



# **Rules of Racing of RacingNSW**

**as amended 1 March 2006**

In this Rule Book –

**The Australian Rules of Racing**  
appear first,  
and are preceded by the letters “AR”

**The Local Rules of Racing**  
follow the Australian Rules,  
and are preceded by the letters “LR”

**The Rules of Betting**  
which are part of the Local Rules,  
follow the other Local Rules,  
and are preceded by the letters “BR”

The Australian Rules of Racing and the Local Rules (including the Rules of Betting),  
Are to be read, interpreted and construed together, and as so combined shall be and be  
known as “The Rules of RacingNSW”.

Any person who takes part in any matter coming within the Rules in this book  
contained thereby agrees with RacingNSW to be bound by them.



# Rules of Racing of RacingNSW

Constituted by –

The Australian Rules of Racing as adopted by the Australian Racing Board  
and the Local Rules and Rules of Betting of  
RacingNSW. Produced by order of the Board.

Mr P N V'Landys  
CHIEF EXECUTIVE

RacingNSW Board Members

Mr G Pemberton (Chairman)  
Mr DE Hopkins (Deputy Chairman)  
Mr D R P Esplin  
Mr G F Pash  
Mr J Costigan  
Mr R M Lapointe  
Mr B J Reardon  
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# AUSTRALIAN RULES OF RACING

## DEFINITIONS

**AR 1.** In the interpretation of these Rules, (and of any programme of a race meeting held thereunder), the following words unless the context otherwise requires, shall have or include meanings as follows:-

**“Advertised”** or **“Advertisement”** includes publication in any newspaper or *Racing Calendar* or in any printed, typewritten, or written placard, circular, or poster.

**“Apprentice”** means a person who is duly bound to a trainer or owner in accordance with the Local Rules of the Principal Racing Authority with jurisdiction over the territory in which such trainer or owner resides.

**“Association”** means any association of Clubs holding registered meetings, the articles or rules of which have been approved by the Principal Racing Authority, and any Registration Board the constitution of which has been approved by the said Principal Racing Authority.

**“Australian Racing Board”** and **“Board”** means the "Australian Racing Board Limited" constituted in accordance with AR 208.

**“Authorised Agent”** means a person who has produced to the Principal Racing Authority, Committee of the Club or the Stewards or other relevant official a satisfactory written authority signed by his principal.

**“Club”** includes any person or body holding or proposing to hold a race meeting in the Commonwealth.

**“Cruelty”** includes any act or omission as a consequence of which a horse is mistreated.

**“The Committee of the Club”** means the Committee of any Club which is registered with a Principal Racing Authority or whose meetings are registered with a Principal Racing Authority.

**“Company”** means -

- (a) a company incorporated or registered under any Act or Ordinance of any state or territory of the Commonwealth of Australia whilst it remains so incorporated or registered; and
- (b) a 'foreign company' within the meaning of the Corporations Law or any replacement or successor legislation.

**“Deputy Registrar of Racehorses”** and **“Deputy Registrar”** means any person appointed to act as such by a Principal Racing Authority provided that the name of the appointed person is notified as soon as practicable to the Registrar of Racehorses.

**“Disqualification”** includes the adoption or confirmation in accordance with these Rules of any disqualification and "Disqualify" has a corresponding meaning.

**“Document of Description”** means the document which bears that name and which has been issued by the Registrar of Racehorses or a recognised turf authority in relation to the identity of the racehorse described therein and shall include a Certificate of Registration issued by the Registrar of Racehorses or a recognised turf authority.

**“Executive Officer”** means the person appointed by the Board as the executive officer of the Board.

**“Firm”** means any individual or any number of individuals not exceeding twenty (excluding companies) carrying on business in Australia under a firm or business name which name is and remains registered under any statute or ordinance of any State or Territory of the Commonwealth of Australia relating to "Business Names" or such-like.

**“Foal Identification Card”** means the card which bears that name and which has been issued by the Australian Stud Book or a recognised turf authority in relation to the identity of the horse described thereon.

**“Forfeits”** includes all overdue and unpaid acceptances or qualification fees, or moneys, stakes, fines, subscriptions, course, track, and other fees not being entrance fees due by or imposed upon any person or due in respect of or imposed upon any horse, or which shall be published in the *Racing Calendar* as so due or imposed.

**“Group and Listed Races”**, for races run in Australia, shall mean those races which are published in the schedule of races described as "Group and Listed Races" by the Australian Racing Board.

**“Group and Listed Races”**, for races run outside Australia, shall mean those races which are published by the International Cataloguing Standards Committee.

**“Interest”** in respect of a horse for purposes of AR 53, AR 166(c) and AR 182 and (subject to this definition) for any other purposes under these Rules includes membership of a Syndicate which owns or leases a horse, and for the purpose of AR 84, AR 85 and AR 175(e) includes membership of a Company, Firm or Syndicate which owns or leases a horse or has any interest direct or indirect in a horse or in a Company, Firm or Syndicate which owns or leases a horse and the word “Interested” and all other derivatives and applications of the word “Interest” shall be construed accordingly.

A **“Jockey”** is a person licensed by a Principal Racing Authority or an Association to ride for hire.

**“The Judge”** means the person duly appointed as such and includes any Assistant Judge similarly appointed and any substitute appointed in accordance with these Rules.

**“Lease”** includes any agreement whereby the owner of a horse permits another person to race the horse.

**“Licence”** includes any approval or permit.

**“Licensed”** A person is licensed if he has the requisite licence required by the Rules.

**“Local Rules”** are those rules made from time to time by a Principal Racing Authority and in force within its territory.

A **“Maiden”** with respect to a flat race means a horse which at the time of starting has never won on the flat a race at a registered meeting or an advertised race in any country, and, with respect to a steeplechase or hurdle race means a horse which at the time of starting has never won such a steeplechase or hurdle race in any country.

**“Manager”** means the first-named person recorded by the Registrar of Racehorses in the official ownership records including the Transfer or Lease (if leased) of a horse or if the horse is owned or leased by a Syndicate, the person first-named in the Certificate of Registration of the Syndicate, subject always to the provisions of AR 57(1). If the horse is owned or leased by more than one Syndicate, the first-named person appearing in the Certificate of Registration of the first-named Syndicate shall be deemed to be the manager.

**“Medication”** means any treatment with drugs or other substances.

**“Member”** for the purposes of AR 69A to AR 69N inclusive and for any other purposes of these Rules includes any person who has an interest of any kind and to any extent in any Company, Firm, Syndicate or other association of persons, whether such interest be by way of membership, individual or part-ownership, sharing or stockholding, and an "officer" of a Company (within the meaning of a Companies Act under which it is incorporated or registered) shall be deemed to have an interest in that Company; and "membership" and all other derivatives and applications of the word "member" shall be construed accordingly.

**“Metropolitan Area”** and **“Suburban Radius”** means any Area so designated by the Local Rules of a Principal Racing Authority.

**“Microchip”** means an electronic identifier transponder encoded with a unique unalterable number approved by the Registrar of Racehorses for implantation in a horse.

**“Month”** means a calendar month.

**“National Stewards Embargo Register”** means a record, maintained by the Stewards Australia-wide, of embargos imposed on horses.

**“Nominator”** means any owner or if the horse is leased any lessee by or on whose behalf a horse is entered and includes any Registered Manager for a Company and any trustee for a Syndicate and any person exercising the rights of a nominator under the Rules by reason of the death of a nominator, the sale of a horse with engagements, the termination of a lease or otherwise.

**“Non-voting Principal Racing Authority”** means a Principal Racing Authority other than a Voting Principal Racing Authority.

**“Official Racing Laboratory”** means an analytical racing laboratory that is accredited by the National Association of Testing Authorities or by a similar authority in an overseas country, and is approved by the Australian Racing Board and published in the *Racing Calendar*.

**“Person”** includes any Syndicate, Company, combination of persons, firm, or Stud owning or racing a horse or horses.

**“Premises”** includes land, buildings or any fixed or moveable structure, including any vehicle.

The expression **“Principal Racing Authority”** means:-

- (a) a body, statutory or otherwise, that has the control and general supervision of racing within a State or Territory (provided any Member thereof is not a direct Government appointee), and means in the State of New South Wales, the NSW Thoroughbred Racing Board; in the State of Victoria, Racing Victoria Limited; in the State of Queensland, the Queensland Thoroughbred Racing Board; in the State of South Australia, Thoroughbred Racing S.A. Limited; in the State of Western Australia, Racing and Wagering Western Australia; in the State of Tasmania, the Tasmanian Thoroughbred Racing Council; in the Northern Territory, the Committee of the Darwin Turf Club; and in the Australian Capital Territory, the Committee of the Canberra Racing Club Incorporated; and,
- (b) a body recognised as a Principal Racing Authority by the Australian Racing Board pursuant to AR 212A.
- (c) Provided that all references in these Rules to a Principal Racing Authority shall, in the case of a body that on and before 30<sup>th</sup> April, 2003 was a Principal Club under the Australian Rules of Racing and which continues to be referred to as a Principal Club under an Act of Parliament or a company constitution, continue to have effect as a reference to a Principal Club.

**“Prize”** includes any moneys, cups, trophies or any material gain or benefit capable of being valued in money (but not including the value of any stallion services) from whatever source awarded to the nominator or trainer or jockey of a horse or to any other person in accordance with the conditions of a race as a result of the horse winning or being placed second, third, fourth, fifth, sixth, seventh, eighth, ninth or tenth in such race.

**“Prohibited Substance”** means a substance declared by these Rules to be a prohibited substance, or which falls within any of the groups of substances declared by these Rules to be prohibited substances unless it is specifically excepted.

**“Promoter”** means any person or Corporation who for valuable consideration offers or invites any other person or Corporation to subscribe for shares or participate in any manner in any scheme, the objects of which include the breeding and/or racing of a thoroughbred horse or horses.

**“Punishment”** includes the suspension of any licence, disqualification and the imposition of a fine and "punish" has a corresponding meaning.

**“Race”** includes each division of a divided race.

**“Racing Calendar”** means the publication published under that name or any similar name by or under the authority of a Principal Racing Authority.

**“Registered Club”** means a Club registered by a Principal Racing Authority in accordance with the Rules.

**“Registered Manager”** means a person who is appointed to be the Registered Manager for a Company by instrument under the common seal of the Company and who has been approved by the Principal Racing Authority by which the Company has been registered as a Syndicate.

**“Registered Meeting”** or **“Registered Race Meeting”** includes any race meeting held under the Management of a Principal Racing Authority or of any registered Club.

**“Registrar of Racehorses”** and the **“Registrar”** means RISA or any agent appointed by it.

**“Restricted Race Conditions”** means those conditions for Restricted Races as prescribed by AR 1A.

**“Rider”** means a jockey, apprentice jockey, amateur rider, approved rider, or any other person who rides a horse in a race or trial or during training.

**“Riders Agent”** means a person licensed by a Principal Racing Authority who by contract or any other arrangement or agreement assists a jockey or the master of an apprentice jockey in the organisation and/or the obtaining of riding engagements.

**“RISA”** means Racing Information Services Australia Proprietary Limited.

**“Sample”** means a specimen of saliva, urine, perspiration, breath, blood, tissue, hide, hair, or any other excretion product or body fluid taken from a horse or person.

**“Stable Return”** means a notification submitted by a trainer, containing such information required by the Rules in respect of each horse under his care, control and superintendence; and thereafter from time to time supplemented by amending notifications in the event of any alterations to the information previously submitted.

**“Stewards”** means the persons appointed as such in accordance with the Local Rules of a Principal Racing Authority and includes Deputy Stewards duly appointed.

**“Stud”** means a person, Firm or Company engaged in the breeding of horses for racing and which during the period of 12 calendar months immediately preceding any relevant point of time has returned to and had accepted five or more mares by the Australian Stud Book and/or the Australian Register of Non Stud Book Mares.

**“Suspension”** means the temporary withdrawal in whole or in part of any licence, permit, permission, right or privilege granted under the Rules.

**“Syndicate”** means a Syndicate as defined by AR 69A and registered pursuant to these Rules.

**“These Rules”** mean the Australian Rules of Racing and **“The Rules”** mean these Rules together with the Local Rules of the Principal Racing Authority concerned.

**“Thoroughbred Identification Card”** means the card which bears that name and which has been issued by the Registrar of Racehorses or a recognised overseas turf authority in relation to the identity of the racehorse described thereon.

**“Trustees”** means the natural persons being members of a Syndicate who have been nominated to represent it as such trustees.

**“Voting Principal Racing Authority”** means a Principal Racing Authority granted a voting entitlement by AR 213.

**“Warned off.” “Warning off.”** A person warned off a racecourse is one who is not permitted to enter a racecourse under the control of the Club or body warning him off.

Marginal notes and headings, where they appear, are for reference purposes only and shall not be regarded as being part of the Rules.

Words importing the singular include the plural and the plural the singular, unless the context requires otherwise; and words importing the masculine gender shall be deemed and taken to include females unless the contrary is expressly provided; and words importing the feminine shall be deemed and taken to include the masculine unless the contrary is expressly provided.

**Expression of distances and weights:** As from 1st August 1972, distances of races and weights shall be expressed in metres and kilograms as directed by Principal Racing Authorities in their respective territories.

### **RESTRICTED RACES**

**AR 1A.** A **Maiden Race** is one restricted to horses which at the time of starting have never won on the flat a race at a registered meeting or any advertised race in any country.

A **Trophy Race** is a race in which the prizemoney and/or value of any trophy to the winner does not exceed \$1,500.

A **Class A Race\*** is one restricted to horses which, at the time of starting, have not generated prizes in the aggregate worth more than \$3,000 for wins in races on the flat and have never won a race on the flat outside Australia.

A **Class B Race\*** is one restricted to horses which, at the time of starting, have not generated prizes in the aggregate worth more than \$6,000 for wins in races on the flat and have never won a race on the flat outside Australia.

\* The value of the prize to the winner shall not exceed:  
in a Class A Race - \$3,000.  
in a Class B Race - \$6,000.

Provided that Class A and/or Class B races shall not be programmed for TAB meetings, except in the Northern Territory, King Island and approved country areas of Western Australia, and that each Principal Racing Authority should restrict Class A and Class B races to “remote/minor” race meetings, as determined by the Principal Racing Authority.

For the purposes of determining the value of the prize to the winner and the eligibility of any horse for any Class A or Class B race, no account shall be taken of prizes won after 30<sup>th</sup> September 1991 which were not, at the time of entry for a race or series of races, available to be won by every horse eligible to be entered therein.

For the purposes of determining the eligibility of any horse for any Class 1 to Class 6 race, no account shall be taken of any wins in the former Class C or Class D races run before 1st August 2003 other than a win as a Maiden Horse.



A **CLASS 1 RACE** is one restricted to horses which, at the time of starting, have not won more than one race on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that the win must have been in the following race types:

1. A Restricted Race; or
2. A 2YO Race (Other than Group or Listed)

A **CLASS 2 RACE** is one restricted to horses which, at the time of starting, have not won more than two races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that wins must have been in the following race types:

1. Restricted Races.
2. 2YO Races (Other than Group or Listed)

A **CLASS 3 RACE** is one restricted to horses which, at the time of starting, have not won more than three races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that wins must have been in the following race types:

1. Restricted Races.
2. 2YO Races (Other than Group or Listed)
3. One Special Condition race for 3YOs.

A **CLASS 4 RACE** is one restricted to horses which, at the time of starting, have not won more than four races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that wins must have been in the following race types:

1. Restricted Races.
2. 2YO Races (Other than Group or Listed)
3. One Special Condition race for 3YOs.

A **CLASS 5 RACE** is one restricted to horses which, at the time of starting, have not won more than five races on the flat, provided that in determining the eligibility of any horse no account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that wins must have been in the following race types:

1. Restricted Races.
2. 2YO Races (Other than Group or one Listed)
3. One race for 3YOs (Non Group or Listed) which was not restricted by prizemoney and/or races won provided that the horse has not also won a Special Condition race in which horses older than 3YO could run.
4. The winner of up to two races for 3YOs (Non Group or Listed) provided that not more than one of the races is a 3YO race not restricted by prizemoney and/or races won.
5. The winner of up to two Special Condition Races provided that not more than one of the races is a race in which horses older than 3YO could run.

A **CLASS 6 RACE** is one restricted to horses which, at the time of starting, have not won more than six races on the flat, provided that in determining the eligibility of any horse no

account shall be taken of any wins in Class A, Class B or Trophy races, other than a win as a Maiden horse.

Further provided that wins must have been in the following race types:

1. Restricted Races.
2. 2YO Races (Other than Group or one Listed)
3. One race which was not restricted by prizemoney and/or races won provided that it was not a Group or Listed race.
4. The winner of up to two races for 3YOs (Non-Group or Listed) provided that not more than one of the races was a 3YO race not restricted by prizemoney and/or races won.
5. The winner of up to two Special Condition Races provided that if the horse has won two such races it shall be ineligible if it has also won a race not restricted by prizemoney and/or races won.

### **DEFINITIONS**

A "Special Condition Race" means:

1. A race, other than a "Restricted Race" as defined, which was restricted by prizemoney and/or races won.
2. Any race run overseas which was restricted by prizemoney and/or races won.

A "Restricted Race" means:

1. A Maiden, Trophy, Class A, Class B, Class 1, Class 2, Class 3, Class 4, Class 5 and Class 6 race run in Australia.
2. A Maiden race run overseas.
3. A "Special Condition Maiden" run in New Zealand.

### **APPLICATION OF THESE RULES**

**AR 2.** Any person who takes part in any matter coming within these Rules thereby agrees with the Australian Racing Board and each and every Principal Racing Authority to be bound by them.

**AR 3.** Subject to the conditions of any race when the last day for doing any act under the Rules falls on a Sunday or holiday such act may be done on the next succeeding day not being a Sunday or holiday, unless the race to which such act relates is appointed for that day.

**AR 4.** Any act done or decision made by a Committee of a Club or by Stewards in the exercise or intended exercise of any right power or authority conferred by or under any of the Rules shall except where otherwise provided in the Rules be final and conclusive.

**AR 5.** These Rules shall come into operation on the First day of August, 1965, and any other Rules of Racing repugnant to or inconsistent with these Rules shall be annulled as from that day, but such annulment shall not-

- (a) Affect the previous operation of any rule so annulled or anything duly done or suffered thereunder, or

(b) Affect any right, privilege, obligation, or liability acquired, accrued, or incurred under any Rule so annulled, or

(c) Affect any penalty or disqualification incurred in respect of any offence committed against any rule so annulled, or

(d) Affect any investigation, proceeding, or remedy in respect of any such right, privilege, obligation, liability, or penalty as aforesaid.

Any such investigation, proceeding, or remedy may be instituted, continued, or enforced, and any such penalty or disqualification may be imposed as if these Rules had not been passed.

**AR 6.** (1) These Rules apply to all races held under the management or control of a Principal Racing Authority, and shall, together with such Rules (not being repugnant to or inconsistent with these Rules) as may from time to time be made by the Principal Racing Authority in its territory, be read and construed as the rules of the Principal Racing Authority in such territory and, subject to the provisions of A.R. 35, shall apply to all races held under the management of a Principal Racing Authority or any registered Club and to all meetings registered by a Principal Racing Authority.

(2) Unless the Principal Racing Authority otherwise determines, if any race meeting is not held under these Rules -

(a) any horse taking part shall ipso facto be disqualified;

(b) any person taking part therein shall be ineligible to enter a horse for any race, or to hold or continue to hold any licence or registration under these Rules;

(c) any person who acts in connection therewith as promoter, organiser, president, chairman, secretary, treasurer, committee member, or in any advisory or official capacity, shall be debarred from acting in any official capacity at any race meeting, and any horse in which he has an interest shall be ineligible to race at any registered meeting.

(3) Paragraphs (b) and (c) of subrule (2) shall not apply to any race meeting in which thoroughbreds do not take part and which are held under the management or regulation of an organisation formally recognised by the Government of the State or Territory in which the race meeting is conducted.

(4) Any question not provided for by these Rules shall be determined by the Principal Racing Authority concerned.

### **POWERS OF A PRINCIPAL RACING AUTHORITY**

**AR 7.** A Principal Racing Authority shall have the control and general supervision of racing within its territory. Such Principal Racing Authority, in furtherance and not in limitation of all powers conferred on it or implied by these Rules, shall have power, in its discretion:-

(a) To hear and decide appeals as provided for in its Rules or by law.

- (b) To license jockeys, trainers and others on such terms and conditions as it shall think fit, and at any time to suspend, vary or revoke any such licence without giving any reason therefor.
- (c) To inquire into and deal with any matter relating to racing and to refer any such matter to stewards or others for investigation and report and, without prejudice to the generality of the foregoing power, to inquire at any time into the running of any horse upon any course or courses, whether a report concerning the same has been made or decision arrived at by any Stewards or not.
- (d) To punish:-
  - (i) any person contravening the Rules or disobeying any proper direction of any official,  
or
  - (ii) any licensed person or official whose conduct or negligence in the performance of his duties has led, or could have led, to a breach of the Rules.
- (e) At any time to exercise any power conferred on Stewards by the Rules.
- (f) To confirm, adopt or enforce any punishment imposed by the Committee or Stewards of any Racing Club in the Commonwealth or in any other country.
- (g) To make reciprocal arrangements with any such Club for the recognition or enforcement of each other's punishments.
- (h) To annul or mitigate any punishment incurred within its territory.
- (i) *[deleted 1.8.03]*
- (ii) A Principal Racing Authority shall not have reserved to it the right to make new Rules (other than local rules) or to rescind or alter these Rules. Any Principal Racing Authority which does not comply with this requirement shall *ipso facto* cease to be a Principal Racing Authority.
- (j) To publish in the "*Racing Calendar*" or in any newspaper or otherwise any punishment imposed or any decision made by itself or the Stewards or by any Club or Association or any other Racing Body within its territory.
- (k) To recognise any Association of Registered Clubs or Race Meetings, or other Racing Body approved by it, and approve of its rules, articles or constitution.
- (l) To register clubs, race meetings, owners, bookmakers, horses, jockeys and other riders, trainers and the employees of them or any of them and any other persons.
- (m) To allot dates on which race meetings may be held within its territory.
- (n) To prescribe the forms to be used under the Rules.
- (o) To delegate to the Committee of an Association or, with the consent of the Australian Racing Board, to a registered racing club, all or any of its powers under these Rules.

- (p) To appoint a Subcommittee or Subcommittees of its Members and to delegate to any Subcommittee so appointed all or any of its powers under these Rules.
- (q) To appoint such persons as the Principal Racing Authority thinks fit for the purpose of hearing and deciding appeals as provided for in its Rules or by law, and for that purpose to delegate to such persons any of the Principal Racing Authority's powers under these Rules.
- (r) Notwithstanding the provisions of AR 10 and AR 10A, to appoint such person or persons as the Principal Racing Authority thinks fit to hear and adjudicate upon any matter or charge brought by the Stewards relating to a breach of such of the Rules as may be specified by the Principal Racing Authority; and to delegate to any appointee or appointees so much of its Principal Racing Authority powers as would enable them to discharge the responsibilities of their appointment.
- (s) To investigate alleged breaches of a Code of Practice published by the Australian Racing Board and to warn-off or punish any person it finds to have committed a breach of such Code of Practice.

**AR 7A.** Without limiting in any way the powers of the Principal Racing Authority under these Rules, the Principal Racing Authority may in its absolute discretion in respect of any person who has been warned-off or who is or has been subject to any suspension or disqualification or embargo imposed by a committee or stewards of any racing or harness racing or greyhound racing club, racing authority or racing appeals tribunal in Australia or in any other country –

- (a) refuse to grant any licence or permit to, or to register, any such person under these Rules, or
- (b) warn-off, suspend or disqualify or place a like embargo on any such person under these Rules.

## **STEWARDS**

**AR 8.** To assist in the control of racing, Stewards shall be appointed according to the Rules of the respective Principal Racing Authorities, with the following powers:-

- (a) To make, alter, or vary all or any of the arrangements for the conduct of any race meeting under their control.
- (b) To require and obtain production and take possession of any mobile phones, computers, electronic devices, books, documents and records, including any telephone or financial records relating to any meeting or inquiry.
- (c) To enter upon and control all lands, booths, buildings, stands, enclosures, and other places used for the purposes of the meeting, and to expel or exclude any person from the same.

- (d) To regulate and control, inquire into and adjudicate upon the conduct of all officials and licensed persons, persons attendant on or connected with a horse and all other persons attending a racecourse.
- (e) To punish any person committing a breach of the Rules.
- (f) To determine all questions arising or objections made in reference to racing at the meeting.
- (g) To order the examination of any horse for the purpose of ascertaining its age or identity, or for any other purpose connected with the Rules.
- (h) To disqualify any horse entered for any race at a meeting which is removed from the course contrary to the orders of the Committee of the Club or the Stewards, or which is not produced at their request.
- (i) To require any nominator to satisfy them that he and any horse nominated by him is subject to no disability under the Rules.
- (j) To take or cause to be taken any sample from any horse and to make or cause to be made any test to determine whether any prohibited substance is present in the system of the horse.
- (jj) To take or cause to be taken from any rider either prior to or after riding in any race, trial or trackwork any sample, and to make or cause to be made any test to determine whether any banned substance defined in AR.81B is present in such sample.
- (k)
  - (i) To take possession on the course or elsewhere of any horse, whether dead or alive, and to detain and/or remove such horse in order to have conducted whatever tests and/or examinations as they consider necessary.
  - (ii) On any course (whether a race meeting is being conducted thereon or not) to search any licensed person or any gear or equipment used by or about to be used by him and to take possession of any article or thing found as a result of such search which the Steward or Stewards making such search believe could afford evidence of a breach of or an offence under these Rules.
- (l) To order down any rider without assigning any reason and if they think fit to substitute another rider.
- (ll) To adjudicate on the claim by any rider that a nominator or trainer of a horse had refused to honour a riding engagement, and to make an order regarding the engagement and/or any compensation considered appropriate.
- (m) To prohibit any horse from starting in any race.
- (n) To order the removal from any horse of any shoes, racing plates, equipment or gear which has not been approved or is in their opinion unsuitable, unsafe or ineffective.
- (o) To order any rider to alter the length of his stirrups.

- (p) In exceptional cases to extend the time allowed for weighing-out, declaring weight, for starting or for any other thing required by the Rules, or conditions of a race.
- (q) If the conditions are in their opinion unsafe for racing, or in case of urgent necessity, or with the permission of the Committee of the Club for any other reason,
  - (i) to postpone any race or races whether before or after the commencement of the meeting to a later time on that day, or to such other day as the Committee of the Club may decide subject to the approval of the body responsible for allotting race dates in the area; and/or
  - (ii) to alter the distance of any race.
- (r) To remove at any time during the hours of racing in their discretion the Judge, Starter, Clerk of Scales, Clerk of Course, Timekeeper, or other official and appoint a substitute for any such official.
- (s) To appoint any official or any deputy or assistant necessary for the conduct of a meeting if the Committee of the Club have failed or omitted to do so.
- (t) To refuse or reject the nomination of any horse at any time for any period and/or until such horse has trialled, barrier trialled or passed any required veterinary examination to their satisfaction.
- (u) To order the withdrawal of a horse from any race at any time before the start if in their opinion it is unfit to run or unable to start without unreasonable delay.
- (v) To inquire at any time into the running of any horse in a race upon any course or courses within the jurisdiction of the same Principal Racing Authority whether or not a report concerning the same has been made or a decision arrived at by the Stewards.
- (w) To report within fourteen days of the holding of any race meeting to the Principal Racing Authority the running of any horse at such meeting which in their judgment is inconsistent with any previous or subsequent performance or performances of such horse.
- (x) To publish in any newspaper or elsewhere any punishment imposed or any decision made by them in the exercise of their powers under these Rules.
- (y) To exercise any other powers and duties laid down for them by the Principal Racing Authority concerned.
- (z) *[deleted 20.11.02]*

**AR 8A.** The powers given the Stewards under AR 8 (j), (l), (n), (o) and (u) may be exercised by the Chairman of Stewards, or the Steward acting as such, at any meeting, save and except that the power to punish under AR 8 (n) may be exercised only by the Stewards.

**AR8B.** The Stewards shall have the power at any time to enter upon the premises occupied by or under the control of a licensed person and used in any manner in relation to any licence (hereinafter referred to as the premises) to:

- (i) Inspect and search the premises and also search any licensed person thereon.
- (ii) Examine any horse, take possession thereof and cause such horse to be-
  - (a) removed from the premises and detained ; or
  - (b) confined to, or otherwise detained at, or within, the premises for such period and on such terms and for such purposes as they consider necessary.
- (iii) Examine the premises and any article or thing situated thereon and take possession of any article or thing found as the result of such search and remove from the premises any article or thing of which possession has been taken and retain the same for such period as Stewards consider necessary under these rules.

Provided that the onus of proof that the premises are not being used in any manner relating to any licence shall be upon the licensed person who has the occupation or control of the premises and the use thereof.

**AR 8C.** Stewards entering on the premises under the provisions of Rule 8B shall have the right to take thereto such persons, articles and things as they consider necessary to exercise the powers laid down by that Rule and to carry out their duties as stewards.

**AR 8D.** Any licensed person who, whilst the stewards are exercising the powers vested in them by Rule 8B or carrying out their duties, refuses to obey any reasonable direction of stewards or obstructs, hinders or delays stewards in exercising such powers or carrying out their duties, or incites any other person or persons to obstruct, hinder or delay stewards from exercising such powers, or carrying out their duties, or does not act to prevent any other person or persons on the premises from so doing, may be punished.

**AR 9.** A majority of the Stewards present at any meeting of the Stewards shall have all the powers hereby given to the Stewards, and a Deputy Steward shall be considered to be a Steward. If voting is equal the Chairman shall have a casting vote.

**AR 10.** The Stewards may at any time inquire into, adjudicate upon and deal with any matter in connection with any race meeting or any matter or incident related to racing.

**AR 10A.** (1) The Stewards may inquire into, and adjudicate upon, any incident or occurrence arising at any organised trial or training facility.

(2) Without limiting the provisions of subrule (1) of this rule, the Stewards may -

- (a) inquire into and adjudicate on any misconduct occurring at any trial, trackwork, or associated activity;
- (b) inquire into and adjudicate upon any suspected breach of the Rules or of any regulations, by-laws or conditions established by a race club or other responsible body for the conduct of organised trials or the use of any training facility;
- (c) take any action deemed necessary in respect of any horse.



## REGISTRATION OF CLUBS AND MEETINGS

**AR 11.** There shall be kept at the office of each Principal Racing Authority a register of every Club in its territory applying to hold race meetings under the Rules. Every such application shall be made in writing by the Secretary or other official on behalf of such Club to the Secretary of the Principal Racing Authority and shall be accompanied by a copy of its constitution and rules.

**AR 12.** There shall also be kept a register of all race meetings approved to be held under these Rules other than those held by registered Clubs. Every application for such registration accompanied by the proposed programme shall be made in writing to the Secretary of the Principal Racing Authority by some person authorised by the organisers of the meeting before the programme is published.

**AR 13.** The Principal Racing Authority may in its discretion accept or refuse registration of any such Club or meeting, or having granted it may at any time revoke it.

## REGISTRATION OF HORSES

**AR 14.** No horse if in Australia shall be entered for and no horse shall run in any race or barrier trial unless it has been registered with the Registrar of Racehorses, provided that the Principal Racing Authority or Stewards, after conferring with the Registrar, may allow a horse registered abroad to start upon such conditions as they see fit; further provided that an unregistered foal or yearling may be entered for a race if the conditions so provide.

**AR 14A.** The Certificate of Registration of any horse registered in a country other than Australia may be endorsed to race in Australia for a twelve months period by the Registrar or Deputy Registrar, upon payment of the prescribed fee and provided a declaration is received by the Registrar or Deputy Registrar from the Principal Racing Authority of that country stating that none of the owners is a jockey and that none is under any disability under the Rules of Racing of that country.

**AR 15** (1) Every application to register any horse shall contain or be accompanied by the following particulars, *viz*:

(a) in respect of the horse's ownership –

(i) name and signature of each owner, his date of birth and usual address;  
or

(ii) if the horse is owned by a Company, the name of the Company and its registered address; or

(iii) in the case of a horse owned by a syndicate, the name of the syndicate and the names of the trustees or registered manager thereof and the usual address of each of them; and

(b) in respect of a horse, its –

(i) age;

(ii) sex;

- (iii) colour;
- (iv) pedigree;
- (v) brands and markings;
- (vi) microchip number if applicable;
- (vii) Veterinary Identification Certificate or Foal Identification Card;
- (viii) any disqualification incurred;
- (ix) such other information as the Registrar or Deputy Registrar may consider necessary.

Provided that any of these particulars shall, if required by the Registrar, be verified by statutory declaration.

(2) The Registrar may allow or reject any application for registration of any horse. The fees payable for registration of a horse shall be such as are determined from time to time by the Australian Racing Board.

**AR 15A.** A horse born on or after the 1st August, 1980, cannot be registered unless it has been-

- (i) Accepted for inclusion as a foal in the Australian Stud Book or the Stud Book of a recognised turf authority, or
- (ii) Accepted for inclusion in the Australian Non-Stud Book Register or Non-Stud Book Register of a recognised turf authority.

**AR 15B.** Non-Stud Book mares born prior to 1st August, 1986 are ineligible for registration.

**AR 15C.** A horse cannot be registered unless its chromosomes are derived, unmodified by human manipulation, from the normal complement of chromosomes, usually 32 pairs, in the zygote (the fertilised egg cell which becomes the embryo), each pair having received one chromosome from the sire's sperm and one chromosome from the dam's ovum.

**AR 16.** Unless otherwise permitted by the Registrar of Racehorses, no horse shall be registered unless –

- (a) it is branded with an identifying brand and, subject to any State legislation, with a brand that consists of a distinguishing foaling numeral over the last figure of the foaling year determined by the provisions of AR.46; and
- (b) it has been implanted with a microchip in accordance with the requirements of the Registrar of Racehorses.”

**AR 17.** If any incorrect information be furnished on the application for registration of a racehorse, the stewards may punish the applicant and may suspend the horse from racing pending a decision by the Registrar of Racehorses as to whether or not the registration of the horse should be cancelled.

**AR 18.** (a) The Registrar may refuse to register any name which for any reason he may deem undesirable.

(b) The Registrar may cancel any horse's registered name for whatever reason he deems necessary.

**AR 19.** (1) Except with the approval of the Registrar, no horse shall be registered with the same name as any other horse previously registered in Australia until 17 years after the year of birth of the horse with the same name.

(2) No horse shall be registered by the Registrar until 20 years after the year of birth of the youngest produce of the horse with the same name.

(3) At the discretion of the Registrar a horse imported from outside Australia may be registered under its existing name with the addition of a numeral or letters indicating the name of the country in which it was bred and such numeral or letters shall form part of its name.

**AR 20.** An owner may apply in writing to the Registrar for permission to change the name of a registered horse and, if permission be granted, the horse shall not be run under the new name until the Document of Description or Thoroughbred Identification Card in the new name has been issued. Each such application shall be accompanied by a fee as determined from time to time by the Australian Racing Board, and shall be paid to the Registrar. Provided that no fee need be paid on an alteration made by direction of the Registrar.

**AR 21.** In any case of change of name, the old name as well as the new name must be given in every entry until the horse has run in six races in the territory of one Principal Racing Authority or two races within its Metropolitan Area or Suburban Radius.

**AR 22.** If a registered horse be transferred to a new owner, the transferee shall apply for registration of the transfer to the Registrar or a Deputy Registrar on the prescribed form. Any such application by a syndicate shall be sufficient if signed by a majority of the trustees or by the registered manager thereof.

The Registrar or Deputy Registrar may refer any such application to Stewards or may himself inquire into the bona-fides of the transaction and the identity of the persons or horses concerned, and whether they or any of them are under any disability under the Rules. Until such transfer is registered the horse shall not be permitted to start in any race without the leave of the Principal Racing Authority or the Stewards who may impose such conditions as to payment of a fine or otherwise as they see fit.

**AR 23.** Any Principal Racing Authority may make rules with reference to the registration or naming of hacks and/or ponies in its own territory or exempting them from registration.

**AR 24.** The Registrar or a Deputy Registrar may approve or reject any application for the transfer of ownership of a horse. If he allows such an application he shall forthwith, on payment of the prescribed fee, amend the official ownership records: provided that any action under this Rule by a Deputy Registrar shall be subject to the approval of the Registrar, and any amendment made may be withdrawn or cancelled if such approval be not given. The fees payable for the transfer of ownership shall be such as are determined from time to time by the Australian Racing Board.

