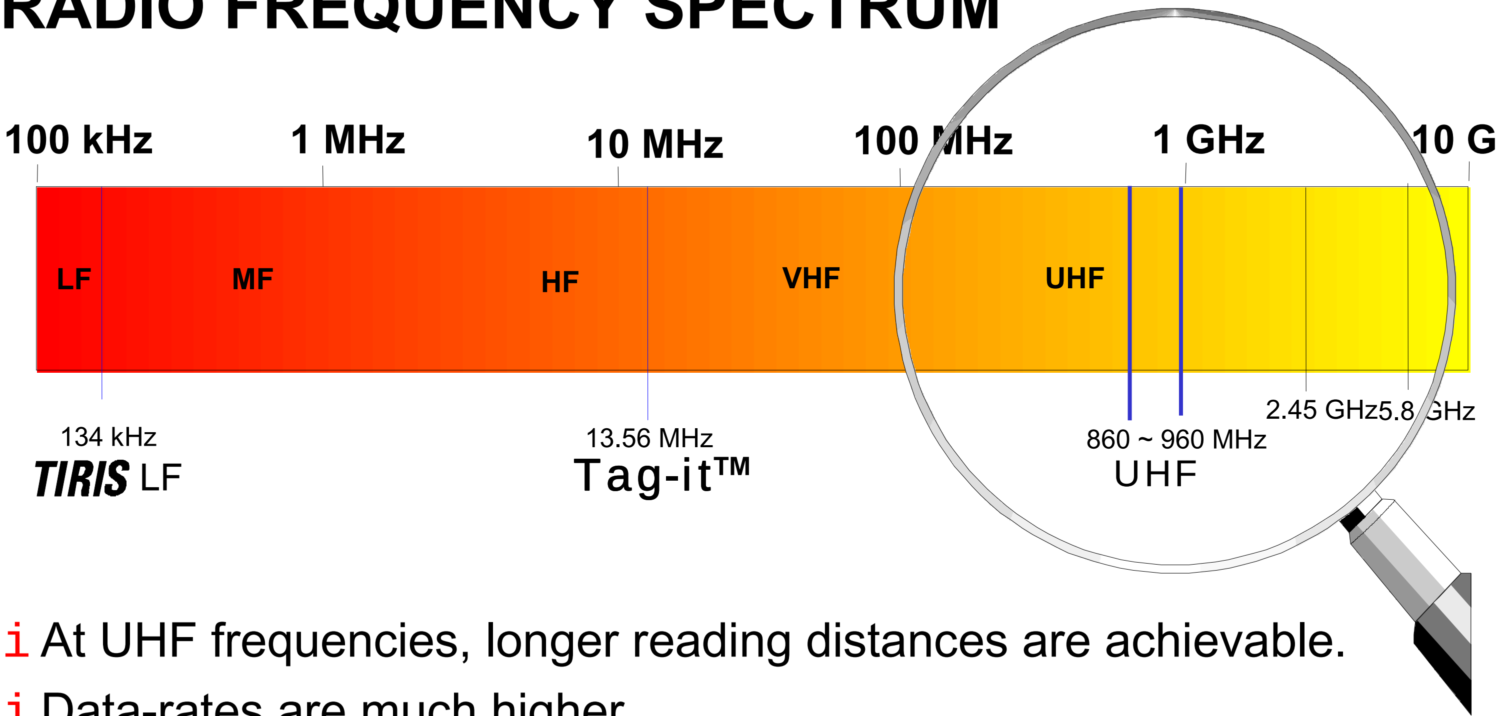
UHF Gen 2

System Overview

JAG. Mar 2005

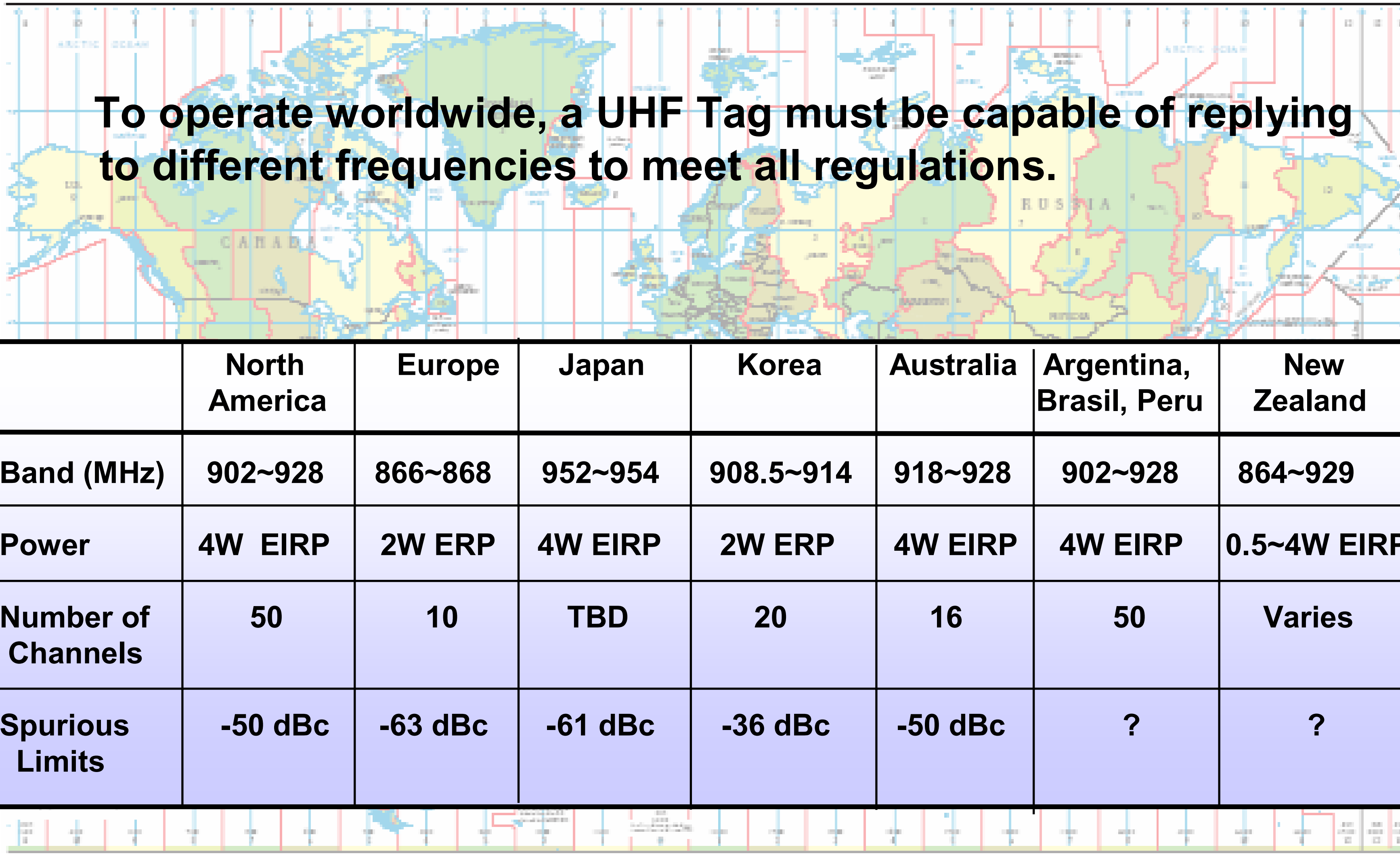
Principles of Operation

RADIO FREQUENCY SPECTRUM



- At UHF frequencies, longer reading distances are achievable.
- Data-rates are much higher
- Signals don't pass through materials as well as lower frequencies.
- Reflections can extend the read range, but make the reading zone less well defined. (Ghost readings from labels thought to be out-of-range)

Global Regulatory Situation



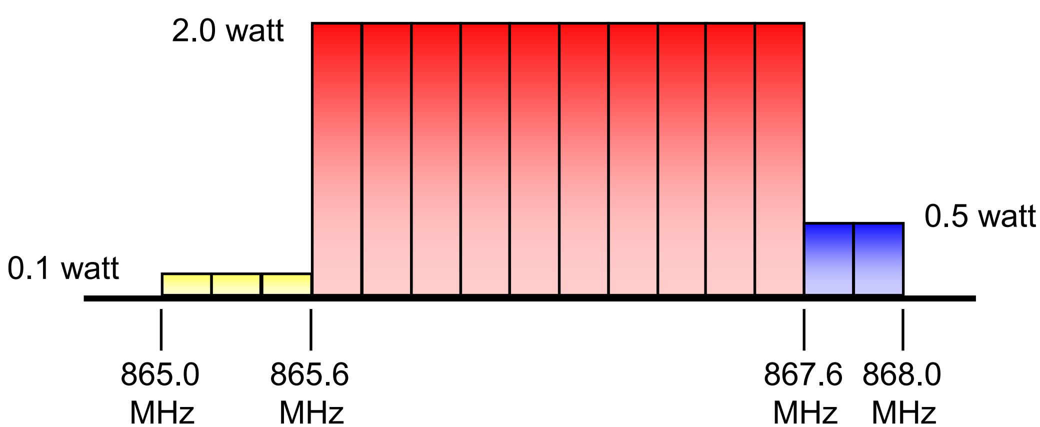
To operate worldwide, a UHF Tag must be capable of replying to different frequencies to meet all regulations.

