

BREEDING AND REGISTRATION RULES

American Holsteiner Horse Association, Inc.

ARTICLE I – GENERAL POLICY

- 1.1. Breeding Standards**
The American Holsteiner Horse Association, Inc., (hereinafter referred to as AHHA) is committed to adhering as closely as possible to the selective breeding standards practiced since the 13th century by the Holsteiner horse breeders of the province of Schleswig-Holstein, given obvious geographic and administrative differences. The AHHA recognizes the Verband der Züchter des Holsteiner Pferdes, Elmshorn, Germany (hereinafter referred to as Holsteiner Verband) as the ultimate authority on the Holsteiner bloodlines.
- 1.2. Breeding Goal**
Our goal is to produce a well-balanced, sturdy horse possessing Holsteiner blood. Ideally the horse should stand 16-17 hands and have round, generous strides and a natural, elastic movement. We seek to produce a bold horse of even, willing temperament that is physically and mentally able to perform at a level of excellence in the modern sporthorse disciplines.

ARTICLE II – OFFICIAL BREEDING STOCK APPROVALS

- 2.1. In order to achieve the stated Breeding Goal, the AHHA will conduct stallion and mare approvals once each year.
- 2.2. Stallion and Mare Approvals**
- 2.2.1. Stallions will be judged by an official Inspection Team or will present a record of performance in sport. For stallions being inspected by the AHHA Inspection Team, evaluation will be as described in Section 3.2.2.
- 2.2.2. Mares will be judged by an official Inspection Team. Presentation will be standing, at the walk and at the trot; all gaits will be observed at liberty.
- 2.2.3. Stallions and mares will be graded on a scale from 1 to 10 for each of the following:
Beginning in 1999
- | | |
|---------------|-----------------------------|
| 1) type | 1) type |
| 2) topline | 2) topline |
| 3) front legs | 3) depth of body |
| 4) hind legs | 4) front legs |
| 5) walk | 5) hind legs |
| 6) trot | 6) correctness of gaits |
| 7) canter | 7) brilliance and impulsion |
- 2.2.4. Traditional Holsteiner Verband standards and AHHA breeding goals will serve as the standard for grading.
- 2.2.5. Stallions may be presented more than once.
- 2.2.6. Mares may be presented for boniting more than once without penalty. In cases where bonit scores improve, the new scores will be recorded and announced and mares will be eligible for recognition. In cases where bonit scores remain the same or are lower, the scores will not be recorded or announced, and the mare will not be eligible for any recognition.
- 2.2.7. The decision of the AHHA Inspection Team is final and may not be appealed.
- 2.2.8. Horses will not be inspected outside continental North America except by special application and AHHA Board of Directors' approval.

2.3. Inspection Team

- 2.3.1. The AHHA Breeding and Registration Committee will appoint the members of the official Inspection Team.
- 2.3.2. Every effort will be made to select persons of the highest integrity and the greatest possible expertise to serve in this capacity.
- 2.3.3. In whatever manner possible, the immense experience and expertise of the Holsteiner Verband will be sought and welcomed in composing and/or training the official Inspection Team.
- 2.3.4. Membership on the AHHA Inspection Team will not necessarily be limited to AHHA members or to the breeders of Holsteiner horses.
- 2.3.5. It will be the duty of the AHHA Breeding and Registration Committee to avoid any conflict of interest to whatever extent possible in the selection of the Inspection Team.

ARTICLE III – STUDBOOKS

3.1. The Studbooks

- 3.1.1. In the Studbooks are listed AHHA inspected and approved breeding stock whose offspring are potentially eligible for recording in the Registry Books. (See Section 3.2.2. and 3.3. for specific Studbook division requirements.) No horse may enter the studbooks until requirements are complete.
- 3.1.2. Prior to 2008, the official Studbooks of the AHHA shall include the following divisions to record approved breeding stock:
Stallion Book
Main Mare Book Premium Select (MMPS)
Main Mare Book Premium (MMP)
Main Mare Book (MM)
Mare Book (M)
Beginning in 2008, but becoming mandatory in 2009, the official studbooks of the AHHA shall include the following divisions to record approved breeding stock
Stallion Book
Main Mare Book (MM, MMP, MMPS)
Mare Book (M)

3.2. The Stallion Book

3.2.1. General Stallion Book Requirements

- 3.2.1.1. A stallion must hold either a current temporary breeding permit or a permanent license.
- 3.2.1.2. AHHA approved stallions will be permitted to stand with other associations concurrently.**
- 3.2.1.3. A stallion that is determined by the AHHA to be passing serious genetic defects to his offspring resulting in detriment to the Holsteiner breed may be removed from the AHHA Stallion Book by a two-thirds vote of the AHHA Board of Directors.
- 3.2.1.4. All fees must be current and the owner or agent of the stallion must be a Full or Life Member of the AHHA and must be in good standing with the AHHA.

