# Robert A. Phillips

Associate Professor Robins School of Business University of Richmond 804-289-8623 rphilli3@richmond.edu

# **Academic Employment History:**

University of Richmond (Robins School of Business)
Associate Professor, 2005-present

Cheung Kong Graduate School of Business, Shanghai, China Adjunct Professor, 2007 & 2008

University of San Diego Associate Professor, 2004-2005 Assistant Professor, 2001-2004

University of Pennsylvania (Wharton School) Visiting Assistant Professor, 1999

Georgetown University (McDonough School of Business) Visiting Assistant Professor, 1997-2001

University of Virginia

Instructor, 1996 (McIntire School of Commerce)
Ph.D. Seminars (1998-present) (Darden Graduate School of Business)

### **Education:**

PhD Management/Business Ethics, Darden Graduate School of Business

Administration, University of Virginia, 1997

MBA University of South Carolina, 1994

BSBA Marketing major, Philosophy & Religion minor, Appalachian State University,

1991

### **Published Scholarship:**

- 2011 R. Phillips (ed.), *Stakeholder Theory: Impact and Prospects*, (Cheltenham Glos, UK: Edward Elgar Publishing).
  - Collection of original essays in honor of the 25<sup>th</sup> anniversary of R. Edward Freeman's *Strategic Management: A Stakeholder Approach*.
- 2011 R. Phillips, S. Berman, H. Elms & M. Johnson-Cramer, "Stakeholder Orientation, Managerial Discretion and Nexus Rents," in R. Phillips, (ed.), *Stakeholder Theory: Impact and Prospects*, (Cheltenham Glos, UK: Edward Elgar Publishing).
- 2010 R. Phillips and R. E. Freeman (eds.), *Stakeholders*, (Cheltenham Glos, UK: Edward Elgar Publishing).
- 2010 H. Elms, S. Brammer, J.D. Harris & R. Phillips, "New Directions in Strategic Management and Business Ethics," *Business Ethics Quarterly*, 20(3), 401-26.
- 2010 R. Phillips, S. Berman, H. Elms & M. Johnson-Cramer, "Strategy, Stakeholders and Managerial Discretion," *Strategic Organization*, 8(2), pp. 176-83.
- 2010 R. Phillips, "Ethics in Network Organizations," *Business Ethics Quarterly*, 20(3), pp. 533-44.
- J. Noland & R. Phillips, "Stakeholder Engagement, Discourse Ethics and Strategic Management," *International Journal of Management Reviews*, 12(1), pp. 39-49.
- J. Harrison, D. Bosse & R. Phillips, "Managing for Stakeholders, Stakeholder Utility Functions and Competitive Advantage," *Strategic Management Journal*, 31(1), pp. 58–74.
- 2009 H. Elms & R. Phillips, "Private Security Companies and Institutional Legitimacy: Corporate and Stakeholder Responsibility," *Business Ethics Quarterly*, 19(3), pp. 403-32.
- D. Bosse, R. Phillips & J. Harrison, "Stakeholders, Reciprocity, and Firm Performance," *Strategic Management Journal*, 30(4), pp. 447-56.
- 2008 D. Bosse, R. Phillips & J. Harrison, "Creating Value By Giving It Away: The Influence of Reciprocation on Firm Performance," *Best Paper Proceedings of the Academy of Management*, Anaheim, CA.

