

# GENERAL REGULATIONS 23rd edition, effective 1 January 2009

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#### **FEI GENERAL REGULATIONS**

As from publication date of the present edition of the General Regulations, all other texts covering the same matter (previous editions, official minutes or Bulletins, any memoranda) issued prior to this date, are superseded.

#### LIST OF ABBREVIATIONS

CA Driving Event

CAS Court of Arbitration for Sport

CC Eventing Event
CCh Children's Event
CD Dressage Event

CE Endurance Riding Event

CH Concours Hippique - any Event which includes

Competitions for more than one Discipline

Cl International Event

CIC International One Day Event
CIM Minor International Event
CIO Official International Event

CN National Event

CPE Para-Equestrian Event

CR Reining Event
CS Jumping Event
CV Vaulting Event

EADMCR Equine Anti-Doping and Medication Control Rules

EU European

FEI Fédération Equestre Internationale

GRs General Regulations
IF International Federation

IOC International Olympic Committee
IPC International Paralympic Committee

J Juniors (eg. CCI-J)

N.AM North American

NF National Federation

NOC National Olympic Committee
OC Organising Committee
M World (eg. CH-M)
P Pony Riders (eg. CDN-P)

V Veteran Riders

VRs Veterinary Regulations
Y Young Riders (eg. CSIO-Y)
W World Cup (eg. CDI-W, CSI-W)

**Note**: The masculine gender used in relation to any physical person (for example, names such as Athlete, Owner, Judge, Technical Delegate, Official, Chef d'Equipe, or pronouns such as he, they or them) shall, unless there is a specific provision to the contrary, be understood as including the feminine gender.

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## **GENERAL REGULATIONS**

#### INTRODUCTION

## **Article 100 - General Regulations and Sport Rules**

- 1. The General Regulations (GRs) are established so that individual Athletes and teams of Athletes from different National Federations (NFs) may compete against each other under fair and equal conditions with the Welfare of Horse as paramount.
- 2. The GRs and Sport Rules govern the conduct of all International Equestrian Events organised by NFs or by or on behalf of the Fédération Equestre Internationale (FEI).
- 3. The GRs are published under the authority of the General Assembly.
- 4. Sport Rules are published under the authority of the General Assembly whereas Sport Rules for Series, Olympic Regulations for Equestrian Events at Olympic Games, and Paralympic Regulations for Equestrian Events at Paralympic Games are published under the authority of the Bureau.
- 5. To be considered for proposal to the General Assembly as an added FEI Discipline and to be governed by these GRs the activity in question must be practised by a minimum of thirty (30) NFs from at least four (4) geographical groups with a total participation of a minimum of ten thousand (10,000) Athletes. It must also involve a true collaboration between rider and Horse at an advanced standard of training, respect the classical equestrian ideas, exemplify the FEI standards of sportsmanship and fully respect the Horse's welfare.
- 6. FEI Statutes, GRs, and Sport Rules apply to International Events and/or Competitions as laid down in Chapter I.
- 7. Responsibilities of NFs:
- 7.1. to ensure that the Welfare of the Horse is paramount and that it is never subordinated to competitive or commercial influence.
- 7.2. to ensure that all clubs and societies affiliated to NFs and all OCs abide by the GRs and Sport Rules when organising International Events or Competitions.
- 7.3. to ensure the implementation by OCs, of the Statutes, GRs and Sport Rules, and to be responsible for ensuring the payment of prize money in instances in which an agreement has been entered into by and among the FEI, the NF and the OC. OCs shall take measures as may be necessary to cover their financial and legal liabilities, such as guaranteeing the prize money.
- 7.4. to ensure that any Event is correctly managed by OCs, and to ensure the implementation of draft schedules approved by the FEI.

#### **CHAPTER I - EVENTS AND COMPETITIONS**

# Article 101 - National Events (CN)

- 1. A National Event (CN) is limited to national Athletes who shall take part according to the regulations of their NF. Foreign Athletes may only take part under paragraphs 2 and 3 below or under Art. 119- .
- 2. Individual foreign Athletes, other than "Athletes Living Abroad" (Art. 119.11), may take part in CNs provided they have obtained written permission from their own NF and from the NF of the OC concerned. Permission must state the exact period for which it has been granted and the home NF of individual foreign Athletes shall indicate their level in writing so that they are entered in Events of appropriate level.
- 3. Not counting "Athletes Living Abroad" (Art. 119.11), the number of foreign Athletes who may take part at a CN shall, subject to the appropriate laws if applicable, be as specified in the relevant Sport Rules. However, a CN which allows more than fifteen (15) foreign Athletes or more than four (4) different NFs to take part in the Event is considered to be a CI with the following implications:
  - (i) organising fees are due for a CI of the relevant Discipline and category in accordance with the Sport Rules;
  - (ii) results of CNs considered as CIs under the provisions of this article do not count for any qualification or ranking purposes, unless the FEI has given its prior written approval.
- 4. Unless otherwise specified in the Sport Rules, special promotional, border and riding club Events which are of a low level and offer no or nominal prize money may be organised under the rules for CNs of the host NF with Athletes from up to four (4) NFs.
- 5. All other Events in which foreign Athletes may take part must be classified as CIs.

# Article 102 - International Events (CI)

- 1. An International Event (CI) must be organised under the FEI Statutes, GRs and Sport Rules, including any specific invitation rules that may be established by the relevant Technical Committee and may be open to Athletes of all NFs.
- 2. CIs are primarily for individual Athletes. However, Competitions for national or otherwise named teams of not more than four (4) members may be organised, but they may never be described as "Nations' Cups".
- 3. All Athletes invited or nominated for an International Event must be entered by their NFs. All foreign Athletes selected by their NFs, in accordance with the relevant Sport Rules and as specified in the schedule, must be accepted by the OC. OCs shall not accept any other entries.
- 4. Schedules for CIs must state the total number of foreign Athletes and Horses which are invited.
- 5. Consideration should be given to inviting Athletes from regions other than the region where the CI is held.
- 6. CIs shall be classified according to the amount of prize money offered and/or to other criteria established by the relevant Technical Committee, and approved by the Bureau.

# **Article 103 - Official International Events (CIO)**

- 1. An Official International Event (CIO) is an Event to which NFs are invited to send selected representative individuals and teams, and must be organised under the FEI Statutes, GRs and Sport Rules.
- 2. CIOs must include the official Competition for individuals and teams according to the Sport Rules of the relevant Discipline.
- 3. In Europe, each NF may only organise one CIO for Seniors in any Discipline in any calendar year, unless otherwise decided by the Bureau.
- 4. For other age groups and for Seniors outside Europe, the number of CIOs must be specifically approved by the Secretary General on the recommendation of the relevant Technical Committee.

# **Article 104 -FEI Championships**

#### 1. FEI WORLD CHAMPIONSHIPS:

- 1.1. FEI World Championships for Seniors are open to all NFs and may be organised as follows:
- 1.1.1. For Jumping, Dressage and Eventing: in the even year between the Summer Olympic Games.
- 1.1.2. For Endurance, Vaulting and Reining: in each even year.
- 1.1.3. For Driving: in each year.
- 1.1.4. For Para-Equestrian: in each uneven year.
- 1.2. Unless the Sport Rules of the relevant Discipline provide otherwise, at least nine (9) NFs must have been represented at the first Horse inspection.
- 1.3. FEI World Championships for Seniors in any Discipline may be organised in a different year than the above-mentioned schedule with the approval of the Bureau.
- 1.4. The General Assembly can also decide to organise in the same period of time and at the same venue the FEI World Championship for the equestrian Disciplines mentioned in the paragraph above: as FEI World Equestrian Games.

#### 2. FEI CONTINENTAL CHAMPIONSHIPS:

#### 2.1. For Seniors:

- 2.1.1. FEI Continental Championships for Seniors in any Discipline and open to NFs or regional teams within the approved limits of the appropriate continent may be organised in the uneven years.
- Unless the Sport Rules of the relevant Discipline provide otherwise, at least six (6) national or regional teams must have been represented at the first Horse inspection.
- 2.1.2. NFs of North and South America may each organise separate FEI Continental Championships in the year following the Summer Olympic Year. Central American NFs may participate either in the FEI North or in the FEI South American Championships, but not in both.
- 2.1.3. FEI Open Continental Championships for Seniors for the Olympic Disciplines may be organised in the years preceding the Summer Olympic Games.

North and South American NFs may each organise such FEI Championships every year. The Central American NFs may participate in the FEI North or in the FEI South American Championships, but not in both.

2.2. For other age categories:

FEI Continental Championships for Youth, Junior, Pony and Children may be organised annually.

- 2.2.1. There should be a minimum representation of four (4) NFs in Europe. However, outside Europe, FEI Continental Championships may be held with any number of regional teams from at least two (2) NFs. These FEI Championships may be organised in conjunction with CIs under the conditions laid down in the Sport Rules.
- 2.2.2. FEI Open Continental Championships for Young Riders, Juniors, Pony Riders and Children as well as for Endurance and Vaulting may be organised annually.
- 2.2.3. North and South American NFs may each organise such FEI Championships every year. The Central American NFs may participate in the FEI North or in the FEI South American Championships, but not in both
- 2.2.4. The title and the related medals shall be awarded to participating individuals and teams from the relevant continent in order of merit.

#### 3. FEI REGIONAL CHAMPIONSHIPS:

- 3.1. FEI Regional Championships (such as Mediterranean, Balkan, Danube, etc.) may be organised for any Discipline, provided there are at least two (2) NFs participating.
- 3.2. FEI Regional Championships may take place every year and are open to NFs outside the group by invitation.
- 3.3. OCs may choose the programme and may include any form of Competition provided for in the Sport Rules.

#### 4. GENERAL PROVISIONS:

- 4.1. The composition of teams and the number of individual Athletes authorised to take part in FEI championships are laid down in the relevant Sport Rules.
- 4.2. Under no circumstances may the OC limit the number of entries of eligible Athletes or teams for any FEI Championship. The Bureau may limit the number of entries if deemed necessary.
- 4.3. Any Championship that is Open needs the Approval of the Bureau.

#### Article 105 - FEI-Named Events

- 1. FEI-named Events are the FEI Championships, FEI World Cup™ Series, FEI Super League Series, FEI Nations Cup Series and other Events, Series or Competitions which have been established and/or approved by the Bureau.
- 2. FEI-named Events shall always contain the letters "FEI".

#### Article 106 - Allocation of FEI-Named Events

1. Allocations shall be decided by the Bureau on advice of the relevant Technical Committee and shall be made in accordance with this article, the

relevant Sport Rules, and the Agreement between the FEI and NF/OC if applicable.

- 2. Procedure for application:
- 2.1. Deadlines: NFs must apply no later than 15 May or any other date decided by the Bureau:
  - (i) FEI World Equestrian Games: five (5) years before the Event is due to take place.
  - (ii) FEI Continental Jumping, Dressage or Eventing Championships for Seniors and FEI World Championships in Driving, Endurance, Vaulting and Reining: three (3) years before the Event is due to take place.
  - (iii) All other FEI Championships: two (2) years before the Event is due to take place.
- 2.2. When applying, NFs must submit the location and the approximate date on which they intend to organise the FEI Event and must agree to comply with the FEI regulations and obligations. The date of the Event cannot be changed without FEI's approval.
- 2.3. No NFs shall be allocated more than one (1) FEI World or FEI Continental Championship for Seniors in the Olympic Disciplines in any one year, unless decided otherwise by the Bureau in the interests of equestrian sport.
- 2.4. No NFs shall be allocated two (2) consecutive FEI World or FEI Continental Championships in the same Discipline for the same Category of Athletes, unless there are no other suitable applications.
- 2.5. Applications for FEI Regional Championships must include a list of NFs eligible, and any other NFs invited to take part, together with the proposed programme and the standard of the Competitions.
- 3. If no or no suitable application to organise an Event has been received within the deadline, an appropriate allocation may be made by the Bureau.

