two needs when deprived in both. There is no necessary implication here that he or she will act on these desires. Let us stress again that there are many determinants of behavior other than the needs and desires.
7. Perhaps more important than all these exceptions are the ones that involve ideals, high social standards, high values, and the like. With such values people become martyrs; they will give up everything for the sake of a particular ideal or value. These people may be understood, at least in part, by reference to one basic concept (or hypothesis), which may be called increased frustration tolerance through early gratification. People who have been satisfied in their basic needs throughout their lives, particularly in their earlier years, seem to develop exceptional power to withstand present or future thwarting of these needs simply because they have strong, healthy character structure as a result of basic satisfaction. They are the strong people who can easily weather disagreement or opposition, who can swim against the stream of public opinion, and who can stand up for the truth at great personal cost. It is just the ones who have loved and been well loved and who have had many deep friendships who can hold out against hatred, rejection, or persecution.

We say all this in spite of the fact that a certain amount of sheer habituation is also involved in any full discussion of frustration tolerance. For instance, it is likely that those persons who have been accustomed to relative starvation for a long time are partially enabled thereby to withstand food deprivation. What sort of balance must be made between these two tendencies, of habituation on the one hand and of past satisfaction breeding present frustration tolerance on the other hand, remains to be worked out by further research. Meanwhile we may assume that both are operative, side by side, since they do not contradict each other. In respect to this phenomenon of increased frustration tolerance, it seems probable that the most important gratifications come in the first few years of life. That is to say, people who have been made secure and strong in the earliest years tend to remain secure and strong thereafter in the face of whatever threatens.

### Degrees of Satisfaction

So far, our theoretical discussion may have given the impression that these five sets of needs—physiological, safety, belongingness, esteem, and self-actualization—are somehow in such terms as the following: If one need is satisfied, then another emerges. This statement might give the false impression that a need must be satisfied 100 percent before the next need emerges. In actual fact, most members of our society who are normal are partially satisfied in all their basic needs

and partially unsatisfied in all their basic needs at the same time. A more realistic description of the hierarchy would be in terms of decreasing percentages of satisfaction as we go up the hierarchy of prepotency. For instance, to assign arbitrary figures for the sake of illustration, it is as if the average citizen is satisfied perhaps 85 percent in physiological needs, 70 percent in safety needs, 50 percent in love needs, 40 percent in self-esteem needs, and 10 percent in self-actualization needs.

As for the concept of emergence of a new need after satisfaction of the prepotent need, this emergence is not a sudden, saltatory phenomenon, but rather a gradual emergence by slow degrees from nothingness. For instance, if prepotent need A is satisfied only 10 percent, then need B may not be visible at all. However, as this need A becomes satisfied 25 percent need B may emerge 5 percent, as need A becomes satisfied 75 percent need B may emerge 50 percent, and so on.

### Unconscious Needs

These needs are neither necessarily conscious nor unconscious. On the whole, however, in the average person, they are more often unconscious than conscious. It is not necessary at this point to overhaul the tremendous mass of evidence that indicates the crucial importance of unconscious motivation. What we have called the basic needs are often largely unconscious although they may, with suitable techniques and with sophisticated people, become conscious.

### Cultural Specificity

This classification of basic needs makes some attempts to take account of the relative unity behind the superficial differences in specific desires from one culture to another. Certainly in any particular culture an individual's conscious motivational content will usually be extremely different from the conscious motivational content of an individual in another society. However, it is the common experience of anthropologists that people, even in different societies, are much more alike than we would think from our first contact with them, and that as we know them better we seem to find more and more of this commonness. We then recognize the most startling differences to be superficial rather than basic (e.g., differences in style of hairdress or clothes, tastes in food). Our classification of basic needs is in part an attempt to account for this unity behind the apparent diversity from culture to culture. No claim is made yet that it is ultimate or universal for all cultures. The claim is made only that it is relatively *more* ultimate, *more* universal, *more* basic than the superficial conscious desires, and makes a closer approach to common human characteristics. Basic needs are more common among humanity than are superficial desires or behaviors.

