

1 **Expansion of the Hadley cell under global warming**

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29 **Abstract**

30 Consistent weakening and poleward expansion of the Hadley circulation are
31 diagnosed in climate change simulations of the IPCC AR4 project. Associated with this
32 widening is a concomitant poleward expansion of the subtropical dry zone. Simple
33 scaling analysis supports the notion that the poleward extent of the Hadley cell is set by
34 the location where the thermally driven jet first becomes baroclinically unstable. The
35 expansion of the Hadley cell is caused by an increase in the subtropical static stability,
36 which pushes poleward the baroclinic instability zone and hence the outer boundary of
37 the Hadley cell.

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52 **1. Introduction**

53 The Hadley cell (HC) plays a pivotal role in the earth's climate by transporting energy
54 and angular momentum poleward and by organizing the three dimensional tropical
55 atmospheric circulation. The locations of the large-scale subtropical dry zones and the
56 major tropical/subtropical deserts of the globe are largely determined by the subsiding
57 branches of the HC. Thus, understanding how the structure and intensity of the HC and
58 the associated subtropical dry zones may change under the greenhouse gas (GHG)-
59 induced global warming is a topic of substantial interest.

60

61 The detailed response of the HC to increasing GHG is complex, as the HC is influenced
62 by many factors, involving tropical heating processes [e.g., Mitas and Clement, 2006],
63 the atmospheric stability [e.g., Schneider, 1977], extra-tropical eddy dynamics [e.g.,
64 Walker and Schneider, 2006], and total atmospheric moisture [Frierson et al., 2006]. To
65 date, studies of the long term behavior of the HC, and the extent to which GHG forcing is
66 relevant remain inconclusive. Atmospheric reanalyses show a statistically significant
67 intensification of their Hadley circulation throughout the second part of the 20th century
68 [Mitas and Clement, 2005]. However, this intensification is not found in the rawinsonde
69 data, nor in most 20th century simulations using both coupled or atmosphere-only general
70 circulation models (GCMs) [Mitas and Clement, 2005; 2006]. Meanwhile, simple
71 physical arguments (e.g., Betts, 1998; Knutson and Manabe, 1995; Held and Soden
72 [2006] predict slowdown of the overall tropical overturning circulation under global
73 warming. Such a slowdown seems to be a robust feature in GCMs [Vecchi and Soden,
74 2006] and has been identified in observational analyses of the Walker circulation [Vecchi

75 et al., 2006; Zhang and Song, 2006]. However, it remains to be seen whether it also
76 projects onto the zonally averaged part of the circulation. As far as the structure of the
77 HC is concerned, analysis of the satellite observations indicates that the HC has been
78 expanding poleward over the past 27 years [Fu et al. 2006]. Whether this observation is
79 an integral part of the response to GHG warming is not clear and warrants further
80 investigation.

81

82 Here, we investigate the response of the HC to global warming using 21st century
83 increasing GHG scenarios from the Fourth Assessment Report (AR4) of the
84 Intergovernmental Panel on Climate Change (IPCC). The various AR4 models and the
85 associated diversity in their numerical schemes, parameterizations, and other physics
86 provide a unique opportunity for this task. In this study we will show that there is a robust
87 poleward expansion and weakening of the Hadley circulation across all models and we
88 will identify possible mechanisms for this behavior.