# **Article 107 - Competitions**

- 1. Separate Competitions for the following standard categories of Athletes may be included in the schedules for all Events unless stated otherwise in the Sport Rules:
- 1.1. Seniors
- 1.2. Young Riders
- 1.3. Juniors
- 1.4. Pony Riders
- 1.5. Children
- 1.6. Veterans
- 2. Sport Rules may include other Separate Competitions.
- 3. In Competitions open to more than one (1) Category, a separate classification may be used to decide the winner of each Category.
- 4. In Competitions open to more than one (1) Category, there shall be only one (1) draw for the starting order.

# **Article 108 - Titles of Competitions**

1. At CIMs (see Appendix E), the title of Competitions may not include the name of any country or International region.

At other CIs, the name of the respective nation or country may, with Approval of the relevant NF, be used for the title of one (1) Competition. At CIOs, the same provision applies to one (1) Competition in each Discipline.

- 2. The name "World" or names of continents, regions or other groups of nations or countries as title of Competitions, Cups or any other classifications may only be used with Approval of the Bureau.
- 3. The use of other names given to an Event or to a number of linked Events (Series) as defined in Appendix A taking place under the jurisdiction of one (1) or more than one (1) NF requires the Approval of the Bureau and the NFs concerned.

# Article 109 - Organisation of Events

- 1. The schedules for all CIs, CIOs, FEI World  $Cup^{TM}$  Finals and FEI Championships must clearly state that the FEI Statutes, GRs, and Sport Rules apply.
- 2. International Competitions may never be held under national rules.
- 3. If a Competition under national rules is included during the course of CIs, the Sport Rules come into force as soon as the results of the Competition under national rules have been announced (see Art. 137.2).
- 4. For applications for Events that form part of an FEI Series the Sport Rules for the relevant Series apply.
- 5. With the Approval of the FEI Secretary General, a CIO for one (1) Discipline may include another Category of the same Discipline or a CI for Competitions in another Discipline or other Disciplines. For example a CSIO may include a CDI or a CAI. In such a case the schedule and the programme must clearly state the Category of each Competition.
- 6. OCs of International Events must inform, the FEI and NFs whose teams or individuals have taken part, of the results and prize money paid to each placed Athlete and team within one (1) week following the Event.
- 7. Schedules, notices, instructions and announcements over the public address system at all International Events (except CIMs, see Appendix E) must be written or spoken in an official language in addition to the national language, except in cases where all concerned speak the same language.
- 8. Schedules, notices and instructions at all FEI World and FEI Continental Championships for Seniors must be written in an official language in addition to the national language, except where all concerned normally use an official language.
- 9. All announcements over the public address system at FEI World Championships and FEI World  $Cup^{TM}$  Finals must be made in an official language.
- 10. OCs of International Events must provide adequate medical and veterinarian assistance at the Event and a sufficient number of ambulances where possible so that first aid is constantly available.

#### **Article 110 - Schedules for Events**

1. Following the Approval of the calendar each year, the FEI Headquarters shall send through the relevant NFs a check list for the schedule to all OCs of CIMs, CIs, CIOs, FEI Championships and Games, as listed in the approved Official Calendar for the next year. The OCs must return the forms completed in an official language to their NF. The NF must then check and correct the forms for any errors in relation to the FEI Statutes, GRs, and Sport Rules before they send them to the FEI Headquarters for final Approval no later

than sixteen (16) weeks before the Event takes place. Any subsequent changes must be brought to the attention of, and approved by, the Secretary General. Changes must be notified to the NFs concerned by the quickest possible means.

- 2. In exceptional circumstances, together with the Approval of the Chefs d'Equipe, host NF delegate, if any, and the Ground Jury, the OC may change the schedule in order to clarify any matters arising from an omission or due to unforeseen circumstances. Any such changes must be notified to all Athletes and Officials as soon as possible and they must be reported to the FEI Secretary General by the Foreign Judge.
- 3. All schedules must give the dates and location of the Event and the dates by which entries must be received in accordance with the following check list:
- (i) The Disciplines in which Competitions will be held,
- (ii) The programme of Competitions,
- (iii) Whether the Competitions will be indoors or outdoors,
- (iv) The type and dimensions of the schooling areas and the Competition arenas,
- (v) The categories, nationalities and other relevant details of invited Athletes and Horses,
- (vi) The stabling and accommodation available,
- (vii) The value of the prizes and their distribution,
- (viii) The national veterinary health requirements and the VRs,
- (ix) Whether betting is allowed,
- (x) Code of Conduct,
- (xi) Disclaimer of responsibilities,
- (xii) Any other relevant details.
- 4. The Schedule must also give the time and place of the commencement of the Horse inspection under the Veterinary Regulations.

#### **Article 111 - Events with Borrowed Horses**

- 1. International Competitions may be organised with Horses provided by the host  $\operatorname{NF}$ .
- 2. The relevant Sport Rules for each Discipline and Category or the schedule of the Event shall apply for Events with borrowed Horses where appropriate.
- 3. The Horses must wear their usual bit and bridle which must not be changed during the Event unless permission is given by or on behalf of the Owner.
- 4. Each Athlete will be given the opportunity to ride the Horse obtained in the draw in at least two (2) riding sessions of one (1) hour each according to the rules established by the OC.
- 4.1. If there is an apparent complete incompatibility between Horse and Athlete, the OC may substitute a reserve Horse at the discretion of the Ground Jury.
- 5. In the case of a borrowed Horse, the Person Responsible is the Athlete, but the Owner and/or the person who is normally in charge of the Horse may be considered as an additional Person Responsible.

#### **Article 112 - Official Calendar**

- 1. The Secretary General approves the official Calendar for the following year.
- 2. The dates for FEI Events shall be published as soon as possible after allocation.
- 3. Applications for CIs and CIOs shall be sent to the Secretary General as early as possible in order that they arrive at the latest by 1 October unless a different date is specified in writing by the Secretary General. Only one (1) date may be proposed for each Event.
- 3.1. Application for CIMs shall be sent to the Secretary General as early as possible and no later than four (4) weeks prior to the commencement of the Event.
- 3.2. Prior to the Decision on the Calendar, the Secretary General may propose modifications to the dates requested by applicants in order to establish an improved Calendar.
- 4. For application and/or modification for higher level Events received after the deadline, any NF and/or OC that may be deemed to be affected by the acceptance of such application and/or modification shall be provided the opportunity to give its opinion and if objecting to the late addition or change of dates must explain the reasons for the objection. After an evaluation of the reasons for an objection, the Secretary General may accept the late addition or change.
- 5. Priority of FEI Championships and other high level Events may be regulated for each Discipline in the Sport Rules.
- 6. No NF or OC may organise any International Event which has not been published in the Official Calendar or approved by the Secretary General.

# CHAPTER II - PARTICIPATION OF ATHLETES AND HORSES

## Article 113 - Registration of Athletes and Horses

- 1. All Athletes and Horses must be registered with the FEI, unless otherwise specified by the Sport Rules and with their own or, where appropriate, their host NF before they can be permitted to take part in an International Event. (See also Art. 119- Nationality Status of Athletes and Chapter V Horses).
- 2. NFs are responsible for registering all Athletes and Horses and shall ensure that such Athletes have the appropriate corresponding sport nationality.
- 3. Any Athlete and/or any Horse who is not registered with the FEI shall be automatically disqualified.

#### **Article 114 - Horse Identification**

- 1. The OC shall give an identification number to each of the participating Horses.
- 2. The identification number must be worn during the whole Event at all times when the Horse is out of the Event stables.

#### **Article 115 - Invitations**

- 1. Invitations for individual Athletes to take part in CIs and CIOs must be sent to the NFs of the Athletes concerned. Invitations from OCs must be sent to the NFs of the Athletes concerned. Invitations must include copies of the schedule. The percentage of Athletes personally invited by OCs shall be specified in the Sport Rules for the specific Disciplines.
- 2. Invitations to take part in CIOs may only be sent from the host NF directly to other NFs and must stipulate the number of Athletes and Horses that may be included in the official team and the number of individual entries that can be accepted.
- 3. At CIOs the number of invited NFs may be limited according to the schedule of the Event as approved by the FEI and the Sport Rules of the relevant Discipline.
- 4. Unless the relevant Sport Rules provide otherwise, the announcement of the approved date and place of a Championship automatically counts as an invitation to all NFs eligible to participate. Intentions to participate must be sent to the host NF as soon as possible.
- 5. All Athletes taking part in CIOs, CIs, Series, FEI Championships and Games must be given the same allowances and conditions. If similar accommodation facilities are not available for all Athletes and their Horses, allocation shall be decided by a draw.

#### **Article 116 - Entries**

- 1. Entries for each Discipline shall be regulated in each relevant Sport Rules, except for any Championship with more than one (1) Discipline and for the FEI World Equestrian Games where this article shall apply.
- 2. All Athletes invited or nominated for an International Event must be entered by their NFs. All foreign Athletes selected by their NFs, in accordance with the relevant Sport Rules and as specified in the Schedule, must be accepted by the OC. OCs may not accept any other entries.
- 3. NFs are responsible for selecting and entering qualified Horses and Athletes. This includes the fitness and capability of the Horses and the Athletes to participate in the Competitions for which they are entered.
- 4. Entries for any Championship with more than one (1) Discipline and for the FEI World Equestrin Games shall be made following the compulsory three (3) phases outlined under paragraph 4.1, 4.2 and 4.3 below:
- 4.1. Entries in principle

must reach the OC at least eight (8) weeks before the Event is due to begin. Entry in principle means that an NF has the definite intention of sending Athletes to participate in the Event.

It must state whether the intention is to send only a team or a team and individuals or only individuals.

#### 4.2. Nominated Entries

must reach the OC at least four (4) weeks before the Event and must include a list of the names of Athletes and Horses from which the Definite Entries and any substitutions will be chosen and state the number of Athletes and Horses which the NF intends to send. The number of Nominated Entries of Athletes and Horses must not exceed twice the number invited in the Schedule or prescribed by the Sport Rules. Once the Nominated Entries have been sent in, NFs may send fewer Athletes and/or Horses but never more than the number of Nominated Entries. NFs which are not represented after having made Nominated Entries and whose excuse is not acceptable to the OC shall be reported by the OC to the Secretary General for consideration of their excuse by the FEI Tribunal.

#### 4.3. Definite Entries

Must reach the OC at the latest four (4) days preceding the beginning of the Event. These represent the final selection of Athletes and Horses that will travel to the Event. The Definite Entries may not exceed the number listed and must be chosen from the list of names on the Nominated Entries. After the Definite Entries have been sent in, substitutions of Athletes and/or Horses may only be made with the express permission of the OC.

- 5. Entry forms for Horses must include the name/names, breed, sex, age, colour, country of birth, present nationality and passport number, FEI registration number and, where appropriate, qualifications.
- 6. Should an NF send more Athletes and/or Horses than shown in the Nominated Entry the OC is not obliged to accommodate them or to allow them to participate in that Event even if the Sport Rules and schedule would allow such participation.
- 7. At an Event, an Athlete may withdraw any or all his Horses from a competition, but he may not add a Horse not previously entered for that competition without the approval of the OC and the Ground Jury.
- 8. Where an NF has made a Nominated Entry of a team and finds that it will be unable to send a team, it must immediately inform the OC.

- 9. Teams or individual Athletes who have been definitely entered by their NFs at any Event and fail to take part without valid excuse must be reported by the Foreign Judge/Technical Delegate to the Secretary General for consideration by the FEI Tribunal. Competing at another Event staged at the same time does not constitute a valid excuse for failure to participate at an Event.
- 10. An NF cannot make Definite Entries for the same Athlete/Horse combinations to more than one OC on penalty of disqualification of such Athlete/Horse combinations from the Event where they eventually participate.

# Article 117 - Selection of Representative Teams and Individuals

- 1. NFs have the final responsibility for the selection of all Athletes and Horses to participate in any International Event or to represent their countries at CIOs, FEI Championships, FEI Regional, Olympic Games, and Paralympic Games provided that the Athletes and Horses are qualified in all respects under the conditions laid down for each Event.
- 2. Only Horses and Athletes in possession of approved Certificates of Capability may be entered for FEI World Championships and Olympic Games, (Appendix C) in accordance with the appropriate Sport Rules and Olympic Regulations.
- 3. Only Athletes properly licensed by NFs may be accepted as entries for CIs.
- 4. Athletes whose NF has been suspended or expelled may not be accepted as entries for any International Event, or national Event outside their country, during the period of the Suspension or expulsion.
- 5. No Athlete may take part "hors concours" in any International Competition unless otherwise specified by the Sport Rules of the relevant Discipline.
- 6. No Athlete may be accepted as an entry for any International Event under any other name than that appearing on his licence.