	North America	Europe	Japan	Korea	Australia	Argentina, Brasil, Peru	New Zealand
Band (MHz)	902~928	866~868	952~954	908.5~914	918~928	902~928	864~929
Power	4W EIRP	2W ERP	4W EIRP	2W ERP	4W EIRP	4W EIRP	0.5~4W EIRP
Number of Channels	50	10	TBD	20	16	50	Varies
Spurious Limits	-50 dBc	-63 dBc	-61 dBc	-36 dBc	-50 dBc	?	?

Global Regulatory Situation

Europe

- The new ETSI 302 208 regulations define 3 sub-bands
  - Band 1: 865.0 ~ 865.6 MHz, 0.1 watt ERP, LBT* level -83 dBm
  - Band 2: 865.6 ~ 867.6 MHz, 2.0 watt ERP, LBT level -96 dBm
  - Band 3: 867.6 ~ 868.0 MHz, 0.5 watt ERP, LBT level -90 dBm

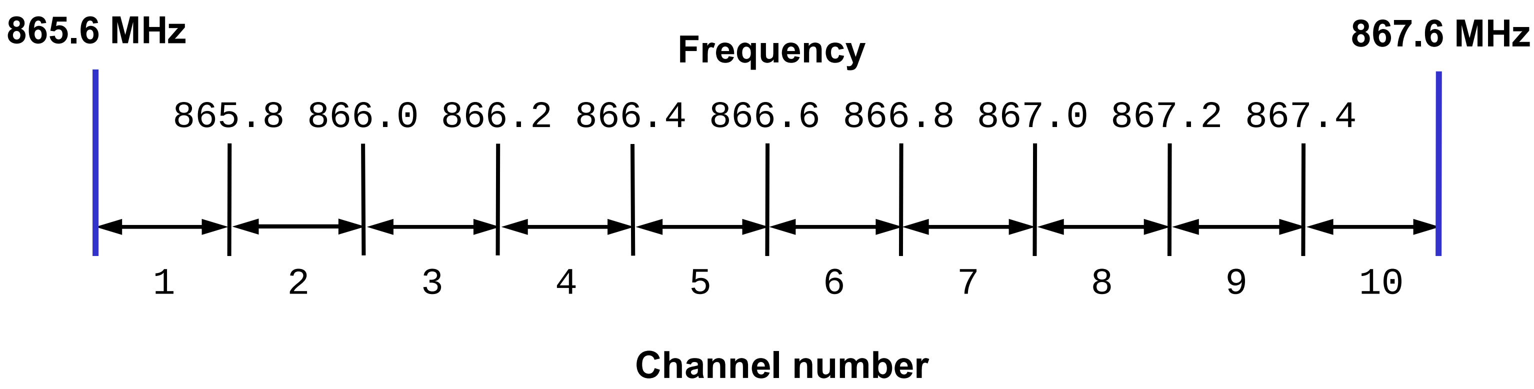


* LBT = Listen Before Talk

Global Regulatory Situation

Europe

- Supply chain Tags will mostly operate in the band 2:
  - 865.6 ~ 867.6 MHz (ETSI EN 302 208 regulations)
  - Comes into effect when published in EU Journal (May 05?)

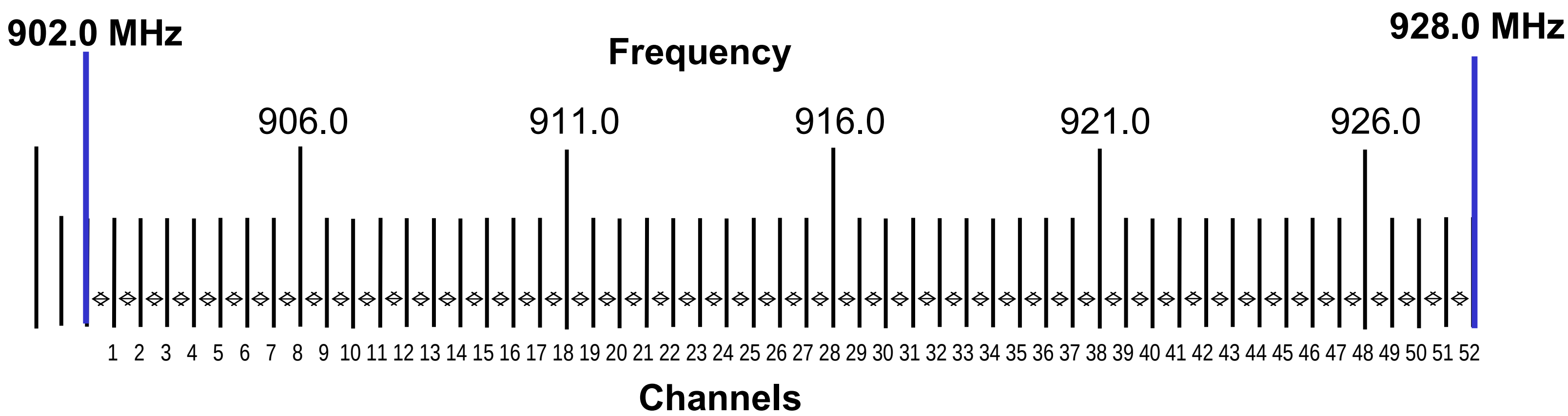


  - 10 channels of 200 kHz @ 2W ERP (3.2W EIRP)

Global Regulatory Situation

North America

- Tags are approved to operate in the following band:



  - 902 ~ 928 MHz (FCC Part 15.247 regulations)
  - Frequency Hopping – 52 channels × 500 kHz @ 4W EIRP

Reader Operating Environments

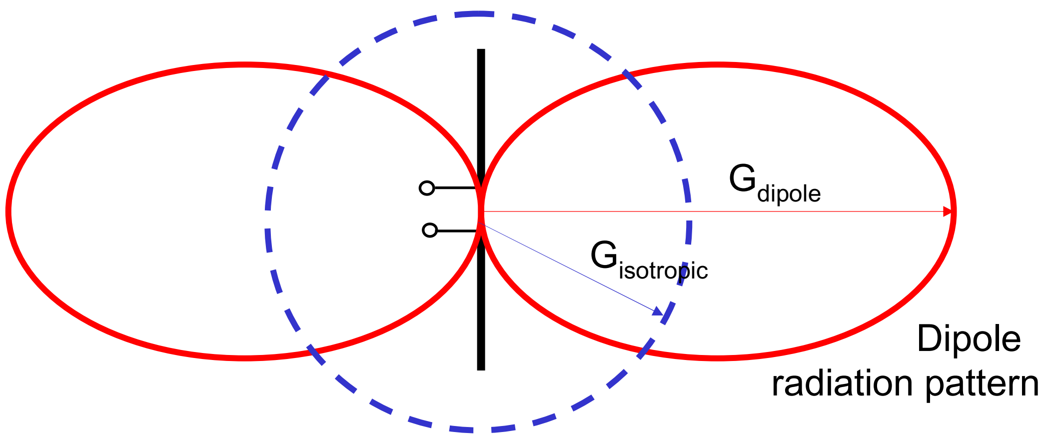
- **Listen Before Talk** (LBT)
  - Part of the European regulations, is Listen Before Talk. If a reader detects a signal in its environment, on the channel it intends transmitting, it must switch to another free channel. After 4 seconds it must turn its transmitter off for 0.1 seconds to allow other readers access to that channel.
- **Operating Environment**
  - This is defined are the zone within which the reader's RF signal is greater than -90 dB (a radius of approximately 1 Km).
- **Single Reader Environment**
  - When only a single reader is operating in an Environment.
- **Multiple reader Environment**
  - In such an Environment, the number of simultaneously operating readers, will be less than the available number of channels.

