

the CPR – is a nadir-looking 94 GHz (3.2 mm) radar measuring the backscattering signal of the Earth’s surface and of particles in the atmospheric column as a function of distance. The backscattering signal is calibrated to give the equivalent radar reflectivity factor using the dielectric factor for liquid water and assuming Rayleigh scattering. The equivalent radar reflectivity factor z_e is then converted to the radar reflectivity factor z to account for solid phase. The CloudSat CPR features a detection limit of -27 dBz with a dynamic range up to $+29$ dBz.

Due to the motion of the CloudSat CPR relative to the Earth’s surface, its footprint is approximately 1.4 km (across-track) \times 1.8 km (along track) (Tanelli et al., 2008). The data are averaged every 0.16 s along track which corresponds to a horizontal resolution of approximately 1.1 km. Vertically, the CPR’s pulses sample a volume of 480 m and the data are digitized into 125 bins, each of approximately 240 m height.

The determination of ice water content (IWC) from z_e is not trivial as it depends on hydrometeor size, shape, and density distribution, with the largest particles being dominant. In the present study data from the version 5.1 IWC retrieval (contained in release R04 of the level 2B products) are utilized, which is based on the optimal estimation approach by Rodgers (1976) and assumes a lognormal size distribution. A priori profiles of temperature (provided by European Centre for Medium-Range Weather Forecasts, ECMWF) and reflectivity are used as initial values and help constrain the retrieval. The minimum detectable IWC is estimated to be approximately 0.001 g m^{-3} . Since the radar is not able to determine the cloud phase in a radar profile, both a liquid and an ice retrieval are run, assuming liquid-only and ice-only conditions. Finally, the two profiles are combined, with a linear scaling between -20 and 0°C . For details on the retrieval see Austin et al. (2009).

The quality of the radar reflectivity factor measured by the CloudSat CPR and the retrieved IWC has been comprehensively validated by several studies (e.g., Protat et al., 2009; Austin et al., 2009). The radar reflectivity factor performs well in comparison to ground-based measurements, with the weighted mean difference ranging from -0.35 dBz to $+0.5$ dBz for a ± 1 h time lag around the overpass (Protat et al.,

425

2009). Austin et al. (2009) find IWCs above 1 g m^{-3} not to be trustworthy, however, suitable reference data for IWC validation are still lacking.

Since the CloudSat CPR is not able to distinguish between snow and cloud ice, we apply the following naming convention from now on: ice water content (IWC, referring to the sum of cloud ice and snow), snow water content (SWC), cloud ice water content (CIWC), and analogously for IWP, SWP, and CIWP.

4 Methodology

4.1 Matching

Model output sampling is essential when comparing model with satellite data. Temporally, for each CloudSat orbit the model output (of the 00:00 UTC run) closest to the mean time of the CloudSat orbit is chosen. Thus, forecast age varies between 1 and 24 h. Since model resolution is hourly and the duration of a CloudSat orbit is approximately 1.5 h, the maximum time mismatch between model and satellite profile is 1.25 h. To match the spatial domain of model and observation the GME data are horizontally interpolated onto the CloudSat orbital track with the nearest neighbour technique. Vertically, as an intermediate choice, both data sets are linearly interpolated onto regular bins with 500 m height each. IWC, SWC, and CIWC are vertically redistributed onto the new bins, with regard to the conservation of IWP. Additionally, a moving average is applied onto the CloudSat CPR data to take the coarser horizontal model resolution into consideration. To account for instrument and retrieval algorithm sensitivities, only data which are firstly within the CloudSat CPR sensitivity range and secondly deemed trustworthy are included in the investigations, i.e., -26 dBz $< Z < +29$ dBz (no reflectivity factors below -26 dBz due to increased influence of noise) and 0.001 $\text{g m}^{-3} < \text{IWC} < 1$ g m^{-3} (cf. Sect. 3).