# Article 118 - Person Responsible

- 1. The Person Responsible for a Horse has legal responsibility for that Horse, including responsibility under the GRs and the VRs and unless otherwise stated is liable under the Legal System (Chapter VIII).
- 2. It is the obligation of the Person Responsible and of every other person subject to the Statutes, GRs and Sport Rules to know the Statutes, GRs and relevant Sport Rules, and lack of such knowledge does not relieve these persons from liabilities under the Statutes, GRs and Sport Rules.
- 3. The Person Responsible shall be the Athlete who rides or drives the Horse during an Event, but the Owner and other support personnel including but not limited to grooms and veterinarians may be regarded as additional Persons Responsible if they are present at the Event or have made a relevant Decision about the Horse. In vaulting, the lunger shall be an additional Person Responsible.
- 4. Athlete under 18 years of age:

- 4.1. If the Athlete is under 18 years of age when the entry is submitted, the NF or Chef d'Equipe must nominate a representative for the Athlete.
- 4.2. The representative may be a parent of the Athlete, the Chef d'Equipe, the team coach, the team veterinarian, the Owner or some other responsible adult and shall be communicated to the OC and the NF with the entry.
- 4.3. If the NF and Chef d'Equipe fail to nominate the representative, either parent of the Athlete may be considered as the representative.
- 4.4. The minor Athlete shall remain the Person Responsible.
- 4.5. The representative may represent the minor Person Responsible in all dealings with the OC, the NFs and the FEI Officials, including, but not limited to, witnessing the medication process.
- 4.6. When the representative is from another NF than the Person Responsible's, all communications shall take place through the Person Responsible's NF.
- 5. The Person Responsible, together with the Chef d'Equipe if present, is responsible for the condition, fitness and management and for declaring or scratching of each and every Horse under his jurisdiction.
- 6. The Person Responsible is responsible for any act performed in the stables to any Horse under his jurisdiction, by himself or by any other person with access to the Horse, and is responsible while riding, driving or exercising any Horse under his jurisdiction. The Person Responsible is not relieved from such responsibility as a result of the lack or insufficiency of stable security.
- 7. If, for any reason, the Person Responsible is unable or is prevented from caring for the Horses under his jurisdiction he must immediately notify the Secretary of the OC and the Veterinary Commission.

# **Article 119- Sport Nationality Status of Athletes**

- 1. The NF of a country where an Athlete has his nationality/citizenship is his own NF.
- 2. Except as provided in paragraphs 8 and 12, an Athlete may take part in International Events only under the jurisdiction of his own NF.
- 3. An Athlete who is the national/citizen of more than one (1) country must decide from the age of 18 years which is his own NF and must thereafter, subject to paragraph 4, compete under the jurisdiction of that NF.
- 4. An Athlete who is the national/citizen of more than one (1) country must decide which is his own NF and may take part in competitions for that NF, provided he was citizen/national of that NF by 31<sup>st</sup> December of the preceding year.
- 5. Proof of legal citizenship or nationality is necessary to compete for the respective country. In addition, the following conditions apply prior to participation in FEI Championships, FEI World  $Cup^{TM}$  Series, FEI Super League Series, and FEI Nations Cup Series:
  - (i) The Athlete must have had legal residence in his new country for (a) a minimum of two (2) years uninterrupted residence in the new country immediately prior to the competition or (b) a total of five (5) years of non-consecutive periods.
  - (ii) In the event of a change of nationality, an Athlete shall not have taken part in competitions mentioned above for his previous NF in the two (2) years prior to the first competition he wishes to compete in under his new nationality/citizenship.

- 6. In special and exceptional circumstances, the FEI may grant exceptions for justifiable cases, with the agreement of the NFs concerned.
- 7. Participation to the Olympic and Paralympic Games is subject to Olympic and Paralympic Regulations.
- 8. If an associate state, province or overseas department, a country or a colony acquires independence, if a country becomes incorporated within another country by reason of a change of border, or if a new NF is recognised by the FEI, an Athlete may continue to represent the country to which he belongs or belonged if that country continues to have an NOC. However, he may, if he prefers, choose to represent his new NF. This particular choice must receive the Approval of the FEI and may be made only once.
- 9. An application for an Athlete's change of nationality must be filed with the FEI Secretary General, through his new NF, stating the reason of the change and supported by the Athlete's passport or equivalent document for the new country that he wishes to represent. The FEI must inform the current NF that a request for change of nationality has been filed.
- 10. An Athlete shall only be entitled to compete for his new NF, provided the FEI has given its prior written approval.
- 11. An Athlete Living Abroad can take part regularly in CNs and CIMs and CIs for Juniors, Young Riders and Pony Riders of his host country if he is given permission from the NF of his host country or, in very exceptional circumstances, given special approval by the FEI. The Athlete, while keeping his own NF, comes under the jurisdiction of the host NF for the abovementioned Events and he must take part according to the relevant Sport Rules.
- 12. An Athlete Living Abroad who loses his nationality or becomes a refugee or whose home country does not have an NF which is or ever had been a member of the FEI can compete internationally as if he were a national of the host country in all International Events including Championships until his home country has an NF which is a member of the FEI.

# Article 120 - Chefs D'equipe

- 1. NFs must appoint a Chef d'Equipe if they have three (3) or more Athletes taking part in CIOs, FEI Championships or FEI Regional or Olympic Games or Paralympic Games. If only individual Athletes are entered, or no separate Chef d'Equipe is appointed, NFs must nominate one of the Athletes to act as Chef d'Equipe.
- 2. It is recommended that Chefs d'Equipe be appointed for CIs.
- 3. Chefs d'Equipe must attend all technical meetings organised for them in relation to the Event.
- 4. The Chef d'Equipe has responsibility for the following:
- 4.1. The general management and fitness of the Horses entered for the Event and the observance of the VRs by Team Veterinarians and other official team members.
- 4.2. The declaration and the scratching of Athletes and Horses entered for the Competitions at CIOs, FEI Championships, FEI Regional, Olympic Games and Paralympic Games, and at CIs if appointed.
- 4.3. The general management, fitness and behaviour of Athletes, especially those under the age of 18. In the case of Athletes under the age of 18, the Chefs d'Equipe are responsible for the behaviour of both their teams and

individuals for the entire duration of the Event and are obliged to stay with their teams and individuals.

- 4.4. Notifying the OC which Athletes and Horses have been selected to start in team Competitions.
- 5. The Chef d'Equipe may change the Athletes and Horses within the selected team but he cannot add or substitute any member and/or Horse of the official team by an individual Athlete and/or Horse entered in that Event but not selected for the team, except in cases of accident or illness as per Art. 121.3 (unless the requirements of a Certificate of Capability prevent this change, see FEI World Championships, Olympic Games).
- 6. Chefs d'Equipe are not allowed to perform any other official functions at an Event.
- 7. A Chef d'Equipe or his NF may appoint a deputy to attend meetings or perform other functions, but the deputy, unless otherwise qualified, shall not be entitled to any of the rights conferred on Chefs d'Equipe by Art. 131.

#### Article 121 - Declaration of Starters

- 1. Chefs d'Equipe must declare the entries for the official team Competition and the names of individual Athletes, by the time laid down by the OC in accordance with the Sport Rules.
- 2. If NFs enter more Athletes and Horses than the number allowed in the official team, the Chef d'Equipe must designate the Athletes and Horses selected for the official team at the latest following the first Horse inspection, unless otherwise specified in the relevant Sport Rules for each Discipline.
- 3. In case of accident or illness of a team member and/or Horse nominated for a team Competition, such individual Athlete and/or Horse entered in that Event may be substituted. This must be announced by the Chef d'Equipe at the latest one hour before the start of the relevant Competition. The Athlete/Horse being withdrawn cannot then take part in any other Competition in that Event.

#### Article 122 - Dress and Salute

- 1. In all Competitions that take place in an arena under the jurisdiction of a Ground Jury, each Athlete must salute the President of the Ground Jury as a matter of courtesy, unless the President gives other instructions. The OC in agreement with the President of the Ground Jury must instruct the Athletes to salute Heads of State when present, and may do so if there is a special guest occupying the official box.
- 2. The President or a member of the Jury shall acknowledge all salutes.
- 3. Athletes must be properly dressed when appearing before spectators.
- 4. Registration of Colours:
- 4.1. Special colours or colouring of parts of the uniform to be worn at Competitions in accordance with the Sport Rules of the relevant Discipline are subject to approval by the relevant Technical Committee.
- 4.2. Special colours or colouring of parts of the uniform may only be registered by NFs with the FEI in order to retain exclusivity of use in favour of the applicant on the following conditions:
- (i) An application for registration will be accepted by the FEI at any time during the year. The accepted registration will be valid for five years inclusive

- of the year the application is accepted. The date and time of receipt of the application will establish the order of priority of registration.
- (ii) Black, red, navy and green jackets with collars of the same colour cannot be registered.
- (iii) Colours already widely used by NFs which are not registered will not be accepted for registration by a third party unless they have been out of use for one year.
- (iv) Colours must be in accordance with the Sport Rules of the Discipline concerned.
- (v) Colours registered for five years will be automatically re-registered unless such registration is cancelled in writing before  $31^{\rm st}$  December of the fifth year of the prior registration.
- (vi) Colours registered in accordance with these rules can only be worn by those persons authorised to do so by the NF in possession of the registration.
- 4.3. Disputes about colours are to be referred to the Secretary General whose Decision is final.

# Article 123 - Olympic and Regional Games under the Patronage of the IOC, and Paralympic Games under the patronage of the IPC

1. Only Athletes who satisfy the conditions laid down in the GRs, the Olympic Regulations, and the Olympic Charter and its Bylaws may be entered for the Olympic and Regional Games under the patronage of the IOC (see Appendix B). Only Athletes who satisfy the conditions laid down in the GRs, the Paralympic Regulations may be entered for the Paralympic Games.

# Article 124 - Young Riders, Juniors, Pony Riders, Children and Veterans

- 1. Athletes of the appropriate age may take part in FEI Competitions and FEI Championships of more than one (1) Category for Young Riders, Juniors and Pony Riders, but in each Discipline only in one (1) of these FEI Championships in any one (1) calendar year.
- 2. Athletes may take part in FEI Senior Competitions and Championships from the beginning of the year they reach the age of 18 but they may only take part either in a Junior or a Young Rider or a FEI Championship for Seniors in the same Discipline in any calendar year. Further exceptions regarding age limits are laid down in the Sport Rules of the relevant Disciplines.
- 3. In exceptional cases, Technical Committees may with the Approval of the Bureau vary any of the ages set out in the definitions for specific Events or regions.
- 4. Rules regarding Veteran riders are stipulated in the Sport Rules of each Discipline.

# CHAPTER III - CEREMONIES, PRIZES AND EXPENSES

#### **Article 125 - Ceremonies**

- 1. Opening, Prize-giving and Closing Ceremonies may follow local custom but they must include the procedure laid down in the following paragraphs.
- 2. The representative of the FEI, or if no representative is present, the President of the Ground Jury must be invited to participate in the Opening, Prize-giving and Closing Ceremonies of all FEI Championships and FEI World Cup™ Finals.
- 3. All Athletes invited to be present at a Prize-giving ceremony must remain mounted on the Horses on which they have competed, or on their carriages. If a podium is used for the ceremony (as for the Olympic Games), the prize winners may be asked to dismount. The Ground Jury should have the ability to appove a substitution in the interest of either safety or horse welfare.
- 4. The FEI representative, or the Foreign Judge, must present the FEI Medals and Trophies before any other prizes are presented by the OC or sponsors.
- 5. Opportunities should be taken at these ceremonies and during the course of the Event to mention that the Event is under the patronage of the FEI.
- 6. The Prize-giving Ceremony for FEI Championships must take place immediately after the end of the Competition.
- 7. OCs should avoid the necessity for Horses to stand for long periods before and during these ceremonies.

