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0001
 1
             STATEMENT UNDER OATH
                     OF
 3
                 SAMUEL KITTS
 4
 5
 6
     Taken pursuant to Notice by Miranda
 7
    D. Elkins, a Court Reporter and
 8
    Notary Public in and for the State of
 9
    West Virginia, at the U.S. Bankruptcy
    Court, 324 West Main Street,
10
11
     Clarksburg, West Virginia, on
12
     Thursday, March 23, 2006, at 8:46
13
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23
24
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25
     is prohibited without authorization
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          by the certifying agency.
0002
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2.5
0006
 1
               PROCEEDINGS
 2
        _____
 3
     MR. O'DONNELL:
 4
    My name is Joe
 5
       O'Donnell. I'm an accident
        investigator with the Mine
 6
 7
        Safety and Health
 8
       Administration, an agency of
9
        the United States Department
       of Labor.
10
11
     With me is James
12
       Crawford from the Solicitor's
13
        office, Mike Rutledge and Dave
        Stuart, with the West Virginia
14
       Office of Miners' Health,
15
16
       Safety & Training. I've been
17
       assigned to conduct an
       investigation into the
18
19
       accident that occurred at the
20
        Sago Mine on January the 2nd,
21
        2006, in which 12 miners died
22
        and one was injured.
23
     The investigation is
24
       being conducted by MSHA and
25
        the West Virginia Office of
0007
       Miners' Health, Safety &
1
 2
       Training, to gather
 3
        information to determine the
 4
       cause of the accident. And
 5
       these interviews are an
 6
        important part of the
 7
        investigation.
 8
    At this time the
9
       accident investigation team
10
        intends to interview a number
       of people to discuss anything
11
12
       that may be relevant to the
13
       cause of the accident. After
       the investigation is
14
15
       completed, MSHA will issue a
16
       written report detailing the
17
       nature and causes of the
18
       accident.
19
    MSHA accident reports
20
       are made available to the
       public in the hope that
21
        greater awareness about the
22
23
        causes of accidents can reduce
```

```
24
        their occurrence in the
25
        future. Information obtained
8000
       through witness interviews is
1
 2
       frequently included in these
 3
       reports. Your statement may
 4
       also be used in other
 5
        enforcement proceedings.
    I'd like to thank you
 6
 7
        in advance for your appearance
 8
       here today. We appreciate
9
       your assistance in this
10
       investigation. The
11
       willingness of miners and mine
12
       operators to work with us is
13
       critical for our goal of
       making the nation's mines
14
15
       safer. We understand the
       difficulty for you in
16
17
       discussing the events that
18
       took place, and we greatly
19
       appreciate your efforts to
       help us understand what
20
21
       happened.
22
     This interview with Mr.
23
       Sam Kitts is being conducted
24
        under Section 103(a) of the
25
       Federal Mine Safety and Health
0009
1
       Act of 1977, as part of an
       investigation by the Mine
2
 3
       Safety and Health
 4
       Administration and the West
 5
       Virginia Office of Miners'
 6
       Health, Safety & Training into
7
       the conditions, events and
8
       circumstances surrounding the
9
       fatalities that occurred at
10
       the Sago Mine owned by
11
       International Coal Group in
       Buckhannon, West Virginia on
12
13
       January the 2nd, 2006.
14
     This interview is being
15
       conducted at the U.S.
16
       Bankruptcy Courthouse in
17
       Clarksburg, West Virginia on
       March 23rd, 2006. Questioning
18
19
      will be conducted by
20
       representatives of MSHA and
21
       the Office of Miners' Health,
       Safety & Training.
22
23
    Mr. Kitts, ---
24
     MR. KITTS:
25
     Yes.
0010
    MR. O'DONNELL:
1
 2
     --- the interview will
 3
       begin by my asking you a
 4
       series of questions. If you
 5
       do not understand a question,
```

6 please ask me to rephrase it. 7 Please feel free at any time 8 to clarify any statements that 9 you make in response to the 10 questions. After we've finished 11 12 asking questions, you will 13 have an opportunity to make a 14 statement and provide us with 15 any other information that you believe may be important. If 16 17 at any time after the 18 interview you recall any 19 additional information that 20 you believe may be useful in the investigation, please 21 22 contact Mr. Richard Gates at 23 the phone number or e-mail 24 address that we will provide 25 to you. 0011 Your statement is 1 2 completely voluntary. You may 3 refuse to answer any question 4 and you may terminate your interview at any time. If you 5 need to take a break for any 6 7 reason, please let me know. 8 A court reporter will 9 record your interview and will 10 later produce a written 11 transcript of the interview. 12 Please try and respond to all 13 the questions verbally since 14 the court reporter cannot 15 record nonverbal responses. 16 Also, please try to keep your 17 voice up. Copies of the 18 written transcripts will be 19 available at a later time. 20 If any part of your 21 statement is based not on your 22 own firsthand knowledge but on 23 information that you learned 24 from someone else, please let us know. Please answer each 25 0012 1 question as fully as you can, including any information you 2 3 have learned from someone 4 else. 5 We may not ask the 6 right question to learn the 7 information that you have, so do not feel limited by the 8 9 precise question asked. If 10 you have information about the 11 subject area of a question, 12 please provide us with that 13 information. 14 At this time, Mr.

```
Rutledge, do you have anything
15
16
        you'd like to add on behalf of
        the State of West Virginia?
17
     MR. RUTLEDGE:
18
19
    Yeah. I just would
20
        like to thank you for being
        here again. I'm Mike Rutledge
21
22
        with the Office of Miners'
       Health, Safety & Training.
23
24
       The Office of Miners' Health
25
        Safety & Training is
0013
 1
        conducting this interview
 2
        session jointly with MSHA as
 3
        in agreement with the
        procedures as outlined by Mr.
        O'Donnell for these
 5
 6
        interviews.
 7
     The Director, does,
       however, reserve the right, if
 8
 9
        necessary, to call or subpoena
10
        witnesses or require the
       production of any record,
11
        document, photograph or other
12
13
        relevant materials necessary
        to conduct this investigation.
14
15
        Thanks.
     MR. O'DONNELL:
16
     Mr. Kitts, are you
17
18
       aware that you may have a
19
        personal representative
20
        present during the taking of
21
        this statement?
     MR. KITTS:
22
23
     Yes.
24
     MR. O'DONNELL:
25
     And do you have a
0014
 1
        representative with you today?
 2
     MR. KITTS:
 3
    Yes.
    MR. O'DONNELL:
 4
 5
    And who might that be?
    MR. KITTS:
 6
 7
    Marco Rajkovich.
     ATTORNEY RAJKOVICH:
 8
 9
     Rajkovich (corrects
10
        pronunciation.) Yes. And let
11
        me say that Mr. Kitts is here
12
        in his personal capacity.
13
        He's not authorized by the
14
        company to speak on behalf of
        the company, but he's here to
15
        testify to any facts that he
16
17
        may recall.
     MR. O'DONNELL:
18
19
     Okay.