- 3.2.2. Categories of Stallions
- 3.2.2.1. To be eligible for listing in the Stallion Book, stallions must complete one of the following (3.2.2.1.1. – 3.2.2.1.6):
- 3.2.2.1.1. a. Be registered in the Registry Book of the AHHA. Beginning in 2008 and becoming mandatory in 2009, be registered in the Main Registry Book of the AHHA
- b. Have an AHHA or Holsteiner Verband studbook dam. Commencing in 2007 and continuing beyond, dams of stallions must have at least one registered Holsteiner (AHHA or German Holsteiner Verband papers) in the first four generations. Beginning with foals born in 2010, dams must have two generations of registered Holsteiner in the dam line (both the dam and the grand dam of the stallion must hold Holsteiner papers.)
- c. Be at least two years of age at time of presentation for AHHA stallion inspection
- d. Stand at least 16.0 hands
- e. Those stallions that are at least two years of age but not yet reaching their sixth birthday may be presented for preliminary approval at an official AHHA inspection site. They will be presented on hard ground and will be judged on the triangle, at liberty and free jumping and must receive a type score of not less than seven and no other subgroup less than six. Stallions will receive bonit scores in all categories described in f) below with the exception of the dressage index and jumping index.
- Those horses that pass the preliminary approval will be granted a Temporary Breeding Permit (3.2.3.) and must complete the requirements set forth in 3.2.2.1.1.f or 3.2.2.1.1.g by December 31 of their sixth year.
- f. Mature stallions or those stallions completing 3.2.2.1.1.e must, at an official AHHA Approvals site, attain a passing score of 67 points, with a minimum of 47 points for the bonit points with type no less than seven; of the total score, pedigree will be worth up to 10 points, dressage index up to 10 points and jumping index up to 10 points, with no subgroup less than six.
- g. In lieu of returning to an official AHHA approvals site for Final Approval, a stallion which passes the Preliminary Stallion Approvals may elect to complete the testing option as set forth in 3.2.4.2 and must achieve an overall minimum score as published by the AHHA on January 1 of the year the stallion is initially presented.
- h. Pass the official veterinary inspection at an official AHHA Approval site
- i. Pass the drug screening performed on blood drawn at an official AHHA Approval site by a licensed veterinarian.
- j. Failure to attain a passing score, failure to pass the veterinary inspection and/or a positive drug test will result in disapproval.
- 3.2.2.1.2. Those Holsteiner stallions having been successfully approved and bonited by the German Holsteiner Verband, but not yet completing any of the other stallion requirements, will be eligible for a Temporary Breeding Permit (3.2.3) beginning with the breeding season of their third year. These stallions must also complete either 3.2.4.2. or 3.2.4.3. by December of their sixth year.
- 3.2.2.1.3. Those Holsteiner stallions that have been successfully approved and bonited by the German Holsteiner Verband and having completed the 70 Day Test in Germany or its equivalent in any EU country and attaining the results set forth in the most recent AHHA published requirements for inclusion in the studbooks will be eligible for a Permanent Breeding License with the AHHA regardless of where the stallion stands.
- 3.2.2.1.4. Those outcross improvement stallions currently recognized by the Holsteiner Verband will be eligible for a temporary breeding permit with the AHHA regardless of where the stallion stands. Foals from these stallions must meet rule 6.1.1. to be eligible for registration with the AHHA.
- 3.2.2.1.5. Those registered Holsteiner or outcross stallions standing in North America that have successfully fulfilled the most recent AHHA published requirements for inclusion in the studbooks because of extraordinary accomplishments in sport will be eligible for a Permanent Breeding License. Licensing of these outcross stallions will be subject to recommendation by the AHHA Breeding and Registration Committee and approval by the AHHA Board of Directors and the German Holsteiner Verband.
- 3.2.3. Temporary Breeding Permit**
- 3.2.3.1. Stallion candidates that meet Studbook requirements must submit an official Stallion Book Application to the AHHA. The application must be accompanied by:
- a. Notarized or verified copies of all registration papers, foreign and domestic.
- b. Conformation photograph for the Stallion Book
- c. The appropriate listing fee paid in full.
- d. DNA typing on file with the AHHA.
- e. If applicable, documentation of the completion of the 70-Day Test as specified in 3.2.2.1.3 or documentation of successfully meeting the performance in sport requirement.
- 3.2.3.2. A newly approved stallion will receive a Temporary Breeding Permit and will be listed in the Stallion Book.
- 3.2.3.3. The probationary status shall be for a period of time ending on December 31 of the third calendar year after a stallion's final approval. During this time the stallion candidate must successfully complete the Performance Test (3.2.4.) in order to become an AHHA permanently licensed stallion.
- 3.2.4. Stallion Performance Test**
- 3.2.4.1. Each approved stallion that enters the Stallion Book after November 15, 1984 will be required to successfully complete a Performance Test in order to demonstrate the stallion's versatility, athletic ability and stamina as a saddle horse with the soundness of mind and body to withstand the demands of the modern sport horse disciplines.
- 3.2.4.2. 70-Day Test Option**
- 3.2.4.2.1. Official documentation of the stallion's completion of an AHHA recognized domestic or foreign 70-Day Test, achieving the results as set forth in the most recent AHHA published requirements for inclusion in the studbooks, will be accepted as fulfillment of the Performance Test requirement.
- 3.2.4.3. Performance in Sport Option**
- 3.2.4.3.1. To fulfill this requirement, the stallion must complete the performance requirements in dressage, show jumping, hunters or combined training as published by the AHHA.
- 3.2.5. Foal Crop Inspection - Section deleted - No longer a requirement.
- 3.2.6. Final Approval**
- 3.2.6.1. Stallions successfully completing the above requirements will be issued a Breeding License.
- 3.2.6.2. Stallions failing to successfully complete the above requirements will be officially disapproved. The registration status of offspring conceived during the probationary period will be unaffected.
- 3.2.7. Specific Requirements for Grandfathered Stallions - Section deleted