#### **Article 126 - Prizes**

- 1. All money prizes are awarded to the Owners or lessees of Horses or Athletes. Cups or mementoes are awarded to the Athletes unless specifically allocated to the Owners of Horses.
- 2. All prize money constitutes a partial reimbursement of the costs of keeping a stable and training and travelling Expenses.

#### Article 127 - Allocation of Prizes

- 1. In all Competitions the prizes must be distributed according to the provisions of this Article.
- 2. The value of the first prize for individuals or teams, either in cash or in kind easily convertible into cash, may never exceed one third (1/3) of the total value of the prize money and prizes convertible into cash, offered for the whole Competition unless otherwise specified in the Sport Rules for the relevant Discipline.
- 3. The minimum number of prizes offered for each Competition must be allocated on the basis of one (1) prize for every commenced four Athletes, with a minimum of five (5) prizes.
- 4. Prizes, as laid down above, must be offered for all qualifying Competitions for a general classification at that Event, or for a general classification after a Series of Events.

5. A single prize in cash, or in kind, may be allocated for a general classification at the conclusion of a Series of qualifying Competitions, or at the end of the Event for the accumulation of points, or at the conclusion of a Series of qualifying Events, provided always that prizes have been offered for each of the preliminary or qualifying Competitions or Events, according to this Article.

#### **Article 128 - Distribution of Prizes**

- 1. The total amount of prize money shown for each Competition in the schedule must be distributed.
- 2. Prize money must be distributed to the Chefs d'Equipe or to the winning Owners, lessees or Athletes within ten (10) days after the last Competition of the Event provided they have met all their financial and other obligations to the OC.
- 3. Prize money for a Competition may not be distributed while any protests or Appeals relating to that Competition are under consideration during an Event.

#### Article 129 - FEI Medals

1. FEI Medals shall be awarded to participating individuals and teams in order of merit at FEI Championships according to the Sport Rules of the relevant Disciplines.

#### Article 130 - Challenge Cups

1. If a challenge cup for an International Competition has to be won a specified number of times for outright possession, either by the same Athlete or by the same country, the general conditions of the Competition may not be changed before the expiration of five (5) years from the first Competition or until it has been won outright under the original conditions, whichever first occurs.

# **Article 131 - Expenses**

- 1. Expenses of Chefs d'Equipe, Team Veterinarians, Athletes, Grooms, Horses, or any other appropriate person shall be regulated in the relevant Sport Rules for each Discipline.
- 2. Expenses of Officials:
- 2.1. The NFs and OCs shall meet the travelling, accommodation and meals Expenses of all Officials according to the GRs and Sport Rules.
- 2.2. FEI appointed Officials whose Expenses are to be paid by the OC shall be appointed with the agreement of the OC.

# Article 132 - Privileges

- 1. Owners of Horses present at an Event, who are not Athletes, are entitled to a pass provided by the OC giving them access to the stands and the collecting ring and a special access pass for the stables. OCs must provide one (1) pass for a minimum of two (2) Owners per Horse; however, for stable access refer to the Veterinary Regulations.
- 2. Members and Honorary Members of the Bureau, members of the Standing Committees and holders of FEI Badges of Honour are entitled to free admission and access to the stands (deemed appropriate by the OC and the FEI) to all Events under the jurisdiction of the FEI. The Secretary General shall provide certificates to all persons entitled to this privilege upon request.
- 3. Holders of the certificates must apply, as early as possible and not later than one (1) month prior to the Event, to the particular OCs for the necessary tickets and passes. Certificates must be presented with the application and must be returned with the tickets or passes.

#### CHAPTER IV - COMMERCIAL AND SPONSORSHIP

# **Article 133 - Commercial Rights**

- 1. The ownership of the FEI title and FEI logos; the titles, logos, and Competition formulae of all FEI-named Events are vested with the FEI.
- 1.1. The FEI owns the Official International Ranking Lists resulting from the ranking of Athletes and Horses competing at the Events and Competitions held under its authority.
- 1.2. The FEI has the exclusive right to exploit the aforesaid properties through all media, including new media, Internet and TV in all its forms, as well as for sponsorship and marketing purposes according to the conditions laid down in paragraphs 2 and 3 below.
- 2. With regard to FEI-named Events, an Agreement shall be reached between the FEI and the relevant NF and OC, which defines their respective rights and duties related to the exploitation of all commercial properties and the possible sharing of the revenues therefrom.
- 2.1. The Agreement shall recognise previous commitments of the relevant NF and OC and resolve any possible conflicts.
- 2.2. Subject to the provisions in paragraph 3, the normal basis for the Agreement will be the license to the relevant NF and OC of the commercial properties for a fee pre-established by the Bureau for each Category of FEI Championship or Event and for possible profit sharing.
- 2.3. With regard to the FEI-named Series and Competitions organised as a part of other Events, the Agreement shall be reached between the FEI and the relevant NF and OC which shall respect previous commitments of the relevant NF and OC, unless otherwise agreed.
- 3. The terms of the Agreement must be finalised before the Bureau confirms the final allocation of the Event, Series or Competition. The exploitation of all commercial properties and TV rights must be negotiated in such a way as to assure the feasibility of the Event, Series or Competition concerned, taking into consideration the technical and sporting conditions and the promotion of equestrian sport.
- 4. If an FEI-named Event has a title sponsor, the sponsor's name may appear in conjunction with the relevant title.
- 5. FEI Championships, FEI World  $Cup^{TM}$  Finals, FEI Super League Series and FEI Nations Cup Series must use the official logos of the FEI on all posters and on the front page of all schedules and programmes.
- 6. CIOs must use the official logos of the FEI on all posters and on the front page of all schedules and programmes.

# Article 134 - Sponsored Teams

1. In order to take part in FEI Events, Sponsored Teams must be registered with the FEI in accordance with the relevant Sport Rules.

# Article 135 - Advertising and Publicity on Athletes and Horses

- 1. At all Events, except Regional and Olympic Games under the patronage of the IOC (see Olympic Regulations for Equestrian Events at Olympic Games), Athletes may wear the identification (name and/or logo) of the manufacturer of clothing and equipment or as an alternative that of a sponsor as outlined below:
- 1.1. Identification of the Manufacturer
- 1.1.1. While present in the Competition area and during the prize-giving ceremonies the identification of the manufacturer of the clothing and equipment may appear only once per item and may appear on a surface area not exceeding:
- a) 3 cm<sup>2</sup> for clothing and equipment;
- b) 50 cm<sup>2</sup> on each side of carriages.
- 1.1.2. If the manufacturers of clothing and equipment act as sponsors, the provisions under paragraph 1.2 of this article apply.
- 1.2. Identification of Sponsors
- 1.2.1. While present in the Competition area and during the prize-giving ceremonies the name and/or logo of the individual's sponsor(s) and/or team sponsor(s) may appear on a surface area not exceeding:
- a) 400 cm<sup>2</sup> on each side of carriages and vaulting back pads;
- b) 200 cm<sup>2</sup> on each side of the saddle cloth;
- c) 80 cm² only once on jackets or top garments at the height of breast pockets except during the endurance test of Eventing and Endurance Events;
- d) 80 cm<sup>2</sup> on each of the two sides of jackets or top garments at the height of breast pockets for Jumping and Dressage Events.
- e) 100 cm<sup>2</sup> only once on Vaulting outfits;
- f) 16 cm<sup>2</sup> on both sides of the shirt collar;
- g) 200 cm² on arm of top garment for the endurance tests of Eventing and Endurance Events.
- 1.2.1.1. OCs of FEI Championships may state in the schedule that such logos are not permitted, with the exception of the names and logos of the team sponsors under the limitations of 135.1.2.1.
- 1.2.1.2. OCs of CIOs may state in the schedule that such logos are not permitted in Nations Cup classes, with the exception of the names and logos of the team sponsors under the limitations of 135.1.2.1.
- 1.2.2. For the Marathon Phase of Driving Events only, see the Sport Rules for Driving.
- 1.2.3. The OC may display the name and/or logo of a Competition and/or Event sponsor(s) on members of the arena party and on the numbers worn by Athletes and on stable rugs while present in the Competition area and during the prize-giving ceremonies at all FEI Events. The size of name and/or logo on the Athlete's number shall not exceed 100 cm<sup>2</sup>.
- 2. No advertisement or publicity other than logos defined in paragraph 1 above may be displayed on any Athlete, Official, Horse or carriage while present in any Competition arena or during the performance. However, Athletes inspecting the course may wear the logo of their sponsor within a frame not exceeding 400 cm² on the front and back of their top garments and within a frame not exceeding 50 cm² on head gear.

- 3. Advertising may appear on obstacles and on the sides of the arena provided the TV agreement allows for it. Specifications for sponsored obstacles are covered in the Sport Rules for the relevant Discipline.
- 4. For the purposes of this article, the Competition area shall include all areas where the Athlete is being judged or his Horse is undergoing a Horse/veterinary inspection. It shall not include collecting rings, the "10 minute box" in Eventing, the holds at the veterinary gates in an Endurance Event or the compulsory rests in a Driving Event.

#### **CHAPTER V - HORSES**

## Article 136 - Age of Horses

1. Age restrictions on Horses taking part in FEI competitions shall be specified in the relevant Sport Rules.

# **Article 137 - Horse Passports**

- 1. Every Horse entered for any Competition at CNs or CIMs (see Appendix E) in a foreign country (see GRs 139.2), and all Horses entered for other CIs, CIOs, FEI Championships, Regional, Olympic Games and Paralympic Games, whether at home or in foreign countries (see GRs 141.2), must have an official, valid FEI Passport, or a National Passport approved by the FEI and accompanied by an FEI Recognition Card and, when applicable, must be registered with the FEI, as a means of identification and to establish ownership.
- 2. Horses taking part in CNs and CIMs (see Appendix E) in their country of residence are not required to have such a passport as is mentioned in paragraph 1. All such Horses must be properly registered with the FEI and identifiable and, unless there is no national requirement for equine influenza vaccination in the host country and in the country of origin all Horses must have a valid vaccination certificate.
- 3. All FEI and/or National Passports must include the full name, address and signature of the Owner as registered by the NF. The description of the Horse and the diagram must be accurately completed, and the FEI and/or National Passport must include a record of all vaccinations and medication control tests. Whenever the name of a Horse with an FEI and/or National Passport is changed, or any pertinent changes are made to an FEI and/or National Passport, the NF must notify the FEI.
- 4. NFs are responsible for issuing FEI Passports and FEI Recognition Card for all Horses required to have them and for ensuring that the FEI and/or National Passports are correctly completed, as specified in the Veterinary Regulations, prior to being authenticated by the stamp of the NF and the signature of an Official of the NF. NFs must provide the identification page to the FEI for registration. The Person Responsible for a Horse at an Event is responsible for the accuracy of the FEI and/or National Passport and for presenting it at the Passport Control, except for Events with borrowed Horses (Art.111) where it is the responsibility of the host NF.
- 5. A Horse may only have one FEI Passport or one National Passport with an FEI Recognition Card as per paragraph 1 of this Article and one permanent FEI number. If an NF is satisfied that an FEI and/or National Passport has been lost or if any section of the FEI and/or National Passport is full, it may issue an FEI and/or National Passport clearly marked "duplicate" and bearing the same number as the original FEI Passport. The issue of a duplicate FEI and/or National Passport must be reported to the FEI (see VRs).
- 6. OCs must arrange for each Horse to be positively identified at the Passport Control during the examination on arrival, in accordance with the VRs. Any case of misleading or inaccurate information in an FEI and/or National Passport, or if the Horse cannot be positively identified, must be reported to the President of the Appeal Committee, who must report the case

to the Secretary General, giving the number of the FEI and/or National Passport with the FEI Recognation Card and the name of the Horse.

- 7. If any Horse arrives at a CI, CIO, FEI Championship, Regional Games or Olympic Games without an FEI and/or National Passport with an FEI Recognition Card, or if its FEI or National Passport is inaccurate, it must not be allowed to compete unless the Appeal Committee (or the Ground Jury if there is no Appeal Committee) gives its Approval. Only in exceptional circumstances may a Horse without an FEI or National Passport or without an FEI Recognition Card be allowed to compete.
- 8. All Horses taking part in FEI Events must be registered with the FEI.