20
     ATTORNEY RAJKOVICH:
21
     I'm assuming everybody
22
        in the room here is part of
```

23 the investigative team? 24 MR. O'DONNELL: 25 Yes, they are. 0015 1 ATTORNEY RAJKOVICH: 2. Okay. Thank you. 3 MR. O'DONNELL: 4 Now, do you have any 5 questions regarding the manner in which we'll conduct the 7 interview? MR. KITTS: 8 9 No. MR. O'DONNELL: 10 Okay. Would you please 11 12 swear in Mr. Kitts? 13 ______ 14 SAMUEL KITTS, HAVING FIRST BEEN DULY SWORN, TESTIFIED AS FOLLOWS: 15 -----16 17 BY MR. O'DONNELL: 18 Q. Please state your full name 19 and spell your last name for us. 20 A. Samuel Ralph Kitts, K-I-T-T-S. Q. And your address and telephone 21 22 number? A. 6002 Pinnacle View Road, 23 24 Hurricane, West Virginia 25526. 25 304-562-0409. 0016 1 Q. Are you appearing here voluntarily? 3 A. Yes. Q. Okay. How many years of 5 mining experience do you have, Sam? A. Twenty (20). 7 Q. And could you give us a brief 8 description of your employment 9 background? 10 A. I have experience as a staff technical worker, in the form of 11 doing geologic reports and 12 13 evaluations. I then went into a management trainee program, gained 14 15 operational experience. At the conclusion of that trainee program, 16 17 I've been in various management 18 positions for approximately ten years. 19 20 Q. And what's your present 21 position? 22 A. I am senior vice-president of 23 operations for the West Virginia and 24 Maryland region for International 25 Coal Group. 0017 1 Q. And how long have you worked in that position, Sam? A. About 11 months now. 3 4 Q. And what do you do at that position? I mean, what are your ---

what's your job description? What do 7 you do? 8 A. As vice-president of 9 operations, I have five general 10 managers from the business units spread out in my region reporting 11 12 directly to me. In addition, I have a financial analyst and a human 13 resources' manager that report to me. 15 My position is primarily management 16 and oversight of the production and 17 processing of coal. Q. And where is your office 18 19 located? 20 A. Ashland, Kentucky. 21 Q. How long have you been employed by ICG? 22 A. Eleven (11) months. 23 2.4 Q. Eleven (11) months. And all of it is at the Sago Mine? 25 0018 1 A. No, I'm not employed at the 2 Sago Mine. 3 Q. I mean, how much time do you 4 spend at the Sago Mine, roughly? 5 A. In those 11 months prior to --- well, prior to January 2nd, I was 7 probably at the Sago Mine maybe three 8 times. 9 Q. Okay. Could you please 10 describe the corporate structure of ICG? 11 12 A. The corporate structure in terms of companies or people or ---? 13 14 I'm not sure I understand what you're 15 asking. Q. Starting at the top, like your 16 17 parent company and your subsidiaries and ---. 18 19 A. Okay. Relative to Sago? 20 Q. Yes. A. You would have --- Sago is 21 22 part of Wolf Run Mining Company, 23 which is a subsidiary of Hunter Ridge 24 Mining Company. Hunter Ridge is a 25 subsidiary of ICG, Inc., ---0019 1 Q. Okay. 2 A. --- I believe. We are a new 3 company and our corporate structure 4 is being modified currently, so 5 that's what --- to the best of my 6 knowledge, that's the structure as it 7 stands today. 8 Q. Okay. So Wolf Run is the 9 parent company? A. Sago is --- Sago Mine is part 10 11 of Wolf Run. Q. Okay. And ICG owns Wolf Run? 12

A. No. Wolf Run is not a direct

- 14 subsidiary of ICG.
- 15 Q. It is not?
- 16 A. That would be Hunter Ridge.
- 17 Q. Hunter Ridge. Okay. So who
- 18 would be your supervisor?
- 19 A. Ben Hatfield.
- Q. Ben Hatfield. Who is his
- 21 supervisor?
- 22 A. Ben is the president and CEO
- of ICG, so it would be the Board of
- 24 ICB, I would imagine.
- Q. Right. So what ICG entity was
- 0020
- 1 considered by ICG as being the mine
- 2 operator at the time of the January 2
- 3 explosion?
- 4 A. I'm not sure I know the answer
- 5 to that question. On January 2 we
- 6 were in the process of incorporating
- 7 the former Anker Energy properties
- 8 into ICG. I'm not sure.
- 9 Q. Okay. So it was in a
- 10 transition period?
- 11 A. Yes.
- 12 Q. And Anker Mining was the
- 13 previous owner?
- 14 A. The previous owner of Sago, I
- think, would have been Anker Mining
- of West Virginia.
- 17 Q. And do you have any knowledge
- 18 of that company, how it was
- 19 structured?
- 20 A. Very little.
- Q. What little bit do you know?
- 22 Did you ever work for Anker?
- 23 A. No, sir.
- Q. Okay. Do you know when Wolf
- 25 Run Mining Company, the present mine 0021
- operator, became the mine operator?
- 2 A. Not an exact date, no.
- 3 Q. Do you have any idea of when
- 4 about?
- 5 A. I would say it would have been
- 6 sometime after --- sometime in late
- 7 November or early December, possibly.
- 8 The official date, I believe that
- 9 Anker became part of ICG was November
- 10 11th, I believe.
- 11 Q. Do you have any idea why Anker
- 12 was changed to Wolf Run as the
- 13 operator?
- 14 A. No, I was not involved in that
- 15 decision.
 - Q. Okay. And both entities were
- and are owned by ICG; is that right?
- 18 A. Yes.

- 19 Q. Okay. Thank you. Were you
- there the day of the accident?
- 21 A. January 2nd?
- 22 Q. Yes.

A. Yes. 23 24 Q. Tell us how you learned of the 25 explosion at Sago Mine. 0022 1 A. I received a telephone call 2 from Chuck Dunbar, notifying me that 3 there was a problem at the Sago Mine. 4 Q. Could you recall what time 5 that was? A. Yes. It was 8:30 a.m., 6 7 January 2nd. Q. And what kind of information 9 did he tell you? A. He told me that there had been 10 11 --- something happened underground 12 that could possibly have been an explosion. That there were 18 people 13 unaccounted for. That one crew had managed to get outside, but others 15 16 had gone inside to investigate. 17 at the time I spoke with him, they 18 were not exactly sure how many people were underground, but he thought the 19 20 number was approximately 18. 21 Q. Okay. So could you just go 22 through and try to retrace your 23 activities that you did, following 24 the time that you learned of the ---25 when you were notified, until when 0023 1 the rescue recovery operation was 2 completed? 3 A. Could you repeat that, please? 4 Q. Okay. From the time that you 5 were notified, that he told you that 6 they had a problem at the mine, just 7 tell me, what time did you get at the mine. And just run through the 9 activities that you were involved in. 10 A. Up 'til? Q. 'Til it was over. 11 12 A. Okay. Upon notification, I 13 attempted to contact my supervisor, 14 who is Ben Hatfield, who did not 15 answer his home phone, did not answer 16 his cell phone. I left messages at 17 both places. Upon being unable to contact 18 19 him, I prepared to make the trip to 20 the mine. I contacted another ICG vice-president, Gene Kitts, who is my 2.1 brother, and asked him that since I 2.2 was going to be traveling, knowing I 23 24 would have sporadic cell service, to 25 contact the other members of senior 0024 1 management. 2 While I was getting ready to leave, I did receive a call from 3

Johnny Stemple. I informed Johnny

5 that I had already been notified by 6 Chuck and I was on my way there. 7 I left my home approximately 8 9:15, traveled to the Sago Mine site. 9 Made various phone calls, when I had 10 service, on the way, trying to get 11 updates and trying to communicate the 12 situation to other members of senior 13 management. That's when I first got a call from Ben Hatfield and briefed 14 15 him on what I knew, which was very 16 limited at that point. 17 I then arrived at the mine 18 approximately a quarter 'til noon on January 2nd. I tried to assess the 19 20 situation. Chuck Dunbar was on site and was coordinating the efforts 21 22 there on ICG's part. MSHA personnel 23 and State personnel were already on 24 site when I arrived. 25 The first issue that came up 0025 1 was the elevated levels of carbon 2 monoxide at the mine site itself, at 3 the mine office. There was discussions under way to evacuate the 4 5 office to another location. There 6 were family members present at the 7 mine office at that time as well. 8 So there around noon, the 9 focus was me trying to assess the 10 situation. At this point, I was 11 still under the understanding that 12 the mine superintendent and several others were still unaccounted for. 13 14 So my first action was to determine 15 how many people were unaccounted for. 16 It was several minutes before I was able to determine that Jeff Toler 17 18 had, indeed, come back outside with 19 the other men. At that time, we had 20 13 people unaccounted for. 21 So that afternoon I was 2.2 helping coordinate the response. 23 Some of the tasks that I was 24 performing was working with Tim 25 Martin on staging the mine rescue 0026 teams. Tim pretty much stepped into 1 that position of being the 2 3 coordinator of staging the teams. 4 Also, very early in the process, as 5 part of relocating the families to 6 the church, I went over to the church 7 approximately one o'clock and gave the first briefing to the family 9 members as to what the situation was. 10 I went back to the mine site. MSHA had issued a K Order. The K 11 Order was in place when I arrived and 12 13 we were in the process of monitoring