3.2.8. Responsibilities of Stallion Owners

3.2.8.1. Annual Stallion Activation

- 3.2.8.1.1. An approved stallion is activated for a given breeding season when the following conditions have been met:
- The stallion owner or agent is a member in good standing with the AHHA (has not violated AHHA rules and current Full or Life Membership dues have been paid).
 - The annual stallion activation fee for that year has been paid.
- 3.2.8.1.2. Completed and signed Activation Applications and Stallion Activation Fees will be accepted after October 31 of the year prior to the activation year, up to and including December 31 of that same year, but will incur an administrative late fee. Newly activated and/or stallions that have changed ownership will not incur an administrative late fee.
- 3.2.8.1.3. Stallions activated after October 31 of the year prior to the activation year, up to and including December 31 of that same year, will not be guaranteed inclusion in the Stallion Roster for that breeding season.
- 3.2.8.1.4. Completed and signed Activation Applications and Stallion Activation Fees will be accepted after December 31 of the year prior to the activation year, but will incur an administrative late fee. Stallions activated after January 1 of the activation year will not be guaranteed inclusion in the Stallion Roster for that breeding season. Newly activated and/or stallions who have changed ownership will not incur an administrative late fee.
- 3.2.8.1.5. The stallion owner will receive a Certificate of Activation from the AHHA when all obligations have been met, verifying the "active" status of the stallion for the designated breeding season. This document, or copies of it, should be made available to mare owners at the time of booking.
- 3.2.8.1.6. The owner of an approved stallion may elect not to activate his stallion for a given breeding season without penalty.
- 3.2.8.1.7. If an inactive approved stallion is sold, the new owner may activate the stallion, but only after the transfer of ownership is complete and recorded with the AHHA.
- 3.2.8.1.8. If an active approved stallion is sold, the activation is transferred to the new owner, but only after the transfer is complete and recorded with the AHHA.
- 3.2.8.1.9 The individual and/or entity importing semen, for retail distribution, from outside of North America from a stallion meeting all of the requirements of Section 3.2.2. of the breeding rules, acting as a defacto agent of the owner, is required to:
- Pay the current annual stallion activation fee by the published deadline. This fee will also entitle the stallion to be included in the studbook and stallion roster.
 - Be responsible for all the related paperwork required of a Stallion owner.
 - In those circumstances where more than one individual and/or entity imports semen from the same stallion both individuals and/or entities are required to act independently and are required to maintain separate paperwork and pay all related fees.
- 3.2.8.2. Stallion Breeding Certificate – Repealed

3.2.8.3. Annual Stallion Service Report

- 3.2.8.3.1. The owner of an approved and activated stallion must submit a fully completed official AHHA Stallion Service Report on or before October 31 of each year.
- 3.2.8.3.2. Reports submitted after October 31 are considered delinquent and incur an administrative late fee. The stallion owner will be considered not in good standing until said fee is paid.
- 3.2.8.3.3. Failure to file this report with the AHHA and/or failure to pay the administrative late fee will render the owner during that breeding season not in good standing and therefore ineligible to activate any stallion owned by him for any future breeding seasons until all outstanding reports have been filed and fees have been paid. Status of the stallion under new ownership would not be affected.
- 3.2.8.3.4. The official AHHA Stallion Service Report must list every mare covered by the stallion whether or not she is qualified and/or conveys.
- 3.2.8.3.5. An official AHHA Stallion Service Report must be filed for every activated stallion each year whether or not the stallion covered any mares.
- 3.2.8.3.6. All information on the annual Stallion Service Report will be considered confidential and will be available to the AHHA Breeding and Registration Committee and other AHHA members only to the extent necessary for conducting authorized business.
- 3.2.8.3.7. Only those stallions complying with the October 31 deadline will be guaranteed a place in the Stallion Roster.

3.2.8.4. Breeder's Waiver

- 3.2.8.4.1. The official AHHA Breeder's Waiver (optional) may be completed and filed with the AHHA. This document is encouraged for the mutual protection of the mare owner and the stallion owner.
- 3.2.8.4.2. The stallion owner is required to indicate on the Breeder's Waiver the current status of the stallion as to active/inactive.

3.2.8.5. Delivery Certificate for Transported Semen; Mare Passport

- 3.2.8.5.1. The stallion owner shall be responsible for maintaining breeding records including original copies of the Delivery Certificate for Transported Semen and Mare Passport for all mares artificially inseminated.