- 2008 R. Phillips & R. E. Freeman, "Corporate Citizenship and Community Stakeholders," in A.G. Scherer & G. Palazzo (eds.) *Handbook of Research on Global Corporate Citizenship*. (Cheltenham Glos, UK: Edward Elgar Publishing), pp. 99-115.
- 2008 R. Phillips, "European and American Perspectives on Corporate Social Responsibility," *Business Ethics: A European Review*, 17(1), pp. 69-73.
- 2007 M. Johnson-Cramer & R.A. Phillips, "Not How Much But How? The Ethics of Cash Balance Pension Conversions," Robert Kolb (ed.) *Private Retirement Security: Social and Ethical Issues*. (London: Blackwell).
- 2007 R.A. Phillips, "Book Notes: David Vogel, *The Market for Virtue*," *Business Ethics Quarterly*, 17(2), p. 367. Book review.
- 2007 R. Phillips, S. Berman, M. Johnson-Cramer & H. Elms, "Stakeholder Theory and Managerial Discretion," *Best Paper Proceedings of the Academy of Management*, Philadelphia, PA.
- J. Harrison, D. Bosse & R. Phillips "Stakeholder Theory and Competitive Advantage," *Best Paper Proceedings of the Academy of Management*, Philadelphia, PA.
- 2006 R.A. Phillips & M. Johnson-Cramer, "Ties that Unwind: Dynamism in Integrative Social Contracts Theory," *Journal of Business Ethics*, 68(3), pp. 283-302.
- 2005 M. Johnson-Cramer & R.A. Phillips, "Stakeholders," in Carl Mitcham (ed.), *Encyclopedia of Science, Technology, and Ethics*. (Detroit: Macmillan Reference).
- 2005 R.A. Phillips & C. Caldwell, "Value Chain Responsibility: A Farewell to Arm's Length," *Business & Society Review*, 110(4), pp. 345-70.
  - Reprinted in abridged form in *Honest Work: A Business Ethics Reader*, Joanne Ciulla and Clancy Martin (eds.), (New York: Oxford University Press).
- 2005 S.L. Berman, R.A. Phillips & A.C. Wicks, "Resource Dependence, Managerial Discretion and Stakeholder Performance," *Best Paper Proceedings of the Academy of Management and Best Paper Finalist*. Honolulu, HI.
- 2004 R.A. Phillips, "Some Key Questions About Stakeholder Theory," *Ivey Business Journal*; http://www.iveybusinessjournal.com/
- 2004 R.A. Phillips, "Brief Remarks on the Evolutionary Method," *Ruffin Series No. 4, Business, Science & Ethics*, pp. 235-38.

- 2003 R.A. Phillips, *Stakeholder Theory and Organizational Ethics*, (San Francisco: Berrett-Koehler Publishers).
  - Reviewed by James P. Walsh, 2005, "Taking Stock of Stakeholder Management," *Academy of Management Review*, 30(2), pp. 426-38.
  - Reviewed by Jeffery Smith, 2005, "Fairness, Communication and Engagement: New Developments in Stakeholder Theory," *Business Ethics Quarterly*, 15(4), pp. 711-21.
  - Reviewed by John Kaler, 2004, "Arriving at an Acceptable Formulation of Stakeholder Theory," *Business Ethics: A European Review* 13(1), p. 73.
  - Reviewed by Jeff Frooman, 2004, Business & Society, 43(2), pp. 221.
  - Reviewed by Robert G. Jones, 2004, *Personnel Psychology*, 57(4) pp. 1068-71
  - Reviewed by R.F White, 2004, *Choice: Current Reviews for Academic Libraries*, 41(8), p. 1518.
- 2003 R.A. Phillips, R.E. Freeman & A.C. Wicks, "What Stakeholder Theory is Not," *Business Ethics Quarterly*, 13(4), pp. 479-502.
  - Reprinted in *Stakeholders*, R. Phillips and R. E. Freeman (eds.), (Edward Elgar Publishing), 2010.
  - Reprinted in *Corporate Social Responsibility*, Wesley Cragg, Mark S. Schwartz & David Weitzner (eds.) (Surry, UK: Ashgate Publishing), 2009.
  - Reprinted in *Stakeholder Theory: Essential Readings in Ethical Leadership and Management*," Abe J. Zakhem, Daniel E. Palmer and Mary Lyn Stoll (eds.) (Amherst, NY: Prometheus Books), 2008.
  - Reprinted in *Sage Major Works: Corporate Social Responsibility*, A. Crane & D. Matten, (eds.) (London: Sage Publications), 2007.
  - Reprinted as "Che cosa non è la teoria degli stakeholder," in *Teoria Degli Stakeholder*, R. E. Freeman, Gianfranco Rusconi, e Michele Dorigatti (eds.) (Milan: Fondazione Acli Milanesi), 2007.
- 2003 R.A. Phillips, "Stakeholder Legitimacy," Business Ethics Quarterly, 13(1), pp. 25-41.
  - Reprinted in *Stakeholders*, R. Phillips and R. E. Freeman (eds.), (Edward Elgar Publishing), 2010.
  - Reprinted as "La legittimità degil stakeholder," in *Teoria Degli Stakeholder*, R. E. Freeman, Gianfranco Rusconi, e Michele Dorigatti (eds.) (Milan: Fondazione Acli Milanesi), 2007.