89

90 **2. Data and Methods**

91 Gridded global monthly precipitation, evaporation, surface air temperature, surface wind,
92 temperature, and 500hPa pressure velocity (ω_{500}) are retrieved from the AR4 archive
93 website (<http://www-pcmdi.llnl.gov>), and annual means are formed for the analysis. Most
94 of our analysis is based on output from the A2 scenario which is at the upper end of GHG
95 emission (the CO₂ concentration reaches 800 ppm at the end of the 21st century). Only the
96 first ensemble member of each of the 14-15 models (depending on the availability of the

97 variables) is used. To identify the climate change response, we compare the first and last
 98 twenty years of the 21st century, i.e., (2081-2100) minus (2001-2020).
 99
 100 To determine the poleward edges of the HC, we compute the zonal-mean mass flux
 101 stream function (ψ) by vertically integrating the density-weighted meridional wind
 102 component from the top model level downward. We first determine the maximum
 103 absolute value of this streamfunction at 500 hPa (ψ_{500}), and then identify the edges of the
 104 HC as the first latitude poleward of the maximum at which ψ_{500} becomes zero. An
 105 alternative definition of the HC edge as the transition latitude from zonal-mean surface
 106 easterlies to westerlies is also tested and does not influence the principal conclusions of
 107 this study. Therefore, only the results from the ψ_{500} based definition are presented here.
 108 The intensity of Hadley Cell (IHC) is measured from the meridional integral of the
 109 upward branch of the zonally integrated 500hPa omega field in the tropics, i.e.,
 110 $\int \left(\int \omega_{500} dx \right)^+ dy$ or equivalently, the difference between the maximum and minimum of
 111 ψ_{500} , i.e., $\psi_{500}^{\max} - \psi_{500}^{\min}$. Finally, the edge of the subtropical dry zone in each hemisphere
 112 is identified as the latitude where the zonal mean precipitation minus evaporation ($P-E$)
 113 field crosses zero when increasing from the subtropical minimum poleward.
 114
 115 Theories of the Hadley circulation suggest that the meridional extent of the HC should
 116 scale with the height of the tropopause [Held and Hou, 1980, Held, 2000]. This height is
 117 computed from temperature data as the lowest pressure level at which the lapse rate

118 decreases to 2°C/km, following the algorithm of Reichler et al. [2003] and the WMO
119 (1957) definition.

120

121 To account for the different climate sensitivities of each model, we normalize, at times,
122 the various quantities by the corresponding changes in global mean surface temperature
123 of each model.

124

125 **3. Results**

126 We first examine the response of global hydrological cycle in scenario A2 by looking at
127 the field of precipitation minus evaporation ($P-E$). As shown by Fig.1, the overall
128 characteristic of the multi-model ensemble mean hydrological response to global
129 warming is a reinforcement of the global climatological background pattern (Held and
130 Soden, 2006). For clarity, areas of negative climatological $P-E$ are hatched. In addition,
131 there is a general tendency for a poleward expansion of the subtropical dry zone (areas
132 encircled by the 0 isopleths of $P-E$). Counting the number of models that simulate a
133 positive $P-E$ change (Fig.1b), one can see that the overall pattern of $P-E$ change is robust
134 across most models and that the model number count bears great resemblance to the trend
135 pattern itself.

136

137 The poleward expansion of the subtropical dry zone is strongly tied to the poleward
138 expansion of the HC (see Fig.2). Based on the 38 simulations from the three scenarios
139 (A2, A1B, B1), about 85% (72%) of the spread in the poleward displacement of the
140 subtropical dry zones in the southern (northern) hemisphere can be explained by a linear

141 relation to the displacements of the outer boundaries of the HC. The ensemble mean
142 response of the A2 scenario (open circle) shows that the edges of the subtropical dry zone
143 displace poleward by $\sim 1^\circ$ in each hemisphere. A similar poleward expansion can be
144 found in the subtropical downward ω_{500} field (not shown). The magnitude of the
145 expansion is a function of the GHG forcing, with similar but weaker expansions found in
146 scenarios A1B (hexagrams) and B1 (triangles), which correspond to the CO2 stabilization
147 at 720 ppm and 550 ppm, respectively.