### Multiple Motivations of Behavior

These needs must be understood *not* to be exclusive or single determiners of certain kinds of behavior. An example may be found in any behavior that seems to be physiologically motivated, such as eating, sexual play, or the like. The

clinical psychologists have through which flow various is overdetermined or multi-nants any behavior tends simultaneously rather than exception than the former stomach, and partially for One may make love not or of one's sexuality, to fee would be possible (theore individual and see in it the needs, esteem needs, and naive brand of trait psych certain kind of act—for e aggressiveness.

### Unmotivated Behavior

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clinical psychologists have long since found that any behavior may be a channel through which flow various impulses. Or to say it in another way, most behavior is overdetermined or multimotivated. Within the sphere of motivational determinants any behavior tends to be determined by several or *all* of the basic needs simultaneously rather than by only one of them. The latter would be more an exception than the former. Eating may be partially for the sake of filling the stomach, and partially for the sake of comfort and amelioration of other needs. One may make love not only for pure sexual release, but also to convince oneself of one's sexuality, to feel powerful, or to win affection. As an illustration, it would be possible (theoretically if not practically) to analyze a single act of an individual and see in it the expression of physiological needs, safety needs, love needs, esteem needs, and self-actualization. This contrasts sharply with the more naive brand of trait psychology in which one trait or one motive accounts for a certain kind of act—for example, an aggressive act is traced solely to a trait of aggressiveness.

### Unmotivated Behavior

There is a basic difference between expressive behavior and coping behavior (functional striving, purposive goal seeking). An expressive behavior does not try to do anything; it is simply a reflection of the personality. A stupid man behaves stupidly, not because he wants to, or tries to, or is motivated to, but simply because he *is* what he is. The same is true when I speak in a bass voice rather than tenor or soprano. The random movements of a healthy child, the smile on the face of a happy woman even when she is alone, the springiness of the healthy woman's walk, and the erectness of her carriage are other examples of expressive, nonfunctional behavior. Also the *style* in which a person carries out almost all behavior, motivated as well as unmotivated, is most often expressive (Allport and Vernon, 1933; Wolff, 1943).

We may then ask, is *all* behavior expressive or reflective of the character structure? The answer is No. Rote, habitual, automatized, or conventional behavior may or may not be expressive. The same is true for most stimulus-bound behaviors.

It is finally necessary to stress that expressiveness of behavior and goal-directedness of behavior are not mutually exclusive categories. Average behavior is usually both. (See Chapter 6 for a fuller discussion.)

### Animal and Human Centering

This theory starts with the human being rather than any lower and presumably simpler animal. Too many of the findings that have been made in animals have been proved to be true for animals but not for the human being. There is no reason whatsoever why we should start with animals in order to study human motivation. The logic or rather illogic behind this general fallacy of pseudosimplicity has been exposed often enough by philosophers and logicians as well as by scientists in each of the various fields. It is no more necessary to study animals before one

can study humans than it is to study mathematics *before* one can study geology or psychology or biology.

### Motivation and Pathology

The conscious motivational content of everyday life has, according to the foregoing, been conceived to be relatively important or unimportant accordingly as it is more or less closely related to the basic goals. A desire for ice cream might actually be an indirect expression of a desire for love. If it is, this desire for ice cream becomes extremely important motivation. If, however, the ice cream is simply something to cool the mouth with, or a casual appetitive reaction, the desire is relatively unimportant. Everyday conscious desires are to be regarded as symptoms, as *surface indicators of more basic needs*. If we were to take these superficial desires at their face value we would find ourselves in a state of complete confusion that could never be resolved, since we would be dealing seriously with symptoms rather than with what lay behind the symptoms.

Thwarting of unimportant desires produces no psychopathological results; thwarting of basically important needs does produce such results. Any theory of psychopathogenesis must then be based on a sound theory of motivation. A conflict or a frustration is not necessarily pathogenic. It becomes so only when it threatens or thwarts the basic needs or partial needs that are closely related to the basic needs.

### Role of Gratification

It has been pointed out above several times that our needs usually emerge only when more prepotent needs have been gratified. Thus gratification has an important role in motivation theory. Apart from this, however, needs cease to play an active determining or organizing role as soon as they are gratified.