Reader Operating Environments

- **Dense Reader Mode**
  - When the number of readers operating is large when compared to the number of available channels, then this is defined as a Dense Reader Environment, e.g. 20 readers operating in 20 available channels.
  - In such an environment, certified readers must incorporate the schemes defined in the Gen 2 Specification to minimise mutual interference.
    - With the time synchronized technique, the readers all transmit together, then, while maintaining their CW, listen for the tag responses.
    - In the frequency separated method, Readers transmit on even numbered channels, while tags respond on odd numbered channels.
      - In this method the powerful reader signals (100dB greater than the backscattered signal) do not mask the tag signals
    - Tags have no frequency selection but respond to the strongest signal

ERP and EIRP compared

Regulations expressed in EIRP (equivalent isotropic radiated power) are based on the spherical radiation pattern of an isotropic emitter



Real antennas such as dipoles, do not radiate uniformly in all directions (e.g. no power is radiated along the axis).

ERP power levels relate to the dipole antenna, and the relationship between the gain of an isotropic and a dipole antenna is given by:

$$\mathbf{P_{EIRP} = P_{ERP} \times 1.64}$$

Thus the European limit of 2 W EIRP is equivalent to 3.28 W ERP (USA = 4 W ERP)

Global Comparison

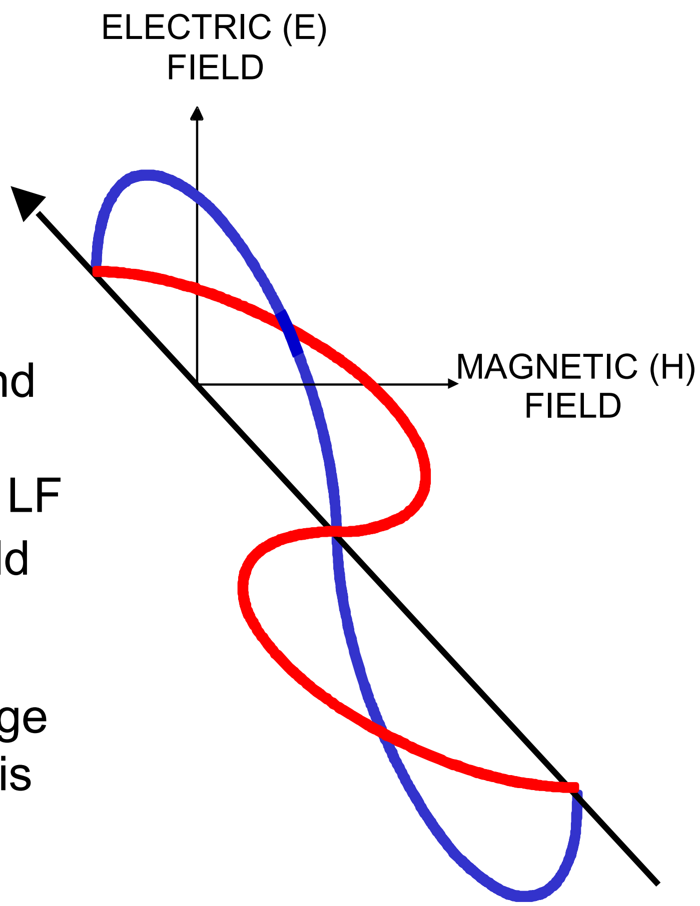
US and European regulations are roughly similar

- 2 W ERP is equivalent to 3.28 W EIRP and if you also compensate for the increased efficiency at 868 MHz, the two levels in Europe and North America are roughly the same.
- Unfortunately in Japan there is only 2 MHz and in Europe only 3 MHz of spectrum available, whereas in the USA it is 26 MHz.
  - This means the data rate between readers and Tags is much less in Europe.
  - The spectral mask imposed by the EU, limits data transfer rates to 30% of those possible in North America. (500 vs. 1500 reads/sec)
  - This may limit the speed of pallet loads on fork lifts passing through dock doors

System Overview

Power Transfer

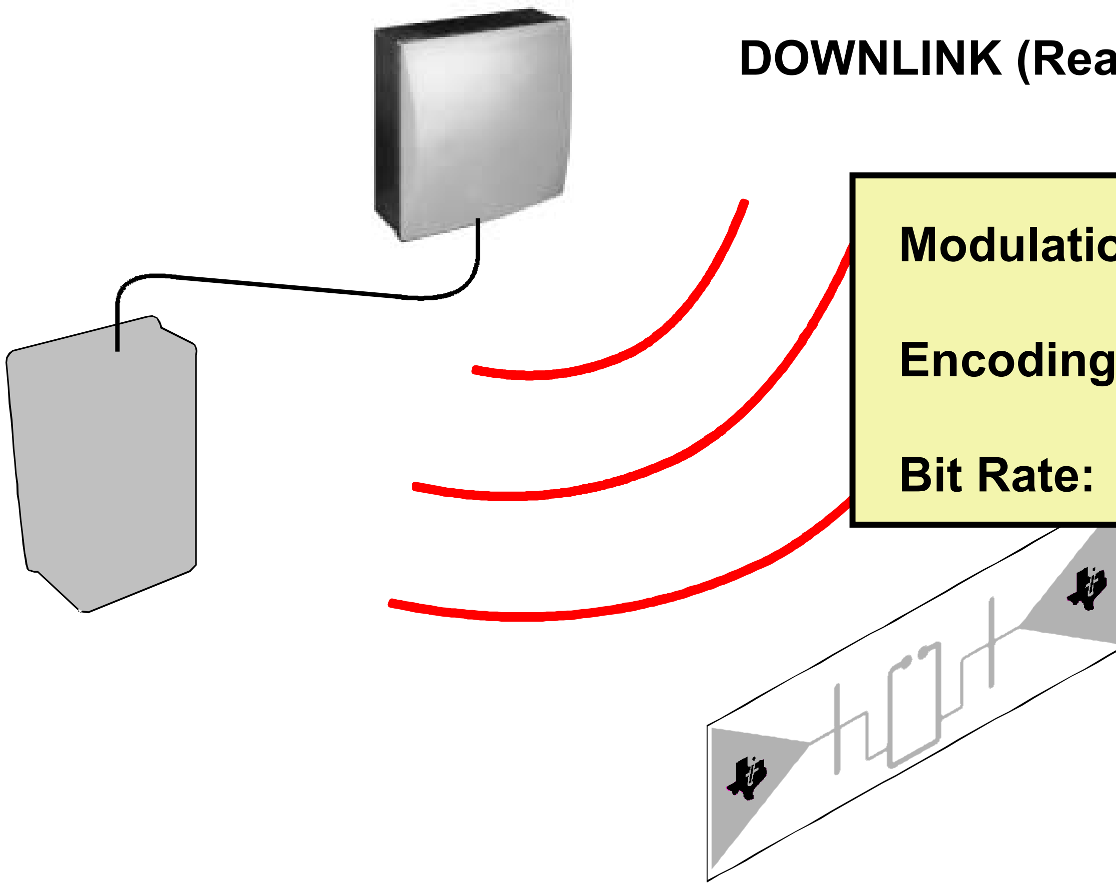
- Radio signals are electromagnetic waves, having a magnetic component (H-Field) and an electric component (E-Field)
- UHF systems use the **Electric field** and transfer power by capacitive coupling, achieving greater reading ranges than LF & HF Tags which use the magnetic field
- The Electric field results from the voltage changes occurring in the antenna and is measured in V/m or more commonly dBμV/m



Principles of Operation

Communication between Reader and Tag

DOWNLINK (Reader to Tag)