#### Article 138 - Names of Horses

- 1. In principle, the first registered name of a Horse entered in the passport shall be the original name under which it was originally registered by its NF, and this name must remain permanently in the passport.
- 2. The first registered name may not be the name of a commercial company or product. If this name is considered to be the name of a commercial company or product, a new first registered name must be entered and remain permanently in the passport.
- 3. A commercial prefix or suffix may be added to the name mentioned in paragraphs 1 and 2 above, and must be entered in the passport. However, at Regional and Olympic Games under the patronage of the IOC, such prefix or suffix cannot appear in a programme or be used in any other way.
- 4. If a new first name shall be used and entered in the passport and/or the commercial prefix/suffix be changed, the Horse must carry the new name and/or the new prefix/suffix for the next twelve (12) months as follows: new name and/or new prefix/suffix ex previous name/prefix/ suffix (see VRs).

#### **Article 139 - Owners and Lessees of Horses**

- 1. NFs must keep a register of the Owners and lessees of Horses with official passports. Changes of Owners and records of Leasing Agreements must be entered in the FEI and/or National Passport and authenticated by the stamp and signature of an official of the NF.
- 2. The nationality of a Horse is that of its Owner or of the lessee, where a lease is current, or of the country in which the company owning or leasing it is registered. A company may, however, own a Horse in partnership with an individual, see paragraph 3.
- 3. When one or more Horses belong to a partnership of Owners of different nationalities, the Owners must declare to the FEI, before making the first entry, the nationality under which the Horse or Horses will compete and that nationality must be entered in the FEI and/or National passport. The Horses must retain that nationality until the partnership is dissolved or the Horses are sold. Any consequent change of nationality must be reported to the FEI.
- 4. Horses entered for the Olympic Games must be the property of Owners of the same nationality as the Athlete by 31<sup>st</sup> December of the year preceding the Games (see Olympic Regulations).
- 5. Athletes may take part in all Competitions except Olympic Games with Horses belonging to Owners of a different nationality (see also Art. 118 Person Responsible).

# CHAPTER VI – PROTECTION OF ATHLETES AND HORSES

#### **Article 140 - Protection of Athletes**

1. The Ground Jury after consultation with the responsible Medical Officer may at any time excludes from further participation in a Competition or an entire Event any Athlete who is unfit to continue by reason of a serious or potentially serious injury or health condition.

#### **Article 141 - Protection of Horses**

1. In cases of illness or injury during an Event the Ground Jury will decide, after consulting the Veterinary Delegate or Commission, whether the Horse may continue in that or subsequent Competitions.

#### Article 142 - Abuse of Horses

- 1. No person may abuse a Horse during an Event or at any other time. "Abuse" means an action or omission which causes or is likely to cause pain or unnecessary discomfort to a Horse, including without limitation any of the following:
- To whip or beat a Horse excessively;
- To subject a Horse to any kind of electric shock device;
- To use spurs excessively or persistently;
- To jab the Horse in the mouth with the bit or any other device;
- To compete using an exhausted, lame or injured Horse;
- To "rap" a Horse.
- To abnormally sensitise or desensitise any part of a Horse;
- To leave a Horse without adequate food, drink or exercise;
- To use any device or equipment which cause excessive pain to the Horse upon knocking down an obstacle.
- 2. Any person witnessing an Abuse must report it in the form of a protest (Art. 163) without delay. If an Abuse is witnessed during or in direct connection with an Event, it should be reported as a protest (Art. 163) to an Official. If the Abuse is witnessed at any other time it should be reported as a protest (Art. 163) to the Secretary General for referral to the FEI Tribunal.

# Article 143 - Medication Control and Anti-Doping

- 1. Medication Control and Anti-Doping provisions are stated in the Anti-Doping Rules for Human Athletes, in conjunction with The World Anti-Doping Code, and in the Equine Anti-Doping and Medication Control Rules.
- 2. The Decision as to whether a Horse may compete in an Event when under treatment or medication with a Prohibited Substance is made by the President of the Ground Jury on the recommendation of the Veterinary Delegate or Commission according to the procedures set out in the VRs.

#### **CHAPTER VII - EVENT OFFICIALS**

## Article 144 - Judges

- 1. A Judge is a Member of a Ground Jury appointed to control a Competition or Event. The number and Category of Judges to be appointed as members of a Ground Jury for an International Competition or Event are laid down in the GRs, and the Sport Rules for each Discipline.
- 2. There shall be three (3) categories of Judges: Official International Judges, International Judges and International Candidate Judges.

The necessary qualifications for each Category are laid down in the Sport Rules for each Discipline.

- 3. NFs are required to send to the Secretary General the names, qualifications and nationality of persons who are recommended to exercise the functions and fulfil the duties required of International Judges and International Candidate Judges for the first time, according to the requirements laid down in the Sport Rules for each Discipline.
- 4. Judges of all categories must retire at the end of the year in which they reach the age of 70. In special circumstances and at the Decision of the relevant Technical Committee, this age limit may be extended.
- 5. Judges in each Category may not fill Appointments at a higher level than laid down in the GRs or the relevant Sport Rules.

## Article 145 - International Candidate Judge

- 1. The FEI maintains a List of all qualified International Candidate Judges who have been recommended by their NFs and accepted by the FEI Headquarters in cooperation with the relevant Technical Committee.
- 2. The qualifications and duties of an International Candidate Judge are specified in the Sport Rules of each Discipline.

# Article 146 - International Judge

- 1. The FEI maintains a list of qualified International Judges appointed by the relevant Technical Committee after consultation with the relevant NFs.
- 2. The qualifications and duties of an International Judge are specified in the Sport Rules for each Discipline.
- 3. The Sport Rules of each Discipline may specify the qualifications required to be a member or the President of the Ground Jury at different types and categories of international Events.
- 4. Unless the Sport Rules of the relevant Discipline otherwise provide, only an International Judge may be appointed as a member of the Ground Jury for a Championship or a FEI World Cup™ final or as the President for other International Events.
- 5. An International Judge may be appointed as a member of the Ground Jury for a Championship and a FEI World  $Cup^{TM}$  Final and as the President for all other International Events.

## Article 147 - Official International Judge

- 1. The FEI maintains a List of Official International Judges selected by the FEI Headquarters from the List of International Judges on the recommendation of the relevant Technical Committee, based on technical merit and experience.
- 2. The Sport Rules of each Discipline may with the Approval of the FEI Headquarters specify the Events at which the President of the Ground Jury must be an Official International Judge.
- 3. Unless the Sport Rules of the relevant Discipline otherwise provide, only an Official International Judge, provided he can speak one of the two (2) official languages fluently, may be appointed as President of the Ground Jury for FEI World Cup™ Finals, FEI Championships for Seniors, Regional and Olympic Games.

# Article 148 - Foreign Judge

- 1. A Foreign Judge is required to be appointed for certain International Competitions and Events according to the GRs, and Sport Rules.
- 2. The President or a member of a Ground Jury is considered to be foreign if he is of a different nationality, from that in which the Event is taking place. The FEI Secretary General may grant exceptions from this article where this is deemed appropriate. Additional restrictions may apply as per the Sport Rules of the relevant Discipline.
- 3. A Foreign Judge must be selected from the appropriate Lists of Judges.
- 4. The Foreign Judge, in addition to judging the Competitions, may offer advice to the OC on the general running of the Event and has the authority to interpret the Statutes, GRs and Sport Rules and to see that they are observed. The Foreign Judge is required to send a report to the Secretary General on the Competitions and on the organisation of the Event as a whole. 5. If a Technical Delegate is not appointed, the Foreign Judge at an Event, apart from judging the Competitions, is required to check, observe and follow-up all matters relating to the organisation and running of the Event unless restricted in these GRs or in the Sport Rules for the specific Discipline.

# Article 149 - Ground Juries - Appointment

- 1. The Ground Jury shall consist of a President and a number of Judges of the appropriate categories as laid down in the Sport Rules for each Discipline. The Ground Jury is responsible for the technical judging of all Competitions and for all other Decisions except where otherwise stated in the Statutes, GRs or relevant Sport Rules.
- 2. A Ground Jury is considered to be international if the President or one of the Judges, is a Foreign Judge, unless more than one Foreign Judge is required for a particular Event by the Sport Rules for that Discipline.
- 3. International Ground Juries are optional at CIMs (see Appendix E) but compulsory at other international Events.
- 4. In exceptional circumstances the Secretary General may authorise CIs outside Europe to be organised without the presence of a Foreign Judge.

- 5. The President and members of Ground Juries at International Events shall be appointed by the relevant NF/OC, unless otherwise stated in this article or in the relevant Sport Rules.
- 6. The Foreign Judge for CIs (W) and CIOs must be nominated by the FEI Headquarters in cooperation with the Technical Committee for Appointment by the relevant NF/OC.
- 7. The FEI Headquarters shall, with the cooperation of Technical Committees, appoint the Presidents of Ground Juries for:
- 7.1. FEI World Cup Finals and other FEI-named Events or Competitions;
- 7.2. FEI Continental and FEI Regional Championships of all Disciplines and categories, unless not scheduled in the relevant Sport Rules;
- 7.3. Regional and Continental Games.
- 8. The FEI Headquarters shall, with the cooperation of Technical Committees, appoint the Presidents and the members of the Ground Juries for:
- (i) FEI Continental Dressage Championships for Ponies, Juniors, Young Riders and Seniors;
- (ii) Dressage Competitions at Regional Games;
- (iii) FEI Continental Vaulting Championships;
- (iv) FEI World Championships for all Disciplines;
- (v) FEI World Cup™ Dressage Finals;
- (vi) Olympic Games.
- 9. In the Event of any Official appointed by the FEI Headquarters being unable to attend, the FEI Headquarters in cooperation with the Chair of the relevant Technical Committee is responsible for selecting a substitute.
- 10.A judge may not carry out any functions at the same Event that are primarily of a national character.
- 11. The duties of the Ground Jury are set out in Art. 159.

# **Article 150 - Appeal Committee - Appointment**

- 1. An Appeal Committee consisting of a President and at least two members shall be appointed for all International Events unless the Sport Rules of the relevant Discipline provide otherwise. The NF of an Event must be consulted about the dispensation of the Appeal Committee.
- 2. The Appeal Committee must be international (i.e. the President or one of the members must be foreign) for FEI World  $Cup^{TM}$  Finals, FEI Championships, Regional and Olympic Games.
- 3. Appointments:
- 3.1. The FEI Headquarters shall appoint the Presidents and all the members of Appeal Committees for FEI World Equestrian Games and Olympic Games.
- 3.2. The FEI Headquarters shall, with the cooperation of Technical Committees, appoint the President of the Appeal Committee for FEI World Cup™ Finals, FEI Championships and Regional Games.
- 3.3.The FEI Headquarters in cooperation with Technical Committees shall appoint the members of the Appeal Committee for FEI World  $Cup^{TM}$  Finals, FEI Championships and Regional Games.
- 4. The Presidents and Members of the Appeal Committees must have expertise in equestrian Events. At least one of them must be or have been an International Judge or International Technical Delegate and one should have knowledge in legal matters. They shall be chosen from among the following:
- 4.1. The Members of the Bureau;

- 4.2. The Members or former Members of the Standing Committees;
- 4.3. Persons on any of the FEI Lists of Officials, active or retired Judges, Stewards, Technical Delegates, Course Designers and Event Veterinarians;
- 4.4. Persons of the host NF who are qualified and expert as required above.
- 5. In the Event of any Official appointed by the FEI Headquarters being unable to attend, the FEI Headquarters in cooperation with the Chair of the relevant Technical Committee is responsible for selecting a substitute.
- 6. The duties of the Appeal Committee are set out in Art. 160.
- 7. For CIMs, the Appeal Committee may be nominated by the NF.