14 the gases coming out the return at 15 the portal location. 16 The focus at that point was, 17 on my part, determining the critical 18 path to get mine rescue teams inside 19 the mine. There were other things 20 going on at the time that I was aware of but not involved in, including 21 putting down bore holes into the 22 mine. There was a mobilization 23 24 effort in place to get drills on site 25 and get the pads built and so forth, 0027 1 to facilitate that effort. 2 aware of those things but not really 3 involved. 4 There were issues with 5 producing maps from the engineering 6 side that I was aware of. I was 7 helping facilitate that effort. We 8 were considering options of plotting 9 maps, either in Morgantown or 10 Buckhannon, to speed the process because there was a large number of 11 12 maps required for mine rescue teams, 13 both in the briefings and for them to 14 carry underground. So there were a lot of 15 16 different efforts under way that 17 afternoon. But mostly I was focused on what needed to happen in order to 18 19 get people underground and start the 20 rescue effort. We were monitoring the gases. 21 22 The trend analysis was taking place. And it was late afternoon before the 23 24 decision was made by MSHA and --- I 25 guess primarily by MSHA that the 0028 1 trending analysis data had indicated that it was now safe to go 2. underground. 3 4 So there was some briefing 5 going on at that time with the mine rescue team captains. Finally got 7 the mine rescue team --- first mine rescue team underground a little 9 after five o'clock Monday afternoon. 10 At that point, the command 11 center had been established inside 12 the Sago Mine office. We had several individuals in there representing 13 ICG, along with the State and MSHA 15 that were overseeing the direct 16 movements of the mine rescue teams. That individual would have been Ty 17 18 Coleman at that time. 19 So at that point, I was 20 periodically going over and talking 21 with the families. So I would go in

22 the command center for that purpose, to collect information for the 23 24 briefings. I was also available to 25 help when decisions needed to be 0029 1 made. When our people felt like 2 there were issues, I would be 3 notified and get involved in those 4 issues. 5 So, again, there was a lot of varying efforts going on, and I was 6 7 doing my best to coordinate those 8 efforts. So that was my role through 9 the rescue effort, up until the time the --- to the conclusion of the 10 11 effort. 12 Q. So you weren't involved with 13 notifying the State, MSHA or anybody like that? Somebody else did that? 14 15 A. That's correct. 16 Q. Okay. You talked about the CO levels, the trending of the CO 17 18 levels. What were they, do you 19 recall? A. Fairly low at first. And I 2.0 think the maximum reading that I 21 recall was 2300, at the portal. 22 2.3 Q. At the portal? 24 A. At the return itself. 25 Q. Okay. 0030 1 A. There were times when the 2. levels were in excess of 600 in the 3 mine office. 4 Q. You said earlier about evacuating the mine office. Did you, 5 6 in fact, evacuate or withdraw some 7 people? 8 A. Yes. Some people were 9 withdrawn. I know the family members 10 were withdrawn at that time. There 11 were some people there who I'm not 12 sure who they were who did leave at that time. There was a good number 13 14 of people at the mine office there 15 when I first arrived. And then when we were discussing the evacuation, 17 several of those people left. How 18 many of them were related to the 19 family, I don't know. 20 Q. How high did you say it got 21 there? 22 A. I was told that the mine 23 office collected readings of over 600. 2.4 25 Q. Did that continue for some 0031 1 length of time or did it trend down 2 quickly? A. It started trending down. 3 don't know the rate that it was

5 trending, but it did trend down there 6 at the mine office. 7 Q. Okay. I'm just going to pop 8 down through some of these. Did you 9 notify any mine rescue team members? 10 A. No. Q. That was done by someone else? 11 You said Ty was --- Ty Coleman was 12 taking care of that, or was it ---? 13 14 A. Tim Martin. 15 Q. Tim Martin. 16 A. It was probably both. I think 17 there were several people trying to 18 make contact with mine rescue. 19 Q. Did you take any personal 20 notes during the period? 21 A. I jotted down just a few notes early on in the process. 22 Q. Were those notes asked for or 23 did ICG provide those notes to MSHA? 25 A. No. 0032 Q. Would it be possible if we 1 2 would request them that we could have 3 them? 4 A. Yes. 5 ATTORNEY RAJKOVICH: We'll take that under 6 7 advisement. 8 MR. O'DONNELL: All right. 9 10 BY MR. O'DONNELL: 11 Q. Were the telephones ever 12 inoperative at the mine? Did they 13 ever go down? 14 A. Not to my knowledge. 15 Q. Let's see here. You talked about bore holes. Could you tell us a little bit about if you had any 17 18 role in the drilling of them, site selection, any of that? 19 A. Very limited. I do recall a 20 21 conversation with Joe Myers, the 22 engineer on-site, concerning locating 23 a hole just on the map. We had a map 24 of the mine workings. And he and I had a discussion about where we could 25 0033 1 possibly place a drill. But it was relatively brief. And I think the 2 3 gist of the conversation was that we 4 needed to not necessarily hit a 5 particular spot in the mine, we just 6 needed to get the hole drilled 7 quickly. So whatever place on the surface would allow us to get started 9 the fastest was where we needed to 10 go. So it was a general conversation along those lines and that was about 11 12 the extent of it.

13 Q. What about the number of 14 drilling companies? I understand 15 several drilling companies offered assistance to Sago to drill holes. 16 Do you have any knowledge of the 17 18 process that was involved and who ---19 what drilling company was selected 20 and why? 21 A. No. 22 Q. And why others weren't? 23 A. No. The drill had already 24 been mobilized when I arrived. 25 Q. Well, who would have had the 0034 --- who would have selected that 1 drilling team, the drilling company? 2 A. I don't know the answer to 3 4 that. 5 Q. Okay. So you really --- as 6 far as the drill site, the drilling, 7 you weren't involved in any of that, only that brief description --- brief 8 9 conversation that you had? 10 A. Yes. Q. Do you know when they started 11 the drilling? 12 A. Precisely, no. 13 14 Q. Okay. Do you know if they had 15 any problems with the GPS surveying 16 grades? 17 A. At that time, I was told, and 18 passed that information along to the 19 families, that they were having a 20 difficult time getting the drill set. That translated --- it turns out, in 21 22 hindsight, that was a generalization 23 of the GPS surveying issues and the 24 site development. But in order for 25 me to give an update to the families, 0035 I asked the status of the drilling 1 2 and was told they were having a 3 difficult time getting the drill set. 4 Q. So about how long did it take 5 from the time that you guys decided 6 to drill that you actually started 7 drilling? A. Well, the decision to drill and mobilize the drill had already 9 10 been made when I arrived on the site. 11 Q. Okay. So that would have been 12 before lunchtime? 13 A. I would think, yes. 14 Q. And when did they actually begin drilling? 15 16 A. I'm not exactly sure of the precise time, but I do recall that 17 18 the first hole went into the mine 19 early morning of Tuesday the 3rd. Q. And the site selection was at 20 2.1 Second Left; isn't that right?