148

149 Another important aspect of the HC response to global warming is the reduction of its
150 intensity (Fig.3). The models show a tendency for a HC weakening at rates between 0-
151 4%/K, with a mean of 1.2%/K. It is important to note that these models predict a
152 slowdown of the entire tropical overturning circulation, particularly the Walker
153 circulation [Vecchi and Soden, 2006], in a manner consistent with the discussion in Held
154 and Soden (2006). Meanwhile, weakening of the HC ($\sim 1\%/K$) is substantially smaller
155 than that of the Walker circulation ($\sim 5\%/K$, Vecchi and Soden, 2006) for reasons yet to
156 be understood. Interestingly, a similar anisotropism is also found in the reduction of the
157 zonal mean and the zonally asymmetric components of the tropical convective mass flux
158 (Held and Soden, 2006).

159

160 **4. Possible mechanisms for HC expansion**

161 Much of the understanding of the HC has been built on two alternative views on the
162 controls of the width of the HC. On one hand, nearly inviscid theory for axisymmetric

163 circulation (no eddies) [Held and Hou 1980] predicts that the meridional extent of the HC
 164 scales as

$$165 \quad \phi_H \sim \left(\frac{gH_t}{\Omega^2 a^2} \frac{\Delta_h}{\theta_0} \right)^{\frac{1}{2}}, \quad (1)$$

166 where H_t is the height of the tropical tropopause, θ_0 is global mean temperature, Δ_h is
 167 the equator-to-pole surface potential temperature difference in radiative equilibrium, and
 168 other parameters have their conventional meanings. This scaling relation, which suggests
 169 no dependence on static stability, is derived by assuming that (i) the zonal wind in the
 170 upper branch of the HC is angular-momentum conserving and (ii) the HC is energetically
 171 closed, so that the diabatic heating in the ascent regions is balanced by the diabatic
 172 cooling in the descent regions. The second view sees the width of the HC as being
 173 determined by the poleward extent to which the angular-momentum conservation
 174 continues until the resulting vertical shear becomes baroclinically unstable [Held, 2000].
 175 Solving the equation between the angular momentum conserving zonal wind and the
 176 baroclinically critical zonal wind yields an alternative scaling for the width of the HC:

$$177 \quad \phi_H \propto \left(\frac{NH_e}{\Omega a} \right)^{\frac{1}{2}}, \quad (2a)$$

178 if using the two-layer model's criterion (Phillips, 1954) for instability, or

$$179 \quad \phi_H \propto \left(\frac{NH_e}{\Omega^2 a} \right)^{\frac{1}{3}}, \quad (2b)$$

180 if using a more general criterion based on the Eady growth rate¹, both implying a positive
181 proportional relationship with NH_e . Here, H_e is the local tropopause height where the
182 instability first occurs; N is the vertically averaged Brunt-Väisälä frequency, indicative of
183 the tropospheric gross static stability. If the scaling relation (1) applies to the HC
184 expansion under GHG forcing, variations of the HC width should be proportional to the
185 tropical tropopause height². On the other hand, if the scaling (2) applies, one may expect
186 the extent of the Hadley circulation to be sensitive to the gross stability and the
187 tropopause height near the poleward boundary of the circulation.

188

189 First, to test the extent to which scaling relation (1) controls the models' HC expansion
190 through changing the tropical tropopause height (TTH), we plot for each model the
191 change in TTH during the 21st century against that of the HC extent, the former being
192 estimated as the negative of the pressure anomalies at the tropopause and averaged within
193 20° to the equator, and the latter defined as the distance between the southern and
194 northern edges of the HC. Both quantities have been normalized by the increase of the
195 global mean temperature seen in the individual models during the 21st century.

196 Comparing different models, the individual long-term trends in HC extent show only a
197 small correlation to the trends in TTH (Fig.4a). In fact, within each model, the detrended
198 annual mean time series of TTH and HC extent tend to be anti-correlated, in stark
199 contrast to the positive correlations of the time series with trend (Fig.4b). This hints at

¹ Eady growth rate used here is a version in vertical average sense, i.e., fu/NH , where u is the zonal wind difference between the upper and lower troposphere.