426

precipitation profile, enabling the estimation of SWC for that profile. Though this might be the most consistent evaluation of the diagnostic scheme, this route is not pursued because the hydrological cycle of the model can not store mass in this profile. When considering GME1007 CIWC (Fig. 2d) a similar shape of the frequency distribution as for GME IWC (Fig. 3c), but with a shift towards larger values, is notable. In general, GME1007 (Fig. 3b) captures the enhanced occurrence of smaller IWCs with decreasing temperatures which CloudSat (Fig. 3a) features well. However, the observation-to-model approach also reveals a distinct difference between CloudSat and GME1007: the GME1007 maximum of the frequency of occurrence reaches up to lower temperature regimes than for CloudSat, most likely because CloudSat underestimates (misses) thin cirrus which consist of small particles.

The model-to-observation approach (Fig. 3e and f), too, shows how well GME1007 reproduces the frequency distribution of CloudSat. Here, another difference between CloudSat and GME1007 is revealed: The frequency distribution is more narrow for GME1007 than for CloudSat; it spans a smaller reflectivity factor range at a given temperature level, indicating a tighter temperature-reflectivity factor relationship (and therewith tighter temperature-IWC relationship) in the model parameterizations. Also, the slope of the maximum is steeper for GME1007. Contrary to GME1007, GME hardly shows any reflectivity factors above -26 dBz (not shown).

In a next step, analyses are refined to resolve meridional variation in IWP (Fig. 4). At this point it is fit to demonstrate the individual influence of the applied criteria on IWP. The temperature criterion (1) alone (Fig. 4c) slightly reduces the IWP of all data sets at all latitudes, but does not change the general meridional variation in comparison to without any criteria (Fig. 4a). The convection criterion (2) alone (Fig. 4d) reduces IWP distinctly in the tropics, underlining the importance of convectively induced IWC in this region, but IWP is also reduced in the mid-latitudes. The cloud cover criterion (3) alone (Fig. 4e) appears to affect only the tropics; IWP in mid-latitudinal and polar regions remains overall the same. This emphasizes the fact that IWC in the tropics is largely connected to small scale events, which the microphysical scheme is not able to capture

due to the model's resolution; Subgrid-scale processes are not represented in the hydrometeor output. When applying all criteria (Fig. 4b), GME1007 realizes the zonally averaged IWP pattern of CloudSat rather well. CIWP in GME1007 is small in comparison to its IWP, underlining again the importance of SWP as a contribution to IWP, yet it remains distinctly larger than GME IWP, as shown above. However, GME1007 consequently overestimates IWP and considerably overestimates mid-latitudinal IWP by a factor of 4. This strong overestimation is not discernible in the frequency distributions shown above, because they are normalized for each data set separately to the number of included pixels. Checks with mass distributions instead of frequency distributions (not shown) confirm the overestimation of IWP in GME1007 as revealed by Fig. 4b.

The zonally averaged IWC (Fig. 5) shows that the meridional position of the IWC peaks of CloudSat is captured well by GME1007 (Fig. 5b), though these peaks are positioned at smaller heights in GME1007 than in CloudSat (Fig. 5a). GME IWC and GME1007 CWIC are positioned at exactly the same heights, but the peaks are larger in GME1007 than in GME, which fits to the global frequency distributions in Fig. 3.

Further refinement – separation into three temperature regimes for three zonal regions – is accomplished to specify the differences in zonally averaged IWP between GME1007 and CloudSat. Contrary to the frequency distributions above, the histograms in Fig. 6 do reflect the above mentioned over-/underestimation of IWC, because they are normalized to the total number of pixels, whether cloudy or not. GME consequently underestimates the higher IWC values, as discussed above. In general, GME1007 reproduces the shape of the distribution of CloudSat very well, especially in the mid-latitudes and polar regions. Also, the peak of maximum frequency of occurrence is located at roughly the same IWC. Yet, the peak is highly overestimated; in the warmest temperature regime by a factor of 3 in the tropics, by a factor of 1.5 in the mid-latitudes, and by a factor of 2 in the polar regions. With decreasing temperature the overestimation increases. This points to an overlong residence time of snow in the air, i.e., an underestimation of the fall speed of snow, leading to the overestimation of zonally averaged IWC and IWP seen above (Fig. 4). As for the upper IWC range, this is

not reproduced (or underrepresented) in the tropics in GME1007, partly compensating the overestimation of IWP in this region (Fig. 4). This might be attributed to the fact that deep convective events which produce the largest particles in the tropics are not resolved by the model. Finally, small IWCs are underrepresented in GME1007
5 in comparison to CloudSat, which might be due to several reasons, e.g., a too fast depositional growth or the missing homogeneous nucleation of aerosols.

