

Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES
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RESPONDENT:

Columbia University
aka Columbia University Institute
of Comparative Medicine
630 W. 168th Street
New York, NY 10032

CASE NUMBER NY04002-AC
DUE ON OR BEFORE May 14, 2004
CONTACT Janice Sedgwick
PHONE 301-734-8759

DATE	CITATION	DESCRIPTION
		SEE ATTACHED SHEETS

PENALTY \$2,000 **TERMS**

Titles 7, 16, 19, and 21 of the United States Code authorize the Secretary of Agriculture to impose civil penalties and other sanctions to resolve violations after providing notice and opportunity for a hearing.

You may waive your right to a hearing and agree to pay the specified civil penalty in settlement of this matter. If you do not agree to the specified penalty, a complaint shall be issued charging you with the violation(s) and seeking higher penalties. You will have the opportunity for a hearing before an Administrative Law Judge to present your case.

I acknowledge that I have been given an opportunity for a hearing and waive such hearing. I neither admit nor deny the violations cited above and agree to pay the civil penalty in full settlement of this matter.

Signature of Respondent: 

Date: 5-12-04

PAYMENT RECORD - FOR IES USE ONLY

Payment Type	Date	Amount	Signature of IES Representative

October 24, 2003 (Date of completed inspection report)

- 9 CFR
2.31(d)(1)(ix) **IACUC – Major operative procedures on non-rodents will be conducted only in facilities maintained under aseptic techniques.**
Fluoroscopy equipment moves in and out of the dedicated surgical space, and at times is stored in hallway or other areas when not in use.
- 2.31(d)(1)(xi) **IACUC – Methods of Euthanasia.**
Pups whelped from a dog being used in a research study were euthanized with outdated euthanasia solution; drug use logs indicate the pups were not properly sedated at the time as claimed by person administering euthanasia.
- 2.33(b)(2) **Attending veterinarian and adequate veterinary care.**
Rusty surfaces on IV stand and fluoroscopy stand cannot be cleaned and sanitized; incubator needed cleaning.
- 3.8 **Exercise for Dogs.**
Dog exercise plan does not provide evidence that plan is approved by the attending veterinarian.
- 3.80(a)(1) **Primary Enclosures, General Requirements.**
The baboon cages were rusty.
- 3.80(a)(2)(i,ii) **Primary Enclosures, General Requirements.**
Water lines were too close to enclosure and accessible by enclosed primates. Damaged metal feeders with sharp edges posed injury threat to enclosed primates.
- 3.81 **Environmental enhancement to promote psychological well-being in non-human primates.**
Primate enhancement plan provides no evidence that plan is directed by the attending veterinarian.
- 3.81(b) **Environmental enrichment.**
Several primate enclosures do not have perches as specified in Environmental Enrichment Program.
- 3.84(c) **Housekeeping.**
Peeling paint was evident over primate enclosure.

November 3, 2003 (Date of completed inspection report)

- 2.31(d)(1)(ii) **IACUC – Alternatives to pain.**
Protocols did not reflect adequate details on search for alternative to painful procedures.
- 2.31(e)(2) **IACUC – shall ensure a proposal for animal care use contains a rationale for involving animals.**
Protocol was approved by IACUC which failed to provide a rationale for the number of animals requested.
- 2.31(e)(3) **IACUC – shall ensure that a proposal to conduct an activity contains a complete**

description of the proposed use of animals.

Protocols were approved which failed to provide complete description of evaluating pain and discomfort, and drug administration.

2.31(e)(4)

IACUC – shall ensure that a proposal to use animals contains complete description of procedures to assure that discomfort and pain will be minimized.

Protocols were approved which fail to describe postoperative care and analgesia.

2.31(e)(5)

IACUC – shall ensure a proposal for animal care use contains a complete description of the method of euthanasia.

Protocols were approved which indicated the improper administration of an injectable euthanasia agent.