Modulation: ASK

Encoding: Pulse Interval

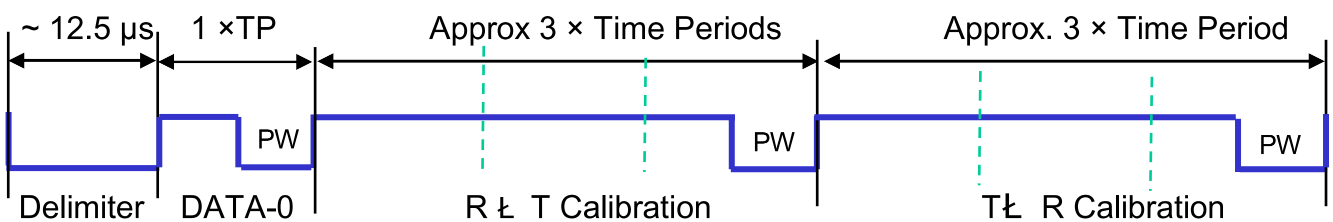
Bit Rate: 26.7 to 128 Kbits/s

Reader Ł Tag Modulation

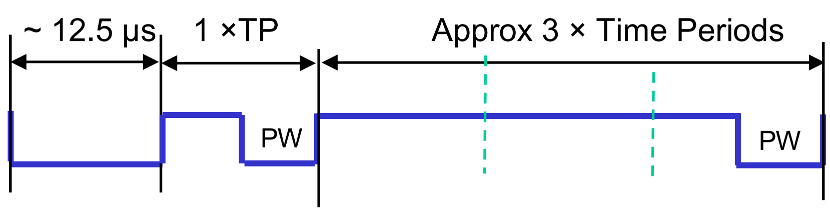
Pulse Interval Encoding (PIE)

- Data is passed to the Tag by pulsing the carrier wave (CW) at different time intervals to indicate the 1 & 0 bits.

- All Reader to Tag communication must start with a Preamble:



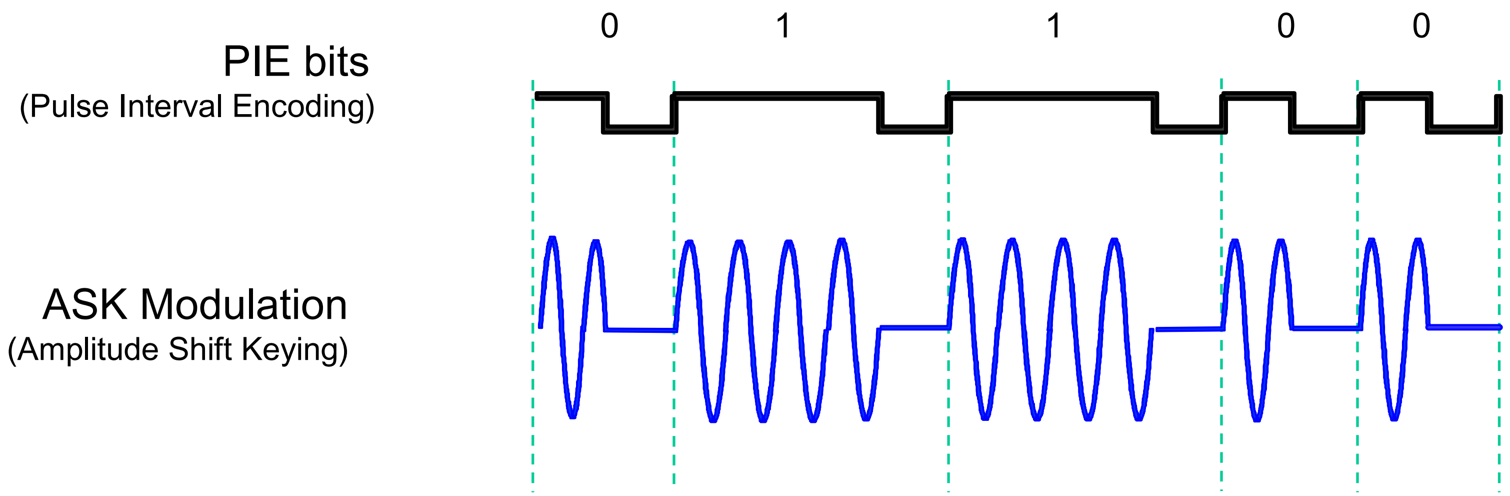
- Subsequent commands can use a Frame-Synch:



Note
No EOF is necessary

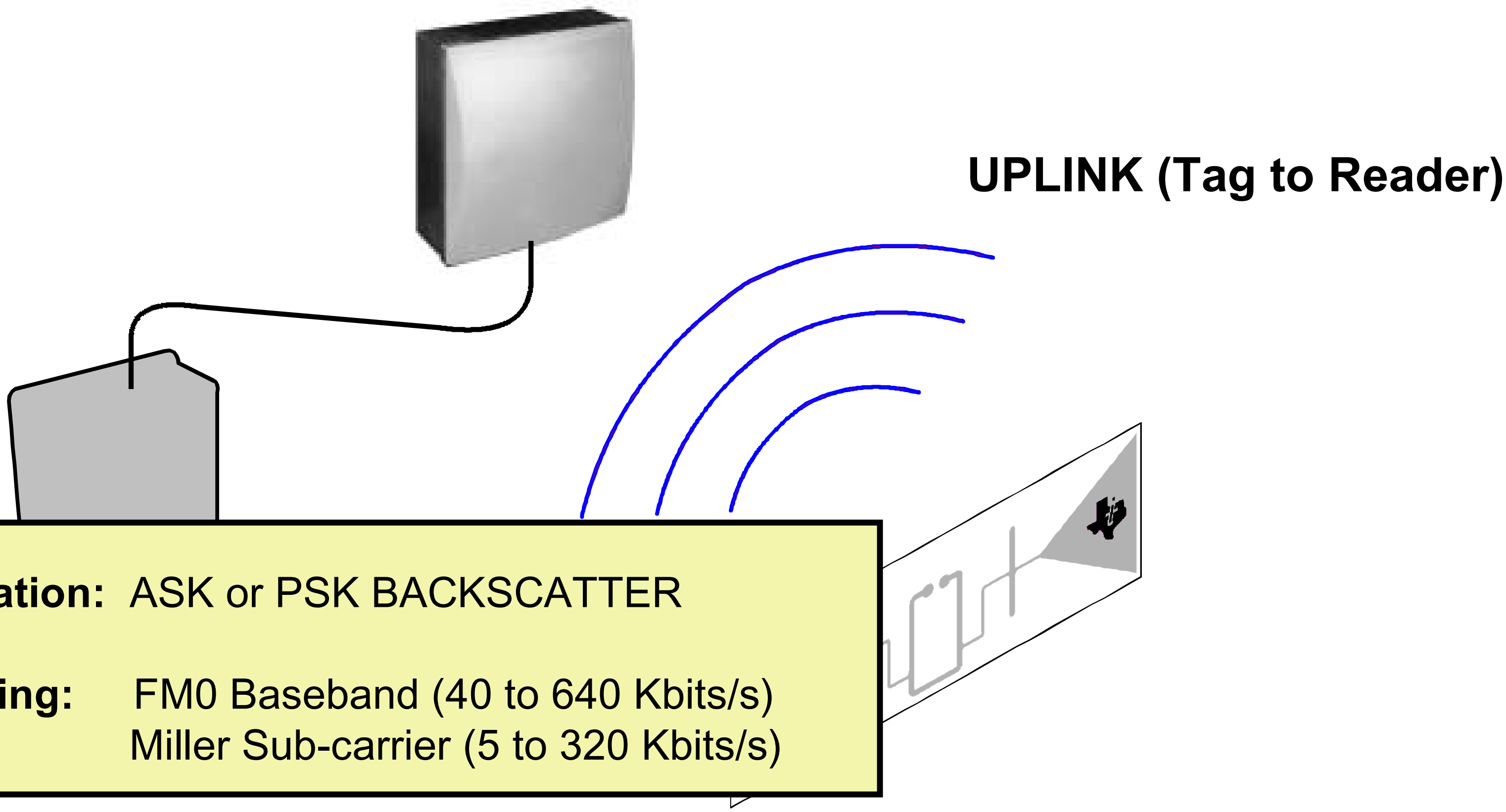
Principles of Operation

Reader to Tag Modulation



Principles of Operation

Communication between Tag and Reader



Modulation: ASK or PSK BACKSCATTER

Encoding: FM0 Baseband (40 to 640 Kbits/s)
Miller Sub-carrier (5 to 320 Kbits/s)