- A. Yes. 22 23 Q. And why did you decide to 24 drill into Second Left? 25 A. Based on what we knew at the 0036 1 time, we knew that the Second Left 2 crew was likely to have been inby the switch at the mouth of Two Left. So 3 not knowing the situation inside the 5 mine, whether we had a fire or not, 6 we felt like it was the best location 7 to drill toward the face of Two Left. Q. So were you part of the 8 9 decision-making process of when to 10 send the mine rescue teams in? You 11 said you were in the command center. 12 And you said that they finalized some of the decisions with you. So as the 13 command center was established, were 14 15 you an active participant in the 16 command center? A. Yes. 17 Q. So whenever a decision was 18 19 made to explore, when not to explore, 20 when to advance, when to withdraw, those were decisions that were made 21 22 by the group; is that right? A. Yes. 23 Q. So it would be representatives 24 25 of ICG, the State of West Virginia, 0037 1 Federal. And was there miners' 2 representatives at that time? 3 A. No. 4 Q. Okay. So those three entities 5 ultimately decided all of --- the 6 procedure that would be followed 7 during the exploration and recovery? A. Well, at certain milestones in 9 the process, written modifications to 10 the K Order would be submitted to MSHA and the State for approval. So 11 12 those requests were being generated 13 by ICG. And then there was an 14 approval process, with the regulatory 15 agencies on site. Now, that was for 16 the more significant items in the 17 plan, you might say. 18 The other decisions, the more 19 minor decisions involving the 20 movements of the mine rescue teams and so forth was done in conjunction 21 with all three agencies by the three 22 23 individuals in the command center. 24 Q. Okay. This process that you said about submitting changes to the 25 0038
 - file:///C|/Sago%20Transcripts/0323samuelkitts.txt (16 of 36) [4/12/2006 8:24:38 PM]

K Order, now, you know, it was your

mine and you were directing what you

wanted to do, but it was done as an

1

approval process? 5 A. Yes. Q. And some things that you 7 discussed --- I mean, it was in the form of a meeting, a discussion, 9 until you met a consensus? 10 A. It varied. If issues came up, 11 in terms of what was required, then 12 there were times when that would 13 result in a conference, if you will, a meeting of the parties, to resolve 14 15 the issue and put the modification 16 request changes in place. So the 17 issues were resolved and a new modification was submitted for 18 19 approval. 20 Q. So everything that happened 21 was agreed upon by all the parties in 22 the command center; is that right? 23 A. Yes. 24 Q. Okay. What would be the 2.5 difference between like a major 0039 1 request and one that didn't require one that was written? OFF RECORD DISCUSSION 3 BY MR. O'DONNELL: 5 Q. Okay. What were some of the 6 major modification requests that were 7 made? 8 A. The first example that comes 9 to mind is entering the mine itself. 10 Q. Okay. 11 A. The K was in place. In order 12 to modify the K to allow men to enter into the mine required a written plan 13 with approvals by MSHA and the State. 14 15 There were requirements. As I 16 recall, the plan was submitted and it 17 didn't have the gas readings attached. MSHA requested that the 18 19 gas readings be attached to the plan, 20 so those readings were obtained, 21 copied, attached to the plan and 22 resubmitted for approval. 23 This all happened just over 24 the course of minutes. But that's an 25 example of a written modification to 0040 the K. An example of decisions that 1 2 were made that were not written were 3 decisions such as how far to advance 4 the fresh air base. Those sorts of 5 decisions were agreed upon verbally by those in the command center. 6 7 Q. So were you actually involved in the decision in the command center 8 9 to systematically explore the mine from the portal? And who else might 10 have been involved in that decision? 11 12 A. I'm not sure I understand your

13 question. 14 Q. Okay. The exploration began at the portal. Now, all the parties 15 agreed to begin the exploration at 16 17 the mind portal and then advancing? A. Yes. 18 19 Q. So who would be the company person who would make that call, in 20 the command center? 21 22 A. I would imagine that would 23 have been Ty Coleman. 24 Q. Okay. So all of the 25 decisions, then, as far as 0041 1 exploration and rescue recovery were done by Ty? 3 A. Not all the decisions, no. Q. Okay. Who else would have 5 been involved in that? 6 A. A variety of people on ICG's 7 part, including Chuck Dunbar. He was 8 the GM. Johnny Stemple, one of our 9 safety managers. There were others. 10 Mostly people with mine rescue 11 experience and with --- acting in some capacity in the safety effort 12 13 for ICG. 14 Q. And did you have any --- at any time make any of those decisions? 15 16 A. Me personally? 17 Q. Yes. A. Not that I recall, no. 18 19 Q. Okay. A. I think we had an ICG 20 21 representative in the command center 22 at all times. Q. Do you know whether One Right 23 24 or Second Right were explored by the 25 teams as they progressed into the 0042 1 mine? 2 A. Are you talking about One 3 Right or One Left? 4 Q. One Right and Second Right. 5 A. One Right and Second Right. If they were explored, it was done on 6 7 a minimal basis. They were not thoroughly explored, no. 8 9 Q. Could you tell me why? What 10 was the reason why they weren't? A. Because at that time we were confident that the men who were 12 unaccounted for were further into the 13 mine. We believed that they were 14 15 inby the First Left switch somewhere, so we attempted to expedite the 16 17 search effort to that area as quickly 18 as possible.

group decision by the command center?