## Article 151 - International Course Designers

- 1. The FEI maintains lists of Official International, International and International Candidate Course Designers appointed by the relevant Technical Committee after consultation with the relevant NFs.
- 2. The qualifications necessary to be appointed an Official International, International and an International Candidate Course Designer are laid down in the relevant Sport Rules.
- 3. NFs are required to send to the Secretary General the names and qualifications of persons of their nationality under the age of 60 who are recommended to be accepted for the first time by the relevant Technical Committee as International Candidate Course Designers according to the requirements laid down in the relevant Sport Rules.
- 4. The Course Designer is responsible to the Technical Delegate if one is appointed or to the President of the Ground Jury, for laying out and measuring the course and for building all obstacle.
- 5. The Course Designer must report to the Technical Delegate if one is appointed or to the President of the Ground Jury, when he is satisfied that the course is ready in all respects.
- 6. The Ground Jury may not order a Competition to start until either the Technical Delegate or the Course Designer has reported that the course is ready. Thereafter, the Ground Jury is solely responsible for the conduct of the Competition.
- 7. The Sport Rules of each relevant Discipline may specify the qualifications required by Course Designers to be appointed for different types and categories of International Events and the bodies by which they are to be appointed.
- 8. With the exception of Appointments under paragraph 9 below and unless the Sport Rules of the relevant Discipline provide otherwise, the Course Designer at International Events shall be appointed by the relevant NF/OC.
- 9. The Course Designers for FEI World Cup™ Finals, FEI World Championships and Olympic Games shall be selected from the FEI list of Course Designers and appointed by the relevant NF/OC with the agreement of the Bureau and the relevant Technical Committee.

# **Article 152 - Technical Delegates**

- 1. The FEI maintains lists of Technical Delegates appointed by the relevant Technical Committee after consultation with the relevant NFs.
- 2. The provisions pertaining to the Technical Delegates are specified in the Sport Rules of the relevant Disciplines.

## **Article 153 - Appointment Of Technical Delegates**

- 1. The Appointment of Foreign Technical Delegates for CIs and CIOs is not compulsory, unless stated otherwise in paragraph 3 below or in the relevant Sport Rules, but the Foreign Judge may act as a Technical Delegate if the OC invites him to do so.
- 2. The Sport Rules of each Discipline may provide for the Appointment of the Foreign Technical Delegate at different types and categories of Events.
- 3. Unless the Sport Rules of the relevant Discipline provide otherwise, the FEI Headquarters shall, with the cooperation of the Technical Committee, appoint the Foreign Technical Delegates, selected from the appropriate FEI lists, for:
  - (i) All FEI World Cup™ Finals;
  - (ii) All FEI Championships;
  - (iii) Each Discipline at Regional and Olympic Games.

## **Article 154 - Veterinary Delegates**

- 1. Veterinary Delegates are nominated by the NFs and must be appointed according to the VRs.
- 2. The Veterinary Committee approves the Appointments, categorises the veterinarians according to their experience to officiate in the different Disciplines and maintains the list of Event Veterinarians.
- 3. The NFs/OCs shall appoint the Foreign Veterinary Delegates for CIOs, Regional Games and Continental Games.
- 4. The FEI Headquarters shall, with the agreement of the Technical Committee, appoint the Foreign Veterinary Delegates for all FEI World Cup™ Finals, FEI Championships and Olympic Games.

#### **Article 155 - Stewards**

- 1. OCs of all International Events must appoint a Chief Steward and an appropriate number of Stewards under the authority of the Chief Steward, wearing distinctive identification such as badges, arm bands, bibs, etc, with complete freedom of access to all areas mentioned in paragraph 3 below.
- 2. OCs are responsible for all administrative matters relating to the Appointment of the Chief Steward and the Stewards.
- 3. During the whole Event in every part of the stables, in exercise and schooling areas, collecting rings and all other areas under control of the OC, the Chief Steward and the Stewards officiating with him at the Event shall:
- (i) assist the Athletes in conducting reasonable training;
- (ii) intervene in time in order to prevent any abuse of Horse by riders, grooms, owners or any other person;
- (iii) intervene in order to prevent any contravention of the Statutes, GRs or Sport Rules or of the common principles of behaviour, fairness and accepted standards of sportsmanship;
- (iv) be familiar and assist with doping and medication control procedures.
- 4. One Steward must be on duty at the collecting ring whenever it is in use.
- 5. Any irregularities must be reported immediately by the Chief Steward to the President of the Ground Jury.

- 6. The Chief Steward is required to send a report to the Secretary General on the stewarding of the Event as a whole and on any incident which occurs during that Event. A copy of the report must be given to the Technical Delegate, to the NF and to the OC of the Event.
- 7. The Chief Steward must be a person experienced in equestrian sports, particularly in the Discipline of the Event where he is appointed to officiate. He must speak at least one (1) official language of the FEI. The name of the appointed Chief Steward must be published in the schedule and in the programme of the Event.
- 8. The FEI maintains a list of all qualified FEI Stewards who have been recommended by their NFs and accepted by the relevant Technical Committee. The Chief Stewards must be selected from the appropriate list of FEI Stewards.
- 9. The qualifications for an FEI Steward are specified in the Sport Rules of each Discipline or in the FEI Stewards Manual.
- 10. The NFs must appoint a Steward General in order to maintain communication with the FEI on stewarding matters. The FEI maintains a list of all Stewards General.
- 11. The Steward General must:
- (i) attend an FEI seminar for Steward Generals;
- (ii) direct and control the stewarding of all International Events organised in his country.
- 12. Stewards are Event Officials.

## Article 156 - Status and Liability Of Officials

- 1. Any judge or other Official may be removed from a list or from office or demoted by the body which appointed him or by his NF. This paragraph confers no power of removal on the OC of an Event.
- 3. Any person who was elected to an office by the General Assembly may, on the proposal of the Bureau, be removed from that office at an Ordinary Session, or in exceptional circumstances at a Special Session of the General Assembly.
- 4. The Secretary General shall notify in writing any person whom it is proposed to remove or demote and that person may make oral and/or written representations against the proposal at the meeting of the Bureau or the Session of the General Assembly at which the proposal is to be considered.
- 5. All Officials acting at or in relation to an International Event are acting on behalf of the FEI and therefore are not liable financially or otherwise for any acts, omissions or Decisions undertaken in good faith in connection with their duties.

#### **CHAPTER VIII - THE LEGAL SYSTEM**

#### **Article 157 - Preamble**

- 1. The Legal System provides for the following:
- 1.1. The legal powers and responsibilities of Officials and bodies appointed under the Statutes, GRs and Sport Rules;
- 1.2. A scale of penalties;
- 1.3. A procedure for lodging protests and reports against the actions or behaviour of individuals or bodies under the jurisdiction of the FEI;
- 1.4. A process of Appeal to a higher body against Decisions taken or penalties imposed by any person or body acting under the Statutes, GRs or Sport Rules.
- 2. Before reaching a Decision on any protest or Appeal the relevant body must examine the available evidence, whether written or oral, hear all the parties concerned (provided they are available) and take into account all relevant material, in every case trying to reach a fair and equitable Decision.

#### Article 158 - Conflict of Interest

1. A substantial appearance of a conflict of interest exists whenever others may reasonably infer from the given circumstances that a conflict exists. A conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of the FEI.

Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to qualify Officials, the specific balance between conflict and expertise shall be regulated by the relevant Sport Rules.

# **Article 159 - Ground Jury - Duties**

- 1. The Ground Jury shall be competent to deal with all Protests and Reports which relate to anything occurring during or in direct connection with an Event and are presented within the period of its jurisdiction.
- 2. The period of jurisdiction of the Ground Jury commences one (1) hour before the beginning of the first Horse inspection and terminates, so far as each Discipline is concerned, half an hour after the announcement of the final results in that Discipline.
- 3. In appropriate cases involving veterinary matters and in the absence of an Appeal Committee, the President of the Veterinary Commission or the Veterinary Delegate at an Event must be invited to join the Ground Jury in an advisory capacity.
- 4. The Ground Jury may impose the following penalties on Officials (other than those appointed by the FEI), Owners of Horses, Persons Responsible, Athletes and team officials:
- 4.1. A Warning:
- 4.2. A fine of a maximum of CHF 2,000.- to be paid to the FEI;
- 4.3. Disqualification with one or more Horses from the Competition;

- 4.4. Suspension with one or more Horses for the following 24 hours of an Athlete whose Horse has left without permission the restricted area. The Horse in question will automatically be disqualified for the rest of the Event;
- 4.5. In serious cases, immediate disqualification during the Event with a referral to the Appeal Committee (or to the FEI Tribunal if there is no Appeal Committee).
- 5. Decisions must be notified in writing to the parties concerned. Cases involving penalties must be recorded by the Foreign Judge.
- 6. There is no Appeal against Decisions of the Ground Jury in the following cases:
- 6.1. Where the question for Decision is a factual observation of performance during a Competition or the award of marks for performance;

Examples (which are not exhaustive): whether an obstacle was knocked down, whether a Horse was disobedient, whether a Horse refused at an obstacle or knocked it down while jumping, whether a rider or Horse has fallen, whether a Horse circled in a combination or refused or ran out, what was the time taken for the round, or whether an obstacle was jumped within the time; whether, according to the Sport Rules, the particular track followed by an Athlete has caused him to incur a penalty.

- 6.2. The elimination of a Horse for veterinary reasons, including non-acceptance of a Horse at a Horse Inspection;
- 6.3. The imposition of a Warning without additional penalties;
- 6.4. Immediate disqualification during an Event.
- 7. The Ground Jury shall refer the following to the Appeal Committee:
- 7.1. Any case which is beyond its competence;
- 7.2. Any case, within its competence which it considers deserving of more severe penalties than the Ground Jury may impose;
- 7.3. Any matter concerning alleged acts of abuse of Horses the Decision of which is not immediately required for the purpose of judging a Competition and which, having regard to its primary duty to judge the Competition, it deems more appropriate to be dealt with by the Appeal Committee.

# **Article 160 - Appeal Committee - Duties**

- 1. The President and at least 2 members of the Appeal Committee must be available throughout the Event as defined in Art. 101 paragraph 5 which corresponds to its period of jurisdiction. If a protest lodged with the Ground Jury is still pending, the Appeal Committee must be available and has jurisdiction until one hour after the relevant Decision of the Ground Jury has been announced.
- 2. The Appeal Committee shall deal with the following matters:
- 2.1. Appeals against Decisions of the Ground Jury, except as specified in Art. 163.6. In these cases the Decision of the Appeal Committee is final;
- 2.2. Protests addressed to the Appeal Committee or referred to it by the Ground Jury, and all cases which are beyond the competence of the Ground Jury.
- 2.3. Reports of passport irregularities in accordance with Article 137.5.
- 3. In appropriate cases involving veterinary matters the President of the Veterinary Commission or the Veterinary Delegate at an Event must be invited to join the Appeal Committee in an advisory capacity.
- 4. The Appeal Committee may impose the following penalties on Officials, Owners of Horses, Persons Responsible, Athletes and team officials:

- 4.1. A Warning;
- 4.2. A fine of a maximum of CHF 4,000.- to be paid to the FEI;
- 4.3. Disqualification with one or more Horses from a Competition or from the whole Event;
- 4.4. Suspension with one (1) or more Horses for the following 24 hours of an Athlete whose Horse has left without permission the restricted area. The Horse in question will automatically be disqualified for the rest of the Event;
- 4.5. In serious cases, immediate disqualification with one (1) or more Horses from a Competition or from the whole Event with a referral to the Secretary General (for referral to the FEI Tribunal).
- 5. The Appeal Committee shall report to the Secretary General for referral to the FEI Tribunal:
- 5.1. Any case which is beyond its competence.
- 5.2. Any case within its competence, other than an Appeal, which it considers deserving of more severe penalties than the Appeal Committee may impose;

#### Article 161 - FEI Tribunal

- 1. The competence of the FEI Tribunal is defined in the Statutes (See Article 34).
- 2. The FEI Tribunal may impose the following penalties:
- (i) A Warning;
- (ii) A fine up to a maximum of CHF 20,000.- to be paid to the FEI;
- (iii) Disqualification with one (1) or more Horses from Competitions or from Events;
- (iv) Suspension of a body for any period;
- (v) Suspension of individuals and Horses for any period up to Suspension for life.

