How Easy Is Local Search?

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Overview

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- Local Optima.
- Motivation.
- The Class PLS.
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- First PLS-Complete Problem.
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Search Problems

- Each instance is associated with a finite set of feasible solutions.
- Each feasible solution has a cost.
- Objective is to find a solution of minimum (maximum) cost.

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- Each feasible solution has a cost.
- Objective is to find a solution of minimum (maximum) cost.
- Define a "Neighborhood" for each solution.
- A solution is said to be locally optimal if there is no solution in its neighborhood with "better" cost.

Motivation

- Local search algorithms have been observed to be efficient in practice.
- The assumption that local optima are easy to obtain has never been challenged.

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- The assumption that local optima are easy to obtain has never been challenged.
- How easy is it to find a local optimum ?

The Class PLS - Polynomial-time Local Search

A PLS problem *L* can be a maximization or minimization problem:

- *L* has a set D_L of instances.
- For each instance $x \in D_L$ we have a finite set $F_L(x)$ of solutions, all with the same polynomially bounded length.
- For each solution *s* ∈ *F_L(x)*, we have a non-negative integer *cost c_L(s, x)* and also a subset *N(s, x)* ⊆ *F_L(x)* called the *neighborhood* of *s*.
- And the following algorithms must exist.

The Class PLS -Contd.

Polynomial-time algorithms $A_L, B_L \& C_L$ such that:

- Given an instance *x* ∈ *D_L*, *A_L* produces a particular standard solution $A_L(x) ∈ F_L(x)$.
- Given an instance x and a string s, B_L checks if $s \in F_L(x)$ and if so, computes that cost $c_L(s, x)$.
- Given an instance x and a solution s, C_L identifies an solution $s' \in N(s, x)$ with better cost if it exists OR reports that s is locally optimal.

Standard Local Search Algorithm

Inherent in the definition of a PLS problem, is the following algorithm:

- 1. Given x, use A_L to produce a starting solution $s = A_L(x)$.
- 2. Repeat until locally optimal: Apply algorithm C_L to x and s. If C_L yields a better cost neighbor s' of s, set s = s'.

Standard Algorithm Problem

Given x, find the local optimum s that would be output by the standard local search algorithm for L on input x.

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LEMMA 1. There is a PLS problem *L* whose standard algorithm problem is NP-hard.

Hence, general polynomial time algorithms for the standard algorithm problems, seems unlikely.

What About Some Local Optimum ?

LEMMA 4. If a PLS problem is NP-Hard, then NP=co-NP.

Finding *some* local optimum for a PLS problem L is an "easier" task than finding the local optimum that is output by the standard algorithm for L.

PLS Reducibility

A problem L in PLS is PLS-reducible to another, K, if there are polynomial-time computable functions f and g such that

- **1.** f maps instances x of L to instances f(x) of K.
- 2. g maps (solution of f(x), x) pairs to solutions of x.
- 3. For all instances x of L, if s is a local optimum for instance f(x) of K, then g(s, x) is a local optimum for x.

$$\begin{array}{ccccc} L & \leq_{pls} & K \\ & f \\ x & \longrightarrow & f(x) \\ & g \\ q(s), x) & \longleftarrow & (s, f(x)) \end{array}$$

PLS Reducibility -Contd.

LEMMA 5. If L, K, J are problems in PLS such that $L \leq_{pls} K$ and $K \leq_{pls} J$, then $L \leq_{pls} J$.

LEMMA 6. If L, K are problems in PLS such that $L \leq_{pls} K$ and if there is polynomial-time algorithm for finding local optima for K, then there is also a polynomial-time algorithm for finding local optima for L.

A problem L in PLS is said to be PLS-Complete if every problem in PLS is PLS-reducible to L.

Problem Definition: FLIP

FLIP: Given a circuit x with m inputs and n outputs, a solution in $F_{FLIP}(x)$ is any bit vector s with m components. It has m bit vectors of length m with hamming distance one from s as neighbors. The cost of solution s is defined as $\sum_{j=1}^{n} 2^{j} y_{j}$, where y_{j} is the j^{th} output of the circuit x with input s.

Algorithm A_{FLIP} returns the all-1 vector, B_{FLIP} (cost-computation) is straight-forward from above and C_{FLIP} returns the best of the *m* neighbors of *s* (ties broken lexicographically) if *s* is not locally optimal.

FLIP is a minimization problem.

First PLS-Complete Problem

THEOREM 1. FLIP is PLS-Complete.

So is MAXFLIP.

COROLLARY 1.1.

(a) The standard algorithm problem for FLIP is NP-hard.(b) There are instances of FLIP for which the standard algorithm requires exponential time.

Other PLS-Complete Problems

- GRAPH PARTITIONING under Kernighan-Lin neighborhood. Johnson, Papadimitriou & Yannakakis 1988.
- GRAPH PARTITIONING under the Swap neighborhood. Schäffer & Yannakakis 1991.
- MAX CUT under the Flip neighborhood. Schäffer & Yannakakis 1991.
- MAX 2SAT under the Flip neighborhood. Schäffer & Yannakakis 1991.
- **•** TSP under the *k*-opt neighborhood. Krentel 1989.
- TSP under the Lin-Kernighan neighborhood. Papadimitriou 1990.

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