These features are robust, also in reflectivity factor (Fig. 7). Additionally, two further features are discernible. First, with decreasing temperature, the peak of maximum frequency of occurrence of GME1007 shifts more and more to higher reflectivity factors than for CloudSat. Second, the frequency distribution is more narrow for GME1007
10 than for CloudSat. These findings agree with the steeper and more narrow global frequency distribution for GME1007 seen above in Fig. 3. As in Fig. 3, GME produces small reflectivity factors which are outside the displayed range and therewith outside the detection limit of CloudSat. The same applies for GME1007 reflectivity factors
15 calculated from CIWC only.

In order to test the hypothesis of a too small fall speed of snow being responsible for the IWC/IWP overestimation, a sensitivity study is conducted (Fig. 8): the same configuration as GME1007 is run as control simulation Exp1. Exp2 takes into account the density correction of the fall speed of precipitating hydrometeors, and Exp3 additionally applies an increased and more realistic fall speed of snow, compared to a
20 reference fall speed based on Khvorostyanov and Curry (2005), with $v = 25 D^{0.5}$. For each experiment a 30-day simulation is performed, and only the last 25 days are analysed to exclude effects of model spin-up. As expected, the faster falling snow leads to a reduction of SWC (Fig. 8) while large-scale surface precipitation is only marginally
25 affected (not shown). Globally averaged, this amounts to a reduction of mean SWP from 81 g m^{-2} to 63 g m^{-2} for Exp2 and a further reduction to 40 g m^{-2} for Exp3. CIWC and CIWP, respectively, increase slightly with increased snow fall speed. Therefore, the unrealistically small fall speed of snow in GME1007 can explain most of the positive bias in IWC and IWP, respectively, which is found compared to CloudSat.

431

To explain the remaining IWC bias, we note that a further increase of snow fall speeds might occur in regions of heavy riming or graupel formation, however, both is currently not taken into account for grid-scale clouds in GME. Furthermore, other model errors than cloud microphysics might also contribute to the remaining unexplained IWC
5 bias.

6 Summary and conclusions

This study evaluates the global NWP model GME with respect to frozen particles, and in doing so focuses on the performance of a prognostic versus a diagnostic precipitation scheme. As a reference, CloudSat CPR observations are utilized, which offer the so
10 far unique opportunity of vertically resolving clouds at a near-global scale.

The prognostic scheme is found to capture the shape and magnitude of the CloudSat CPR frequency distributions of IWC and reflectivity factor well. In contrast, the diagnostic scheme considerably underestimates the larger IWC and reflectivity factor values, a result of the fact that snow falls out instantaneously. As a consequence of the
15 improved overall performance, the prognostic scheme presented here went operational on 2 February 2010.

Furthermore, the height-resolving CloudSat CPR enables the continuous assessment of processes within clouds. It is shown that the prognostic scheme still requires improvements, especially concerning the overestimation of IWP. One source of error, the too small fall speed of snow, is identified: With the introduction of a – currently neglected – density-dependency the fall speed increases with height, thereby reducing IWP. Due to this further improvement in performance, the microphysical choices of
20 Exp2 went operational on 1 December 2010.