**AR 25.** Any Deputy Registrar who registers a horse or a transfer shall notify the same to the Registrar within fourteen days.

**AR 26.** No horse foaled in a country other than Australia shall be registered unless there shall have been produced -

(a) a certificate of pedigree stating where it was foaled, the name (if any), age, sex, colour, pedigree, microchip number (if any) of the horse and any brands and markings by which it may be distinguished, certified by the official Stud Book Authority of the country in which the horse was foaled, and such other evidence as may be prescribed by the Registrar; and

(b) a certificate of identification stating the age, sex, colour, microchip number (if any) and any brands and markings by which the horse may be identified, certified by a veterinary surgeon approved for this purpose by the Stewards

**AR 27.** A duplicate Document of Description or Thoroughbred Identification Card may, on payment of the prescribed fee, be issued by the Registrar if he is satisfied on the evidence received that such Document of Description or Thoroughbred Identification Card was lost, destroyed or for some other reason cannot be produced.

### **ASSUMED NAMES**

*AR 28 - AR 31 rescinded from 1.8.86.*

Note: Existing assumed names unaffected by rescission.

### **LEASES**

**AR 32.** The lessee of any horse leased for racing shall, before entering such horse for any race at a registered meeting lodge for registration with the Secretary of a Principal Racing Authority the lease or other documents under which he claims to be entitled to the possession or control of such horse, or a true copy thereof, and, if a copy only is lodged, he shall if so required produce the original to the office of the said Principal Racing Authority. A lease document shall be sealed on behalf of a Company and in the case of a syndicate signed by its trustees.

**AR 33.** If a lease be determined before the due date notice thereof shall be given in writing to the Secretary of the Principal Racing Authority with whom the lease or a copy was lodged before the horse is thereafter nominated for any race.

**AR 34.** The Principal Racing Authority may refuse to accept for registration any lease, and may insist on the inclusion in a lease of provisions it considers essential, and may prohibit the inclusion of provisions considered undesirable, and may prescribe a general form of lease which may be adopted with or without modification.

### **RACE MEETINGS**

**AR 35.** The Principal Racing Authority may in its absolute discretion exempt such meetings or races as they think fit from the provisions of all or any of the Rules on such conditions as they think fit.

**AR 36.** Before being advertised or otherwise published, the conditions of and the name of every race and the full programme of every meeting shall be lodged with the Secretary of the Principal Racing Authority for approval at such time or times as may be prescribed by the Principal Racing Authority or by Local Rule. The Principal Racing Authority may in its absolute discretion and

without assigning any reason therefor refuse approval of any race or the name of any race or the conditions of any race.

**AR 36A.** Except where the Principal Racing Authority otherwise determines, a race meeting conducted by a Club on a racecourse other than that on which the Club usually conducts its race meetings, shall be deemed to be a race meeting of the same status as the race meeting would have had but for the transfer of venue.

**AR 36B.** No alteration shall be made after the declaration of acceptances to the weight allotted to any horse as a consequence of -

- (a) any alteration to race distance approved by the Stewards; or
- (b) any race postponement for which the original fields are unaltered.

**AR 37.** The value of prizes not in money must be advertised.

**AR 38.** The Committee of any Club may with the approval of the Principal Racing Authority cancel or abandon any race or meeting, or may postpone the same to a day approved by the Principal Racing Authority, or other body authorised by it to allot race dates, either before or after the commencement of the meeting. In the event of cancellation or abandonment all entry and acceptance fees not already forfeited shall be returned. The Principal Racing Authority may appoint an official for the purpose of giving any approval required by this Rule and the approval of such official shall be deemed to be the approval of the Principal Racing Authority.

**AR 39.** No person shall act in an official capacity as Steward, Veterinary Surgeon, Handicapper, Judge, Starter, Clerk of the Course, Clerk of the Scales, Farrier, Barrier Attendant or Timekeeper, or as Assistants or Deputies of any of the above in respect of any race in the result of which he has a pecuniary interest.

**AR 40.** After every race meeting the Secretary of the Club holding the meeting shall forthwith forward to the Secretary of the Principal Racing Authority a report containing:-

- (a) Names of horses which started in each race.
- (b) Particulars of age, colour and sex.
- (c) Weights carried.
- (d) Names of owners, trainers and riders and the pedigrees when known of the placed horses.
- (e) Positions of horses placed in the race.
- (f) A statement of all fines inflicted and all complaints to and decisions of the Stewards.
- (g) The name of all horses sold or claimed in any selling or claiming race.
- (h) Any overweight carried, whether it has been duly declared or not.

**AR 41.** The Stewards of every race meeting shall forward a report to the Secretary of the Principal Racing Authority which shall include a statement of any action taken by them.

**AR 42.** The Principal Racing Authority may divide, or authorise the Committee of a Club or the Stewards to divide, any race into two or more divisions in such circumstances and upon such terms as the Principal Racing Authority thinks fit.

**AR 43.** No race shall be less than 800 metres.

**AR 44.** Yearlings shall not run for any race.

**AR 45.** Two-year-olds shall not be allowed to start in any race before the First day of October, or such other date as the Principal Racing Authority concerned shall determine, and thereafter two-year-olds shall not be allowed to start:-

- (a) In a race over a distance exceeding 2,000 metres.
- (b) In a handicap for which horses over the age of two years are eligible run before the first day of January, or such other date as the Principal Racing Authority concerned shall determine.

**AR 46.** The age of a horse shall be reckoned as follows:

- (a) If it was foaled between the first day of July and the thirty-first day of December

- (i) from the first day of August in the year in which it was foaled if its dam was first covered on or after the first day of September in the previous year, as that covering is recorded by the Stud Book.

- (ii) from the first day of August in the year previous to the year in which it was foaled if its dam was first covered before the first day of September in the year previous to the year in which it was foaled, as that covering is recorded in the Stud Book.

- (b) If it was foaled between the first day of January and the thirtieth day of June, from the first day of August in the year previous to the year in which it was foaled.

**AR 46A.** Group and Listed Races are those considered by the Australian Racing Board to reflect the highest standard of racing. The only Group and Listed Races which will be officially recognised for races run under these Rules are those approved and adopted from time to time by the Australian Racing Board. These races will be published in a schedule approved by the said Board.

**AR 46B.** The official results and horse performance records for races run under These Rules are those recorded by RISA.

## **NOMINATIONS AND ENTRIES**

**AR 47.** A horse shall not run in any race except one for which it is eligible under these Rules.

**AR 48.** (a) The Secretary of the Club shall from time to time publish the days on which entries may be lodged with him or with any other person on his behalf to be named in such notice, and all entries shall be subject to the provisions of the Rules.

(b) Entries for all races shall be made in the name of the owner (or, if the horse is leased, the lessee) and shall be in writing signed by the owner (or, if the horse is leased, the lessee) or the trainer of the horse or the authorised agent of any of them, provided that a lessor may enter a horse under lease by him for any race to be run after the expiration of the lease. In the event of the lessee entering a horse for a race to be run after the expiration of the lease, the lessee shall not scratch such horse without the consent of the lessor (which consent shall be in writing), unless otherwise directed by the Principal Racing Authority provided that if such consent is refused, the

lessor and not the lessee shall be liable for all future payment of fees or forfeits in connection with such entry.

(c) All entries shall be made as prescribed and, if required by the race conditions or the Local Rules or any regulations or arrangements established by the Principal Racing Authority, be accompanied by the necessary fee.

(d) If no other hour be fixed the list of entries shall close at 4 p.m.

**AR 49.** No horse in which a person under the age of 18 years has an interest whether by lease or a share in ownership or outright ownership shall be eligible for any trial or race.

**AR 50.** All nominations and entries are subject to approval, and the Committee of any Club, or the Stewards, may decline to receive, or at any time after having received, reject any nomination or entry without giving any reason for so doing. If any nomination or entry be rejected under this Rule, the fees paid in respect thereof shall be refunded.

**AR 51.** All declarations of forfeit, acceptance, non-acceptance, or withdrawal (sometimes called "scratching") shall be made before such time on such day as may be prescribed by the Committee of the Club. Unless varied by or in accordance with any Local Rule and except to the extent of any such variation, every such declaration shall be accompanied by the necessary fee or payment (if any) in cash paid to the Secretary of the Club or person authorised by him. Any such declaration once made may not be withdrawn subject to any Local Rule in respect of postponed meetings.

**AR 52.** If the Secretary of the Club accept any entry without payment of the necessary fee or allow a horse whose subscription or stake has not been paid to start in a race he may be fined.

**AR 53.** The Principal Racing Authority may direct that no Club shall receive:-

- (a) Nominations by any person, or of any horse in which he is interested at the time of nomination.
- (b) Nominations of any horse or horses owned by any person at the time of such direction,

and may further direct that any such nominations already received be rejected.

A horse the subject of any such direction shall not be eligible to run in any race in Australia without the permission of the Principal Racing Authority making the direction. Provided that any Principal Racing Authority may waive the application of this Rule within its own area in favour of a bona-fide lessee of a horse owned by a person suffering disabilities under this Rule, in which case the provisions of Rule 185 shall apply in the same manner as if such owner was a disqualified person.

**AR 53A.** (1) An attack of bleeding shall be the appearance of blood at both nostrils, irrespective of quantity, unless in the opinion of the Stewards such bleeding was caused by external trauma.

(2) If a horse suffers an attack of bleeding at any time the fact of such bleeding shall be reported by the Trainer without delay to the Stewards.

(3) If any Principal Racing Authority advises in writing that any horse has suffered an attack or attacks of bleeding such advice shall be prima facie evidence that such horse has suffered an attack or attacks of bleeding.

(4) A horse which has in the opinion of the Stewards suffered an attack of bleeding shall not without permission of the Stewards -

(a) be trained, exercised or galloped on any racecourse for a period of two months thereafter;

(b) start in any race for a period of three months, and then only after a satisfactory gallop of at least 1,000 metres in the presence of a Steward.

(5) If a horse suffers more than one attack of bleeding such horse shall be ineligible to start in any race.

(6) If a horse displays blood at one nostril, the trainer shall without delay report such occurrence to the Stewards.

(7) Unless the Stewards are satisfied that the presence of blood provided for in subrule (6) was attributable to external trauma, the horse shall before racing again be required to undergo a satisfactory gallop of at least 1,000 metres in the presence of a Steward.

**AR 53B.** Following a horse suffering a bleeding attack the Stewards shall -:

(a) record such bleeding attack and any related embargo imposed on the horse in the National Stewards Embargo Register; and also, if applicable, record such bleeding attack and any related embargo imposed on the horse in the horse's Document of Description, which shall be presented by the trainer to the Stewards as soon as possible after the bleeding attack; and

(b) record in the National Stewards Embargo Register any subsequent permission given for the horse to resume racing; and also, if applicable, in the horse's Document of Description, which shall be presented as soon as possible by the trainer to the Stewards for that purpose.

**AR.53C.** In the event of an entire horse being gelded or a female horse being spayed the owner of such horse or his agent shall, prior to nominating such horse for a race or trial, or transferring the ownership of such horse -

(a) notify the trainer, who shall submit a stable return reporting such change if a gelding; and

(b) notify the Stewards or the Registrar, who shall -

(i) record such amendment in the records of the Registrar of Racehorses; and,

(ii) if applicable, amend the Document of Description of the horse concerned.

**AR 54.** *[Deleted 1 July 2005]*



**AR 55.** In entering a horse in several races closing simultaneously, it will be sufficient to give its name and description in one of the nominations and the name only in the others.

**AR 56.** Every entry form or the stable return forming part thereof shall state -

- (i) the name or names of every person or persons having any share or interest in the ownership or lease of the horse shown therein.
- (ii) the name of the horse.
- (iii) the name of the trainer (if any).

Provided that in the case of a leased horse it shall be sufficient to show the name or names of all the lessees of the horse and provided further that in the case of a horse nominated by a syndicate, the name of the syndicate and the nominated trustees or registered manager of the syndicate.

The nominator, trainer or any other person contravening this rule may be punished by the Stewards.

**AR 56A.** No horse, if in Australia, shall be entered for or run in any race or organised trial unless:

- (a) it is trained by a person licensed or holding a permit to train granted by a Principal Racing Authority or Association, or a person qualified to train under AR 80; and
- (b) it is stabled in accordance with the conditions of such licence or permit.

Provided that this rule shall not apply to a horse entered for a race the entries for which close more than 60 days prior to the advertised date for the running of such race. Further provided that this Rule shall not apply to any other race exempted under the Rules.

**AR 56B.** Any person who, in the opinion of the Stewards, fails to declare any share or interest in, misrepresents or provides any misleading or inaccurate information regarding the ownership of a horse, or is a party to any breach of this rule, may be punished by the Stewards and the horse may be disqualified.

**AR 57.** (1) The manager may be removed or replaced by a memorandum signed by the joint owners or lessees or syndicate members representing a majority interest in the horse.

(2) The manager of a horse shall, alone of the joint owners, lessees or syndicate members be entitled to:

- (a) enter, nominate, accept or scratch such horse for any race;
- (b) engage a jockey to ride such horse for any race;
- (c) receive any prize money or trophy won by such horse; or
- (d) act for and represent the joint owners, lessees or syndicate members in relation to the horse in all respects for the purpose of these Rules.

(3) The entry or nomination of every such horse for any race shall state thereon the name of the manager.

(4) The trainer of any such horse who enters, nominates, accepts or scratches such horse shall be deemed to have done so with the authority of the manager and all other nominators.

**AR 58.** Full particulars in writing of every dealing or change of interest in respect of such horse or any appointment or change of trainer occurring after the entry and before the race for which such horse is entered shall be forthwith furnished by the nominator to the person with whom such entry was made.

**AR 59.** *[Deleted 1<sup>st</sup> July 2005]*

**AR 59A.** (1) No horse shall be permitted to start in a race or official trial unless one hour prior to the start the Document of Description or the Thoroughbred Identification Card of the horse is produced, if required, to the Stewards.

(2) Notwithstanding the provisions of subrule (1) of this rule, the Stewards, in their absolute discretion, if otherwise satisfied as to the identity of the horse may permit it to start in the race or official trial.

(3) The trainer of a horse and/or his duly authorised representative shall not permit the horse to be presented for or start in a race or official trial unless he has established its identity.

(4) A trainer and/or his duly authorised representative who fails to comply with the provisions of this rule commit a breach of these Rules

**AR 59B.** (a) A licensed person who wishes to participate in racing in the territory of a Principal Racing Authority or a racing association other than that in which he last participated, shall obtain from the Principal Racing Authority or racing association concerned, or the stewards thereof, a certificate to the effect that he is under no disability.

(b) In the event of a trainer wishing to race or trial a horse in a territory of a Principal Racing Authority or racing association other than that in which the horse last raced, shall obtain from the Principal Racing Authority or racing association concerned or the stewards thereof, a certificate to the effect that such horse is under no disability.

(c) The certificate provided for in sub-rule (a) of this rule shall be submitted to the stewards as soon as possible after the person concerned has arrived in the territory of the Principal Racing Authority to which he has been cleared, and the certificate provided for in subrule (b) of this rule shall be submitted to the stewards at least one hour before the horse concerned is to take part in its first race or trial in the new area.

(d) In the event of any non-compliance with the provisions of subrule (c) of this rule, the stewards may prevent the licensed person concerned from taking part in racing activities in their area, or may prevent the horse concerned from taking part in any race or trial in their area.

(e) The stewards, in their absolute discretion, if otherwise satisfied as to the bona fides of a licensed person or horse, may waive the requirements of subrule (d) of this rule.

(f) The stewards may punish any person who has not conformed to the requirements of this rule.

**AR 60.** The nominator of a horse which has been registered as a racehorse outside Australia must provide in writing by entry closing time to the Principal Racing Authority in the area in which the horse is entered in to race:

- (a) In the case of a horse which has never started in a race, a statement to that effect:
- (b) In the case of a horse which had its last start in a race in an overseas country, a statement advising –
  - (i) the total number of starts,
  - (ii) the racecourse and date of each start,
  - (iii) the type of race and the distance,
  - (iv) the finishing position and the weight carried,
  - (v) the total of the prizemoney offered for each race and the amount received for winning or being placed in any such race.

Details of performance in overseas countries must be certified by an official of the controlling body of racing in the territory overseas in which such horse last raced.

**AR 61.** No alteration or addition shall be made in any entry after the time fixed for closing without the authority of the Committee of the Club, or the Stewards as hereinafter provided.

**AR 62.** (a) Any nomination or entry made contrary to these Rules shall be rejected as invalid, and the Committee of the Club or the Stewards may make such order as they think fit in respect of any stake or fee paid or payable; provided that the Committee of the Club or Stewards (if satisfied that the horse intended to be nominated or entered is sufficiently identified) may permit or order any error or omission whenever made in or from or relating to or affecting any nomination or entry to be corrected or made good at any time before the race in respect of which such nomination or entry was made.

(b) Any such permission may be given and any such order may be made retrospectively.

(c) Any person responsible for a nomination or entry contrary to these Rules may be punished by the Principal Racing Authority or Stewards.

**AR 63.** A horse shall be eligible to run in any race only if it possesses at the time of starting the qualifications (if any) imposed by the conditions of the race.

**AR 64.** (1) No horse shall be entered for a race for which it is ineligible.

(2) Any horse that runs in a race for which it was ineligible, or in which it carried less than the weight prescribed by the conditions of the race, shall be disqualified for the race.

**AR 64A.** (1) A horse that is totally blind in one eye is ineligible for any race, official trial or trackwork.

(2) A horse that has partially impaired vision is ineligible for any race, official trial or trackwork unless the Stewards are satisfied on specialist veterinary evidence that the

impairment does not constitute a danger to such horse or other participants in a race, official trial or trackwork.

(3) In the event of a horse being suspected of being blind or having impaired vision the owner of the horse or his agent shall as soon as practicable notify the Stewards, who shall then ensure that –

(a) details of the horse's impaired vision and any related embargo are recorded in the National Stewards Embargo Register; and,

(b) if applicable, details of the horse's impaired vision and any related embargo are recorded and are certified by the relevant veterinary surgeon on its Document of Description.

(4) Any person who, in contravention of subrules (1) or (2) of this rule, enters or runs a horse in a race or official trial or permits a horse to engage in trackwork, or fails to notify the Stewards as required by subrule (3), commits a breach of these Rules unless he proves to the satisfaction of the Stewards that he was not aware, and should not reasonably have been aware, that the horse has the blindness or impaired vision specified in this rule.

**AR 64B.** (1) A horse that has had a limb neurectomy is ineligible to race.

(2) Notwithstanding the provisions of subrule (1) of this rule, a horse that had a palmar digital neurectomy prior to 1st September 1999 is eligible to race provided that prior to 1st September 1999 the details of the surgery undergone have been endorsed on the *Document of Description* for the horse, and the Stewards have received and accepted from a qualified veterinarian a written certificate of fitness of the horse to race.

(3) In the event of a horse undergoing neurectomy surgery the owner of the horse or his agent shall as soon as practicable notify the Stewards who shall then ensure that –

(a) details of the surgery and the horse's ineligibility to race are recorded in the National Stewards Embargo Register; and,

(b) if applicable, the Document of Description for the horse is endorsed with details of the surgery and the horse's ineligibility to race.

**AR 64C.** A horse which has had a tracheostomy, with or without a tracheotomy tube inserted, will be ineligible to trial or race.

**AR 64D.** If at any time the Stewards have reason to doubt the fitness of any horse to race they may declare such horse ineligible to race until such time as its fitness is established by such trial or test or examination as they may specify.

**AR 64E.** (1) No mare or filly shall race or take part in any trials or trackwork after day 120 of its pregnancy.

(2) A trainer shall notify the Stewards in writing as soon as practicable –

(a) the pregnancy of any mare or filly in his charge; and

(b) the date of last service of such mare or filly.

**AR 64F.** (1) A horse that has been subjected to a firing procedure in Australia is ineligible to race.

(2) Notwithstanding the provisions of subrule (1) of this rule, a horse that was subjected to a firing procedure prior to 1<sup>st</sup> October 2001 is eligible to race provided that prior to 1<sup>st</sup> October 2001 it was inspected by the Stewards and the *Document of Description* for the horse was endorsed with the details of such firing.

(3) In the event of a horse being subjected to a firing procedure the owner of the horse or his agent shall as soon as practicable notify the Stewards who shall then ensure that –

- (a) details of the procedure and the horse's ineligibility to race are recorded in the National Stewards Embargo Register; and,
- (b) if applicable, the Document of Description for the horse is endorsed with details of the procedure and the horse's ineligibility to race.

**AR 64G.** (1) No horse engaged to be run in a race or trial shall without the permission of the Stewards be stomach-tubed within 24 hours of the appointed starting time for such race or trial. For the purposes of this rule "stomach-tubed" means any application to a horse of a naso-gastric tube.

(2) Any horse that has been stomach-tubed contrary to the provisions of subrule (1) of this rule may be prohibited from starting in any relevant race or trial, and the trainer of the horse and any other person involved at any relevant time may be punished.

**AR 64H.** A horse that has been subjected to any form of shock wave therapy shall be ineligible to race or take part in any trial in the seven days following such treatment.

**AR 64J.** (1) Upon the death of a horse, whether it has been included in a stable return, or has been retired, or was yet to race, the owner or trainer of the horse or their authorised agents, or any other person in charge of the horse at the time shall report the occurrence to the Stewards and the Registrar as soon as practicable.

(2) In the event of the deceased horse being included in a current stable return the trainer shall as soon as practicable lodge an amended stable return in relation to the death.

(3) Any person who fails to comply with the provisions of subrules (1) and (2) commits a breach of these Rules unless he proves to the satisfaction of the Stewards that he was not aware, and could not reasonably have been aware, of the death of the horse.

**AR 65.** The name of any horse disqualified by a Principal Racing Authority may be struck out of any engagements by the Secretary of any Club who has received any entry of such horse.

**AR 66.** No person who has once subscribed to a stake shall be allowed to withdraw except as provided by these Rules.

**AR 67.** A race shall be void when there is not more than one entry and forfeits and entrance moneys shall be returned.

**AR 68.** It shall not be competent for the nominator of a horse in any subscription or entry to use any name other than his own, unless it is an assumed name which is registered by the Principal

Racing Authority of the territory in which such horse is raced, or the syndicate name where the horse is owned or leased by a syndicate.

**AR 68A.** No person may enter or cause to be entered a horse in a race with the sole purpose of affecting the weight to be allocated to any other horse entered in such race. Any person who, in the opinion of the Stewards, is guilty of a breach of this rule, may be punished and the nomination of the horse may be rejected for the race concerned.

## SYNDICATES

**AR 69.** Subject to A.R. 69F, a horse shall not be entered or raced except by;

- (a) A natural person, or a combination of not more than ten natural persons.
- (b) A syndicate.
- (c) A combination of one or more registered syndicates and/or natural persons totalling in all not more than ten.

Provided the syndicate has been registered in accordance with the Rules of Racing and complies with the Regulations.

**AR 69A.** For the purpose of these Rules the word syndicate shall mean and include any one of the following owning or leasing a racehorse -

- (a) A company (as defined in AR 1).
- (b) A combination of persons not exceeding twenty.
- (c) A firm (as defined in AR 1).
- (d) A stud (as defined in AR 1) which has been registered as a syndicate in the name of the stud.

**AR 69B.** Every natural person who has a right to participate or an interest (whether actual, prospective or contingent) with a combination of persons not exceeding twenty:

- (i) In any undertaking or scheme relating to the racing of one or more horses; or
- (ii) In any common enterprise in relation to one or more horses in which he is led to expect benefit or advantage from the enterprise or the promoter of it; or
- (iii) In any arrangement in relation to one or more horses which in substance involves the investment of money in circumstances in which he will or may have an interest in one or more horses or any benefit or advantage from the racing of it;

shall be deemed to be a member of a syndicate and the syndicate shall be deemed to be the owner or lessee and to enter or race (as the case may be) the relevant horses.

**AR 69C.** A horse may be entered or raced by a syndicate which has been registered on or after the 1st day of August, 1982, provided that the legal possession of the horse has been vested in a manager or that a company registered as a syndicate has appointed under seal a registered manager.

**AR 69D.** A natural person only shall be nominated as the manager of a syndicate.

**AR 69E.** The number of syndicates a natural person, company, firm or stud, shall be a member of or have an interest in may be determined by the Regulations.

**AR.69F.** [*rule rescinded 1.12.98*]

**AR 69G.** Application to register a Syndicate shall be made by lodging with a Principal Racing Authority a written application containing such information as is prescribed by the Regulations. No Syndicate name shall be registered or used which has not been approved by the Principal Racing Authority and the Registrar.

**AR 69H.** The Australian Racing Board may from time to time make Regulations (in these Rules called "the Regulations") making such provision in relation to syndicates as it may deem appropriate in relation to the formation, conduct and termination of syndicates, and otherwise howsoever in respect of them. Without limiting the generality of the foregoing, it may make Regulations in respect of the following:

- (i) The information to be furnished to a Principal Racing Authority in relation to the registration of a syndicate;
- (ii) The form of Certificate of Registration to be issued in respect of a syndicate, the person to whom it is to be issued, and the person to have and retain the possession of the certificate;
- (iii) The representation of a syndicate for the purposes of the Rules of Racing;
- (iv) The name in which a syndicate may be registered and the powers of a Principal Racing Authority to register or refuse to register or require the alteration of the name of a syndicate;
- (v) The information to be furnished to a Principal Racing Authority in relation to each additional horse to be entered or raced by a syndicate;
- (vi) The renewal or registration of a syndicate;
- (vii) The details respecting a syndicate which are to be published in the *Racing Calendar*;
- (viii) The mode and circumstances of cancellation of registration of a syndicate;
- (ix) The service of notices upon or communications with a syndicate and the members of it;
- (x) The termination of a syndicate and matters relating thereto;
- (xi) Procedures upon any change in membership of a syndicate;

(xii) The fees to be paid in relation to a syndicate.

**AR 69-I.** No syndicate may be registered or continue to be registered if and while any horse owned or leased by the syndicate is under disqualification or if and while any member thereof is a person whose interest in any horse would, under the Rules, operate to prohibit such horse from being entered for or started in any race.

**AR 69J.** Notwithstanding anything in these Rules, a Principal Racing Authority may at any time call upon the trustees or registered manager of a syndicate to show cause why the registration of the syndicate should not be cancelled or suspended.

**AR 69K.** Every person or company committing a breach of any of the Rules relating to syndicates or of the Regulations made in relation thereto or who fails to comply with any of the requirements thereof may be punished by the Principal Racing Authority or the Stewards who may also disqualify any horse owned or leased by the syndicate.

**AR 69L.** Every member of a syndicate shall in all respects and for all purposes be bound by these Rules and the Regulations irrespective of the nature or extent of the interests or rights of such members in the syndicate, and the provisions of any rules or constitution governing the syndicate or any agreement or stipulation as between the members of the syndicate.

**AR 69M.** A Principal Racing Authority shall not have any responsibility for the due observance by the persons concerned of any syndicate agreement or any other instrument relating to a syndicate or for the performance of any legal or equitable obligations of any members of a syndicate.

**AR 69N.** If but for the provisions of this Rule a horse would under these Rules be ineligible for a race by reason of the interest of a person who is a member of a company, combination, firm or stud, registered as a syndicate which is the owner or lessee of such horse and such horse has started in a race at a meeting or is nominated for a race at a meeting, then the Committee of the Club conducting the meeting or the Stewards officiating at it may (after considering the circumstances associated with that ownership leasing or membership and such other circumstances that they may consider relevant) rule that the horse was eligible for the race in which it started or is eligible for the race for which it is nominated, whereupon notwithstanding anything in these Rules, the horse will be eligible for the race, but if the horse has won or wins prize money the proportion of that prize to which such person would otherwise be entitled shall be retained by and become the property of the Club conducting the meeting.

**AR 69O.** In cases where a syndicate has owned, part owned or leased a horse, and that syndicate now wishes to own, part own or lease a subsequent horse, a separate notification must be lodged in respect of each subsequent horse and each notification must be accompanied by the fee prescribed from time to time by the Australian Racing Board. No application to register a racehorse, endorse a transfer or record a lease will be accepted unless accompanied by the separate notification form, together with the prescribed fee.

**AR 69P.** (i) Any person or persons wishing to make an offer to promote shares in a racehorse or racehorses must hold a Dealer's Licence issued by the Australian Securities Commission.

(ii) Prior to an offer of shares being made to the public, the holder of a Dealer's Licence must be recorded in the Register of Promoters held by the Principal Racing Authority.



(iii) Promoters must obtain approval from the Principal Racing Authority for each Promoter's Disclosure Statement prior to an offer being made.

(iv) Any Principal Racing Authority which records a promoter shall notify the same to the Registrar of Racehorses within fourteen (14) days.

### SYNDICATE REGULATIONS

**Synd Regn (a)** The members of a syndicate may apply to a Principal Racing Authority for approval to race a horse.

**Synd Regn (b)** Application shall be made in writing in the prescribed form signed by all members of the syndicate and where a company is an applicant or member of a syndicate under the seal of such company and shall be accompanied by:

- (i) a copy of the syndicate agreement (except where a company is the sole applicant);
- (ii) *[Deleted 1/3/88]*.
- (iii) an address to be the registered address for the syndicate;
- (iv) the prescribed fee;
- (v) details of registration of the syndicate by any other Principal Racing Authority;
- (vi) in the case of a firm or business name a copy of the Certificate of Registration of same and any renewal thereof.

**Synd Regn (c)** The syndicate agreement shall be in a form prescribed by the Principal Racing Authority to which the application is made and shall contain the following:

- (i) the name and address and date of birth of each member and the share of each member in the horse;
- (ii) a statement setting out all financial arrangements agreed between the members and in particular the method of calculating and the timing of payment of any contributions due from members toward racing, training and other expenses;
- (iii) provision for the appointment of a manager in whom the legal possession of the horse is to be vested for the syndicate;
- (iv) a declaration that each member has read the Australian Rules of Racing and the regulations concerning syndicates and interests in horses and any Local Rules of the Principal Racing Authority to which the application is made relating thereto, and that except for traffic convictions involving a fine, had not been convicted of any criminal offence;
- (v) a term imposing on a manager in whom legal possession of the horse is vested an obligation to keep proper books of account and to send to each member of

the syndicate a copy of the accounts each and every three months and to send each member an audited copy of the annual accounts;

- (vi) any other provisions that the Principal Racing Authority considers desirable either generally or in particular cases.

**Synd Regn (d)** An application for approval by a company to race a horse shall be made in the prescribed form and shall be accompanied by copies of:

- (i) Certificate of Incorporation;
- (ii) if the company has a Constitution, that Constitution;
- (iii) the name and address of each director and his date of birth;
- (iv) the name and address of each member;
- (v) the address of the registered office;

and by the prescribed fee.

The Principal Racing Authority to which the application is made may in its discretion and to the extent it considers appropriate dispense with the requirement to submit the names and addresses of members.

**Synd Regn (e)** The Principal Racing Authority shall have complete discretion whether to approve any syndicate as the owner or lessee of a horse or any members thereof as lessees or manager of a horse for the syndicate or in the case of a company the Registered manager thereof but it shall refuse to approve a syndicate of which any member is a disqualified person.

**Synd Regn (f)** Every syndicate agreement approved by a Principal Racing Authority and any other instrument that a Principal Racing Authority considers desirable either generally or in a particular case shall be registered by the secretary of that Principal Racing Authority. The name of every company and of every person approved to be the registered manager for a company and the manager of any syndicate not being a company shall be registered by the secretary of the Principal Racing Authority and published in the *Racing Calendar*. A Certificate of Registration shall be issued to the manager of every syndicate.

**Synd Regn (g)** A Principal Racing Authority may in its absolute discretion at any time and without assigning any reason suspend or cancel the registration of a syndicate.

Without prejudice to the foregoing registration shall be cancelled if:

- (i) any member registered manager director or officer of the syndicate is or becomes a disqualified person or a person whose interest in a horse would, under these Rules, operate to prohibit the horse from being entered for or starting in a race;
- (ii) the manager of the syndicate or any member thereof fails to supply to the Principal Racing Authority or its Stewards within a stipulated time such information as the Principal Racing Authority (or the Stewards at the direction of the Principal Racing Authority) may require;

- (iii) being a company registered alone as a syndicate:
  - (a) a winding up order is made or a receiver, receiver and manager or official manager is appointed in respect of it;
  - (b) any Registered manager for or officer of the company fails to supply to the Principal Racing Authority or its Stewards within a stipulated time such information as the Principal Racing Authority (or the Stewards at the direction of the Principal Racing Authority) may require.

**Synd Regn (h)** The registration of a syndicate will not be affected by the fact that:

- (i) a member of the syndicate other than the manager of the horse for the syndicate has disposed of the whole or part of his share since the agreement was registered, provided that no share of any one such member is disposed of more than once in any period of 28 days and provided that notification of each such disposition signed by the transferor and the transferee and containing a declaration by the transferee that he possesses a copy of the syndicate agreement and that he has read the *Australian Rules of Racing* and the Regulations concerning syndicates and interests in horses and any Local Rules of the Principal Racing Authority by which the syndicate is registered relating thereto is lodged with the Principal Racing Authority within seven days of the disposition; or
- (ii) a member of the syndicate has died, provided that written notification of death is lodged with the Principal Racing Authority within 28 days; and provided further that if the Principal Racing Authority by notice sent to the registered address of the syndicate calls for a new formal agreement to be lodged with the Principal Racing Authority for approval, then at the expiration of 28 days after the date of the notice or such other period that the notice may prescribe the registration of the agreement previously lodged shall be cancelled;
- (iii) there has occurred any changes of the directors and of the shareholders of a company registered as a syndicate or of the members of a syndicate not being a company providing that notification thereof shall be made to the Principal Racing Authority within 28 days and shall be published in the *Racing Calendar* provided however the Principal Racing Authority may in its discretion and to the extent it considers appropriate dispense with this requirement.

**Synd Regn (i)** (1) The registration of a syndicate may be cancelled by a Principal Racing Authority upon written application by the manager of the syndicate or a company registered as a syndicate if the Principal Racing Authority is satisfied:

- (a) that the manager has given written notice, addressed to each member, at their last known respective address by means of a certified mail letter of the application;
- (b) after the expiration of one month from such notice having been given, members holding an interest of not less than twenty-five percent in the syndicate have given notice in writing to the Principal Racing Authority of their objection.

(2) The registration of a syndicate shall be cancelled by the Principal Racing Authority if the syndicate has so resolved by resolution passed by members holding an interest of at least seventy-five percent in the syndicate.

**Synd Regn (j)** Notice of the suspension cancellation or reinstatement of the registration of a syndicate shall be given in the *Racing Calendar* and that publication shall be deemed sufficient notice to all members and other persons.

**Synd Regn (k)** Application for renewal of registration of a syndicate shall be made annually prior to the 1st August. The syndicate shall provide such information as the Principal Racing Authority requires and shall pay the prescribed renewal fee.

**AR 70.** [*Rescinded 6.4.80.*]

### **DEATH OF NOMINATOR**

**AR 71.** (a) If a horse be entered or nominated for a race and the nominator shall die after such entry and before the race, such entry shall not become void, but the representatives of the person so dying, or the person or persons who become entitled to the horse in consequence of such death, or any purchaser of the horse from such representatives, or from the person or persons so becoming entitled to the horse as hereinbefore mentioned, shall, within such time in each particular case as the Principal Racing Authority consider reasonable, register with the Secretary of the Principal Racing Authority of the territory in which such race is to be run the fact of such change of ownership.

(b) Thereupon the said representatives, or person or persons so becoming entitled as aforesaid, or the said purchaser shall, subject to the approval of such Principal Racing Authority be regarded as the nominator of such horse and shall become entitled to the same rights and benefits and subject to the same liabilities and payments as the person who made such entry had he continued to live.

(c) Unless or until such change of ownership is registered every horse for which any forfeit is unpaid after its nominator's death shall be placed in the Forfeit List without mentioning the nominator's name.

(d) If a person enter or run for any race any horse previously nominated by a deceased nominator he shall be considered thereby to have taken upon himself all such horse's engagements, his name shall be substituted for that of the nominator, he shall be liable for all forfeits on account of such horse, and his name may be placed in the Forfeit List in respect thereof.

### **STAKES AND FORFEITS**

**AR 72.** A person entering a horse for a race thereby becomes liable for the entrance money and stake or forfeit; but no forfeit or sum which falls due after the death of such horse shall be payable.

