## Classification of the FP 6 Instruments

## **Note**

The Instruments have been classified into three different groups on the basis of their purpose:

- o The first group comprises the instruments aimed at generating, demonstrating and validating new knowledge through research and development, and is composed of Integrated Projects (IPs) and Specific Targeted Research Projects (STREPs);
- o The second group is composed only of the Networks of Excellence (NoEs), an instrument aimed at the durable integration of the participants' activities/capacities;
- o The third group comprises the instruments aimed at supporting collaboration and coordination, and other activities (such as conferences and studies) and is composed of Coordination Actions (CAs) and Specific Support Actions (SSAs).

Instruments aimed at generating, demonstrating and validating new knowledge

| Instrument            | Purpose  | "Target "<br>audience  | Activities covered by EU contribution  | Funding<br>mechanism | Indication<br>on<br>value of EU<br>contribution <sup>1</sup> | Average<br>duration | "Optimum"<br>size of<br>consortium <sup>2</sup> | Flexibility                      | Enlargement of<br>partnership within<br>the initial budget | Specific characteristics  |
|-----------------------|--|--|--|----------------------|--|---------------------|---|----------------------------------|--|---|
| Integrated<br>Project | Ambitious<br>objective-driven<br>research dealing<br>with different<br>issues through a<br>"programme<br>approach" | Industry, including SMEs  Research institutes  Universities  (Possibly)  Potential end-users | One or more of:  Research  Demonstration  Training  Innovation linked activities  Management of the consortium | Cost based           | € 10 million<br>€ 4-25<br>million                            | 36-60 months        | 10-20 participants                              | Yearly<br>update of<br>work plan | Possible through "competitive calls"                       | "Programme approach", focussing on multiple issues  As a rule several components  Often multidisciplinary |
| STREP                 | Objective-driven research more limited in scope than IPs and usually focussed on a single issue                    | Industry,<br>including<br>SMEs<br>Research<br>institutes<br>Universities                     | One or more of:  Research  Demonstration  Innovation linked activities  Management of the consortium           | Cost based           | € 1.9 million<br>€ 0.8-3<br>million                          | 18-36<br>months     | 6-15<br>participants                            | Fixed<br>overall<br>work plan    | Possible   | "Project approach", focussing on a single issue  As a rule one component  Often mono-disciplinary         |

Instrument aimed at the durable integration of the participants' research activities/capacities

overall average of the lowest and the highest averages per project in the various thematic priorities under FP 6 so far not a legal requirement, but provides an indication of the number of partners the Commission services consider likely to allow the achievement of the project's objectives.

| Instrument            | Purpose  | "Target "<br>audience  | Activities<br>covered by<br>EU<br>contribution  | Funding<br>mechanism  | Indication<br>on<br>value of EU<br>contribution <sup>3</sup> | Indicative<br>average<br>duration | "Optimum"<br>size of<br>consortium <sup>4</sup> | Flexibility                             | Enlargement of partnership(within the initial budget) | Specific characteristics   |
|-----------------------|--|--|---|---|--|-----------------------------------|---|---|---|--|
| Network of Excellence | Durable integration of the participants' research activities | Research institutes Universities Mainly indirectly: Industry (possibly through steering committees, governing boards, scientific committees) SMEs (possibly through take-up actions) | Joint programme of activities (JPA): Integrating activities Joint research programme Spreading of excellence And Management of the consortium | Calculation of the grant  Maximum grant calculated on the basis of the number of researchers  Possibility for the participants to request a lower amount  Payment of the grant  based on actual costs for implementing the JPA  And  On basis of the progress towards durable integration | € 7 million<br>€ 4-15<br>million                             | 48-60 months                      | 6-12 participants                               | Yearly<br>update of<br>the work<br>plan | Possible through "competitive calls"                  | Institutional commitment at strategic level from the very start and for the whole duration  As a rule limited number of partners |

Instruments aimed at supporting collaboration and coordination and other activities (such as conferences and studies)

overall average of the lowest and the highest averages per project in the various thematic priorities under FP 6 so far not a legal requirement, but provides indication on the number of partners the Commission services consider likely to allow the achievement of the project's objectives.

| Instrument                    | Purpose  | "Target" audience                                       | Activities<br>covered by<br>EU<br>contribution  | Funding<br>mechanism                               | Indication<br>on<br>value of EU<br>contribution <sup>5</sup> | Average<br>duration | "Optimum"<br>size of<br>consortium <sup>6</sup> | Flexibility                   | Enlargement of partnership (within the initial budget) | Specific characteristics   |
|-------------------------------|--|---|---|--|--|---------------------|---|-------------------------------|--|--|
| Coordination action           | Coordination, networking   | Research institutes Universities Industry including SME | Activities intended to improve and effect the coordination of research carried out in another context that can include: programmes of meetings, seminars, workshops, working groups, studies, analyses, exchanges of personnel, exchange and dissemination of good practices, setting up of information systems  Management of the consortium | Cost based   | € 1 million<br>€ 0.5-1.2 million                             | 18-36 months        | 13-26 participants                              | Fixed<br>overall<br>work plan | Possible   | No funding of research activities  Consistent set of activities focussing on coordination ("programme" approach) |
| Specific<br>support<br>action | Preparation of<br>future actions,<br>support to policy,<br>dissemination of<br>results | Research institutes Universities Industry including     | Individual<br>meetings,<br>seminars,<br>workshops,<br>studies,<br>publications,<br>scientific   | Public procurement (payment of a price following a | € 0.5 million<br>€ 0.03-1<br>million                         | 9-30<br>months      | 1-15<br>participants                            | Fixed<br>overall<br>work plan | Possible   | No funding of research activities  "Project" approach  Possibility of one single participant                     |

overall average of the lowest and the highest averages per project in the various thematic priorities under FP 6 so far not a legal requirement, but provides indication on the number of partners the Commission services consider likely to allow the achievement of the project's objectives.

|  | SMEs | awards and   | call for       |  |  |  |
|--|------|--------------|----------------|--|--|--|
|  |      | competitions | tender) when   |  |  |  |
|  |      |              | provision of a |  |  |  |
|  |      |              | service to the |  |  |  |
|  |      | Management   | Commission     |  |  |  |
|  |      | of the       |                |  |  |  |
|  |      | consortium   |                |  |  |  |
|  |      |              |                |  |  |  |