The presented multi-parameter validation enables the comparison of the two approaches: The general features are robust and captured by both approaches. However, details are captured by merely one or the other approach, in which case both approaches together deliver the largest informational content. Having to decide for one
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432

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435

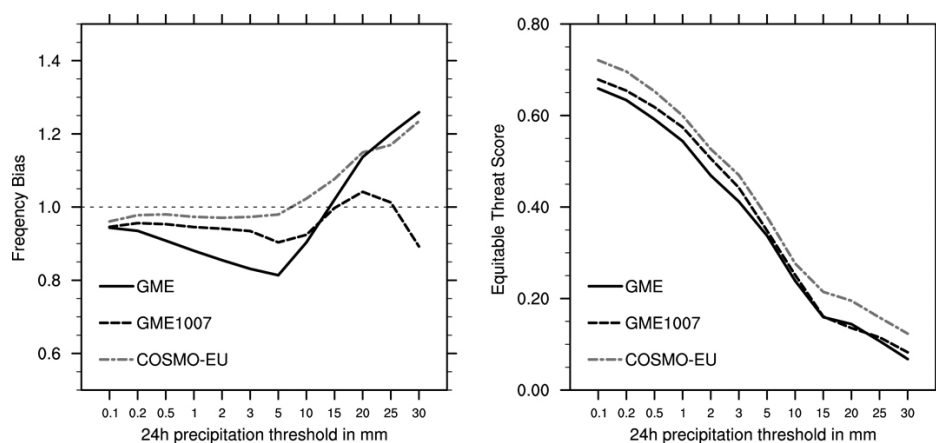


Fig. 1. Frequency bias (left) and equitable threat score (right) of 24 h accumulated precipitation. Model results are verified against a gridded precipitation data set for Germany based on more than 600 rain gauges from 1 July 2009 to 31 October 2009.

436

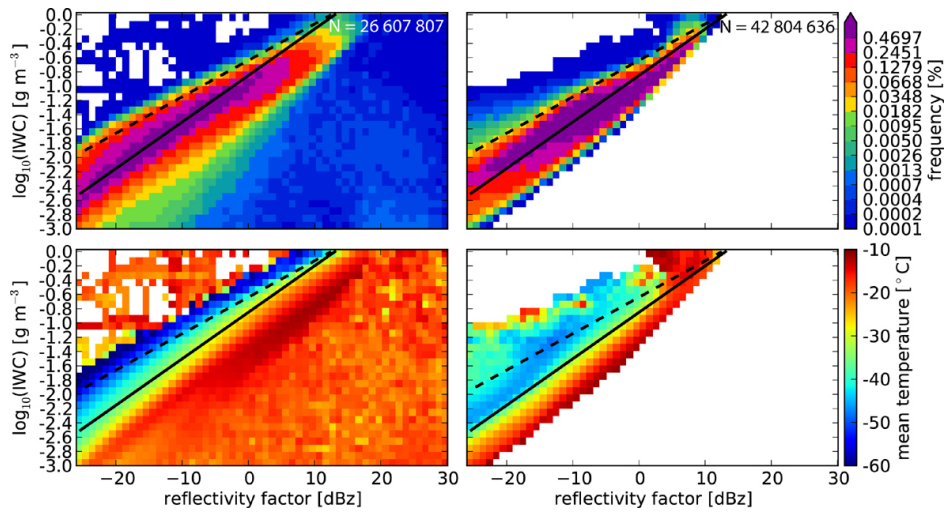


Fig. 2. Z-IWC relation for CloudSat (left) and GME1007 (right) from 1 July 2009 to 31 October 2009. Top row: frequency distribution; bottom row: mean bin-temperatures. Frequency distributions normalized with number N of included pixels. Black lines: Z-IWC relation from Hogan et al. (2006) for $T = -20^{\circ}\text{C}$ (solid) and $T = -50^{\circ}\text{C}$ (dashed).