**AR 73.** (1) The Stewards may order the withdrawal of any horse from a race if, 45 minutes before the time appointed for the starting of the race or such earlier time as may be specified by the Local Rules or race conditions, there remains unpaid:

(a) any subscription, stake or fee which, in accordance with the race conditions or the Local Rules or any arrangements established by the Principal Racing Authority pursuant to the Local Rules, is required to be paid before the race; or

(b) any arrears due from any person for such horse; or

(c) any arrears due for the same or any other horse from any person by whom such horse is wholly or partly owned, or in whose name or under whose subscription it is entered.

(2) In this rule, "arrears" includes:

(a) any sum payable as subscriptions, fines, fees, stakes or forfeits in respect of any race at the same or any other race meeting conducted under the Rules of any Principal Racing Authority; and

(b) any sum in respect of which a person has been declared a defaulter or placed on the Forfeit List.

**AR 74.** The Committee of any Club shall not be responsible to the winner of any race for the stakes or forfeits therein, except in cases where the same may be made payable at the time of closing the entries.

#### **THE FORFEIT LIST**

**AR 75.** A Forfeit List shall be kept at the office of the Principal Racing Authority, and shall from time to time be published in the Calendar and transmitted with all additions thereto to other Principal Racing Authorities, Associations and such other Clubs or bodies as the Principal Racing Authority may think fit. It shall include all due and unpaid subscriptions, fines, fees, stakes, forfeits (except fees payable on entry) and prize money recoverable and unpaid under AR 173 and shall state the names and also the assumed name (if any) of the persons from whom, and the horses (if any) in respect of which the same are due. Fines, subscriptions, fees, stakes, forfeits and prize money recoverable and unpaid under AR 173 which have been placed in the Forfeit List must be paid directly into the office of the Principal Racing Authority, and until so paid they shall not be removed from the List. Forfeits and other liabilities herein mentioned incurred at any meeting in any other territory or country may be included in the list by the authority of the Principal Racing Authority.

**AR 76.** (a) So long as any person is in the Forfeit List, he shall be subject to the same disabilities and penalties as are declared by Rule 182 to apply to persons who are disqualified.

(b) So long as any horse is in the Forfeit List, such horse shall not be entered or run for any race, or be trained upon any course where these Rules are in force.

**AR 77.** If a horse which, or nominator of which is in the Forfeit List, be entered for any race, the person entering such horse may be fined.

## SALE WITH ENGAGEMENTS

**AR 78.** In the following rule reference to sale includes a gift or other transfer of ownership or, where the context permits, a lease.

**AR 79.** (a) In the absence of any agreement to the contrary, and subject to any Local Rules, horses shall be taken to be sold with their engagements. Such sale shall be registered in accordance with the Rules and the transfer of the engagements shall be submitted to the Committee of the Club or to the Stewards for approval. If such approval be granted the vendor's liability shall cease and the purchaser shall thereupon become liable for all payments in respect of such engagements.

(b) If the approval of the Committee or Stewards shall not have been obtained as aforesaid with respect to any engagement within fourteen days of the vendor having requested the purchaser in writing to obtain such approval the vendor may upon payment of all forfeits then due strike the horse out of such engagements.

## TRAINERS

**AR 80.** A person training horses temporarily visiting the territory of a Principal Racing Authority may for the period of one month from his arrival, or such further period as such Principal Racing Authority may allow, train a horse in that territory if he is licensed as a trainer by, or holds a permit to train from, the Principal Racing Authority of the territory from which he came, or an Association recognised by such Principal Racing Authority, or a racing body in another country having powers similar to those of a Principal Racing Authority, or if he is a person permitted to train his own horses without a licence or permit under the Rules of the Principal Racing Authority in the territory from which he came. Any such licence or permit to train shall be produced on request. Provided that this Rule shall not without the consent of the Principal Racing Authority apply to:-

(a) Any person whose licence or permit to train has been revoked, or whose application for a trainer's licence or permit has not been granted by a Principal Racing Authority; or

(b) Any person to whom in the opinion of the Principal Racing Authority the provisions of this Rule should not apply.

**AR 80A.** Any person training horses under the provisions of AR 80 shall comply with the conditions of licence currently applying in the territory in which such person is visiting.

**AR 80B.** A trainer who does not ordinarily reside in the territory of the Principal Racing Authority where he has a race horse or race horses trained by him and in training within the territory of the said Principal Racing Authority shall be deemed to be personally in charge of such race horse or horses at all times. He may from time to time notify the said Principal Racing Authority in writing of the name of a licensed person who is for the purpose and for the period notified to be left in charge thereof and he shall do so for any period during which he is not personally within the territory of the said Principal Racing Authority. The person so nominated must be a person licensed by the said Principal Racing Authority who himself has consented in writing to be so nominated. Both the trainer and his nominee shall be bound by all the rules and regulations of the said Principal Racing Authority.

**AR 80C.** Every horse competing at a race meeting shall be attended at all times while it is on the course at such meeting. In the event of a breach of this Rule the trainer may be penalised.

**AR 80D.** A trainer must ensure that every horse in his care that is being led or ridden outside his stable premises on a public roadway or thoroughfare prior to sunrise wears a rug or other gear with reflective strips and that its rider or attendant wears a reflective vest of a design approved by the Stewards.

**AR 80E.** (1) Any person commits an offence if he has in his possession or on his premises any substance or preparation that has not been registered or labelled, or prescribed, dispensed or obtained, in compliance with the relevant State and Commonwealth legislation.

(2) The Stewards may take possession of any substance or preparation mentioned in subrule (1), and may use it as evidence in any relevant proceedings.

### **JOCKEYS AND RIDERS**

**AR 81.** (1) No person shall ride in a race held under these Rules unless he holds the appropriate qualification granted in accordance with the Local Rules of the Principal Racing Authority in whose territory the race is run.

(2) Subject to any Local Rule, the Stewards of a meeting may grant permission to ride to any visiting rider at such meeting who holds a licence or permit to ride from the Principal Racing Authority or an Association in the territory from which he comes, and who produces a certificate that he is not disqualified or suspended from the Principal Racing Authority or Association under the jurisdiction of which he last rode.

(3) A visiting rider who holds a licence or a permit to ride issued by an overseas racing authority may be permitted to ride, subject to any conditions or restrictions a Principal Racing Authority or its stewards may in their discretion impose.

(4) It shall be a condition precedent to the granting under this Rule of any licence or permit or permission to ride that the applicant undertakes to submit, prior to, during or after fulfilling his riding engagement in any race or trial or riding trackwork to any tests that are intended to detect in his body the presence of any alcohol or drug or its metabolites or artifacts.

**AR 81A.** (1) Any rider commits an offence and may be penalised if -

(a) a sample taken from him is found upon analysis to contain the presence of a substance banned by AR 81B; or

(b) he refuses or fails to deliver a sample as directed by the Stewards to do so.

(2) Any rider may be prevented by the Stewards from mounting or riding a horse in a race, trial, trackwork, or anywhere on a racecourse property or training facility if in their opinion, based on their own observations or on medical or other competent advice, his faculties appear to be impaired by any substance banned by AR 81B. or by any other cause.

(3) In the event of an analysis or a preliminary analysis of a sample taken from a rider pursuant to AR 8(jj) indicating the presence of a substance banned by AR 81B, or if a rider refuses or fails to deliver a sample when directed to do so, the Stewards may forthwith, pending the determination of any inquiry or other proceeding, stand down such person from riding.

(4) In the event of a rider incurring a penalty under this rule he shall not resume riding until he delivers a sample as directed by the Stewards that is free of any substance banned by AR 81B.

(5) Notwithstanding the provisions of paragraph (a) of subrule (1), a Principal Racing Authority may on established specialist medical grounds permit a rider to receive a specified banned substance as essential medication for a substantial illness, condition or ailment, subject to the following conditions -

- (a) the medication must be essential for the health of the rider;
- (b) the medication must be prescribed by a medical practitioner who is a recognised specialist in the relevant field of medicine;
- (c) the specialist medical practitioner must certify -
  - (i) the nature of the illness, condition or ailment being suffered by the rider;
  - (ii) that no alternative, non-banned substance would serve the same purpose for the illness, condition or ailment concerned;
  - (iii) that the medication would not affect the rider in a race or trackwork to the extent that it could in any way constitute a danger to the rider or other riders;
- (d) the rider must if requested submit to medical examination by a specialist medical practitioner appointed by a Principal Racing Authority to advise it on the matters raised in subparagraphs (i), (ii) and (iii) of paragraph (c) of this subrule.
- (e) the rider must –
  - (i) at the time of applying for a licence, registration or permit to ride, or if already so licensed, registered or permitted, before riding after taking any banned substance, make application to the Principal Racing Authority for permission to ride with such prescribed banned substance in his system.
  - (ii) adhere strictly to his prescribed medication, and must report to the Principal Racing Authority or the Stewards immediately he forms the intention to discontinue or in any way vary his prescribed medication;
  - (iii) report to the Stewards immediately he believes that either his illness, condition or ailment or his medication may have some influence on his ability to ride efficiently and/or safely



(iv) renew his application for exemption on each occasion on which he applies for the renewal of his licence or registration;

(f) under no circumstances shall a rider be granted retrospective exemption under this subrule.

**AR 81AA.** (1) No rider shall present himself to ride in any race, trial or trackwork unless he is physically fit to fulfill the requirements of such activity.

(2) Any rider may be required by the Stewards to present medical evidence or to undergo a medical or physical examination to prove his fitness to the satisfaction of the Stewards.

(3) A pregnant rider shall not ride in races, trials or trackwork after the first trimester of her pregnancy.

(4) A pregnant rider may ride during the first trimester of her pregnancy provided that, as soon as practicable after becoming aware that she is pregnant, she provides to the Stewards a certificate from a relevantly qualified medical practitioner that it is safe for her and the foetus for her to ride in races, trials and trackwork, and that her pregnancy creates no impairment to her capacity to control a racehorse.

**AR 81B.** For the purposes of AR 81A the following substances and/or their metabolites, artifacts and isomers are banned:-

- (a) Lysergic acid diethylamide (LSD)
- (b) Diuretics
  
- (c) Alcohol at a concentration in excess of 0.02% on a breath analyser.
  
- (d) Anorectics at a concentration in excess of 500 micrograms per litre in urine.
  
- (e) anaesthetics and narcotics.
  
- (f) All other substances listed in the Australian and New Zealand standard 4308, at the relevant concentrations set out therein.

**AR 81C.** The Stewards may stay in whole or in part, and for such period and under such terms and conditions as they think fit, the operation of any suspension from riding imposed for a breach of AR 81A. Provided that, in the event of any failure to comply with any of the terms and conditions of the stay, the Stewards may order that the penalty takes effect.

**AR 82.** *[rule deleted 1.10.02]*

**AR 83.** Every jockey or apprentice may be punished --

- (a) If he misconduct himself in any way, or
  
- (b) If he accept or agree to accept any pecuniary or other gift or other consideration in connection with any race in which he rides or is to ride except from the nominator of the horse he rides or is to ride in such race without the consent of such nominator, or

(c) If he bet or have any interest in a bet on any race, or if he be present in the betting ring during any race meeting.

**AR 84.** A licensed jockey or apprentice shall not own, take a lease or have any interest in any racehorse, and if he does such jockey or apprentice shall be disqualified and any person having any interest with him and the trainer of such horse may be punished.

**AR 85.** In the event of a jockey or apprentice jockey licensed or indentured by any recognised racing authority in any country in the world having an interest in the ownership or lease of a racehorse such horse shall be ineligible to race in Australia.

**AR 85A.** (1) All engagements for any apprentice jockey to ride in races shall be approved by his master or by his master's duly appointed representative.

(2) No person shall act in the capacity of riders agent unless he has been licensed in that capacity.

(3) No person otherwise licensed may be licensed as a riders agent.

(4) No jockey, apprentice jockey or the master of an apprentice jockey shall authorise any person to be his riders agent unless such person has been licensed in that capacity.

(5) Any riders agent who without the permission of the stewards enters any restricted area on a racecourse on raceday may be removed therefrom and may be punished.

(6) A Principal Racing Authority shall publish in its *Racing Calendar* a list of the persons it has licensed as riders agents.

**AR 85B.** Any jockey or apprentice jockey may be punished if, in the opinion of the stewards, he fails or refuses to fulfil a race riding engagement. Provided that the stewards may punish also any person responsible for an apprentice jockey who, in their opinion, contributed to such apprentice jockey committing a breach of this rule.

**AR 86.** Riders must wear thoroughly clean and appropriate dress.

**AR 86A.** No rider shall in any race, trial or in trackwork wear any apparel or use any equipment which has not been approved by the stewards.

**AR 86B.** Every rider when riding a horse shall wear footwear approved by the Stewards.

**AR 87.** Every licensed or registered person or permit holder shall when mounted on a horse wear a properly affixed skull cap which conforms to one of the standards that have been approved by the Australian Racing Board.

**AR 87A.** (1) While being ridden every horse shall be properly bridled and saddled and every saddle used in trials, tests or trackwork shall be equipped with safety irons of a design approved by the Stewards. Provided that in trials if a rider wears race boots the saddle shall be equipped with race irons.

(2) While being led outside the confines of any stable premises every horse shall have a bit in its mouth, which bit shall be attached to a lead.

**AR 87AA.** (1) Every rider shall be responsible for the care and condition of his skull cap.

(2) A skull cap is not regarded as serviceable and must be immediately replaced by the rider when –

- (a) a period of 5 years has expired since its date of manufacture, or
- (b) it sustains a severe impact, or
- (c) the wearer suffers from concussion following a fall.

(3) The Stewards may at any time take possession of a skull cap for inspection and may at their absolute discretion confiscate any skull cap that does not comply with the requirements of this rule and/or the requirements of AR 87.

**AR 87AAA.** Every licensed or registered person or permit holder shall when mounted on a horse during darkness have affixed to his skull cap a safety warning light of a type approved by the Stewards. Provided that this Rule does not apply to any location where Stewards have ruled that sufficient artificial lighting exists.

**AR 87B.** (1) Every licensed or registered person or permit holder shall when mounted on a horse wear a body protector the standard of which has been prescribed by Order of the Board. Provided that no body protector shall be worn if it does not have attached to it a manufacturer's label that states that it complies with the relevant standard prescribed by the Board.

(2) The Stewards may confiscate or order the satisfactory repair of any body protector that does not comply with the requirements of subrule (1).

**AR 87C.** Any rider who has been found guilty of a breach of AR 87 or AR 87B may be punished. Provided that the master and/or any other person who was in charge of an apprentice jockey at any relevant time may also be punished unless he satisfies the Stewards that he took all proper care to ensure that the apprentice complied with the rule/s.

**AR 87D.** Any rider required by these rules to wear a body protector may be punished if he wears or has in his possession any body protector that has been modified in any way.

**AR 88.** Riders may use spurs provided they are blunt and of a type approved by the Stewards.

**AR 89.** Any person betting with or for a jockey or apprentice or giving or offering a rider any pecuniary or other gift or consideration contrary to these Rules may be punished.

**AR 90.** In the absence of a special agreement registered with the Principal Racing Authority the fees of jockeys and riders shall be prescribed by the Principal Racing Authority.

**AR 91.** *[rescinded 1.7.00]*

#### **APPRENTICES ALLOWANCES**

**AR 92.** (1) Any apprentice entitled under the Rules to ride in races may claim, in accordance with the following scales, a weight allowance in such races on the flat as the Local Rules of a Principal Racing Authority permit.

(2) For races run in a Metropolitan Area as defined by the Local Rules of any Principal Racing Authority:

|                                                                    |       |
|--------------------------------------------------------------------|-------|
| If he has not ridden 20 winners on the flat in a Metropolitan Area | 3kg   |
| If he has not ridden 40 winners on the flat in a Metropolitan Area | 2kg   |
| If he has not ridden 60 winners on the flat in a Metropolitan Area | 1.5kg |

Provided that for the purposes of this rule a winning ride in a Group or Listed race shall be deemed to be a winning ride in a Metropolitan Area.

Further provided that no apprentice jockey may claim a weight allowance in any Group or Listed race.

Further provided that, notwithstanding the provisions of subrules (2), (3) and (4), an apprentice may claim a weight allowance of 4 kilograms until he has ridden 5 winners on the flat ; on condition that a Principal Racing Authority may except its territory from the application of this further proviso.

(3) For races run in a Provincial Area as defined by the Local Rules of any Principal Racing Authority:

|                                                                                             |       |
|---------------------------------------------------------------------------------------------|-------|
| If he has not ridden 20 winners on the flat in a Metropolitan Area and/or a Provincial Area | 3kg   |
| If he has not ridden 50 winners on the flat in a Metropolitan Area and/or a Provincial Area | 2kg   |
| If he has not ridden 80 winners on the flat in a Metropolitan Area and/or a Provincial Area | 1.5kg |

(4) For races run other than in a Metropolitan Area or a Provincial Area:

|                                             |       |
|---------------------------------------------|-------|
| If he has not ridden 20 winners on the flat | 3kg   |
| If he has not ridden 50 winners on the flat | 2kg   |
| If he has not ridden 80 winners on the flat | 1.5kg |

(5) No apprentice may claim a weight allowance outside the Metropolitan Area greater than the allowance he is entitled to claim within the Metropolitan Area.

(6) Any apprentice may claim the same allowance during a day of racing to which he was entitled at the beginning of that day.

(7) No horse shall have its weight reduced below 43.5 kg by reason of any allowance.

(8) For the purposes of calculating the weight allowance for an apprentice all dead-heats for first place shall count as winning mounts.

(9) All winning mounts ridden by an apprentice on the flat before his apprenticeship shall be included as winning mounts.

(10) A winning rides book shall be issued to every apprentice, and it shall be the responsibility of the apprentice and his master to ensure that it is in the possession of the apprentice at every race meeting he attends, and that winning mounts are entered in his winning rides book and endorsed by a steward before the apprentice leaves the racecourse on any day on which he has ridden a winner or winners.

(11) No apprentice shall claim an allowance to which he is not entitled and any horse that has been ridden in a race by an apprentice whose weight in the race has been adjusted by an allowance to which he is not entitled may be disqualified for the race.

(12) Except with the permission of the Stewards, every apprentice must claim his full allowance, and any apprentice who fails to do so commits an offence and may be may be stood down for such ride.

(13) Winning rides in flat races held outside Australia shall be regarded for the purposes of this Rule as winning rides in an Australian Metropolitan Area.

(14) Any apprentice and/or his master may be penalised for any breach of this rule and any person concurring in or conniving at such breach may also be penalised.

#### **STABLEHANDS AND APPRENTICES**

**AR 93.** No trainer shall engage any person to work in his stable without a written report from his last employer. No trainer shall continue to employ any person in his stable without the consent of the Principal Racing Authority after notice has been served on him that such person has not fulfilled his engagement with his previous employer. Any person prohibited from employing or being employed under these Rules may apply for such consent.

**AR 94.** Any person employed in a stable leaving his or her master before the terms of his or her engagement are complete may be punished.

**AR 95.** Any apprentice leaving his or her employment without the consent of his or her master or without just cause and any trainer or owner engaging or keeping such apprentice in his or her service may be punished.

**AR 96.** Any person who shall be proved to the satisfaction of the Principal Racing Authority or the Stewards to have tampered with any stablehand or apprentice may be punished.

#### **RETAINERS**

**AR 97.** No retainer shall be recognised unless it be in writing signed by the parties and lodged at the office of the Principal Racing Authority.

**AR 98.** Employers retaining the same jockey have precedence according to the priority of their retainers.

**AR 99.** If a jockey be prevented from riding by disqualification or suspension any person who has retained him may cancel the retainer.

**AR 100.** In the absence of special agreement, a jockey's retainer shall be terminable by three months notice in writing on either side, and not otherwise; but the Principal Racing Authority may at any time release an owner or jockey from a retainer for any cause appearing to them sufficient and on such terms as they think fit.

### **AMATEURS**

**AR 101.** (1) No person shall be eligible to ride as an amateur:

(a) If he receives or shall have received any fee or reward in money or be or have been in any way reimbursed for his services for riding in any race, show or competition save for reimbursement of reasonable out-of-pocket expenses the amount of which shall be in the discretion of the Stewards.

(b) If he be or shall have been employed in any capacity in a racing stable for an aggregate period of six months or more, or at all within the previous year;

(c) If he be or shall have been directly or indirectly engaged in the business of training horses for fee or reward;

(d) If he be or shall have been a bookmaker or bookmaker's clerk;

(e) If he shall at any time have been disqualified or suspended; (provided that if the disqualification or suspension shall have been removed or if the term shall have expired the Principal Racing Authority may on application grant the applicant permission to ride as an amateur).

(f) If, in the opinion of the Stewards, he is not a fit and proper person to ride as an amateur; or

(g) If he has been prohibited by the Principal Racing Authority from riding as an amateur.

(2) The Stewards may at any time call on any person who rides as an amateur to show that he is qualified under this Rule.

(3) As from 1st August, 1991, reasonable out-of-pocket expenses, if to be paid, shall be lodged no later than on the day of the meeting with the Secretary of the Club, and/or with the permission of the Stewards thereafter paid to the amateur.

**AR 101A.** Any approved or amateur rider who has a riding engagement at any race meeting shall not at such race meeting make or have an interest in a bet, or be present in the betting ring.

**AR 102.** An amateur shall not ride in any race except one restricted to amateurs without the consent of the Principal Racing Authority or the Stewards.

### **WEIGHTS, PENALTIES AND ALLOWANCES**

**AR.103.** The minimum weight to be carried by any horse in any handicap flat race shall be not less than 46 kg.

**AR 104.** The Standard Weight-for-Age, expressed in kilograms, for flat races shall be from 1st August 1998 in accordance with the following schedule:

| STANDARD WEIGHT FOR AGE FOR FLAT RACES - SCHEDULE |     |      |      |      |      |      |      |      |      |      |      |      |      |
|---------------------------------------------------|-----|------|------|------|------|------|------|------|------|------|------|------|------|
| MONTH                                             |     | AUG  | SEPT | OCT  | NOV  | DEC  | JAN  | FEB  | MAR  | APR  | MAY  | JUN  | JULY |
| 1000m to 1200m                                    | 2   |      |      |      |      |      | 44   | 45   | 46   | 47   | 48   | 49   | 50   |
|                                                   | 3   | 50.5 | 51   | 52   | 52.5 | 53.5 | 54   | 54.5 | 55   | 55.5 | 56   | 56.5 | 57   |
|                                                   | 4   | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 |
|                                                   | 5 + | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 | 57.5 |
| Over 1200m to 1400m                               | 2   |      |      |      |      |      | 43   | 44   | 45   | 46   | 47   | 48   | 49   |
|                                                   | 3   | 49.5 | 50   | 51   | 52   | 53   | 53.5 | 54.5 | 55   | 55.5 | 56   | 56.5 | 57   |
|                                                   | 4   | 57.5 | 57.5 | 57.5 | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |
|                                                   | 5 + | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |
| Over 1400m to 1600m                               | 2   |      |      |      |      |      | 42.5 | 43.5 | 44.5 | 45.5 | 46.5 | 47.5 | 48.5 |
|                                                   | 3   | 49   | 49.5 | 50   | 51   | 52   | 53   | 54   | 55   | 55.5 | 56   | 56.5 | 57   |
|                                                   | 4   | 57.5 | 57.5 | 57.5 | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |
|                                                   | 5 + | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |
| Over 1600m to 2000m                               | 2   |      |      |      |      |      | 41.5 | 42.5 | 43.5 | 44.5 | 45.5 | 46.5 | 47.5 |
|                                                   | 3   | 48   | 48.5 | 49   | 50   | 51   | 52   | 53   | 53.5 | 54.5 | 55.5 | 56   | 56.5 |
|                                                   | 4   | 57   | 57   | 57   | 57.5 | 57.5 | 57.5 | 58   | 58   | 58   | 58   | 58   | 58   |
|                                                   | 5 + | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |
| Over 2000m to 2400m                               | 3   | 47.5 | 48   | 48.5 | 49.5 | 50   | 51   | 52   | 53   | 53.5 | 54.5 | 55   | 56   |
|                                                   | 4   | 56.5 | 56.5 | 56.5 | 57   | 57   | 57   | 57.5 | 57.5 | 57.5 | 58   | 58   | 58   |
|                                                   | 5 + | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   | 58   |

|                              |        |      |      |      |      |      |      |      |      |      |      |      |      |
|------------------------------|--------|------|------|------|------|------|------|------|------|------|------|------|------|
| Over<br>2400m<br>to<br>3200m | 3      | 47   | 47.5 | 48   | 49   | 49.5 | 50.5 | 51.5 | 52.5 | 53   | 54   | 54.5 | 55   |
|                              | 4      | 56.5 | 56.5 | 56.5 | 57   | 57   | 57   | 57.5 | 57.5 | 57.5 | 58   | 58   | 58   |
|                              | 5<br>+ | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 | 58.5 |

***Fillies and Mares allowed 2.5kg from 1 August - 31 July***

**AR 105.** In all Standard Weight-for-Age races an allowance according to the following scale shall be made to all horses sired north of the line, and foaled between the 1st of January and the 31st July, viz.:-

| Distance                     | Age | Aug | Sept | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | June | July |
|------------------------------|-----|-----|------|-----|-----|-----|-----|-----|-----|-----|-----|------|------|
| 1200m<br>and<br>under        | 2y  | --- | ---  | --- | --- | --- | 3   | 3   | 3   | 3   | 3   | 3    | 3    |
|                              | 3y  | 2.5 | 2.5  | 2   | 2   | 2   | 2   | 1.5 | 1.5 | 1.5 | 1   | 1    | 1    |
|                              | 4y  | .5  | .5   | .5  | .5  | --- | --- | --- | --- | --- | --- | ---  | ---  |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |
| Over<br>1200m<br>to 1600m    | 2y  | --- | ---  | --- | --- | --- | 3.5 | 3.5 | 3.5 | 3.5 | 3.5 | 3.5  | 3.5  |
|                              | 3y  | 3   | 3    | 2.5 | 2.5 | 2.5 | 2.5 | 2   | 2   | 2   | 1.5 | 1.5  | 1.5  |
|                              | 4y  | 1   | 1    | 1   | 1   | .5  | .5  | .5  | .5  | --- | --- | ---  | ---  |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |
| Over<br>1600m<br>to<br>2000m | 2y  | --- | ---  | --- | --- | --- | 4   | 4   | 4   | 4   | 4   | 4    | 4    |
|                              | 3y  | 3   | 3    | 2.5 | 2.5 | 2.5 | 2.5 | 2.5 | 2   | 2   | 2   | 2    | 2    |
|                              | 4y  | 1.5 | 1.5  | 1.5 | 1   | 1   | 1   | .5  | .5  | .5  | --- | ---  | ---  |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |
| Over<br>2000m<br>to 2400m    | 3y  | 3.5 | 3.5  | 3   | 3   | 3   | 3   | 2.5 | 2.5 | 2.5 | 2   | 2    | 2    |
|                              | 4y  | 2   | 2    | 1.5 | 1.5 | 1.5 | 1.5 | 1   | 1   | .5  | .5  | ---  | ---  |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |
| Over<br>2400m<br>to 3000m    | 3y  | 4   | 4    | 3.5 | 3.5 | 3.5 | 3.5 | 3.5 | 3.5 | 3   | 3   | 3    | 3    |
|                              | 4y  | 2.5 | 2.5  | 2   | 2   | 2   | 1.5 | 1.5 | 1   | 1   | .5  | .5   | .5   |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |
| Over<br>3000m                | 3y  | --- | ---  | --- | --- | 4   | 4   | 4   | 4   | 4   | 4   | 4    | 4    |
|                              | 4y  | 3   | 3    | 2.5 | 2.5 | 2.5 | 2   | 2   | 1.5 | 1.5 | 1   | 1    | .5   |
|                              | 5y  | --- | ---  | --- | --- | --- | --- | --- | --- | --- | --- | ---  | ---  |

**ALLOWANCES IN KGS.**

**AR 106.** No horse shall receive an allowance of weight or be relieved from extra weight, for having been beaten in one or more races; but this rule shall not prohibit an allowance to maidens, or the holding of races under the conditions of which the weights allotted to horses depend on whether or not they have won a race, or whether they have or have not won one or more races of a particular kind.



**AR 107.** The conditions of a race shall not contain any provision that a horse shall carry extra weight for having run second, or in any lower place, in any race or races.

**AR 108.** Allowances and extra weights shall not be affected by performances in matches or private sweepstakes.

**AR 109.** Penalties are not cumulative unless so declared by the conditions of the race.

**AR 110.** Winners of jumping races are not liable to carry penalties as such winners in races on the flat, and winners on the flat are not liable to carry penalties as such winners in jumping races, unless specially imposed by the conditions.

**AR 111.** The handicapper shall append to the weights for every handicap the date and hour of his declaration of such weights.

**AR 111A.** (1) The Stewards may, subject to subrule (2) of this rule, within any reasonable time, permit the handicapper to amend the allotted weight of any horse in a handicap race.

(2) The Stewards may allow the handicapper to amend the allotted weight of a horse only if they are satisfied that the allotted weight was incorrect because of:

- (a) a clerical error at the time of release of such weights, or
- (b) incomplete or inaccurate information on the performances, age, sex or identity of any entry, or
- (c) an error by the handicapper in the assessment of the age or sex of any entry or of the conditions for the race.

(3) The handicapper may, with the permission of the Stewards and before the declaration of acceptances, issue a substitute set of weights for a handicap race only when –

- (a) a correctly nominated horse was not included in the original weights, or
- (b) the original weights are not in accordance with the conditions for the race.

(4) Notwithstanding the foregoing provisions of this rule, an error in the allotted weight of any horse in a weight-for-age, set-weight or set-weight-and-penalties race may be corrected at any time.

**AR 112.** (a) *[subrule rescinded 1.9.91]*

(b) *[subrule rescinded 1.12.89]*

(c) Any prize not in money shall be estimated at its advertised value.

(d) When horses run a dead-heat for first place each of such horses is liable to carry extra weight as winner of that race. Each such horse shall be deemed to have won in respect of such race the amount of the prize awarded in respect of the horse as a result of the race, and any extra weight shall be calculated accordingly.

(e) If, however, in the conditions of a race a certain penalty or a certain weight has to be carried for winning a race specified by name, each horse running a dead-heat for such race shall carry the penalty or weight so fixed as if he had won outright.

(f) For the purpose of calculating the value of prizemoney earned in other countries by a horse which is entered for an Australian race, the rate of exchange shall be used which was current on the first working day of January of the year in which such prizemoney was earned, as determined by a trading bank nominated by the Australian Racing Board.

**AR 113.** If the winner of any race is found by the Stewards or Committee to have been ineligible, or is subsequently disqualified for the race, the eligibility or weight of any other horse shall not be affected in respect of any other race run prior to such finding.

### SCRATCHING

**AR 114.** (a) Notice of withdrawal of a horse from any race shall be given to the Secretary of the Club or other official authorised to receive the same at least forty-five minutes before the time appointed to start such race, or such earlier time as the Local Rules may provide.

(b) Such notice shall be given in writing by the nominator or trainer or by the authorised agent of either of them.

(c) If no such notice be given the Stewards may nevertheless permit or order the withdrawal of the horse and may punish the nominator or the trainer or both.

**AR 115.** In the event of the postponement of a race or meeting to another day, scratchings made on the day on which such race or meeting as the case may be was to have been held shall be deemed to be void and the time for scratching extended to the prescribed scratching time on the day on which the race or race meeting is held.

**AR 116.** If the Stewards order a race to be re-run, they may allow any horse to be withdrawn from the race up to fifteen minutes before the time appointed for the race to be re-run.

**AR 117.** (1) All horses engaged to be run in any race shall be brought into the saddling paddock at a time provided for by Local Rule and shall remain there until ordered to proceed to the starting post.

(2) At any time after the designated time for horses to be brought to the saddling paddock, the Stewards may call on the nominator or trainer to satisfy them that their horse will start, and if the Stewards are not so satisfied, or the nominator or trainer cannot be found, the Stewards may order the withdrawal of the horse and punish the nominator and trainer or either of them.

(3) No horse that has competed in a race shall, without the consent of the Stewards, be removed from the saddling paddock within half an hour of the finish of such race.

## WEIGHING OUT

**AR 118.** Any rider who has a riding engagement at any race meeting shall be present in the jockeys room no later than 45 minutes before the advertised starting time for the first race in which he has a riding engagement and, unless otherwise permitted by the Stewards, shall thereafter remain in the jockeys room until he has completed his riding engagements, when he shall seek the permission of the Stewards to leave the jockeys room. In weighing no account shall be taken of fractions of a half kilogram.

**AR 118A.** No person, without the permission of the stewards, shall add to, remove from, or change any equipment with which the rider has been weighed out.

**AR 118AA.** When weighing-out for any race every rider must secure in his lead bag or saddle pouch any lead or other weight.

**AR 118B.** To compensate for the wearing of body protectors, the weight of all riders shall be calculated at one kilogram less than the weight that is registered on the scale at both weighing-out and weighing-in.

**AR 118C.** It shall be an offence for any rider -

- (a) to in any way manipulate or attempt to manipulate the wearing of a body protector to gain an unfair weight advantage in a race; or
- (b) to weigh-out or attempt to weigh-out for a race or ride in any race when wearing an approved or permitted body protector that has been modified in any way.
- (c) to weigh-out or attempt to weigh-out or ride in any race unless he is wearing an approved or permitted body protector.

**AR 119.** If a rider after being declared is prevented by accident or illness or other cause from riding, the Stewards may permit another rider to be substituted.

**AR 120.** (a) If a rider intends to carry overweight in a race, he must declare the amount of his overweight to the Clerk of the Scales. If such overweight is half a kilogram or more the rider must first obtain the permission of the Stewards to carry such extra weight. The Clerk of Scales shall exhibit all overweight outside the weighing room.

(b) If a rider, after having been declared is found to have accepted a ride for which he is overweight, such rider may be punished and another rider may be substituted at the allotted weight, or nearer to the allotted weight.

**AR 121.** Nominators and trainers are responsible for their horses carrying all proper weight and penalties, and in all cases where penalties have to be carried for winning, or allowances are claimed, the nominator, trainer or rider must notify the same to the Clerk of the Scales before the rider is weighed out.

**AR 122.** A rider shall mount the horse to be ridden by him in any race within such enclosure or place as the Stewards may appoint, and shall not without leave of the Stewards leave the jockeys room or such enclosure before proceeding to the starting post.

**AR 123.** After a rider has left the jockeys room to ride in a race, and until he dismounts if not required to weigh in, or until he weighs in if so required,

(a) No person other than the trainer or nominator, or their authorised agent, or an official in the course of his duties, or during the race another rider, shall except by leave of the Stewards, Judge or Starter, speak to or communicate in any way with such rider.

(b) No other person save an official in the course of his duties or the trainer prior to the race shall except by leave of the Stewards, Judge or Starter touch the rider, or his horse or any of its equipment.

(c) The rider shall not except by leave of the Stewards, Judge or Starter, speak to or communicate in any way with any person other than the trainer or nominator, or their authorised agent, or an Official in respect of his duties, or another rider during the race.

## **STARTING**

**AR 124.** Every horse shall be in the mounting enclosure at the prescribed time and at the post ready to start at the time appointed.

**AR 125.** Every rider shall, unless excused by the Judge or the Stewards, both walk and canter his horse past the Judge's box once at least before going to the post to start.

**AR 126.** Subject to the provisions of AR 128, the horses shall be placed in their respective stalls in the order previously determined by the barrier draw.

**AR 127.** The horses shall be started by the official Starter or his substitute appointed by the Committee of the Club or by the Stewards in accordance with these Rules, and no rider whose horse is once under the Starter's orders shall be allowed to go back and correct his weight except with the permission of the Starter or Stewards.

**AR 128.** The Starter may give all such orders and take all such measures as he considers necessary for securing a fair start. He may order the riders to draw up in a line or lines as far behind the starting point as he may think fit, and may report any rider disobeying his orders, or taking any advantage, to the Stewards, or himself fine him any sum not exceeding one hundred dollars.

**AR.129.** If the Starter is unable to compel any jockey or rider to obey his orders he may delay the start and shall immediately report the matter to the Stewards.

**AR 130.** In an open barrier or flag start the Starter may remove any unruly horse from the place allotted by the draw and in such case he shall place it at such a distance to one side of, or behind, the other runners that it cannot gain any advantage itself, or cause any danger or prejudice the chances of any other horse, and if considered necessary may start the race without it.