- 2002 R.E. Freeman & R.A. Phillips, "Stakeholder Theory: A Libertarian Defense," *Business Ethics Quarterly*, 12(3), pp. 331-49.
  - Reprinted in *Stakeholders*, R. Phillips and R. E. Freeman (eds.), (Edward Elgar Publishing), 2010.
- 2000 R. A. Phillips & J. Reichart, "The Environment as a Stakeholder? A Fairness-Based Approach," *Journal of Business Ethics*, 23, pp. 185-97.
- 2000 R.A. Phillips, "A Reply to Marcoux," Joseph R. DesJardins & John McCall (eds.) *Contemporary Issues in Business Ethics*, 4<sup>th</sup> Ed., (Belmont, CA: Wadsworth Publishing).
- 1999 R.A. Phillips, "On Stakeholder Delimitation," in Donna J. Wood, (ed.) "Living Stakeholder Theory: A Tribute to the Life and Works of Max Clarkson," *Business & Society*, 38(1), pp. 32-34.
- 1999 R.E. Freeman & R.A. Phillips, "Business Ethics: Pragmatism and Postmodernism," in R.E. Frederick (ed.), *A Companion to Business Ethics*, (Oxford: Blackwell), pp. 128-138.
- 1999 R.A. Phillips & J. D. Margolis, "Toward an Ethics of Organizations," *Business Ethics Quarterly*, 9(4), pp. 619-38.
- 1998 R.A. Phillips, "Normative Stakeholder Theory: Toward a Conception of Stakeholder Legitimacy," *Best Paper Proceedings of the Academy of Management and Best Paper Finalist*. San Diego, CA.
- 1998 R.A. Phillips & J.G. Clawson, "Current Events Periodicals in the Management Classroom," *Teaching Business Ethics*, Vol. 2, pp. 165-74.
- 1997 R.A. Phillips, "Stakeholder Theory and A Principle of Fairness," *Business Ethics Quarterly*, 7(1), pp. 51-66.
  - Reprinted in *Stakeholders*, R. Phillips and R. E. Freeman (eds.), (Edward Elgar Publishing), 2010
  - Reprinted in *Stakeholder Theory: Essential Readings in Ethical Leadership and Management*, Abe J. Zakhem, Daniel E. Palmer and Mary Lyn Stoll (eds.) (Amherst, NY: Prometheus Books), 2008.
- 1996 R. E. Freeman & R.A. Phillips, "Efficiency, Effectiveness and Ethics: A Stakeholder View" in Wojciech, Gasparski & Ryan (eds.), *Human Action in Business: Praxiological and Ethical Dimensions*, (Warsaw: The Learned Society of Praxiology), pp. 65-81.

J. Logan, R.A. Phillips & S. Logan, "IBM: Is This an Ethical Issue or Just Good, Aggressive Accounting?" in John A. Pearce, II & Richard B. Robinson, Jr. *Instructor's Manual: Strategic Management*, 5th ed. (Burr Ridge, IL: Irwin), pp. 500-505. Written as MBA Graduate Assistant at U. of South Carolina

### **Projects in Progress:**

- (with Doug Bosse) Agency Theory and Bounded Self Interest: The Moderating Role of Fairness under review by *Journal of Management Studies*
- Ethics in Network Organizations
- (with Heather Elms) Professionalism and Private Security Contractors
- (with Judith Schrempf & Guido Palazzo) Upstream and Downstream Corporate Social Responsibility
- Rationalizations in Organizational Ethics (or, What You'll Be Saying to Yourself Right Before You Screw Up)