3.3. Mare Books

3.3.1. Qualified Mare Definition

- 3.3.1.1. A qualified mare is one which meets the following criteria:
- Stands at least 15.2 hands and is at least two years of age and,
 - Holds full registration papers as:
 - An AHHA registered Holsteiner or...
 - A Jockey Club registered Thoroughbred or...
 - A European born and registered warmblood from an AHHA recognized studbook with a European approved sire or...
 - An American born and American or European registered warmblood from an AHHA recognized studbook with a European or American approved sire;
- or,

- c. Holds an AHHA certificate of pedigree where both the sire and the dam each hold a full registration paper of any of the following:
 - 1. An AHHA registered Holsteiner or...
 - 2. A Jockey Club registered Thoroughbred or...
 - 3. A European born and registered warmblood from an AHHA recognized studbook with a European approved sire or...
 - 4. An American born and American or European registered warmblood from an AHHA recognized studbook with a European or American approved sire and...
 - d. Holds documentation of a three-generation pedigree in which only horses described above appear.
- 3.3.1.2. Upon application to the Breeding and Registration Committee, mares from non-AHHA recognized studbooks may be considered for qualified mare status.
- 3.3.1.3. All other mares seeking qualified status must follow the established petition process.
- 3.3.1.4. A qualified mare enters the breeding program by being presented at a recognized AHHA approval and scoring the minimum bonit points required for Studbook entry.
- 3.3.1.5. Mares that by pedigree qualify for inclusion in the AHHA studbooks, but fail to attain the the minimum height, may enter the Provisional Mare Book through 2007. Beginning in 2008, those mares failing to attain the minimum height requirement will be listed in the appropriate mare book with the stipulation that they present at least two adult offspring measuring at least 15.2 for final approval.
- 3.3.1.6. All qualified non-Holsteiner mares will be eligible for the studbook only upon scoring a minimum of Main Mare Book bonit (43 points with no subgroup less than five) through 2008. Beginning in 2008 but becoming mandatory in 2009 and going forward, those qualified non-Holsteiner mares scoring a minimum of 46 points, or 41 to 45 points but also meeting the minimum published performance requirements, will be entered into the Main Mare Book. For 2008 only, mares will be entered into the studbooks by the system that gives them the most benefit.
- 3.3.1.7. Beginning in 2008 but becoming mandatory in 2009, those qualified non-Holsteiner mares scoring 43 to 45 bonit points, but not meeting the minimum published performance requirements, will be entered into the Mare Book. For 2008 only, mares will be entered into the studbooks by the system that gives them the most benefit.
- 3.3.1.8. Imported Holsteiner Verband approved mares with German bonits will be entered into the appropriate Mare Book with no further inspection required; however they must first be dual-registered with the AHHA and meet all other requirements for Studbook entry.
- 3.3.2 Main Mare Premium Select (MMPS)**
- 3.3.2.1 To be eligible for the Main Mare Premium Select designation within the Main Mare Book, candidates must attain a minimum score of 50 points at an official AHHA Approval with no subgroup less than six and a score of seven or more in **type**.
- 3.3.3. Main Mare Premium (MMP)**
- 3.3.3.1. To be eligible for the Main Mare Premium designation within the Main Mare Book, candidates must attain a minimum score of 46 points at an official AHHA Approval with no subgroup less than six.
- 3.3.3.2. A mare successfully completing the Mare Performance Test but with a bonit subgroup less than six will not be eligible for upgrade to MMP.
- 3.3.4. Main Mare Book (MM)**
- 3.3.4.1. To be eligible for listing in the Main Mare Book, candidates must attain a minimum score of 43 points at an official AHHA Approval with no subgroup less than five. Beginning in 2008 and becoming mandatory in 2009, AHHA Main Registry Book mares and German Holsteiner Verband registered mares scoring a minimum of 39 points, qualified non-Holsteiner mares scoring a minimum of 46 points or scoring 41-45 points and meeting the minimum performance requirements will be eligible for listing in the Main Mare Book. For 2008 only, mares will be entered into the studbooks by the system that gives them the most benefit.
- 3.3.4.2. Offspring of qualified non-Holsteiner mares entered in the Mare Book and receiving silver papers will be eligible for the Main Mare Book upon scoring 46 bonit points, 41 to 45 points and meeting the minimum performance requirements, or holding silver papers with three generations of Holsteiner in the dam line (i.e., the original non-Holsteiner mare is no longer on the papers) and scoring a minimum of 39 bonit.
- 3.3.5. Mare Book (M)**
- 3.3.5.1. To be eligible for listing in the Mare Book through 2008, candidates must attain a minimum score of 39 points at an official AHHA Approval with no subgroup less than four. Beginning in 2008 and becoming mandatory in 2009, those qualified non-Holsteiner mares scoring 43-45 points and not meeting the minimum performance requirements will be listed in the Mare Book. For 2008 only, mares will be entered into the studbooks based on whichever system gives them the most benefit
- 3.3.5.2. Those Holsteiner mares holding silver papers and scoring 43 to 45 bonit points but not meeting the minimum performance requirements will also be listed in the Mare Book until such time as there are three generations of Holsteiner in the dam's line.
- 3.4. Honorary Titles for Studbook Horses**
- 3.4.1. Any approved stallion that has sired a fully approved (licensed) breeding stallion will be designated "ELITE" on its AHHA Certificate of Registration and in the AHHA Studbooks. The designation [E] will be used wherever the horse's name appears in a pedigree from that day forward.
- 3.4.2. Any approved mare that has produced a fully approved (licensed) breeding stallion will be designated "ELITE" on its AHHA Certificate of Registration and in the AHHA Studbooks. The designation [E] will be used wherever the mare's name appears in a pedigree from that day forward.
- 3.4.3. Any mare attaining Main Mare Premium status at an official AHHA Approval will be designated "PREMIUM" on her AHHA Certificate of Registration. The designation MMP will be used wherever the horse's name appears in a pedigree from that day forward.
- 3.4.4. Any mare attaining Main Mare Premium Select status at an official AHHA Approval will be designated "PREMIUM SELECT" on her AHHA Certificate of Registration. The designation MMPS will be used wherever the horse's name appears in a pedigree from that day forward.

