

# THE BG-RANK OF A PARTITION AND ITS APPLICATIONS

ALEXANDER BERKOVICH AND FRANK G. GARVAN

ABSTRACT. Let  $\pi$  denote a partition into parts  $\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots$ . In a 2006 paper we defined  $\text{BG-rank}(\pi)$  as

$$\text{BG-rank}(\pi) = \sum_{j \geq 1} (-1)^{j+1} \frac{1 - (-1)^{\lambda_j}}{2}.$$

This statistic was employed to generalize and refine the famous Ramanujan modulo 5 partition congruence. Let  $p_j(n)$  denote the number of partitions of  $n$  with  $\text{BG-rank} = j$ . Here, we provide a combinatorial proof that

$$p_j(5n + 4) \equiv 0 \pmod{5}, \quad j \in \mathbb{Z},$$

by showing that the residue of the 5-core crank mod 5 divides the partitions enumerated by  $p_j(5n + 4)$  into five equal classes. This proof uses the orbit construction from our previous paper and a new identity for the BG-rank. Let  $a_{t,j}(n)$  denote the number of  $t$ -cores of  $n$  with  $\text{BG-rank} = j$ . We find eta-quotient representations for

$$\sum_{n \geq 0} a_{t, \lfloor \frac{t+1}{4} \rfloor}(n)q^n \quad \text{and} \quad \sum_{n \geq 0} a_{t, -\lfloor \frac{t-1}{4} \rfloor}(n)q^n,$$

when  $t$  is an odd, positive integer. Finally, we derive explicit formulas for the coefficients  $a_{5,j}(n)$ ,  $j = 0, \pm 1$ .

## 1. INTRODUCTION

A partition  $\pi$  is a nonincreasing sequence

$$\pi = (\lambda_1, \lambda_2, \lambda_3, \dots)$$

of positive integers (parts)  $\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots$ . The norm of  $\pi$ , denoted  $|\pi|$ , is defined as

$$|\pi| = \sum_{i \geq 1} \lambda_i.$$

If  $|\pi| = n$ , we say that  $\pi$  is a partition of  $n$ . The (Young) diagram of  $\pi$  is a convenient way to represent  $\pi$  graphically: the parts of  $\pi$  are shown as rows of unit squares (cells). Given the diagram of  $\pi$  we label a cell in the  $i$ -th row and  $j$ -th column by the least nonnegative integer  $\equiv j - i \pmod{t}$ . The resulting diagram is called a  $t$ -residue diagram [7]. We can also label cells in the infinite column 0 and the infinite row 0 in the same fashion and call the resulting diagram the extended  $t$ -residue diagram [5]. And so with each partition  $\pi$  and positive integer  $t$  we can

---

*Date:* April 27, 2007.

*2000 Mathematics Subject Classification.* Primary 11P81, 11P83; Secondary 05A17, 05A19.

*Key words and phrases.* partitions,  $t$ -cores, BG-rank, eta-quotients, Lambert series, theta series, even-odd dissections.

Research of both authors was supported in part by NSA grant MSPF-06G-150.

associate the  $t$ -dimensional vector

$$\vec{r}(\pi, t) = (r_0(\pi, t), r_1(\pi, t), \dots, r_{t-1}(\pi, t))$$

with

$$r_i(\pi, t) = r_i, \quad 0 \leq i \leq t-1$$

being the number of cells colored  $i$  in the  $t$ -residue diagram of  $\pi$ . If some cell of  $\pi$  shares a vertex or edge with the rim of the diagram of  $\pi$ , we call this cell a rim cell of  $\pi$ . A connected collection of rim cells of  $\pi$  is called a rim hook if (diagram of  $\pi$ ) \setminus (\text{rim hook}) represents a legitimate partition. We say that a partition is a  $t$ -core, denoted  $\pi_{t\text{-core}}$ , if its diagram has no rim hooks of length  $t$  [7].

The Durfee square of  $\pi$  is the largest square that fits inside the diagram of  $\pi$ . Reflecting the diagram of  $\pi$  about its main diagonal, one gets the diagram of  $\pi'$  (the conjugate of  $\pi$ ). More formally,

$$\pi' = (\lambda'_1, \lambda'_2, \lambda'_3, \dots)$$

with  $\lambda'_i$  being the number of parts of  $\pi$  that are  $\geq i$ . In [2] we defined a new partition statistic

$$(1.1) \quad \text{BG-rank}(\pi) := \sum_{j \geq 1} (-1)^j \frac{(-1)^{\lambda_j} - 1}{2}.$$

It is easy to verify that

$$(1.2) \quad \text{BG-rank}(\pi) = r_0(\pi, 2) - r_1(\pi, 2)$$

and

$$(1.3) \quad \text{BG-rank}(\pi) \equiv |\pi| \pmod{2}.$$

In [2] we proved the following  $\pmod{5}$  congruences

$$(1.4) \quad p_j(5n) \equiv 0 \pmod{5} \quad \text{if } j \equiv 1, 2 \pmod{5},$$

$$(1.5) \quad p_j(5n+1) \equiv 0 \pmod{5} \quad \text{if } j \not\equiv 1, 2 \pmod{5},$$

$$(1.6) \quad p_j(5n+2) \equiv 0 \pmod{5} \quad \text{if } j \not\equiv 0, 3 \pmod{5},$$

$$(1.7) \quad p_j(5n+3) \equiv 0 \pmod{5} \quad \text{if } j \equiv 0, 3 \pmod{5},$$

$$(1.8) \quad p_j(5n+4) \equiv 0 \pmod{5} \quad \text{for all } j \in \mathbb{Z}.$$

Here  $p_j(n)$  denotes the number of partitions of  $n$  with  $\text{BG-rank} = j$ . Clearly,

$$p(5n+4) = \sum_j p_j(5n+4)$$

with  $p(n)$  denoting the number of unrestricted partitions of  $n$ . And so (1.8) implies the famous Ramanujan congruence [11]

$$p(5n+4) \equiv 0 \pmod{5}.$$

In this paper, we build on the developments in [2] to provide a combinatorial proof of (1.8).

For  $t$ -odd it is surprising that the  $\text{BG-rank}(\pi_{t\text{-core}})$  assumes only finitely many values. In fact, we will show that if  $t$  is an odd, positive integer, then

$$(1.9) \quad -\left\lfloor \frac{t-1}{4} \right\rfloor \leq \text{BG-rank}(\pi_{t\text{-core}}) \leq \left\lfloor \frac{t+1}{4} \right\rfloor.$$

Here  $\lfloor x \rfloor$  denotes the integer part of  $x$ .

We will establish the following identities. For odd  $t > 1$

$$(1.10) \quad C_{t,(-1)^{\frac{t-1}{2}} \lfloor \frac{t-1}{4} \rfloor}(q) = q^{\frac{(t-1)(t-3)}{8}} F(t, q^2),$$

$$(1.11) \quad C_{t,(-1)^{\frac{t+1}{2}} \lfloor \frac{t+1}{4} \rfloor}(q) = q^{\frac{t^2-1}{8}} \frac{E^t(q^{4t})}{E(q^4)},$$

where

$$C_{t,j}(q) = \sum_{n \geq 0} a_{t,j}(n) q^n,$$

$a_{t,j}(n)$  denotes the number of  $t$ -cores of  $n$  with BG-rank =  $j$  and

$$E(q) = \prod_{j=1}^{\infty} (1 - q^j),$$

$$F(t, q) = \frac{E^{t-4}(q^{2t})E^2(q^t)E^3(q^2)}{E^2(q)}.$$

We observe that (1.3) suggests that  $C_{t,j}(q)$  is an even (odd) function of  $q$  if  $j$  is even (odd).

It is instructive to compare (1.10, 1.11) with the well-known identity [5] for unrestricted  $t$ -cores

$$(1.12) \quad \sum_{n \geq 0} a_t(n) q^n = \frac{E^t(q^t)}{E(q)}.$$

Here  $a_t(n)$  denotes the number of  $t$ -cores of  $n$ .

The rest of this paper is organised as follows.

In Section 2 we discuss the Littlewood decomposition of  $\pi$  in terms of  $t$ -core and  $t$ -quotient of  $\pi$ . We describe the Garvan, Kim, Stanton bijection for  $t$ -cores and use a constant term technique to provide a simple proof of the Klyachko identity [8]

$$(1.13) \quad \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \cdot \vec{1}_t = 0}} q^{\frac{1}{2} \vec{n} \cdot \vec{n} + \vec{b}_t \cdot \vec{n}} = \frac{E^t(q^t)}{E(q)}.$$

Here  $\vec{1}_t = (1, 1, \dots, 1) \in \mathbb{Z}^t$ ,  $\vec{b}_t = (0, 1, 2, \dots, t-1)$ .

In Section 3 we establish a fundamental identity connecting BG-rank and the Littlewood decomposition.

In Section 4 we discuss a combinatorial proof of (1.8).

Section 5 is devoted to the proof of the identities (1.10, 1.11).

Section 6 deals with 5-cores with prescribed BG-rank. There we derive the explicit formulas for the coefficients  $a_{5,j}(n)$ ,  $j = 0, \pm 1$ .