### **Presentations:**

- 2010 Discussant 3<sup>rd</sup> Stakeholder Theory Workshop, Solitude Resort, Utah, December.
- 2010 *Keynote Address*, 4th International Conference on Corporate Social Responsibility, Humboldt-Universität zu Berlin, Germany, September.
- 2010 Panel "Agency Theory: Re-tooling and Re-tasking," Academy of Management, Montreal, Quebec, August.
- 2010 with H. Elms and R. Lacey, "Professionals vs. Profiteers: An Empirical Analysis of Moral Legitimization Strategy in the PMSI," Academy of Management, Montreal, Quebec, August.
- 2010 Discussant "Stakeholder Management: Taking Stock and Looking Ahead," Academy of Management, Montreal, Quebec, August.
- 2010 Panel "Master Teacher Reprise," Society for Business Ethics, Montreal, Quebec, August.
- with D. Bosse and J. Harrison, "Stakeholder Theory and Reciprocity," Society for Business Ethics, Montreal, Quebec, August.
- with H. Elms, "Professionalism and Private Security," European Group for Organizational Studies Annual Meetings, Lisbon, Portugal, July.
- 2010 *Keynote Address* Social Responsibility Division Administrative Sciences Association of Canada, Regina, SK.

- 2010 *Master Teacher* Master Class in Business Ethics First Annual Conference on Teaching Ethics in Universities Sponsored by the Wheatley Institution and the Society for Business Ethics, Brigham Young University, Utah, May.
- 2010 Symposium "Who Speaks for the Trees? Deliberative Business Ethics and Environmental Norms," International Association of Business & Society, Banff, Calgary, March.
- 2009 Panel "Trading Places: Risks and Challenges of the Private Provision of Public Goods in Emerging Markets," Academy of International Business Conference on Emerging Research Frontiers, Charleston, SC, December.
- 2009 Keynote Address, Conference on "Teaching Business Ethics in the Age of Crisis" sponsored by EconomEtica consortium at Milano-Bicocca University (Italy), November.
- with D. Bosse, "Toward a new stream of stakeholder research: Assuming bounded self interest," GW Institute for Corporate Responsibility, October.
- 2009 "Ethics in Network Organizations" *Presidential Address to Society for Business Ethics Annual Meeting*.
- 2009 Panel Discussion "Stakeholder Theory's Silver Anniversary: Freeman '84 @ 25." Academy of Management, Chicago, IL. August. Selected for podcast recording.
- 2009 with H. Elms, "The Corporation as Political Actor: Security," European Group for Organizational Studies Annual Meetings, July. GW Institute for Corporate Responsibility, October. Academy of International Business Conference on Research Frontiers in International Business, December
- with D. Bosse & J. Harrison, "Creating Value By Giving It Away: The Influence of Reciprocation on Firm Performance," Academy of Management, Anaheim, CA. August.
   Selected for Best Paper Proceedings
- with H. Elms & R. Lacey, "Professionals versus Profiteers: Legitimacy and Private Security Contractors." European Group for Organizational Studies Annual Meetings, July & Academy of Management, Anaheim, CA. August.
- 2008 "Value Chain Responsibility" USAID Kazakhstan Corporate Social Responsibility Program, Washington, D.C., March 26.
- with H. Elms, "Private Security Companies: Issues in Corporate and Stakeholder Responsibility," Academy of International Business Conference on Emerging Research Frontiers, Miami, FL & European Group for Organization Studies, Vienna, Austria & International Association of Business & Society, Tampere, Finland, June, 2008.

