

RICE UNIVERSITY'S
BAKER INSTITUTE
2012 ANNUAL REPORT



“Our intellectual capital, the foundation of our work, has never been more talented or more capable of addressing ... challenging problems. For the Baker Institute to stay on the leading edge of our country’s most pressing issues, we must remain diligent in our research, unbiased in our approach and assured in our recommendations. With the assistance of those who support the Baker Institute, I am confident that we will continue to meet those challenges and continue to provide Rice University with a policy institute of which we can all be proud.”

*—The Honorable James A. Baker, III
Honorary Chair,
James A. Baker III Institute
for Public Policy*

11.15.11 *Looking ahead to the November 2012 elections, members of the Baker Institute Student Forum debate a top campaign issue: jobs and the economy.*



This annual report encompasses the activities of the institute for fiscal year 2012 from July 1, 2011, to June 30, 2012.

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21 *rice scholars*

21 *research programs*

24 *e-newsletters*

40 *fellows and policy researchers*

53 *classes taught*

69 *publications*

70 *events*

73 *student interns*

137 *blog posts*

186 *countries reached on the web*

Rice University's Baker Institute is a nonprofit, nonpartisan think tank in Houston, Texas. The institute produces independent research on domestic and foreign policy issues with a focus on providing decision-makers in the public and private sectors with relevant and timely policy assessments and recommendations.

By bringing statesmen, scholars and students together, the institute broadens the content and reach of its policy assessments and recommendations, and provides an open forum for debate and discussion.

The institute educates students on public policy issues and related subjects by offering courses at Rice University and sponsoring student intern and mentoring programs at home and abroad.

Honorary Chair's Message

This fall, Rice University will celebrate its centennial anniversary, commemorating 100 years of excellence in academia and scholarship. I am proud that my family has been associated with this university from its very beginning. My grandfather, Capt. James A. Baker, unraveled a murder mystery that preserved William Marsh Rice's fortune, later built Rice Institute and served as the school's first board chairman for 36 years. Of course, I experience the very same family pride each and every time I walk into the James A. Baker III Institute for Public Policy at Rice University.

Since it was founded 19 years ago, the Baker Institute has played an important role in the critical public policy issues of the day. Our fellows and scholars are world renowned for considering pertinent questions that range from the future of the U.S. economy to the sustainability of our health care programs, and from the effects of uprisings in the Middle East on our foreign policy to the impact of increased immigration across our borders. Evidence of the hard work and creative approach performed daily at the Baker Institute is the fact that it ranked 24th among U.S. think tanks and 17th among university-affiliated think tanks worldwide, according to the latest study by the University of Pennsylvania's Think Tanks and Civil Societies Program.

Of course, we have more work to do. The challenges facing our country and the world seem to be accelerating rapidly. As a result, the demands facing our leaders will require that policy debate and discussion move at a faster and even more pronounced pace than ever before. Not only will the Baker Institute have to be smart and accurate in its analysis of global challenges, it must also be quick in its response.

Understanding this, the Baker Institute is taking steps in programming, fundraising and outreach to guarantee that we attract and retain the brightest and most capable experts. Our intellectual capital, the foundation of our work, has never been more talented or more capable of addressing these challenging problems. For the Baker Institute to stay on the leading edge of our country's most pressing issues, we must remain diligent in our research, unbiased in our approach and assured in our recommendations. With the assistance of those who support the Baker Institute, I am confident that we will continue to meet those challenges and continue to provide Rice University with a policy institute of which we can all be proud.

The Honorable James A. Baker, III
Honorary Chair

Founding Director's Message

2013 will mark the Baker Institute's 20th anniversary. We are committed to remain one of the world's leading think tanks. This anniversary is both an opportunity to celebrate our accomplishments and to forge a long-term strategy that will allow us to stride into the future with dynamism and confidence.

In the past year we have broadened our research's geographic and substantive scope and deepened our collaborations with renowned policy institutes in Brazil, China and Japan. Our fellows and scholars are engaged in new research initiatives on Latin America, energy policy, the global economy, public finance, space policy and health policy. Our ranks have expanded with a new fellow in disease and poverty, visiting scholars for both immigration and the Middle East, and a postdoctoral fellow in drug policy. We have established a new position for a director of marketing and communications who will enhance the dissemination of our research and recommendations through the Internet and social media.

We are marking this election year with "Election 2012: Policy Perspectives," a series that provides a nonpartisan forum for discussion of key campaign issues in the presidential and congressional elections. Events this fall include policy-relevant conversations on the economy and health reform.

This year, many Rice students participated in our internship programs not only in Houston but also in Doha, Moscow, Paris and Washington, D.C., and we will expand both our student leadership and internship programs. In the spring of 2013, the Baker Institute will offer its first-ever public policy course. Taught by the institute's fellows and scholars, the curriculum will include subjects such as energy policy, U.S. policy in the Middle East and health economics.