**AR 131.** If the start is from starting stalls, no horse shall be permitted to start from outside the gates (unless the start be a flag start), and any horse which refuses to enter a stall after all reasonable efforts have been made to place it therein may be left and may then be declared a non-starter by the Stewards.

**AR 132.** If the Starter allow the start to take place in front of the starting point he may be fined and the Stewards may order the race to be re-run. If the Stewards do not make such order the race shall be deemed to have been run over the proper course.

**AR 133.** In the event of a false start, or a void start, which has in their opinion materially prejudiced the chances of any horse, the Stewards may permit or order its withdrawal.

**AR 134.** Except as provided in Rule 132 and Rule 134A the decision of the Starter shall be final and conclusive upon any question that may arise as to whether the horses have been started for any race.

**AR 134A.** If in the opinion of the Stewards any horse was riderless at the time a start was effected, or was encumbered by equipment applied with the permission of or at the direction of the starter, or if a horse was denied a fair start and such occurrence materially prejudiced the chances of that horse finishing in first, second or third placing, the Stewards may declare such a horse to be a non-starter and may make such order regarding betting as provided for separately in the Rules of Betting. Provided that a horse which is ultimately declared first, second or third placing in a race shall not be declared a non-starter.

## **RUNNING**

**AR 135.** (a) Every horse shall be run on its merits.

(b) The rider of every horse shall take all reasonable and permissible measures throughout the race to ensure that his horse is given full opportunity to win or to obtain the best possible place in the field.

(c) Any person who in the opinion of the Stewards has breached, or was a party to breaching, any portion of this Rule may be punished, and the horse concerned may be disqualified.

**AR 135A.** Any instruction given to or any arrangement made with the rider of a horse engaged in a race with the intention of changing or significantly varying the manner in which such horse is to be ridden in a race as compared to the manner in which a horse was ridden at its most recent start or starts shall be advised by the trainer of such horse or his authorised agent to the Stewards as early as practicable and in any event no later than when the order to mount is given to riders prior to the race. Upon receipt of the advice the Stewards may make any public release they deem to be appropriate

**AR 136.** (1) If a horse -

(a) crosses another horse so as to interfere with that, or any other horse, or

(b) jostles, or itself, or its rider, in any way interferes with another horse or its rider, unless such jostle or interference was caused by some other horse or rider -

such horse and any other horse in the same nomination may be disqualified for the race.

(2) If a placed horse or its rider causes interference within the meaning of this Rule to another placed horse, and the stewards are of the opinion that the horse interfered with would have finished ahead of the first mentioned horse had such interference not occurred, they may place

the first mentioned horse immediately after the horse interfered with. For the purpose of this Rule "placed horse" shall be a horse placed by the Judge in accordance with AR.157.

**AR.137.** Any rider may be punished if, in the opinion of the Stewards,

- (a) He is guilty of careless, reckless, improper, incompetent or foul riding.
- (b) He fails to ride his horse out to the end of the race.
- (c) He makes any celebratory gesture prior to his mount passing the winning post.
- (d) He excessively slows, reduces or checks the speed of his horse thereby causing interference, directly or indirectly, to any other horse in the race.

**AR 137A.** (1) The Stewards may punish any rider who in a race or trial, or in trackwork, or elsewhere uses his whip in an excessive, unnecessary or improper manner.

(2) Without affecting the generality of subrule (1) of this Rule, the Stewards may punish any rider who in a race or trial uses his whip -

- (a) forward of his horse's shoulder or in the vicinity of its head; or
- (b) when his horse is out of contention; or
- (c) when his horse is showing no response; or
- (d) when his horse is clearly winning; or
- (e) when his horse has passed the winning post.

(3) The Stewards may punish a trainer and/or any other person who by instructions or otherwise is a party to a breach of any part of this Rule.

**AR 137AA:** All whips carried in races, trials or trackwork shall be of a design approved by the stewards, and shall comply with the following specifications: Shaft length not exceeding 560mm: Flap length 75-105mm: Flap width not less than 30mm, provided that the flap shall be made of soft leather and be open for at least 40mm.

**AR 137B.** The Stewards may punish any rider who in a race or trial, or in trackwork, or elsewhere uses his spurs in an unnecessary, excessive or improper manner.

**AR 138.** If a horse run on the wrong side of a post or flag, where the race has to be run outside or between posts or flags the rider must turn back and run the course from the post or flag at which he left it, and shall not continue in the race without doing so.

**AR 139.** The Stewards appointed under AR.8 may declare any race void and, if they consider it expedient, order such race to be run again on the same day.

**AR 140.** (a) The trainer of a horse that is included in the final acceptors for a race must ensure that such horse is fit and properly conditioned to race, and shall report to the Stewards as soon as practicable any condition or occurrence that may affect its running in the race.

(b) The owner and/or trainer and/or rider shall report to the Stewards as soon as practicable anything which might have affected the running of their horse in a race.

(c) Should anything which might have any bearing on the past or the future running of the horse come to the notice of the trainer after the horse has left the course, it must be reported as soon as practicable to the Stewards.

(d) Any loss or breakage of gear during a race, or any unusual happening in connection therewith, shall be reported by the owner and/or trainer and/or rider to the Stewards immediately after the race.

**AR 140A.** Any person found guilty of carelessness or neglect in the saddling of and/or presenting of a horse for a race, trial or trackwork may be punished by the stewards.

**AR 140B.** (1) Only gear that has been expressly approved by the stewards may be used on any horse in a race, trial or in trackwork.

(2) No horse shall race in any approved gear such as blinkers, visor blinkers, mesh eye protectors, nosebands, winkers, ear muffs, nose rolls, bandages, tongue-ties, boots, unconventional bits and unconventional racing plates unless permission has been obtained from the stewards prior to acceptance time for the race concerned.

(3) When permission has been obtained in accordance with the provisions of subrule (2) of this rule such gear shall continue to be used without variation on the horse concerned in subsequent races unless otherwise approved or instructed by the stewards.

**AR 141.** Every horse running in a race shall carry a saddlecloth bearing a number corresponding with the number in the racebook. The cloth shall be supplied to the rider at the time of weighing out, and must be worn so that the number is clearly visible.

**AR 141A.** (1) No horse shall be permitted to start in any race unless it is fully shod with plates or tips that conform to the requirements of AR.141B, provided that in exceptional circumstances, the Stewards may permit a horse to run barefooted or partly shod. The trainer bears sole responsibility for ensuring that horses are presented for racing in compliance with AR 141B.

(2) Prior to the acceptance time of any race for which a horse is entered, trainers must -

(a) obtain approval from the Stewards for the use of any synthetic hoof repair material, hoof pads or any non-standard and/or therapeutic, racing plates or tips; and/or

(b) notify to the Stewards any change from tips to plates, or from plates to tips.

(3) To ensure compliance with the requirements for plating as prescribed in AR 141B, the farrier's supervisor or any other person appointed by the Stewards shall be authorised to inspect all or any horses presented for racing.

(4) Any mishap to a plate or tip occurring in a race must be reported by the trainer to the Stewards without delay.

**AR 141B.** (1) Plates and tips must be made of an approved material capable of being forged or moulded into shape. Tips must cover at least one third of the perimeter of the hoof.

(2) Plates and tips must not exceed 150 grams in weight, provided that upon application the Stewards may give permission for the use of approved therapeutic plates up to a weight of 170 grams.

(3) Plates and tips must be securely and properly fitted and must not protrude beyond the perimeter of the hoof. Plates must be secured by a minimum of five nails and tips by a minimum of three nails. The heads of nails must not protrude more than 2mm from the surface of the plate or tip.

(4) Forged or rolled toe and side clips are permitted provided such clips have blunt, rounded edges and do not exceed 15mm in height and 20mm in width. Steel inserts are permitted provided they are level with the surface of the plate.

(5) Bar plates are permitted, provided that the entire plate including the bar is in one piece. A bar may be welded or riveted to the plate provided that the surface of the bar is level with that of the plate.

(6) Heeled plates or caulks are not permitted in flat races. Cutting plates, grippers or any other form of plates or tips which in the opinion of the Stewards may be dangerous are not permitted.

(7) Hoof pads shall be of a material, design and weight approved by the Stewards.

## **WEIGHING IN**

**AR 142.** When a race has been run every rider shall immediately after pulling up, ride his horse to the place of weighing and when told by the Stewards so to do and not before, there dismount and the riders of the placed horses, and such other riders as directed by the Stewards, shall be weighed to the satisfaction of the Clerk of the Scales or a Steward.

Provided that if a rider be prevented by accident, illness or other cause deemed sufficient by the Stewards from riding to the place of weighing he may walk or be carried to the scales. If, in the opinion of the Stewards, it is impracticable to weigh in a rider, his horse shall not be disqualified if he weighed out correctly and the Stewards are of the opinion that he carried his correct weight.

**AR 143.** If a horse carries less than the weight it should carry, it shall be disqualified for the race and the rider and/or any other person at fault may be punished, provided that a rider may at his option weigh with his bridle or be allowed a half kilogram therefor by the Clerk of the Scales.

**AR 144.** If a rider does not weigh in when required to do so, or if he touch (except accidentally) any person or thing other than his own equipment, after starting, and before weighing in, unless justified by extraordinary circumstances in doing so, he may be punished and the horse he rode may be disqualified for that race; provided that any part of his equipment dropped after passing the post may be handed to him by the Clerk of the Course or other authorised official.

**AR 145.** If a horse carries more than a half a kilogram in a flat race, or two kilograms in a steeplechase or hurdle race over the weight imposed or declared, the rider and any other person at fault may be punished.



**AR 146.** *[rescinded 21.4.81]*

**AR 147.** If a horse runs in a muzzle, martingale, breastplate, or clothing, these must be put in the scale and included in the rider's weight.

**AR 148.** No saddlecloth, skull cap, whip or substitute for a whip, blinkers, visor blinkers, winkers, ear muffs, nose bands, nose rolls, tongue ties, mesh eye protectors, plates or anything worn on a horse's legs shall be allowed in the scales, either on weighing-out or weighing-in.

**AR 149.** If a horse be led away from the scales before the rider is declared weight, no article shall be taken off such horse for the purpose of being weighed with the rider, although the rider shall not be the proper weight without it.

**AR 150.** When all the riders required to be weighed in have been so weighed to the satisfaction of the Clerk of the Scales or a Steward, if there has been no objection or after any objection has been determined, the Stewards shall direct a signal to that effect to be displayed at such place as is provided for that purpose.

#### **DEAD-HEATS**

**AR 151.** When horses run a dead-heat for first or other place, the prize money awarded in respect of each horse shall be an equal share of the total prize money that would have been awarded in respect of the horses had they finished in successive places and not dead-heated.

**AR 152.** If the nominators of a horse which run a dead-heat cannot agree who of them is to have a cup or other prize that cannot be divided, the question shall be determined by lot by the Stewards, who, if it becomes necessary, shall also decide what sum of money (if any) is to be paid by the nominator taking the cup or other indivisible prize to the other nominator.

**AR 153.** Subject to the conditions of any race, each horse that divides a prize for first place shall be deemed to be the winner of a race worth the amount awarded in respect of the horse by way of money or prize.

#### **JUDGE'S DECISION**

**AR 154.** Placings in a race shall be decided only by the Judge, occupying the Judge's box at the time when the horses passed the winning post.

**AR 155.** A camera may be used to make photographs or images of the horses at the finish to assist the Judge in determining their positions as exclusively indicated by their noses.

**AR 156.** (1) The determination of the Judge declaring a horse to have won or to have been placed shall be final, subject only to alteration by the Stewards in accordance with these Rules; provided that the Judge may correct any mistake before the riders of the placed horses have been weighed in.

(2) In the event of the Judge being unavailable or, in the opinion of the Stewards, is or was unable, because of illness or otherwise, properly to place the horses as they pass or passed the

winning post, the Stewards shall stand in the place or stead of the Judge and assume and exercise the responsibilities, powers and duties conferred on him by this Rule.

(3) Notwithstanding the terms of subrule (1), whether prior or subsequent to the declaration of correct weight, if the Stewards are satisfied on the evidence of the available prints or images that the Judge has made a mistake in the determination of the finishing order of a race, the Stewards may correct such mistake and alter the places accordingly. No alterations to the Judge's places after correct weight will have any effect on previous orders given by the Stewards as to the payment of bets.

**AR 157.** The Judge shall place the first four horses in a race; or where the conditions of the race provide a fourth prize, the first five horses; or where the conditions of the race provide a fifth prize, the first six horses and so on; or such further number as the Stewards may require.

### **WALK-OVER**

**AR 158.** If a horse's rider be weighed out and the horse mounted and ridden past the Judge's box, and that horse is the only runner, it shall be deemed the winner of the race in question and shall be liable to carry extra weight as a winner.

**AR 159.** In the event of a walk-over only half of any money prize due in respect of the winning horse shall be awarded, and when a prize not in money is advertised to be run for it shall be given even if walked-over for; provided that no award shall be made when in the opinion of the Stewards the walk-over is the result of any arrangement.

**AR 160.** Any money or prize which was to be awarded in respect of a horse filling second or any other place shall, if no horse fills any such place, go to the Club conducting the meeting unless otherwise provided in the conditions of the race.

### **COURSE TELECASTS**

**AR 160A.** No photograph, film or telecast of a race shall be exhibited or replayed at the racecourse on which a meeting is being conducted without the permission of the Stewards in charge of such meeting.

**AR 160B.** No person shall, without the permission of the Stewards -

(1) transmit in any way from the grounds of a racecourse any betting odds being offered by bookmakers on any horse that is competing at a racecourse in Australia or elsewhere;

(2) while betting is taking place on the grounds of a racecourse, have turned on or use in any way at any of the following places on the racecourse any portable telephone, radio transmitter, radio transceiver or any other appliance, apparatus, instrument or equipment that is capable of receiving or transmitting information:

- (a) in the Mounting Yard;
- (b) in the Scales area;
- (c) in any other area designated by the Stewards.

(3) within the area of the jockeys room bring into, have in his possession, or use any portable telephone, radio transmitter, radio transceiver or any other appliance, apparatus, instrument or equipment capable of receiving or transmitting information.

**AR 160C.** The Stewards may impound any appliance, apparatus, instrument or equipment that is used without their permission by any person in contravention of AR.160B.

### **OBJECTIONS AND COMPLAINTS**

**AR 161.** Except as provided in Rule 165 every objection shall be in writing and shall be signed by the nominator of some horse engaged in the race or his authorised agent or by its trainer or rider and shall be made to one of the Stewards or to the Secretary of the Club or to the Clerk of the Scales and be accompanied by a deposit of ten dollars (\$10). If an objection is dismissed or not proceeded with, the deposit may be forfeited.

**AR 162.** No objection on the ground of misdescription, or of error, or omission in any entry, except as mentioned in Rule 166, shall be entertained after a race.

**AR 163.** No horse shall be disqualified for a race on account of any defect in regard to its registration or entry when, in the opinion of the Stewards or the adjudicating tribunal for the time being, the Committee of the Club or Stewards might reasonably have permitted or ordered the defect to be corrected if brought to their notice before the start of the race.

**AR 164.** An objection may be made by a Steward or Starter in his official capacity without any deposit and in the case of matters referred to in A.R. 165 at any time before weight is declared.

**AR 165.** (1) Any objection by the persons mentioned in Rule 161 against a horse or horses, on the ground of:

- (a) an interference as provided for in AR 136(1); or
- (b) his not having run the proper course; or
- (c) the race having been run over a wrong course; or
- (d) any other matter occurring in the race;

shall be made verbally or in writing to one of the Stewards or Clerk of Scales before the riders of all placed horses are weighed-in.

(2) An objection made under paragraph (a) of subrule (1) of this Rule shall only be made on behalf of a horse that has been placed by the Judge in accordance with AR 157.

(3) In the event of an objection made under this Rule being deemed by the stewards to be frivolous, the person making such objection may be fined.

(4) No person shall improperly deter or attempt to improperly deter a person qualified to object from making an objection under this Rule.

(5) No person shall improperly encourage or improperly attempt to encourage a person qualified to object to make an objection under this Rule.

**AR 166.** An objection -

(a) on the ground of fraudulent misstatement or fraudulent omission in the entry; or

(b) on the ground that the horse which ran was not the horse, or of the age which he was represented to be, or that he was not qualified under the conditions of the race; or

(c) that the name of such horse or of any person having an interest in such horse is in the Forfeit List or List of Disqualifications; or

(d) that the horse was not registered in accordance with these Rules; or

(e) that the weight carried by a horse was incorrect,

may be received within one month of the conclusion of the meeting.

**AR 167.** In any case not herein specified, an objection shall be made in the manner mentioned in Rule 161 before the conclusion of the race meeting.

**AR 168.** If an objection to a horse that has won or been placed in a race be upheld the horse may either be disqualified for the race, or dealt with in accordance with Rule 136.

**AR 169.** Signals indicating that an objection has been made to the placing of any horse, and that such objection has been upheld or dismissed, shall be displayed without delay in such place as may be provided.

**AR 170.** An objection cannot be withdrawn without leave of the Committee of the Club or of the Stewards.

**AR 171.** If the qualification of any horse is objected to before ten o'clock on the morning of the race the nominator or his representative must satisfy the Stewards before the race is run that the horse is eligible, in default of which the Stewards may order the horse to be withdrawn or may direct that any prize awarded in respect of such horse be withheld for a period fixed by them. If at the expiration of that period the Stewards are not satisfied that the horse was qualified, the prize shall be awarded as if that horse had not started. If the qualification of the horse is objected to after ten o'clock on the morning of the day of starting, it shall be allowed to run unless the person making the objection proves the want of qualification to the Stewards' satisfaction, in which case they shall order the horse to be withdrawn.

**AR 172.** Whenever an objection has been lodged, or an inquiry the finding in which may affect the placing of a horse has been instituted, or any action is taken or about to be taken which may lead to such inquiry, any money or prize due in respect of such horse may be withheld pending the consideration of such objection or inquiry.

**AR 173.** In any case where money or a prize has been paid or awarded to a person who is subsequently found by the Stewards or the Committee of the Club not to be entitled thereto by

reason of the disqualification of his horse or otherwise, such money or prize shall be recoverable from the recipient by the Club concerned.

**AR 174.** Pending the determination of an objection to the award of a race to any horse, the horse placed first by the Judge and any other horse in respect of which the race is claimed shall be liable to all the penalties attaching to the winner of such race.

## **OFFENCES**

**AR 175.** The Committee of any Club or the Stewards may punish;

- (a) Any person, who, in their opinion, has been guilty of any dishonest, corrupt, fraudulent, improper or dishonourable action or practice in connection with racing.
- (b) Any person who corruptly gives or offers any money, share in a bet, or other benefit to any person having official duties in relation to racing, or to any owner, nominator, trainer, rider, or person having charge of or access to a racehorse.
- (c) Any person having official duties in relation to racing, or a nominator, trainer, rider, or person having charge of or access to a racehorse, who corruptly accepts, or offers to accept, any money, share in a bet, or other benefit.
- (d) Any person who wilfully enters or causes to be entered or to start for any race a horse which, or the owner or nominator of which, he knew to be disqualified.
- (e) The owner, nominator, and trainer of any horse entered or run in any race, or trial, under a fraudulently false description and any person having any interest in such horse or any of them.
- (f) Any owner, nominator, lessee, member of a syndicate, trainer, jockey, rider, apprentice, stablehand, bookmaker, bookmaker's clerk, person having official duties in relation to racing, person attendant on or connected with a horse, or any other person who refuses or fails to attend or give such evidence as directed at any inquiry or appeal when requested by the Principal Racing Authority or Stewards to do so.
- (g) Any person who gives at any inquiry or appeal any evidence which in their opinion is false or misleading in any particular.
- (gg) Any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of racing.
- (h) Any person who administers, or causes to be administered, to a horse any prohibited substance -
  - (i) for the purpose of affecting the performance or behaviour of a horse in a race or of preventing its starting in a race; or
  - (ii) which is detected in any sample taken from such horse prior to or following the running of any race.

(hh). Any person who uses, or has in his possession, any electric or electronic apparatus or any improper contrivance capable of affecting the performance of a horse in a race or training gallop.

(i) Any person being an owner, nominator or licensed person who by advertisement, circular, letter, or other means offers to give information concerning his own or other horses in return for any monetary or other consideration, or any person who connives at such practice.

(j) Any person guilty of improper or insulting behaviour at any time towards the Committee of any Club or Association or any member thereof, or Stewards, or any official, in relation to their or his duties.

(k) Any person who has committed any breach of the Rules, or whose conduct or negligence has led or could have led to a breach of the Rules.

(l) Any person who attempts to commit, or conspires with any other person to commit, or any person who connives at or is a party to another committing any breach of the Rules.

(m) Any person who obstructs or in any way interferes with, or who attempts to obstruct or interfere with, the conduct of any race meeting, race or trial.

(n) Any person who in their opinion commits or commissions an act of cruelty upon a horse, or is in possession or control of any article or thing which, in their opinion, has been made or modified to make it capable of inflicting cruelty upon a horse.

(o) Any person in charge of a horse who in their opinion fails at any time –

(i) to exercise reasonable care, control or supervision of a horse to prevent the commission of an act of cruelty upon the animal; and/or

(ii) to take such reasonable steps as are necessary to alleviate any pain inflicted upon a horse; and/or

(iii) to provide for veterinary treatment where such treatment is necessary for the horse.

(p) Any person who fails or refuses to comply with any order, direction or requirement of the Stewards or any official.

(q) Any person who in their opinion is guilty of any misconduct, improper conduct or unseemly behaviour.

(r) Any nominator, trainer or person in charge of any horse who contrary to the orders of the Committee of the Club or Stewards, fails or refuses to produce upon request a horse entered for any race at a meeting or removes such horse from the course.

(s) Any person responsible for the use on any horse of any shoes, racing plates, equipment or gear which has not been approved, or which in their opinion is unsuitable or unsafe.

(t) Any person who obstructs or hinders the Stewards or other official in the exercise of their powers or duties.

(u) Any person who tampers or attempts to tamper with any means of identification of a racehorse as provided for in the Rules.

(v) Any person who commits a breach of a Code of Practice published by the Australian Racing Board.

**AR 175A.** Any person bound by these Rules who either within a racecourse or elsewhere in the opinion of the Committee of any Club or the Stewards has been guilty of conduct prejudicial to the image, or interests, or welfare of racing may be punished.

**AR 175B.** (1) (a) No licensed or registered person shall place or have placed on his behalf a transaction with a betting exchange on any horse race run in Australia; and

(b) No owner who has a horse engaged in any race in Australia shall place or have placed on his behalf a transaction with a betting exchange on any horse in such race.

(2) No person present on the grounds of a racecourse at a time when it is lawful for betting to take place at the racecourse shall:

(a) access any website maintained by or on behalf of any betting exchange;

(b) access any website displaying transactions or offers of bets relating to any betting exchange operations;

(c) use any device to display transactions or offers of bets relating to any betting exchange operations; or

(d) otherwise contact, communicate or conduct transactions with any betting exchange.

(3) Subrule (2) shall not in any way limit the Stewards in the exercise of their powers under these Rules.

**AR 176.** The Committee of any Club or the Stewards may disqualify any horse entered or run in any race under a fraudulently false description or in connection with which any other improper or dishonourable action or practice mentioned or referred to in AR 175 is found to have been committed.

## PROHIBITED SUBSTANCES

**AR 177.** Any horse that has been brought to a racecourse and a prohibited substance is detected in any sample taken from it prior to or following its running in any race must be disqualified from any race in which it started on that day.

**AR 177A.** When a horse is brought to a racecourse or recognised training track to engage in a trial or test for the purpose of obtaining a permit to start in a race whether after suspension or otherwise and a prohibited substance is detected in any sample taken from it prior to or

following the trial or test, the trainer and any other person who was in charge of the horse at any relevant time may be punished.

**AR 177B.** (1) When a sample taken at any time from a horse being trained by a licensed trainer has detected in it any prohibited substance specified in subrule (2), the trainer and any other person who was in charge of such horse at the relevant time may be punished unless he satisfies the Stewards that he had taken all proper precautions to prevent the administration of such prohibited substance.

(2) For the purposes of subrule (1), the following substances are specified as prohibited substances:-

- (a) anileridine
- etorphine
- dipipanone
- endorphins
- human erythropoietin, darbepoetin alfa
- human, bovine and porcine/canine insulin
- diacetylmorphine (heroin), cocaine, cannabinoids and lysergic acid diethylamide (LSD)
- amphetamines including amphetamine, methylamphetamine, methylenedioxyamphetamine and methylenedioxymethamphetamine
- phencyclidine
- sodium gamma hydroxy butyrate (GHB)

(b) Metabolites, artifacts and isomers of the substances specified in paragraph (a).

**AR 178.** When any horse that has been brought to a racecourse for the purpose of engaging in a race and a prohibited substance is detected in any sample taken from it prior to or following its running in any race, the trainer and any other person who was in charge of such horse at any relevant time may be punished.

**AR 178A.** No person shall have in his possession on a racecourse where a meeting is being conducted any prohibited substance, syringe, needle or other instrument which could be used to administer a prohibited substance to a horse unless that person shall have obtained the permission of the stewards to be in such possession, or satisfies them that such possession is for his lawful use. Any person found contravening this rule may be punished and any such substance or items may be confiscated.

**AR.178B.** The following substances are declared as prohibited substances:-

(1) Substances capable at any time of acting directly or indirectly on one or more of the following mammalian body systems:-

- the central and/or peripheral nervous systems
- the cardiovascular system
- the respiratory system
- the alimentary digestive system
- the musculo-skeletal system
- the uro-genital system
- the endocrine system

(2) Substances falling within the following categories of substances:-



acidifying agents  
adrenergic blocking agents  
adrenergic stimulants  
alkalinising agents  
anabolic agents  
analgesics  
antiangina agents  
antiarrhythmic agents  
anticholinergic agents  
anticoagulants  
antidepressants  
antihistamines  
antihypertensives  
anti-inflammatory agents  
blood coagulants  
bronchodilators  
bronchospasm relaxants  
buffering agents  
central nervous system stimulants  
cholinergic agents  
corticosteroids  
depressants  
diuretics  
general anaesthetics  
haematopoietic agents  
hormones (including trophic hormones) and their synthetic counterparts  
hypnotics  
local anaesthetics  
muscle relaxants  
narcotic analgesics  
neuromuscular agents  
plasma volume expanders  
respiratory stimulants  
sedatives  
tranquillisers  
vasodilators  
vitamins administered by injection

(3) Metabolites, artifacts and isomers of the prohibited substances prescribed by subrules (1) and (2) of this rule.

**AR 178C.** (1) The following prohibited substances when present at or below the concentrations respectively set out are excepted from the provisions of AR 178B:-

- (a) Alkalinising agents, when evidenced by a total carbon dioxide (TCO<sub>2</sub>) at a concentration of 36.0 millimoles per litre in plasma.
- (b) Arsenic at a mass concentration of 0.30 milligrams per litre in urine.
- (c) Dimethyl sulphoxide at a mass concentration of 15 milligrams per litre in urine or 1.0 milligrams per litre in plasma.

(d) In male horses other than geldings, 5 $\alpha$ -estrane-3 $\beta$ , 17 $\alpha$ -diol in urine (including both the free substance and that liberated from its conjugates) at a mass concentration equal to or less than that of 5(10) estrene-3 $\beta$ , 17 $\alpha$ -diol in urine (including both the free substance and that liberated from its conjugates).

(e) Salicylic acid at a mass concentration of 750 milligrams per litre in urine or 6.5 milligrams per litre in plasma.

(f) Hydrocortisone at a mass concentration of 1.00 milligrams per litre in urine.

(g) Testosterone (including both free testosterone and testosterone liberated from its conjugates):

(i) in geldings: at a mass concentration of 20 micrograms per litre in urine;

(ii) in fillies and mares: at a mass concentration of 55 micrograms per litre in urine;

(iii) in fillies and mares that have been notified as pregnant pursuant to the requirements of AR 64E(2): at any concentration.

(h) 3-Methoxytyramine (including both free 3-methoxytyramine and 3-methoxytyramine liberated from its conjugates) at a mass concentration of 4.0 milligrams per litre in urine.

(j) Boldenone in male horses other than geldings, (including both free boldenone and boldenone liberated from its conjugates) at a mass concentration of 15 micrograms per litre in urine.

(2) The following substances are excepted from the provisions of AR.178B: -

antimicrobials (antibiotics) and other antiinfective agents with the exception of procaine penicillin  
antiparasitics  
ranitidine  
omeprazole  
ambroxol  
bromhexine  
dembrexine  
vaccines against infectious agents  
orally administered glucosamine  
orally administered chondroitin sulphate

**AR 178D.** (1) Samples taken from horses in pursuance of the powers conferred on the stewards by AR 8(j) shall be analysed by only an official racing laboratory.

(2) Upon the detection by an official racing laboratory of a prohibited substance in a sample taken from a horse such laboratory shall -

(a) notify its finding to the stewards, who shall thereupon notify the trainer of the horse of such finding; and

(b) nominate another official racing laboratory and refer to it the reserve portion of the same sample and, except in the case of a blood sample, the control of the same sample, together with advice as to the identity of the prohibited substance detected.

(3) In the event of the other official racing laboratory detecting the same prohibited substance, or metabolites, isomers or artifacts of the same prohibited substance, in the referred reserve portion of the sample and not in the referred portion of the control, the certified findings of both official racing laboratories shall be *prima facie* evidence upon which the stewards may find that a prohibited substance had been administered to the horse from which the sample was taken.

**AR 178E.** (1) Notwithstanding the provisions of AR 178 C(2), no person without the permission of the Stewards may administer or cause to be administered any medication to a horse on race day prior to such horse running in a race.

(2) The Stewards may order the withdrawal from a race engagement any horse that has received medication in contravention of subrule (1) of this rule.

### **PUNISHMENTS**

**AR 179.** The Committee or Stewards of any Club may accept:-

- (a) A certificate by a Club that it has imposed or adopted any punishment, or
- (b) A certificate by a Principal Racing Authority or by a Club in another country having powers similar to those of a Principal Racing Authority that any punishment has been imposed or adopted under its Rules, or
- (c) The publication in a *Racing Calendar* or similar publication of a statement to the effect that any punishment has been imposed or adopted,

as prima facie evidence of the fact stated and may assume unless the contrary is proved that such punishment has not been set aside or mitigated.

**AR 180.** Any Principal Racing Authority making or adopting any suspension or disqualification shall immediately communicate the same to all other Principal Racing Authorities.

**AR 181.** A list of persons suspended, warned-off or disqualified, and of horses disqualified by the Principal Racing Authority, or whose suspension or disqualification as the case may be has been adopted by a Principal Racing Authority, shall be kept at the Office of that Principal Racing Authority, and shall from time to time be published in the *Racing Calendar* and be transmitted with all additions thereto to the other Principal Racing Authorities and such other Clubs as the Principal Racing Authority may think fit.

**AR 182.** (1) Except with the consent of the Principal Racing Authority that imposed the disqualification, and upon such conditions that they may in their discretion impose, a person disqualified by the Stewards or a Principal Racing Authority shall not during the period of that disqualification:-

- (a) Enter upon any racecourse or training track owned, operated or controlled by a Club or any land used in connection therewith;

- (b) Enter upon any training complex or training establishment of any Club or licensed person;
- (c) Be employed or engaged in any capacity in any racing stable;
- (d) Ride any racehorse in any race, trial or test;
- (e) Enter or nominate any racehorse for any race or official barrier trial whether acting as agent or principal;
- (f) Subscribe to any sweepstakes;
- (g) Race or have trained any horse whether as owner, lessee or otherwise;
- (h) Share in the winnings of any horse;
- (j) Participate in any way in the preparation for racing or training of any racehorse.

(2) Except with the consent of the Principal Racing Authority that imposed the disqualification, no person who in the opinion of the Principal Racing Authority or the Stewards is a close associate of a disqualified person shall be permitted to train or race any horse.

**AR 182A.** A bookmaker shall not bet by telephone or otherwise with a disqualified person.

**AR 183.** A person warned-off by a Principal Racing Authority shall be subject to the same disabilities as a person disqualified.

**AR 183A.** (1) Unless otherwise ordered, during the period of his suspension no suspended rider who is licensed, approved or permitted to ride under AR 81 shall ride in any race, trial or trackwork. Provided that a rider may be suspended from riding in races only.

(2) Except with the consent of the Principal Racing Authority or the Stewards who imposed the suspension, a rider suspended by the Principal Racing Authority or the Stewards shall not during the period of that suspension be registered as a stablehand or be employed or work in any racing stable.

**AR 183B.** Except with the consent of the Principal Racing Authority or the Stewards that imposed the suspension, a trainer or a person holding an owner-trainer permit suspended by the Stewards or a Principal Racing Authority shall not during the period of that suspension:-

- (a) As a trainer, or owner-trainer, nominate a horse for a race or an official barrier trial.
- (b) Train a horse upon any registered racecourse or a training track owned, operated or controlled by a Club.
- (c) Be registered as a stablehand, or be employed or work in any racing stable.

**AR 183C.** A Bookmaker suspended by the Stewards or a Principal Racing Authority or the relevant supervising body shall not field at any race meeting conducted under The Rules or be in any way concerned in the operation of a bookmaker during the period of that suspension.

**AR 183D.** Unless otherwise permitted by the stewards or a Principal Racing Authority, and upon such conditions as they may in their discretion impose, a stablehand while suspended shall not be employed or work in any racing stable during the period of his suspension.

**AR 183E.** Any person disqualified under these Rules shall not during the period of such disqualification hold any office on or participate in the business of any Principal Racing Authority, Racing Association or Racing Club or any other racing disciplinary body.

**AR 184.** Where in relation to any disqualification or suspension imposed under these Rules there are proceedings in a court and the court in such proceedings orders or declares by way of injunction or otherwise that the disqualification or suspension shall be, or is, not operative or is not to be enforced or acted upon either generally or for any specified or otherwise limited period of time, then the time during which such suspension or disqualification would but for such order or declaration have been effective shall not be included in calculating the duration of such suspension or disqualification. In the event that any such order of a court shall cease to have effect for any reason whatsoever, subject to any order a court may make or may have made, the duration of such suspension or disqualification shall commence to run, or, resume running, as the case may be, from the date upon which such order ceases to have effect. Every suspension or disqualification imposed after this rule comes into operation shall be subject to the provisions hereof.

**AR 185.** Notwithstanding the provisions of AR 182, if a lessor is a disqualified person, or in the opinion of the Principal Racing Authority or the Stewards is a close associate of a disqualified person, a Principal Racing Authority may, in its discretion, waive in favour of the lessee in respect of any particular meeting or during the currency of the lease or any part thereof the provisions of those rules; but in the event of such horse winning any stake or prize money, the amount thereof shall be reduced by the amount or proportion thereof to which such lessor would otherwise be entitled by virtue of any agreement (whether verbal or in writing) entered into between the lessor and the lessee in respect of such horse, and no part of such stake or prize money shall be payable to such lessor nor be recoverable by the lessor from any Club or the lessee or any other person whomsoever.

**AR 186.** No horse shall be disqualified for a race by reason of any bonus payable under the conditions of the race to a disqualified person as breeder or nominator of the sire, and in the event of such horse winning or being placed, any such bonus shall be withheld and paid to the nominator.

**AR 187.** So long as a horse is disqualified by the Stewards or a Principal Racing Authority it shall not be entered or run for any race held under these Rules or be trained on any course where these Rules are in force.

**AR 188.** A person or horse disqualified or suspended by any Club, other than a Principal Racing Authority, or by an Association shall, pending adoption or disallowance by the Principal Racing Authority, be subject to disabilities similar to those abovementioned so far as they relate to any course under the control of the Club or Association imposing the disqualification or suspension as the case may be.

**AR 189.** If a horse has been disqualified for any particular race, or for anything occurring in such race, the prize or money including any proportion to which the rider would have been entitled as rider of a winning mount shall be awarded as though such horse had not started in the race.

**AR 190.** When a Principal Racing Authority disqualifies any person it may disqualify for the same or any term all or any horses in which he has an interest. Notice of every such general disqualification of horses and their names when they can be ascertained by the Secretary shall be included in the List of Disqualifications, but the omission of any horse's name shall not affect the disabilities involved in such disqualification.