² From scaling relation (1), neither the increased global mean temperature θ_0 , nor the extratropical amplification of the global warming signal, which decreases Δ_h , are likely to have direct contribution to the widening of the Hadley cell.

200 distinct mechanisms between that governs the long-term widening of the HC under global
201 warming and that governs its interannual variability. Further composite analysis using the
202 simulations from GFDL CM2.1 model (not shown) reveals that, at interannual time
203 scales, anomalously high TTH is associated with El Niño-like conditions — stronger and
204 narrower than normal tropical heating and more intensive Hadley overturning circulation.
205 This is consistent with previous findings [Chang, 1995; Seager et al. 2002] that the more
206 accentuated tropical convective heating during El Niño usually drives a stronger and
207 narrower HC.

208

209 On the other hand, the extratropical tropopause height (ETH, averaged over 35°-55°), is
210 found to be closely related to the variation of the HC extent not only within each model
211 (Fig.4d), but also in the comparison of the long-term trend among models (Fig.4c). A
212 similar relationship has been found between the HC extent and the gross stability. Indeed,
213 the ETH is very strongly correlated with the local gross stability (with correlations of
214 ~0.95) within most models examined and hence can be thought of as a good proxy of the
215 ETH. The change in mid-latitude tropopause height (or stability) not only explains over
216 60% of the variance in the spread of the HC widening across the AR4 models, but also
217 accounts for the consensus HC expansion at a rate of 1.2° per 10hPa rise in the ETH in
218 the A2 scenario. The relevance of the ETH to the HC extent in the natural climate
219 variability can also be readily discerned from their correlations of the detrended time
220 series in Fig.4d.

221

222 From this analysis, one is attempted to argue that scaling relation (2) is a better model for
223 the extent of the HC. The HC in the present-day climate may better be interpreted as
224 being limited by where the thermally driven wind becomes baroclinically unstable than
225 by the energetic closure of the thermally driven cell.

226 **5. Concluding Remarks**

227 In response to increased GHG forcing, we find a robust weakening and poleward
228 expansion of the Hadley circulation in simulations of the 21st century climate taken from
229 the A2 scenario of the IPCC AR4 project. In accord with the movement of the HC, the
230 subtropical dry zones also expand poleward. Further analysis suggests that the consensus
231 of the HC expansion in the AR4 models is unlikely to originate from tropical processes,
232 despite the fact that tropical heating is effective in driving the variation of the HC at
233 ENSO time scales, and that it accounts for significant part of the spread in the expansion
234 rate among models (not shown). We find that extratropical tropopause height, as a good
235 proxy of the gross static stability, varies in concert with the width of the HC on both the
236 interannual and longer time scales. The increase in the gross stability near the subtropics
237 decreases the baroclinic instability, a critical factor that controls the limits the outer
238 boundaries of the HC. This postpones the thermally driven cell to break down at high
239 latitude, and as a result, the edges of the HC expand poleward.

240

241 The latitudinal distribution of the lower tropospheric warming in the A2 scenario runs
242 shows remarkable qualitative resemblance to observational estimates from the microwave
243 sounding unit (MSU) data gathered over the period 1979-2005 [Fu et al., 2006], both
244 sharing features such as a local minimum warming near the equator, a local maximum

245 warming in the subtropics, and amplified warming in the Northern Hemisphere high-
246 latitudes. The details of the warming profile are important, because they are associated
247 with poleward shift of the subtropical jets and poleward expansion of the HC, the exact
248 phenomena diagnosed in this study from the global warming simulations. Fu et al. [2006]
249 estimated the amount of latitudinal widening of the HC over the period 1979-2005 as $\sim 2^\circ$
250 latitude. Over the same period the increase in global temperature was about 0.5°C , so that
251 the widening of the HC amounts to $\sim 4^\circ$ latitude per degree warming. This is much greater
252 than what we find in the simulations of the AR4 A2 scenario ($\sim 0.6^\circ$ latitude /K). Thus,
253 the observed expansion of the Hadley circulation during the late 20th century may, to a
254 large degree, be attributed to factors other than the GHG-induced global warming, such
255 as ozone depletion and/or natural climate variability. It is also possible that the
256 contribution from the GHG forcing may be larger than the ensemble mean suggests,
257 given the large spread between the individual model simulations (Fig.4).