In Section 7 we give a generalization of the BG-rank and state a number of results.

## 2. TWO BIJECTIONS

In this section we will follow closely the discussion in [4], [5] to recall some basic facts about  $t$ -cores and  $t$ -quotients. A region  $r$  in the extended  $t$ -residue diagram of  $\pi$  is the set of cells  $(i, j)$  satisfying  $t(r-1) \leq j-i < tr$ . A cell of  $\pi$  is called exposed

if it is at the end of a row. One can construct  $t$  bi-infinite words  $W_0, W_1, \dots, W_{t-1}$  of two letters  $N, E$  as

The  $r$ -th letter of  $W_i = \begin{cases} E, & \text{if there is an exposed cell labelled } i \text{ in the region } r \\ N, & \text{otherwise.} \end{cases}$

It is easy to see that the word set  $\{W_0, W_1, \dots, W_{t-1}\}$  fixes  $\pi$  uniquely.

Let  $P$  be the set of all partitions and  $P_{t\text{-core}}$  be the set of all  $t$ -cores. There is a well-known bijection

$$\phi_1 : P \rightarrow P_{t\text{-core}} \times P \times P \times P \dots \times P$$

which goes back to Littlewood [9]

$$\phi_1(\pi) = (\pi_{t\text{-core}}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{t-1})$$

such that

$$|\pi| = |\pi_{t\text{-core}}| + t \sum_{i=0}^{t-1} |\hat{\pi}_i|.$$

Multipartition  $(\hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{t-1})$  is called the  $t$ -quotient of  $\pi$ . We remark that (1.12) is the immediate corollary of the Littlewood bijection. We describe  $\phi_1$  in full detail a bit later.

The second bijection

$$\phi_2 : P_{t\text{-core}} \rightarrow \{\vec{n} : \vec{n} \in \mathbb{Z}^t, \vec{n} \cdot \vec{1}_t = 0\}$$

was introduced in [5]. It is for  $t$ -cores only

$$\phi_2(\pi_{t\text{-core}}) = \vec{n} = (n_0, n_1, \dots, n_{t-1})$$

where for  $0 \leq i \leq t-2$

$$(2.1) \quad n_i = r_i(\pi_{t\text{-core}}, t) - r_{i+1}(\pi_{t\text{-core}}, t)$$

and

$$(2.2) \quad n_{t-1} = r_{t-1}(\pi_{t\text{-core}}, t) - r_0(\pi_{t\text{-core}}, t).$$

Clearly,

$$\sum_{i=0}^{t-1} n_i = \vec{n} \cdot \vec{1}_t = 0.$$

Moreover,

$$(2.3) \quad |\pi_{t\text{-core}}| = \frac{t}{2} \vec{n} \cdot \vec{n} + \vec{b}_t \cdot \vec{n},$$

as shown in [5]. And so

$$(2.4) \quad \sum_{n \geq 0} a_t(n) q^n = \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \cdot \vec{1}_t = 0}} q^{\frac{t}{2} \vec{n} \cdot \vec{n} + \vec{b}_t \cdot \vec{n}}.$$

Note that (1.12), (2.4) imply the Klyachko identity (1.13). The reader may wonder if (2.1, 2.2) can be used to define  $\phi_2(\pi) = \vec{n}$  for any partition  $\pi$ . This, of course, can be done. However, in general  $\phi_2$  is not a 1-1 function and so  $\phi_2^{-1}$  can't be defined. Indeed, if  $\pi_1 \neq \pi_2$ , but  $\pi_{t\text{-core}}$  is a  $t$ -core of both  $\pi_1$  and  $\pi_2$  then

$$\phi_2(\pi_1) = \phi_2(\pi_2) = \phi_2(\pi_{t\text{-core}}).$$

When a partition is a  $t$ -core,  $\phi_2$  can be inverted. To do this we recall that the partition is a  $t$ -core iff for  $0 \leq i \leq t-1$

$$\begin{array}{l} \text{Region} : \dots\dots\dots n_i - 1 \quad n_i \quad n_i + 1 \quad n_i + 2 \quad \dots\dots\dots \\ W_i : \dots\dots\dots E \quad E \quad N \quad N \quad \dots\dots\dots \end{array}$$

as explained in [5]. For example, the word image of  $\phi_2^{-1}((2, -1, -1))$  is

$$\begin{array}{l} \text{Region} : \dots\dots -1 \quad 0 \quad 1 \quad 2 \quad 3 \quad \dots\dots \\ W_0 : \dots\dots E \quad E \quad E \quad E \quad N \quad \dots\dots \\ W_1 : \dots\dots E \quad N \quad N \quad N \quad N \quad \dots\dots \\ W_2 : \dots\dots E \quad N \quad N \quad N \quad N \quad \dots\dots . \end{array}$$

This means that

$$(2.5) \quad \phi_2^{-1}((2, -1, -1)) = (4, 2).$$

More generally, if

$$\phi_1(\pi) = (\pi_{t\text{-core}}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{t-1})$$

with

$$\hat{\pi}_i = (\lambda_1^{(i)}, \lambda_2^{(i)}, \dots, \lambda_{m_i}^{(i)}), \quad 0 \leq i \leq t-1,$$

then cells colored  $i$  are not exposed only in the regions

$$n_i + j - \lambda_j^{(i)}, \quad 1 \leq j \leq m_i$$

and

$$n_i + m_i + k, \quad k \geq 1.$$

For example, if  $\hat{\pi}_i = (\lambda_1, \lambda_2, \lambda_3)$  then

$$\begin{array}{l} \text{Region} : \dots\dots n_i + 1 - \lambda_1 \quad \dots\dots n_i + 2 - \lambda_2 \quad \dots\dots n_i + 3 - \lambda_3 \quad \dots\dots n_i + 4 \quad \dots\dots \\ W_i : \dots\dots E \quad N \quad E \quad \dots\dots E \quad N \quad E \quad \dots\dots E \quad N \quad E \quad \dots\dots E \quad N \quad \dots\dots \end{array}$$

Clearly, one can easily determine  $\vec{n}$  and  $(\hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{t-1})$  from the word set  $\{W_0, W_1, \dots, W_{t-1}\}$ .

And so

$$\phi_1(\pi) = (\phi_2^{-1}(\vec{n}), \hat{\pi}_0, \dots, \hat{\pi}_{t-1}).$$

We illustrate the above with the following example. If  $t = 3$  and  $\pi = (7, 5, 4, 3, 2)$  then

$$\begin{array}{l} \text{Region} : \dots\dots -2 \quad -1 \quad 0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5 \quad \dots\dots \\ W_0 : \dots\dots E \quad E \quad E \quad N \quad E \quad E \quad N \quad N \quad \dots\dots \\ W_1 : \dots\dots E \quad N \quad N \quad E \quad N \quad N \quad N \quad N \quad \dots\dots \\ W_2 : \dots\dots E \quad N \quad E \quad N \quad N \quad N \quad N \quad N \quad \dots\dots . \end{array}$$

We have

$$\begin{array}{ll} n_0 = 2, & \hat{\pi}_0 = (2), \\ n_1 = -1, & \hat{\pi}_1 = (1, 1), \\ n_2 = -1, & \hat{\pi}_2 = (1). \end{array}$$

Using (2.5), we obtain

$$\phi_1((7, 5, 4, 3, 2)) = ((4, 2), (2), (1, 1), (1)).$$

To proceed further we recall some standard  $q$ -hypergeometric notations [6]:

$$(a_1, a_2, a_3, \dots; q)_N = (a_1; q)_N (a_2; q)_N (a_3; q)_N \dots$$

where

$$(a; q)_N = (a)_N = \begin{cases} \prod_{j=0}^{N-1} (1 - aq^j), & N > 0 \\ 1, & N = 0 \\ \prod_{j=1}^{-N} (1 - aq^{-j})^{-1}, & N < 0. \end{cases}$$

We shall also require the Jacobi triple product identity [6, (II.28)]

$$(2.6) \quad \sum_{n=-\infty}^{\infty} q^{n^2} z^n = (q^2, -zq, -\frac{q}{z}; q^2)_{\infty}.$$

We are now ready to prove the Klyachko identity (1.13). We will employ a so-called constant term technique. To this end we rewrite the left hand side of (1.13) as

$$\text{LHS (1.13)} = [z^0] \sum_{\vec{n} \in \mathbb{Z}^t} q^{\frac{t}{2} \vec{n} \cdot \vec{n} + \vec{b}_t \cdot \vec{n}} z^{\vec{n} \cdot \vec{1}_t} = [z^0] \prod_{i=0}^{t-1} \sum_{n_i=-\infty}^{\infty} q^{\frac{t}{2} n_i^2 + i n_i} z^{n_i}$$

where  $[z^i]f(z)$  is the coefficient of  $z^i$  in the expansion of  $f(z)$  in powers of  $z$ . With the aid of (2.6) we derive

$$\begin{aligned} \text{LHS (1.13)} &= [z^0] \prod_{i=0}^{t-1} \left( q^t, -q^{i+\frac{t}{2}} z, -\frac{q^{\frac{t}{2}}}{q^i z}; q^t \right)_{\infty} \\ &= [z^0] \frac{E^t(q^t)}{E(q)} \left( q, -q^{\frac{t}{2}} z, -\frac{q}{q^{\frac{t}{2}} z}; q \right)_{\infty} \\ &= [z^0] \left( \frac{E^t(q^t)}{E(q)} \sum_{n=-\infty}^{\infty} q^{\frac{n^2}{2} + \frac{t-1}{2} n} z^n \right) \\ &= \frac{E^t(q^t)}{E(q)}, \end{aligned}$$

as desired. The above proof is just a warm-up exercise to prepare the reader for a more sophisticated proof of (1.10) discussed in Section 5.