# Article 162 - Court of Arbitration for Sport (CAS)

- 1. The CAS has the power to impose the same scale of penalties as the FEI Tribunal.
- 2. The CAS may impose more severe penalties than those imposed in the first instance, provided they are within the limits of the penalty jurisdiction of the body from which the Appeal to the CAS is brought.

#### **Article 163 - Protests**

- 1. Protests may be lodged against any person or body involved in any capacity in an International Event or otherwise subject to the jurisdiction of the FEI including for failure to observe the Statutes, GRs or Sport Rules or violation of the common principles of behaviour, fairness, or accepted standards of sportsmanship, whether occurring during or in connection with an International Event or at any other time.
- 2. Protests may only be lodged by Presidents of NFs, Officials, Chefs d'Equipe or, if a Chef d'Equipe is not present, by a Person Responsible or a Team Veterinarian responsible for Horses taking part in the Event, with the exception of protests for abuse which may be lodged by any person or body.
- 3. Protests must be lodged with the Ground Jury during its period of jurisdiction for failing to observe the Statutes, GRs or Sport Rules in the

organisation or conduct of a Competition, including the matters referred to in paragraph 7 below. Protests for other matters must be lodged with the Appeal Committee during its period of jurisdiction or with the Ground Jury if there is no Appeal Committee.

- 4. Protests regarding matters which have not occurred during or in direct connection with an International Event or which were not known until after the end of the Event, shall be reported to the Secretary General and dealt with by the FEI Tribunal. A case shall only be deemed to occur in direct connection with an Event if it occurs during the journey towards the Event or, after arrival, including during the period of quarantine, training or acclimatisation.
- 5. Protests lodged with the Secretary General for referral to the FEI Tribunal should be received by the Secretary General not later than fourteen (14) days after the end of the Event.
- 6. Protests must be in writing, signed by the authorised person making the protest, and presented personally to the President of the Ground Jury, to the President of the Appeal Committee or sent to the Secretary General, as the case may be, together with any supporting evidence, names of witnesses and the necessary deposit.
- 7. Notwithstanding any provision to the contrary, protests against any of the following matters may only be lodged with the Ground Jury and within the following time limits, and a Decision by the Ground Jury in these matters is a prerequisite to a right of Appeal to the Appeal Committee:
- 7.1. Protests concerning the eligibility of an Athlete or Horse or to the conditions of the arena: not later than thirty (30) minutes before the start of the relevant Competition;
- 7.2. Protests concerning an obstacle, or the plan or length of the course for a jumping Competition or the obstacle Phase of a driving Competition: not later than fifteen (15) minutes before the start of the Competition;
- 7.3. Protests concerning the steeplechase or cross-country obstacles or courses in Eventing or marathon course or obstacles in Driving, or the course in Endurance not later than 6:00 pm on the day before the relevant Competition;
- 7.4. Protests concerning irregularities or incidents during a Competition, or the results of a Competition: not later than thirty (30) minutes after the announcement of the results.
- 8. Notwithstanding anything to the contrary, the Secretary General may, in special circumstances which in his sole discretion warrant a Decision, refer to the FEI Tribunal a protest against any person or body made by any person or body or on his own initiative, at any time, in regard to any matter and even without the payment of a deposit.
- 9. Any person making a protest should, if possible, secure witnesses to the incident and any other form of evidence, and either bring them to the body before whom the protest is lodged, or obtain written statements from them, duly signed, together with their names and addresses.
- 10. The Foreign Judge, Technical Delegate, Veterinary Delegate and Foreign Veterinary Delegate must report to the Secretary General all acts or omissions constituting a protest (without a deposit).

## **Article 164 - Reports**

1. Officials are required to send reports to the Secretary General at the conclusion of Events, in accordance with these GRs and the applicable Sport Rules. Matters giving rise to Protests must be included in such reports.

## Article 165 - Appeals

- 1. An Appeal may be lodged by any person or body with a legitimate interest against any Decision made by any person or body authorised under the Statutes, GRs or Sport Rules, provided it is admissible (see paragraph 2 below):
- 1.1. With the Appeal Committee (or with the FEI Tribunal if there is no Appeal Committee) against Decisions by the Ground Jury.
- 1.2. With the FEI Tribunal through the Secretary General against Decisions by the Appeal Committee or by one member of the FEI Tribunal;
- 1.3. With the CAS against Decisions by the FEI Tribunal. The person or body lodging such Appeal shall inform the Secretary General and provide him with copies of the Appeal papers.
- 2. An Appeal is NOT admissible:
- 2.1. Against Decisions by the Ground Jury in cases covered by Art. 159.6.1–4 (or in cases in relation to the arena, an obstacle or the course if there is no Appeal Committee);
- 2.2. Against Decisions of the Appeal Committee on Appeals arising from Decisions made by the Ground Jury.
- 2.3. If there is no Appeal Committee, against Decisions made by the FEI Tribunal on Appeals arising from Decisions made by the Ground Jury.
- 3. Appeals to the Appeal Committee must be in writing, signed and accompanied by supporting evidence in writing or the presence of one or more witnesses and must be lodged not later than one (1) hour after the Decision of the Ground Jury.
- 4. If there is no Appeal Committee, Appeals to the FEI Tribunal must be in writing, signed and accompanied by supporting evidence in writing or the presence of one or more witnesses and must reach the Secretary General not later than fourteen (14) days after the end of the Event. So far as Competitions are concerned, the right of Appeal is limited to questions of eligibility of an Athlete or Horse and questions involving the interpretation of the Sport Rules.
- 5. Appeals to the FEI Tribunal must be despatched to the Secretary General and signed by the appellant or his authorised agent and accompanied by supporting evidence in writing or the presence of one or more witnesses at a designated hearing and must reach the Secretary General within thirty (30) days of the date on which the Secretary General's notification of the earlier Decision was sent.
- 6.1. Appeals to the CAS together with supporting documents must be despatched to the CAS Secretariat pursuant to the Procedural Rules of the CAS Code of Sports-related Arbitration:
- 6.2. So as to reach the CAS within thirty (30) days of the date on which the Secretary General's notification of the FEI Tribunal Decision was received by the National Federation of the Person Responsible;
- 6.3. A copy of the statement of Appeal should be sent concurrently to the Secretary General;

- 6.4. Appeals reaching the CAS after the time limits as indicated above will not be considered.
- 7. No new evidence may be presented on Appeal, other than in circumstances where it is shown that such new evidence could not have been obtained, with reasonable diligence, prior to the hearing before the first instance.

## **Article 166 - Deposits**

- 1. Protests and Appeals to the Ground Jury or the Appeal Committee must be accompanied by a deposit to the FEI of the equivalent of CHF 150.-.
- 2. Protests and Appeals to the FEI Tribunal must be accompanied by a deposit to the FEI of the equivalent of CHF 500.-.
- 3. No deposit is required for protests concerning an Abuse.
- 4. Protests and Appeals to the CAS must be accompanied by a deposit to the CAS of the equivalent of CHF 500.- pursuant to the CAS Code of Sports-related Arbitration.

## Article 167 - Recording Protests, Appeals and Penalties

- 1. The Foreign Judge (Technical Delegate for Eventing) must include in his report to the Secretary General all reports and protests received by the Ground Jury and all Decisions and penalties awarded by the Ground Jury on these and other relevant matters.
- 2. The President of the Appeal Committee must report to the Secretary General all protests, reports and Appeals, received by the Appeal Committee and all Decisions and penalties awarded by the Appeal Committee on these and other relevant matters.
- 3. The Secretary General shall be responsible for:
- (i) Recording delivery of yellow warning cards and notifying any suspension thereof:
- (ii) Recording proceedings of the FEI Tribunal and Decisions of the CAS;
- (iii) Notifying the Decisions of these bodies, including the dates on which they become effective, to the parties concerned;
- (iv) Publication of all Decisions which he believes warrant publication or which have to be published.
- (v) Processing reports from Event Officials.

# **Article 168 - Time of Implementation of Decisions**

- 1. Decisions against which there can be no Appeal shall become effective immediately and must be notified as soon as possible to the persons or bodies concerned.
- 2. Decisions to which the parties concerned have formally renounced their right of Appeal shall become effective on the date when the renunciation reaches the FEI, provided such renunciation is made following the notification of the Decision.
- 3. Decisions taken by Ground Juries and Appeal Committees, which are subject to Appeal, shall be effective from the expiration of the time for lodging an Appeal or the earlier formal renunciation of the right of Appeal.

- 4. Notwithstanding any right of Appeal, Decisions taken by the FEI Tribunal in the first instance or Decisions taken on the second or final instance by the FEI Tribunal or the CAS may be made effective from the day of written notification to the persons and bodies concerned or on a specific date if the FEI Tribunal or the CAS so decides.
- 5. Decisions taken on the second or final instance by Appeal Committees shall be effective from the day of notification to the persons or bodies concerned.

#### Article 169 - Penalties

- 1. In deciding on the appropriate penalties to be imposed, the following factors shall be taken into consideration, together with any other relevant factors:
- 1.1. Whether the action or omission resulted in an unfair advantage to the offender or an Athlete:
- 1.2. Whether the action or omission resulted in a material disadvantage to any other person or body involved;
- 1.3. Whether the action or omission involved the maltreatment of Horses;
- 1.4. Whether the action or omission affected the dignity or integrity of any person involved in the sport;
- 1.5. Whether the action or omission involved fraud, violence or abuse or similar criminal acts.
- 1.6. Whether the action or omission was deemed to be deliberate.
- 2. An oral or written warning is appropriate in cases of minor violations or contraventions committed unintentionally and without significant consequences.
- 3. A fine is appropriate particularly in cases where the offender has acted negligently.
- 4. Disqualification is appropriate when it is specified in the Statutes, GRs or Sport Rules, or if the circumstances require an immediate action.
- 4.1. Disqualification from a Competition means that the Athlete and Horse or Horses concerned even should they change ownership are removed from the list of starters and the classification and includes the forfeiture of prize money won in that particular Competition.
- 4.2. Disqualification from an Event means that the Athlete and Horse or Horses concerned even should they change ownership may take no further part in that Event and it may include (in addition to what is mandated under paragraph 4.1.) the forfeiture of any prize money won in previous Competitions at that Event where this is provided for in the Statutes, GRs or Sport Rules.
- 5. A Suspension, on such terms and subject to conditions as the FEI Tribunal may impose, is appropriate in cases of intentional or very negligent violations or contravention. In certain cases Suspension may be automatic under the Statutes, GRs or Sport Rules.
- 5.1. Suspension must be for a stated period and during that period the person, Horse or body suspended may take no part in Competitions or Events as an Athlete, Horse or Official or in the organisation of, or participation in, any Event under the jurisdiction of the FEI or any Event under the jurisdiction of an NF in accordance with Statutes Art. 37.

5.2. In deciding when any Suspension will commence, the appropriate body shall, in order to achieve a just penalty, have regard to the gravity of the offence.

6.