- 3.4.5. Any mare that has successfully completed the Mare Performance Test at an official AHHA inspection site will be designated "MPT" on its AHHA registration and wherever the mare's name appears in a pedigree from that day forward.
- 3.4.6. Any mare that has successfully completed the Mare Performance Test with performance in sport as published in the AHHA policy manual for the current year will be designated MPT-S on her AHHA registration papers, and whenever her name appears in a pedigree from that day forward.
- 3.4.7. Any mare that qualifies by pedigree and approval by the Holsteiner Verband Judges to be designated Holsteiner Verband Eligible will be so designated on her papers and those of her qualified offspring from that day forward.

3.5. Appendix to the Studbook

- 3.5.1. The Appendix (APX) to the Studbooks shall include the following division:

Provisional Mare Book (PM) For mares entered into the program through 2007 only. Beginning in 2008, the Provisional Mare Book will be closed. Those mares already listed in the PMB may continue to fulfill the requirements for later entry into the studbooks and possible upgrade of their offspring.

3.5.1.2. Provisional Mare Book

The Provisional Mare Book has been closed as of 2007. The following rules are left in place only for the use of those mares already entered in the book.

- 3.5.1.2.1. In the Provisional Mare Book will be listed those mares of known acceptable pedigree which are lacking minimum bonit scores, minimum height requirements, or registration papers from a qualified registry.

Upon fulfillment of the appropriate requirements, beginning in 2006, only mares qualified by pedigree may be upgraded into the appropriate Mare Book.

- 3.5.1.2.2. Offspring of these mares, when bred to AHHA licensed and activated stallions will be eligible for Certificates of Pedigree. Beginning in 2006, only those offspring of Provisional Mare Book mares qualified by pedigree and holding a Certificate of Pedigree will be eligible for upgrade upon approval of the dam.

3.5.1.2.3. Provisional Mare Book Requirements and Categories

- 3.5.1.2.3.1. Mares entered into the Provisional Mare Book must fulfill the appropriate requirements below in order to be considered for upgrade.

Qualified Mares with registration papers that:
 Score 39-42 Fulfill category A
 Score 43 or above will go directly into Studbooks

Qualified Mares with registration papers but less than 15.2 hands:
 Score 39-42 Fulfill category A & B
 Score 43 or above Fulfill category B

Holsteiner mares with registration papers but less than 15.2 hands:
 Score 39 or above Fulfill category B

Qualified mares that are registered warmbloods but are by a non-approved sire:
 Score 39-42 Fulfill category A
 Score 43 or above Fulfill category C

Mares qualified by pedigree but with no papers:
 Score 39-42 Fulfill category A
 Score 43 or above Fulfill category C

Categories:

- A Produce two adult offspring scoring Main Mare Book points
- B Produce two offspring attaining a minimum height of 15.2 hands
- C Produce two merit foals, one merit foal and one adult offspring scoring Main Mare Book points, or two adult offspring scoring Main Mare Book points.

- 3.5.1.2.3.2. Provisional Mares may also qualify for upgrade through performance in one of two ways:

- a. Mare's performance record. (See requirements for Stallion Performance 3.2.4.3.)
- b. Performance record of two offspring. (See requirements 3.2.4.3.)
- c. Provisional Mares, with registration papers, that have scored 42 points will be eligible for entry into the appropriate Mare Book upon successfully passing the Mare Performance Test in front of an AHHA Inspection Team. Their foals will be eligible for upgrade from a Certificate of Pedigree to full registration with payment of any applicable fees.

ARTICLE IV – MAIN REGISTRY BOOK

4.0. The Main Registry Book

- 4.1 **Beginning in 2008 and becoming mandatory in 2009, offspring of AHHA approved and activated stallions and mares listed in the Main Mare Book are eligible for the Main Registry book. All mares listed in the Mare Book, the Main Mare Book, the Main Mare Premium Book, and the Main Mare Premium Select Book as of December 31, 2008 will be grandfathered into the new Main Mare Book on January 1, 2009.**

- 4.2. German Holsteiner Verband registered Holsteiners are eligible for dual registration in the Main Registry Book if the dam has Holsteiner Verband bonits equivalent to the AHHA Main Mare Book standards.