437

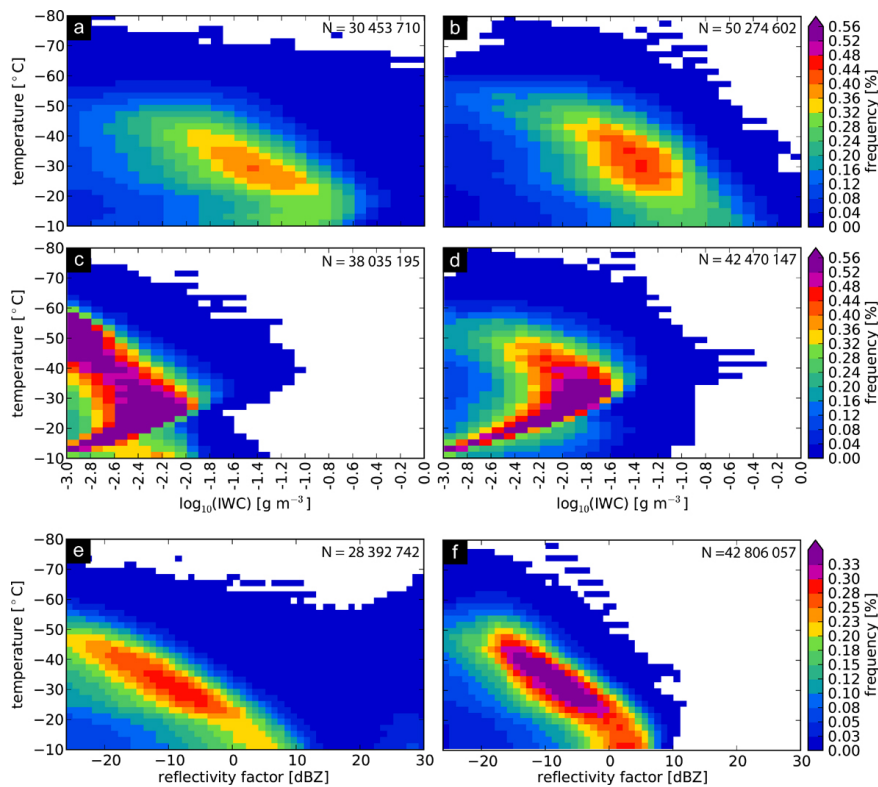


Fig. 3. Frequency distributions from 1 July 2009 to 31 October 2009. (a) CloudSat IWC, (b) GME1007 IWC, (c) GME IWC, (d) GME1007 CIWC, (e) CloudSat reflectivity factor, and (f) GME1007 reflectivity factor. Each data set is normalized with its number N of included pixels.

438

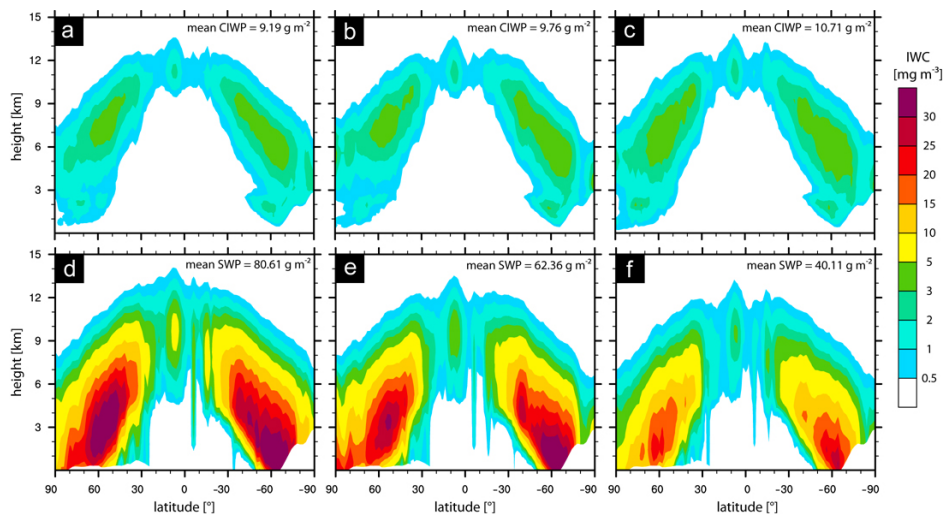


Fig. 8. Zonal averages of GME1007 for a 25-day period. Top row: zonally averaged CIWC, bottom row: zonally averaged SWC. Left column: Exp1, middle column: Exp2, right column: Exp3.