### 3. THE LITTLEWOOD DECOMPOSITION AND BG-RANK

The main goal of this section is to establish the following identities for BG-rank. If  $t$  is even and  $(n_0, \dots, n_{t-1}) = \phi_2(\pi)$ , then

$$(3.1) \quad \text{BG-rank}(\pi) = \sum_{i=0}^{\frac{t-2}{2}} n_{2i}.$$

If  $t$  is odd then

$$(3.2) \quad \text{BG-rank}(\pi_{t\text{-core}}) = \text{bg}(\vec{n}),$$

where  $\vec{n} = \phi_2(\pi_{t\text{-core}})$  and

$$(3.3) \quad \text{bg}(\vec{n}) := \frac{1 - \sum_{j=0}^{t-1} (-1)^{j+n_j}}{4}.$$

Moreover, if  $t$  is odd and  $\phi_1(\pi) = (\pi_{t\text{-core}}, \widehat{\pi}_0, \dots, \widehat{\pi}_{t-1})$  then

$$(3.4) \quad \text{BG-rank}(\pi) = \text{BG-rank}(\pi_{t\text{-core}}) + \sum_{j=0}^{t-1} (-1)^{j+n_j} \text{BG-rank}(\widehat{\pi}_j).$$

The proof of (3.1) is straightforward. It is sufficient to observe that if some cell is colored  $i$  in the  $t$ -residue diagram of  $\pi$ , then it is colored  $\frac{1-(-1)^i}{2}$  in the 2-residue diagram of  $\pi$ . And so we obtain with the aid of (1.2)

$$\begin{aligned} \text{BG-rank}(\pi) &= (r_0 + r_2 + r_4 + \cdots + r_{t-2}) - (r_1 + r_3 + r_5 + \cdots + r_{t-1}) \\ &= (r_0 - r_1) + (r_2 - r_3) + \cdots + (r_{t-2} - r_{t-1}) \\ &= n_0 + n_2 + \cdots + n_{t-2}, \end{aligned}$$

as desired. Next, let  $D(\pi) = D$  denote the size of the Durfee square of  $\pi$ . To prove (3.2) we begin by rewriting (1.1) as

$$(3.5) \quad \text{BG-rank}(\pi) = \frac{1}{2} \left( \text{par}(\nu) + \sum_{j=1}^{\nu} (-1)^{\lambda_j - j} \right).$$

Here  $\pi = (\lambda_1, \lambda_2, \dots, \lambda_\nu)$  and  $\text{par}(x)$  is defined as

$$\text{par}(x) := \frac{1 - (-1)^x}{2}.$$

Next, let  $\pi_1, \pi_2$  denote the partitions constructed from the first  $D = D(\pi_{t\text{-core}})$  rows, columns of  $\pi_{t\text{-core}}$ , respectively. Let  $\pi_3$  denote a partition whose diagram is the Durfee square of  $\pi_{t\text{-core}}$ . It is plain that

$$(3.6) \quad \begin{aligned} \text{BG-rank}(\pi_{t\text{-core}}) &= \text{BG-rank}(\pi_1) + \text{BG-rank}(\pi_2) - \text{BG-rank}(\pi_3) \\ &= \text{BG-rank}(\pi_1) + \text{BG-rank}(\pi_2) - \text{par}(D). \end{aligned}$$

We shall also require the following sets

$$\begin{aligned} P_+ &:= \{i \in \mathbb{Z} : 0 \leq i \leq t-1, n_i > 0\}, \\ P_- &:= \{i \in \mathbb{Z} : 0 \leq i \leq t-1, n_i < 0\}. \end{aligned}$$

Here  $n_i$ 's are the components of  $\phi_2(\pi_{t\text{-core}})$ . Note that if  $i \in P_+$ , then  $i$  is exposed in all positive regions  $\leq n_i$  of  $\pi_1$ . This observation together with (3.5) implies that

$$(3.7) \quad \begin{aligned} \text{BG-rank}(\pi_1) &= \frac{1}{2} \left( \text{par}(D) + \sum_{i \in P_+} \sum_{k=1}^{n_i} (-1)^{t(k-1)+i} \right) \\ &= \frac{1}{2} \left( \text{par}(D) + \sum_{i \in P_+} (-1)^i \text{par}(n_i) \right) \end{aligned}$$

In [5], the authors showed that under conjugation  $\phi_2(\pi_{t\text{-core}})$  transforms as

$$(n_0, n_1, n_2, \dots, n_{t-1}) \rightarrow (-n_{t-1}, -n_{t-2}, -n_{t-3}, \dots, -n_0).$$

Also it is easy to see that

$$\text{BG-rank}(\pi_2) = \text{BG-rank}(\pi_2').$$

It follows that

$$(3.8) \quad \text{BG-rank}(\pi_2) = \frac{1}{2} \left( \text{par}(D) + \sum_{i \in P_-} (-1)^i \text{par}(n_i) \right).$$

Combining (3.6, 3.7, 3.8) and taking into account that  $\text{par}(0) = 0$  we get

$$\begin{aligned} \text{BG-rank}(\pi_t\text{-core}) &= \frac{1}{2} \sum_{i \in P_- \cup P_+} (-1)^i \text{par}(n_i) \\ &= \frac{1}{2} \sum_{i=0}^{t-1} (-1)^i \text{par}(n_i) = \frac{1 - \sum_{i=0}^{t-1} (-1)^{i+n_i}}{4}, \end{aligned}$$

as desired. Note that formula (3.2) implies that BG-rank of odd  $t$ -core is bounded, as stated in (1.9). Next, let  $\tilde{\pi}_{0,i}, \tilde{\pi}_{2,i}, \tilde{\pi}_{3,i}, \dots$  denote the partitions constructed from  $\phi_1(\pi) = (\pi_t\text{-core}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{t-1})$ , for odd  $t$  as follows

$$\begin{aligned} \tilde{\pi}_{0,i} &= \phi_1^{-1}(\pi_t\text{-core}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{i-1}, (0), \hat{\pi}_{i+1}, \dots, \hat{\pi}_{t-1}), \\ \tilde{\pi}_{1,i} &= \phi_1^{-1}(\pi_t\text{-core}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{i-1}, (\lambda_1), \hat{\pi}_{i+1}, \dots, \hat{\pi}_{t-1}), \\ \tilde{\pi}_{2,i} &= \phi_1^{-1}(\pi_t\text{-core}, \hat{\pi}_0, \hat{\pi}_1, \dots, \hat{\pi}_{i-1}, (\lambda_1, \lambda_2), \hat{\pi}_{i+1}, \dots, \hat{\pi}_{t-1}), \\ &\dots \end{aligned}$$

Here  $\hat{\pi}_i = (\lambda_1, \lambda_2, \dots, \lambda_\nu)$ . Note that the  $W_i$  word of  $\tilde{\pi}_{0,i}$  is

$$\begin{array}{l} \text{Region} : \dots\dots\dots n_i \quad n_i + 1 \quad \dots\dots\dots \\ W_i \quad : \dots\dots\dots E \quad N \quad \dots\dots\dots \end{array}$$

To convert  $\tilde{\pi}_{0,i}$  into  $\tilde{\pi}_{1,i}$  we attach a rim hook of length  $t\lambda_1$  to  $\tilde{\pi}_{0,i}$  so that  $W_i$  becomes

$$\begin{array}{l} \text{Region} : \dots\dots\dots n_i + 1 - \lambda_1 \quad \dots\dots\dots n_i + 2, \quad \dots\dots\dots \\ W_i \quad : \dots\dots\dots E \quad N \quad E \quad \dots\dots\dots E \quad N \quad N \quad \dots\dots\dots \end{array}$$

It is not hard to verify that the color of the head (north-eastern) cell of the added rim-hook in the 2-residue diagram of  $\tilde{\pi}_{1,i}$  is given by  $\text{par}(tn_i + i) = \text{par}(n_i + i)$ . Observe that zeros and ones alternate along the added hook rim. This means that BG-rank does not change if  $\lambda_1$  is even. If  $\lambda_1$  is odd then the change is determined by the color of the added head cell, i.e.

$$\begin{aligned} \text{BG-rank}(\tilde{\pi}_{1,i}) &= \text{BG-rank}(\tilde{\pi}_{0,i}) + \text{par}(\lambda_1)(1 - 2\text{par}(n_i + i)) \\ &= \text{BG-rank}(\tilde{\pi}_{0,i}) + \text{par}(\lambda_1)(-1)^{n_i+i}, \end{aligned}$$