- 4.3. Those horses imported to North America in utero that are offspring of stallions fully recognized and currently acknowledged by the German Holsteiner Verband and German Holsteiner Verband approved mares will be eligible for registration in the Main Registry Book if the dam has bonits equivalent to the AHHA Main Mare Book standards and the stallion fulfills the requirements for inclusion in the AHHA Stallion Book as outlined in section 3.2.

- 4.4. Horses registered in the Main Registry Book will receive gold papers and are eligible for the Association brand.

ARTICLE V – REGISTRY BOOK

5.0. The Registry Book

- 5.1. Beginning in 2009, offspring of AHHA approved and activated stallions and mares listed in the Mare Book are eligible for the Registry Book.

- 5.2. Horses registered in the Registry Book will receive silver papers and are eligible for the association brand.

ARTICLE VI – REGISTRATION REQUIREMENTS

6.1. General Requirements for Registration

- 6.1.1. Only horses that can document a minimum of one Holsteiner (HOL) parent out of two in the first generation, or two parents out of four in the second generation, or four parents out of eight in the third generation will be considered for registration.

- 6.1.2. Foals conceived by natural service or artificial insemination (AI) will be eligible for registration. The use of transported semen (TS) will be permitted only when the procedures set forth in the most recent AHHA published requirements are strictly followed.
- 6.1.3. Foals produced by embryo transfer and frozen semen will be eligible for registration under the provisions of sections 5.1. and 6.1. of the breeding rules. In the case of embryo transfer, the applicant foal must be clearly identified as an embryo transfer on the registration application.
- Both natural parents and the foal must be DNA typed and the foal parentage verified
 - The applicant foal must be clearly identified as either an embryo transfer or as the result of frozen semen on the application. The foal's verified parentage status will appear on the Registration Certificate.
- 6.1.4. Membership of the owner is not required for registration of eligible horses; however, non-members and Associate members will be subject to higher fees.
- 6.1.5. Offspring of AHHA listed and activated stallions and mares recorded in the AHHA Studbooks will be considered for registration. Offspring's dam must be activated in the breeding year
- 6.1.5.1. Offspring of mares recorded in the AHHA Studbooks resulting from semen shipped from outside of North America will be considered for registration if the stallion fulfills the requirements for inclusion in the AHHA Stallion Book as outlined in section 3.2.
- 6.1.5.2. Those horses imported to North America in utero which are offspring of stallions fully recognized by the German Holsteiner Verband and German Holsteiner Verband approved mares will be considered for registration if the stallion fulfills the requirements for inclusion in the AHHA Stallion Book as outlined in section 3.2.
- 6.1.5.2.1. In such cases, the following criteria must be met:
- The stallion, mare and foal must be DNA typed and the foal's parentage verified.
 - The mare must be dual registered with the AHHA.
 - All applicable fees must be paid.
- 6.1.6. Offspring of Holsteiner Verband approved stallions standing outside North America and Holsteiner Verband registered Holsteiner mares will be considered for registration. The only exception to this rule is offspring that qualify for registration under the provision of section 6.1.2.
- 6.1.7. Offspring of Holsteiner Verband approved stallions physically standing in North America will not be registered unless the stallion is dual registered with the AHHA and all obligations for licensing and activation of the stallion with the AHHA have been met by the owner.
- 6.1.8. Offspring produced by a stallion and/or a mare prior to their approval and completed application process to the Studbooks will be eligible for retroactive registration, provided that:
- Both the stallion and mare have met all the qualifications for inclusion in the studbooks under sections 3.2 and 3.3. of the breeding rules, respectively
 - Both natural parents and the offspring have been DNA typed and parent verified.
 - Evidence of the date of birth of the offspring is provided to the AHHA office.
 - All applicable fees have been paid.
- 6.1.9. Offspring of an AHHA approved stallion conceived during any breeding season in which the stallion was not certified active according to AHHA rules will not be eligible for registration until the activation fee for that year has been paid. The responsibility will rest with the mare owner to verify the current active/inactive status of the stallion prior to service.
- 6.1.10. Horses holding an AHHA Certificate of Pedigree or Pre-Registry papers can be retroactively upgraded to the Registry Book or Main Registry Book upon later upgrade or approval of the dam.
- 6.1.11. Retroactive registration is not permitted beyond the first generation offspring of the upgraded horse.
- 6.1.12. Horses recorded in the Holsteiner Verband Registry will be incorporated into the appropriate AHHA Registry Book only if they meet all AHHA requirements.
- 6.1.13. In cases where an AHHA approved stallion also stands with another North American registry, his offspring which are born in North America may not apply for dual registration, but may apply to only one registry for foal registration at the normal fee. The foal will receive only one registration.
- 6.1.14. Color and Markings Limitations That Preclude Registration**
- 6.1.14.1. Preferred colors are
- Bay
 - Black
 - Brown
 - Chestnut
 - Gray
- 6.1.14.2. Unacceptable markings include:
- Two or more white spots larger than a dinner plate (pinto)
 - Spotting patterns or markings typical of the American Appaloosa
- 6.1.14.3. The AHHA Breeding and Registration Committee will determine acceptance in cases of questionable coloring and/or markings.