- 2007 with J. Harrison & D. Bosse, "Stakeholder Theory and Competitive Advantage," Academy of Management, Philadelphia, PA Selected for Best Paper Proceedings
- 2007 with S. Berman, M. Johnson-Cramer & H. Elms, "Stakeholder Theory and Managerial Discretion," Academy of Management, Philadelphia, PA. Selected for Best Paper Proceedings
- 2007 Panel Discussion "Bringing discussions of corporate responsibility into non-CSR classes," International Association of Business & Society, Florence, Italy.
- 2006 "Stakeholder Management The State of the Art," Constance Academy of Business Ethics September, University of Applied Sciences, Konstanz, Germany
- 2006 "Beyond Rawls: Organizational Ethics and Political Philosophy," Society for Business Ethics, Atlanta, GA
- 2006 "Stakeholder Theory and Network Ethics" invited presentation at Stakeholder Miniconference, University of Washington, Seattle, WA.
- 2005 "Responsibility in Networked Organizations," presented at Rotterdam School of Management, Erasmus University, Rotterdam, The Netherlands.
- with S.L. Berman & A.C. Wicks, "Resource Dependence, Managerial Discretion and Stakeholder Performance," Academy of Management, Honolulu, HI. Selected for Best Paper Proceedings and Best Paper Finalist.
- with C. Caldwell, "Value Chain Ethics: A Farewell to Arm's Length," International Association of Business & Society, Sonoma, CA.
- with M. Johnson-Cramer, "Dynamism in Social Contracts," The Zicklin Center for Business Ethics, The Wharton School University of Pennsylvania.
- 2004 Invited Discussant, The Japha Symposium on Business and Professional Ethics, Leeds School of Business, University of Colorado Boulder.
- 2004 *Keynote Address* 6th International Conference on Ethics Across the Curriculum, Oregon State University.
- with S.L. Berman & A.C. Wicks, "Resource Dependence, Managerial Discretion and Stakeholder Performance," Society for Business Ethics, New Orleans, LA.
- with R. Derry, M. Johnson-Cramer, "The Status of Activist Stakeholders," International Association of Business & Society, Jackson Hole, WY.

- 2004 Panel "Twenty Years of Stakeholder Research: Where Have We Been? Where Are We Going?" International Association of Business & Society, Jackson Hole, WY.
- 2004 "A Principle of Stakeholder Fairness," American Philosophical Association Pacific Division, Pasadena, CA.
- with S.L. Berman, "A Resource Dependence Perspective on Stakeholder Performance," International Association of Business & Society, Rotterdam, The Netherlands.
- 2003 *Prudential Lecturer*, "Supply Chain Ethics: A Farewell to Arm's Length," Rutgers University.
- 2002 "What Stakeholder Theory is Not," Society for Business Ethics, Denver, CO.
- 2002 "Response to Hartman" *invited response* at the Ruffin Lectures on Business Ethics, The Darden School, University of Virginia, April 2002.
- with S. Berman & J. Margolis, "Distinctions that Make a Difference Questioning the Central Premises of Business Ethics," Society for Business Ethics, Washington, DC.
- 2001 "Future Directions in Business Ethics," Markkula Center, Santa Clara University.
- with R.E. Freeman, "What Stakeholder Theory is NOT," International Association of Business and Society.
- 1999 "Stakeholder Legitimacy," Society for Business Ethics, Chicago.
- 1999 with R.E. Freeman, "Stakeholder Theory: Libertarian Defenses," Society for Business Ethics, Chicago.
- 1998 with J.D. Margolis, "Toward an Ethics of Organizations," Society for Business Ethics, August 1998.

#### Selected as Conference Best Paper.

- Also invited presentation at the National Conference on Ethics in Health Care Organizations at the University of Virginia, September 1998.
- 1998 "Normative Stakeholder Theory: Toward a Conception of Stakeholder Legitimacy," Academy of Management.

  Selected for Best Paper Proceedings and Best Paper Finalist.
- 1997 "Fairness as an Alternative to Social Contracts," Zicklin Center for Business Ethics Research at the Wharton School, University of Pennsylvania.
- 1997 "The Environment as a Stakeholder," International Association of Business & Society.

- "Concepts and Controversies in Business, Ethics and Society," Academy of Management, August 1996.
- 1996 "Stakeholder Theory and a Principle of Fairness," Society for Business Ethics.
- 1996 with Maureen Bezold, "Organizations as the Object of Stakeholder Activism," International Association of Business & Society.

