

- (c) **Bank holding companies :** holding companies of UK banking sector institutions where the activities of the holding company are primarily orientated towards the UK banking sector.

The list below is intended to be definitive. Any changes will be notified to banks through a statistical notice.

Updated
o/a Stats
Notice
2004/05

ABC Investment Holdings Ltd	J P Morgan Capital Holding Ltd
AIB Holdings (NI) Ltd	J P Morgan Chase (UK) Holdings Ltd
Adam & Company Group plc	National Australia Group Europe Ltd
Associates Financial Corporation Ltd	National Europe Holdings Ltd
BNP Paribas UK Holdings Ltd	National Europe Holdings (GB) Ltd
BNY Holdings (UK) Ltd	National Europe Holdings (Ireland) Ltd
Bank of Ireland UK Holdings Ltd	Noble Grossart Holdings Ltd
Barclays plc	Pointon Group Ltd
Broadcastle plc	Private Financial Holdings Ltd
Capital One Holdings Ltd	Rathbone Brothers plc
The Carlyle Trust Ltd	N M Rothschild Holdings Ltd
Citibank Investments Ltd	Royal Bank of Canada (UK) Holdings Ltd
<u>Citifinancial Corporations</u>	The Royal Bank of Scotland Group plc
Close Brothers Group plc	Ruffler Holdings Ltd
Credit Agricole Lazard Financial Products Ltd	S G Hambros Ltd
Dunbar Group plc	Secure Trust Banking Group plc
Egg plc	Singer & Friedlander Holdings Ltd
First National Finance Corporation plc	Standard Bank London Holdings Ltd
GE Capital Services Ltd	Standard Chartered plc
HSBC Holdings plc	Tesco Personal Finance Group Ltd
HSBC Investment Bank Holdings plc	Total Finance Holdings Ltd
HBOS	United Trust Corporation plc
Household International (UK) Ltd	Weatherbys Group Ltd
<u>Insinger de Beaufort</u>	Wintrust plc
Investec Holdings (UK) Ltd	
Investec Plc	
Lazard & Co Holdings Ltd	
Leopold Joseph Holdings plc	
Lloyds Bank Financial Services (Holdings) Ltd	
Lloyds TSB Group plc	
Lloyds UDT Finance Ltd	

- (d) **Insurance and other financial holding companies**
 (e) **Finance houses and consumer credit companies which are *not* in the UK banking sector**
 (f) **Mortgage finance vehicles**
 (g) **Guaranteed Export Finance Corporation plc**

Note : **Exclude** from the "other institutions" sub-category any institutions in the Channel Islands and the Isle of Man (see item III.12[c]).

III.4 Financial quasi-corporations: limited and unlimited liability partnerships

Comprises all limited and unlimited liability partnerships resident on the UK mainland and which are engaged principally in financial activities.

Insurance brokers, loan brokers & financial planning consultants, salvage administrators and loss adjusters (but *not* incorporated businesses - see comment below)

Note : In practice, there are unlikely to be many unlimited partnerships engaged principally in financial activities, if any, other than those classified as financial auxiliaries

III.5 Central Government

Comprises UK government departments, including Northern Ireland government departments, bodies not administered as part of government departments but subject to ministerial or departmental control, and accounts controlled by UK government departments and agencies located overseas. Included are bodies such as:

Armed forces (including units, but not individuals serving abroad)	Houses of Parliament
Arts Council of England	Housing Corporation
Arts Council of Northern Ireland	Medical Research Council
Arts Council of Wales	Millennium Commission
Biotechnology and Biological Science Research Council	Museums (National)
British Shipbuilders	National Heritage Memorial Fund
British Transport Police	National Insurance Fund
British Tourist Authority	National Investment and Loans Office
Centre for Management and Policy Studies	National Lottery Distribution Fund
Charity Commission	<u>Added o/a Stats Notice 2005/03</u> <u>National Probation Service in England & Wales</u>
City Academies **	Natural Environment Research Council
Civil Service College	NHS Trusts and Foundation Trusts
Coal Authority	Police colleges
Commonwealth War Graves Commission	Public Guardianship Office
Funds operated by Commissioners for the Reduction of the National Debt (National Investment and Loans Office)	Railsale
Criminal Injuries Compensation Authority	Regional Development Agencies
Debt Management Office	Regional health authorities and Boards of Governors of teaching hospitals
Deeds of Assumption	Research councils
Department for National Savings (incorporating The National Savings Bank)	Royal Commissions
English Partnerships*	Royal Hospital Chelsea
English Tourism Council	Royal Households
Environment Agency for England and Wales	Scottish Arts Council
Equal Opportunities Commission	Scottish Enterprise
<u>Added o/a Stats Notice 2005/03</u> <u>Food from Britain</u>	Scottish Higher Education Funding Council
Forestry Commission	Scottish Homes
Health Authorities	Scottish Sports Council
Higher Education Funding Council for England	Scottish Tourist Board
Higher Education Funding Council for Wales	Sea Fish Industry Authority
Highland & Islands Enterprise	Sport England
Home-Grown Cereals Authority	Sports Council for Northern Ireland
Horse Race Betting Levy Board	Sports Council for Wales
	United Kingdom Atomic Energy Authority
	Welsh Development Agency