ARTICLE VII – PROCEDURES FOR REGISTRATION

- 7.1. Application for Foal Registration
- 7.1.1. No foal registration application will be considered unless the following required documents are on file with the AHHA:
- Sire's annual Certificate of Activation, or if the stallion is a Holsteiner Verband-approved stallion standing outside of North America that has not been activated, the mare owner must activate the stallion for his or her own breedings.
 - Foal/No Foal Report pertaining to that foal signed by the breeder of record or their agent. If the stallion is a Holsteiner Verband approved stallion standing outside of North America that has not been activated by the stallion owner or assigned agent, this requirement is waived, providing the mare owner has paid the appropriate foreign stallion fees for his or her own breedings.
 - Official DNA typing reports on the foal's sire and dam
 - Sire's annual Stallion Service Report for the season in which the foal was conceived. If the stallion is a Holsteiner Verband approved stallion standing outside of North America that has not been activated by the stallion owner or assigned agent, this requirement is waived, providing the mare owner fills out and submits a Stallion Service Report for his or her own breedings.
 - Official DNA typing report on the foal.

- 7.1.2. Foals applying for registration under the provision of 6.1.8. must have all of the following required documents on file with the AHHA:
- Documentation of Sire's appropriate Activation fee payment.
 - A Foal/No Foal Report signed by the breeder of record or their agent or provide official documentation of the foal's date of birth.
 - Official DNA typing reports on the foal's sire and dam
 - Sire's annual Stallion Service Report for the season in which the foal was conceived. If the stallion is a Holsteiner Verband- approved stallion standing outside of North America that has not been activated by the stallion owner or assigned agent, this requirement is waived, providing the mare owner has paid the appropriate foreign stallion fees for his or her own breedings
 - Official DNA typing report on the foal.
- 7.1.3. The Application for Registration should be submitted to the AHHA along with the following:
- A notarized or verified copy of the dam's Registration Certificate unless one is on file.
 - Documentation of the dam's identity, including a three-generation pedigree and diagrams or description of her markings, unless on file.
 - Color photos showing clearly all markings or lack of markings.
 - The appropriate fee.
- 7.1.4. The registration application must be witnessed by the owner as to markings, date of birth and sex.
- 7.1.5. Horses whose registration applications are submitted after 48 months of age will be considered upon completion of the following:
- Both the sire and the dam must have been in the AHHA studbooks at the time of conception.
 - The dam must appear on the Stallion's Service Report for the appropriate year.
 - The Foal/No Foal Report must be completed.
 - The horse must be parent-verified.
 - The appropriate fee must be remitted.
- 7.1.6. The owner of the mare covered by more than one stallion in the same breeding season must furnish DNA typing report from an AHHA specified laboratory on the resulting foal for parentage verification purposes before it can be registered.

7.2. Application for Dual Registration

- 7.2.1. The following must accompany the application for dual registration:
- The original Holsteiner Verband registration papers (either pink papers or the 'red' passport) and the Certificate of Ownership (if available).
 - The appropriate fee.
- 7.2.2. Horses with German Holsteiner Verband papers containing "Vorr." or "nicht eingetragen" will not be automatically dual registered. They will be considered on a case-by-case basis.

ARTICLE VIII – CERTIFICATE OF REGISTRATION

8.1. Certificate of Registration

- 8.1.1. Only one Certificate of Registration will be issued per horse and should remain in the possession of the owner, although it is officially the property of the AHHA.
- 8.1.2. In certain unusual circumstances, a duplicate Certificate of Registration may be issued and will clearly show the word "DUPLICATE" on the front of the document.

8.1.3. Amendments to the Registration Certificate

8.1.3.1. Transfer of Ownership

- 8.1.3.1.1. The original registration certificate with the Transfer of Ownership found on the back of the registration certificate completed and signed by the new and former owners shall be submitted with the proper fee to the AHHA for recording of the transfer. In cases where all transfer of ownership spaces are filled, a supplemental Transfer of Ownership form must be filled out and submitted with the Registration Certificate.
- 8.1.3.1.2. The ownership transfer will be recorded on the Certificate of Registration and in the AHHA Office.

8.1.3.2. Neutering

- 8.1.3.2.1. If an AHHA registered horse is castrated or spayed, the owner should submit to the Registrar a report of neutering together with the original AHHA papers for documentation. No fee is required.

8.1.3.3. Death of a Registered Horse

- 8.1.3.3.1. Upon the death of a registered horse, its original Certificate of Registration with the date of death noted thereon should be submitted to the AHHA for canceling and recording. The canceled Certificate of Registration may be returned to the owner.