Next, we convert  $\tilde{\pi}_{1,i}$  into  $\tilde{\pi}_{2,i}$  by adding the new hook rim of length  $t\lambda_2$  to  $\tilde{\pi}_{1,i}$  so that  $W_i$  becomes

$$\begin{array}{l} \text{Region} : \dots\dots\dots n_i + 1 - \lambda_1 \quad \dots\dots\dots n_i + 2 - \lambda_2 \quad \dots\dots\dots n_i + 3 \quad \dots\dots\dots \\ W_i \quad : \dots\dots\dots E \quad N \quad E \quad \dots\dots\dots E \quad N \quad E \quad \dots\dots\dots E \quad N \quad \dots\dots\dots \end{array}$$

The color of the new head cell is given by

$$\text{par}(t(n_i + 1) + i) = \text{par}(n_i + 1 + i),$$

and so

$$\begin{aligned} \text{BG-rank}(\tilde{\pi}_{2,i}) &= \text{BG-rank}(\tilde{\pi}_{1,i}) + \text{par}(\lambda_2)(1 - 2\text{par}(n_i + 1 + i)) \\ &= \text{BG-rank}(\tilde{\pi}_{0,i}) + (-1)^{n_i+i}(\text{par}(\lambda_1) - \text{par}(\lambda_2)). \end{aligned}$$



Proceeding as above we arrive at

$$\begin{aligned}
 \text{BG-rank}(\pi) &= \text{BG-rank}(\tilde{\pi}_{0,i}) + (-1)^{n_i+i} \sum_{j=1}^{\nu} (-1)^{j+1} \text{par}(\lambda_j) \\
 (3.9) \qquad \qquad &= \text{BG-rank}(\tilde{\pi}_{0,i}) + (-1)^{n_i+i} \text{BG-rank}(\hat{\pi}_i).
 \end{aligned}$$

Formula (3.4) follows easily from (3.9). Let us now define  $\vec{B}_t, \vec{\vec{B}}_t \in \mathbb{Z}^t$  as

$$\vec{B}_t = \begin{cases} \sum_{i=0}^{\frac{t-1}{2}} \vec{e}_{2i}, & \text{if } t \equiv 1 \pmod{4} \\ \sum_{i=0}^{\frac{t-3}{2}} \vec{e}_{1+2i}, & \text{if } t \equiv -1 \pmod{4} \end{cases}$$

and

$$\vec{\vec{B}}_t = \vec{B}_t + \sum_{i=0}^{t-1} \vec{e}_i = \begin{cases} \sum_{i=0}^{\frac{t-3}{2}} \vec{e}_{1+2i}, & \text{if } t \equiv 1 \pmod{4} \\ \sum_{i=0}^{\frac{t-1}{2}} \vec{e}_{2i}, & \text{if } t \equiv -1 \pmod{4} \end{cases}$$

Here  $\vec{e}_i$ 's are standard unit vectors in  $\mathbb{Z}^t$  defined as  $e_0 = (1, 0, \dots, 0), \dots, \vec{e}_{t-1} = (0, \dots, 0, 1)$ .

We conclude this section with the following important observation. If odd  $t > 1$ ,  $k = 0, 1, \dots, \frac{t-1}{2}$  and  $\vec{n} \in \mathbb{Z}^t$ ,  $\vec{n} \cdot \vec{1}_t = 0$ , then

$$(3.10) \qquad \qquad \qquad \text{bg}(\vec{n}) = (-1)^{\frac{t-1}{2}} \left( \left\lfloor \frac{t}{4} \right\rfloor - k \right)$$

iff  $\vec{n} \equiv \vec{B}_t + \vec{e}_{i_0} + \vec{e}_{i_1} + \dots + \vec{e}_{i_{2k}} \pmod{2}$  for some  $0 \leq i_0 < i_1 < i_2 < \dots < i_{2k} \leq t-1$ . In particular, if  $\vec{n} \in \mathbb{Z}^t$ ,  $\vec{n} \cdot \vec{1}_t = 0$ , then

$$(3.11) \qquad \qquad \qquad \text{bg}(\vec{n}) = (-1)^{\frac{t+1}{2}} \left\lfloor \frac{t+1}{4} \right\rfloor$$

iff  $\vec{n} \equiv \vec{\vec{B}}_t \pmod{2}$ . We leave the proof as an exercise for the interested reader.

#### 4. COMBINATORIAL PROOF OF $p_j(5n+4) \equiv 0 \pmod{5}$

Throughout this section we assume that

$$|\pi| \equiv 4 \pmod{5}$$

and

$$|\pi_{5\text{-core}}| \equiv 4 \pmod{5}.$$

To prove (1.8) we shall require a few definitions. Following [5], we define the 5-core crank as

$$(4.1) \qquad c_5(\pi) := 2(r_0(\pi, 5) - r_4(\pi, 5)) + (r_1(\pi, 5) - r_3(\pi, 5)) + 1 \pmod{5}.$$

Note that if  $|\pi_{5\text{-core}}| \equiv 4 \pmod{5}$ , then obviously

$$(4.2) \qquad n_0 + n_1 + n_2 + n_3 + n_4 = 0,$$

$$(4.3) \qquad n_1 + 2n_2 + 3n_3 + 4n_4 \equiv 4 \pmod{5}.$$

Here,  $\vec{n} = (n_0, n_1, n_2, n_3, n_4) = \phi_2(\pi_5\text{-core})$ . Let's introduce a new vector  $\vec{\alpha}(\vec{n}) = (\alpha_0, \alpha_1, \alpha_2, \alpha_3, \alpha_4)$ , defined as

$$(4.4) \quad \alpha_0 = \frac{n_0 - 3n_1 - 2n_2 - n_3 + 1}{5},$$

$$(4.5) \quad \alpha_1 = \frac{-3n_0 - n_1 - 4n_2 - 2n_3 + 2}{5},$$

$$(4.6) \quad \alpha_2 = \frac{-3n_0 - n_1 + n_2 - 2n_3 + 2}{5},$$

$$(4.7) \quad \alpha_3 = \frac{n_0 + 2n_1 + 3n_2 + 4n_3 + 1}{5},$$

$$(4.8) \quad \alpha_4 = \frac{4n_0 + 3n_1 + 2n_2 + n_3 - 1}{5}.$$

Using (4.2, 4.3) it is easy to verify that  $\vec{\alpha}(\vec{n}) \in \mathbb{Z}^5$  and that

$$(4.9) \quad (\alpha_0 + \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4) = 1.$$

Inverting (4.4–4.8) we find that

$$(4.10) \quad n_0 = \alpha_0 + \alpha_4,$$

$$(4.11) \quad n_1 = -\alpha_0 + \alpha_1 + \alpha_4,$$

$$(4.12) \quad n_2 = -\alpha_1 + \alpha_2,$$

$$(4.13) \quad n_3 = -\alpha_2 + \alpha_3 - \alpha_4,$$

$$(4.14) \quad n_4 = -\alpha_3 - \alpha_4,$$

Note that in terms of these new variables we have

$$(4.15) \quad c_5(\pi) \equiv \sum_{i=0}^4 i\alpha_i \pmod{5},$$

$$(4.16) \quad |\pi| = 5Q(\vec{\alpha}) - 1 + 5 \sum_{i=0}^4 |\widehat{\pi}_i|,$$

and

$$(4.17) \quad \begin{aligned} \text{BG-rank}(\pi) = & \frac{1 - (-1)^{\alpha_0 + \alpha_1} - (-1)^{\alpha_1 + \alpha_2} - \dots - (-1)^{\alpha_4 + \alpha_0}}{4} \\ & + (-1)^{\alpha_0 + \alpha_4} \text{BG-rank}(\widehat{\pi}_0) \\ & + (-1)^{\alpha_2 + \alpha_3} \text{BG-rank}(\widehat{\pi}_1) \\ & + (-1)^{\alpha_1 + \alpha_2} \text{BG-rank}(\widehat{\pi}_2) \\ & + (-1)^{\alpha_0 + \alpha_1} \text{BG-rank}(\widehat{\pi}_3) \\ & + (-1)^{\alpha_3 + \alpha_4} \text{BG-rank}(\widehat{\pi}_4). \end{aligned}$$

Here  $\phi_1(\pi) = (\pi_5\text{-core}, \widehat{\pi}_0, \dots, \widehat{\pi}_4)$  and  $Q(\vec{\alpha}) := \vec{\alpha} \cdot \vec{\alpha} - (\alpha_0\alpha_1 + \alpha_1\alpha_2 + \dots + \alpha_4\alpha_0)$ . It is convenient to combine  $\phi_1, \phi_2, \vec{\alpha}$  into a new invertible function  $\Phi$ , defined as

$$\Phi(\pi) = (\vec{\alpha}(\phi_2(\pi_5\text{-core})), \vec{\widehat{\pi}}),$$

where  $\vec{\pi} := (\widehat{\pi}_0, \dots, \widehat{\pi}_4)$ . Following [2] we define

$$\begin{aligned}\widehat{C}_1(\vec{\alpha}) &= (\alpha_4, \alpha_0, \alpha_1, \alpha_2, \alpha_3), \\ \widehat{C}_2(\vec{\pi}) &= (\widehat{\pi}_4, \widehat{\pi}_2, \widehat{\pi}_3, \widehat{\pi}_0, \widehat{\pi}_1), \\ \widehat{O}(\pi) &= \Phi^{-1}(\widehat{C}_1(\vec{\alpha}), \widehat{C}_2(\vec{\pi})).\end{aligned}$$