Q. So that, again, was also a

19

21 A. Yes. 22 Q. Okay. And also with the One 23 Left section, it was --- do you know 24 if One Left was explored before they traveled into the Second Left area? 25 0043 1 A. One Left was explored to a 2 limited extent. I recall the mine 3 rescue teams traveled a short distance up into One Left to evaluate 5 the situation before we advanced 6 further. 7 Q. And was that decision made based on the last bit of information you gave me about passing One Right? 9 10 A. Yes. 11 Q. Okay. Do you know if they explored into the old Second Left 12 13 seal area? 14 A. Yes. The decision was made to 15 inspect the seals prior to advancing into Two Left. 16 17 Q. And what was the reason? Why 18 did they do that? Was it suspected? 19 A. There was a concern that the air that was being directed toward 2.0 the faces of Two Left would have to 21 22 squeeze by those seals. So prior to 23 advancing into Two Left, it was 24 agreed to inspect those seals and 25 confirm that the ventilation would, 0044 1 indeed, be passing through or by 2 them. 3 Q. Would you like to take a short 4 break? 5 A. Sure. 6 SHORT BREAK TAKEN 7 MR. O'DONNELL: 8 Okay. Let's go back on the record. Mr. Rutledge, do 9 10 you have anything you'd like 11 to follow up on? MR. RUTLEDGE: 12 13 Yes. If either of you 14 all have any questions regarding these proceedings. 15 16 This is contact information from the State there. That 17 18 card is for Brian Mills, who's 19 the inspector at large of that 20 region. 21 BY MR. RUTLEDGE: 22 Q. I'd like to ask you ---. You 2.3 mentioned earlier about the mine 24 being changed from Anker to Sago or 25 to Wolf Creek, as a subsidiary. Do 0045 1 you have any knowledge of any state 2 permits that were transferred, any 3 permit information that was done with

the State of West Virginia? 5 A. It's my understanding that 6 there were several State permits 7 being transferred at the time. Q. Okay. That were being 9 transferred. You're not aware of 10 specifically whether it was still listed as Anker or still listed as 11 --- or had been changed to Sago with 12 13 the State? 14 A. No, I'm not aware. Q. Okay. Who would have had the 15 direct responsibility to make the 16 17 permit changes with the State? 18 A. It would have originated in 19 ICG's legal department. Who exactly 20 was pursuing that effort, I'm not sure. 21 Q. Okay. You mentioned early on 2.2 23 a decision made to send teams 24 underground, and of Ty Coleman being 25 in the command center as a 0046 1 representative of ICG. Can you 2 recall who may have been there for 3 either the State or MSHA? A. No, I don't recall. There 5 were several people for both parties. 6 I do remember John Collins was there 7 a good bit. Pat Vanover, I think is his last name, for MSHA, was there. 9 Mr. Alossa (phonetic) for MSHA. 10 don't recall his first name. I'm sure if I thought about it longer, I 11 12 could probably come up with more 13 names, but that's all that comes to mind right now. 14 15 Q. All right. Thanks. And you also mentioned having to make a plan 16 17 and have that plan approved by MSHA. How detailed were these plans? Are 18 they one sentence, one paragraph, one 19 20 page? You know, how ---? 21 A. Approximately one page. 22 were not greatly-detailed plans, no. 23 Q. Okay. So essentially they 24 were pretty brief and concise? 25 A. Yes, they were brief. It was 0047 a modification to the K. So it was a 1 2 statement that covered what our next 3 step was going to be. So in that sense, it was brief. 4 5 MR. RUTLEDGE: 6 Okay. That's all the 7 questions I have right now. Thanks. 8 9 MR. O'DONNELL: I have a couple 10 follow-up questions on ---.

12 BY MR. O'DONNELL: 13 Q. You said that there was a 14 mapping issue, a need for maps. 15 was it resolved? A. The majority of the maps were 16 17 plotted on-site, so honestly I don't 18 recall if additional maps were 19 brought in from other locations or 20 not. I just know that the teams that 21 needed maps received the maps and the issue was resolved. 2.2 23 Q. Do you have an outside firm 24 that does the mapping? 25 A. Yes. 0048 Q. And who would that be? 1 2 A. Alpha Engineering. 3 Q. So they're responsible for the surveying, engineering ---4 5 A. Yes. Q. --- and development of the 7 maps? A. Primarily, yes. 9 Q. Okay. 10 A. They're digital maps, so we have our staff that make 11 12 modifications to them. But they are 13 primarily contracted to keep the maps 14 up to date. Q. Okay. You said that now you 15 16 were involved with getting the mine 17 rescue teams underground. What sort 18 of issues did you encounter and how 19 were those resolved? A. Well, there was the issue of 20 21 briefing the teams, which goes back 22 to the mapping issue, providing the 23 teams with the number of maps they 24 need, getting the teams organized. 25 In my mind there was the briefing 0049 1 process that was under way and the decisions about who would be the 2 3 first team inside. There was 4 discussions about the level of 5 experience that the teams had. And that it was --- an effort was made to 7 put the more experienced teams inside first. So there was a prioritization 9 under way. Of the teams that were 10 felt to be the most capable were the teams that were moved to the head of 11 12 the list. 13 So, again, it was trying to be the most effective that we possibly 14 15 could during that time. So those issues were the issues I was 16 17 referring to. Q. Could you discuss the 18 19 cooperative effort with the Consol 20 teams and their representatives?

- 21 A. In what way? 22 Q. How were they contacted? Who contacted them? How many teams 23 2.4 agreed to come and assist? 25 A. Well, just in general, let me 0050 say that the Consol teams performed 1 2 outstandingly. We were very fortunate to have them at the 3 location. They performed well. 5 far as notifications go, that was all done prior to my arriving. I was not 7 involved in the notification process 8 at all. 9 But in terms of cooperation 10 with Consol, there was a process of briefing that went on that was very 11 detailed as it should have been. 12 There were a lot of people there, 13 14 myself included, who had never been 15 exposed to a disaster of this 16 magnitude. The professionalism and the attention to detail that they 17 18 demonstrated was admirable. So we 19 took whatever time it took to get 2.0 them briefed to the point where they 21 were comfortable going inside the 22 mine. So I don't have any issues 23 with cooperation. 24 Q. Okay. Let me just clarify the plans. You said there were one-page 25 0051 1 handwritten plans. Now, those were plans that were developed by you, the 2 3 company, and submitted to the State 4 and Federal for approval? 5 A. I don't recall that all the plans were handwritten. Some of the 7 plans were typed. Q. Well, I didn't mean 9 handwritten, but I mean ---. 10 A. Repeat the question please. Q. They were presented ---11 12 A. Yes. 13 Q. --- in writing, either typed 14 form or written? 15 A. Yes. Q. And those plans --- your 16 17 company would evaluate situations and 18 decide to proceed in a manner and 19 say, let's try this. And you would give it to them, and they would look 20 at it and say yes or no, or we need 21 22 to have a little bit more or ---? 23 A. It was an approval process. 24 Q. Okay. 25 A. Are you asking about the 0052 1 process? Q. I'm asking about how did that
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```
plan --- ultimately, how was it
 4
        developed?
 5
        A. Well, I think the example I
 6
        gave you before, about entering the
 7
        mine, is representative of that
        process. There was a request to
 9
        submit a plan as to what the initial
10
        steps would be. That plan was
11
        generated, submitted for review.
12
        There were concerns. For instance in
13
        this case the attachment of the gas
14
        readings.
15
     The plan was given back to us
16
        for correction. We attached the gas
        readings. The plan was resubmitted
17
18
        and approved.
19
        Q. Okay.
20
       A. So there was a process there
        that worked in that way.
21
22
        Q. Do you have any other examples
23
        of that process?
2.4
       A. No.
25
        Q. Okay. There was a decision
0053
1
        that was made for the teams to
 2
        advance and extend the distance past
        the fresh air base, even though the
 3
        communications would be extended
 5
        beyond normal mine rescue practices.
 6
        Who ultimately made that decision and
 7
        why was the decision made?
        A. I don't know. I was not in
9
        the command center when that decision
10
        was made.
11
        Q. Okay. Were you in the command
        center when the misinformation came
        out that all the miners were alive?
13
14
        A. No.
15
        Q. Okay. Do you have an opinion
16
        what caused the explosion?
17
     ATTORNEY RAJKOVICH:
18
     Let me object here and
19
        just say that he can tell what
        he knows, factually.
20
21
     MR. O'DONNELL:
22
    Okay.
     ATTORNEY RAJKOVICH:
23
24
     But he's not speaking
        as to any kind of
25
0054
1
        investigative action that he's
 2
        taken. And he's certainly not
 3
        authorized by the company to
        express any kind of opinion.
 5
    ATTORNEY CRAWFORD:
 6
     And just for
 7
        clarification, you say he's
 8
        not speaking as to any
 9
        investigation that he has
10
        taken?
