

Autoimmune Enteropathy: My infant patient has intractable diarrhea  
Harland Winter MD



## Autoimmune Enteropathy: My Infant Patient Has Intractable Diarrhea

NASPGHAN 2010

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## Conflicts of Interest

- I have no disclosures relevant to the topic of autoimmune enteropathy. Harland Winter, MD

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### • Learning Objectives

- Describe the common clinical presentations and pathologic findings of various autoimmune polyendocrinopathies, including gastrointestinal and non-gastrointestinal presentations
- Describe the genetic mutations involved in autoimmune enteropathies
- Describe treatment options in autoimmune enteropathies

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## Causes of Persistent Diarrhea in Infants

- Misdiagnosis or inadequate treatment of food-sensitive enteropathy
- Immunodeficiency: innate or adaptive
- Anatomic abnormalities
- Dysmotility syndromes
- Inflammatory enteritis or colitis
- Metabolic disorders with enteropathy
- Malabsorption or maldigestion of specific nutrients
- Primary syndrome of intractable diarrhea

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## Primary Syndromes of Intractable Diarrhea in Infants

- Primary epithelial defects:
  - Microvillus inclusion disease
  - Tufting enteropathy ( $\alpha6\beta4$  integrin deficiency)
  - Enterocyte heparan sulphate deficiency
  - lack of intestinal enteroendocrine cells caused by loss-of-function by mutations in *NEUROG3*

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## Primary Syndromes of Intractable Diarrhea in Infants

- Immune-mediated: autoimmune enteropathy
  - Autoantigen is known
    - Autoimmune enteropathy with nephropathy
  - Mutation of immunoregulatory gene
    - Immune dysregulation, polyendocrinopathy, enteropathy, X-linked (IPEX) syndrome
    - Autoimmune-Polyendocrinopathy-Candidiasis-Ectodermal Dystrophy (APCED) Syndrome
  - T lymphocyte activation deficiencies ( $CD3_\gamma$  mutation)
  - Unclassified

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## Key Point

- Infants with epithelial cell defects often present within the first two weeks of life
- Infants with autoimmune enteropathies usually have onset of symptoms after one month of age.

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## Intractable Diarrhea

- ESPGHAN survey of patients with severe diarrhea:
  - less than two years of age
  - requiring TPN
  - persistent villous atrophy
  - refractory to treatment
- N = 47 and about 50% had anti-enterocyte antibodies, but only 15% had the antibody directed against the brush border.
- Patients with positive AECA tended to have hyperplastic crypts, crypt abscesses, normal or increased intraepithelial lymphocytes.

Goulet OJ et al. JPGN 1998;26:151-161

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## Autoimmune Enteropathy

- Three different types
  - Type 1: IPEX syndrome: *i*mmune dysregulation, *p*olyendocrinopathy, *e*nteropathy, *X*-linked syndrome
  - Type2: IPEX-like but without mutations in the FOXP3 gene
  - Type 3: Autoimmune manifestations primarily limited to the GI tract

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## Autoimmune Enteropathy and Nephropathy

- Severe enteropathy
- Relative paucity of intraepithelial lymphocytes
- 75kD autoantigen identified as the intestinal isoform of harmonin, a protein related to zonulin, a molecule that regulates tight junctions and intestinal paracellular permeability
- A mutation in harmonin resulting in loss of function associated with congenital deafness (but without GI symptoms)

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## IPEX Syndrome

- X-linked
- Intestinal disease is the earliest manifestation
- Gene defect similar to defect in Wiscott-Aldrich syndrome, but platelets are normal
- Mutations found in FOXP3, a control gene of regulatory T cells (Tregs), that lead to absent of dysfunctional Tregs.
- Infiltration of the skin, GI tract with T cells
- Increased levels of autoantibodies against blood, thyroid and pancreatic cells; one report of antibody against 75-kd antigen in intestine and kidney

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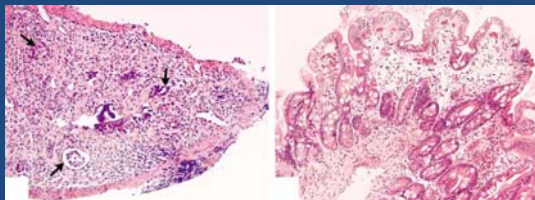
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## IPEX Syndrome Small Intestine



Duodenal biopsy: Pre-transplant

Duodenal biopsy: Post-transplant

N Engl J Med, 2001;344(23):1758

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## Atopic Dermatitis in IPEX Syndrome



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## Autoimmune Polyendocrinopathy-Candidiasis-Ectodermal Dystrophy (APCED)

- Major components:
  - Chronic mucocutaneous candidiasis
  - Hypoparathyroidism
  - Adrenocortical failure
- Range in presentation from 2 months to 20 years
- Only 5% of patients have diarrhea at presentation; about ¼ develop diarrhea by age 30.
- Hypocalcemia leads to significant diarrhea.
- Mutations found in AIRE gene that produces a cytoskeletal protein in the nucleus and cytoplasm.