We observe that operator  $\widehat{O}$  has the following properties

$$(4.18) \quad \begin{aligned}|\widehat{O}(\pi)| &= |\pi|, \\ \widehat{O}^5(\pi) &= \pi, \\ \text{BG-rank}(\widehat{O}(\pi)) &= \text{BG-rank}(\pi), \\ c_5(\widehat{O}(\pi)) &\equiv 1 + c_5(\pi) \pmod{5}.\end{aligned}$$

Clearly,  $\widehat{O}$  preserves the norm and the BG-rank of the partition. And so we can assemble all partitions of  $5n + 4$  with BG-rank =  $j$  into disjoint orbits:

$$\pi, \quad \widehat{O}(\pi), \quad \widehat{O}^2(\pi), \quad \widehat{O}^3(\pi), \quad \widehat{O}^4(\pi).$$

Here,  $\pi$  is some partition of  $5n + 4$  with BG-rank =  $j$ . Formula (4.18) suggests that all five members of the same orbit are distinct. Clearly,

$$p_j(5n + 4) = 5 \cdot (\text{number of orbits}).$$

Hence,  $p_j(5n + 4) \equiv 0 \pmod{5}$ , as desired. In fact, we have the following

**Theorem 4.1.** *Let  $j$  be any fixed integer. The residue of the 5-core rank mod 5 divides the partitions enumerated by  $p_j(5n + 4)$  into five equal classes.*

We note that this theorem generalizes Theorem 4.1 [2, p.717].

## 5. IDENTITIES FOR ODD $t$ -CORES WITH EXTREME BG-RANK VALUES

The main object of this section is to provide a proof of formulas (1.10) and (1.11). Throughout this section  $t$  is presumed to be a positive odd integer. We will prove (1.11) first. To this end we employ the observation (3.10) together with (2.3) to rewrite it as

$$(5.1) \quad \sum_{\substack{\vec{n} \in \mathbb{Z}^t, \vec{n} \cdot \vec{1}_t = 0 \\ \vec{n} \equiv \vec{B}_t \pmod{2}}} q^{\tilde{Q}(\vec{n})} = q^{\frac{t^2-1}{8}} \frac{E^t(q^{4t})}{E(q^4)},$$

where

$$(5.2) \quad \tilde{Q}(\vec{n}) := \frac{t}{2} \vec{n} \cdot \vec{n} + \vec{b}_t \cdot \vec{n}.$$

Next we introduce new summation variables  $\vec{n} = (\tilde{n}_0, \dots, \tilde{n}_{t-1}) \in \mathbb{Z}^t$  as follows

$$(5.3) \quad \vec{n} = 2\vec{\tilde{n}} + \sum_{i=0}^{\lfloor \frac{t-3}{4} \rfloor} \left( \vec{e}_{\frac{t-3}{2}-2i} - \vec{e}_{\frac{t+1}{2}+2i} \right).$$

Obviously,  $\vec{\tilde{n}}$  is subject to the constraint

$$(5.4) \quad \vec{\tilde{n}} \cdot \vec{1}_t = 0.$$

Note that in terms of new variables we have

$$(5.5) \quad \tilde{Q}(\vec{n}) = \tilde{Q}(\vec{n}) + (t-1)\vec{1}_t \cdot \vec{n} = \frac{t^2-1}{8} + 4\left\{\frac{t}{2}\vec{n} \cdot \vec{n} + \sigma_1 + \sigma_2 + \sigma_3\right\},$$

where

$$\begin{aligned} \sigma_1 &= \sum_{i=0}^{\lfloor \frac{t-3}{4} \rfloor} (t-1-i)\tilde{n}_{\frac{t-3}{2}-2i}, \\ \sigma_2 &= \sum_{i=0}^{\lfloor \frac{t-3}{4} \rfloor} i\tilde{n}_{2i+\frac{t+1}{2}}, \\ \sigma_3 &= \sum_{i=-\lfloor \frac{t-1}{4} \rfloor}^{\lfloor \frac{t-1}{4} \rfloor} \left(\frac{t-1}{2}+i\right)\tilde{n}_{\frac{t-1}{2}+2i}. \end{aligned}$$

At this point it is natural to perform further changes:

$$\begin{aligned} \tilde{n}_{\frac{t-3}{2}-2i} &\rightarrow \tilde{n}_{t-1-i}, & 0 \leq i \leq \left\lfloor \frac{t-3}{4} \right\rfloor \\ \tilde{n}_{\frac{t+1}{2}+2i} &\rightarrow \tilde{n}_i, & 0 \leq i \leq \left\lfloor \frac{t-3}{4} \right\rfloor \\ \tilde{n}_{\frac{t-1}{2}+2i} &\rightarrow \tilde{n}_{\frac{t-1}{2}+i}, & -\left\lfloor \frac{t-1}{4} \right\rfloor \leq i \leq \left\lfloor \frac{t-1}{4} \right\rfloor. \end{aligned}$$

This way we obtain

$$\begin{aligned} \tilde{Q}(\vec{n}) &= \frac{t^2-1}{8} + 4\tilde{Q}(\vec{n}), \\ \vec{n} &\in \mathbb{Z}^t, \quad \vec{n} \cdot \vec{1}_t = 0. \end{aligned}$$

And so with the aid of the Klyachko identity (1.13) we find that

$$(5.6) \quad C_{t,(-1)^{\frac{t+1}{4}}\lfloor \frac{t+1}{4} \rfloor}(q) = \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \cdot \vec{1}_t = 0}} q^{\frac{t^2-1}{8}+4\tilde{Q}(\vec{n})} = q^{\frac{t^2-1}{8}} \frac{E^t(q^{4t})}{E(q^4)},$$

as desired. To prove (1.10) we shall require the following lemma.

**Lemma 5.1.** *For a positive odd  $t$*

$$(5.7) \quad \psi^2(q^2) = q^{\frac{t-1}{2}} \psi^2(q^{2t}) + \frac{E^3(q^{4t})}{f(-q^t, -q^{3t})} \sum_{i=0}^{\frac{t-3}{2}} q^i \frac{f(q^{t-1-2i}, -q^{1+2i})}{f(-q^{4i+2}, -q^{4t-2-4i})}$$

holds.

In the above we employed the Ramanujan notations

$$(5.8) \quad \psi(q) := \frac{E^2(q^2)}{E(q)} = \sum_{n \geq 0} q^{\binom{n+1}{2}},$$

$$(5.9) \quad f(a, b) := (ab, -a, -b; ab)_\infty.$$

Using (2.6) we can easily show that

$$(5.10) \quad f(a, b) = \sum_{n=-\infty}^{\infty} a^{\frac{n(n+1)}{2}} b^{\frac{n(n-1)}{2}}.$$

Setting  $a = q^{t-1-2i}$ ,  $b = -q^{1+2i}$ ,  $0 \leq i \leq \frac{t-3}{2}$  in (5.10) and dissecting we obtain

$$(5.11) \quad \begin{aligned} f(q^{t-1-2i}, -q^{1+2i}) &= f(-q^{2+t+4i}, -q^{3t-2-4i}) \\ &+ q^{t-1-2i} f(-q^{2-t+4i}, -q^{5t-2-4i}). \end{aligned}$$

To prove the above lemma we start with the Ramanujan  ${}_1\psi_1$ -summation formula [6, II.29]

$$(5.12) \quad \sum_{n=-\infty}^{\infty} \frac{(a)_n}{(b)_n} z^n = \frac{(az, \frac{q}{az}, q, \frac{b}{a}; q)_{\infty}}{(z, \frac{b}{az}, b, \frac{q}{a}; q)_{\infty}}, \quad \left| \frac{b}{a} \right| < |z| < 1.$$

We set  $b = aq$  to obtain

$$(5.13) \quad \sum_{n=-\infty}^{\infty} \frac{z^n}{1-aq^n} = \frac{(az, \frac{q}{az}, q, q; q)_{\infty}}{(z, \frac{q}{z}, a, \frac{q}{a}; q)_{\infty}} = \frac{E^3(q) f(-az, -\frac{q}{az})}{f(-z, -\frac{q}{z}) f(-a, -\frac{q}{a})}, \quad |q| < |z| < 1.$$

If we replace  $q \rightarrow q^4$ ,  $z = q$ ,  $a = q^2$  in (5.13) we find that

$$(5.14) \quad \sum_{n=-\infty}^{\infty} \frac{q^n}{1-q^{2+4n}} = \psi^2(q^2).$$

Next we split the sum on the left of (5.14) as

$$(5.15) \quad \psi^2(q^2) = \sum_{\substack{i=0 \\ i \neq \frac{t-1}{2}}}^{t-1} \sum_{m=-\infty}^{\infty} q^i \frac{q^{tm_i}}{1-q^{2+4i}q^{4tm_i}} + \sum_{m=-\infty}^{\infty} q^{\frac{t-1}{2}} \frac{q^{tm}}{1-q^{2t}q^{4tm}}.$$