**AR 191.** The disqualification of a trainer or the suspension of his trainer's licence shall not of itself render ineligible for racing any horse which at the time of the disqualification or suspension was being trained by him for fee or reward, and in which he had no interest other than as a trainer, provided that such horse is removed as soon as practicable to the possession and control of another trainer who is expressly approved by the Principal Racing Authority or the appropriate Association. For the purpose of this rule the words "being trained" shall include any horse for which such trainer was responsible for the care, control and superintendence and/or any horse for which a current stable return has been lodged declaring such horse to be trained by the said trainer.

**AR 192.** Any person found by the Principal Racing Authority to be a defaulter in bets or any person posted as a defaulter in bets by any Club recognised by a Principal Racing Authority for the purpose of this Rule, may be disqualified until his default is cleared or his posting removed.

**AR 193.** The Committee of any Club or Association or the Stewards may suspend any licence, right or privilege granted under the Rules for such term as they think fit so far as it relates to the courses or meetings controlled by them provided that such suspension may be disallowed or removed by the Principal Racing Authority.

**AR 194.** A disqualification or suspension imposed by the Committee or Stewards of any registered club or of any registered race meeting may be adopted or enforced by the Committee or Stewards of any other Club or race meeting pending adoption or disallowance by the Principal Racing Authority.

**AR 195.** The Secretary of every registered club or registered race meeting shall immediately forward to the Secretary of the Principal Racing Authority a certificate of every disqualification or suspension made by the Committee or Stewards thereof, with a statement of the facts on which it is founded.

**AR 195A.** Upon any licensed person being disqualified his licence shall cease and determine and he must make application to the Principal Racing Authority to be relicensed.

**AR 196.** (1) Any person or body authorised by the Rules to punish any person may, unless the contrary is provided, do so by disqualification, suspension, reprimand, or fine not exceeding \$75,000. Provided that a disqualification or suspension may be supplemented by a fine not exceeding \$75,000.

(2) Unless otherwise ordered by the person or body imposing the penalty, a penalty of disqualification or suspension imposed in pursuance of subrule (1) of this Rule shall be served cumulatively to any other penalty of suspension or disqualification.

**AR 197.** No person shall be entitled to make any claim for damages by reason or in consequence of the imposition, annulment, removal, mitigation, or remission of any punishment imposed or purporting to be imposed under the Rules.

**AR 198.** No club, official or member of a club shall be liable to any person for any loss or damage sustained by that person as a result of, or in any way (either directly or indirectly) arising out of the exercise of any right, privilege, power, duty or discretion conferred or imposed, or bona-fide believed to have been conferred or imposed, under the Rules.

**AR 199.** Subject to the provisions of AR 199A, every person aggrieved by -

(a) any punishment imposed by the Committee of a Club or an Association or by the Stewards, or

(b) any disability imposed by such Committee of a Club, Association or Stewards on a horse in which he has an interest,

may subject to the Rules appeal to the Principal Racing Authority.

**AR.199A.** There shall be no right of appeal against a decision of the Stewards in connection with -

(a) any protest or objection against placed horses arising out of an incident or incidents occurring during the running of a race; or

(b) a disability imposed on a horse which provides that such horse shall pass a specified trial or test or examination; or

(c) the eligibility of any horse to run in any race; or

(d) a declaration under AR 134A.

**AR 199B.** A person attending or required to attend an inquiry conducted by the Stewards or the Committee of a Club or Association shall not be entitled to be represented by any other person, whether a member of the legal profession or otherwise, provided that an apprentice jockey may be represented by his master or other trainer acting for his master.

**AR 200.** Notwithstanding anything in these Rules contained, when an appeal has been duly instituted against a disqualification or suspension imposed under these Rules, the Principal Racing Authority concerned and any persons holding delegated powers of such Principal Racing Authority pursuant to AR.7(q) may in its or their absolute discretion and subject to such conditions as it or they shall think fit, suspend the operation in whole or in part of the Rules imposing disabilities upon disqualified or suspended persons and horses until the determination of such appeal.

## **DESTRUCTION OF HORSE**

**AR 201.** In the event of any horse being so injured on a racecourse that the destruction of such horse in the opinion of the stewards or qualified veterinary surgeon appointed by the Club conducting the race meeting, or a qualified veterinary surgeon approved by the Club in control of the racecourse, is advisable in order to save unnecessary suffering, such stewards or qualified veterinary surgeon may order such horse to be destroyed by such person as the stewards or the veterinary surgeon consider suitable.

## NOTICES

**AR 202.** Any notice to be given under these Rules may be served upon any person either personally or by sending it through the post in a prepaid envelope or wrapper addressed to such person at his last known address or place of abode in the State, or by advertising in one daily newspaper published in the principal city of the territory in which the Club giving the notice has its office.

**AR 203.** Any notice sent by post shall be deemed to have been served in the usual course of post, and in proving such service it shall be sufficient to prove that the letter containing the notice was properly addressed, stamped, and posted. Any notice by advertisement shall be deemed to have been served on the day on which the advertisement appears.

**AR 204.** The signature to any notice to be given may be written, printed or typed.

**AR 205.** Where a given number of days notice or notice extending over any other period is required to be given, the day of service shall, but the day upon which such notice will expire shall not, be included in the number of days or other period.

**AR 206.** Any notice may be signed by the Secretary or other officer or person authorised by the Committee of any Club.

## FACSIMILE TRANSMISSIONS

**AR 207.** Any entry, scratching or notice required by the Rules to be in writing may be made or given by facsimile transmission and such entry, scratching or notice shall be deemed to have been made or given when facsimile transmission is received by the addressee.

## AUSTRALIAN RACING BOARD

**AR 208.** The Australian Racing Board is a company limited by guarantee incorporated under the Corporations Act established to make, change and administer the Australian Rules of Racing and otherwise do all things whatsoever that the Board considers to be conducive to developing, encouraging, promoting or managing the Australian thoroughbred racing industry.

**AR 209.** The Australian Racing Board may, from time to time, publish Codes of Practice setting out standards of conduct for persons commercially associated with Australian thoroughbred racing.

**AR 214.** The incorporation of the Board shall not affect any previous operation of the Rules or of any decisions made or actions taken in accordance with the Rules, or of any rights, privileges, entitlements, obligations, duties, liabilities, penalties or disqualifications accrued or incurred under the Rules before the incorporation of the Board.

## NEW RULES

**AR 215.** These Rules may from time to time be rescinded or altered and new Rules made by (and only by) the Australian Racing Board.





# LOCAL RULES

of

# RACING NSW

## APPLICATION

**LR 1.** The *Local Rules of the NSW Thoroughbred Racing Board* (inclusive of the Rules of Betting) for the time being and the *Australian Rules of Racing* for the time being must be read, interpreted, and construed together, and as so combined will be and be known as *The Rules of Racing of the NSW Thoroughbred Racing Board* and such rules apply to the administration, supervision and control of racing throughout New South Wales and the Australian Capital Territory.

**LR 2.** The Local Rules shall come into operation on the first day of January 1999. Any other Local Rules of Racing previously in force shall be repealed as from that day. The provisions of rules 4 and 5 of the Australian Rules of Racing apply *mutatis mutandis* to these Local Rules as if the same were expressly incorporated therein.

**LR 3.** Any person who takes part in any matter coming within the Rules of Racing, or to which the said rules apply, thereby agrees to be bound by them.

**LR 4.** The *Rules of Betting of the NSW Thoroughbred Racing Board* for the time being apply to all bets made and to all disputes relating to bets arising at a registered meeting.

## DEFINITIONS

**LR 5.** In the interpretation of the Local Rules (and of any programme of a race meeting or conditions of a race to which they apply), unless the context otherwise requires, words defined in Australian Rule 1 have or include the meanings as set out therein and the following words have or include the following meanings:

**"Appeal Body"** means the Appeal Panel or the Committee of an Association or Registration Board that has been delegated the power to hear and determine appeals.

**"Appeal Panel"** means the Appeal Panel constituted by Part 4 of the *Thoroughbred Racing Board Act 1996, No 37*, as amended.

**"AR"** means Australian Rule of Racing; and **"LR"** means Local Rule of Racing of the Board; and **"BR"** means Rule of Betting of the Board.

**“Authorised Representative”** means a person or company registered with the ASIC as an authorised representative (as that term is defined in the *Corporations Act 2001*) of a Promoter.

**“Betting auditorium”** means premises on a racecourse approved for betting or wagering on horse races, greyhound races or sports betting events.

**"Board"** means the NSW Thoroughbred Racing Board.

**“Bookmaker”** means a natural person or eligible company licensed in accordance with section 14A of the Thoroughbred Racing Board Act 1996, and a person acting as a bookmakers agent and/or bookmakers remote clerk.

**"Broken Hill area"** means that part of New South Wales west of a line joining the towns of Wentworth, Pooncarie, Wilcannia and Wanaaring, and thence north to the border and including the said towns and any racecourse in the vicinity thereof.

**“Country area”** means that area within the boundaries of NSW, including the Australian Capital Territory, not defined as being metropolitan or provincial areas.

**"Licensed racecourse"** means a racecourse licensed under the provisions of the *Gaming and Betting Act 1912* as amended.

**"Metropolitan area"** means the area of Sydney and also includes the area lying west of the Pacific Ocean and extending to the banks of the Hawkesbury, Nepean and Cataract rivers and then continuing in a straight line from the Cataract River in an easterly direction to the Pacific Ocean and also includes the area bounded by straight lines joining Penrith, Emu Plains, Kurrajong and Wisemans Ferry, but excluding the area contained within a radius of 15 kilometres from the post office at Windsor.

**"Official"** includes a Committeeman, Director, Board Member or any person employed, engaged or appointed by the Board, or by a Club, Association or Registration Board, for the purpose of conducting its race meetings, tracks, training tracks, offices, business and affairs and all matters incidental thereto.

**“Official barrier trial”** means a trial under the control or supervision of the Stewards over not less than 800 metres, conducted on a racecourse, with no less than 4 horses ridden by licensed jockeys, apprentices or approved riders, provided that the Stewards may, at their discretion, approve the alteration or variance of any of the conditions of any barrier trial under their control.

**"Paying up time"** or **"Pay up time"** is the time prior to a race prescribed by the Rules, or by the conditions of the race, as the latest time for making all payments in respect of an acceptor in that race.

**"Provincial area"** means the area outside the boundaries of the Metropolitan Area, the boundary of which Provincial Area runs from the southern shore of Port Stephens in the East to the southern side of the town of Karuah, then following the southern side of the Pacific Highway to the town of Hexham; then continuing in a straight line in a south-westerly direction to the southern side of the towns of Quorrobolong and Wollombi to the Great Dividing Range; then continuing south-west, including the area east of the Blue Mountains,

and extending south along the escarpment of the Illawarra District, to include the southern boundary of the town of Gerringong.

**“Racecourse”** means any racecourse, training ground or property over which, or of which, the Board or any Association or Club has the control, management, supervision or use for the time being, and whether such control, management or use is permanent, temporary or intermittent.

**"Racing authority"** means the Stewards appointed or approved by the Board, or a Racing Association, or Registration Board, when exercising by delegation any of the powers conferred on the Board by paragraphs (b), (c), (d) and (e) of AR 7; or the Committee or the Stewards of any race Club or race meeting registered by the Board under the Rules of Racing.

**“Racing Calendar”** means *NSW Racing*, the NSW Thoroughbred Racing Board Official Publication.

**“Registration Board”** means the Broken Hill & Far West Racing Registration Board.

**"Sweepstakes"** means any race in which the stakes or any part thereof going to the winner and/or other horses in the race are to be made by the owners of horses entered, although money or any other prize be added.

**"The Act"** means the *Thoroughbred Racing Board Act 1996 No 37*, as amended by subsequent amended Acts.

Words importing the singular include the plural and vice-versa and the masculine gender includes the feminine gender, unless in either case the context requires otherwise.

## **POWERS OF THE BOARD**

**LR 6.** The Board has the power to warn off any or all racecourses within its control any person whose presence thereon in the opinion of the Board is not desirable.

**LR 7.** [*Deleted 1<sup>st</sup> July 2000*]

**LR 8.** The Board, or Association, may advise any Club within its jurisdiction to refuse admission to its racecourse to any person who, in their opinion, has engaged in illegal off-course bookmaking activities.

**LR 9.** (1) The Board, or an Association, has the power at any time to appoint an inspector to inspect and examine all the books of account, registers and financial records of any Association or Club within its jurisdiction.

(2) Upon being notified that such an inspector has been appointed, the Association or Club receiving such notification must provide the inspector with all books of account, registers and financial records that he or she wishes to inspect and must furnish such explanations as he or she requires. Any official of such Association or Club failing or refusing to assist the inspector on being asked to do so may be punished.

(3) The inspector must upon completion of his or her inspection and examination furnish the Board with a written report.

## APPOINTMENT OF STEWARDS

**LR 10.** (1) The Board may from time to time appoint a Chairman of Stewards, Deputy Chairman of Stewards, and Stewards of such categories as it thinks fit.

(2) The Committee of any Club may appoint a Deputy Steward at the request of the Stewards in charge of a race meeting.

(3) The Board may appoint, or approve the appointment by any Association of, an Assistant Steward or Cadet Steward. However, such appointees are not entitled to a vote on any matter occurring at, or arising out of, the meeting for which they are appointed, unless authorised to do so by the Chairman of Stewards of such meeting.

**LR 11.** Subject to LR 10 as to the appointment of Stewards, and subject to any appointment of officials made by the Board or Association, the Committee of the Club must appoint all officials necessary for the conduct of its meetings.

## POWERS OF STEWARDS

**LR 12.** (1) The Stewards referred to in LR 10 have jurisdiction to officiate as directed by the Board at any race meeting conducted in New South Wales or the Australian Capital Territory.

(2) Unless otherwise provided for in the Rules, the majority of the Stewards at a race meeting have and may exercise all the powers, duties and authorities conferred upon the Stewards by the Rules. In the case of equality of voting, the Chairman of Stewards of a meeting has a casting vote in addition to a deliberating vote.

(3) When a Steward, or Deputy Steward, is the sole Steward at a meeting he or she has and may exercise all the powers, duties and authorities vested in the Stewards.

(4) The Stewards have the power to give any rulings or to hear and decide upon any disputes relating to bets in accordance with the Rules of Betting. Such decisions by the Stewards are final and not subject to appeal.

(5) At any race meeting at which he or she acts, the Chairman of Stewards of the Board is *ipso facto* the Chairman of Stewards of such meeting for the purposes of the Rules.

(6) The Board, or the Chairman of Stewards, may authorise a Steward or Stewards to exercise all the powers vested in the Stewards by the Rules to inquire into, adjudicate upon, and deal with any matter or incident related to racing.

**LR 13.** The Stewards may defer for a period of no longer than 9 days the commencement of any suspension imposed by them upon a rider, provided such rider holds an engagement to ride a horse on one of those days.

**LR 14.** The Board or the Stewards may permit accredited representatives of the press to be present at any inquiry in such numbers, and subject to such conditions, as may from time to time be deemed proper.

**LR 15.** Deleted [1<sup>st</sup> February 2001]

**LR 16.** The Stewards may punish any person who enters upon any stable premises under the control of a trainer without the permission of that trainer.

**LR 17A.** The Stewards may at any time order the surrender by any person of a horse's Document of Description, Foal Identification Card or Thoroughbred Identification Card. Any person failing or refusing to comply with such order may be punished and the Stewards may further direct the Registrar of Racehorses to issue a duplicate Document of Description, Foal Identification Card or Thoroughbred Identification Card for such horse.

### **FIELD LIMITS**

**LR 18.** (1) The Stewards may, from time to time, limit the number of horses permitted to be started in any race at any racecourse.

(2) On any racecourse having a movable running rail, the Stewards may reduce the number of runners permitted in any race in accordance with the location of the movable rail.

(3) On any racecourse, the Stewards may in the interests of safety, at any time, reduce the number of horses to be started in any race.

### **PROGRAMMES**

**LR 19.** All programmes and balloting conditions must have the approval of the Board, and are subject to such conditions or policies which may be set down from time to time by the Board.

**LR 20.** Every race meeting, unless otherwise determined by the Board, must be advertised in the *Racing Calendar* and such advertisement must set out:

- (a) the full programme of the meeting,
- (b) the days on which the meeting is to be held,
- (c) the time and place for receiving entries, and declaration of weights, acceptances, scratchings and riders,
- (d) any other such particular as required by the Board.

**LR 21.** *[Deleted 1<sup>st</sup> July 2000]*

**LR 22.** *[Deleted 1<sup>st</sup> July 2000]*

**LR 23.** *[Deleted 1<sup>st</sup> July 2000]*

### **RACE FIELDS - GENERAL**

**LR 24.** Unless specific approval to the contrary is given by the Board, or an Association, the list of acceptors or declared runners must be published in weight order.

**LR 25.** The minimum weight to be imposed by the handicapper for any race must not be less than 53kgs in the metropolitan and provincial areas and 54kgs in the country areas excepting those black type and feature races as approved by the Board.

**LR 25A.** If at final acceptance time for any Group or Listed handicap race, the highest handicap weight to be carried is less than 56.5kg, such weight must be raised to 56.5kg and the other weights increased by the same amount.

**LR 26.** In determining eligibility, weights, and/or balloting conditions for any race no account shall be taken of

- (a) appearance monies, incentive payments, breeder bonuses, starter rebates or starter bonuses,
- (b) any payment that was not available to all horses that were eligible to be nominated for such race.

Provided however that that the conditions of a race may also determine that for balloting purposes no account shall be taken of prizemoney won in sales restricted races.

#### **RACE FIELDS - ALTERATION TO ENTRIES / WEIGHTS**

**LR 27.** Any alteration to the list of nominations after the closing time for entries for a meeting must be approved by the Stewards.

**LR 28.** Following the abandonment of a race or race meeting, the Stewards and/or NSW TRB Chief Executive may at their discretion, approve the re-nomination of any accepted horse from such race into any TAB covered race, regardless of whether weights have been declared provided that

- (a) this rule shall only be operable until 4pm on the day prior to final acceptance for the race to be entered into and,
- (b) if the list of nominations is altered after the declaration of weights, the Handicapper may amend the allotted handicap weight of any or all horses at their discretion.

#### **RACE FIELDS - DIVIDE, ABANDON, ETC.**

**LR 29.** In addition to the powers contained in AR 38, and if the conditions of entry authorise it, the Board, or the Committee of a Club situated within the metropolitan area, may at any time, in respect of any race meeting being conducted or to be conducted

- (a) cancel, abandon, postpone or divide any race or races,
- (b) combine any race with another race upon the same programme upon such terms and conditions as may be deemed expedient,
- (c) give such authorities and directions as are considered necessary to give effect to this rule.

**LR 30.** In addition to the powers contained in AR 38, provided that a minimum of 5 races is run on any one programme, and if the conditions of entry authorise it, the Committee of a Club outside the Metropolitan Area may:

- (a) abandon any race at acceptance time, where there are 7 or less acceptors, and
- (b) divide any other race, at acceptance time, at its discretion.

**LR 31.** The Board may, after consultation with the Club concerned, combine or abandon any race or races after the time of nomination and may give such authorities and directions as are considered to be necessary to give effect to this rule including the authority to re-handicap any horse or horses.

**LR 32.** (1) In the event of a race being divided into 2 or more divisions, a list of acceptors will be first adopted, the order of which is decided by the weights to be carried (including any extra weight by way of penalty or re-handicap).

(2) The acceptors must then be allotted in that order alternately to each division, provided that if a division contains more than one horse in the nomination of the same person, or in the care of the same trainer, the persons authorised to make the division may exchange the second of such horses with a horse of the same weight, or, failing such, of the nearest weight, in another division not so nominated or trained.

(3) If there are 2 or more of the last mentioned horses, the exchange must be determined by lot.

(4) Further provided that, in the case of races held outside the Metropolitan Area, where conditions of the programme so stipulate, the division may be made on the "tops and bottoms" principle.

**LR 33.** Further to the provisions contained in AR 48 (c), the nominator of any horse which is balloted from any race must, unless the conditions of the race stipulate otherwise, be refunded all fees which have been paid for such race from which the horse was balloted. Such horse may, prior to 9.30 am the following day, be re-nominated for any meeting for which the weights have not been issued.

### **BARRIER DRAW**

**LR 34.** (1) The order in which the horses will be drawn up before the start of each race must be determined and published at such time after the declaration of acceptances as the Committee of the Club thinks fit.

(2) The order must be determined by lots drawn under the supervision of 2 persons appointed for the purpose by the Board or Association.

(3) The lots shall be drawn by means of some device or system or any other means approved by the Board.

(4) If any horse which should have been included in the draw is not included, and the omission is in the opinion of the Stewards discovered a reasonable time after declaration of acceptances, the barrier position of the horse thereafter included must be determined by lot

drawn from the total number of horses which are now declared for the race. The horse in the original draw with the same barrier position, and all horses drawn outside that horse, must be moved out one position to accommodate the horse previously omitted.

(5) If, following the determination of the barrier draw, it is established that a horse is listed in the incorrect field order, no alteration must be made to the barrier draw of any horse, irrespective of whether or not any alteration is subsequently made to the race field order.

(6) None of the foregoing shall prevent the Stewards, in circumstances they deem appropriate, from ordering that all barriers be redrawn.

(7) No liability of any nature shall be incurred by any Club by reason of error or failure in dealing with any nomination, declaration or acceptance on the part of a Club or its servants or agents.

### **DECLARATION OF RIDERS**

**LR 35.** (1) The nominator or trainer of any horse intended to be run in a race must declare to the secretary of the Club, or other official or agent appointed for the purpose, the name of the rider engaged, not later than the time stipulated in the approved programme for the meeting as advertised in the *Racing Calendar*. Provided that for horses listed as an emergency runner, riders must be declared by 9.30am on the morning of the race.

(2) Failing such declaration, the Stewards may reject the nomination and/or punish the nominator or trainer.

(3) The Stewards may, in their absolute discretion, extend the time or times provided by this rule.

(4) No other rider shall be substituted for the rider whose name has been declared, without the permission of the Stewards.

### **WITHDRAWAL AFTER ACCEPTANCE (SCRATCHING)**

**LR 36.** Further to the provisions of AR 114, notice of withdrawal of a horse from any race meeting (picnic race meetings exempt) must be given before 7.30am on the morning of the race for day meetings and before 10.00am on the morning of the race for night meetings, except with the permission of the Stewards who may permit such withdrawal with or without the imposition of a penalty.

**LR 37.** If after declaration of acceptance a horse is withdrawn by order of, or with the permission of, the Stewards acting on veterinary advice, or if a horse is found to be lame or otherwise injured after a race or trial, such horse may be deemed ineligible to start for such time as the Stewards may decide and/or only after it has passed such test or tests as the Stewards may decide are necessary to establish its fitness.

**LR 38.** A declared acceptor must not without the permission of the Stewards be withdrawn after final declaration of acceptance from a special event as determined by the Board and as advertised in the conditions of the race.



## RACING - GENERAL

**LR 39.** No horse may be nominated for a race or barrier trial unless, at least 48 hours prior to the closing time for entries, its name and particulars have been included on a duly lodged stable return.

**LR 40.** Further to AR 45, two year olds:

- (a) may between 1st October and 31st December in country areas race against older horses, but only in races up to and including 1000 metres in distance,
- (b) are not permitted to race at registered picnic meetings.

**LR 41.** (1) Every horse must have competed in an official barrier trial to the satisfaction of the Stewards before being eligible to race, unless otherwise determined by the Stewards.

(2) Any horse trialling for the purpose of obtaining a permit to start in a race must carry the gear in which it is to race, unless otherwise specifically authorised by the Stewards.

**LR 42.** The Stewards may refuse or reject the nomination of any horse at any time for any period or indefinitely or until such horse has trialled, barrier trialled or passed any required veterinary examination to their satisfaction.

**LR 43.** (1) Without affecting the generality of the powers under AR 50, the Stewards may reject the entry of any horse which does not correspond in all particulars with the description in the Document of Description or Thoroughbred Identification Card for such horse.

(2) If the horse does not correspond with such description in that the brand is not distinguishable, but the Stewards are satisfied that the horse is otherwise identified, they may allow such horse to start on the undertaking of the owner, nominator or trainer that it will be re-branded as directed by the Registrar by such time as they determine.

**LR 44.** (1) Further to the powers contained in AR 8(j), the stewards may order that a horse not be permitted to race until such tests as they consider necessary are conducted to determine whether any prohibited substance is present in the system of that horse.

(2) Unless ordered otherwise, the cost of such tests shall be borne by the owners of the horse.

**LR 45.** Blinkers of a design approved by the Stewards may be used in races in accordance with the provisions of AR 140B and in accordance with the conditions which are approved by the Board and published in the *Racing Calendar*.

**LR 46.** Further to AR 117, all horses intended to be run in any race within the metropolitan and provincial areas must be brought into the saddling paddock at least 2 hours immediately preceding the time appointed for the starting of such race, and must remain there until the time has arrived to proceed to the mounting yard.

**LR 47.** (1) Without the permission of the Board, a licensed person or official must not whilst present on any racecourse:

- (a) during the conduct of any race meeting or official trials, or
- (b) during any event related to racing which is open to attendance by the public, or

- (c) at any other specified time or place on any racecourse as the Board may from time to time prescribe;
  - (i) display any advertising, or
  - (ii) wear any item of clothing displaying advertising, or
  - (iii) display advertising on a horse or any of its gear.

(2) For the purpose of this rule, the word advertising means any advertising, registered or unregistered trademark, logo, design or other distinguishing pattern, colour or feature promoting or associated with any business enterprise, undertaking, product or service, but does not include any such advertising, trademark, logo, design, or other pattern, colour or feature registered by the Board in accordance with the rules.

**LR 48.** *[Rescinded 01.07.2001]*

### **JUDGING**

**LR 49.** In any race whereby under AR 157 the Stewards have directed that additional places be provided by the judges, the meaning of the words "placed horse" in AR 136(2) shall include those horses which have been placed sixth, seventh and eighth.

**LR 50.** Further to the provisions of AR 156, whether prior or subsequent to the declaration of correct weight, if the Stewards are satisfied on the evidence of the available prints or images that the judge has made a mistake in the determination of the finishing order of a race, the Stewards may correct such mistake and alter the places accordingly. No alterations to the judges places after correct weight will have any effect on previous orders given by the Stewards as to the payment of bets.

### **LICENSING - GENERAL**

**LR 51.** (1) Any person seeking a licence, permit, registration, transfer or indentureship as provided by the Rules must apply to the Board on such form as the Board may from time to time direct. Any such application must be accompanied by the prescribed fee.

(2) The Board may grant any licence or permit upon such terms and for such period and for such locality as they may see fit, and may refuse to grant any such licence or permit without assigning any reason for such refusal, and may at any time cancel or suspend or vary without giving any reason any such licence registration or permit before the termination of the period for which such licence or permit was granted.

(3) Under the powers of AR 7(o) and AR 7(p), the Board delegates for the time being and under such conditions as they may from time to time impose, the function of licensing as provided for by this rule in the following manner:

- (a) for persons located in the metropolitan and provincial areas, the function is delegated to the Board Licensing Committee,

- (b) for persons residing in country areas, the function is delegated to the Association within whose territory the applicant is located.
- (4) The holder of a licence, permit or registration must immediately notify the Board in writing any change of address.
- (5) Unless otherwise determined all licences, permits and registrations expire on the 30th day of June each year.
- (6) Any person who has had an application for a licence or registration refused must not make a further application until the expiration of 12 months from the date of such refusal. The Board may however in its discretion reduce the said period.

**LR 52.** Upon a licensed person having reasonable grounds to suspect a contravention of a Rule of Racing, he or she must report same to the Stewards as soon as practicable.

**LR 53.** Further to the provisions of AR69P, should a Promoter intend to promote shares in a racehorse/s through an Authorised Representative (as permitted by the Corporations Act 2001) then:

- (a) the Board may carry out such investigations of the Authorised Representative as it considers necessary to assess the Authorised Representative's ability and appropriateness to perform such role;
- (b) the Board shall record any Authorised Representative who satisfies the investigative procedure referred to in sub rule (a) in a Register of Approved Authorised Representatives established by the Board for such purpose; and
- (c) an Authorised Representative shall not carry out any promotional activities on behalf of the Promoter until such time as the Board notifies the Authorised Representative that he/she has been recorded in the Register of Approved Authorised Representatives.

## **RIDERS**

**LR 54.** Every jockey, apprentice jockey and approved rider must have satisfactory and current public liability insurance before being eligible to be licensed or to ride.

**LR 55.** (1) Unless the Board otherwise determines, no jockeys licence may be granted to any person unless he or she has completed an apprenticeship or held a licence as a jockey, under the Rules of Racing of a Principal Club.

(2) A person holding a bookmakers or bookmakers clerks licence is not eligible to be granted a trainer or jockeys licence and vice versa.

**LR 56.** *[Deleted 30<sup>th</sup> June 2003]*

**LR 57.** After weighing out, every rider must ensure that the correct saddle cloth number is placed with his or her saddle and gear.

**LR 58.** All lead or other weight included by a rider when weighing out for a race riding engagement must be secured in a rider's lead bag or saddle pouch.

**LR 59.** (1) The Stewards may at any time require any licensed jockey, or any person granted permission to ride in races or track work, to undergo a physical and/or medical assessment at centres specifically authorised by the Board for such purpose.

(2) If in the opinion of the Stewards any such assessment is unsatisfactory, insofar as race riding or track riding is concerned, they may suspend the licence or permit of such jockey or permit holder.

(3) Any rider who fails or refuses to submit to any such fitness or medical assessment procedure when required to do so may be punished by the Stewards.

**LR 60.** Further to the provisions of AR 85B should a riders explanation for failing to fulfil riding engagements be in the opinion of the Stewards not satisfactory, then they may suspend such rider's license to ride in races for such period as they think fit.

**LR 61.** (1) No rider shall enter or use a sauna located on-course unless:

- (a) the rider's name has been recorded in the register of riders authorised by the Board to enter or use a sauna located on-course; and
- (b) the rider has first obtained the permission of the person authorised by the Stewards to supervise the use of the sauna on the day of the race meeting.

(2) No rider's name shall be recorded in the register of riders authorised by the Board to enter or use a sauna located on-course unless the rider satisfies, and continues to satisfy, the admission criteria as may be required by the Board from time to time.

(3) Every rider admitted to use a sauna located on-course must:

- (a) comply with the procedures and guidelines on the use of saunas notified by the Board from time to time; and
- (b) comply with the directions of the Stewards and persons authorised by the Stewards to supervise the use of the saunas on the day of the race meeting.

(4) Where a rider breaches any part of this **LR61**, the Stewards may:

- (a) suspend the rider's privilege to use on-course saunas for such periods of time as the Stewards think fit; and/or
- (b) stand down the rider from riding on the day that the breach occurred; and/or
- (c) remove the rider's name from the register of riders authorised to enter or use saunas located on-course; and/or
- (d) punish the rider as otherwise provided under the Rules.

## APPRENTICE JOCKEYS

**LR 62.** No trainer, permit holder, or owner is permitted to have under his or her control any apprentice unless indentures in the form prescribed by the Board have been lodged with and approved by the Board. The period of indentureship, unless otherwise approved, must be for a period of not less than 4 years.

**LR 63.** The Board may refuse to approve any apprenticeship indentures and may at any time, by written notice to the parties, cancel any indentures if in their opinion the employer or the apprentice has failed to carry out his or her obligations, or has committed any act or been guilty of any conduct as a result of which the Board considers it undesirable for the apprenticeship to continue.

**LR 64.** (1) During the term of his or her indentures, every apprentice or trainee apprentice must attend the Board or Association apprentice school as required and must otherwise conform to the requirements of the Board in connection with his or her training.

(2) The Board may cancel the indentures of any apprentice or trainee apprentice who fails to conform with the provisions of this rule, or otherwise punish such person in such manner as the Board or the Stewards deem fit.

**LR 65.** Every trainer must keep a wages book or such other payroll record as approved by the Board in which the name, class of employment and the current earnings of each apprentice must be kept. Each apprentice must by signature acknowledge receipt of such earnings. The wages book/records must be made available for inspection, on demand by the Board, the Stewards or an official authorised by the Board.

**LR 66.** The Board may grant permission to ride in races to any person bound as an apprentice outside New South Wales if:

- (a) it is satisfied that such apprentice has the qualifications required under the Rules of Racing and Regulations in force where he or she has been bound, and
- (b) the apprentice produces a certificate of clearance from the body from whom he or she holds his or her permit to ride, and
- (c) such apprentice is in the care of his or her master, or by written authority is placed in the care of a person approved by the Stewards.

**LR 67.** (1) With the consent of his or her master, an apprentice may be granted permission by the Board to ride in races after the apprentice has competed in a minimum of 20 official barrier trials to the satisfaction of the Stewards.

(2) No apprentice is permitted to ride in any race or trial for two-year-olds until he or she has ridden in at least 10 races.

(3) No apprentice may, without the permission of the Stewards, ride in the metropolitan area unless he or she has ridden 5 winners.

**LR 68.** If an apprentice is to ride at a race meeting in the absence of his or her master, written permission to ride for that meeting must be given by the master placing the apprentice in the

care of a trainer, or a person approved of by the Stewards. Such notice must be handed to the Stewards before the apprentice is weighed out.

**LR 69.** An apprentice is entitled to claim a weight allowance in accordance with the 3 tier scale provided for in AR 92 unless:

- (1) the conditions of the race specify apprentices may not claim or
- (2) the race is run under quality handicap conditions or
- (3) the race is run under fixed weight conditions where the prizemoney exceeds \$70,000 excluding trophies or bonuses.

**LR 70.** Except with the permission of the Stewards, every apprentice must claim his or her full allowance. The trainer and/or apprentice may be punished if such allowance is not claimed, and the apprentice may be stood down from the ride.

**LR 71.** All gratuities for apprentices must be paid to the Board to be held in accordance with the conditions of the apprenticeship agreement.

### **FEES & PERCENTAGES**

**LR 72.** (1) Clubs shall pay such fee for a jockey or apprentice jockey in consideration for their riding a horse in a race or a barrier trial as may be set from time to time by the Board.

(2) The total prizemoney paid in respect of a horse being placed first, second, third, fourth or fifth shall be paid as follows:

| <b>Race Meetings</b> | <b>Metropolitan</b> |                 | <b>Provincial and Country</b> |                 | <b>Picnic</b> |                 |
|----------------------|---------------------|-----------------|-------------------------------|-----------------|---------------|-----------------|
| Prizemoney           | \$500 or over       | Less than \$500 | \$100 or over                 | Less than \$100 | \$100 or over | Less than \$100 |
| Nominator            | 85%                 | 90%             | 85%                           | 90%             | 90%           | 100%            |
| Trainer              | 10%                 | 10%             | 10%                           | 10%             | 10%           | Nil             |
| Jockey               | 5%                  | Nil             | 5%                            | Nil             | Nil           | Nil             |

- (3) For the purposes of this rule “prizemoney” does not include:-
- (a) rewards available for a horse being successful in a series of races,
  - (b) rewards for an unplaced runner starting in a race,
  - (c) trophies,
  - (d) breeder, owner or trainer bonuses,
  - (e) any payment that was not available to all horses that were eligible to be nominated for such race.

**LR 73.** *[Deleted 1<sup>st</sup> February 2001]*

**LR 74.** *[Deleted 1<sup>st</sup> July 2000]*

**APPROVED RIDERS**

**LR 75.** Only persons licensed by the Board as approved riders may ride in races at a registered picnic meeting. Applications for an approved riders licence must be made on the form provided by the Board, accompanied by the required fee and all applicants must:

- (a) obtain the approval of the Stewards, and
- (b) not be licensed as a trainer, and
- (c) not be licensed as a bookmaker or bookmakers clerk, and
- (d) make payment of the prescribed insurance premiums to the TRB Insurance Fund, and
- (e) fulfil such other conditions as the Board may from time to time impose.

**LR 76.** Unless otherwise provided in the conditions of the race, approved riders at picnic race meetings may claim an allowance on the following scale:

If he or she has not ridden 5 winners.....3kg

If he or she has ridden 5 winners but has not ridden 10 winners .....2kg

If he or she has ridden 10 or more winners ..... no claim permitted

Further provided that, an approved rider may claim the same allowance during a day of racing to which he or she was entitled to claim at the beginning of the day.

**TRAINERS**

**LR 77.** Permits may be granted to owners to train horses owned or leased by the owner solely or in partnership with his or her spouse, de facto spouse, their children over 18 years, father, mother, sisters and brothers. Owner-trainers are not permitted to train for syndicates.

**LR 78.** (1) A trainer is at all times responsible for the administration and conduct of his or her stables.

(2) A trainer is at all times responsible for the care, control and supervision of the horses in his or her stables.