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## Biopsy features of autoimmune enteropathy (AIE)

- Severe enteropathy with marked villous blunting
- Intense lymphocytic (CD3+ T cells) lamina propria infiltrate
- Injured surface epithelial cells
- Crypt number may be decreased in AIE
- **Intraepithelial lymphocytes NOT increased in AIE**
- May see non-specific changes in the colon

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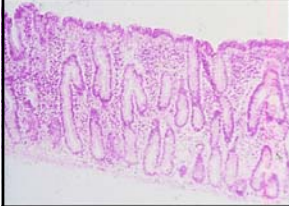
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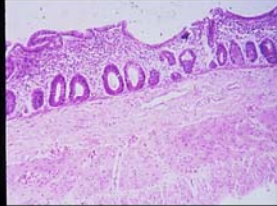
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## Duodenal Biopsy



**Autoimmune  
Enteropathy or Celiac  
Disease**



**Microvillous  
Inclusion Disease**

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### What to look for

- Infection: viral, cryptosporidium, parasites
- Cow's milk/protein enteropathy (?RAST testing)
- Immunoregulatory defect
  - Quantitative immunoglobulins (G, A, M, E)
  - IgG subclasses
  - Anti-PRP and anti-tetanus antibody
- Neuroendocrine
  - VIP, gastrin, urine vanillylmandelic acid (VMA)

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### Diagnosis of autoimmune enteropathy

- Diagnosis is determined by having a positive IgG, IgA or IgM antibody directed against the enterocyte
- Is this specific for this disease?
- Is the presence of an anti-enterocyte antibody related to the mechanism of intestinal injury?
- Does the titer of the anti-enterocyte antibody correlate with the severity of epithelial injury?

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## Diagnostic test

- Western Blot identifies an IgG or IgA antibody that reacts with a protein found in a homogenate of the small intestinal mucosa
- Immunohistochemistry localizes the IgG or IgA antibody to the surface of the enterocyte

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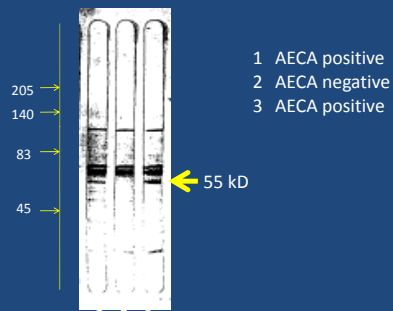
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## Western Blot: Anti-enterocyte antibody



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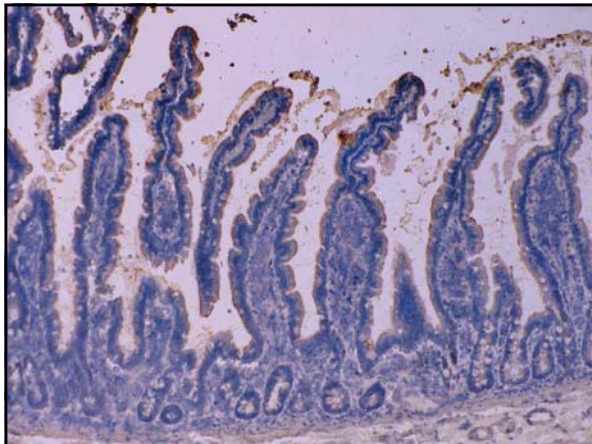
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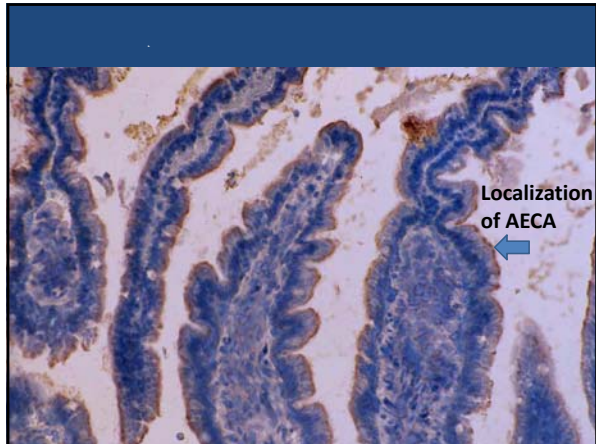
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- ### Treatments for Autoimmune Enteropathy
- Corticosteroids
  - Azathioprine
  - 6-Mercaptopurine
  - Mycophenolate Mofetil
  - High-Dose Cyclophosphamide
  - Cyclosporine
  - Tacrolimus
  - Infliximab

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- ### What can we learn from these case reports of treatments?
- Some patients respond to immunosuppression, but relapse when medications are tapered or stopped
  - Prolonged use of low dose corticosteroids with or without an immunomodulator may be beneficial for many patients
  - There do not appear to be predictors that can guide the decision to select the “best” agent for a specific patient
  - Response to therapy does not always mean that the mucosa has healed

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## Remember

- Evaluate adaptive and innate immune function
- Infants with epithelial cell defects often present within the first two weeks of life
- Infants with autoimmune enteropathies usually have onset of symptoms after one month of age.
- Look for skin, renal, and endocrine involvement
- Intraepithelial lymphocytes NOT increased in AIE
- Treatment is trial and error

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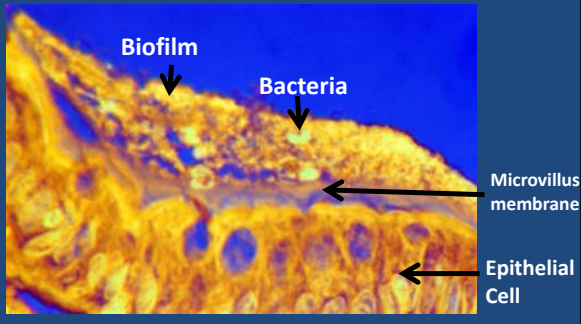
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## Intestinal Surface

Photograph by Dr. Cecil Fox, Little Rock, AR



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References Autoimmune Enteropathy  
NASPGHAN Postgraduate Course 2010  
Harland Winter, MD  
October 21, 2010

Diagnosis:

**Baud O, et al. Treatment of the Immune Dysregulation, Polyendocrinopathy, Enteropathy, X-Linked Syndrome (IPEX) by Allogeneic Bone Marrow Transplantation. N Engl J Med 2001;344 (23):1758-62.**

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Ellis D et al. Familial occurrence of renal and intestinal disease associated with tissue autoantibodies. Am J Dis Child 1982;136:323-326.