258
259 Interestingly, the model-based projection of a expanding HC is also consistent with the
260 paleoclimate record. It has been argued that the boundaries of the HC were further
261 poleward during the warm Cretaceous climate [Farrell, 1990], and further equatorward
262 during glacial periods [Chylek et al. 2001; Williams and Bryan, 2006].

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387 **List of Figures**

388 **Figure 1** (a) The multi-model ensemble mean $P-E$ in the A2 scenario. Shading indicates
389 the difference between the first and the last 20 years of the 21st century and the black line
390 denotes the 0-isopleths averaged from 2001 to 2020. The right sub-panel shows the zonal
391 mean averaged over 2001-2020 (black) and 2081-2100 (red). Units are mm/day. (b)
392 Number count out of the total 15 models that simulate a moistening (i.e., $\Delta(P - E) > 0$) at
393 each grid point.

394

395 **Figure 2** The breakdown by models and scenarios of the displacement of the northern
396 (warm colors) and southern (cold colors) edges of the subtropical dry zone (y-axis)
397 versus that of the HC (x-axis). The circles, hexagrams, and triangles denote the changes
398 (2081-2100 minus 2001-2020) estimated from the A2, A1B and B1 scenarios,
399 respectively. The open symbols denote the multi-model ensemble mean values.

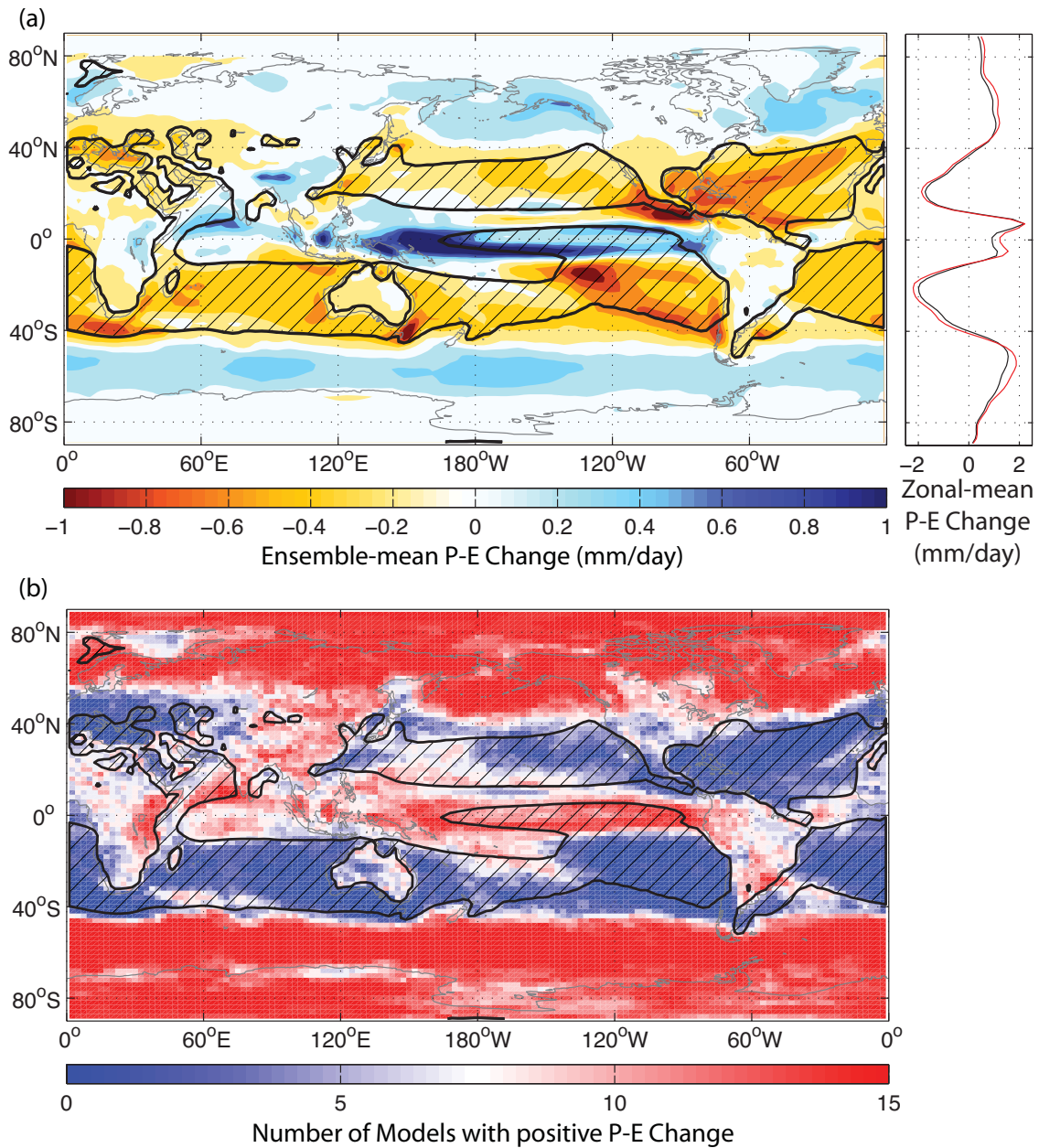
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401 **Figure 3** The fractional change in the intensity of the HC from the beginning (2001-
402 2020) to the end (2081-2100) of the 21st century with respect to the century mean
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404 streamfunction-based ($\psi_{500}^{\max} - \psi_{500}^{\min}$) and omega-based intensity of Hadley circulation
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406 mean temperature within the corresponding model. The unit is %/K.