* Including bodies absorbed by this organisation: Commission for New Towns (and its predecessors the English New Town Development Corporations, Housing Action Trusts and Urban Development Corporations) and Urban Regeneration Agency.

** Include only those controlled by and funded directly from central government

III.6 Local Government

Comprises London borough councils, county and district councils in Northern Ireland, parish, town and new Unitary authorities in England and Wales, and district, island and regional councils in Scotland, together with those attached statutory departments, (e.g. gas departments in Northern Ireland, water service departments in Scotland). Also those bodies formed on 1 April 1986 to take over the assets and functions of the former metropolitan councils and the Greater London Council (e.g. residuary bodies, joint police authorities, joint police and fire boards). Included are bodies such as:

City of London Corporation		Passenger Transport Authorities (including Transport for London)
Toll bridges, ferries & tunnels (municipally-owned)		Police Forces (including Metropolitan Police)
Fire services		Ports and harbours (include only municipally-owned ports and harbours-see exclusions below)
Forth Road Bridge Joint Board		Probation Service in England and Wales
Grant Maintained Schools in Great Britain	Removed o/a Stats Notice 2005/03	Quarries (municipally-owned)
Harbours (municipally-owned)		Residuary Bodies
Humber Bridge Board		Restaurants (municipally-owned)
Inland drainage boards		Scottish River Purification Boards
Launderettes (municipally-owned)		Slaughterhouses (municipally-owned)
Magistrates' courts		State Schools
Markets (municipally-owned)		Theatres (municipally-owned)
Municipally-owned industrial and trading estates		
Museum of London		

Note: Exclude

Further education establishments maintained by local government	see item III.11
The Isle of Man Government and the State Governments in the Channel Islands	see item III.12(d)

III.7 Public Corporations

Public corporations are corporate bodies, sometimes with plc or Ltd in their title. Ownership by government may be total, as in the case of those corporations established by Act of Parliament, or through majority share-holdings. Public control is over broad aspects of policy; public corporations are free to manage their day to day operations independently. Trust ports in Northern Ireland and ports belonging to public corporations continue to be classed as "public corporations", as do certain airport companies, which were set up by local authorities under the terms of the 1986 Airports Act. Included are bodies such as:

	Air Travel Trust		General Teaching Council for Wales
	Audit Commission		Greenwich Hospital HM Land Registry
	British Broadcasting Corporation		Historic Royal Palaces Trust
Added o/a Stats Notice 2005/03	British Council		Horseshoe Totalisator Board
	<u>British Energy</u>	Added o/a Stats Notice 2005/03	Local Authority airports
	British Hallmarking Council		<u>Local Authority Bus Companies</u>
	British Nuclear Fuels plc		Local Pensions Fund Authority
	Buying Agency		Meteorological Office
	Central Office of Information		Navy, Army and Air Force Institute
	Civil Aviation Authority		New Millennium Experience Company
	Commonwealth Development Corporation		Northern Ireland Housing Executive
	Consignia plc (formerly Post Office Corporation, incl. Post Office Counters Ltd)		Northern Lighthouse Board
	Crown Estate Commissioners		Ordnance Survey
	Defence Science & Technology Laboratories		Patent Office
Removed o/a Stats Notice 2005/03	Development Board for Rural Wales		Remploy Ltd
	Docklands Light Railway Ltd		Royal Mint
	Driving Standards Agency		Scottish Water
	Export Credits Guarantee Department		Tay Road Bridge Joint Board
	Financial Services Authority		Trust ports in Northern Ireland
	Fire service colleges		United Kingdom Nirex Ltd
	Food from Britain		Vehicle & Operator Services Agency
	Forest Enterprise		Welsh Fourth Channel Authority
	General Lighthouse Fund		
	General Teaching Council for England		

III.8 Non-financial Corporations other than Public Corporations

Limited, unlimited, chartered, statutory and other *corporate* bodies **incorporated in the mainland** of Great Britain and Northern Ireland which are not classified as financial corporations, public corporations, or within central government or local government.