(3) If a trainer is to be absent from his or her stables, for a period longer than 48 hours, he or she must, with the Stewards permission and approval, depute a licensed or registered person to be in charge of such stables during his or her absence.

(4) Such deputation does not relieve the trainer in any way from his or her responsibilities for the care, control and supervision of his or her horses and the conduct of his or her stables.

(5) The person to whom responsibility is delegated does not have the authority to further delegate this responsibility.

**LR 79.** (1) If a trainer is unable to attend a race meeting while horses being trained by him or her are running at such meeting, he or she must delegate his or her stable foreman or another licensed person specifically approved by the Stewards, to be responsible for the presentation of his or her horses at that meeting.

(2) Such trainer must notify to the Stewards of the meeting, in writing and as soon as practicable prior to the meeting, the name of the person to whom he or she has delegated this responsibility.

(3) The person to whom responsibility is delegated does not have the authority to further delegate such responsibility.

(4) The delegation of responsibility to another person by a trainer for horses which are running at a meeting does not relieve him or her of the responsibility and liability for the care, control, management and supervision of those horses at that meeting.

**LR 80.** A trainer must ensure that every horse in his care that is being led or ridden outside his/her stable premises on a public roadway or thoroughfare prior to sunrise wears a rug or other gear with reflective strips and that its rider or attendant wears a reflective vest of a design approved by the Stewards.

**LR 81.** *[Deleted 1<sup>st</sup> July 2000]*

## **STABLEHANDS**

**LR 82.** (1) Every person holding a trainers licence or permit to train or a racing employee contractor licence from the Board must on or before 1st July each year apply to the Board to register every person employed by him or her or assisting him or her in connection with the training or care of racehorses (in this rule referred to as an "employee"). Any such registration, except as hereinafter provided, remains in force until the 30th day of June in the following year.

(2) Application for the registration of employees engaged subsequent to 30th June each year, in respect of whom no transfer is registered as hereinafter provided, must be made at the time of such engagement.

(3) No employee unless duly registered may be employed by a trainer. This requirement also applies to stablehands transferring from another trainer.

(4) On termination of any employee's engagement, the employer must either:

- (a) register a transfer of the employment to another trainer or person holding a permit to train, or
- (b) notify the Board that such employment has been terminated whereupon the name of the employee in question may be removed from the register and his or her registration may be deemed to have ceased from the date of such termination.



- (5) Unless with the consent of the Board, or its authorised official, no trainer or person holding a permit to train shall engage a registered employee without a written reference from his or her last employer, and no employer shall withhold a reference without good cause.
- (6) Trainers licensed by the Board, or persons holding a permit to train, must only authorise to ride work those stablehands certified as fit and competent to do so by a Steward, or other official appointed for the purpose.
- (7) Only those stablehands registered as a stablehand rider may at any time ride any horse in connection with racing.
- (8) A registered stablehand must openly display his or her stablehand identity card at all times whilst working at any race meeting.
- (9) Notwithstanding any other provisions of these rules to the contrary, a licensed racing employee contractor may with the approval of the Board:
  - (a) employ a stablehand directly, and
  - (b) enter into a contract to supply a trainer with the services of a stablehand.
- (10) A racing employee contractor must:
  - (a) register with the Board any stablehand employed by the contractor,
  - (b) provide such further information as is from time to time requested by the Board, and
  - (c) register with the Board a copy of each contract between the contractor and the trainer for the supply to the trainer of the services of the stablehands,
  - (d) exercise all such responsibility for the actions of a stablehand employed by that contractor in accordance with the responsibilities exercised by trainers for stablehands directly in their employ.

**LR 82A** (1) To assist in the supervision of training track operations, a Race Club may appoint a Track Supervisor to supervise the conduct of trackwork and related activities on race club grounds.

(2) The Track Supervisor shall have authority to control track operations and personnel including trackwork riders, stablehands and trainers. His powers shall include, but not be limited to;

- (a) determine track availability, including cancellation or limitation of trackwork sessions on any track or tracks;
- (b) supervise the conduct of licensed persons to ensure adherence to track regulations and safety requirements;
- (c) monitor both horse and rider's gear, including but not limited to protective vests, skull caps, safety irons, safety lights and reflective gear, and ensure that a

track rider, whilst mounted on a horse, does not carry, wear or use any object, appliance, or gear during track work that is not approved by the Stewards;

(d) supervise all horses using racecourse facilities, with the authority to order away from any facility any horse that presents a safety concern or any horse which is not entitled to use such facility;

(e) with the approval of the Stewards, assess the competency of stablehand riders engaged to ride trackwork and to ensure only approved stablehand riders ride on club training grounds.

(f) monitor and report to the race club any incidents, accidents or safety concerns relating to horses and personnel, and take the necessary action to ensure accident warning devices are appropriately activated; and

(g) refer to the Stewards any misconduct involving licensed persons' usage of race club facilities or non-adherence to the Rules of Racing, club regulations, by-laws or conditions set down by the race club for the conduct of such facility.

**LR 82B** (1) Any person employed by or assisting a trainer in the training, care or superintendence of a racehorse upon any racecourse, training centre or registered stable commits an offence and may be penalised if

(a) a sample taken from him/her is found upon analysis to contain the presence of a substance banned by AR81B; or

(b) he/she refuses or fails to deliver a sample as directed.

(2) In the event of an analysis or preliminary analysis of a sample indicating the presence of a substance banned by AR81B, or if, pursuant to this rule, a person refuses or fails to deliver a sample when directed to do so, the Stewards may forthwith, pending the determination of any inquiry or other proceedings, stand down such person.

(3) In the event of a person incurring a penalty under this rule, he/she shall not resume duties until he/she delivers a sample as directed by the Stewards that is free of any substance banned by AR81B.

(4) Further, provided that the provisions of AR81A(5) as they apply to a rider shall apply mutatis mutandis to any person under this rule.

## **BOOKMAKERS**

**LR 83.** No person at any race meeting, betting auditorium or registered betting office on a racecourse may carry on, or assist in carrying on, the business of a bookmaker, or act as clerk to any person carrying on such business, unless he or she holds the required licence with the Board.

**LR 83A** (1). Further to the provisions of AR 175B no licensed person may place or have placed on his/her behalf, a bet on any Australian horse race with any operator who is not licensed to accept such a bet by a Principal Racing Authority or state or territory government in Australia.

(2) Further to the provisions of AR 175B no person present on the grounds of a racecourse whilst betting is taking place shall, by electronic or other means access the website, contact or bet with any operator who is not licensed to accept bets on Australian horse racing by a Principal Racing Authority or state or territory government in Australia.

**LR 84.** An applicant for a bookmakers licence, and for the annual renewal of it, must sign an application in the form for the time being prescribed by the Board. Any person making an application for a bookmakers licence must supply such evidence of character, fitness and experience as the Board may require and unless authorised by the Board must have attained the age of 21 years. If resident within the jurisdiction of the Board the applicant must have the required guarantee provided to the NSW Bookmakers Co-Operative Limited or in the event that membership of the Bookmakers Co-Operative has been declined by that body, such other financial guarantee as is required by the Board and is satisfactory to it.

**LR 84A.** In respect of claims made against a guarantee there shall be no obligation to pay successful bets unless a written claim in respect of such bet is received by the Board within 28 days from the date of the determination of the bet. The level of guarantee and conditions attached to the guarantee for each bookmaker shall be determined by the Board.

**LR 85.** Except with the permission of the Board, a bookmaker must not accept any bet in relation to any race except upon a licensed racecourse and during such hours as the Committee of the Club may determine.

**LR 86.** If any bookmaker or bookmakers clerk be convicted of an offence under the provisions of the *Unlawful Gambling Act* 1998 or otherwise be found by the Board or Association to have been engaged in illegal off-course bookmaking, the Board or Association may revoke or suspend his or her licence for such period as the Board thinks fit.

**LR 87.** A Bookmaker shall only operate on a stand or in a location under license as may be determined by the Committee of the Club and on the condition that such bookmaker complies with the directions and policies of the Board

**LR 88.** A licensed bookmaker must not carry on his or her business on behalf of or in conjunction with any other person, without the permission of the Board.

**LR 89.** A bookmaker must not allow a person to remain in the vicinity of his or her allotted betting stand during the conduct of betting, unless such person is a licensed clerk and is directly engaged in the betting operations by the bookmaker on the day of the race meeting.

**LR 90.** A bookmakers licence is granted on the express condition that the person to whom the license is granted does not:

- (a) bet on any unregistered race meeting, or
- (b) employ a clerk who is not the holder of a license from the Board, or
- (c) bet on the result of a photo-finish or a protest, or
- (d) except with the permission of the Board;
  - (i) hold any significant interest, directly or indirectly, in a non-Australian bookmaking or totalisator operation; or
  - (ii) hold a position as a director, executive, employee or consultant with a non Australian bookmaking or totalisator operation; that accepts wagers or investments on a horse race, harness race or greyhound race that is conducted anywhere in Australia unless that bookmaking or totalisator operation is

conducted with the written approval of the controlling body that administers that racing in Australia except where that operation accepts wagers from residents in the jurisdiction that licensed the wagering operator in accordance with that license.

**LR 91.** Every bookmaker must:

- (a) conform to, observe and comply strictly with the *Racing Administration Act 1998*, the *Unlawful Gambling Act 1998*, the *Thoroughbred Racing Board Act 1996*, and with the Rules of Racing which include the Rules of Betting,
- (b) be present at his or her respective stand on race days (or have present a clerk authorised to accept directions from the betting supervisor) at least one hour before the starting time advertised for each race,
- (c) be on the allocated betting stand and display the price of each horse competing in the first race at least 30 minutes before the advertised starting time of such race and thereafter throughout the race day within 5 minutes after the declaration of correct weight or announcement of a protest,
- (d) ensure that his or her name and the number of his or her pay out stand are conspicuously displayed on his or her betting stand,
- (e) indicate clearly on his or her board whether the bookmaker is betting win-only or each-way. A bookmaker may change his or her mode of betting during the betting on any race,
- (f) indicate clearly on his or her board any horse which is a non-starter,
- (g) subject to BR 23, BR 24 and BR 25, bet on all horses and be prepared to commence pay-outs on bets immediately on notification of the correct-weight signal,
- (h) keep proper betting books,
- (i) keep a settling book or record of all unpaid bets, to be available on race days for Stewards or other officials, and records of any payments on Board authority, such records to contain the serial number of such authority,
- (j) upon making any bet, including a bet made as a layer, backer and when betting back, immediately record the bet in a legible manner in the authorised betting book, records or sheets; provided that such record of any such bet must identify with whom the bet was made,
- (k) record the full serial number of the first betting ticket at the top of the betting sheet and do all such things as may be necessary to ensure that clear and legible carbon copies will be made of all entries in such betting book. Provided that for the purpose of compliance with this rule, bookmakers may use any computerised recording system as approved by the Board and the NSW Department of Gaming and Racing,

- (l) write all tickets in respect of both credit and cash bets in consecutive order and immediately upon making such bets, cause to be entered in the betting book the particulars of such bets, the number of the betting tickets issued in respect of such bets, which must be in strict numerical order, and in respect of any credit bets, the name of the backers,
- (m) have printed on all betting tickets an address at which the bookmaker issuing the same may be conveniently found,
- (n) write the name of the horse or sporting contingency and the amount of the bet legibly on the ticket,
- (o) not alter or allow to be altered any erroneous entries in the betting book but must cause the same to be ruled through and the proper entry made underneath,
- (p) ensure that all betting tickets are written to show the exact value of the ticket to the nearest 5 cents,
- (q) enter accordingly all book bets in a recognisable name of the person with whom they are made and none but legitimate wagers must be so entered,
- (r) not enter or permit to be entered in his or her betting sheets, false, misleading or fictitious entries, or bets made in fictitious names,
- (s) denote each-way bets by placing a cross on the back of the betting ticket or by clear wording on computerised tickets,
- (t) not bet one horse to win another to run second (known as betting "one, two"), but may in the case of an odds-on favourite (the price of which is displayed) or if special permission to do so has been given by the Board, bet against it and another horse to finish first and second irrespective of order (quinella betting),
- (u) remain on the allocated stand and be prepared to pay out any outstanding bets until 20 minutes after the declaration of weight of the last race on the programme at the meeting on which he or she is operating,
- (v) at the request of a Steward or otherwise authorised official immediately make available his or her authorised betting book, records or sheets for the recording of bets made by him or her,
- (w) furnish a return (including a nil return) to the Club or Board office within 7 days of all meetings detailing all unpaid bets.

**LR 92.** In the event of a betting dispute, a bookmaker must agree to that dispute being heard and decided upon by the Stewards.

**LR 93.** No person, other than the bookmaker, will be allowed to call the odds or write tickets for any class of betting without the permission of the Board provided however:

- (a) with the approval of the Board, a licensed bookmakers clerk may operate in the absence of a bookmaker. Applications must be in writing, accompanied by the appropriate guarantees, and be lodged with the Board or Association by 10:00am on the day preceding the race meeting,
- (b) with the permission of the Board, approved clerks will also be permitted to write tickets while the bookmaker remains on his or her stand on the understanding that the bookmaker accepts full responsibility, or while the bookmaker who is an owner or part-owner temporarily leaves his or her stand to view a race in which his or her horse is taking part,
- (c) a bookmaker must not leave his or her stand to place a bet, nor use any person other than his or her licensed clerks to place bets.

**LR 94.** (1) Upon the conditional or unconditional acceptance by a bookmaker of a commission or request for the placement of a bet or bets on a horse or horses running in a race conducted under the Rules of Racing, the bookmaker must immediately make and keep a written record in duplicate of each such commission or request, in the form specified by the Board from time to time.

(2) Within 48 hours of the conclusion of a race meeting, in respect of which a bookmaker has accepted a commission or request for the placement of a bet or bets on a horse or horses in a race at such meeting, the bookmaker must lodge with the Board or Association the duplicate copy of the written records specified in subrule (1).

**LR 95.** Unless authorised by the Board, and/or unless in compliance with the conditions set down for the conduct of betting at a race meeting, betting auditorium or betting office, no bookmaker may:

- (a) send information from a racecourse relating to betting at that or any other race meeting to any person off the course, or
- (b) while on a racecourse, obtain any such information from any person off the course, or
- (c) while on a racecourse, make any bets back with any person off the course, or
- (d) field at a harness race meeting or greyhound race meeting which coincides with a registered race meeting being held within the particular area.

### **BET-BACKS**

**LR 96.** (1) Where a bookmaker backs a horse, competitor or contingency in relation to a sporting event, for the purpose of reducing his or her liability for bets already accepted against that horse, competitor or contingency, any such bets are regarded for the purposes of these rules as bet-backs.

(2) Where a bet is made by a bookmaker with another bookmaker:

- (a) the bookmaker receiving the bet must record the name of the bookmaker making the bet alongside the record of the bet,
- (b) the bookmaker making the bet must record in his or her ledger, in a separate column, the bet and particulars thereof,
- (c) if the bet is a bet-back, the bookmaker must record the transaction in his or her book as a bet-back,
- (d) the provisions of this rule apply whether or not the bet is made in cash.

(3) Where a bookmaker makes a bet on the totalisator, that bet must be recorded by the bookmaker in his or her ledger.

(4) Where a bet by way of a bet-back is made by a bookmaker on any totalisator, the bookmaker who makes the bet must record the transaction as being a bet-back on the totalisator.

(5) For the purposes of this rule, any bet made on behalf of a bookmaker is a bet made by such bookmaker.

### **TELEPHONE BETTING**

**LR 97.** (1) Bookmakers who have been issued with the relevant telephone betting authority by the Minister for Gaming and Racing may conduct telephone betting subject to the provisions of the *Racing Administration Act* 1998, and any conditions imposed by the Minister under the Act, and subject to such conditions as imposed from time to time by the Board.

(2) A bookmaker or bookmakers clerk must not without the permission of the Stewards, whilst betting is taking place on a racecourse, bring onto, have in his or her possession or use any portable telephone, radio transmitter, radio transceiver or other appliance, apparatus, instrument or equipment capable of receiving or transmitting information that has not been approved under an authority issued by the Minister for Gaming and Racing.

(3) Any bets placed by a bookmaker via telephone during a race meeting upon which he or she is conducting his or her business as a bookmaker must only be with a bookmaker operating at another registered race meeting, licensed betting auditorium or sports betting office within Australia. Such bets may only be for the express purpose of reducing the liability on bets already laid by the bookmaker upon such events on which he or she is currently fielding. Full details of the transaction, including the name of the bookmaker with whom such bet is made, must be recorded separately and must be lodged with the Board in accordance with the Rules.

### **SPORTS BETTING**

**LR 98.** (1) Bookmakers who have been issued with a sports betting authority by the Minister for Gaming and Racing may conduct sports betting, subject to the provisions of the *Racing*

*Administration Act* 1998, on an approved racecourse, in betting auditoriums, or in sports betting offices on an approved racecourse, at a date and time approved for that purpose.

(2) Sports betting may be conducted subject to such conditions and on such sporting events and forms of betting as from time to time approved by the Minister for Gaming and Racing.

(3) A bookmaker authorised to carry out sports betting must receive the approval of the Board for a bookmakers clerk to act in his or her absence. Such clerk must not be a licensed bookmaker.

(4) A sports bookmaker must hold an appropriate guarantee to cover sports betting transactions.

(5) Duplicate copies of all betting sheets or printed copies of all computerised betting recording systems must be lodged with the Board within a period of 7 days of the taking of any bet.

(6) A bookmaker must agree to a submission of any sports betting dispute to arbitration by the Sports Betting Disputes Panel.

#### **BOOKMAKERS CLERKS**

**LR 99.** An applicant for a licence to act as a clerk to a licensed bookmaker, and for the annual renewal thereof, must sign an application in the form for the time being prescribed by the Board. Any person making application for a bookmakers clerks licence must supply such evidence of character and fitness as the Board may require and unless authorised by the Board must have attained the age of 18 years.

**LR 100.** A licensed bookmakers clerk must:

- (a) conform to, observe and comply strictly with the *Racing Administration Act* 1998, the *Unlawful Gambling Act* 1998, the *Thoroughbred Racing Board Act* 1996, Sports Betting Rules and conditions and Telephone Betting conditions approved by the Minister for Gaming and Racing, and with the Rules of Racing which includes the Rules of Betting,
- (b) dress in a tidy manner and observe decorum and courtesy when attending a race meeting,
- (c) display his or her identity card at all times whilst working at a race meeting or betting auditorium,
- (d) walk in an orderly manner when moving from one place to another to carry out transactions on behalf of his or her employer,
- (e) work for one employer only at a particular meeting, and confine his or her activities to assisting his or her employer in the conduct of his or her business.



## COLOURS

**LR 101.** (1) All colours are subject to the approval of the Board.

(2) Nominators of horses must declare by stable return the colours to be carried and such colours must be worn by the horse's rider. Provided that at any time, the Stewards or an authorised official of the Club concerned may direct that alternative colours must be worn.

(3) Every horse which races within the Metropolitan Area must carry colours registered by the nominator (or if more than one, by one of the nominators). Provided that the Stewards, or an authorised official of the Club concerned, may give permission for other colours to be worn.

(4) If 2 or more persons declare the same colours at the time of entry, the Committee of the Club or the Stewards shall decide which person shall have the use of such colours and direct or authorise the other person or persons to adopt other colours.

**LR 102.** (1) A register of colours shall be kept by the Board.

(2) A fee to register colours may be set from time to time by the Board.

(3) Subject to this rule, registration entitles the person registering to the exclusive use of the colours during the period covered by such registration.

(4) Registration may be renewed on or before the 1st July each year. If application is not made for renewal of registration within 3 months of the due date, any right of renewal shall lapse.

(5) The representatives of a deceased owner, or other person becoming entitled to race a horse on the death of an owner, shall subject to this rule have the exclusive right to use the deceased's colours without registration until the time for the annual renewal of registration.

(6) The Board reserves the right to refuse the renewal of registration of any colours.

(7) Disputes as to the right to any colours shall be determined by the Board.

## ASSOCIATIONS

**LR 103.** Subject to such terms and conditions as the Board may impose, the Board may:

- (a) recognise the formation of Associations of registered Clubs and whose constituent race Clubs hold race meetings,
- (b) delegate to the Committee of any such Association such of the powers and duties conferred or imposed on the Board by the Rules of Racing, subject to such conditions or limitations as the Board may from time to time impose,
- (c) empower the Committee of such Association to hear and determine all appeals from any decision of a racing authority, subject to the limited jurisdiction prescribed by LR 105, and

- (d) at any time withdraw all or any of the delegated powers and duties of any such association. Upon such withdrawal, all powers and duties delegated by the Board to such Association or Committee shall immediately cease and be determined.

## **APPEALS**

**LR 104.** Pursuant to AR 7(o) and the relevant provisions of the Act, the Board appoints the Committees of the Racing Associations and the Broken Hill and Far West Racing Registration Board to hear and determine appeals, as provided for by section 42 of the Act, subject to the limitation on the jurisdictions of such Committees of Associations that is imposed on them by LR 105.

**LR 105.** Pursuant to section 42(3) of the Act, and subject to the provisions of AR 199A and LR 12(4), the delegation to the Committees of Associations of the power to hear and determine appeals is limited to:

- (a) appeals against decisions of racing authorities whereby the appellant is not to be legally represented which involve penalties no greater than a fine of \$2,500 and/or a 4 weeks suspension of any licence, right or privilege, and/or associated disabilities imposed on a horse, and
- (b) provided that, the delegation does not include the power to hear and determine appeals from decisions of racing authorities under AR 64G, AR 80E, AR 81A, AR 135(a), (b) and (c), AR 175(h), AR 177, AR 177A, AR 177B, AR 178, AR 178A, AR 178E and LR 82B.

**LR 106.** (1) Subject to section 42 of the Act, any person considering himself or herself to be aggrieved by a decision of a racing authority has the choice of appealing to either one, but not both, of the following Appeal Bodies:

- (a) the Appeal Panel under the Act, or
  - (b) subject to the jurisdictional limitations prescribed by LR 105, the Committee of the Association in whose territory the decision appealed against was made.
- (2)
- (a) Any appeal against a period of suspension incurred by a jockey or an apprentice jockey of one month or less must be commenced by the appellant within one day of becoming aware of the decision appealed against.
  - (b) All other appeals must be commenced by the appellant within 2 days of becoming aware of the decision appealed against.
  - (c) Provided that, the Appeal Body may in its discretion entertain an appeal which for exceptional circumstances is out of time.

(3) All appeals must be made in writing by the appellant in the form prescribed by the Board, and lodged with either:

- (a) the appeals coordinator, in the case of appeals to the Appeal Panel, or

- (b) the Secretary of the Association, in the case of appeals to any Association.
- (4) All appeals must be accompanied by:
- (a) a fee of \$200.00, and
  - (b) in the event of a stay of proceedings being sought, by an application in writing in the form prescribed by the Board.
- (5) No appeal may be withdrawn except with leave of the Appeal Body concerned, on such terms and conditions as it imposes.
- (6) The Appeal Panel and the Committees of Associations, shall, subject to the provisions of AR 199A and LR 12(4), hear and determine appeals that come within their jurisdictions provided for in the Act, or in the delegations made under LR 104.
- (7) All appeals must be in the nature of rehearings, the evidence to be considered being the evidence adduced at the hearing in respect of the decision appealed against, subject to the discretion of the Appeal Body to admit or receive further evidence called by the parties.
- (8) The general procedure at all appeals is governed by section 43 of the Act, which may be supplemented from time to time by these Local Rules.
- (9) Before the Appeal Panel an appellant may be represented by leave of the Panel by a member of the legal profession only, provided, however an apprentice jockey may be represented by his master.
- (10) The Appeal Body may have such persons to assist it as in its discretion it may deem necessary.
- (11) Any fresh evidence not tendered at the original Stewards hearing intended to be relied upon by any party to an appeal must be served on the Appeals Coordinator at least five clear days prior to the date set down for the hearing of the Appeal.

**LR 107** (1). On the lodging of an appeal and an application for a stay of proceedings, the Appeal Body has the following powers:

- (a) grant a stay of proceedings in circumstances where it considers that a substantial injustice may be caused to the appellant if the stay were not granted. Provided that this power to grant a stay may be exercised in respect of appeals to:
  - (i) the Appeal Panel, by the Presiding Member or the Convenor of an Appeal Panel,
  - (ii) an Association, by the Committee or Chairman of the Committee of such Association,
- (b) any rider who has been granted a stay of proceedings must, at the discretion of the Appeal Body, fulfil riding engagements for the following 2 days after the dismissal of such appeal, provided however that if the appeal be heard

on a Friday then riding engagements on any of the next 3 days must if so ordered be fulfilled.

- (2). On the hearing of an appeal, the Appeal Body has the following powers:
- (a) remit the matter in dispute to be reopened or reheard by the racing authority from whose decision the appeal is brought,
  - (b) in the case of an appeal against conviction, may confirm, quash, or set aside the decision appealed against; or in the case of an appeal against penalty, may confirm, quash, set aside, mitigate, reduce, alter, vary, increase or add to the penalty imposed by the racing authority,
  - (c) upon dismissal or upon the granting of leave to withdraw any appeal, if such appeal is deemed to be frivolous or vexatious, to impose such punishment or penalty as it thinks fit,
  - (d) to make such order as it thinks appropriate as to the amount of costs and expenses of any appeal and for the payment of same, and with reference to the disposal of any deposit.
  - (e) subject to AR 199B, may exercise its discretion to allow the appellant to be represented on the hearing of any appeal, and in any case may have such persons to assist the Appeal Body as it may deem necessary.

#### **FINES**

**LR 108.** Unless otherwise ordered, fines or costs imposed by the Board, Appeal Body, Association, Club or Stewards, must be paid within 14 days. If a fine remains outstanding after 14 days of its imposition, such person may be placed on the forfeit list.

**LR 109.** *[Deleted 21/3/2005]*

**LR 110.** *[Deleted 21/3/2005]*

#### **INSURANCE FUND**

**LR 111.** (1) The Board will provide workers compensation insurance to persons engaged in the racing industry as specified in these rules in accordance with its licence under the *Workplace Injury Management and Workers Compensation Act, 1998* and Board policies determined from time to time.

(2) In the interpretation of the following rules relating to insurance the following words unless the context otherwise requires, have or include meanings as follows:

“Racing Club” means a Club registered by the Board in accordance with the Australian Rules of Racing and the *Thoroughbred Racing Board Act 1996*.

“Owner” when a horse has been leased means the lessee.

“Rider” includes any person who rides a horse in connection with racing.

“Racing Year” means the period of twelve calendar months commencing 1st July and finishing 30th June the following year.

“Trainer” includes persons granted a permit to train and owner-trainers.

(3) In relation to Racing Clubs;

- (a) the Board will set premiums from time to time in respect of:
  - (i) a per meeting fee,
  - (ii) a fee for every horse weighed out for any race,
  - (iii) a fee for every horse participating in any official barrier trial,
  - (iv) a fee for every horse nominated for any race,
- (b) all Racing Clubs shall pay a premium calculated at a rate determined by the Board upon the wages paid to their employees (but not including those deemed to be employees by Schedule 1. 9(1) of the *Workplace Injury Management and Workers Compensation Act 1998*, namely race riders and persons riding work on a racecourse),
- (c) the Racing Clubs must pay these fees to the Board within one month of dispatch of their premium assessments.

(4) Subject to the provisions of the *Workplace Injury Management and Workers Compensation Act, 1998* as amended, all Racing Clubs and trainers must, except with the approval of the Board, effect workers compensation insurance with the NSW TRB Insurance Fund at the rates determined by the Board from time to time.

(5) Trainers must pay to cover their liability as employers under the *Workplace Injury Management and Workers Compensation Act, 1998* as follows:

- (a) a premium calculated at the rate determined from time to time by the Board upon the wages paid to their employees plus the value of their keep when kept by the employers, with a minimum as determined from time to time by the Board, whether the trainer has any person employed or not.
- (b) a contribution as prescribed from time to time by the Board in respect of any unpaid stablehand registered to the trainer, who rides work on a racecourse.
- (c) if holding a licence or permit from another Principal Club or the New Zealand Racing Conference, while visiting New South Wales, a premium as prescribed from time to time by the Board.

The charges mentioned in this sub-rule shall be reduced by one-half for the current racing year, if the relevant licence or permit is granted after 1st February.

(6) The premiums charged by the Board shall cover, in addition to other risks, the risks run by owners of racehorses, in that the policies deemed to be issued by the Board shall include an indemnity to the owners concerned from and against the following claims under the *Workplace Injury Management and Workers Compensation Act, 1998*, and any amendment thereof, namely:

- (a) all claims which may be made against the owner by any jockey, apprentice or stablehand in the employ of such owner for injuries received by such jockey, apprentice or stablehand whilst engaged to ride in a race run under the management of such Racing Club or Association or whilst engaged in riding work on any racecourse or other premises of such Racing Club or Association, and
- (b) all claims by any jockey, apprentice and stablehand employed by any trainer, against the owners of the racehorses trained.

(7) Before any horse shall be weighed out for any race to be run at any registered race meeting, or take part in any official barrier trial, there must be paid in respect of such horse for and on behalf of the owner thereof to the secretary or other authorised officer of the registered race meeting or trials, such premium as is prescribed by sub-rule (3).

(8) A policy shall be issued, or a renewal or other receipt given, in respect of each and every premium paid. Such policy must be in the form approved by the *Workplace Injury Management and Workers Compensation Act 1998* with such lawful variations as may from time to time be determined.

(9) It is a condition precedent to any application for or on behalf of any owner or trainer, Racing Club or Association to be indemnified by the Board, that such owner or trainer, Racing Club or Association must have complied with the conditions of the policy issued to him or her or them, and also must in the current racing year in which the personal injury is received and prior to the receiving of such personal injury, have paid the premium prescribed.

(10) Every application for compensation in respect of any personal injury received by a jockey, apprentice or stablehand must be accompanied by a certificate from the secretary of the registered meeting at which the injury was received, or by a certificate from the employer if the injury was received elsewhere, and either case giving particulars of the occurrence out of which the claim arose, the period during which it disabled the person injured, the wages (if any) which he or she was earning previously, and such other facts and be supported by such further or other evidence as the Board may require.

(11) Any person who, in the opinion of the Board makes a willfully false statement in support of any application to it for payment of compensation, either for himself or herself, or for or on behalf of any employee or any other person, will be deemed to be guilty of an improper action or practice under the Rules and may be punished.

(12) No trainer or other person is permitted to work his or her horse or horses on a racecourse unless he or she can produce on demand a policy of insurance, or a receipt showing payment of any insurance, for his or her full liability under the *Workplace Injury Management and Workers Compensation Act, 1998*, or any amendment thereof.

(13) A trainers licence to train may be suspended if his or her insurance premium is not paid within one month of the dispatch of the premium assessment.

(14) (a) Any licensed person suffering an injury upon any racecourse or training ground owned or occupied by any racing club or racing association must within the period of that same day report such injury to a Steward or official appointed for that purpose by the relevant club or association.

(b) Should such injured person not be able to fulfil the requirements of this rule due to the degree of incapacitation caused by the injury, then it shall be the responsibility of the trainer or person in charge of the horse concerned to make the injury report on behalf of the injured person to the nominated officials by the appointed time.

(c) Any person failing to comply with the provisions of this rule may be punished and may also be subject to penalties under the relevant workers compensation legislation.

**LR 112.** The Board shall effect a public risks insurance policy covering all race Clubs and Associations whose meetings are registered by the Board, other than those specifically exempted by the Board. The following provisions apply:

- (a) the cover will be for \$100,000,000,
- (b) the contribution to premiums to be made by each Club and Association will be determined by the Board from time to time,
- (c) such contributions must be remitted to the Board at the commencement of each financial year.

Provided further, that Clubs must not make any admissions and must as soon as possible after any incident for which there may be a liability under the policy, give notice in writing to the Board and furnish such information and assistance as may be required.

### **BENEVOLENT FUND**

**LR 113.** (1) The Board will manage a fund hereafter referred to as the TRB Benevolent Fund established and maintained from:

- (a) funds transferred from the AJC Benevolent Fund,
- (b) donations received,
- (c) any other amounts the Board may from time to time determine.

(2) At its absolute discretion the Board may make payments from the funds for the relief or assistance of any trainer, jockey or other person connected in racing in distressed circumstances.

(3) The Board may require applicants for funds to furnish such evidence as the Board feels is necessary or expedient.







## **RULES OF BETTING**

Of

## **RACING NSW**

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### **INTERPRETATION**

**BR 1.** In interpretation of the Betting Rules, the following words shall have or include the following meanings:

**“Bet”** - bet or wager does not include investments on the totalisator.

**“Starter's Orders”** - a horse comes under starters orders when a rider is called upon by the starter to take up his or her place for the start. Provided that, no horse shall be deemed to have come under the starters orders whereby bets have been declared off under BR 14.

**“Face Value”** - face value of the ticket means the total sum of moneys payable to the backer including the stakes in the event of a bet being successful.

**“Starting Price”** - means the odds declared by the Club conducting the meeting to be the starting odds of any runner at such meeting.

All other words in these rules have the same meaning as ascribed to them in the definitions of the Rules of Racing.

### **POWERS OF STEWARDS**

**BR 2.** The Stewards may suspend the operation of any of these rules and may declare off any bet in connection with which there has in their opinion been any fraud, or corrupt practice or action.

**BR 3.** If any question arises which is not provided by these rules, it will be determined by the Stewards.

**BR 4.** The Stewards powers set out in BR 14 may be exercised by the Chairman of Stewards or the Steward acting as such at any meeting.

## CONDITIONS

**BR 5.** In all bets there must be a possibility of winning when the bet is made.

**BR 6.** (1) Bets go to the backers of the horses placed by the judge, subject to any alteration made before weight is declared by the Stewards in accordance with the Rules of Racing.

(2) Provided that, if in the opinion of the Stewards there are circumstances requiring further investigation which might lead to disqualification of a horse or a rider, they may make an order postponing the settlement of all bets on the race in question and refer the matter to the Board who may make such declaration as they think fit in respect of the betting and, in particular, may declare off all or any bets on such race.

**BR 7.** A bet, other than a credit bet, will not be accepted as laid unless the stake money has actually been received by the bookmaker or his or her clerk.

**BR 8.** Upon being satisfied by a bettor that there are reasonable grounds for demanding that stakes be made before a race is run, the stewards may order that the bet be off unless covered or sufficient security given.

**BR 9.** Unless as provided for by these rules, no bet may be declared off, except by mutual consent.

**BR 10.** Subject to any express agreement to the contrary and to the rules governing doubles, bets are off and any stake paid must be returned to the backer in the following cases:

- (a) if the bet is made on the course or in an approved betting auditorium on the day of the race on a horse which at any race meeting does not come under starter's orders within the meaning of these rules,
- (b) in the case of a match race if either horse does not come under starter's orders,
- (c) if the bet is made on the day of a race which is subsequently postponed to another day; provided however, in the event of a race being ordered to be re-run the same day, all bets in favour of any horse which started on the first run shall stand, unless the Stewards in their absolute discretion decide otherwise.

**BR 11.** Subject to any express agreement between the parties, bets are subject to the following conditions:

- (a) all win and place bets taken on a horse withdrawn between the time of final acceptances and the display for such race of betting odds by bookmakers on the day of the race must be refunded and if such withdrawal in the opinion of the Stewards has had a material effect on the odds of the remaining horses then all successful fixed-price wagers must be paid subject to deductions in accordance with the scale outlined in BR 15 or alternatively at the discretion of the Stewards may be paid at starting price odds,
- (b) subject to the provisions of paragraph (a), except in the case of bets made on the course on the day of the race, bets stand though the horse does not start.

- (c) if odds are laid without mentioning the horse, the bet may be determined by the state of the odds at the time it was made,
- (d) any bet made on a horse entered for 2 events on the same day shall refer to the first race in which the horse starts; provided that if this is a walk-over, the bet is off.

**BR 12.** There shall be no obligation upon a bookmaker to pay a bet if the bet has been paid to a claimant, and there were reasonable grounds for believing that such claim was genuine.