Using (5.14) with  $q \rightarrow q^t$  it is easy to recognize the last sum in (5.15) as  $q^{\frac{t-1}{2}} \psi^2(q^{2t})$ . And so we have

$$(5.16) \quad \psi^2(q^2) = q^{\frac{t-1}{2}} \psi^2(q^{2t}) + \frac{E^3(q^{4t})}{f(-q^t, -q^{3t})} \sum_{\substack{i=0 \\ i \neq \frac{t-1}{2}}}^{t-1} q^i \frac{f(-q^{2+4i+t}, -q^{3t-2-4i})}{f(-q^{2+4i}, -q^{4t-2-4i})},$$

where we have made a multiple use of (5.13). Finally, folding the last sum in half and using (5.11) we arrive at

$$(5.17) \quad \begin{aligned} \psi^2(q^2) &= q^{\frac{t-1}{2}} \psi^2(q^{2t}) + \sum_{i=0}^{\frac{t-3}{2}} \frac{E^3(q^{4t}) q^i}{f(-q^t, -q^{3t}) f(-q^{2+4i}, -q^{4t-2-2i})} \\ &\times \{ f(-q^{2+4i+t}, -q^{3t-2-4i}) + q^{t-1-2i} f(-q^{5t-2-4i}, -q^{2-t+4i}) \} \\ &= q^{\frac{t-1}{2}} \psi^2(q^{2t}) + \frac{E^3(q^{4t})}{f(-q^t, -q^{3t})} \sum_{i=0}^{\frac{t-3}{2}} q^i \frac{f(q^{t-1-2i}, -q^{1+2i})}{f(-q^{2+4i}, -q^{4t-2-4i})}. \end{aligned}$$

This concludes the proof of Lemma 5.1.

We now move on to prove (1.10). Again, using the observation (3.10), we can rewrite it as

$$(5.18) \quad \sum_{j=0}^{t-1} \sum_{\substack{\vec{n} \in \mathbb{Z}^t, \vec{n} \cdot \vec{1}_t = 0 \\ \vec{n} \equiv \vec{B}_t + \vec{e}_j \pmod{2}}} q^{\tilde{Q}(\vec{n})} = q^{\frac{(t-1)(t-3)}{8}} F(t, q^2).$$

Remarkably, (5.18) is just the constant term in  $z$  of the following more general identity

$$(5.19) \quad \begin{aligned} & \sum_{j=0}^{t-1} \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \equiv \vec{B}_t + \vec{e}_j \pmod{2}}} q^{\vec{Q}(\vec{n})} z^{\frac{\vec{n} \cdot \vec{1}_t}{2}} \\ &= q^{\frac{(t-1)(t-3)}{8}} F(t, q^2) \sum_{n=-\infty}^{\infty} q^{2n^2 + (t-1)n} z^n. \end{aligned}$$

To prove (5.19) we observe that its right hand side satisfies the *first* order functional equation

$$(5.20) \quad \widehat{D}_{t,q}(f(z)) = f(z),$$

where

$$\widehat{D}_{t,q}(f(z)) := zq^{t+1}f(zq^4).$$

After a bit of labor one can verify that for  $0 \leq i \leq t-1$

$$(5.21) \quad \widehat{D}_{t,q} \left( \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \equiv \vec{B}_t + \vec{e}_i \pmod{2}}} q^{\vec{Q}(\vec{n})} z^{\frac{\vec{n} \cdot \vec{1}_t}{2}} \right) = \sum_{\substack{\vec{n} \in \mathbb{Z}^t \\ \vec{n} \equiv \vec{B}_t + \vec{e}_{i+2} \pmod{2}}} q^{\vec{Q}(\vec{n})} z^{\frac{\vec{n} \cdot \vec{1}_t}{2}},$$

where  $\vec{e}_t := \vec{e}_0$  and  $\vec{e}_{t+1} := \vec{e}_1$ . Clearly, (5.21) implies that the left hand side of (5.19) satisfies (5.20), as well. It remains to verify (5.19) at one nontrivial point. To this end we set

$$z = \begin{cases} 1, & \text{if } t \equiv -1 \pmod{4}, \\ q^2, & \text{if } t \equiv 1 \pmod{4} \end{cases}$$

in (5.19), and then replace  $q^2 \rightarrow q$  to get with the help of (2.6)

$$(5.22) \quad \begin{aligned} & q^{\frac{t-1}{2}} \psi(q^{2t}) \prod_{j=0}^{\frac{t-3}{2}} f^2(q^{1+2j}, q^{2t-1-2j}) \\ & \times \left\{ 1 + \sum_{i=1}^{\frac{t-1}{2}} q^{-i} \frac{f(q^t, q^t) f(q^{2i}, q^{2t-2i})}{\psi(q^{2t}) f(q^{t+2i}, q^{t-2i})} \right\} = \psi(q^2) F(t, q). \end{aligned}$$

To proceed further we need to verify two product identities

$$\psi(q^2) \prod_{j=0}^{\frac{t-3}{2}} f^2(q^{1+2j}, q^{2t-1-2j}) = \psi(q^{2t}) F(t, q)$$

and

$$\psi(q^{2t}) \frac{f(q^t, q^t) f(q^{2i}, q^{2t-2i})}{f(q^{t+2i}, q^{t-2i})} = E^3(q^{4t}) \frac{f(q^{2i}, -q^{t-2i})}{f(-q^t, -q^{3t}) f(-q^{2t+4i}, -q^{2t-4i})}, \quad i \in \mathbb{N}.$$

Next, we multiply both sides of (5.22) by  $\frac{\psi(q^2)}{F(t, q)}$  and simplify to arrive at

$$(5.23) \quad q^{\frac{t-1}{2}} \psi^2(q^{2t}) + \frac{E^3(q^{4t})}{f(-q^t, -q^{3t})} \sum_{i=1}^{\frac{t-1}{2}} q^{\frac{t-1}{2}-i} \frac{f(q^{2i}, -q^{t-2i})}{f(-q^{2t+4i}, -q^{2t-4i})} = \psi^2(q^2),$$

which is essentially the identity in Lemma 5.1. This concludes our proof of (5.19). It follows that (5.18), (1.10) hold true.

## 6. 5-CORES WITH PRESCRIBED BG-RANK

Formula (1.9) suggests that  $\text{BG-rank}(\pi_5\text{-core})$  can assume just three values:  $0, \pm 1$ . This means that

$$(6.1) \quad a_5(n) = a_{5,-1}(n) + a_{5,0}(n) + a_{5,1}(n).$$

The generating function of version (6.1) is

$$(6.2) \quad \frac{E^5(q^5)}{E(q)} = C_{5,-1}(q) + C_{5,0}(q) + C_{5,1}(q).$$

In the last section we proved (1.10), (1.11). These identities with  $t = 5$  state that

$$(6.3) \quad C_{5,-1}(q) = q^3 \frac{E^5(q^{20})}{E(q^4)},$$

$$(6.4) \quad C_{5,1}(q) = qF(5, q^2).$$

By (1.3) we observe that  $C_{t,j}(q)$  is either an odd or an even functions of  $q$  with parity determined by the parity of  $j$ . Therefore,  $C_{5,0}(q)$  is an even function of  $q$ , and  $C_{5,\pm 1}(q)$  are odd functions of  $q$ . Consequently, we see that

$$(6.5) \quad \text{ep} \left( \frac{E^5(q^5)}{E(q)} \right) = C_{5,0}(q)$$

where

$$\text{ep}(f(x)) := \frac{f(x) + f(-x)}{2}.$$

In this section we will show that  $C_{5,0}(q)$  can be expressed as a sum of two infinite products

$$(6.6) \quad C_{5,0}(q) = R(q^2),$$

where

$$(6.7) \quad R(q) := \frac{E^4(q^{10})E(q^5)E^2(q^4)}{E^2(q^{20})E(q)} + q \frac{E^2(q^{20})E^3(q^5)E^6(q^2)}{E^2(q^{10})E^2(q^4)E^3(q)}.$$

It is easy to rewrite (6.7) in a manifestly positive way as

$$R(q) = f(q, q^4)f(q^2, q^3) \{ \varphi(q^5)\psi(q^2) + q\varphi(q)\psi(q^{10}) \},$$

where

$$\varphi(q) := f(q, q) = \sum_{n=-\infty}^{\infty} q^{n^2} = \frac{E^5(q^2)}{E^2(q^4)E^2(q)},$$

and  $\psi(q)$  is defined in (5.8). Formula (6.6) enabled us to discover and prove the new Lambert series identity

$$(6.8) \quad R(q) = \sum_{i=0}^1 \sum_{n=-\infty}^{\infty} (-1)^i q^{5n+i} \frac{1 + q^{1+2i+10n}}{(1 - q^{1+2i+10n})^2}.$$

In what follows we will require three identities:

$$(6.9) \quad \left[ ux, \frac{u}{x}, vy, \frac{v}{y}; q \right]_{\infty} = \left[ uy, \frac{u}{y}, vx, \frac{v}{x}; q \right]_{\infty} + \frac{v}{x} \left[ xy, \frac{x}{y}, uv, \frac{u}{v}; q \right]_{\infty},$$