- 6.1. Notwithstanding anything to the contrary indicated in paragraphs 3 to 5 above, the penalties provided for in the EADMCRs will be applicable in cases falling under EADMCR provisions.
- 6.2. Abuse of Horses in any form (rapping, abnormal sensitisation or desensitisation of limbs, banned schooling methods etc.) shall entail a fine of up to 15,000.- and/or a Suspension of a minimum of three (3) months up to life;
- 6.3. Incorrect behaviour towards Event Officials or any other party connected with the Event (other rider, journalist, public etc.) shall entail a fine of CHF 200.- to 10,000.- and/or a Suspension of a minimum of three (3) months up to twelve (12) months;
- 6.4. Fraud of any kind, violence and other acts defined as criminal by the national law prevailing at the Event shall entail a fine of CHF 1,000.- to 15,000.- and/or a Suspension of a minimum of one (1) month up to life.
- 7. In cases of offences mentioned in paragraphs 6.2 and 6.3 above and which are of a less serious nature and/or in cases specified in the Sport Rules:
- 7.1. The President of the Ground Jury, the President of the Appeal Committee and the Chief Steward, instead of instituting the procedures foreseen in the legal system, may deliver to the Person Responsible a yellow warning card, either by hand or by any other suitable means.
- 7.2. Should the same Person Responsible receive one more yellow warning card at the same or any other International Event within one year of the delivery of the first yellow warning card, the Person Responsible shall be automatically suspended for a period of two (2) months immediately following the Event at which the Person Responsible is competing. The Secretary General shall be responsible to notify the Person Responsible of such suspension.
- 8. The penalty imposed in any given case can consist of a combination of fine, Suspension and disqualification. The amount of a fine and the duration of a Suspension shall be decided according to the guidelines mentioned in paragraph 6 above and to the circumstances of the case.
- 9. All fines imposed by anybody under the Legal System are due to the FEI. They must not be paid to the OC or any other body but must be paid to the FEI on receipt of a demand. Any person who has not paid a fine within thirty (30) days of receiving a demand for payment will be automatically suspended until the fine is paid. If fines are inadvertently paid to the OC or any other person such fines shall be remitted to the FEI.
- 10. Decisions of the FEI Tribunal may also impose on unsuccessful parties the payment of costs borne by the FEI for the judicial procedure in the amount of CHF 500.- to 7,500.-. In addition, a party may be ordered to pay further costs not exceeding CHF 10,000.- if the costs of the procedures borne by the FEI have been increased by conducting a hearing or by excessive prolongation of the procedures or other exceptional cause. In the interests of fairness, the cost to the FEI of any hearing which is held in conjunction with other hearings or with an administrative meeting of the FEI Tribunal shall be separately calculated.

#### **APPENDIX A - Definitions**

**Abuse of Horse:** An action or omission which causes or is likely to cause pain or unnecessary discomfort to a Horse, including without limitation any of the following:

- To whip or beat a Horse excessively;
- To subject a Horse to any kind of electric shock device;
- To use spurs excessively or persistently;
- To jab the Horse in the mouth with the bit or any other device;
- To compete using an exhausted, lame or injured Horse;
- To "rap" a Horse.
- To abnormally sensitise or desensitise any part of a Horse;
- To leave a Horse without adequate food, drink or exercise;
- To use any device or equipment which cause excessive pain to the Horse upon knocking down an obstacle.

**Athlete:** Any person taking part in an FEI Event. Such person may be, including but not limited to a rider, a lunger, or a vaulter.

**<u>Athlete Living Abroad:</u>** An Athlete who spends more than six (6) months of a year in one foreign country (the host country).

<u>Category:</u> A group of Athletes for whom the Event or Competition is organised.

<u>Championship (CH-):</u> An Event for any Discipline and organised by NFs for Athletes from a well-defined geographical area. See Art. 104.

<u>Children:</u> Athletes who may take part in FEI Competitions for Children from the year they reach the age of 12 until the end of the year they reach the age of 14.

<u>Competition</u>: Refers to each individual class in which Athletes are placed in an order of merit and for which prizes may be awarded.

<u>Concours Complet d'Equitation (CC):</u> Any Event where the Competitions are exclusively for the Discipline of Eventing.

<u>Concours d'Attelage (CA):</u> Any Event where the Competitions are exclusively for the Discipline of Driving.

<u>Concours d'Endurance (CE):</u> Any Event where the Competitions are exclusively for the Discipline of Endurance Riding.

<u>Concours de Dressage (CD):</u> Any Event where the Competitions are exclusively for the Discipline of Dressage.

<u>Concours de Reining (CR):</u> Any Event where the Competitions are exclusively for the Discipline of Reining.

<u>Concours de Saut d'Obstacles (CS):</u> Any Event where the Competitions are exclusively for the Discipline of Jumping.

<u>Concours de Voltige (CV):</u> Any Event where the Competitions are exclusively for the Discipline of Vaulting.

<u>Concours International Combiné (CIC):</u> Any Event where the Competitions are exclusively for International One Day Event.

<u>Concours International (CI):</u> An International Event of any Discipline. See Art. 102.

<u>Concours International Mineur (CIM):</u> A Minor International Event. See Appendix E).

**Concours International Official (CIO):** An Official International Event of any Discipline. See Art. 103.

<u>Concours Hippique (CH):</u> Any Event which includes Competitions for more than one Discipline.

Concours National (CN): A National Event of any Discipline.

<u>Concours Para-Equestrian (CPE):</u> Any Event where the Competitions are exclusively for equestrian Athletes with disabilities.

<u>Discipline:</u> Any Equestrian Discipline approved by the General Assembly, such as Dressage, Jumping, Eventing, Driving, Endurance, Vaulting, Reining, and Para-Equestrian.

**Event:** A complete meeting, "Show", "Championship" or "Games". Events may be organised for one or more than one Discipline.

**FEI Recognition Card:** An accompanying document to a National Passport approved by the FEI and mandatory (unless there is an FEI Passport) for every Horse entered for any Competition at CNs or CIMs (see Appendix E) in a foreign country (see GRs 139.2), and for all Horses entered for other CIs, CIOs, FEI Championships, Regional, Olympic Games and Paralympic Games, whether at home or in foreign countries

**Horse:** Refers also to a pony or other member of the genus Equus unless the context requires otherwise. A horse shall be born from a mare.

<u>Junior:</u> An Athlete who may take part in FEI Competitions for Juniors from the year he reaches the age of 14 until the end of the year he reaches the age of 18.

<u>Lessee:</u> A person or entity who receives the use and possession of leased property (e.g. Horse) from a lessor.

<u>Officia</u>l: A person appointed by the FEI or by an Organising Committee and/or NF to perform a specifically defined officiating duty at an FEI Event.

<u>Organiser</u> or <u>Organising Committee (OC):</u> Any organisation, group, society, body, or person which is recognised by the applicable NF and held to be responsible for the management of any Event.

<u>Owner</u>: Person or entity having a property interest in whole or in part of one or more horses.

**Period of an Event:** commences one hour before the beginning of the first Horse Inspection and terminates, so far as each Discipline is concerned, half an hour after the announcement of the final results in that Discipline, unless the Sport Rules for the respective Discipline provide otherwise.

In the Olympic Games the period of an Event coincides with the Olympic Sojourn as established by the IOC.

In the Paralympic Games the period of an Event coincides with the Paralympic Sojourn as established by the IPC.

**Phase:** Refers to separate parts of a Competition at the same Event which are taken together to arrive at the final classification.

**Pony Rider:** An Athlete who may take part in FEI Competitions for Pony Riders from the year in which he reaches the age of 12 until the end of the year he reaches the age of 16 for Jumping, Eventing and Dressage.

**Round:** Two or more consecutive circuits of the same, or a similar, course as part of a single Competition.

<u>Series:</u> A number of International Competitions held successively at different Events and the results of which lead to a final classification or qualify Horses and/or Athletes for a final Event or Competition or prize. All Series must be approved by the Bureau and the NFs concerned.

**Sport Rules:** As defined in the Statutes, and shall include but not be limited to Rules for the Equestrian Disciplines, Veterinary Regulations, Equine Anti-

Doping and Medication Control Rules, Anti-Doping Rules for Human Athletes, Olympic Regulations, and Paralympic Regulations.

**Young Rider**: An Athlete who may take part in FEI Competitions for Young Riders from the beginning of the year he reaches the age of 16 until the end of the year he reaches the age of 21.

Any other terms with a capitalized letter in the GRs are defined in the Statutes.

# APPENDIX B - Olympic Charter: Rule 41 and bylaw to Rule 41

In force as from 7 July 2007

#### Rule 41 - Eligibility Code

To be eligible for participation in the Olympic Games, a competitor, coach, trainer or other team official must comply with the Olympic Charter as well as with the rules of the IF concerned as approved by the IOC, and the competitor, coach, trainer or other team official must be entered by his NOC. The above-noted persons must notably:

- respect the spirit of fair play and non violence, and behave accordingly; and
- respect and comply in all aspects with the World Anti-Doping Code.

#### By-Law To Rule 41

- 1 Each IF establishes its sport's own eligibility criteria in accordance with the Olympic Charter. Such criteria must be submitted to the IOC Executive Board for Approval.
- 2 The application of the eligibility criteria lies with the IFs, their affiliated national federations and the NOCs in the fields of their respective responsibilities.
- 3 Except as permitted by the IOC Executive Board, no competitor, coach, trainer or Official who participates in the Olympic Games may allow his person, name, picture or sports performances to be used for advertising purposes during the Olympic Games.
- 4 The entry or participation of a competitor in the Olympic Games shall not be conditional on any financial consideration.

# **APPENDIX C - Certificate of Capability**

# To be returned to the FEI accompanied by a "Record of Results" not later than:

For FEI World Championships, the date corresponding to the nominated entries.

For Olympic Games, the date corresponding to the nominated entries for the Games (see FEI Olympic Regulations).

The undersigned, acting as President of the National Federation of \_\_\_\_\_\_ hereby declares that the combinations, Athletes and Horses mentioned below have the required experience and qualifications to take part in the Equestrian Events at the Olympic Games/FEI World Championships for which they have been entered, and that their performances comply entirely with the standards as laid down in the Sport Rules.

# **Qualified Combinations**

ATHLETES	HORSES
Surnames & first names	Names & Passport No.
(maximum of double the number of Athletes & Horses allowed to be sent to FEI Championships)	(age, colour, sex, breed, Stud book Registration number & names of sire & dam if known)

Date:

Name and Signature of the President of the NF (name in capital letters)

Seal of the NF

# **APPENDIX D - Art. 108 Championships Table**

Discipline	Summer Olympic Year	Summer Olympic Year + 1	Summer Olympic Year + 2	Summer Olympic Year + 3
JUMPING	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Individual and Team
EVENTING	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Individual and Team
DRESSAGE	OLYMPIC Individual and Team	CONTINENTAL Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Individual and Team
DRIVING	WORLD Four-in-Hand Individual and Team Singles: Individual and Team	WORLD Pairs Individual & Team WORLD Combined Ponies: Individual and Team	WORLD EQUESTRIAN GAMES Four-in-Hand Individual and Team WORLD Singles: Individual and Team	WORLD -Pairs Individual & Teams WORLD Combined Ponies: Individual and Team
ENDURANCE	WORLD Individual and Team	CONTINENTAL Open - Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Open - Individual and Team
REINING	WORLD Individual and Team	CONTINENTAL Open - Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Open - Individual and Team
VAULTING	WORLD Individual and Team	CONTINENTAL Open - Individual and Team	WORLD EQUESTRIAN GAMES Individual and Team	CONTINENTAL Open - Individual and Team
YOUNG RIDERS	CONTINENTAL	CONTINENTAL	CONTINENTAL	WORLD
	(Jumping - Eventing - Dressage - Endurance - Reining) Individual and Team	(Jumping - Eventing - Dressage - Endurance - Reining) Individual and Team	(Jumping - Eventing - Dressage - Endurance - Reining) Individual and Team	(Endurance)  CONTINENTAL  (Jumping - Eventing - Dressage -
				Endurance - Reining) Individual and Team
JUNIORS	CONTINENTAL (Jumping - Eventing – Dressage - Endurance - Vaulting) Individual and Team			
CHILDREN	WORLD FINAL CONTINENTAL Each year (Jumping) Individual Each year (Jumping and Dressage)			
PONIES	CONTINENTAL Each year (Jumping - Eventing - Dressage) Individual and Team			
PARA-EQUESTRIAN	PARALYMPIC GAMES: Olympic Year; WORLD CHAMPIONSHIPS: Each uneven year; CONTINENTAL CHAMPIONSHIPS: Twice every 4 years, or at least once between each Paralympic Games			

# **APPENDIX E - CIMs**

Discipline:		
JUMPING (seniors)	* & ** (one & two stars)	
JUMPING (Y, J, P, Ch, V)	Category B	
EVENTING EVENT	* & ** (one & two stars)	
DRESSAGE	* & ** (one & two stars)	
DRESSAGE (Y, J, P, Ch, V)		
DRIVING	Category B	
ENDURANCE	* (one star)	
VAULTING	* (one star)	
REINING	* & ** (one & two stars)	
PARA-EQUESTRIAN	CPED * & ** (one & two stars) and CPEDIM	