11
     ATTORNEY RAJKOVICH:
```

```
12
     He is taking or has
13
       taken.
14
        A. Repeat the question, please.
      BY MR. O'DONNELL:
15
       Q. Do you have an opinion what
17
       caused the explosion?
       A. I think that the explosion
18
       occurred as a result of a lightning
19
20
       strike igniting methane inby the
21
       sealed area.
22
        Q. What's the basis of that
23
        opinion?
     ATTORNEY RAJKOVICH:
24
25
     Again, he's not
0055
1
        authorized to give any kind of
 2
        basis, ---
    MR. O'DONNELL:
 3
 4
    Okay.
 5
    ATTORNEY RAJKOVICH:
 6
     --- other than the
 7
       facts that he knows.
 8
       OFF RECORD DISCUSSION
9
       BY MR. O'DONNELL:
10
        Q. Do you know how the lightning
11
        traveled into the sealed area? Any
12
        hypothesis that ---?
13
     ATTORNEY RAJKOVICH:
     Again, I'd ask him not
14
15
        to speculate, unless he knows.
16
        A. I'd rather not speculate.
17
    MR. O'DONNELL:
18
     Okay.
       OFF RECORD DISCUSSION
19
20
       BY MR. O'DONNELL:
21
        Q. Are there any facts that you
22
       know that allows you to come to that
23
       conclusion?
24
       A. On a personal basis, no.
25
        Q. On a professional basis?
0056
1
       A. Come to exactly what
 2
        conclusion?
 3
        Q. That lightning caused the
        explosion.
 5
    ATTORNEY RAJKOVICH:
 6
    What's the difference
 7
        between a professional and a
        personal basis? I mean, he's
 8
 9
        told you no.
10
     MR. STUART:
11
    Now, can we get an
12
        answer from the witness?
     ATTORNEY RAJKOVICH:
13
14
    Go ahead and answer.
15
       A. No.
        BY MR. O'DONNELL:
16
17
        Q. Why do you think the seals
18
        failed?
19
     ATTORNEY RAJKOVICH:
```

```
Again, let me caution
21
        against any kind of opinion.
22
        A. I'd rather not speculate.
       BY MR. O'DONNELL:
23
        Q. Has ICG conducted an
24
25
        independent investigation into the
0057
1
        accident?
 2
        A. ICG is in the process of
 3
        conducting an investigation.
        Q. Do you know who is involved in
 4
 5
        the investigation?
 6
    ATTORNEY RAJKOVICH:
 7
    Let me instruct him not
 8
        to answer that.
    ATTORNEY CRAWFORD:
9
10
    On what basis?
11
    ATTORNEY RAJKOVICH:
12
    He's not authorized to
13
       speak on behalf of the
14
        company. That's a question to
15
        the company, not to him about
16
        what facts that he knows
17
        personally about this
        incident.
18
    ATTORNEY CRAWFORD:
19
     Well, I believe the
20
2.1
        question was, does he ---.
22
        What was the question?
23
    MR. O'DONNELL:
24
     Who's involved in the
25
        investigation.
0058
 1
    ATTORNEY RAJKOVICH:
 2
    Again, I don't think
 3
        he's authorized to say that.
    ATTORNEY CRAWFORD:
 4
    Well, if factually he
 5
        knows that --- if he knows,
 6
 7
        can he can answer it?
    ATTORNEY RAJKOVICH:
 8
9
    Not if those facts are
10
        something that's held by the
        company. That's best directed
11
12
        to the company.
    ATTORNEY CRAWFORD:
13
     Just for clarification,
14
15
        he's the vice-president of
16
        operations of the company.
17
    ATTORNEY RAJKOVICH:
18
    That's right. You
19
        don't speak for MSHA either,
20
        right, but you're their
21
        attorney?
    ATTORNEY CRAWFORD:
22
23
     I'm not going there.
24
     ATTORNEY RAJKOVICH:
25
     Okay.
0059
1
     ATTORNEY CRAWFORD:
     I'm not answering the
```

```
3
       questions, your client is.
 4
    ATTORNEY RAJKOVICH:
 5
    I understand. I got
 6
       you.
7
    ATTORNEY CRAWFORD:
    Go ahead.
8
9
      BY MR. O'DONNELL:
10
      Q. Do you anticipate the issuance
11
      of a report?
      A. Yes.
12
13
      (Kitts Exhibit One
14
      marked for
15
      identification.)
      BY MR. O'DONNELL:
16
17
      Q. We have a --- are you familiar
      with this document? It's a press
      release from ICG.
19
      WITNESS REVIEWS DOCUMENT
20
21
      A. Is there a question?
22
      BY MR. O'DONNELL:
23
       Q. Yes. Are you familiar with
24
      this report?
25
      A. Yes.
0060
1
       Q. And how did this report ---
       how was it formulated?
2
      A. As in ---
       Q. How did ---?
 4
 5
       A. --- who wrote it, who
 6
       developed it?
7
       Q. Yes.
       A. It was an effort on the part
8
9
      of several people.
10
      Q. An investigation team of some
11
      sort?
12
       A. There is no formal
       investigation team, no.
13
       Q. Well, who would have written
       this document?
15
16
    ATTORNEY RAJKOVICH:
17
    Again, that's a
18
       question that goes to the
19
       company.
20
    MR. O'DONNELL:
21
    Okay.
22
    ATTORNEY CRAWFORD:
23
    Even if he, in fact,
      knows the answer? As a matter
24
25
       of fact?
0061
    ATTORNEY RAJKOVICH:
1
   I think he can't speak
 2
      on behalf of the company.
 3
   MR. STUART:
 5
    He can speak on his own
      behalf and what he knows.
7
   ATTORNEY RAJKOVICH:
    Right. But he can't
9
       speak for the company. And
10
       that's a company question.
```

```
11
     ATTORNEY CRAWFORD:
12
    No. It wasn't asked as
13
        a company question.
     ATTORNEY RAJKOVICH:
14
     I know, but it is a
15
16
        company question.
17
     ATTORNEY CRAWFORD:
18
     Well, that's your
19
        characterization, not ours.
20
     ATTORNEY RAJKOVICH:
     Well, that's the
21
22
        characterization that he's
23
        going to go by.
24
     ATTORNEY CRAWFORD:
25
     So you're
0062
1
        characterizing his answer.
 2
    ATTORNEY RAJKOVICH:
     No. What I'm doing is
 3
 4
        taking what you've asked him.
 5
        I represent this man. And he
        can't speak for the company.
 6
 7
     ATTORNEY CRAWFORD:
 8
     We asked the question
 9
        to him, though, directly, to
        see if he has functional
10
11
        knowledge.
12
     ATTORNEY RAJKOVICH:
13
    I understand.
14
    ATTORNEY CRAWFORD:
15
    Are you directing him
16
      not to answer?
17
    ATTORNEY RAJKOVICH:
18
    That's right.
19
    ATTORNEY CRAWFORD:
20
    And, again, just for
21
        the record, ---
22
    ATTORNEY RAJKOVICH:
23
    Yes.
24
     ATTORNEY CRAWFORD:
25
     --- what basis is that
0063
 1
        on?
    ATTORNEY RAJKOVICH:
 2
 3
    Because he can't speak
 4
        for the company.
 5
   ATTORNEY CRAWFORD:
    Even if, in fact, he
 6
 7
        knows such information?
 8
     ATTORNEY RAJKOVICH:
 9
     That's exactly right.