Halabi-Tawil M, et al. Cutaneous manifestations of immune dysregulation, polyendocrinopathy, enteropathy, X-linked (IPEX) syndrome. British Journal of Dermatology 2009;160; 645–651.

McCarthy DM et al. Selective IgA deficiency associated with total villous atrophy of the small intestine and an organ-specific anti-epithelial cell antibody. J Immunol 1978;120:932-938.

Mirakian R, et al. Protracted diarrhea of infancy: evidence in support of an autoimmune variant. BMJ 1986;293:1132-6.

**Perheentupa J. Autoimmune Polyendocrinopathy-Candidiasis-Ectodermal Dystrophy. J Clin Endocrinology & Metabolism 2006; 91(8):2843-50.**

Savage MO, et al. Specific Autoantibodies to Gut Epithelium in Two Infants with Severe Protracted Diarrhoea. JPGN 4:187-195.

Unsworth J et al. Flat Small Intestinal Mucosa and Autoantibodies Against the Gut Epithelium. JPGN 1982;1:503-513.

Walker-Smith JA et al. Autoantibodies against gut epithelial in a child with small intestine enteropathy. Lancet 1982; 1:566-567.

Wang J, et al. Mutant Neurogenin-3 in Congenital Malabsorptive Diarrhea. N Engl J Med 2006;355:270-80.

Treatment:

Bousvaros A et al. Treatment of pediatric autoimmune enteropathy with Tacrolimus (FK506). *Gastroenterology* 1996;111:237-243.

Oliva-Hemker MM, et al. Remission of Severe Autoimmune Enteropathy After Treatment With High-Dose Cyclophosphamide. *JPGN* 2003;36:639-643.

Quiros-Tejeira RE, et al. Induction of Remission in a Child with Autoimmune Enteropathy Using Mycophenolate Mofetil. *JPGN* 2003;36:482-485.

Sanderson IR et al. Response to autoimmune enteropathy to cyclosporine A therapy. *Gut* 1991;32:1421-1425.

Seidman EG et al. Successful treatment of autoimmune enteropathy with cyclosporine. *J Pediatr* 1990;117:929-932

Steffen R et al. Autoimmune enteropathy in a pediatric patient: partial response to Tacrolimus therapy. *Clin Pediatr (Phila)* 1997;36:295-299.

Vanderhoof JA and Young RJ. Autoimmune Enteropathy in a Child: Response to Infliximab Therapy. *JPGN* 34:312-316.

General:

Hill SM et al. Autoimmune enteropathy and colitis: is there a generalized autoimmune gut disorder? *Gut* 1991;32:36-42.

Jenkins HR et al. Systemic vasculitis complicating infantile autoimmune enteropathy. *Arch Dis Child* 1994; 71:534-535.

**Murch SM. Toward a Molecular Understanding of Complex Childhood Enteropathies. *J Pediatric Gastroenterology and Nutrition* 2002;34:S4-S10.**

Russo PA et al. Autoimmune enteropathy. *Pediatr Dev Pathol.* 1999;2:65-71

References in bold are included in syllabus



Celiac Controversies: Am I managing my patient correctly?

Ivor Hill MD





## Celiac Controversies

**Am I managing my patient correctly?**

Ivor D. Hill, MB, ChB, MD.  
Wake Forest University  
School of Medicine.

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## Celiac Controversies

*Disclosure Statement.*

*I have the following financial relationship to disclose:*

*Astra-Zeneca - Consultant*

*No products or services produced by this company is relevant to my presentation.*




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## Celiac Controversies

|  |   |   |
|--|---|---|
| <p>Diagnosed<br/>Vs.<br/>Undiagnosed</p> <p>Symptomatic<br/>Vs.<br/>Asymptomatic</p> |  | <p>Who to test?</p> <p>Biopsies?</p> <p>Gluten sensitivity?</p> <p>Long term risks?</p> <p>Compliance monitoring?</p> |
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## Celiac Controversies

- **Objectives**
- **Care of the Asymptomatic Individual**
  - Additional testing?
  - Supplemental treatment?
- **Treatment of Celiac Disease**
  - Non dietary interventions?
- **Dietary Compliance Monitoring**
  - Best method?



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## Celiac Controversies

- **Care of the asymptomatic individual**
  - Additional testing?



Bone health



Autoimmune



Liver - HBV



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## Celiac Controversies

- **Care of the asymptomatic individual**



Bone health

**Bone health assessment.**  
Dual energy X-ray absorptiometry (DEXA)  
Quantitative computer tomography (QCT)  
Ultrasonography  
Metabolic markers of bone turnover

### The problem!

Adults - at diagnosis  
Osteoporosis ~28%  
Osteopenia ?  
Effect of a gluten free diet?