407

408 **Figure 4** The relationship of the tropical (20°S-20°N) tropopause height (TTH, left
409 panels); the extra-tropical (35°S-55°S and 35°N-55°N) tropopause height (ETH, right
410 panels) with the extent of the HC for 14 models from the A2 scenario. Positive
411 tropopause height value represents rise of tropopause. Upper panels show the differences

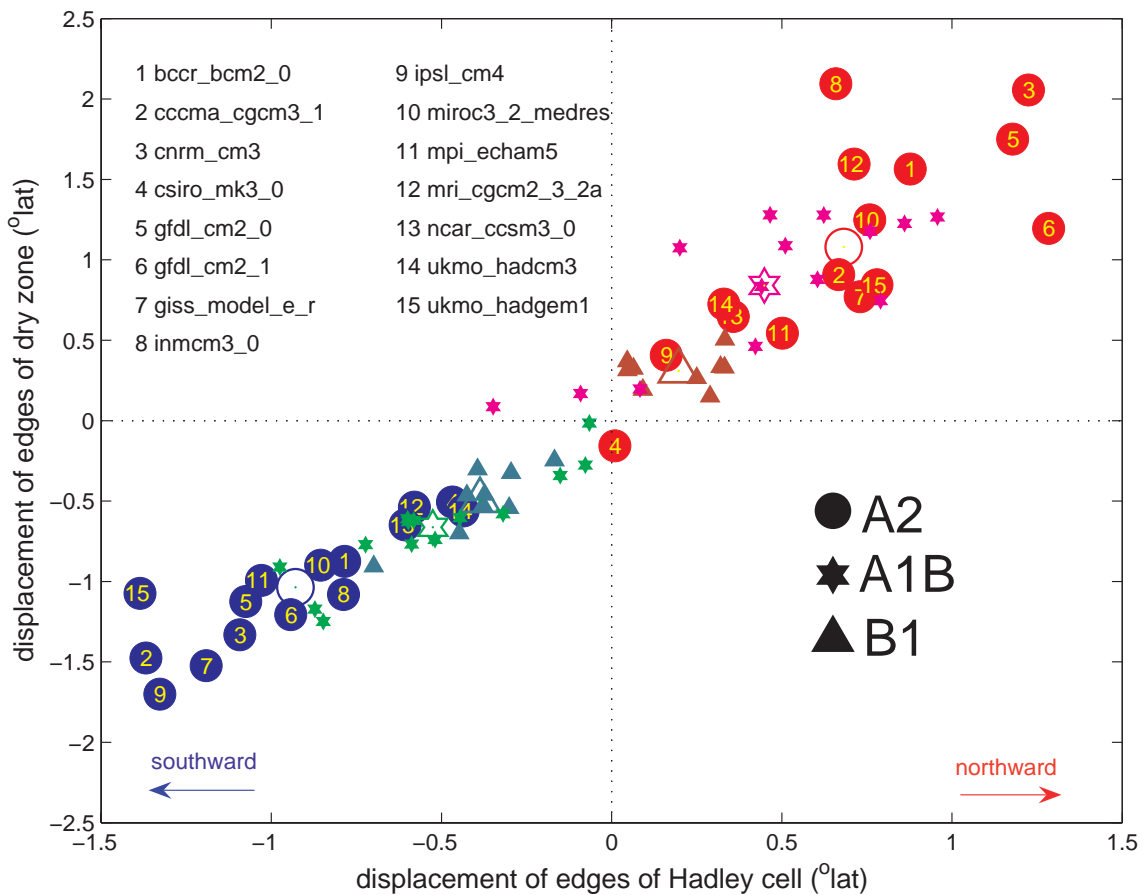
412 between (2081-2020) and (2001-2020), normalized by the corresponding change in the
 413 global mean temperature. The red dots denote the multi-model ensemble mean values.
 414 Lower panels show the correlation coefficients between the full (blue bars) and detrended