Backscatter

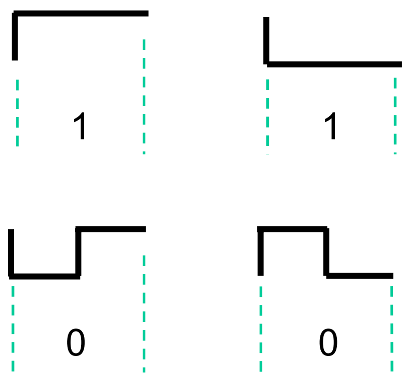
Tag to Reader Modulation

- The Tag uses Backscatter modulation to respond to a reader.   It does this by switching the reflection coefficient of its antenna (using a shunt circuit) from a matched load where the maximum reflected signal is created, to a short at the antenna terminals, which reduces the reflection.
- The reader instructs the Tag which method of data encoding to use when sending its data back:
  - Miller Sub-carrier encoding
  - FM0 Baseband encoding
- The Tag can use either of two modulation formats - the Tag manufacturer selects:
  - ASK (**A**mplitude **S**hift **K**eyed)
  - PSK (**P**hase **S**hift **K**eyed)

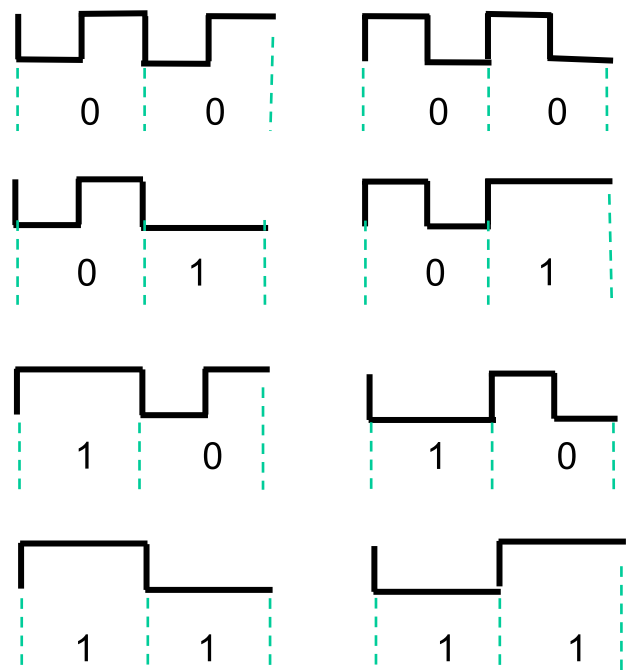
Principles of Operation - Uplink

Tag to Reader - FM0 Encoding

- In FM0 encoding, a transition has to occur at the end of each bit period, but for a zero bit, an addition transition in the middle is required.
  - FM0 Symbols



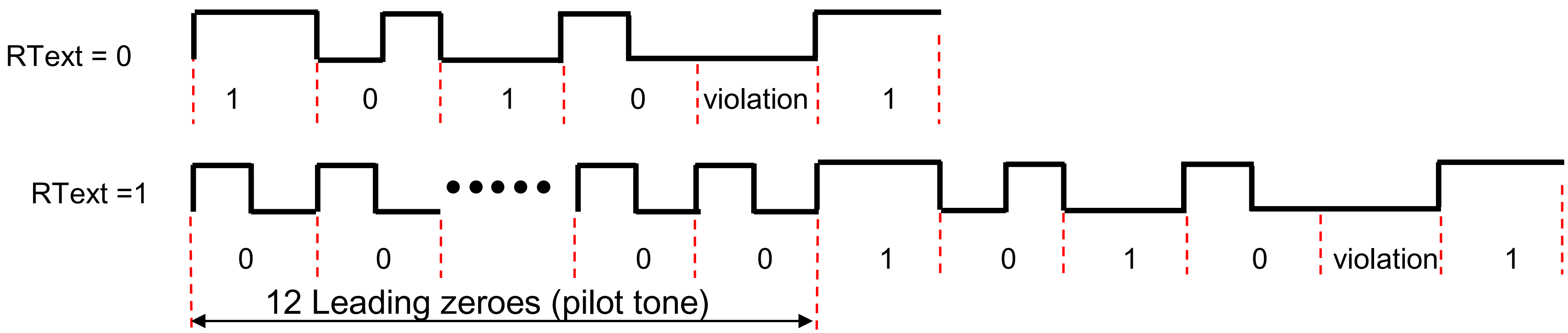
  - FM0 2-bit Sequences



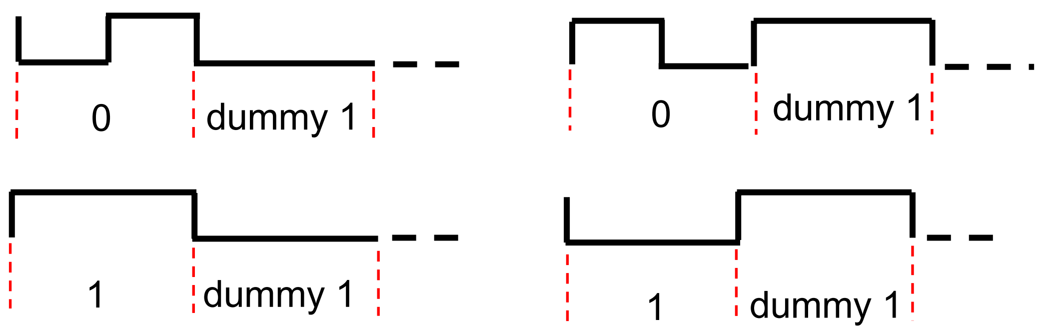
Principles of Operation - Uplink

FM0 Bit Encoding

- A FM0 message begins with one of these Tag to reader pre-ambles.



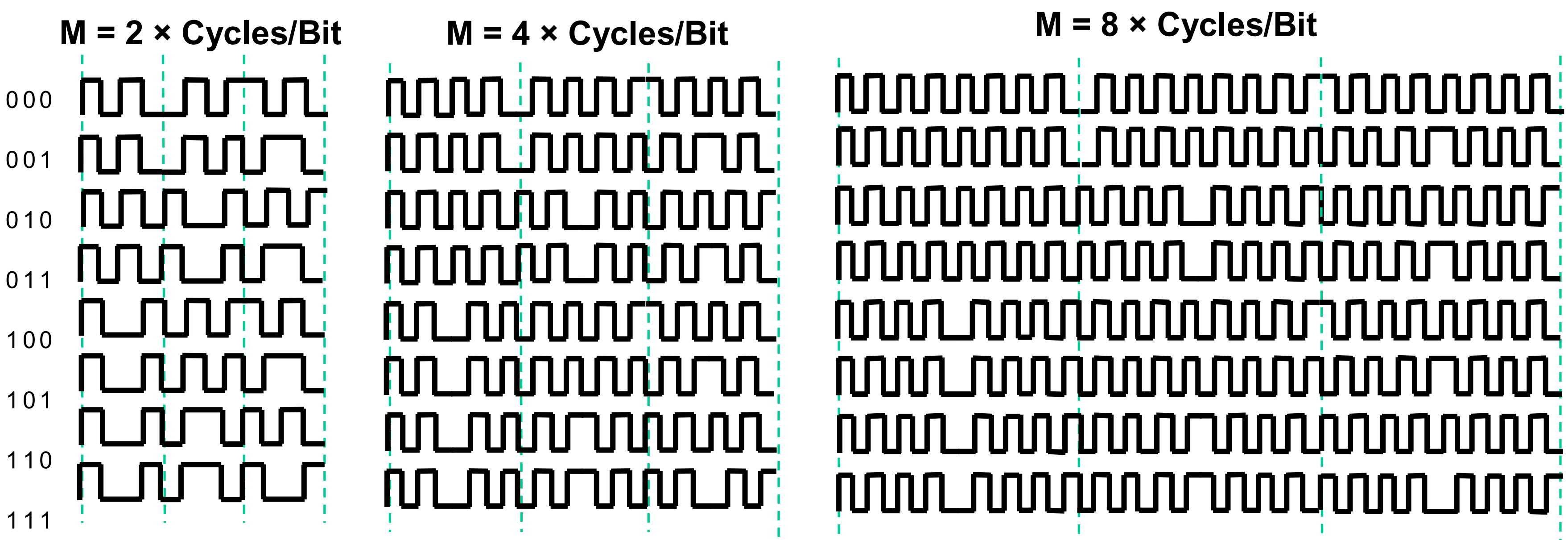
- and ends with one of these terminating sequences



Principles of Operation

Tag to Reader - Miller Encoding

- In Miller sub-carrier encoding, a transition occurs between two data-0s in sequence and also in the middle of a data-1. A Miller sequence can contain 2, 4 or 8 sub-carrier cycles/bit