What this means is that, for example, a basically satisfied person no longer has the needs for esteem, love, safety, and so on. The only sense in which he or she might be said to have them is in the almost metaphysical sense that a sated person has hunger or a filled bottle has emptiness. If we are interested in what *actually* motivates us, and not in what has, will, or might motivate us, then a satisfied need is not a motivator. It must be considered for all practical purposes simply not to exist, to have disappeared. This point should be emphasized because it has been either overlooked or contradicted in every theory of motivation we know. The perfectly healthy, normal, fortunate person has no sex needs or hunger needs, or needs for safety, or for love, or for prestige, or self-esteem, except in stray moments of quickly passing threat. If we were to say otherwise, we should also have to affirm that every person had all the pathological reflexes (e.g., Babinski), because if the nervous system were damaged, these would appear.

It is such considerations as these that suggest the bold postulation that a person who is thwarted in any of the basic needs may fairly be envisaged simply as sick or at least less than fully human. This is a fair parallel to our designation as sick of the person who lacks vitamins or minerals. Who will say that a lack

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If we were permitted people are primarily motivated potentialities and capacities chronic sense, he or she suddenly developed a strong word *sick* in this way, we people to their society. since a person is to be a basic thwarting is made then (3) sickness in the society. The good or harm people's highest purpose

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### Functional Autonomy

Higher *basic* needs may have more powerful prerequisites an adult who was love-starved average with regard to strong, healthy, autonomous love and popularity. But in our society by early childhood esteem needs. Which is functionally autonomous, them. We prefer to think instance of functional autonomy

<sup>7</sup>Gordon Allport (1960, end may become ultimate satisfaction may *come* to be wanted for the and change on the motivational additional complexity. There is they complement each other. V needs by the criteria so far used

of love is less important than a lack of vitamins? Since we know the pathogenic effects of love starvation, who is to say that we are invoking value questions in an unscientific or illegitimate way, any more than the physician does who diagnoses and treats pellagra or scurvy?

If we were permitted this usage, we should then say simply that healthy people are primarily motivated by their needs to develop and actualize their fullest potentialities and capacities. If a person has any other basic needs in any active chronic sense, he or she is simply unhealthy, as surely sick as if he or she had suddenly developed a strong salt hunger or calcium hunger. If we were to use the word *sick* in this way, we should then also have to face squarely the relations of people to their society. One clear implication of our definition would be that (1) since a person is to be called sick who is basically thwarted, and (2) since such basic thwarting is made possible ultimately only by forces outside the individual, then (3) sickness in the individual must come ultimately from a sickness in the society. The good or healthy society would then be defined as one that permitted people's highest purposes to emerge by satisfying all their basic needs.

If these statements seem unusual or paradoxical, the reader may be assured that this is only one among many such paradoxes that will appear as we revise our ways of looking at deeper motivations. When we ask what humans want of life, we deal with their very essence.

### Functional Autonomy

Higher *basic* needs may become, after long gratification, independent both of their more powerful prerequisites and of their own proper satisfactions.<sup>7</sup> For instance, an adult who was love-satisfied in early years becomes *more* independent than average with regard to safety, belongingness, and love gratification. It is the strong, healthy, autonomous person who is most capable of withstanding loss of love and popularity. But this strength and health have been ordinarily produced in our society by early chronic gratifications of safety, love, belongingness, and esteem needs. Which is to say that these aspects of the person have become functionally autonomous, that is independent of the very gratifications that created them. We prefer to think of the character structure as the most important single instance of functional autonomy in psychology.

<sup>7</sup>Gordon Allport (1960, 1961) has expounded and generalized the principle that means to an end may become ultimate satisfactions themselves, connected only historically to their origins. They may *come* to be wanted for their own sake. This reminder of the tremendous importance of learning and change on the motivational life superimposes upon everything that has gone before an enormous additional complexity. There is no contradiction between these two sets of psychological principles; they complement each other. Whether or not any needs so acquired may be considered true *basic* needs by the criteria so far used is a question for further research.

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