### **BETTING LIMITS**

**BR 13.** (1) At a race meeting within the Metropolitan Area, a bookmaker is obliged to lay the odds displayed if demanded by the backer, except that the bookmaker is not compelled to lose on any one bet more than:

- (a) On the Rails (Bets on Metropolitan Meetings)  
Win only bet, the sum of \$5,000; Each way bet, the sum in total of \$5,000;  
Win and Place bet, the sum in total of \$5,000; Place only, the sum of \$2000.
- (b) In Other Rings (Bets on Metropolitan Meetings)  
Win only bet, the sum of \$1500; Each way bet, the sum in total of \$1500;  
Win and Place bet, the sum in total of \$1500; Place only, the sum of \$600.
- (c) On the Rails (Bets on Non-Metropolitan Meetings)  
Win only bet, the sum of \$3000; Each way bet, the sum in total of \$3000;  
Win and Place bet, the sum in total of \$3000; Place only, the sum of \$1500.
- (d) In Other Rings (Bets on Non-Metropolitan Meetings)  
Win only bet, the sum of \$1000; Each way bet, the sum in total of \$1000;  
Win and Place bet, the sum in total of \$1000; Place only, the sum of \$600.

(2) When the backer claims the bookmaker for a win and place bet which is greater than the limits prescribed by this rule, the bookmaker must bet the backer the proportional equivalent of an each-way wager.

(2) At a race meeting outside the metropolitan area or at an auditorium or betting office, the Committee of a Club may from time to time determine the minimum wager which bookmakers must be bound to lay when betting on any part of the Club's premises.

(3) Once a race has started a bookmaker is not compelled to accept any bet or alternatively is not compelled to bet the minimum limits prescribed by this rule.

### **WITHDRAWALS**

**BR 14.** (1) In the event of the Stewards permitting or ordering the withdrawal of a horse from a race after the time for withdrawal, or if they declare a horse a non-starter under AR 134A, bets made on the day on the racecourse, or in an approved betting auditorium, shall be settled in accordance with the decision of the Stewards.

- (2) Upon notification of the withdrawal, bookmakers must draw a line on their betting records immediately below the last bet taken on each runner in the race.
- (3) The Stewards may declare off all bets made on the withdrawal and order all moneys invested on the withdrawal be refunded. If in their opinion such late withdrawal has had a material effect on the odds already bet on the remaining horses, they may also make such further order, in accordance with this rule, as is considered necessary as to the settlement of successful bets on the race.
- (4) With respect to all successful wagers placed prior to the time of the withdrawal on win-only, traditional win and place, place-only, multiple doubles, quinellas and favourite out, bets must be paid the face value of the ticket less the amount of cents in the dollar deduction applicable on the scale of deductions in BR 15 to the odds of the horse at the time of its withdrawal.
- (5) Where 2 or more withdrawals occur simultaneously during betting, successful wagers made prior to the withdrawal time must be paid the face value of the ticket less a deduction determined by adding together the rates of deduction applicable to the odds of each of the withdrawn runners.
- (6) Where withdrawals occur at different times during betting on a race, successful wagers placed prior to the last withdrawal must be paid the face value of the ticket, less deductions determined as follows:
- (a) for bets placed prior to the first withdrawal, the rate of deduction must be determined by adding together the rates of deduction applicable to the odds of all withdrawn runners,
  - (b) for bets placed after the time of the first withdrawal, and prior to the time of the second withdrawal, the rate of deduction must be determined by the deduction applicable on the scale of deductions in BR 15 to the odds of the second withdrawal.
- (7) Provided however, in circumstances they deem appropriate, and when there is sufficient time, the Stewards may declare off all bets on the remaining horses and order that betting on the race be re-opened, or they may order all bets be paid at totalisator odds.
- (8) Further provided that, except in the case of a dead heat, no order must be made the effect of which would oblige a backer to receive less than his or her stake.
- (9) In the event of the late withdrawal of a horse from a race at a meeting other than at which the bet is made, bets shall be paid in accordance with the order of the Stewards who are officiating at the meeting where the bet is made.
- (10) If a late withdrawal is odds-on at the time of its withdrawal, all quinella bets on the race will be void and all moneys will be refunded.
- (11) If one of the nominated horses in a quinella is even-money or longer at the time of its withdrawal, all quinella bets incorporating the withdrawn horse are off, and all moneys are to be refunded. Winning bets made prior to the time of withdrawal are subject to a deduction in accordance with the scale of deductions in BR 15 for quinella betting.

**BR 15.**

**SCHEDULE OF DEDUCTIONS**

| DIVIDEND | IMPERIAL<br>CONVERSION | WIN,<br>DOUBLES<br>DEDUCTION | 3 PLACE<br>@<br>¼ | 2 PLACE<br>@<br>1/3 | QUINELLA |
|----------|------------------------|------------------------------|-------------------|---------------------|----------|
| 1.05     | 1/20                   | 0.80                         | 0.30              | 0.44                | <b>A</b> |
| 1.06     |                        | 0.80                         | 0.30              | 0.44                | <b>L</b> |
| 1.07     |                        | 0.80                         | 0.30              | 0.44                | <b>L</b> |
| 1.08     | 1/12                   | 0.80                         | 0.30              | 0.44                |          |
| 1.09     |                        | 0.80                         | 0.30              | 0.44                | <b>B</b> |
| 1.10     | 1/10                   | 0.80                         | 0.30              | 0.44                | <b>E</b> |
| 1.12     | 1/8                    | 0.80                         | 0.30              | 0.44                | <b>T</b> |
| 1.14     | 1/7                    | 0.80                         | 0.30              | 0.44                | <b>S</b> |
| 1.16     | 1/6                    | 0.80                         | 0.30              | 0.44                |          |
| 1.18     |                        | 0.79                         | 0.30              | 0.44                | <b>V</b> |
| 1.20     | 1/5                    | 0.78                         | 0.30              | 0.44                | <b>O</b> |
| 1.22     |                        | 0.77                         | 0.30              | 0.44                | <b>I</b> |
| 1.24     |                        | 0.75                         | 0.29              | 0.43                | <b>D</b> |
| 1.26     | 1/4                    | 0.74                         | 0.29              | 0.43                |          |
| 1.28     | 2/7                    | 0.73                         | 0.29              | 0.43                | <b>A</b> |
| 1.30     | 1/3                    | 0.72                         | 0.29              | 0.42                | <b>N</b> |
| 1.35     | 4/11                   | 0.69                         | 0.29              | 0.42                | <b>D</b> |
| 1.40     | 2/5                    | 0.67                         | 0.28              | 0.41                |          |
| 1.45     | 4/9                    | 0.64                         | 0.28              | 0.41                | <b>R</b> |
| 1.50     | ½                      | 0.62                         | 0.28              | 0.40                | <b>E</b> |
| 1.55     | 8/15                   | 0.60                         | 0.27              | 0.39                | <b>F</b> |
| 1.60     | 4/7                    | 0.58                         | 0.27              | 0.39                | <b>U</b> |
| 1.65     | 4/6,8/13               | 0.57                         | 0.27              | 0.38                | <b>N</b> |
| 1.70     |                        | 0.55                         | 0.27              | 0.38                | <b>D</b> |
| 1.75     | 8/11                   | 0.53                         | 0.26              | 0.37                | <b>E</b> |
| 1.80     | 4/5                    | 0.52                         | 0.26              | 0.37                | <b>D</b> |
| 1.85     |                        | 0.51                         | 0.26              | 0.36                |          |
| 1.90     | 9/10                   | 0.49                         | 0.25              | 0.36                |          |
| 1.95     |                        | 0.48                         | 0.25              | 0.35                |          |
| 2.00     | Evens                  | 0.47                         | 0.25              | 0.35                | 0.70     |
| 2.05     |                        | 0.46                         | 0.25              | 0.35                | 0.70     |
| 2.10     |                        | 0.45                         | 0.24              | 0.34                | 0.70     |
| 2.15     | 10/9                   | 0.43                         | 0.24              | 0.34                | 0.70     |
| 2.20     |                        | 0.42                         | 0.24              | 0.33                | 0.65     |
| 2.25     | 5/4                    | 0.42                         | 0.24              | 0.33                | 0.65     |
| 2.30     |                        | 0.41                         | 0.24              | 0.33                | 0.65     |
| 2.35     |                        | 0.40                         | 0.23              | 0.32                | 0.65     |
| 2.40     | 11/8                   | 0.39                         | 0.23              | 0.32                | 0.65     |
| 2.45     |                        | 0.38                         | 0.23              | 0.32                | 0.65     |
| 2.50     | 6/4                    | 0.37                         | 0.23              | 0.31                | 0.65     |
| 2.60     |                        | 0.36                         | 0.22              | 0.30                | 0.65     |
| 2.70     | 13/8                   | 0.35                         | 0.22              | 0.30                | 0.60     |
| 2.80     | 7/4                    | 0.33                         | 0.21              | 0.29                | 0.60     |
| 2.90     | 15/8                   | 0.32                         | 0.21              | 0.29                | 0.60     |
| 3.00     | 2/1                    | 0.31                         | 0.21              | 0.28                | 0.55     |

|       |      |      |      |      |      |
|-------|------|------|------|------|------|
| 3.10  |      | 0.30 | 0.20 | 0.27 | 0.55 |
| 3.20  |      | 0.29 | 0.20 | 0.27 | 0.55 |
| 3.30  | 9/4  | 0.28 | 0.20 | 0.26 | 0.55 |
| 3.40  |      | 0.27 | 0.19 | 0.26 | 0.50 |
| 3.50  | 5/2  | 0.27 | 0.19 | 0.25 | 0.50 |
| 3.60  |      | 0.26 | 0.19 | 0.25 | 0.50 |
| 3.70  |      | 0.25 | 0.19 | 0.25 | 0.50 |
| 3.80  | 11/4 | 0.25 | 0.18 | 0.24 | 0.50 |
| 3.90  |      | 0.24 | 0.18 | 0.24 | 0.45 |
| 4.00  | 3/1  | 0.23 | 0.18 | 0.23 | 0.45 |
| 4.20  | 13/4 | 0.22 | 0.17 | 0.23 | 0.45 |
| 4.40  |      | 0.21 | 0.17 | 0.22 | 0.40 |
| 4.60  | 7/2  | 0.20 | 0.16 | 0.21 | 0.40 |
| 4.80  | 15/4 | 0.19 | 0.16 | 0.21 | 0.40 |
| 5.00  | 4/1  | 0.19 | 0.16 | 0.20 | 0.40 |
| 5.50  | 9/2  | 0.17 | 0.15 | 0.19 | 0.35 |
| 6.00  | 5/1  | 0.16 | 0.14 | 0.18 | 0.35 |
| 6.50  | 11/2 | 0.14 | 0.13 | 0.16 | 0.30 |
| 7.00  | 6/1  | 0.13 | 0.12 | 0.16 | 0.30 |
| 7.50  | 13/2 | 0.12 | 0.12 | 0.15 | 0.25 |
| 8.00  | 7/1  | 0.12 | 0.11 | 0.14 | 0.25 |
| 8.50  | 15/2 | 0.11 | 0.11 | 0.13 | 0.25 |
| 9.00  | 8/1  | 0.10 | 0.10 | 0.13 | 0.20 |
| 9.50  |      | 0.10 | 0.10 | 0.12 | 0.20 |
| 10.00 | 9/1  | 0.09 | 0.10 | 0.12 | 0.20 |
| 11.00 | 10/1 | 0.08 | 0.09 | 0.11 | 0.16 |
| 12.00 | 11/1 | 0.08 | 0.08 | 0.10 | 0.16 |
| 13.00 | 12/1 | 0.07 | 0.08 | 0.09 | 0.16 |
| 14.00 |      | 0.07 | 0.07 | 0.09 | 0.14 |
| 15.00 | 14/1 | 0.06 | 0.07 | 0.08 | 0.12 |
| 16.00 | 15/1 | 0.06 | 0.07 | 0.08 | 0.12 |
| 17.00 | 16/1 | 0.05 | 0.06 | 0.07 | 0.12 |
| 18.00 |      | 0.05 | 0.06 | 0.07 | 0.12 |
| 19.00 |      | 0.05 | 0.06 | 0.07 | 0.10 |
| 20.00 |      | 0.05 | 0.05 | 0.06 | 0.10 |
| 21.00 | 20/1 | 0.04 | 0.05 | 0.06 | 0.08 |
| 26.00 | 25/1 | 0.03 | 0.04 | 0.05 | 0.08 |
| 31.00 | 33/1 | 0.03 | 0.03 | 0.04 | 0.06 |
| 41.00 | 40/1 | 0.02 | 0.03 | 0.03 | 0.04 |
| 51.0  | 50/1 | 0.02 | 0.02 | 0.02 | 0.04 |

#### DEAD-HEATS

**BR 16.** (1) The backer must be paid one-half of the face value of the ticket in the event of a dead heat for

- (a) first
- (b) second place, where there are 5,6, or 7 starters in place betting,
- (c) third place, where there are 8 or more starters in place betting.

(2) In quinella betting, if one horse wins and the other runs a dead heat, the backer must be paid one-half of the face value of the ticket.

## EACH-WAY BETTING

**BR 17.** If there are:

- (a) 8 or more starters in a race when an each-way bet is made, the odds for a place are one-quarter of the odds for a win bet for the first 3 places,
- (b) 5, 6 or 7 horses in the race when an each-way bet is made, the place bets shall be paid on the 1st and 2nd horses at one-third of the odds for a win bet.

## PLACE-ONLY BETTING

**BR 18.** Subject to any deductions authorised by BR 14, place-only bets must be paid as follows:

- (a) if there are 8 or more runners in the race when the bet is made, place-only bets must be paid on the first, second and third horses,
- (b) if there are 5, 6 or 7 runners in the race when the bet is made, place-only bets must be paid on the first and second horses.

## DOUBLES

**BR 19.** Bookmakers may offer win-doubles and/or place-doubles. In relation to place-doubles all references to the words “win” and “winner” include being placed second and third and all references in relation to dead heats include being placed third and all references to starting price odds means one-quarter of starting price odds. The following conditions apply to all doubles events:

- (a) bets are determined when the first event is lost,
- (b) if one leg of the double wins outright and the other leg dead heats for first, then the backer be paid one-half of the face value of the ticket,
- (c) if both legs of a double run dead heats, then the backer is to be paid one-quarter of the face value of the ticket,
- (d) if one leg of the double wins outright, and the other leg is part of a triple dead heat, then the backer is to be paid one-third of the face value of the ticket.

**BR 20.** In the absence of any express stipulation to the contrary, doubles bets stand though one or both horses do not start; provided that, doubles bets, excluding feature doubles, made on the course or in a betting auditorium on the day on which the first event is to be run are subject to the following conditions:

- (a) if the selected horse in the first event of a doubles wager is subsequently ordered or permitted to be withdrawn or otherwise declared a non-starter, the stake must be invested on the horse in the second leg and backers of the winner paid at starting-price odds. If the selected horse in the second event

of a doubles wager is subsequently ordered or permitted to be withdrawn or otherwise declared a non-starter, the backers of the winner of the first event of the double must be paid at starting-price odds of the winner of that event,

- (b) if the first event of a double is decided, and the second event of that double is postponed to another day, the backers of the winner of the first event of the double must be paid at the starting-price odds of the winner of that first event,
- (c) if one of the events is re-run on the same day, all bets stand, and winning wagers are paid subject to any deductions for late scratchings,
- (d) if both events of a double are postponed to another day, or if both selections are withdrawn, all bets are void and stake money must be refunded.

**BR 21.** (1) In the event of late scratchings, deductions made on the face value of winning doubles tickets must be made using the same scale as the official each-way deductions.

(2) In the event of scratchings occurring in one event prior to the commencement of straight-out wagering on that race, the prices used in determining the deductions must be the opening prices provided to the Stewards at the commencement of wagering on the day's programme. The deductions must be made using the same scale as the official each-way deductions.

**BR 21A.** For all fixed price feature race doubles bets, whereby the time of bet placement

(1) is before final declarations have been declared for one or both races:

(a) All bets shall be regarded as "all-in";

(2) is after final acceptance for both races:

(a) The withdrawal of a selected horse from one such event shall result in the stake being invested on the selected horse in the alternate leg at the agreed fixed price odds for such horse;

(b) The withdrawal of the selections from both races shall result in the stake being refunded;

(c) The withdrawal of any horse from a leg of the double shall result in winning bets being subject to deductions in accordance with the scale outlined in BR15.

(d) The winning payment shall be the multiple of the stake and the product of the cumulative prices of the winning horses subject to any deduction given in accordance with Part (c) of this Rule.

**(N.B BR 21A effective date to be advised)**

### **TREBLES**

**BR 22.** Trebles are subject to the rules governing Doubles as far as applicable, with the additional condition that if a bet covers 2 winning horses and a third scratched horse, the backer is paid:



- (a) the multiplication of the odds on the 2 winning horses, plus
- (b) the addition of the odds on the 2 winning horses.

### **FIELD-AGAINST-FAVOURITE BETTING**

**BR 23.** Deleted [1/9/2005]

### **FAVOURITE-OUT BETTING**

**BR 24.** (1) Favourite-out betting means bookmakers may bet on a race whilst excluding from that betting the odds-on favourite, and where subsequently the finishing position of such horse or the withdrawal of such horse, will have no bearing on how bookmakers will settle bets for such event.

(2) Approval for a bookmaker to operate favourite-out betting shall be granted subject to the following conditions:

- (a) if a horse, other than the horse excluded from betting, is withdrawn or declared a non-runner, a set of deductions for favourite-out betting will be declared by the Stewards in accordance with the scale of deductions in BR 15,
- (b) a bookmaker must, prior to the commencement of betting on an event, obtain the approval of the betting supervisor, or the Stewards, to operate favourite-out betting and must continue to operate on this mode only for the duration of betting,
- (c) no more than 40% of bookmakers operating on an event may operate favourite-out betting. In the circumstances of more than that number of bookmakers seeking approval, then a ruling will be made via ballot by the betting supervisor or the Stewards.

**BR 25.** (1) Approval for a bookmaker to bet favourite-out betting is granted subject to the following further general conditions:

- (a) each betting ticket issued by the bookmaker must clearly outline the type of bet between the bookmaker and the backer,
- (b) a bookmaker operating a particular mode of betting must continue to operate in that same mode for the duration of betting on a particular event, unless otherwise ruled by the betting supervisor or the Stewards,
- (c) the Stewards may at any time without assigning any reason direct bookmakers to cease or suspend operations on any particular mode of betting and may make such rulings as to bets already laid in their discretion they deem appropriate.

## PLACEQUAD BETTING

**BR 26.** (1) Placequad betting means doubles bookmakers may offer odds on four nominated races whereby the backers to be successful must select a horse in each race which is placed first, second or third.

(2) Approval for a bookmaker to operate placequad betting is granted subject to the following conditions;

- (a) Successful bets are to be paid at odds determined by the sum of the displayed win odds or the selected horses or alternatively the product of one quarter of each of the displayed win odds of the selected horses, whichever is the greater.
- (b) No betting deductions apply in the event of the withdrawal of a non-selected horse from a nominated race after commencement of betting or the decision of the Stewards to declare a non selected horse a non-starter under AR134A.
- (c) Placequad bets stand in the event of a selected horse being a withdrawal after the commencement of betting or being declared a non-starter under AR134A. The return for such a successful bet must be recalculated by determining the product of one-quarter of the win odds obtained, provided however if such odds are less than 7 to 1 a multiple of 1.75 must apply.

## **A**

**Abandoned Race or Meetings**, AR 38

Re-nomination of accepted horse, LR28

**Absentee Trainers & Nominees**, AR 80B

**Acceptance Time**, AR 51

**Acceptances** - see also **Entries**

Publish in Weight Order, LR 24

Horse left out of, LR 34(4)

**Accounts of Clubs & Assoc's**, Inspection, LR 9

**Act, The**, Definition, LR 5

**Admission**, Illegal Bookmakers, LR 8

**Advertised/Advertisement**, Definition, AR 1

**Advertising**,

Clothing displaying, LR 47

Definition, LR47(2)

**Age of a Horse**, AR 46

**Agent**, Authorised, Definition, AR 1

Agent, Riders, Definition, AR 1, AR 85A

**Allowances** - see **Apprentice Allowances**

**Amateur Riders** - see **Approved Rider**

**Appeals**

Appeal Body, Definition, LR 5

Appeal Body Powers, LR 107

Appeal Panel, Definition, LR 5

Choice of Appeal Body, LR 106(1)

Committees Power to Appoint persons, AR 7(q)

Delegations to Associations, LR 103- LR 105

False/Misleading Evidence, AR 175(g)

Fee, LR 106(4)

Fresh Evidence, LR 106(11)

General, AR 7(q), AR 199 - 199A, LR 104 – 108

Legal Representation, LR 106(9)

Limits of Delegation to Assoc., LR 105

Power to Hear & Decide, AR 7(a)

Procedure, LR 106(8)

Protests, No Right of Appeal, AR 199A

Rehearings, LR 106(7)

Representation, LR 107(e)

Stay of Proceedings, AR 200, LR 107(f)

Time Limit, LR 106(2)

Withdrawal, LR 106(5)

**Apprentices** - see also **Riders**

Absconded, Engagement, AR 95

Allowances, AR 92, LR 69 - LR 70

Allowances, Fail to claim, LR 70

Allowances, Prize Exceeds \$50,000, LR 69

Allowances, Dead-heats, AR 92(8)

Change of Trainers after Horse Entered, AR 58

Claim Allowance, not entitled, AR 92(11)- (12)

Claim Full Allowance, LR 70

Claim same allowance during day, AR 92(6)

Dead Heats count, AR 92(8)

Definition, AR 1  
Engagements, Masters Responsibility, AR 85A(1)  
Fresh Evidence, LR106(9)  
General, AR 92 - AR 94, LR 62 - LR 71  
Gratuities, LR 71  
Group & Listed winning rides, AR92(2)  
Indentures, LR 62 - LR65  
Interfere With, AR 96  
Leaving Employment, AR 95  
Master Absent, LR 68  
Minimum Weight, AR 92(b)  
Permit to Ride, LR 66 - LR 67  
Representation at Inquiry, AR 199B,  
Riding in Metro Area, LR 67(3)  
Riding Two-year-olds, LR 67(2)  
School, LR 64  
Tamper With, AR 96  
Visiting, LR 66  
Wages Book/Payroll Records, LR65  
Winning Rides Book, AR 92(10)

**Approved Riders**

Betting, AR101A  
General, AR 101-102, LR 75 - LR 76

**Associations**, Definition, AR 1,

Rules & Constitution, Approval, AR 7(k)  
Terms & conditions, LR 103  
Suspension Power/Prov. Effect, AR 188, AR 193

**Australian Racing Board**, AR 208 - AR 214

Definition, AR 1

**Authorised Agent**, Definition, AR 1

**Authorised Representative**

Definition, LR 5  
Investigation, recording etc, LR 53

**B**

**Balloted-out Horses**, LR 33

**Banned substance**

Essential medication, AR 81A(5)  
Offence, AR 81A  
Types, AR 81B

**Barrier Draw** - see also **Starting**

General, AR 126, LR 34  
Horse Omitted, LR 34(4)

**Barrier Trial** - see Official Barrier Trial Defn., LR 5

Eligible to Race , LR 41

**Batteries or Improper Contrivance**, AR 175(hh)

**Behaviour** - see **Conduct**

**Benevolent Fund**, LR 113

**Bet**, Definition, BR 1

**Bet-backs**, LR 96

**Betting** - see also **Bookmakers**

Appeals - see **Appeals**

Approved riders, AR101A  
 Arbitration of Disputes, LR 92  
 Auditorium, Definition, LR 5  
 Bet Declared Off By Consent, BR 9  
 Bet, Definition, BR 1  
 Bet, When Accepted, BR 7  
 Bets Go To Backers, BR 6(1)  
 Betting exchange, licensee not bet LR 83 A(1)  
 Betting exchange, not access racecourse, LR 83A(2)  
 Bookmakers Obligation to Pay, BR 12  
 Chairman of Stewards Powers, BR 4  
 Conditions, BR 5 - BR 12  
 Dead Heats, General, BR 16  
 Declared-off Bets, BR 9 - BR 10  
 Deductions, Scale of, BR 15  
 Defaulters, AR 192  
 Definitions, BR 1  
 Demanding Stakes be Made, BR 8  
 Disputes, Determination, LR 12(4)  
 Disputes, No Right of Appeal, LR 12(4)  
 Disputes, Stewards Powers, LR 92  
 Doubles, BR 19 - BR 21  
 Betting, Each-way, BR 17  
 Fraud and Corruption, BR 2  
 General - see *Rules of Betting*  
 Horse, In Two Events, Bet on, BR 11(d)  
 Horse, Name not Mentioned, BR 11(c)  
 Interpretation, BR 1  
 Late Withdrawals, Away Meetings, BR 14(9)  
 Late Withdrawals, General, BR 11, BR 14 - BR 15  
 Late Withdrawals, Non-starters, Declaration, BR 14  
 Late Withdrawals, Odds-On, BR 14(10)  
 Late Withdrawals, Order Less than Stake, BR 14(8)  
 Late Withdrawals, Quinella, BR 14(11)  
 Limits, BR 13  
 Match Race, BR 10(b)  
 Placequad, BR 26  
 Photo-finish Result, Bet on, LR 90(c)  
 Place-only, BR 18  
 Possibility of Winning, BR 5  
 Postponed Pending Investigation, BR 6(2)  
 Postponed Races, BR 10(c)  
 Powers of Stewards, BR 2 - BR 4, BR 6  
 Protest Result, Bet on, LR 90(c)  
 Questions Determined by Stewards, BR 3  
 Quinella, Dead-heats, BR 16(2)  
 Race started, Bookmaker obligations, BR 13(3)  
 Rules - see *Rules of Betting*  
 Rules, Alteration, AR 7(i)  
 Scale of Deductions, BR 15  
 Second Claimant for Winning Bet, BR 12(b)  
 Settlement of Bets, BR 6

Sports, LR 98  
Stakes Demanded, BR 8  
Starters Orders, Definition, BR 1  
Starting-price Definition, BR 1  
Stewards, Powers of, BR 2 - BR 4  
Telephone, LR 97  
Trebles, BR 22  
Wager, Definition - see Bet, BR 1  
When Bets are Off, BR 10  
Withdrawn runner, BR 11

**Bleeders**

Prohibitions on Racing, AR 53A  
One nostril, AR 53A(6)(7)  
Trainers Obligations, AR 53B

**Blind Horses**

Commit breach, AR 64A(4)  
General AR 64A

**Blinkers**, Not Included in Weight, AR 148

Use of, AR 140B, LR 45

**Board**, Definition, LR 5

Powers, LR 6-9

**Body Protectors**, Deduction on Weighing, AR 118B

Manipulation/Modification, AR 118C  
Riders Must Wear, AR 87B

**Bookmaker**, Definition, LR 5

**Bookmakers** - see also **Betting**

Absent, Clerk to operate business, LR 93(a)  
Act on Behalf/Conjunction with another, LR 88  
Age, Minimum, LR 84  
Bet Back Off-course, LR 97(3)  
Bet on all Horses, LR 91(g)  
Bet-backs, LR 96  
Bets, Alterations, LR 91(o)  
Bets, Credit, LR 91(q)  
Bets, False, etc, LR 91(r)  
Betting, Harness/Greyhound Meet, LR 95(d)  
Betting after start, BR 13(3)  
Betting Books, Keep Proper LR 91(h)  
Betting Books, Make Available, LR 91(v)  
Betting Dispute, Submit to Arbitration, LR 92  
Betting Info., Obtain Off-course, LR 95(b)  
Betting Info., Transmit Off-course, LR 95(a)  
Betting Off Course, LR 95(c)  
Betting on Photo finish, LR 90(c)  
Betting on Unregistered Meeting, LR 90(a)  
Betting One-Two, LR 91(t)  
Betting Tickets, Address/b=maker, LR 91(m)  
Betting Tickets, Legibility, LR 91(n)  
Betting Tickets, Value to 5 cents, LR 91(p)  
Boards, LR 87  
Books, Legibility, LR 91(k)  
Calling Odds, LR 93

Change mode of betting, LR, 91(e)  
Clerks - General, LR 99 - LR 100  
Clerks, Writing Tickets, LR 93(b)  
Commission Bets, LR 94  
Conditions, LR 90  
Conform/Comply with legislation, LR 91(a)  
Convicted under *Gaming & Betting Act*, LR 86  
Display Name/Number Payout Stand, LR 91(d)  
Disq. Persons, Shall Not Bet with, AR 183  
Each-way Betting Tickets, LR 91(s)  
Employ Unlicensed Clerk, LR 90(b)  
Enter Book Bets in Name of Person, LR 91(q)  
Erroneous Entries in Book, LR 91(o)  
Fictitious Bets, LR 91(r)  
Gaming and Betting Act Convictions, LR 86  
General, LR 83 - LR 95  
Greyhound Meeting, LR 95(d)  
Harness Meeting, LR 95(d)  
Hold non-Australian bookmaking/totalisator interest, LR 90(d)  
Illegal Bookmakers, LR 8, LR 86  
Indicate Mode of Betting, LR 91(e)  
Indicate Non-starters, LR 91(f)  
Leave Stand, Owner/Part Owner, LR 93(b)  
Leave Stand to Bet, LR 93(c)  
Liability to Bet, Minimum, BR 13  
Licence Requirements, LR 83 - LR 84  
Minimum Liability to Bet, BR 13  
Misrepresentation of Principal, LR 88  
Non-starters, Indication, LR 91(f)  
Obligations to Pay, BR 12  
Obtain Information Off Course, LR 95(b)  
Odds, Display, LR 91(c)  
One-two Betting, LR 91(t)  
Owner of Horse, Leaving Stand, LR 93(b)  
Payment Obligations, BR 12  
Person remaining in vicinity of stand, LR 89  
Place & Hours of Betting, LR 85  
Quinella Betting, LR 91(t)  
Record Serial Number at Top of Page, LR 91(k)  
Recording of Bets, LR 91(j), LR 91 (l)  
Registration, AR 7(l)  
Remain on Stand for 20 minutes, LR 91(u)  
Returns, LR 91(w)  
Rules and Acts, Compliance, LR 91(a)  
Send Information Off Course, LR 95(a)  
Settling Book, LR 91(i)  
Sports Betting, LR 98  
Stand, LR 87  
Starting-price/Illegal, LR 8, LR 86  
Suspension, Effect, AR 183C  
Telephone Betting, LR 97  
Telephone Prohibitions On Course, LR 97(2)

Tickets, Record Numbers, LR 91(l)  
Time to be on course, LR 91(b)  
Time to begin betting, LR 91(c)  
Treasury Book, LR 91(v)  
Unpaid Bets, BR 12  
Write Tickets, LR 91(l)

**Books & Documents**, Production, AR 8(b)

**Bound by Rules**, Person agrees, AR 2

**BR**, Definition, LR 5

**Branding**, AR 16, LR 43

**Bribes**, AR 175(b), 175(c), 89, 83(b)

**Broken Gear**, Reporting of, AR 140(c)

**Broken Hill Area**, Definition, LR 5

## C

**Calendar** - see **Racing Calendar**

**Cancelled Races**, Fees, AR 38

**Careless Riding**, AR 137(a)

**Changing Gear after Weighing**, AR 118A

**Chromosomes** AR 15C

**Class A-D & 1-6**, AR 1A

**Clearances**, AR 59B, AR 8(i)

**Clothing**, Displaying Advertising, LR 47

**Club**, registered Definition, AR 1

### **Clubs**

Accounts, Inspection, LR 9

Decisions, Final and Conclusive, AR 4

Definition, AR 1

Liability for Damages, AR 198

Power to Punish, AR 175, AR 175(k), 193, 196

Registration, AR 7(l), AR 11

Secretary Accept Entries Without Fee, AR 52

Suspension Power, AR 193

Suspensions by, Provisional Effect, AR 188, AR 193

### **Code of Practice**

Commit breach, AR 175 (v)

Power of PRA to investigate & punish, AR 7(s)

Publish, AR 209

**Colours**, LR 101 - LR 102

**Combine Races**, LR 29(b), LR 31

**Combined Australian & Local Rules**, AR 6(a)

**Combining to Obstruct or Interfere**, AR 175(m)

**Committee**, Definition, AR 1

**Company**, Definition, AR 1

**Company Manager**, Definition, AR 1

### **Conduct & Behaviour**

Apprentice, Cancel Indentures, LR 63

Jockey/Apprentice, AR 83(a)

General, AR 7(d)(ii), AR 8(d) & (e), AR 175A

Insulting/Improper, AR 8(j)

Rider at Start, AR 8(t)

Persons at Training Track/Trial, LR 15(2)



**Conniving**, AR 175(l)  
**Contractor**, Racing Employee, LR 82  
**Contravention of rules**, Duty to Report, LR 52  
**Control & Supervision of racing**, AR 7  
**Correct Weight**  
Approval, AR 150  
Declaration, AR 150  
Deferred, AR 8(p)  
**Corrections to Entries**, AR 62  
**Corruptly Accept/Offer to Accept Benefit**, AR 175(b)  
**Country Area**, Definition, LR 5  
**Course telecasts**, AR 160A - AR 160C  
**Course Unsafe**, Stewards Power, AR 8(q)  
**Cruelty**  
Definition, AR1  
Power to punish, AR175(n)(o)

## **D**

**Dates**, Race Meetings, AR 7(m)  
**Dead-heats**, Extra weight as winner, AR 112(d)  
General, AR 151-AR 153  
**Death**, of Horse, AR 72  
of Nominator, AR 71  
Moneys Due, AR 72  
**Declaration of Riders**, LR 35  
**Deductions**, Scale of Betting, BR 15  
**Defaulters in Bets**, AR 192  
**Defer Suspension of Rider**, LR 13  
**Definitions**, AR 1, LR 5  
**Delegated Powers**, AR 7(o)  
**Denied Fair Start**, AR 134A  
**Deputy Officials**, Appointment by club, AR 8(s)  
**Deputy Registrar of Racehorses**, Definition AR  
**Death of Horse**, Shall report, AR 64J  
**Destruction of Horse**, AR 201  
**Detain Horse for Samples**, AR 8(k),  
**Determine All Questions**,  
General powerAR 8(f)  
Betting, Determined by Stewards, BR 3  
**Direction**, fail to comply, AR 175(p)  
**Disobedience**  
and Taking Advantage, AR 128, AR 129  
**Disqualification / Disqualified** - see also **Warned-off**  
Adoption, AR 194  
Breeder or Sire Nominator, AR 186  
By Clubs & Associations, Prov. Effect, AR 188  
Close Associate, AR 182(2)  
Court Order, Effect of, AR 184  
Definition, AR 1  
Disabilities, Pending Adoption by C=tee, AR 188  
Drugged, AR 175(h), AR 177, AR 178

Effect/Disabilities, AR 182, AR 185, AR 190, AR 191  
 Engagements, AR 65  
 Horses Prize, AR 189  
 Horse, Disabilities, AR 187  
 Horse Falsely Described, AR 176  
 Horse for Interference, AR 136(1)  
 Horse in Same Nomination, AR 136(1)  
 Horse, Recovery, AR 75, AR 173  
 Horse, name may be struck out, AR 65  
 Horse, in which Owner has Interest, AR 190  
 Horse, Involved in Protest, AR 136(1)  
 Horse, Prohibited Substance, AR 177  
 Jockey, By Race Club or Assoc., Prov. Effect, AR 188  
 Jockey, General, AR 82, AR 184  
 Joined in, AR 190  
 Lessee, Disabilities, AR 182(2), AR 190  
 Lessor, AR 185  
 Lessor, Relief to Lessee, AR 185  
 List, AR 81  
 Notification to Principal Clubs, AR 180  
 Syndicate/Synd. Member, AR 69-1, AR 69K, AR 69N  
 Owner, AR 182(1), (c), AR 182(20), AR 185, AR 190  
 Person, Shall not bet with bookmaker, AR 182A  
 Person, Shall not hold office, AR 183E  
 Prizemoney, AR 189  
 Provisional Effect, AR 188  
 Publications in Calendar, AR 181  
 Re-licensing, AR 195A  
 Recovery of Prizemoney, AR 173  
 Stay of Proceedings, AR 200  
 Stay by Court, AR 184  
 Syndicate Members, AR 69-I, AR 69N  
 Syndicate Regns, (e) & (g) (i)  
 Winner, Retrospective Effect, AR 113  
**Distance**, Incorrect, AR 139  
   Metric, AR 210  
   Minimum, 800 metres, AR 43  
**Document of Description**,  
   Definition, AR 1  
   General, AR 59A  
   Horse not correspond, LR 43  
   Notify Gelding/Spayed, AR 53C  
   Not Produced at Meetings, AR 59A(a)  
   Surrender of, LR17(A)  
**Drugs**  
   Employee (of trainer) sample, LR 82B  
   Rider - see **Riders**  
   Horse - see **Prohibited Substances**  
   Possession unregistered, unlabelled substances/preparations AR 80E