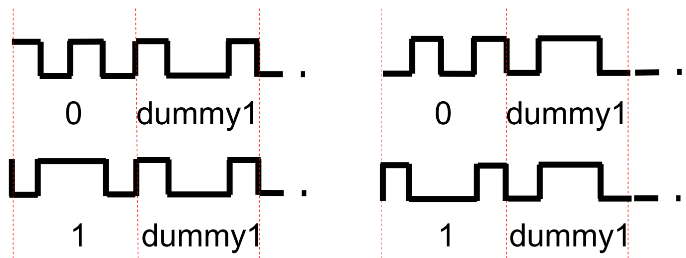


Note 'M' is a parameter in the Query command

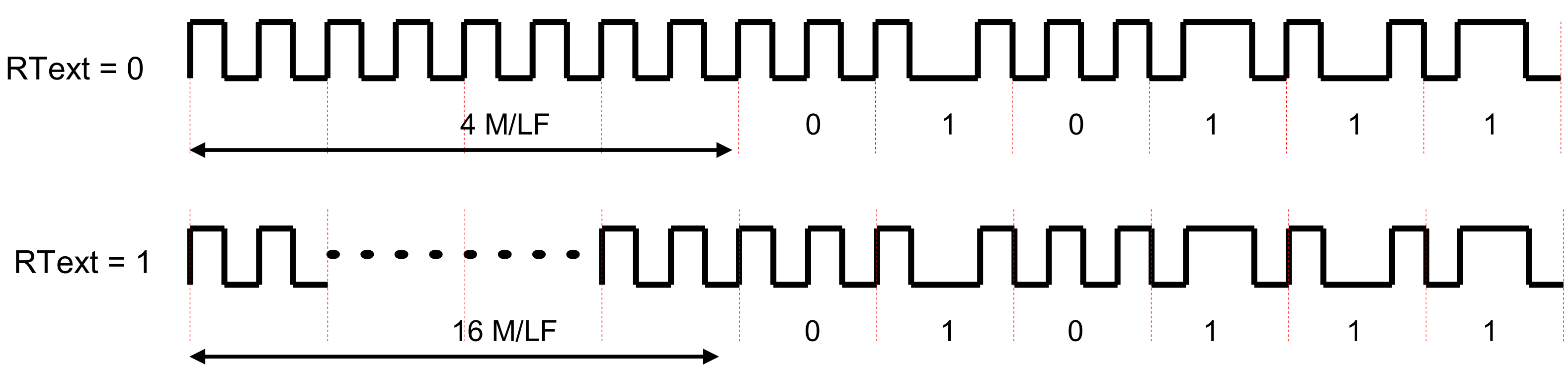
Principles of Operation

Miller Encoding – Preambles and endings

- A Miller sequence terminates with a dummy 1
  (only 2 cycles/bit are shown in the examples on this page)



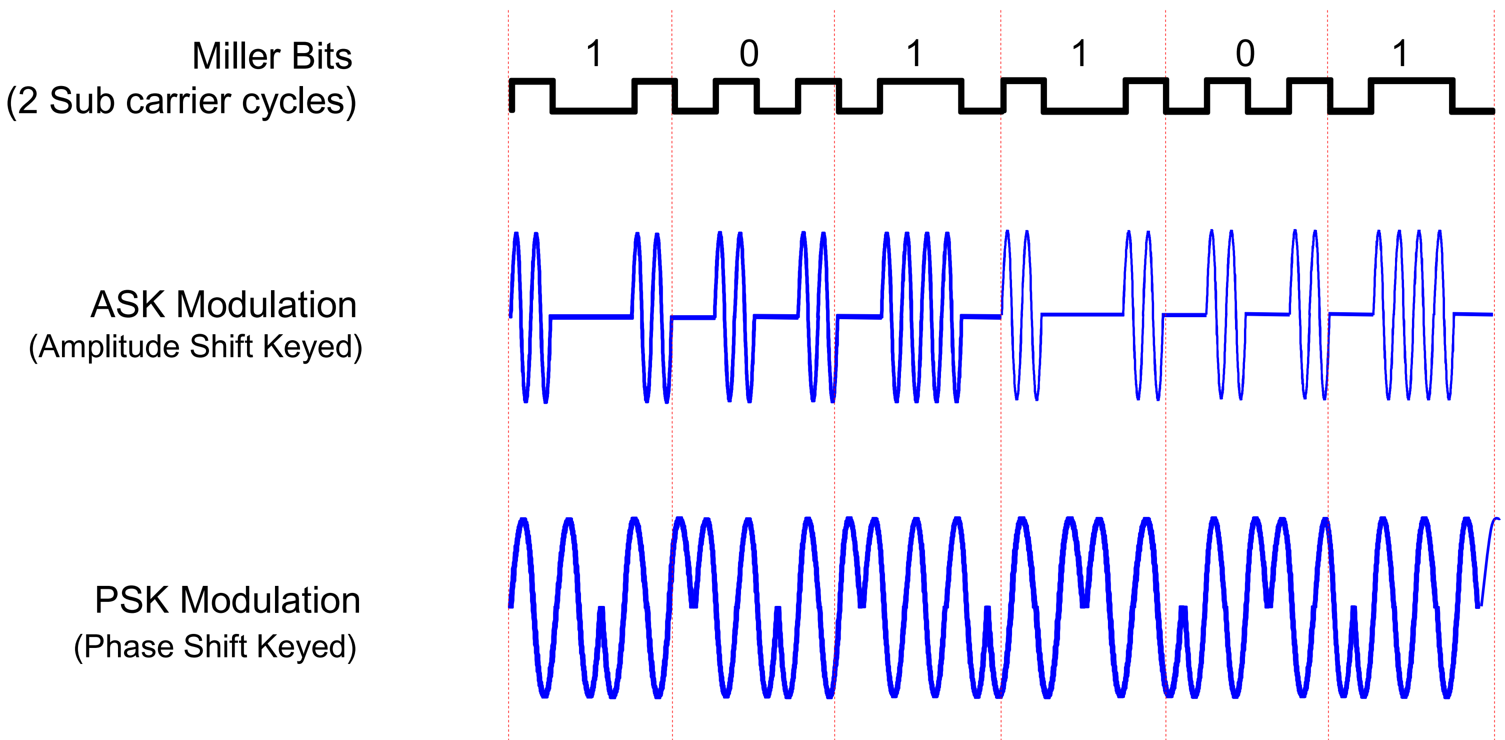
- There are 2 Miller Sub-carrier preambles. The *Query* command tells the Tag which to use.