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## Celiac Controversies


- **Care of the asymptomatic individual**
  - Recommendations**



**Bone health**

**Guidelines for osteoporosis in coeliac disease and inflammatory bowel disease.**  
*Gut* 2000;46 (suppl 1):i1-i8.  
**American Gastroenterological Association Medical Position Statement: Guidelines on Osteoporosis in Gastrointestinal Diseases**  
*Gastroenterology* 2003;124:791-794

**DEXA - at diagnosis**  
- after 1-2 years




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## Celiac Controversies


- **Care of the asymptomatic individual**
  - What about children?**
  - Problems with assessment**
    - changes more related to maturation
  - Bone mineral status at diagnosis**
    - Radial BMC and BMD lower in CD
    - No relationship to clinical features
    - Prevalence unknown ( small "n" )



**Bone health**

**Effect of a gluten free diet on bone health**

- complete resolution by 1 year
- maintained long term with compliance



Mora S. Rev Endocrin Metab Disord 2008;9:123-30

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## Celiac Controversies

- **Care of the asymptomatic individual**
  - Recommendations in children\***
    - DEXA scans unnecessary
    - institute a strict gluten free diet
    - promote calcium & vitamin D
    - monitor for dietary compliance



**Bone health**

\* *Gastroenterology* 2003;124:791-794  
 \* Mora S. Rev Endocrin Metab Disord 2008;9:123-30




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## Celiac Controversies

- Care of the asymptomatic individual




**Associated conditions**

- type 1 diabetes
- thyroiditis
- Addison's disease
- hepatitis

Autoimmune (AI)

**Question?**

- screen those at risk for CD?
- screen those with CD for other AI diseases?



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## Celiac Controversies

- Care of the asymptomatic individual




**Autoimmune thyroiditis**

- screen with anti-TPO & anti-Tg
- follow with TSH and free T4
- thyroid ultrasound

Autoimmune

Prevalence of autoimmune thyroiditis in children with celiac disease and effect of gluten withdrawal.  
*J Pediatr* 2009;155:51-5.

Long-term clinic significance of thyroid autoimmunity in children with celiac disease.  
*J Pediatr* 2010;156:292-5.



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
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## Celiac Controversies

- Care of the asymptomatic individual



**Thyroid autoimmunity in children with CD**

- combined "n" of 425 children
- 25-30% positive antibodies (1/3 at diagnosis)
- 2-8% hypothyroid


Autoimmune

**Conclusions**

- thyroid antibodies not related to gluten exposure
- thyroid antibodies do not predict thyroid hypofunction
- thyroiditis is not prevented by a CFD

**Recommendations?**

- screen for antibodies
- check positives for hypothyroidism



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## Celiac Controversies

### Care of the asymptomatic individual



Liver - HBV

#### The problem!

- non response to HBV vaccine linked to HLA DQ2
- ~50% of children with CD are non responders\*
- more non responders in early onset CD#

\*Park S-D et al. *J Pediatr Gastroenterol Nutr* 2007;44:431-435

\*Noh KW et al. *Am J Gastroenterol* 2003;98:2289-92

#Leonardi S et al. *Vaccine* 2009;27:6030-3

#### Recommendations?




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## Celiac Controversies

### Supplemental treatment in the asymptomatic?



Vitamins?



Minerals?

#### At diagnosis

- vitamin A,D, and B12 & folic acid
- iron, zinc, magnesium




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## Celiac Controversies

### Supplemental treatment in the asymptomatic?



Vitamins?



Minerals?

#### Following recovery on a GFD?

##### Zinc

Zinc supplementation to patients with celiac disease - is it required?  
*J Trop Pediatr* 2010 ; doi:10.1093/tropj/fmq011

##### Vitamin D - daily dose of 400 to 1000 IU per day

Medical Progress - Vitamin D Deficiency *NEJM* 2007;357:266-81.  
Prevalence of D Deficiency in Children Report *Pediatrics*2009;124:e362-e 370.  
- 9% deficient and 61% insufficient.

AAP Committee on Nutrition Report *Pediatrics* 2006;117:578-85.

AAP SoBr and CON Report *Pediatrics* 2008;122:1142-52.

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## Celiac Controversies

- Treatment of celiac disease
  -  Role of steroids?
  -  Role of pancreatic enzymes?
  -  Is gluten free the only way?

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## Celiac Controversies

- Treatment of celiac disease
  -  Role of steroids?

Celiac crisis\* - uncommon but potentially fatal!

  - good evidence that steroids are beneficial

\*Celiac crisis - severe diarrhea with dehydration and metabolic disturbance including decreased K<sup>+</sup>, Ca<sup>+</sup>, Mg<sup>++</sup> and hypoproteinemia.

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## Celiac Controversies

- Treatment of celiac disease
  -  Role of pancreatic enzymes?

The problem!

  - at diagnosis, enzyme insufficiency ~ 25%
  - cause - malnutrition vs. gut regulatory peptides
  - pancreatic function recovers following remission
  - benefits of using enzyme therapy?

Carroccio A et al. *Gut* 1991;32:796-99.  
 Carroccio A et al. *Dig Dis Sci* 1994;39:2235-42.  
 Carroccio A et al. *Dig Dis Sci* 1995;40:2555-60.

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## Celiac Controversies

- **Treatment of celiac disease**
  - 

Is gluten free the only way?
- **Intraluminal approach**
  - Modification of wheat protein
  - Transamidation of wheat flour (tTG + lysine methyl ester)
    - Gastroenterology 2007;133:780-789
  - Digestive enzymes
    - Two prototypes (ALV003, *Aspergillus niger*)
    - Challenges?
      - Gastroenterology 2005;129:786-796
  - Peptide binding agents
    - Challenges?
      - Gastroenterology 2009;136:288-298

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## Celiac Controversies

- **Treatment of celiac disease**
  - 

Is gluten free the only way?
- **Biological antagonists**
  - Zonulin inhibitor
    - Gastroenterology 2009;136:A-35
  - tTG inhibitors
    - Chem Biol 2005;12:469-475
  - DQ2 & DQ8 inhibitors
    - Proc Natl Acad Sci 2004;101:4175
  - Cytokine inhibitors
    - Gut 2005;54:46-53
- **Novel therapies**
  - Vaccine
    - Sci Translational Med 2010;2:1-14
  - Challenges?
    - J Immunol 2009;183:2390-2396

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## Celiac Controversies

- **Dietary compliance monitoring**
- **Methods**
  - Repeat biopsies
    - Gold standard
      - 
    - Feasibility?
  - Serological testing
    - How good are they?
    - Which tests are best?
  - Dietary review


  
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## Celiac Controversies

- **Dietary compliance monitoring**

- **Serological testing**

Dynamics of celiac disease-specific serology after initiation of a gluten free diet and use in the assessment of compliance with treatment.