**E**  
**Electric Apparatus**, Use, AR 175(hh)

**Eligibility,**

General, AR 47, AR 63, AR 64  
Ineligible when in Forfeit List, AR 76(b)  
Objected to, AR 171  
Overseas Horses, AR 14  
Proof, AR 8(i)  
Registered Horses, AR 14  
Two Year Olds, AR 45, LR 40  
Yearlings, AR 44

**Engagements**, Transfer, Approval, AR 79

**Enquiries** - see **Inquiries**

**Entries & Nominations** - see also **Nominator**

Alterations and Additions, AR 6, LR 27  
Approval, Receipt, Rejection of AR 50, LR 27  
Authorised Receivers of AR 48(a)  
Closure Time, AR 48(d)  
Conditions, AR 48(b)  
Correction, AR 62(a), (b)  
Days of Lodgement, AR 48(a)  
Defects, Correctable, AR 163  
Fees, AR 73  
General, AR 47 - AR 68A  
Horse must be trained/stabled by licensee, AR 56A  
Ineligible, AR 64  
Insufficient, AR 67  
Invalid AR 62(a)  
Multiple AR 55  
Persons & Entities Entitled to Enter Horses, AR 69  
Procedure, Prescribed, AR 48(c)  
Refused, etc, AR 50, AR 53  
Refused, Trial & Veterinary Requirements, LR 42  
To affect another horses weight, AR 68A  
Unpaid fees, Secretary may be fined, AR 52

**Equipment** - see **Gear**

**Evidence,**

False, Misleading, Refuse/Fail, AR 175(f),(g),(gg)  
Fresh evidence at appeal, LR106(9)

**Executive Officer**, Definition, AR 1

**Expulsion of Persons**, AR 8(c)

**F**

**Face Value**, Definition, BR 1

**Fail to Ride Mount to Line**, AR 137(b)

**False or Misleading Evidence**, AR 175(g),(f),(gg)

**False Starts** - see **Starting**

**Farriers Supervisor**, AR 141A(f)

**Field limits**, LR 18

**Fines**, General, LR 108 - LR 110

**Firm**, Definition, AR 1

**Foal Identification Card**

Defintion, AR 1  
Order surrender, LR 17A

**Foals**, AR 14  
**Forfeit List**, AR 75 - AR 77  
**Forfeits**, Definition, AR 1  
    Time, AR 51  
**Forms**, AR 7(n)  
**Foul Riding**, AR 137(a)  
**Frivolous Protest**, AR 165

## **G**

### **Gear**

    Approved for use on horses, AR 140B  
    Blinkers approval/design, LR 45  
    Broken, Reporting of, AR 140(d)  
    Change, of, AR 140B  
    Dropped Before Weighing-in, AR 144  
    Excluded from Weight, AR 148  
    Horse to Trial in Race gear, LR 41(2)  
    Included in Weight, AR147  
    Ineffective, AR 8(n)  
    Not Weighed, AR 148  
    Permitted/Approved, AR 140B, LR 45  
    Search/Impounded by Stewards, AR 8(k), (ii)  
    Unsafe, AR 8(n)  
    Unsuitable, AR 8(n)  
**Gelding/Spaying**, AR 53 C  
**Gifts**, AR 83(b)  
**Give Full Opportunity**, AR 135(b), (c)  
**Group and Listed Races**, Approval, AR 46A  
    Definition, AR 1  
**GST**, LR 72(4)

## **H**

### **Handicapper**

Amend weights, AR 111A

### **Horses**,

    Age, AR 46  
    Approved gear, AR 140B  
    Attended on course, must be, AR 80C  
    Attendance Times on Course, AR 117, LR 46  
    Barrier trial before first start, LR 41  
    Bit, must wear, AR 87A  
    Bleeders, AR 53A  
    Blind, AR 64A  
    Bridled, must be, AR 87A  
    Careless/Neglect - Saddling/Presenting, AR 140B  
    Cruelty, AR175(n)(o)  
    Death reported, AR 64J  
    Entered to affect another's weight, AR 68A  
    Examine, AR 8B(ii), AR 8(k)(i)  
    Firing procedure, AR64F  
    Fitness, tests, AR 64D  
    Gelding/Spaying, AR 53C

In Mounting Enclosure, AR 124  
Inconsistent running, AR 8(w)  
Ineligible horses, AR63-AR64  
Joined in Disqualification, AR 190  
Led Away, AR 149  
Neurectomy, AR 64B  
Notify anything affecting, AR 140(b)  
Owned by Riders, AR 84  
Pregnancy, AR64E  
Prohibit from Starting, AR 8(m)  
Reflective strips prior to sunrise, LR80  
Refuse or Reject Nomination, LR 42  
Remain on course half-hour, AR117(3)  
Removed off Course/Not Produced, AR 8(h)  
Returning From Barrier, AR 127  
Running Wrong Course, AR 138  
Saddled & bridled, AR 87A(1)  
Safety Irons, saddle must carry, AR 87A  
Shoes & shoeing, AR 141A  
Stewards take Possession, AR 8(k)(i), 8B(ii)  
Stomach tubing, AR64G  
Substitution, AR 175(e), AR 176  
Test prohibited substances, AR 8(j)  
Time to be On Course, LR 46  
Tracheostomy, AR 64C  
Trainer must establish identity, AR 59A(c)-(d)  
Unfit, Withdrawal, AR 8(u)  
Withdrawn by Vet, Disabilities, LR37

## **I**

### **Identification,**

Document of Description, AR 59A,  
Eligibility to Start, AR 59A  
General, AR 8(g), AR 15  
Tamper with, AR 175(u)  
Trainer must identify horse, AR 59A(c), (d)

### **Improper**

Behaviour Committee, Club, Stewards, AR 8(d) AR 175(j)  
Conduct, AR 175(q)  
Riding, AR 137(a)

### **Incompetent Riding,** AR 137(a)

### **Inconsistent Running,** AR 8(w)

### **Incorrect Distance,** AR 139

### **Incorrect Weights,** All Runners, AR 139

### **Ineligible Nomination,** AR 64

### **Injured or Ill,** AR 119

### **Inquiries,**

Committee Powers, AR 7(c)  
Evidence, AR 175(f)  
Fail/Refuse to attend, AR 175(f)  
Representation, AR 199B  
Stewards into conduct, AR 8(d)

### **Inspector,** Financial, LR 9

**Instructions**, notify stewards of change, AR 135A  
**Insulting/Improper Behaviour**, AR 175(j)  
**Insurance**, General, LR 111 - LR 112  
Riders Public Risk, LR 54  
**Interest**, Definition, AR 1  
**Interference**  
Alteration of Placings, (Protest) AR 136(2)  
Careless Riding, AR137(a)  
**Involved in Offence**, AR 176

## **J**

**Jockey**, Definition, AR 1  
**Jockeys** - see **Riders/Apprentices**  
**Judge**  
Assistant, Definition, AR 1  
Definition, AR 1

## **Judging**

Correction of Mistake, AR 156  
General, AR 154 to AR 157, LR 49 to 50  
Judges Decision final subject only to, AR 156  
Placings altered by Stewards, AR 156(1)

## **K, L**

**Laboratory**, Definition, AR 1  
**Late Declaration of Rider**, LR 35(2)  
**Late Withdrawals** - see **Withdrawals**  
**Lease**, Definitions, AR 1  
**Leases**, AR 32-34  
Lessee Disqualified, AR 182(2), AR 190  
Lessees, Nominations, AR 48(b)  
Lessees, Waiver of Disabilities, AR 53  
Lessor Disqualified, Relief, AR 185  
**Legal Representation**, AR 199B, LR 107(e)  
**Licence**, Definition, AR 1  
Terms & Conditions, Suspend/Vary/Revoke, AR 7(b)  
**Licensed Person**  
Definition, AR 1  
Report Suspected rule breaches, LR 52  
**Licensed Racecourse**, Definition, LR 5  
**Licensing**, General, AR 7(b), LR 51 - LR 53  
**Local Rules**,  
Application, LR 1- LR 4  
Definition, AR 1  
Make, Vary and Rescind, AR 7(i)  
Validity, AR 6(a)  
**LR**, Definition, LR 5

## **M**

**Maiden**, Definition, AR 1  
**Manager**, Registered, Definition, 1  
**Managers**, Powers, AR 57  
**Merits**, Horse to run on, AR 135(a), (c)

**Meeting**, Registered, Definition, AR 1  
**Member**, Definition , AR 1  
**Metropolitan Area**, Definition, AR 1, LR 5  
**Microchip**  
    Definition, AR 1  
    Not be registered unless implanted, AR 16(b)  
**Misconduct/Misbehaviour** - see **Conduct**  
**Month**, Definition, AR 1  
**Movable running rail**, LR 18(2)

## **N**

**Names**, AR 18, AR 19, AR 20, AR 21  
**National Stewards Embargo Register**, Definition AR 1  
**Negligence**  
    Committe power to punish, AR 7(d)(ii)  
    Stewards power to punish, AR 8(e)  
**New Rules**, AR 215  
**New Zealand Racing Conference**, Status, AR 80  
**Nominations** - see **Entries**  
**Nominator**  
    Authorised, AR 48(b)  
    Definition, AR 1  
    in Forfeit List, Horse Entered, AR 77  
    Minimum Age, AR 49  
    Name, AR 68  
**Non-acceptance Time**, AR 51  
**Notices**, AR 202-207

## **O**

**Objections**, AR 136(2), AR 161- AR 174  
    Authorised Objectors, AR 161, AR 164, AR 165(d)  
    By Stewards & Starter, AR 164  
    Deadlines, AR 165, AR 166, AR 167  
    Stewards Power to Determine, AR 8(f)  
    Frivolous, AR 165(3)  
    General, AR 136(2), AR 161 - AR174  
    Grounds, AR 165 - AR 166  
    Grounds Not Elsewhere Nominated, AR 167  
    Handicapping Penalties During Inquiry, AR 175  
    Interference, How Decided, AR 136(2)  
    Method for making, AR 161, AR 165  
    Misdescription, Grounds of, AR 162  
    Prizemoney Withheld, AR 171, AR 172, AR 173  
    Protest, Interference, AR 136(2)  
    Public Notification, AR 169  
    Qualification of Horse, AR 171  
    Reasonable Correctable Entry Defects, AR 163  
    To Whom Made, AR 161, AR 165(1)  
    Upheld, Alteration of Placings, AR 136(2), AR 168  
    Withdrawal, AR 170  
**Offences**, AR 175  
**Offer Information**, Consideration/Connive, AR 175(i)

**Official Barrier Trial**, Definition, LR 5

**Official Racing Laboratory**, Definition, AR 1

**Officials**

Appointment, AR 8(s), LR 11

Assistants, AR 8(s)

Authorised to Approve Correct Weight, AR 150

Conduct and Behaviour, AR 8(d)

Corruptly Accept/Offer to Accept Benefit, AR 175(c)

Definition of Official, LR 5

Delegate to Approve Postponements, AR 38

Deputies & Assistants, AR 8(s)

Misconduct, AR 175(q)

Negligence, AR 175 (k)

Pecuniary Interest, AR 39

Penalties Imposed, Liability for Damages, AR 198

Refuse to Attend Inquiries and Appeals, AR 175(f)

Removal and Substitution, AR 8(r)

Substitute, AR 8(r)

**Overdue Moneys (Forfeits)**, Definition, AR 1

**Overseas Horse**, AR 14, AR 26(a), AR 60, AR 112(b)

**Overweight**,

Jockey, AR 145

Approved, AR 120

**Owners / Ownership** - see also **Registration**

Age, Minimum to Enter Horse, AR 49

Change After Entry, AR 58

Change of, AR 58

Combined, AR 69(c)

Corruptly Accept/Offer Benefit, AR 175(c)

Owner Deceased, AR 71

Disqualified, Horses Entered, AR 175(d)

Falsely Describe Horse, etc, AR 175(e)

Joint Owners, AR 57

Misrepresentation/Mislead/Fail to disclose, AR 56B

Name on Entry, AR 48(b)

Offer Information, Consideration/Connive, AR 175(i)

Refuse to Attend Inquiries and Appeals, AR 175(f)

Registration, AR 7(l)

Report Matters Affecting Performance, AR 140(a), (c)

**P**

**Papers, Horse** - See **Document of Description**

**Partnerships**, AR 69(a), (c)

**Pay-up Time**, Definition, LR 5

**Penalties** - see **Weight** or **Punishments**

**Performance**

Report Matters Affecting, AR 140(a), (b), (c)

**Permission to Ride**, AR 81

**Person**, Definition, AR 1

**Persons Authorised to Communicate/Contact Riders**, AR 123

**Persons or Entities**, Entitled to Enter Horses, AR 69



**Photo Finish**, Bet on LR 90(c)  
**Photos of races**, Exhibition, AR 160A  
**Place-Only Bets**, BR 18  
**Placed horse**, Meaning, LR 49  
**Plates and Tips**, AR 141A  
**Ponies**, AR 23  
**Positive Swab** - see **Prohibited Substances**  
**Postpone Races/Meetings**  
    Committee may, AR 38  
    Scratchings Void, AR 115  
    Stewards may, AR 8(q)  
    Withdrawals, AR 51  
**Prejudice Conduct of Meeting**, AR 175(m), LR 17  
**Premises**, Definition, AR 1  
**Pre-race Exhibition of Runners (Preliminary)**, AR 125  
**Press**, Attendance, LR 14  
**Principal Club**  
    Definition, AR 1  
    Powers, AR 7  
**Prize**  
    Definition, AR 1  
**Prizemoney**  
    All Prizes must be Advertised, AR 37  
    Bonus to Disqualified Breeder, AR 186  
    Bonus to Disq. Sire Nominator, AR 186  
    Deadheats, AR151-AR 153, AR 112(d)  
    Placing not Filled, Disposal, AR 160  
    Prize, Definition, AR 1  
    Recovery from recipient, AR 173  
    Riders Percentage, LR 72  
    Stakes & Forfeits, Clubs Responsibilities, AR 74  
    Trainers Percentage, LR 72  
    Walk-overs, AR 159, AR 160  
    Withheld Pending Inquiry, AR 171, AR 172  
    Won, Calculation, AR 112  
    Won Overseas, Calculation, AR 112(f)  
**Programmes**, Advertising of, LR 20  
    Approval, AR 36, LR 19  
    Unrestricted Clubs, AR 12, AR 13  
**Prohibited from Starting**, AR 8(m)  
**Prohibited Substances**  
    Administer, AR 175(h)  
    Definition, AR 1  
    Detection and notification, AR 178D  
    Disqualify horse, AR 177  
    Excepted levels of certain substances, AR 178C  
    Excepted substances, AR 178C(2)  
    Forbidden on Course, AR 178A  
    General, AR 177 - AR 178  
    Horse brought to course, AR 178  
    Horse Detained for Samples, AR 8(k), (l)  
    Horse in training, prohibited substance, AR177B(1)

Metabolites and Artifacts, Evidence, AR 117B  
Samples, Authorisation, AR 8(j)  
Substances declared as prohibited, AR 178B  
Substances declared as prohibited, horse in training AR177B(2)  
Syringe, etc, prohibited on course, AR 178A  
Trial or test, AR 177A

**Promoter**, Definition, AR 1

**Protests** - (main rule, AR 136(2))  
see also **Objections**

**Provincial Area**, Definition, LR 5

**Publication of Days**, AR 48(a)

**Punishments**,

Affirmed, Adopted, Confirmed, AR 7(f)  
Annual & Mitigate, AR 7(h)  
Appeals, AR 199 - AR 200, LR 104 - LR 108  
Appeals, Stay of Proceedings, AR 200  
Clubs & Officials, Liability for Damages, AR 198  
Clubs, Adopt Disqualifications & Suspensions, AR 194  
Clubs, Powers, AR 175, AR 193  
Clubs, Suspensions & Disqualifications, Effect, AR 188  
Committees Power, AR 7(d), AR 7(e)  
Cumulative penalties, AR196(2)  
Damages, Claims re Penalties, AR 197  
Definition, AR 1  
Entries Refused & Rejected, AR 53  
Evidence of Penalty, AR 179  
General, AR 179 - AR 200  
Notification to Principal Club, AR 195  
Overseas, AR 7(f)  
Publication, AR 8(x)  
Publish, AR 7(j)  
Range of, AR 196  
Stewards Powers, AR 8(d), AR 175, AR 193

**Q**

**Questions Arising**,

Determination, AR 8(f)  
Betting, Determined by Stewards, BR 3

**R**

**Race Conditions**

No Provision for Penalty for 2nd etc., AR 107

**Race Dates**, AR 7

**Race Days, Publication**, AR 48(a)

**Race**, Definition, AR 1

**Race Fields**

Alteration to Weights/Entries, LR 27-28  
Divide/Abandon, etc, LR 29-33  
General, LR 24 - LR 26

**Race Meeting**, Definition, AR 1

**Race Meetings**, AR 35 - AR 46A

Arrangements & Rearrangements, AR 8(a)  
Duration, AR 10,

- Exemption from Rules, AR 35
- Persons Prejudicing Conduct of, LR 17
- Status when transferred, AR 36A
- Racecourse** - see also **Licensed Racecourse**
  - Definition, LR 5
  - Access by Stewards, AR 8(c)
  - Licensed, Definition, LR 2
- Races,**
  - Abandon, Divide, etc, LR 29 - LR 33
  - Combine, LR 29(a), LR 31
  - Division, Procedure, AR 42, LR 32
  - Exemption from Rules, AR 35
  - Horse balloted, Refund, LR 33
  - Minimum Distance, AR 43
  - Postpone, Stewards power to, AR 8(q)
- Racing Authority**, Definition, LR 5
- Racing Calendar**, Definition, LR 5
- Racing Employee Contractor**, LR 82
- Reckless Riding**, AR 137(a)
- Re-run and Void Races**, AR 139
  - Scratchings, AR 116
- Rebranding**, LR 43
- Reflective Strips**, AR 80D
- Refuse**
  - Attend Inquiries and Appeals, AR 175(f)
  - Enter Stalls, AR 131
  - Obey Direction, AR 8 (e)
- Registered**, Definition, AR 1
- Registered Manager**, Definition, AR 1
- Registrar of Racehorses**, Definition, AR 1
- Registration Board**, Definition, LR 5
- Registration of Clubs/Meetings**, AR 11 - AR 13
- Registration of Horses**, AR 14 - AR 27
  - Application, AR 15
  - Branding Requirement, AR 16
  - Certificate - see **Document of Description**
  - Conditions, AR 24
  - Defects, Correctable, AR 163
  - Document of Description - see **Document of Description**
  - Deputy Registrar, Approvals, AR 25
  - Duplicate Certificate, AR 27
  - Duplicate Names, AR 19
  - Fees, AR 24
  - General, AR 7(l)
  - Hacks, AR 23
  - Imported Horses, Names, AR 19
  - Incorrect Information, AR 17
  - Leases - see **Leases**
  - Names, AR 18, AR 19, AR 20, AR 21
  - Overseas Horses, Breeding, AR 26(a)
  - Overseas Horses, Identification, AR 26(b)
  - Ponies, AR 23

Powers of Deputy Registrars, AR 24  
 Powers of Registrar, AR 24  
 Registered Before Entry, AR 14  
 Registered Overseas Before Entry, AR 14  
 Rules, AR 23  
 Stud Book Restriction, AR 15A  
 Transfer, Bona Fide, AR 22  
 Transfer Fees, AR 24  
 Transfer of Engagements, AR 79  
 Transfer on Sale, AR 79  
 Transfer, Start Pending, AR 22  
 Transfers, AR 22, AR 24  
 Transfers by Deputy Registrar, AR 25  
 Undesirable Names, AR 18  
**Relegation**, AR 136(2)  
**Replay of Videos and Films**, AR 160A  
**Report Rule Breaches**, LR 52  
**Representation**  
 Appeals, legal profession or master, LR106(9)  
 Inquiries, AR 199B  
**Representation at appeals, LR**  
**Restricted Race Conditions**, Definition, AR 1  
**Restricted Races**, Eligibility, AR 1A  
**Retainers**, AR 97 - AR 100  
**Ride Horse out to finish**, AR 137(b)  
**Riders Agent**, Definition AR 1  
 General, AR 85A  
**Riders** - see also **Jockeys/Apprentices**  
 Accept two rides in one race, AR 175(k)  
 Accept overweight ride, AR 120(b)  
 Agent, AR 1, AR 85A  
 Approved/Amateur, LR 75 - LR 76  
 Banned substances, AR 81B, AR 81A (5)  
 Betting, AR 83(c)  
 Betting Ring, Presence, LR 61  
 Body Protectors, AR 87B-D  
 Bookmakers/Clerks, not eligible, LR 54  
 Careless, Improper Riding etc, AR 137(a)  
 Clearance, AR 59B  
 Communication/Contact , AR 123  
 Conduct, AR 83(a) - see also **Conduct**  
 Corruptly Accept/Offer Benefit, AR 175(c)  
 Declaration of, LR 35  
 Defer suspension, LR13  
 Disabled, Weighing-in, AR 142  
 Disobedience at Barrier, AR 8(t), AR 128 - 129  
 Disqualification, Relicensing, AR 195A  
 Dress, AR 86  
 Dropped Equipment, AR 144  
 Drugs/Alcohol, must agree to tests, AR 81A(1)(b)  
 Drugs/Alcohol, power to stay penalty, AR 81C  
 Drugs/Alcohol, power to test, AR 8(jj),

Drugs/Alcohol, provisions, AR 81A  
Drugs/Alcohol, irregularity, stand down, AR 81A(3)  
Eligibility to Ride, AR 81  
Equipment/Apparel, approved, AR 86A  
Essential medication, AR 81A(5)  
Excessive Weight in Race, AR 145  
Fail/Refuse Medical Assessment, LR 59(3)  
Fail to fulfil engagement, AR 85B, LR 60  
Failing to Weigh-in, AR 144  
Fees, AR 90, LR 72 - LR 73  
Fitness to ride, AR 81AA(1)(2)  
Footwear, AR 86B,  
General, AR 81 - AR 90, LR 54 - LR 61  
Insurance, Public Risk, LR 54  
Jockey, Definition, AR 1  
Lead weight to be carried, AR 118AA  
Leaving Jockeys Room, AR 122  
Licensing after Punishment, AR 195A  
Licensing, AR 7(b), LR 54, LR 55  
Medical assessment, LR 59  
Misconduct, AR 83(a) - see also **Conduct**  
Mounting Procedure, AR 122  
Must complete apprenticeship, LR 55  
Offer Info., Consideration/Connive at, AR 175(i)  
Ordered Down and Substituted, AR 8(l), AR 8(t)  
Overweight, Accept Ride, AR 120(b)  
Overweight, Permission to Ride, AR 120(a)  
Overweight, Return to Scale, AR 145  
Owning Horses, AR 84  
Pregnant riders, provisions, AR 81AA(3)(4)  
Present in betting ring, LR 61  
Prizemoney, Disqualified Mount, AR 189  
Prizemoney, % Entitlement, LR 72  
Public Liability Insurance, LR 54  
Reckless Riding, AR 137(a)  
Reflective Vest, AR 80D  
Registration, AR 7(l)  
Relicensing, AR 195A  
Saddlecloth, responsibility of rider, LR 57  
Safety irons in training, AR 87A  
Safety warning light, AR 87AAA  
Saunas, LR 60  
Skull caps, AR 87, AR 87AA  
Spurs, Blunt, AR88  
Spurs, Excessive/Improper use, AR 137B  
Stirrups, length, AR 8(o)  
Substituted, AR 8(l), AR 8(t), AR 119  
Taking Advantage at Barrier, AR 128  
Time to be in Room, AR 118  
Touching before Weighing-in, AR 144  
Visiting from overseas, conditions, AR 81(3)  
Whips, Excessive/Improper Use, AR 137A

Whips, Specifications, AR 137AA  
Winning %, Disqualified Mount, AR 189  
**Riding Fees**, AR 90, LR 72 - LR 73  
**Riding Offences**, AR 135, AR 137, AR 137A,  
**Rules**,  
Amendments, Method, AR 208  
Application of Aust. Rules, AR 2 - AR 6  
Application to Races, AR 6(a)  
Breaches, Reporting, LR 52  
Exemptions for Meetings, AR 35  
Exemptions for Races, AR 35  
Interpretation, AR 1  
Last Day for Action, AR 3  
Operative Date, AR 5  
Persons Bound, AR 2, AR 69L  
Previous, Savings, AR 5  
Principal Clubs Rules, AR 6(a)(ii)  
Repeal/Alteration/Addition, AR 7(i), AR 208-215  
The, Definition, AR 1  
These, Definition, AR 1  
Where No Provision, AR 6(c)  
**Run on Merits**, AR 135(a), (c)  
**Running & Handling**, AR 135  
**Running**,  
Committee Power, AR 8(c)  
General, AR135 - 141A  
Inconsistent, AR 8(w)  
Unsatisfactory, AR 8(v)  
Wrong Course, AR 138

## S

**Saddlecloth**, Horse shall carry, AR 141,  
Not included in weight, AR 148  
Riders responsibility, LR 57  
**Sale**, Includes gift, etc, AR 78  
**Sale With Engagements**, AR 78 - AR 79  
**Samples for Analysis**, AR 8(k) (i), AR 8 (j)  
**Saunas**, LR 60  
**Scale of betting deductions**, BR 15  
**Scratching** - see **Withdrawals**  
**Search People**, AR 8(k), AR 8B  
**Search Premises**, AR 8B  
**Secretarys Report**, AR 40  
**Shoes & Shoeing**, AR 141A, AR 8(n)  
**Short Weight**, AR 143  
**Skull Caps**,  
Specifications, AR 87  
Not included in weight, AR 148  
**Special Conditions Race**, Definition, AR 1  
**Special Event**, LR 38  
**Sports Betting**, LR 98  
**Spurs**, AR 88

Excessive and Improper Use, AR 137B

**Stable Return**

Defintion, AR 1

Lodgement time, LR 39

Particulars Required, AR 56

**Stablehand**

Competent to ride trackwork, LR 82(6), LR 82A

Display identity card, LR 82(8)

General, AR 93 - AR 96, LR 82

References, LR 82(5)

Reflective vest prior to sunrise, LR80

Rider/Non rider, LR 82(7)

Sample taken person employed, LR 82B(1)

Suspended, AR 183D

Tamper with, AR 96

**Stables, Illegal Entry** by Licensee, LR 16

**Stakes and Forfeits**, AR 72 - AR 74

**Stakes**, Withdrawal, AR 66

**Starters Orders**, Definition, BR 1

**Starting**

Ahead of Starting Point, AR 132

Barrier Positions, Unruly Horses, AR 130-131

Defer Start, Stewards power to AR 8(p)

Delay, Rider Disobedience, AR 129

General, AR 124 to AR 134A

Horse Delaying Start, AR 8(u)

Horse denied fair start, AR 134A

Horse Unfit, AR 8(u)

Non-Starter, AR 134A

Order of Placing In Barrier, AR 126, AR 128

Riderless on jumping, AR 134A

Starters Decision Final, AR 134

Starters Orders, Definition, BR 1

Starters Power to Object, AR 164

**Starting Price**, Definition, BR 1

**Stay of Proceedings**, AR 184, AR 200, LR 107(f), LR107(g)

**Stewards**

Adjudicate, honour of riding engagement, AR 8(ll)

Appointment, LR 10- LR 11

Assistant, Appointment, LR 10(3)

Betting dispute powers, LR 12(4), BR 3

Chairman of Stewards of Board, LR 12(5)

Decisions Final & Conclusive, AR 4

Definition, AR 1

Deputies, Powers, AR 9

Deputy, Appointment, LR 10(2)

Determine all questions/objections, AR 8(f), BR 3

Duration of Powers, AR 10

Enter/control - lands/grounds, etc, AR 8 (c)

Expel/exclude persons, AR 8(c)

Inquire into any matter, AR 10

Inquire into a certain matter, LR 12(6)

Inquire into Conduct, AR 8(d)  
 Inquire into incident at training/trial, AR 10A  
 Jurisdiction, LR 12(1)  
 Make/Alter/Vary Conduct of Meeting, AR 8(a)  
 Order Examination of Horse, AR 8(g)  
 Order removal unapproved gear AR 8(n)  
 Order withdrawal of horse, AR 8(u)  
 Order test for Prohibited substance, AR 8(j)  
 Power of Majority, AR 9  
 Power to Punish, AR 8(e), AR 175  
 Powers, Additional, Conferred by C=tee, AR 8(y)  
 Powers, Betting, LR 12(4), BR 3  
 Powers, General, AR 8, 10, 175, 175A, LR 12-17  
 Prohibit horse from starting, AR 8(m)  
 Referrals from Committee, AR 7(c)  
 Regulate/Control/Inquire into Conduct, AR 8(d)  
 Stewards Reports, AR 41  
 Sole, Powers, LR 12(3)  
 Take possession of Books/documents, AR 8(b)  
 Take Possession of horses, AR 8B, AR 8(k)(i)  
 Voting, AR 9, LR 10(3), LR 12(2)  
**Stirrups**, Length, AR 8(o)  
**Stud Book**, AR 15A - 15B  
**Stud**, Definition, AR 1  
**Stud Syndicates**, AR 69A(d)  
**Subcommittees**, Delegation of C=tee Powers, AR 7(p)  
**Substances**,  
     Unlabelled, unregistered, AR 80E  
**Substitute Rider**, AR 8(l)  
**Sunday/Holiday**, act done on, AR 3  
**Suspect contravention of rules**, duty to report, LR 52  
**Suspension/Suspend**  
     Adoption by Other Clubs & Stewards, AR 194  
     Bookmaker, Effect, AR 183C  
     By Club/Association, Provisional Effect, AR 188  
     Committee power, AR 7(b)  
     Court Order, Effect of, AR 184  
     Definition, AR 1  
     Effect upon Licences, AR 183A - AR 183D  
     Effect of court proceedings, AR 184  
     Licences, AR 7(b)  
     Power of Stewards to suspend, AR 193  
     Publication, AR 180, AR 181  
     Re-licensing, AR 195A  
     Rider, Defer penalty, LR 13  
     Rider, Effect, AR 183 A  
     Stablehand, Effect, AR 183D  
     Stay by Committee, AR 200  
     Stay by Court, AR 184  
     Trainer, Effect, AR 183B  
     Transmitted to Principal Clubs, AR 180, AR 181  
     Vary or Revoke Licences, AR 7(b)



**Sweepstakes**, Definition, LR 5  
**Syndicate**, AR 69 - AR 69P  
**Syringes**, etc, prohibited on course, AR 178A

## T

**Tactics**, Notify Stewards of change, AR 135A  
**Telegram**, AR 207  
**Telephone Betting**, LR 97

### Telephones

Bookmakers/Clerks prohibitions, LR 97(2)  
General Prohibitions, AR 160B  
Power to impound, AR 160C

**The Act**, Definition, LR 5

**The Rules**, Definition, AR 1

**These Rules**, Definition, AR 1

### Thoroughbred Identification Card

Definition, AR 1  
Order Surrender, LR 17A  
Reject entry fail correspond, LR 43

**Track Supervisor**, LR 82A

### Trainers

Absent/Delegate, AR 80B, LR 78 - LR 79  
Clearance, AR 59B  
Disqualified, Stay by Committee, AR 200  
Disqualified, Stay by Court, AR 184  
Disqualified, Transfer of Horses, AR 191  
Employee sample taken LR 82B  
Employees, competent to ride trackwork, LR 82(6)  
Employees, Registration, LR 82  
General, AR 80 - AR 80C, LR 77 - LR 82  
Licensing, AR 7(b)  
Notify change of, AR 58  
Owner-Trainers, LR 77  
Percentage of Prizemoney, LR 72 (2) & (3)  
Person illegally enter trainers stables, LR 16  
Reflective strips prior to sunrise, AR 80D  
Relicensing after Punishment, AR 195A  
Responsible at all times, AR80B, LR 78 - LR 79  
Suspended, Transfer of Horses, AR 191  
Suspension, Effect, AR 183B, AR 184, AR 188  
Unlabelled, unregistered substances/preparations AR 80E  
Visiting, General, AR 80, AR 80A, AR 80B

**Trial** - see Official Barrier Trial, Definition, LR 5

**Trustees**, Definition, AR 1

**Two-year-olds**, Restrictions on Racing, AR 45, LR 40

## U

**Unauthorised Race Meeting**, AR 6(b),

**Unfit**, Horse, Withdrawal, AR 8(u)

**Unlabelled**, unregistered substances/preparations, AR 80 E

**Unruly Horses**, AR 130 - AR131

**Unseemly Behaviour**, AR 175(q)

see also **Conduct**

## **V**

### **Vests,**

Reflective, AR 80D

Safety, AR 87B, AR 118B

**Veterinary Fitness**, Withdrawals, LR 37

**Visiting Riders**, Conditions, AR 81(3)

**Visiting Trainers**, AR 80A

**Void Races**, One entry, AR 67

Stewards powers, AR 139

**Void Starts**, Withdrawals, AR 133

## **W**

**Walk-overs**, AR 158 - AR 160

**Warned off** - see also **Disqualification**

Definition, AR 1

Boards Power to warn off, AR 7A, LR 6

Effect, AR 182

### **Weighing**

see **Weighing-in/Weighing-out/Weight**

### **Weighing-in**

Allowance for Bridle, AR 143

Deferred, AR 8(p)

Disabled Rider, AR 142

Gear Excluded, AR 148

Gear Included, AR 147

General, AR 142 - AR 150

Equipment Dropped by Rider, AR 144

Light, AR 143

Overweight, Return to Scale, AR 145

Requirements, AR 142

Rider Not Weighed, AR 144

Rider or Gear Touched Before, AR 123(b)

Riders, Responsibilities, AR 142

Saddlecloth, AR 141, LR 57

Shortage, AR 143

### **Weighing-out**

Accept overweight ride, AR 120(b)

Allowances, rider must notify, AR 121

Deferred, AR 8(p)

Dues Prepaid, AR 73(1)

Gear Excluded, AR 148

Gear Included, AR 147

General, AR 118 - AR 123

Overweight Declared, AR 120(b)

Penalties Notified, AR 121

Rider or Gear Touched Subsequently, AR 123(b)

Saddlecloth, AR 141

Time, AR 118

**Weight** - see also **Weighing-in/Weight-out**

Accept overweight ride, AR 120(b)

Allowances, Person Responsible, AR121  
Alter/Amend, AR 36B, AR 111A, LR 27  
Carried, Person Responsible, AR 121  
Conditions, No Penalty for 2nd, etc., AR 107  
Correction when under Starters Orders, AR 127  
Declaration Time, AR 111  
Fractions, Account Taken, AR 118  
In Metric, AR 210  
Jumping/Flat Penalties not Transferred, AR 110  
Minimum Carried, AR 103  
Minimum to be imposed, LR 25  
Minimum to be imposed Group or Listed Hcp, LR 25A  
No Allowance for Losing, AR 106  
Penalties, Person Responsible, AR 121  
Penalties & Allowances, General, AR 103 - AR 113  
Penalties and Allowances, Notifications, AR 121  
Penalties, Dead-heats, AR 112 (d) - (e)  
Penalties, Nominators Responsibilities, AR 121  
Penalties not Cumulative, AR 109  
Penalties Pending Objection, AR 174  
Return to Scale Overweight, AR 145  
Raising, LR 26  
Special Conditions Races, AR 106  
Unaffected by Match & Private Races, AR 108

**Weight-For-Age, AR 105 - AR 104**

**Whips**

Excessive and Improper Use, AR 137A  
Specifications, AR 137AA

**Withdrawals**

Avoid delay, Stewards power, AR 8(u)  
False start/Void race, AR 133  
General, AR 114 - AR 117, LR 36 - LR 38  
Horse unfit, Stewards power, AR 8(u)  
Late, AR 114(c)  
Late, Scale of Deductions, BR 15  
Late, Embargoes, LR 37  
Method, AR 114(a), (b)  
On Transfer, AR 79(b)  
Postponed Meeting, AR 51, AR 115  
Re-run Races, AR 116  
Special Event, LR 38  
Telegram, AR 207,  
Time, General, AR 51, AR 114(a), AR 115, LR 36  
Times for scratching in NSW, LR 36  
Void on Postponement, AR 115

**Words, Imported Meanings**

Definition, AR 1, LR 5

**X, Y, Z**

**Yearlings**

Entry, AR 14  
Shall Not Run, AR 44