     MR. O'DONNELL:
10
11
     Mike, do you have any
12
       follow-up questions?
    MR. RUTLEDGE:
13
14
15
       BY MR. RUTLEDGE:
        Q. If you wouldn't mind going
17
        back to early on when you arrived at
        the mine and you spoke about the CO
18
19
        levels in the mine office and people
```

20 being evacuated and so on. A. Yes, sir. 21 22 Q. Do you remember what time that 23 was, a best guesstimate? 24 A. I'd estimate between noon and 25 one o'clock ---0064 1 Q. Okay. 2 A. --- on Monday, the 2nd. 3 Q. And at sometime during this, 4 was everyone out of the office? Was 5 everyone required to leave the 6 office? You spoke about some family 7 members being relocated to the 8 church, or some other people leaving. 9 Did everybody leave the office or ---? 10 A. No. 11 12 Q. Okay. So even throughout this 13 time there were people, whoever they might be, that were in and out of the 14 15 office and conducting business in 16 there? 17 A. That's correct. 18 Q. Okay. Also, we asked earlier 19 about the permits and the transfer of 20 permits and State permits from Anker 21 to ICG or their subsidiaries. You 22 mentioned that would have been the 23 legal department's responsibility. 24 Do you know who, you know, would have 25 contacted the legal department to 0065 request this be done or to institute 1 2 this change or any person in 3 particular at the mine or even within 4 the company that would have issued 5 the instruction to get this done? 6 A. I have no firsthand knowledge 7 of the permit-transfer process. Q. Okay. You stated that it was 8 your opinion that the lightning 9 10 strike caused the explosion. Okay. 11 Can you give us any things that 12 caused you to form that opinion? Any 13 facts that you're aware of, or any 14 circumstances that you're aware of 15 that cause you to form that opinion? 16 ATTORNEY RAJKOVICH: 17 Again, he's not going to speak on behalf of the 18 19 company to any investigation 20 they've done. 21 MR. RUTLEDGE: 22 Okay. I understand 23 that. He stated that it was 24 his personal opinion that that 25 was, in fact, the cause of the 0066 1 explosion. I was just trying

to ask him if he could give me 3 any insight as to what might 4 have formed that personal 5 opinion. A. Well, again, I'm not going to 6 7 comment on the specifics of the ICG 8 investigation. 9 MR. RUTLEDGE: 10 Okay. I don't have any 11 other questions right now. 12 Thank you. 13 OFF RECORD DISCUSSION BY MR. RUTLEDGE: 14 15 Q. Again, you stated, for the 16 record, your opinion was that a lightning strike caused the 17 18 explosion. I'm not asking you to 19 give me anything that --- any company 20 information or anything from a 21 company investigation. I'm asking 22 what is the basis for your personal 23 opinion? What things may have caused 24 you to come to that opinion? 25 A. I'd like to request a break. 0067 1 MR. RUTLEDGE: 2 Sure. 3 SHORT BREAK TAKEN 4 MR. O'DONNELL: 5 Mr. Rutledge? 6 BY MR. RUTLEDGE: 7 Q. I believe the question was 8 asked, again, if you can give us 9 anything that caused you to form your 10 opinion that a lightning strike was 11 the source of the explosion or the cause of the explosion. 12 13 A. The company press release that 14 you've shown me here, dated, March 15 14th, contains information that I 16 agree with, in shaping my opinion 17 about the lightning being the cause 18 of the accident. 19 Q. Okay. Could you elaborate on 20 a couple of specific points that 21 might be there or that you might have 22 used to form your opinion? 23 A. Well, the second page. At the top of the page it talks about the 24 25 coincidental events of a lighting 0068 strike, a detected seismic event, and 1 2 the alarm of the mine CO-monitoring 3 system. Those three events happened 4 within the space of roughly one 5 second. So those facts are primarily 6 why my opinion is lightning caused 7 the accident. Q. Okay. Thanks. And either you 8 9 or your attorney said that you cannot 10 speak for the company or as a company

```
11
        representative. Okay. Can you tell
12
        me who would speak for the company or
13
        as a company representative?
14
     ATTORNEY RAJKOVICH:
15
     Well, I think you have
        to ask the company about that.
16
17
     ATTORNEY CRAWFORD:
     Well, he can ask him
18
        whether he knows it.
19
20
     ATTORNEY RAJKOVICH:
21
    As to who's authorized
22
        to speak for the company, you
23
        can ask it. I don't know.
24
        A. I don't know.
25
     MR. RUTLEDGE:
0069
 1
     Thank you. I don't
 2
        have any other questions right
 3
       now.
 4
     MR. STUART:
 5
     That was a good pick
 6
       up, wasn't it?
 7
     ATTORNEY RAJKOVICH:
     A good what?
 8
 9
     MR. STUART:
10
     That was a good pick
11
        up.
12
     ATTORNEY RAJKOVICH:
13
     A good pick up?
14
    MR. STUART:
15
     Uh-huh (yes).
     MR. O'DONNELL:
16
17
     Is it back to me?
18
     MR. RUTLEDGE:
19
     I don't have any other
20
        questions. Thanks.
       BY MR. O'DONNELL:
21
22
        Q. So we don't know who would be
23
        a company spokesperson then?
24
        A. No. To answer that specific
25
        question.
0070
        Q. Would it be the president?
 1
 2
        A. Possibly.
 3
        Q. Well, who gave the press
 4
        releases for the company, then?
 5
        Wouldn't that person be the
 6
        spokesperson?
 7
     ATTORNEY RAJKOVICH:
 8
     Again, I think he's
 9
        saying that he doesn't know.
10
        He isn't authorized to say ---
11
        the company is supposed to
        designate who speaks for the
12
13
        company.
14
     MR. O'DONNELL:
15
     Okay.
16
     ATTORNEY RAJKOVICH:
17
     And this man isn't that
18
      person.
```

```
19
        BY MR. O'DONNELL:
20
        Q. But you work for them.
21
        figure you know who ---.
22
     ATTORNEY CRAWFORD:
23
     Can you answer the
24
        question?
25
     ATTORNEY RAJKOVICH:
0071
 1
     Again, who speaks for
 2
        MSHA? Which one of you guys
        are authorized to speak for
 3
 4
        MSHA?
     MR. O'DONNELL:
 5
     I am right now, yes.
 7
     ATTORNEY RAJKOVICH:
 8
     What caused the
 9
        explosion then?
10
    MR. O'DONNELL:
11
     We're asking the
12
        questions.
13
     ATTORNEY RAJKOVICH:
     Okay. All right.
14
15
     ATTORNEY CRAWFORD:
16
     Well, the question on
17
        the table is ---. Isn't the
        question on the table whether
18
19
       he knew who wrote the press
20
       release or who released the
21
        press release? That's the
22
        question.
23
     ATTORNEY RAJKOVICH:
24
     I don't think the
25
        question was who wrote it.
0072
 1
    MR. O'DONNELL:
     I'll ask him whether he
 2
        knows that. Can he answer it?
 3
 4
    ATTORNEY RAJKOVICH:
 5
    I don't think it was
 6
        who wrote it. Now, if that's
 7
        another question, we've
 8
        already addressed that.
 9
        A. I'll answer the question by
        saying, I prefer not to comment on
10
11
        the ongoing investigation.
        BY MR. O'DONNELL:
12
        Q. Okay. I just want to know who
13
14
        represents ICG so we could talk to
15
        them.
16
     ATTORNEY RAJKOVICH:
17
     I think you need to ask
18
        the company.