Sugai E et al. *Dig Liver Dis* 2010;42:352-358

**Conclusions:**

1. tTG and EMA best.
2. Correlates with dietary review and repeat biopsies.

Five year time course of celiac disease serology during gluten free diet: results of a community based "CD-Watch" program.

Zanini B et al. *Dig Liver Dis* doi:10.1016/j.dld.2010.05.009

**Conclusions:**

1. Annual testing improves compliance from 82%-93%
2. Negative test indicates absence of major dietary transgressions

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## Celiac Controversies

- **Dietary compliance monitoring**

- **Dietary review - methods**

- Diet record analysis
    - Self administered questionnaire
    - Trained interviewer assessment
      - Low cost & strong correlation with biopsy\*

A gluten free diet score to evaluate dietary compliance in patients with coeliac disease. *Brit J Nutr* 2009;102:882-887

Four questions based on strategies of gluten avoidance. Five level score (0-IV)  
Results compared to EMA and biopsy findings.

Scores distinguish those who are compliant!

\*Arch Dis Child 1989;64:1604-1607.




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## Celiac Controversies

- **Conclusions**

- **Asymptomatic cases**

- **Screening**



Bone health



Autoimmune



Liver - HBV

- **Supplemental treatment**



Minerals



Vitamins

✓ for vitamin D




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## Celiac Controversies

### Conclusions



Steroids

Celiac crises only



Pancreatic enzymes

No cost effective benefit



Gluten alternatives

Not ready for prime time - stay tuned!

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## Celiac Controversies

### Conclusions

#### Dietary compliance monitoring

- Repeat serology - tTG IgA
  - 3 mths → 6 mths → 12 mths → annually

#### Combined with:-

- Dietary review
  - Nutritionist/Trained interviewer
  - Four question diet score?
- Biopsies - select cases only

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Autoimmune Pancreatitis: My patient continues to have an elevated lipase and abdominal pain

Lee McHenry MD



## Autoimmune Pancreatitis

NASPGHAN Conference

New Orleans, LA

Lee McHenry M.D.  
Professor of Medicine  
Indiana University

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## Disclosures

- Consultant for ConMed Endoscopic Technologies, Utica, New York
- *No Products or services produced by this company are relevant to my presentation.*

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## Goals and Objectives

- What is Autoimmune Pancreatitis (AIP) ?
- What is the pathogenesis of AIP ?
- Who does it affect ?
- How does AIP present ?
- How do I establish the diagnosis ?
- What is my approach to treatment ?

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**AIP**  
Definition

*“A unique form of chronic pancreatitis characterized by swelling of the gland, irregular narrowing of the pancreatic duct, lymphoplasmacytic infiltration of pancreas, and favorable response to steroids”*

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**AIP**  
Definition  
(contd)

- Type I: lymphoplasmacytic sclerosing pancreatitis (LPSP)
- Type II: idiopathic duct centric pancreatitis
  
- Other organs may be affected
  - Cholangiopathy of extra and/or intrahepatic bile ducts
  - Kidneys
  - Retroperitoneum with fibrosis
  - Salivary glands
  - Lymph nodes
  - misc: prostate, aorta

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**AIP Historical Perspective**

- **1961: Sarles<sup>1</sup>**
  - 1<sup>st</sup> description non-ETOH pancreatitis
    - Diffuse enlargement of pancreas
    - Marked lymphoplasmacytic infiltration
    - Elevated gammaglobulins
- **1995: Yoshida<sup>2</sup>**
  - proposed AIP as concept
- **1997: Ito<sup>3</sup>**
  - 3 cases AIP dramatic response to steroids
- **2001: Hamano<sup>4</sup>**
  - ↑ serum IgG 4 as marker

<sup>1</sup> Sarles 1961 Am J Dig Dis 6:688, <sup>2</sup> Yoshida 1995 Dig Dis Sci 40:1561, <sup>3</sup> Ito 1997 Dig Dis Sci 42:1458, <sup>4</sup> Hamano 2001 N Engl J Med;344:732

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## Pathogenesis

- Not well understood
  - ? Allergic ..... IgG-4 released in response to an antigen often occurs in allergic disorders
- Release cytokines → up-regulate HLA class II expression by duct epithelial cells → ab to CA II ag's (duct epithelium) and lactoferrin (acinar cells) → lymphocyte infiltration
- Animal models of AIP are in progress

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## AIP

- Who does it affect ?
  - older males > older females
  - Japan n = 40 Male 82% Age 61yrs (32-76)

|          | IU            | UK            | Mayo          |
|----------|---------------|---------------|---------------|
| n        | 20            | 11            | 29            |
| age      | 50<br>(18-76) | 53<br>(28-78) | 63<br>(14-85) |
| male (%) | 60            | 100           | 83            |

Kawa, Gastro 2002;122:1264 -9

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## Adult AIP Clinical Presentation

- New onset jaundice (70%)
  - Mild epigastric discomfort
  - Weight loss
- CT scan
- diffuse enlargement pancreas "Halo Sign"
  - fluctuating pancreas masses
- ERCP
- Diffuse or segmental narrowing of PD
  - biliary stricture - distal or proximal

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## Pediatric GI Perspective AIP