Principles of Operation

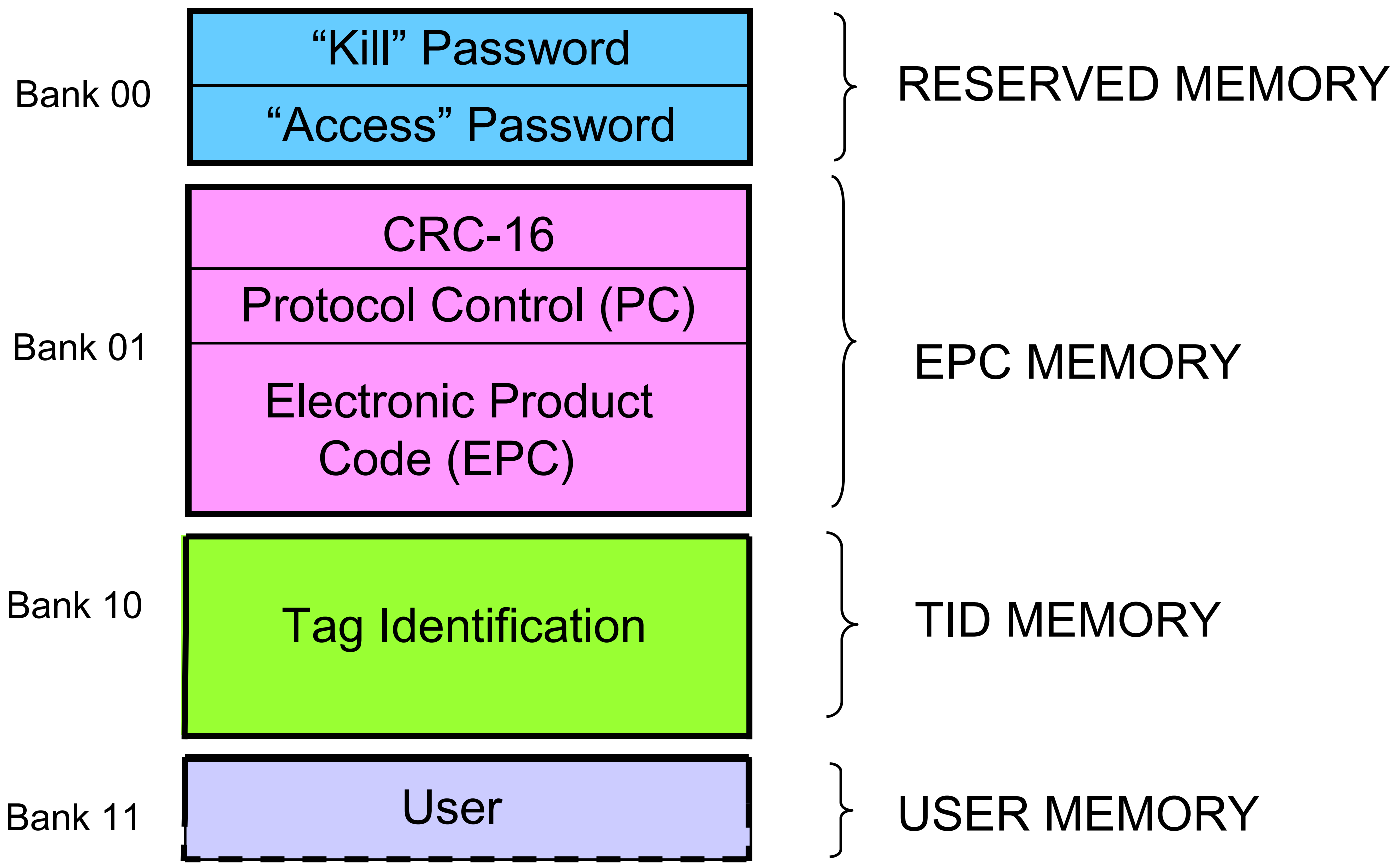
Tag to Reader Modulation

- The Tag uses either ASK or PSK modulation to return its data:
  (Miller encoding shown in example)



UHF Gen 2 Memory

Tags can have 4 banks of non-volatile memory



UHF Gen 2 Memory

Reserved Memory

- This area of memory holds the tag's passwords:
  - A 32-bit "Kill" password that allows a Tag to be permanently silenced.
    - The default Kill password value is zero
    - The *Kill* command will only execute if the password has been set, i.e. is non-zero
  - A 32-bit "Access" password that allows the Tag to transition to the *Secured* state
    - A Tag in the *Secured* state can execute all *Access* commands, including writing to locked blocks.
- Reserved memory can be read-locked.

UHF Gen 2 Memory

EPC Memory

- This memory area contains:
  - A 16-bit CRC calculated on the PC and EPC
    - The actual data is the 1's complement of the published CRC-16 definition.
  - A 16-bit Protocol Control (PC):
    - 5-bits giving the length of the PC + EPC
    - 2-bits RFU (00_2)
    - 9-bits for a Numbering System Id (NSI)
      - Which may contain an EPCglobal™ header
      - or an AFI as defined in ISO 15961
  - An EPC
    - The electronic product code of the object to which the Tag is attached

UHF Gen 2 Memory

TID Memory

- This area of memory contains:
  - An 8-bit ISO 15963 allocation class identifier
    - For EPCglobal Tags it is 0xE2
  - A 12-bit Tag mask-designer ID
  - A 12-bit Tag model number.
  - Manufacturers can also include other information if required e.g. Tag serial number

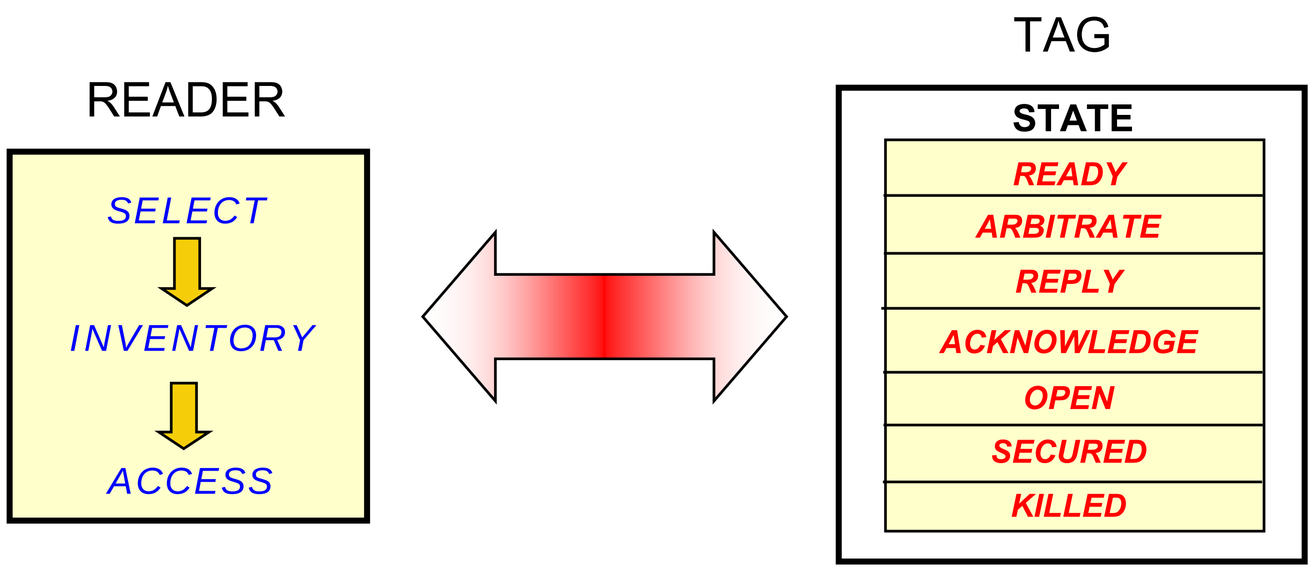
User Memory

- This optional area of memory contains user specific data:
  - The memory organization is user defined.

UHF Gen 2 Commands

Three basic operations manage Tag populations

- ***Select*** is used to determine which groups of Tags will respond.
- ***Inventory*** is used to identify (singulate) individual Tags from a group
- ***Access*** is used once Tags have been singulated and individual commands can now be addressed to them



Select Command

Tag Selection

- All Tags support four sessions (**S0**, **S1**, **S2** and **S3**).
  - A session is the inventory process between the reader and a population of tags. The reader chooses one session and inventories the Tags associated with that session.
  - Two or more readers can use sessions, to independently inventory a common Tag population.
  - For each of the four possible time-interleaved inventory sessions, Tags maintain an independent **inventoried** flag to keep track of their status.
  - Each of the four **Inventoried** flags has two values (**A** or **B**).
  - Sessions take place in sequence **NOT** simultaneously

Select Command

Select

- This command allows the reader to select those Tags that will take part in the next **Inventory** round:
- Included in the ***Select*** command string are the following parameters:
  - **Target** ……. The ***SL*** or ***Inventoried*** flag to select and if ***Inventoried*** which of the four sessions [**S0, S1, S2** and **S3**] to choose
  - **Action** ……. How matching Tags set [e.g. A Ł B] the flags
  - **Mask** …….. A bit string that the Tag compares to a memory location
  - **MemBank** .. The memory bank that Mask refers too [EPC, TID, User]
  - **Pointer** ……. A memory start location for Mask
  - **Length** …… The number of bits of memory for Mask
  - **Truncate** …. Instructs Tag to return whole EPC or part following Mask
- If 'Length' is zero, all Tags are considered matching
- By building up multiple ***Select*** commands the reader can define the exact Tag population that is to take part in the **Inventory**

Select Command

Select

- Tags must maintain **inventoried** and **SL** flag values (persistence times) even when power is lost, as shown in the table below:

Flag	Tag energised	Tag not energised
S0 **inventoried** flag	indefinite	none
S1 **inventoried** flag	500ms < persistence < 5s	500ms <persistence < 5s
S2 **inventoried** flag	indefinite	2s < persistence
S3 **inventoried** flag	indefinite	2s < persistence
Selected (**SL**) flag	indefinite	2s < persistence

- A reader can choose to inventory Tags with **SL** set or not set (**SL** or **~SL**) or ignore it.
- A ***Select*** command uses Frame-Synch
- Tags don't reply to ***Select*** commands