 415 (sandy bars) time series of the HC extent and TTH (b) and ETH (d). The dotted lines
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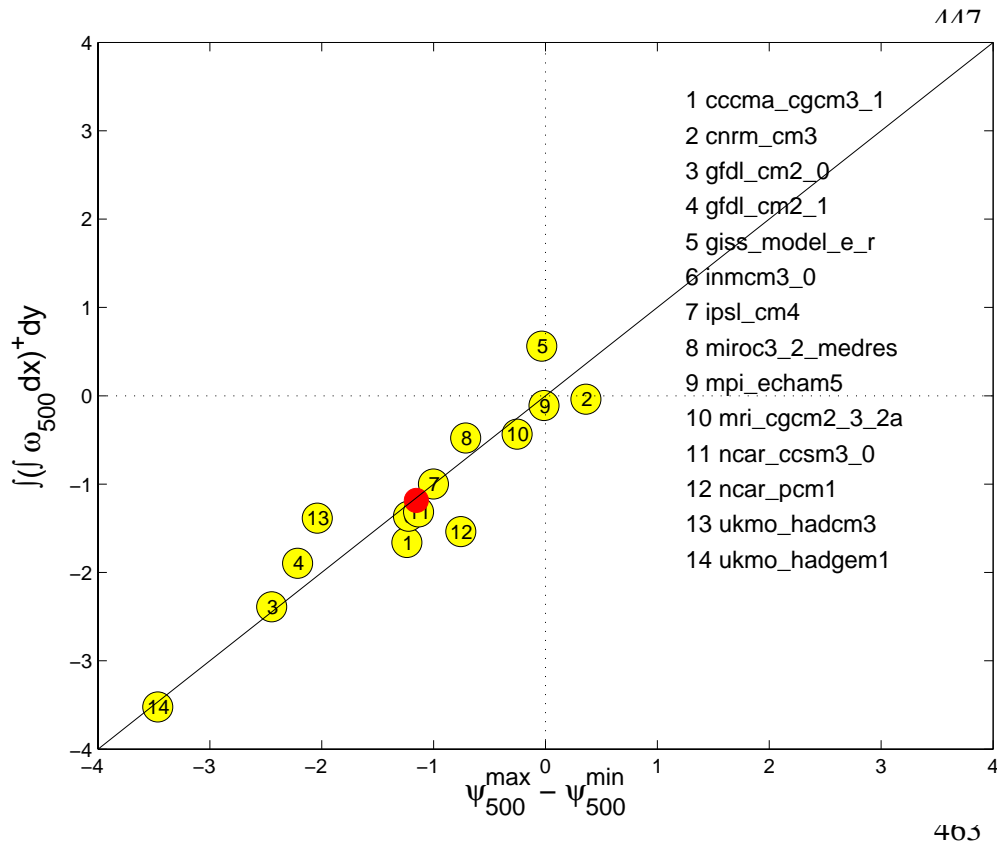
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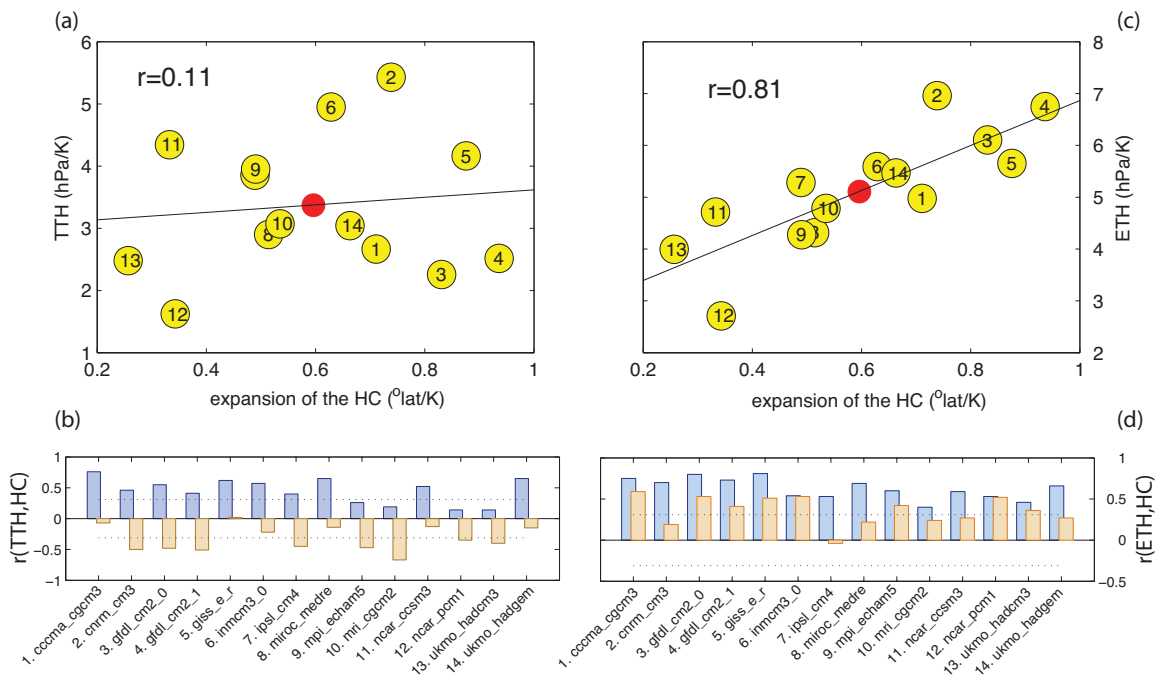
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