- Few case reports
  - 11 y/o male, 14 y/o female, 16 y/o male
  - IgG 4 normal in all
  - Epigastric abd pain, enlarged pancreas, tissue from laparotomy, steroid responsive

| Age          | N  | Abd pain % | Amylase  | jaundice |
|--------------|----|------------|----------|----------|
| < 40 (28-37) | 6  | 100        | 83       | 17       |
| > 40 (42-78) | 58 | 43         | 40       | 59       |
|              |    | p < 0.05   | P < 0.05 | NS       |

Bletjter, J Ped Surgery 2008;43:1368, Rifaat, Pediatr Radiol 2009;39:389,  
Takase, J Nippon Med Sch 2010;77:29, Kamisawa, J Clin Gastroenterol 2006;40:847

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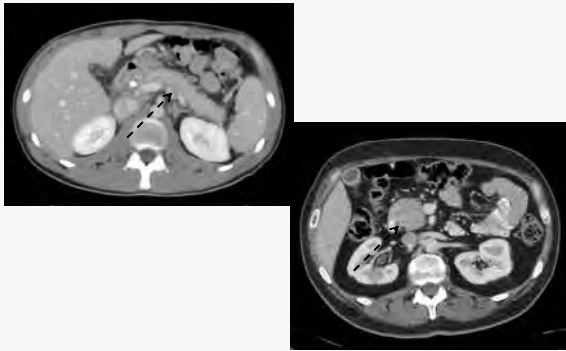
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## CT Scan in AIP




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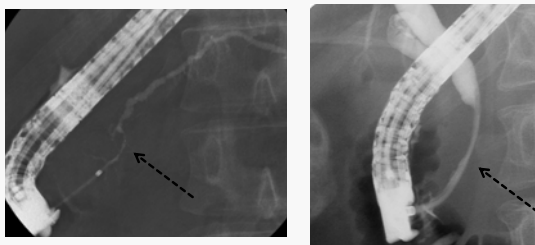
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## ERCP in AIP




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## Pancreatography in AIP

- Pancreatographic findings
  - ductocentric plasma cell infiltration of the pancreas
- Strictures may be segmental or diffuse
- Upstream dilation is minimal
- Ca++ is uncommon
- Cysts are uncommon

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## AIP Making the Diagnosis

- For Research Purposes
  - Japan Criteria 2000
    - Revised 2006
  - Korean Criteria 2003
  - Mayo HISORT Criteria 2006
    - *Histology, Imaging, Serology, Other organ involvement, Response to therapy*
- For Clinical Purposes
  - In the appropriate clinical setting
    - typical findings on ERCP +/- CT scan along with
    - supportive serology +/- histology

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## AIP Review Article Mass General

**Table 1. Diagnostic Criteria for Autoimmune Pancreatitis.<sup>16</sup>**

| Findings on Imaging Radiography (One Required)    |                                       | Serologic and Histologic Findings (One Required) |   |  |
|---|---------------------------------------|--|---|--|
| Cross-Sectional Imaging                           | ERCP or MRCP                          | Serologic Analysis                               | Pancreatic-Biliary Histologic Analysis                | Nonpancreatic Histologic Analysis  |
| Diffusely enlarged pancreas                       | Segmental pancreatic ductal narrowing | Elevated serum IgG or gamma globulin level       | Periductal lymphoplasmacytic infiltration or fibrosis | Tubulointerstitial nephritis with immune deposits within tubular basement membranes                |
| Enhanced peripheral rim of hypodensitation "halo" | Focal pancreatic ductal narrowing     | Elevated serum IgG or gamma globulin level       | Obliterative phlebitis                                | Pulmonary interstitial lymphoplasmacytic infiltration with IgG4-positive plasma cells <sup>†</sup> |
| Low-attenuation mass in head of pancreas          | Diffuse pancreatic ductal narrowing   | Presence of ALA, ACA II, ASMA, or ANA            | IgG4-positive plasma cells in tissue <sup>‡</sup>     | Chronic sialadenitis with IgG4-positive plasma cells <sup>†</sup>                                  |

Finkelberg, N Engl J Med 2006;355:2670-6

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### Diagnostic Yield in AIP Serology

- Autoantibodies in 10-100% pts with AIP
- 4 series from Japan/Korea

|                   | %   | n     |
|-------------------|-----|-------|
| ANA               | 39% | 25/63 |
| Lactoferrin       | 76% | 16/21 |
| ACA II            | 62% | 13/21 |
| RF                | 26% | 10/36 |
| AMA               | 4%  | 1/18  |
| Antithyroglobulin | 34% | 14/41 |

Kim, Am J Gastroenterol 2004;99:1605

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### IgG 4 Serology Western Population

|                  | IU    | Florida | Spain | UK    | Mayo  |
|------------------|-------|---------|-------|-------|-------|
| n                | 13    | 7       | 13    | 11    | 45    |
| IgG4             | 15%   | 28%     | 54%   | 63%   | 76%   |
| NI range (mg/dl) | 1-291 | 1-210   | 1-130 | 1-164 | 8-140 |

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### Identification of a Novel Antibody associated with AIP

- N = 20 pooled serum samples with focal AIP
- library of dodecamer peptides screened with pooled serum samples
- Most promising peptide "AIP peptide"
  - 18 of 20 patients (90%) pathologically proven AIP
  - 4 of 40 pancreas cancer patients (10%),
  - 0% healthy controls, benign pancreas
- AIP peptide has homology to
  - PBP protein of H pylori and human UBR2 protein
  - independent AIP group 33 of 35 + PBP ab (94%)
  - pancreas cancer group 5 of 110 + PBP ab (5%)