Inventory Commands

Inventory

- The **Inventory** process uses a slotted random anti-collision algorithm to determine which Tags are present and its command set includes *Query*, *QueryAdjust*, *QueryRep*, *Ack* and *Nak*.
  - *Query* is used to select Tags for the interrogation process and contains a slot-counter value (Q = 0 to 15)
  - *QueryAdjust* is used to decrement the Tag's slot-counter without changing any other parameters.
  - *QueryRep* is used to repeat the last *Query*. This is shorter (quicker) that issuing another complete *Query* command.
  - *Ack* is used to acknowledge a Tag response.
  - *Nak* is used to force a change of state back to *Arbitrate*

Inventory Commands

Query

- This **Inventory** command specifies and initiates the singulation process. It has the following parameters.
  - **DR** ….. (Divide Ratio) Sets the Tag to Reader frequency
  - **M** ……. Sets the Tag to Reader data rate and modulation format.
  - **TRext** . Determines whether or not, the Tag send the 'pilot tone'
  - **Sel** ….. Chooses which Tags are to acknowledge a Tag response.
- This command must send the encoding preamble, subsequent commands (***QueryAdjust, QueryRep, Ack, Nak***) use the frame delimiter

Inventory Commands

The Tag is a State machine.

- Once in an RF field, it will change to the ***Ready*** state, and on receiving a ***Query*** command will:
- Verify it is in the selected group and if so, roll a 2^Q -1 sided die.

  (Q is an integer in the range 0 ~ 15 passed with ***Query***)

- If a '0' is rolled, the Tag will immediately transition to the ***Reply*** state, backscattering a 16-bit (RN16) random number.
- The reader acknowledges with an ***Ack*** (containing the same 16-bit random number).
- This Tag now changes state to ***Acknowledged*** and backscatters its PC, EPC and the 16-bit CRC.
- A reader now sends a ***QueryAdjust*** causing the identified Tag to invert its **Inventoried** flag (AŁ B, or B A) and to transition to ***Ready*** state.

Inventory Commands

- If a non-zero value is rolled, the Tag will store that number in its slot-counter and change its state to *Arbitrate* and await further commands

- If more than one Tag responds, unless the reader can resolve the collision and send a valid *Ack*, each Tag will return to *Arbitrate.* These un-acknowledged Tags will again roll their dice and store the result.
- The reader now issues a *QueryAdjust* command which causes each unresolved Tag to decrement its slot counter
- Tags will reply when their slot counters get to zero
- At any point the reader can issue a *Nak* which forces all Tags back to *Arbitrate*.

Inventory Command

Reader sends out ***Query*** or ***QueryAdjust*** or ***QueryRep***

Query/ ***QueryAdjust***

The Tag responds if it rolls a 0 or its Slot-count is zero

RN16

and changes from ***Ready*** to ***Reply*** State

Reader acknowledges Tag with same 16-bit random number

Ack (RN16)

The Tag replies with its PC, EPC and CRC-16

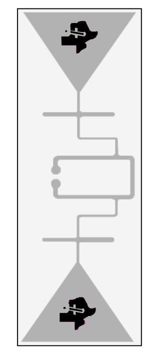
PC, EPC & CRC-16

and Changes from ***Reply*** to ***Acknowledged*** State

READER

Reader issues **Req_RN** with same 16-bit random number

Req_RN (RN16)



Tag

The Tag replies with a **handle**

handle (New RN16)

and changes from ***Acknowledged*** *to* ***Open*** or ***Secured*** State

Reader can now **Access** the Tag using **handle** as parameter

Command (**handle**)

Access Commands

Access

- Before Access commands can be used, a Req_RN (request random number) command is sent, to cause the Tag to transition from *Acknowledge* to *Open* (or *Secured* if its password is zero)
- The Tag will return a new authorizing random number (RN16) called the handle. The handle is a required part of the command string for the following *Access* commands.

Requirement	Command	Required state
Mandatory	– *Read*	*Open* or *Secured* state
Mandatory	– *Write*	*Open* or *Secured* state
Mandatory	– *Kill*	*Open* or *Secured* state
Optional	– *Access*	*Open* or *Secured* state
Optional	– *BlockWrite*	*Open* or *Secured* state
Optional	– *BlockErase*	*Open* or *Secured* state
Mandatory	– *Lock*	*Secured* state only

- Access commands *Write*, *Kill* and *Access* use encrypted data

Access Commands

Read

- This **Access** command allows the reader to access part or all of a Tag's Reserved, EPC, TID and User memory
- Included in the ***Read*** command string is the Tag's **handle** and:
  - MemBank ……. Memory type (Reserved, EPC, TID, User)
  - WordPtr ……… The starting address
  - WordCount ….. The number of words to read
  - CRC-16 ……… Checksum
- The Tag will indicate success, errors or failure (timeout) in its response

Access Commands

Write

- This **Access** command allows Tag memory locations to be changed. This command accesses Reserved, EPC, TID and User memory.
- As well as **handle**, included in the ***Write*** command string are
  - MemBank ….. Specifying the memory to access
  - WordPtr ……. The address to be accessed
  - Data ………… The 16-bit word to write
  - CRC-16 …….. Checksum
- A new **handle** has to be requested for each ***Write*** command
- Data is sent encrypted (link cover coding)
- The Tag's response will indicate success, error or failure

Access Commands

Kill

- This **Access** command will permanently disable a Tag.
- This is a multi-stage process; two ***Kill*** commands are sent:
  1. Containing the encrypted 16-MSBs of the kill password
  2. Containing the encrypted 16-LSBs of the kill password
- Before **each** ***Kill*** command a new **handle** is requested
- In response to the command, the Tag backscatters its **handle** and then never responds again.
- No response indicates the command failed
- If the ***Kill*** password is zero, the Tag cannot be 'Killed'

Access Commands

Lock

- This **Access** command allows a reader to:
  - Lock individual passwords, preventing subsequent reads or writes.
  - Lock individual memory banks, preventing subsequent writes.
  - Permalock (permanently lock) the lock status of passwords or memory banks
- Permalock bits, once set, cannot be changed
- The lock bits cannot be read directly but inferred by other memory operations
- The Tag will indicate success, error or failure (timeout)

Access Commands

Lock ….

- As well as ***handle***, the command has the following parameters:
  - A 20-bit **Payload** comprising **Mask** and **Action** bits
    - » **MASK** …. Which memory areas to select
    - » **Action** …. What action to perform on the selected memory

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
KILL		ACCESS		EPC		TID		USER		KILL		ACCESS		EPC		TID		USER	
MASK-BITS										ACTION-BITS									

  - A CRC-16 checksum
- The Tag has to be in ***Secured*** state for the command to be accepted

Access Commands

Access

- This optional command will allow a reader to transition a Tag with a non-zero access password, from an *Open* to a *Secured* state.
- This is a multi-stage process; two *Access* commands are sent:
  1. Containing the encrypted 16-MSBs of the access password
  2. Containing the encrypted 16-LSBs of the access password
- In response to the command, the Tag will indicate success, error or failure (timeout)

Access Commands

BlockWrite

- This optional command will allow a reader to write multiple blocks to a Tag's Reserved, EPC, TID or User memory.
- As well as handle, included in the BlockWrite command are
  - MemBank ….. Specifying the memory to access
  - WordPtr ……. The address to be accessed
  - WordCount … The number of 16-bit words to write
  - Data ………… The 16-bit words to write
  - CRC-16 …….. Checksum
- Data is **Not** sent encrypted
- The tag's response will indicate success, error or failure

Access Commands

BlockErase

- This optional command will allow a reader to erase multiple blocks to a Tag's Reserved, EPC, TID or User memory.
- As well as handle, included in the BlockErase command are
  - MemBank ….. Specifying the memory to access
  - WordPtr ……. The address to be accessed
  - WordCount … The number of 16-bit words to erase
  - CRC-16 …….. Checksum
- The tag's response will indicate success, error or failure

Security Features

Security

- A number of features work together to enhance the security of EPC Gen 2 tags
  - An Access password, is required before the tag can be transitioned to the *Secured* State. Only in this state can the *Lock* command be activated
  - The *Lock* command allows passwords and data to be Read and Re-Write protected
  - Link Cover-coding is used to scramble the data passed to the tag with the *Kill*, *Write* and *Access* Commands.