Note: Effectively corresponds to the coverage of "Industrial and commercial companies" prior to the Banking Statistics Review implemented in 1997. But please note that any companies incorporated in the Channel Islands or in the Isle of Man should be classified under item III.12, below, Non-residents. Insurance broking companies should be classified under item III.3.8 Financial auxiliaries. Note also that companies dealing primarily in *operating* leases should be classified under this heading.

Include the following :

Removed o/a Stats Notice 2005/03		AEA Technology AMEC plc Amey Railways Holdings Limited Angel Trains Ltd Anglia Railways Train Services Ltd Anglian Water BAA plc BBC BR Business Systems Birmingham Airport Ltd Bristol Water plc BAe Systems plc British Arabian Technical Co-operation Ltd British Airways plc British Energy plc British Rail International Ltd British Sky Broadcasting Group plc British Telecom plc Britoil plc Broomco Ltd Building Research Establishment BUPA Hospitals Burnley and Pendle Transport Cable & Wireless plc Central Trains Ltd Centrica plc (formerly British Gas) Chiltern Railway Company, The City Greenwich Lewisham Rail Link plc Connex Transport UK Ltd Corus plc (formerly British Steel) Cross Country Trains Ltd Crown Agents Foundation Crown Agents for Overseas Governments and Administrations Ltd Crown Agents International Ltd Crown Agents Services Ltd Defence Evaluation and Research Agency Support Services Division Direct Rail Services Ltd East Midlands International Airport plc East Midlands Electricity plc Eastern Group plc First Engineering Holdings Ltd First Group plc Gatwick Express Great Northern Railway Company Ltd Great Peter Nominees Great Western Holdings Ltd Greenshields Cowie & Co. Ltd GT Railway Maintenance Ltd Heathrow Express Operating Company Ltd Housing Associations	IBM United Kingdom Holdings Ltd IMEX Group Ltd (formerly British Coal Enterprise) Independent (ie.public) schools InterCity Transport Institute of Management, The Jarvis Fastline Group Ltd Kelda Group (formerly Yorkshire Water plc) Kingston Communications Ltd Kingston Internet Ltd Kingston Laboratories Ltd Knight Line Ltd Local Authority Bus Companies London Electricity plc Management Consortium Bid Ltd Marketing boards Midland Electricity plc NFC Ltd National Express Group plc National Grid Company plc, The National Power plc Regional Railways Ltd Nuclear Transport Ltd P & O European Ferries Ltd Partnerships UK Paymaster Ltd Pennon Group plc PowerGen Ltd Prism Rail plc Railtrack Ltd Regional Railways North East Ltd Relayfast Ltd Rolls - Royce Motor Cars Ltd Royal Ordnance plc ScotRail Railways Ltd Scottish and Southern Energy plc Scottish Power plc Severn Trent plc Southern Electricity Ltd Southern Water plc Stagecoach Holdings Ltd The Stationery Office Ltd Stena Line UK Ltd Thames Trains Ltd Thames Water Ltd Torch Communications Ltd United Medical Enterprises Ltd United Utilities plc Urenco Ltd Viridian Ltd Wessex Water Ltd West Anglia Great Northern Railways Ltd Yorkshire Electricity Group plc
Added o/a Stats Notice 2005/03			

This list, whilst intended to be *illustrative*, not exhaustive, has been updated to reflect, inter alia, the evolving pattern of mergers and take-overs in the privatised utilities sector.

III.9 Non-financial quasi-corporations: limited and unlimited liability partnerships

Comprises all limited and unlimited liability partnerships on the UK mainland engaged principally in non-financial activities, such as:

Accountancy partnerships [NB exclude any major accounting firms which are corporate bodies, as these should be classified to item III.8]

Architectural partnerships

Legal partnerships (Solicitors)

Management consulting partnerships

Medical and dental partnerships

Other small business partnerships, including formal husband and wife partnerships; e.g. retail corner-shops and farming enterprises

Note: This sub-category is restricted to non-financial business partnerships; other unincorporated businesses should be classified under item III.10(b) below, Unincorporated businesses other than unlimited liability partnerships.

III.10 Households and Individual Trusts

is sub-divided into :

III.10(a) Individuals & Individual Trusts

Individuals and individual trusts (created for named beneficiaries) resident on the UK mainland.

Note : Corresponds broadly to "Persons, households and individual trusts" in pre-1997 definitions.