([6, ex. 5.21])

$$(6.10) \quad f(a, b)f(c, d) = f(ac, bd)f(ad, bc) + af\left(\frac{b}{c}, \frac{c}{b}(abcd)\right)f\left(\frac{b}{d}, \frac{d}{b}(abcd)\right),$$

provided  $ab = cd$  ([1]) and

$$(6.11) \quad \frac{E^5(q^5)}{E(q)} = \sum_{i=1}^2 \sum_{n=-\infty}^{\infty} (-1)^{i+1} \frac{q^{5n+i-1}}{(1 - q^{5n+i})^2},$$

([6, ex. 5.7], [5, p.8]). Here

$$[a; q]_{\infty} = \left(a, \frac{q}{a}; q\right)_{\infty},$$

$$[a_1, a_2, \dots, a_n; q]_{\infty} = \prod_{i=1}^n [a_i; q]_{\infty}.$$

Next, we wish to establish the validity of

$$(6.12) \quad F(5, q) = \frac{E(q^{10})E^2(q^5)E^3(q^2)}{E^2(q)} = \frac{E^5(q^5)}{E(q)} + q \frac{E^5(q^{10})}{E(q^2)}.$$

To this end we multiply both sides of (6.12) by

$$\frac{[q, q^3; q^{10}]_{\infty}^2 [q^2, q^4; q^{10}]_{\infty}}{E^4(q^{10})}$$

to obtain after simplification that

$$(6.13) \quad [q^2, q^2, q^4, q^6; q^{10}]_{\infty} = [q, q^3, q^5, q^5; q^{10}]_{\infty} + q[q, q, q^3, q^3; q^{10}]_{\infty}.$$

But the last equation is nothing else but (6.9) with  $q$  replaced by  $q^{10}$  and  $u = q^2, v = q^5, x = 1, y = q$ . We now combine

$$\text{ep}\left(q \frac{E^5(q^5)}{E(q)}\right) = qC_{5,-1}(q) + qC_{5,1}(q),$$

with (6.3), (6.5), and (6.12) to obtain

$$(6.14) \quad \text{ep}\left(q \frac{E^5(q^5)}{E(q)}\right) = 2q^4 \frac{E^5(q^{20})}{E(q^4)} + q^2 \frac{E^5(q^{10})}{E(q^2)}.$$

This can be stated as the following eigenvalue problem

$$(6.15) \quad T_2 \left( q \frac{E^5(q^5)}{E(q)} \right) = q \frac{E^5(q^5)}{E(q)},$$

where for prime  $p$  the Hecke operator  $T_p$  is defined by its action as

$$T_p \left( \sum_{n \geq 0} a_n q^n \right) = \sum_{n \geq 0} a_{pn} q^n + p \binom{p}{5} \sum_{n \geq 0} a_n q^{pn},$$

with  $\binom{p}{5}$  being the Legendre symbol. We remark that (6.15) is the  $p = 2$  case of the more general formula

$$(6.16) \quad T_p \left( q \frac{E^5(q^5)}{E(q)} \right) = \left( p + \binom{p}{5} \right) \left( q \frac{E^5(q^5)}{E(q)} \right),$$



which can be deduced from (6.11). We shall not supply the details. Instead, we note that (6.16) together with (6.3, 6.4, 6.5) implies that

$$(6.17) \quad T_{\tilde{p}}(qC_{5,j}(q)) = \left( \tilde{p} + \left( \frac{\tilde{p}}{5} \right) \right) (qC_{5,j}(q)), \quad j = 0 \pm 1.$$

Here,  $\tilde{p}$  is an odd prime.

To prove (6.6) we use (6.12) to deduce that

$$(6.18) \quad \text{ep} \left( \frac{E^5(q^5)}{E(q)} \right) = \text{ep}(F(5, q)) = E(q^{10})E^3(q^2) \cdot \text{ep} \left( \frac{E^2(q^5)}{E^2(q)} \right).$$

To proceed further we employ (6.10) with  $a = q, b = q^9, c = q^3, d = q^7$  to get

$$(6.19) \quad \begin{aligned} \frac{E(q^5)}{E(q)} &= \frac{E(q^4)}{E(q^{20})E^2(q^2)} f(q, q^9) f(q^3, q^7) \\ &= \frac{E(q^4)}{E(q^{20})E^2(q^2)} \{ f(q^4, q^{16}) f(q^8, q^{12}) + q f(q^6, q^{14}) f(q^2, q^{18}) \} \\ &= \frac{E^2(q^{20})E(q^8)}{E(q^{40})E^2(q^2)} + q \frac{E(q^{40})E(q^{10})E^3(q^4)}{E(q^{20})E(q^8)E^3(q^2)}. \end{aligned}$$

It is clear that

$$(6.20) \quad \text{ep} \left( \frac{E^2(q^5)}{E^2(q)} \right) = \frac{E^4(q^{20})E^2(q^8)}{E^2(q^{40})E^4(q^2)} + q^2 \frac{E^2(q^{40})E^2(q^{10})E^6(q^4)}{E^2(q^{20})E^2(q^8)E^6(q^2)}.$$

Combining (6.18) and (6.20) we find that

$$(6.21) \quad \text{ep} \left( \frac{E^5(q^5)}{E(q)} \right) = R(q^2).$$

The last formula together with (6.5) implies (6.6). Next, we rewrite (6.11) as

$$\frac{E^5(q^5)}{E(q)} = \sum_{i=1}^2 \sum_{n=-\infty}^{\infty} (-1)^{i+1} \frac{q^{5n+i-1} (1 + 2q^{5n+i} + q^{10n+2i})}{(1 - q^{10n+2i})^2}.$$

Clearly,

$$(6.22) \quad \begin{aligned} \text{ep} \left( \frac{E^5(q^5)}{E(q)} \right) &= \sum_{i=1}^2 \sum_{\substack{n=-\infty \\ n \equiv i-1 \pmod{2}}}^{\infty} (-1)^{i+1} \frac{q^{5n+i-1} (1 + q^{10n+2i})}{(1 - q^{10n+2i})^2} \\ &= \sum_{i=0}^1 \sum_{n=-\infty}^{\infty} (-1)^i \frac{q^{10n+i} (1 + q^{20n+4i+2})}{(1 - q^{20n+4i+2})^2}. \end{aligned}$$

Formula (6.8) with  $q \rightarrow q^2$  follows easily from (6.21) and (6.22). Before we move on we wish to summarize some of the above observations in the formula below

$$(6.23) \quad \begin{aligned} \frac{E^5(q^5)}{E(q)} &= \left\{ \frac{E^4(q^{20})E(q^{10})E^2(q^8)}{E^2(q^{40})E(q^2)} + q^2 \frac{E^2(q^{40})E^3(q^{10})E^6(q^4)}{E^2(q^{20})E^2(q^8)E^3(q^2)} \right\} \\ &+ q \left\{ \frac{E^5(q^{10})}{E(q^2)} + 2q^2 \frac{E^5(q^{20})}{E(q^4)} \right\}. \end{aligned}$$

In [5], the authors used (6.11) to find explicit formulas for the coefficients

$$(6.24) \quad a_5(n) = \frac{2^{d+1} + (-1)^d}{3} \cdot 5^c \cdot \prod_{i=1}^s \frac{p_i^{a_i+1} - 1}{p_i - 1} \prod_{j=1}^t \frac{q_j^{b_j+1} + (-1)^{b_j}}{q_j + 1}.$$

Here

$$(6.25) \quad n + 1 = 2^d 5^c \prod_{i=1}^s p_i^{a_i} \prod_{j=1}^t q_j^{b_j}$$

is the prime factorization of  $n + 1$  and  $p_i \equiv \pm 1 \pmod{5}$ ,  $1 \leq i \leq s$  and  $q_j \equiv \pm 2 \pmod{5}$ ,  $1 \leq j \leq t$  are odd primes. Formulas (6.3), (6.4), (6.5) and (6.12) suggest the following relations. For  $n \in \mathbb{N}$  and  $r = 0, 1, 2, 3$  one has

$$(6.26) \quad a_{5,0}(n) = \begin{cases} a_5(n), & \text{if } n \equiv 0 \pmod{2}, \\ 0, & \text{otherwise,} \end{cases}$$

$$(6.27) \quad a_{5,-1}(4n + r) = \begin{cases} a_5(n), & \text{if } r = 3, \\ 0, & \text{otherwise,} \end{cases}$$

$$(6.28) \quad a_{5,1}(4n + r) = \begin{cases} a_5(2n), & \text{if } r = 1, \\ a_5(n) + a_5(2n + 1), & \text{if } r = 3, \\ 0, & \text{if } r = 0, 2. \end{cases}$$

These relations together with (6.24) enabled us to derive explicit formulas for  $a_{5,j}(n)$  with  $-1 \leq j \leq 1$ . In particular, if the prime factorization of  $n + 1$  is given by (6.25), then

$$(6.29) \quad a_{5,1}(4n + 3) = 2^{d+1} 5^c \prod_{i=1}^s \frac{p_i^{a_i+1} - 1}{p_i - 1} \prod_{j=1}^t \frac{q_j^{b_j+1} + (-1)^{b_j}}{q_j + 1}.$$

We would like to conclude this section with the following discussion. It is easy to check that (6.17) implies that

$$(6.30) \quad a_{5,j}(pn + p - 1) + p \binom{p}{5} a_{5,j} \left( \frac{n+1}{p} - 1 \right) = \left( p + \binom{p}{5} \right) a_{5,j}(n), \quad j = 0, \pm 1,$$

where  $p$  is odd prime,  $n \in \mathbb{N}$  and  $a_{5,j}(x) = 0$  if  $x \notin \mathbb{Z}$ . Setting  $p = 5$  we find that

$$(6.31) \quad a_{5,j}(5n + 4) = 5a_{5,j}(n), \quad j = 0, \pm 1.$$

This is a refinement of the well-known result

$$(6.32) \quad a_5(5n + 4) = 5a_5(n),$$

proven in [5]. We can prove (6.31) by adapting the combinatorial proof in [5].