8.2. Naming of the Horse

- 8.2.1. No horse may be registered under a name that is a duplicate name of a horse, alive or dead, which has already been registered in the books of the AHHA. Full male siblings, however, may use duplicate names with the appropriate ordinal (e.g., Calypso I, Calypso II, etc.).
- 8.2.2. Male offspring must be named such that the first letter of the name is the same as the first letter of the sire's name.
- 8.2.3. Female offspring must be named such that the first letter of the name coincides with the year of birth according to the naming schedule designated by the AHHA. The AHHA schedule will coincide with that of the Holsteiner Verband to avoid confusion in the case of imported mares. Fillies born in 1986 will be registered with names beginning with the letter "A."
- 8.2.4. Names will be limited to no more than eighteen letters, including spaces.
- 8.2.5. Any communication with the AHHA in regard to a registered horse must refer to the horse by its registered name.
- 8.2.6. Use of the sire's name as part of the foal's registered name is discouraged.
- 8.2.7. Upon application to the Association and payment of the scheduled fee, a horse's registered name may be changed until such time as it enters the studbooks of the AHHA.

8.3. Age

- 8.3.1. The age of a horse shall be its true age, including day, month and year of birth.

ARTICLE IX – BRANDING

- 9.1. Branding**
- 9.1.1. Branding irons will remain in the possession of the AHHA or its designated representatives and may be used by authorized representatives of the AHHA only.
- 9.1.2. The official brander will verify the identity the foal presented for branding using the foal's registration papers. In addition, the owner or agent will be required to sign an affidavit at the time of branding attesting that the horse to be branded is the same horse described on the Certificate of Registration, and that he/she witnessed the placing of the brand.
- 9.1.3. The owner is required to produce the original Certificate of Registration which will be dated and signed by the brander.
- 9.1.4. The AHHA will maintain a permanent file of signatures of its representatives authorized to use the brand as well as a file of the signed affidavits.
- 9.1.5. All official AHHA Approval sites will be designated as official branding sites. No additional fee will be required for branding of eligible horses at an official site.
- 9.1.6. Private branding may be arranged. In this case, the owner must bear the total cost of the brander's travel expenses.
- 9.1.7. The association brands will consist of the traditional crown and shield with NA and the control brand, an H in a box with NA.

ARTICLE X – CERTIFICATE OF PEDIGREE

- 10.1.1 Offspring of AHHA approved and activated stallions and mares not qualified by pedigree and/or boniting to enter the AHHA Registry Books may apply for a Certificate of Pedigree provided either the sire or dam is a registered Holsteiner. The Certificate of Pedigree is not a registration paper. It is only a documentation of pedigree in so far as that pedigree is available and date of birth.
- 10.2 Offspring of stallions not approved and activated by the AHHA and mares listed in the AHHA studbooks may apply for a Certificate of Pedigree provided either the sire or dam is a registered Holsteiner.
- 10.3 Horses holding a Certificate of Pedigree with unacceptable blood in the pedigree are not eligible to come to the annual AHHA approvals.
- 10.4 Horses holding a Certificate of Pedigree are not required to have parentage verified, but may do so for an added fee. Those horses which are parent verified will be so designated on the Certificate of Pedigree.
- 10.5 Horses holding a Certificate of Pedigree may participate in the AHHA awards program.
- 10.6 The Certificate of Pedigree signed by the new and former owner may be submitted to the AHHA office with the appropriate fee for recording of a transfer of ownership.

ARTICLE XI – DNA TYPING

- *Blood and/or DNA Typing – At the discretion of the AHHA, blood typing may be required instead of or in addition to DNA typing.
- 11.0 After June 1, 1999, DNA typing will be required for all horses entering the Studbooks and for those horses/foals requiring parentage verification. Beginning with 2003, all horses applying for AHHA Registration must be DNA typed.
- 11.1. DNA Typing Requirements for Studbook Entry**
- 11.1.1. All horses must be DNA typed at the owner's expense by an approved laboratory specified by the AHHA prior to entering the Studbooks. An official copy of the DNA typing report shall be filed with the AHHA.
- 11.2. DNA Typing Requirements for Registration**
- 11.2.1. Foals produced by embryo transfer and frozen semen will be eligible for registration under the normal rules. In the case of embryo transfer, the applicant foal must be clearly identified as an embryo transfer on the registration application.
- 11.2.2. Those horses imported to North America in utero which are offspring of stallions fully recognized and currently acknowledged by the German Holsteiner Verband and German Holsteiner Verband approved mares will be eligible for registration if the dam has bonits equivalent to AHHA mare book standards. In such cases the following criteria must be met:
- a. The stallion, mare and foal must be DNA typed and the foal's parentage verified.
- 11.3. The AHHA shall have the right to require a current DNA typing report on an AHHA registered horse, at the expense of the owner, if there is reason to question its identity.

ARTICLE XII

- 12.1 The AHHA Board of Directors reserves the right to rule by two-thirds vote upon recommendation of the Breeding and Registration Committee in any case which does not fall clearly under the current rules.
- 12.2 In addition, the AHHA Board of Directors reserves the right to waive AHHA rules in cases of unusual circumstances or when it is deemed beneficial to the Holsteiner horse.