Frulloni L, N Engl J Med 2009 361:2135-42

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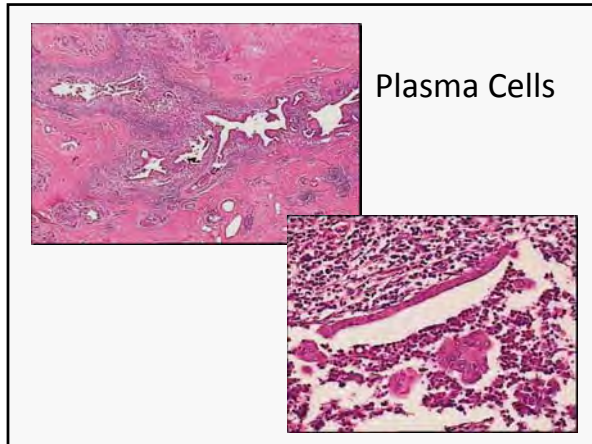
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Plasma Cells

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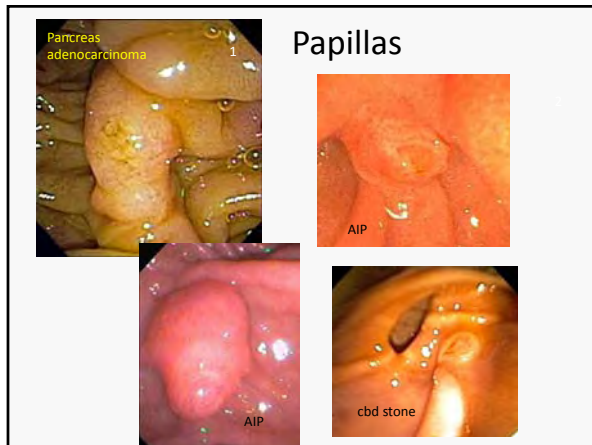
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Papillas

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**Diagnostic Yield of Ampulla Biopsy**

- IgG-4 Immunostaining > 10 / HPF

|                             | AIP   | Pancreas Ca | Papillitis | PSC  |
|-----------------------------|-------|-------------|------------|------|
| Diagnostic AIP <sup>1</sup> | 8/10  | 0/10        | 0/10       | -    |
| Diagnostic AIP <sup>2</sup> | 18/27 | -           | -          | 0/12 |

- All diagnostic specimens were in patients with head of pancreas involvement
- 2 negative ampulla bx – body and tail

1. Kamisawa, Gastrointest Endosc 2008;  
2. Kubota, Gastrointest Endosc 2008;68:1204.

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## IgG-4 tissue immunostaining

- Technique standardized
- Must be performed on tissue
  - no standard for cell block
- Many tissues including pancreas cancer have scarce IgG-4 staining plasma cells
- > 5 high-power fields examined
- Positive if: + IgG-4 plasma cells > 10 / HPF

Deheragoda, Clin Gas Hepatol 2007;5:1229

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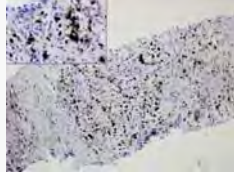
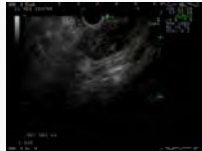
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## EUS in AIP - Tissue Acquisition

- EUS core biopsies
  - 7/16 (44%) diag histology
  - 15/16 (96%) diag IgG-4 immunostain
- Resection specimens
  - 12/13 (94%) diag histology
  - 13/13 (100%) diag IgG-4 immunostain



Chari, Clin Gast Hepatol 2006;4:1010

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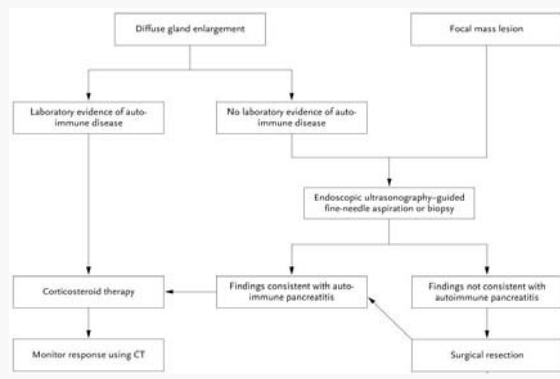
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## Treatment Algorithm




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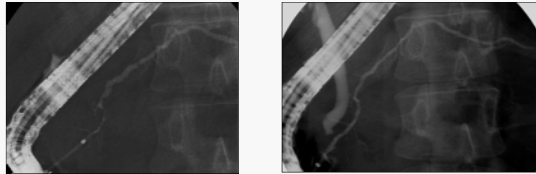
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### Steroids and AIP

- Steroid effect is dramatic
  - Clinically effective
  - Morphologically effective
  - Serologically effective
- Optimum dose/duration not fully established
- Relapse in approximately 1/3<sup>rd</sup>



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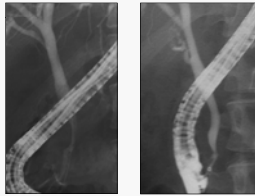
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### Steroids and AIP

- Regimen
  - Begin: **Prednisone 30 – 40 mg/day x 4 wks**
- Follow-up at 1 month
  - If responding by CT or ERCP or symptoms
    - Taper: week 4, decrease 5 mg q 4 wk
- Follow-up at 5 months
  - Consider discontinuing steroids or maintenance 5-10 mg/day



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### Steroids and AIP

- Follow-up at 12 months
  - If relapse, reinstitute prednisone 40 mg/day and add azathioprine 1.5 mg/kg
    - IgG-4 associated cholangitis more likely to relapse (proximal > distal)
    - Systemic IgG-4 associated diseases
  - Cytoxan has been used
  - Rituximab has been used

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## AIP – Pearls about patients

- Pearls
  - In adults: older, male with jaundice, enlarged pancreas or mass . . . . be hyperaware, consider AIP
  - In pediatric patients:
    - epigastric pain, elevated amylase, enlarged pancreas,
    - IgG 4 unreliable, IgG4 stain diagnostic
- Procure Plasma cells from papilla or pancreas
- Pancreatography – segmental or diffuse strictures
- Prednisone – rapid response

**Thank You !**

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## Learning Objectives:

1. Define autoimmune pancreatitis (AIP) and understand how AIP differs from other pancreatic diseases.
2. Understand the clinical presentation of AIP in the adult and the pediatric population.
3. Know the diagnostic strategy for AIP and diagnostic criteria to establish the diagnosis.
4. Understand the long-term risks and treatment approach to AIP.