III.10(b) Unincorporated Businesses other than Unlimited Liability Partnerships

Unincorporated businesses resident on the UK mainland other than unlimited liability partnerships: (essentially only sole traders)

Note : Lloyd's underwriters are included but *exclude* corporate bodies - e.g. Equitas Ltd (see item III.3)

III.11 Non-profit institutions serving households

Non-profit-making bodies serving individuals, located on the UK mainland.

Included are bodies such as:

Automobile Association (but not its trading subsidiaries)

British Academy

Charitable organisations

Church Commissioners

Churches (but *Note* that certain financial agencies of religious bodies, e.g. The Baptist Union Corporation Ltd, are classified to the Other monetary financial institutions sector)

Civic trusts

Colleges of Art; Music; Technology

Consumers' Association

Clubs and societies

Deposit Protection Fund

Duchy of Cornwall

Duchy of Lancaster

Executor and trustee accounts of deceased persons after granting of probate

Further Education Colleges

General Teaching Council for Scotland

Geffrye Museum

Home-Grown Sugar Beet (Research and Education) Investment Fund

Horniman Museum

~~Housing associations~~

Institute of Development Studies

Intermediate Technology Industrial Services

Investors Compensation Scheme

Letchworth Garden City Heritage Foundation

Motor Insurer's Bureau

National Association of Citizens' Advice Bureaux

National Institute of Economic and Social Research

Nursing Colleges

Relate National Marriage Guidance

National Trust

Non-profit making schools and colleges

Open University

Political parties

Professional Associations and Institutes

RSPCA/PDSA

Royal Automobile Club (but not its trading subsidiaries)

Royal College of Art

Royal Society

Scottish Central Institutions and Colleges of Education

Teacher Training Colleges

Trade Unions

UK Central Council for Housing, Midwifery and Health Visiting

Universities and University Colleges (excluding Buckingham)

Universities and Colleges Admissions Service

Removed
o/a Stats
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III.12 Non-residents [Rest of the world]

This section explains the term **non-resident** as well as information on where to include data on the following four categories:

- (a) Non-resident offices of reporting institutions
- (b) Central Monetary Institutions
- (c) Other non-resident banks (including banking international organisations)
- (d) Other non-residents (including non-banking international organisations)

III.12 (a) Non-resident offices of reporting institutions

For statistical purposes, a non-resident office is located anywhere other than on the mainland of Great Britain and Northern Ireland and can include any of the following:

- a non-resident branch of the reporting institution;
- a wholly-owned non-resident banking subsidiary of the reporting institution;
- a non-resident head office;
- a non-resident parent company (defined as owning 100% of the reporting institution's ordinary share capital);
- a non-resident branch of a parent company incorporated outside the mainland of Great Britain and Northern Ireland; or
- a wholly-owned non-resident banking subsidiary of a non-resident parent company.

III.12 (b) Central Monetary Institutions

The list below shows the Central Monetary Institutions for all the countries in Forms CC, CE, CL, ~~CH~~, C1, B1 and ~~A2~~, and from end-December 2004 on the new Form ~~CE~~, by area. The regions shown below differ from those in Section B of Forms CL and ~~A2~~ and Section 2 of the Form ~~CH~~. A list of countries to be included within the regions of these sections is shown after the list of Central Monetary Institutions

Note: on Forms CC and CL, ~~CH~~ and ~~A2~~, these will also be included within **non-resident banks**, while on Forms C1, CE and B1, and from end-December 2004 on the new Form ~~CE~~, they are reported within **public sector**. See the individual form definitions for more details.

Please note that figures for the Bank for International Settlements (BIS) **should always be combined with those for Switzerland**, and figures for the European Central Bank (ECB) **should always be combined with those for Germany**.

DEVELOPED COUNTRIES

Europe

Andorra	Govern d'Andorra Government of Andorra
Austria	Oesterreichische Nationalbank
Belgium	Banque Nationale de Belgique
Denmark	Danmarks Nationalbank
Finland	Suomen Pankki Bank of Finland
France	Banque de France
Germany	Deutsche Bundesbank European Central Bank
Greece	Trapeza tes Ellados Bank of Greece
Iceland	Sedlabanki Islands Central Bank of Iceland
Ireland	Central Bank and Financial Services Authority of Ireland
Italy	Banca d'Italia Ufficio Italiano dei Cambi
Luxembourg	Banque Centrale du Luxembourg
Netherlands	De Nederlandsche Bank
Norway	Norges Bank
Portugal	Banco de Portugal
San Marino	San Marinense Institut of Credit
Spain	Banco de Espana
Sweden	Sveriges Riksbank
Switzerland (and Liechtenstein)	Schweizerische Nationalbank Swiss National Bank Bank for International Settlements

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