Let's define

$$\vec{n} = (n_0, n_1, n_2, n_3, n_4) = \phi_2(\pi_5\text{-core})$$

for some  $\pi_5$ -core with  $\text{BG-rank}(\pi_5\text{-core}) = j$  and  $|\pi_5\text{-core}| = n$ . Consider map  $\vec{n} \rightarrow \vec{\tilde{n}} = (\tilde{n}_0, \tilde{n}_1, \tilde{n}_2, \tilde{n}_3, \tilde{n}_4)$  with

$$\begin{aligned} \tilde{n}_0 &= n_1 + 2n_2 + 2n_4 + 1, \\ \tilde{n}_1 &= -n_1 - n_2 + n_3 + n_4 + 1, \\ \tilde{n}_2 &= 2n_1 + n_2 + 2n_3, \\ \tilde{n}_3 &= -2n_2 - 2n_3 - n_4 - 1, \\ \tilde{n}_4 &= -2n_1 - n_3 - 2n_4 - 1. \end{aligned}$$

Obviously  $\vec{n} \in \mathbb{Z}^5$  and  $\vec{n} \cdot \vec{1}_5 = 0$  and so we can define  $\tilde{\pi}_5\text{-core} = \phi_2^{-1}(\vec{n})$ . It is easy to check that

$$|\tilde{\pi}_5\text{-core}| = 5n + 4,$$

and that

$$\text{BG-rank}(\tilde{\pi}_5\text{-core}) = j,$$

and

$$c_5(\tilde{\pi}_5\text{-core}) \equiv 4 \pmod{5}.$$

Recall that the orbit  $\{\tilde{\pi}_5\text{-core}, \widehat{O}(\tilde{\pi}_5\text{-core}), \dots, \widehat{O}^4(\tilde{\pi}_5\text{-core})\}$  contains just one member with  $c_5 \equiv 4 \pmod{5}$ . And so each 5-core of  $n$  with BG-rank  $j$  is in 1-1 correspondence with an appropriate 5-member orbit of  $t$ -cores of  $5n + 4$  with BG-rank  $j$ . This observation yields a combinatorial proof of (6.31).

## 7. OUTLOOK

Given our combinatorial proof of

$$p_j(5n + 4) \equiv 0 \pmod{5}, \quad j \in \mathbb{Z}$$

one may wonder about a combinatorial proof of the other mod 5 congruences (1.4-1.7). We strongly suspect that such proof will be dramatically different from the one discussed in Section 4. In addition, one would like to have combinatorial insights into (6.30) for  $p \neq 5$ .

In this paper we found “positive” *eta*-quotient representations for  $C_{5,j}(q)$ ,  $-1 \leq j \leq 1$ . In the general case (odd  $t$ ,  $-\lfloor \frac{t-1}{4} \rfloor \leq j \leq \lfloor \frac{t+1}{4} \rfloor$ ), we established such representation only for  $C_{t, \pm \lfloor \frac{t \pm 1}{4} \rfloor}(q)$ . Clearly, one wants to find “positive” *eta*-quotient representations for other admissible values of BG-rank. (See [3] for a fascinating discussion of the  $t = 7$  case).

Finally, we observe that (1.2) is the  $s = 2$  case of the following more general definition

$$\text{gbg-rank}(\pi, s) = \sum_{j=0}^{s-1} r_j(\pi, s) \omega_s^j$$

with

$$\omega_s = e^{i \frac{2\pi}{s}}.$$

Many identities, proven here, can be generalized further. For example, we can prove that if  $(s, t) = 1$  then

$$(7.1) \quad \text{gbg-rank}(\pi_t\text{-core}, s) = \frac{\sum_{i=0}^{t-1} \omega_s^{i+1} (\omega_s^{tn_i} - 1)}{(1 - \omega_s^t)(1 - \omega_s)}$$

and for  $1 \leq i \leq s - 1$  that

$$(7.2) \quad \sum_{\text{gbg-rank}(\pi_t\text{-core}, s) = g(i)} q^{|\pi_t\text{-core}|} = q^{a(i)} F_i(q^s).$$

Here,

$$(n_0, n_1, \dots, n_{t-1}) = \phi_2(\pi_t\text{-core}),$$

$$a(i) = \frac{(t^2 - 1)(s^2 - 1)}{24} - \frac{(t - 1)(s - i)i}{2},$$

$$g(i) = \frac{1}{(1 - \omega_s)(1 - \frac{1}{\omega_s})} - \omega_s^{\frac{t-1}{2}} \frac{1 + \frac{t-1}{\omega_s^t}}{(1 - \omega_s^t)(1 - \frac{1}{\omega_s})},$$

$$F_i(q) = E(q^s)E(q^{st})^{t-2} \frac{[q^{it}; q^{st}]_\infty}{[q^i; q^s]_\infty}.$$

Setting  $s = 2$  in (7.1), (7.2) we obtain (3.2), (1.10), respectively.

In addition we can show that

$$(7.3) \quad \sum_{\text{gbg-rank}(\pi_{t\text{-core}}, s) = g(0)} q^{|\pi_{t\text{-core}}|} = q^{a(0)} \frac{E(q^{s^2 t})^t}{E(q^{s^2})}.$$

Setting  $s = 2$  in (7.3) we get (1.11).

In [10] Olsson and Stanton defined so-called  $(s, t)$ -good partitions. Surprisingly,  $t$ -cores with  $\text{gbg-rank} = g(0)$  coincide with  $(t, s)$ -good partitions.

Let  $\nu(t, s)$  denote a number of distinct values that  $\text{gbg-rank}(\pi_{t\text{-core}}, s)$  may assume. Then it can be shown that

$$\nu(s, t) \leq \frac{\binom{t+s}{t}}{t+s},$$

provided that  $(s, t) = 1$ . Moreover, if  $s$  is prime or if  $s$  is a composite number and  $t < 2p$  then

$$\nu(s, t) = \frac{\binom{t+s}{t}}{t+s}.$$

Here,  $p$  is a smallest prime divisor of  $s$  and  $(s, t) = 1$ .

Details of these and related results will be left to a later paper.

### Acknowledgement

We would like to thank Robin Chapman, Ole Warnaar, Herbert Wilf and Hamza Yesilyurt for their kind interest and stimulating discussions.

### REFERENCES

1. B. C. Berndt, Ramanujan's Notebook III, Springer-Verlag, New York, 1991, pp. 44–48.
2. A. Berkovich, F. G. Garvan, On the Andrews-Stanley refinement of Ramanujan's congruence modulo 5 and generalization, *Trans. Amer. Math. Soc.* 358 (2006), 703–726.
3. A. Berkovich, H. Yesilyurt, New identities for 7-cores with prescribed BG-rank, preprint, arXiv: math.NT/0603150.
4. F. G. Garvan, More cranks and  $t$ -cores, *Bull. Austral. Math. Soc.* 63 (2001), 379–391.
5. F. Garvan, D. Kim, D. Stanton, Cranks and  $t$ -cores, *Invent. Math.* 101 (1990), 1–17.
6. G. Gasper, M. Rahman, Basic hypergeometric series, *Encyclopedia of Mathematics and its applications* v.35, Cambridge, 1990.
7. G. James, A. Kerber, The Representation Theory of the Symmetric Group, *Encyclopedia of Mathematics and its Applications* v.16, Reading, MA, 1981.
8. A. A. Klyachko, Modular forms and representations of symmetric groups, *J. Soviet Math.* 26 (1984), 1879–1887.
9. D. E. Littlewood, Modular representations of symmetric groups, *Proc. Roy. Soc. London Ser. A.* 209 (1951), 333–353.
10. J. B. Olsson, D. Stanton, Block inclusions and cores of partitions, preprint (2005).
11. S. Ramanujan, Some properties of  $p(n)$ , the number of partitions on  $n$ , *Proc. Cambridge Phil. Soc.* 19 (1919), 207–210.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA, GAINESVILLE, FLORIDA 32611-8105  
*E-mail address:* alexb@math.ufl.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA, GAINESVILLE, FLORIDA 32611-8105  
*E-mail address:* frank@math.ufl.edu