## Syllabus

### Introduction:

Autoimmune pancreatitis (AIP) is a unique form of chronic pancreatitis characterized by swelling of the gland, irregular narrowing of the pancreatic duct, lymphoplasmacytic diffuse infiltration of the pancreas, and favorable response to corticosteroids. This disease entity was first described by Henry Sarles in 1961 in select patients carrying the diagnosis of chronic pancreatitis with diffuse pancreatic enlargement and elevated serum immunoglobulins who had a dramatic response to steroids. It was in 1995 that Yoshida first proposed the term Autoimmune Pancreatitis as a concept. Over the past 15 years, further investigation of this uncommon cause of chronic pancreatitis has led to further classifying AIP into Type I AIP: lymphoplasmacytic sclerosing pancreatitis (systemic disease with IgG4 positive plasma cells infiltrating the pancreas and other organs) and Type II AIP: idiopathic duct centric pancreatitis (predominant granulocyte epithelial lesion surrounding the pancreatic duct). The two types vary in their clinical presentation, their pathologic findings and the long-term response to corticosteroids.

### Epidemiology:

The true prevalence of autoimmune pancreatitis remains to be determined as most reports are case series by tertiary medical centers from around the world. In Japan, Nishimori conducted a nationwide study in 2002 and reported a prevalence rate of 0.82 AIP patients per 100 000. AIP has been reported to account for 5-6% of patients with idiopathic pancreatitis in Japan.

### Pathogenesis

Autoimmune pancreatitis is an inflammatory disease of the pancreas with unique clinical and histologic features. The etiology of autoimmune pancreatitis may indeed be autoimmune, but to date it has not been proven. The overall pathogenesis of AIP is unclear, but appears to T helper type 2 cells and T regulatory cells mediate the immune reaction, and that mutations in HLA class II molecules of the host may predict high rates of relapse in Korean patients with AIP.

Serologic markers such as IgG-4, carbonic anhydrase, lactoferrin and antinuclear antibody have been detected in autoimmune pancreatitis patients, however, these markers are not organ-specific and lack the sensitivity/specificity to be considered a diagnostic marker for AIP. A recent report from Frulloni in Verona, Italy reported on a novel antibody 'peptide AIP' that was recognized by serum from 18 of 20 (90%) patients with autoimmune pancreatitis as compared to 4 of 40 patients (10%) with pancreas cancer. The peptide showed homology to the plasminogen-binding protein (PBP) of H pylori and with UBR2, an enzyme highly expressed in acinar cells of the pancreas. Antibodies against the PBP peptide was detected in 33 of 35 patients (94%) with AIP and 5 of 110 patients (5%) with pancreas cancer. Further investigation is warranted to validate these preliminary results before serum PBP could be considered a serologic marker for AIP.

### Clinical Presentation and Diagnosis

Autoimmune pancreatitis is a male-predominant disease with a median age > 45 years. However, there are increasing number of case reports of 11 – 25 year old patients that present with AIP, and appear to present in a distinctive fashion (with more frequent abdominal pain, higher frequency of hyperamylasemia, and less likelihood of jaundice) as compared to the adult population. Diagnostic criteria (Korean 2003, Japan 2006, Mayo HISORt 2006) have been proposed based on clinical, radiologic, serologic, and histologic findings as well as the patient's response to corticosteroid therapy.

The 'classic' patient with autoimmune pancreatitis may be a 55 year old male with vague, nagging epigastric discomfort, mild hyperamylasemia, diffusely enlarged pancreas with "halo" sign on CT scan, narrowed and irregular pancreatic duct on ERCP or MRCP and elevated serum IgG 4 level. Institution of corticosteroids would rapidly improve symptoms, resolve the radiologic abnormalities and normalize the IgG 4 level. However, other clinical presentations of AIP may include patients who present with a focal pancreas mass suggestive of pancreas cancer, new onset jaundice with distal or proximal biliary strictures on imaging, and other organ involvement such as retroperitoneal fibrosis or hypodense renal lesions on CT imaging. The pathologic diagnosis of AIP can be established by biopsy of the pancreas, duodenal ampulla, bile duct, liver or other affected organs revealing IgG4 + plasma cells (>10/HPF) on histologic sectioning.

### Management

Corticosteroids are the cornerstone of therapy for patients with autoimmune pancreatitis approaching 100% response in almost all series in the literature. The response is dramatic within the first 1-2 weeks of therapy and the prednisone is gradually tapered to 5-10 mg/day over 4-6 months. The optimum schedule for long-term steroid therapy has not been established. Relapses are not uncommon occurring in over 1/3 of patients. Immunosuppressants including azathioprine, cyclosporin, and rituximab have been used to treat relapses in AIP patients. Further clarification of the most effective long-term treatment for patients with autoimmune pancreatitis is anticipated as our understanding of the natural history of this condition continues to evolve.



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