### **Professional Organizations & Service:**

- Society for Business Ethics
  - o Board of Directors, 2006-2010
  - o Society President, 2009
  - o Annual Meeting Program Chair, 2008
- Associate Editor Business & Society, 2009-present
- Editorial Review Board
  - o Business Ethics Quarterly, 2006-present
  - o Business & Society, 2008-present
- Strategic Management Society
  - Launch Committee Stakeholder Strategy Interest Group
- External Dissertation Committee Judith Schrempf University of Lausanne (Switzerland) - 2010
- Special Issue Editor
  - o Business Ethics Quarterly
  - o Business & Professional Ethics Journal
- Social Issues in Management Division Academy of Management:
  - 'Associate Editor' for Annual Meeting 2010
  - o Society for Business Ethics Liaison 2007-2010
  - Keynote Speech Coordinator
    - 2006 (Ray Anderson)
    - 2007 (Robert Frank)
    - 2008 (Rakesh Khurana)
  - o Research Committee Chair, 2007
  - o Research Roundtable Coordinator, 2006
  - o Membership Committee, 2005
- International Association of Business and Society
  - o Best Paper Committee 2005, 2009-2010
- Ad Hoc Reviewer:
  - o Business Ethics Quarterly
  - o Business & Society
  - o Academy of Management Review
  - o Academy of Management Executive
  - o Strategic Management Journal
  - o Journal of Business Ethics
  - o Journal of Corporate Citizenship
  - Business & Professional Ethics Journal

- o Journal of Business Venturing
- o Organization Studies
- o Journal of Business Ethics Education
- o Oxford University Press
- o Cambridge University Press
- o Berrett-Koehler Publishers
- o Academy of Management
- o Society for Business Ethics
- o International Association of Business and Society
- The British Academy (UK's National Academy for the Humanities and Social Sciences)

# **University Service:**

### University of Richmond

| 2010-present | Faculty Advisor to Program in Philosophy, Politics, Economics and Law (PPEL) |
|--------------|--|
| 2010-present | Faculty Athletics Committee  |
| 2010-present | Athletics Council  |
| 2010-present | Athletics Strategic Plan Steering Committee                                  |
| 2009-present | Graduate Council   |
| 2009-present | International Business Committee   |
| 2010         | Donchian Ethics Symposium Organizing Committee                               |
| 2010         | Chair – Business Ethics Assistant Professor search committee                 |
| 2010         | Invited Speaker – Business School Senior Dinner                              |
| 2010         | AACSB Sustainability Conference – Denver, CO                                 |
| 2006-2010    | Judge – McWick Strategy Case Competition                                     |
| 2009-2010    | Judge – Mid-Atlantic Regional Ethics Bowl                                    |
| 2008-2009    | Independent Study Supervisor – Will Kent (2008), Erica Wang                  |
|              | (2009)   |
| 2007-2009    | Faculty Advisor - MBA Capstone Projects                                      |
| 2007         | Undergraduate Thesis Advisor – Lana DelliCarpini                             |
| 2006         | Coach – Ethics Case Competition Team   |

## University of San Diego

| 2004-2005 | School of Business (SB) Appointment, Reappointment, Rank & |
|-----------|--|
|           | Tenure Committee   |
| 2001-2005 | SBA Long Range Planning Committee                          |
| 2003-2004 | Ad Hoc Academic Integrity Committees                       |
| 2001-2003 | University Honor Council                                   |
| 2003-2005 | SBA Graduate Studies Committee                             |
| 2002-2005 | University Faculty Grievance Committee                     |
| 2002      | Faculty Hiring Committee                                   |

2002-2005 Resident Faculty Program – Lived in student housing and worked

closely with residential staff and students

### **Courses Taught:**

#### University of Richmond

BUAD 392 - Ethical, Social and Legal Responsibilities of Business

Fall 2008 (4.77/5.00)

Spring 2009 (4.70/5.00)

Fall 2009 (4.81/5.00)

Spring 2010 (4.71/5.00)

MBA 508 - Social, Legal & Ethical Issues of Business (Fall Only)

Fall 2008 (4.36/5.00)

Fall 2009 (4.81/5.00)

Mini-MBA (Executive Education)

### University of San Diego

MSEL 503 Organizational Ethics (Executive Education)

MAFM 501 Ethics and Communications for Financial Professionals

BUS 142 Business & Society

**BUS 101 Organization Theory** 

GBA 583 International Law & Ethics

GBA 501 Organization Concepts & Techniques

GBA 533 Organization Design and Change

### <u>University of Pennsylvania</u>

LGST 210 Corporate Responsibility and Ethics

### Georgetown University

MGMT 282 Social Responsibilities of Business

MGMT 583 Business Ethics

### University of Virginia (McIntire)

**COMM 381 Business Ethics** 

#### References available upon request