19
    MR. O'DONNELL:
20
    Well, if I don't know
        who to ask ---.
21
22
     ATTORNEY RAJKOVICH:
23
    Ask the company's
24
       attorneys.
25
     MR. O'DONNELL:
0073
 1
     Okay.
```

```
2
    MR. STUART:
 3
    Well, since you're
 4
       speaking here today for the
 5
       company. Are you their
       attorney, too?
7
    ATTORNEY RAJKOVICH:
8
    No, sir. I'm this
9
      man's attorney. You don't
       represent them, do you?
10
11
    ATTORNEY CRAWFORD:
12
    We weren't sure ---.
13
    ATTORNEY RAJKOVICH:
14
   Remind me to get that
15
       answer later. You don't
16
      represent him --- or you're
17
      not answering things. Okay.
      BY MR. O'DONNELL:
18
      Q. Mr. Kitts, when you said you
19
20
      weren't in the command center
      whenever the misinformation happened,
22
      but you had talked to families and
       given them updates. Tell us a little
23
       bit how that transpired and what your
24
25
       involvement was with that, relaying
0074
1
       the information and how all that came
2
       about.
       A. Initially it was the family
3
 4
       members on-site in the mine office
 5
       were asked to leave and relocate over
       to the Sago Baptist Church. And at
       that time, I informed them that we
7
8
       would provide communication and
9
       updates on a regular basis.
10
    And we continued that process
       throughout the whole event.
11
12
       that's why --- I was the first ICG
13
       person to go over to the church and
       tell the families what we knew at
14
15
       that point, which was very little.
      But that initiated the process. And
16
      then it was ongoing throughout.
17
18
       Q. So were you at the church
19
       whenever it was found out that they
20
      had just found the missing miners?
21
      A. Was I at the church at the
       time of ---?
22
23
       Q. Or did you give the release
24
       that the miners were ---?
25
       A. Were alive?
0075
       Q. Uh-huh (yes). Or how was that
1
       information brought over there? How
2
 3
       did that happen?
 4
       A. The company did not do a
 5
       briefing to that effect.
 6
       Q. Okay.
7
       A. There was no release or
       briefing to that effect at all.
 8
 9
       was out of the command center in the
```

- file:///C|/Sago%20Transcripts/0323samuelkitts.txt 10 parking lot at the time the 12 alive 11 message came in to the command 12 center. 13 Q. And then there was a time period that occurred, a lag in time, 15 to find out that there was a 16 miscommunication. And then there was a period of time between when the 17 18 families were told. Do you have any knowledge or do you know anything why 19 2.0 there was such a lag or why it 21 happened the way it did? 22 A. I can give you my personal 23 account of what happened during that 24 time period. 25 Q. Okay. 0076 1 A. At approximately 11:45, I was 2 notified about the message of 12 3 alive. It was jubilation. Everybody 4 was just ecstatic at the news. That 5 celebration went on for maybe five or 6 ten minutes. I don't really recall 7 the exact time. But at that time it became very apparent, though, that the scope of our efforts had changed 9 10 dramatically. Up and to that point 11 it had been a search and rescue 12 effort through the mine-rescue protocol. 13 14 At that time, the entire complexion of the effort changed to a 15 16 medical response. So it became a 17 mobilization effort to get medical personnel to the face of Two Left as 18 19 quickly as possible. 20 So from my perspective, it was 21 very, very hectic at that time. 22 response was already mobilized and 23 headed through the mine. At the time 24 the mine rescue team reached the 25 fresh air base at approximately
- 0077

1 12:30, the message came out that it 2 was not 12 alive, it was 1 alive, which didn't really change what we 3 4 were doing. We still had a medical 5 response.

6 However, it was a very

7 difficult situation because we had no 8 communication along the track. 9 had one team coming out as quickly as 10 they could with the survivor. 11 Another team going in with the understanding that they were going to 12 13 retrieve 12 survivors. So that was a

14 very difficult time. We were 15 attempting to manage that situation 16 when indeed the survivor was brought 17

outside and immediately taken to the

18 hospital.

```
19
     So at that point, our rescue
20
        effort was very unorganized. In the
21
        heat of the moment, there was not a
2.2
        list, at least to my knowledge, of
23
        who was on the medical response team
24
        going underground. Up until that
25
        point, we had been very careful to
0078
1
        monitor exactly who was in the mine,
 2
        where the teams were located and so
 3
        forth.
 4
     Due to the miscommunication,
 5
        that organization fell apart. So we
 6
        had to regroup the mine rescue teams
 7
        and debrief the people who had been
        to the barricade. It was a very
 9
        hectic busy time for me personally
10
        through all that.
     So it wasn't --- it's hard to
11
12
       tell about time, because I was not
13
        keeping notes during this.
14
        through the debriefing and the
        reorganization effort, they came to
15
16
        me and said, we're going to --- we're
17
        going over to the church to inform
18
        the families.
19
     So I know it's somewhere ---
20
        two and a half to three hour time
        frame went by, but from my
21
        perspective it all went by very
2.2
        quickly. It took an inordinate long
23
24
        time to get from the mine office over
25
        to the church due to the crowds. And
0079
 1
        then at that point we went to the
 2
        church. And it was my understanding
 3
        that the families had been notified
 4
        already. It wasn't until I walked
        into the church and looked out on the
 5
 6
        crowd and saw all those smiling faces
 7
        and all the happiness that I realized
        that they had not been notified.
 8
 9
     So the news was broken. Just
10
        the opposite of the jubilation that
11
        had occurred three hours earlier
12
        occurred in that church. It was just
13
        gut-wrenching.
14
     So Ben attempted to make a
15
        statement. The situation was very
        emotionally charged. And the
16
17
        decision was made that it would be
        better just to leave, so we left.
18
     MR. RUTLEDGE:
19
20
     I don't have any
21
        questions.
22
     MR. O'DONNELL:
23
     On behalf of MSHA, I'd
24
        like to thank you for
25
        appearing and answering
0800
```

```
questions today.
 2
        cooperation is very important
 3
        to the investigation as we
 4
        work to determine the cause of
 5
        the accident.
 6
    We ask that you not
 7
       discuss your testimony with
 8
        any person who may have
 9
        already been interviewed or
10
        who may be interviewed in the
        future. This will ensure that
11
12
        we obtain everyone's
13
        independent recollection of
        the events surrounding the
15
        accident.
16
    After questioning other
17
        witnesses, we may call you if
18
        we have any follow-up
19
        questions that we feel that we
20
       may need to ask you. If at
21
       any time you have additional
22
       information regarding the
23
        accident that you would like
24
        to provide us, please contact
25
        us at the information that we
0081
 1
        provided.
 2
    The Mine Act provides
 3
       certain protections to miners
 4
        who provide information to
 5
       MSHA and as a result are
       treated adversely. If at any
 7
        time you believe that you've
        been treated unfairly because
 9
        of your cooperation in this
10
        investigation, you should
        immediately notify MSHA.
11
12
    If you wish, you may
13
      now go back over any answer
14
       you have given during this
15
        interview. And you may also
16
       make any statement that you
17
       would like to make at this
18
       time.
19
        A. I have no further statement.
    MR. O'DONNELL:
20
21
    All right. We'd like
        to thank you very much. And
22
        I'd like to put on the record
23
24
        that the ICG investigation is
25
       put on the record.
0082
                   * * * * * * *
 1
 2
                   SWORN STATEMENT
 3
               CONCLUDED AT 10:12 A.M.
 4
                   * * * * * * * *
 5
 7
 8
```