At the Baker Institute we take pride in being an integral part of a vibrant university that celebrates its centennial this year. None of our achievements would be possible without the exemplary leadership of Rice University President David Leebron and the Rice Board of Trustees so ably led by its chairman, Jim Crownover. The unstinting support of our Honorary Chair James A. Baker, III, and the outstanding counsel of our Baker Institute Board of Advisers, the excellence of our fellows and scholars and the committed work of the institute's administrative staff form the bedrock upon which our achievements are built. Most of all, we owe an immense debt of gratitude to our friends and donors who have helped the Baker Institute become what we are today.

The Honorable Edward P. Djerejian
Founding Director



01.19.12 *Rice undergraduates study the customs and culture of Qatar in preparation for the 2012 Public Diplomacy and Global Policymaking Program's spring break trip to Doha. In the 2011-2012 school year, Baker Institute fellows and scholars taught more than four dozen classes in addition to their research.*

2011-2012 FELLOW/SCHOLAR-TAUGHT COURSES

ARCH 433	The Cullinan Seminar	HIST 341	Premodern Chinese History
ARCH 501	Introductory Graduate Design Studio	HIST 342	Modern China
ARCH 692	Architectural Problems	HIST 495	Modernization of China and Japan
ASIA 212	Perspectives on Asia	HIST 572	America in the Middle East
ASIA 360	China and the Chinese Diaspora	MLSC 502	Our Environment: Science and Culture
CHEM 570	Nanotechnology for Teachers	NSCI 511	Science Policy and Ethics
ECON 201	Microeconomics I	POLI 211	Introduction to International Relations
ECON 243	Corporate Finance	POLI 305	Directed Readings
ECON 252	Religion, Ethics, Economics	POLI 337	Public Policy and Bureaucracy
ECON 303	Macroeconomics	POLI 405	Senior Thesis
ECON 439	Torts, Property, Contracts	POLI 440	Public Policy Seminar
ECON 447	Energy Economics	POLI 457	Conditions of Democracy
ECON 479	Economic Modeling and Public Policy	POLI 530	Approaches to American Government
ECON 480	Environmental Economics	POLI 570	Seminar in International Conflict
ECON 481	Health Economics	POLI 599	Teaching Political Science
ECON 483	Public Finance: Tax Policy	POST 401	Energy Policy
ECON 484	Public Goods	POST 455	United States Middle East Policy
ECON 502	Macroeconomics and Monetary Economics I	POST 499	Independent Study
ECON 510	Introductory Econometrics	RELI 221	Life of the Prophet Muhammad
ECON 516	Empirical Microeconomics	RELI 348	Christianity and Islam in Africa
ECON 522	Public Economics: Tax Policy	RELI 441	Arabic Texts
ECON 523	Dynamic Optimization	SOCI 101	Introduction to Sociology
ECON 547	Advanced Topics in Energy Economics	SOCI 345	Medical Sociology
ECON 594	Workshop in Economics	SOCI 580	Classical Sociological Theory
ENST 437	Energy Economics I	UNIV 312	Public Diplomacy in Qatar
GLHT 411	Sustainable Development		
HIST 206	Introduction to Asian Civilizations		

05.19.12 *An interpreter translates conference presentations during the three-day “International Space Medicine Summit 2012.” Now in its sixth year, the summit promotes international collaboration among nations with a vested interest in human spaceflight and related biomedical research.*



RESEARCH PROGRAMS

Conflict Resolution

Drug Policy

Energy Forum

- The Arab Spring and Oil
- Geopolitics of Natural Gas
- Oil, Finance and Globalization
- Rice Energy Program
- U.S. Strategic Energy Policy

Health Economics

- Health Policy Forum

Homeland Security and Terrorism

- Texas Security Forum

Information Technology Policy

International Economics

Kelly Day Endowment on the Status of Women and Human Rights in the Middle East

Latin America Initiative

- Americas Project
- U.S.–Mexico Border Program
- Vecinos Lecture Series

Public Diplomacy

Religion and Public Policy

Science and Technology

- Civic Scientist Program
- Climate Change Initiative
- International Stem Cell Policy Program
- Medicine, Research and Society Public Policy Issues Series

Space Policy

Tax and Expenditure Policy

Transnational China Project

LECTURE SERIES

Chevron Excellence in Leadership Energy Lecture Series

Robert A. Mosbacher Global Issues Series

Shell Distinguished Lecture Series

STUDENT PROGRAMS

French Institute of International Relations

Integrated Solutions to Sustainable Development

Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program

Moscow Summer Intern Program

Public Diplomacy and Global Policymaking in the 21st Century

With the approach of the 2012 U.S. elections, public policy is on the minds of voters and decision-makers. Jobs, the economy and health care are just a few of the issues on the national radar.

A key mission of the Baker Institute is to bridge the gap between the world of ideas and the world of action. The series “Election 2012: Policy Perspectives” seeks to do that by providing a nonpartisan forum for discussion and debate of the key issues in this year’s U.S. presidential and congressional races.

The series, which started in January 2012 with the event “Religion in the 2012 Election,” includes debates, policy reports and social media, with a focus on connecting Baker Institute experts with the public on timely, relevant topics. Through interviews with the news media, Baker Institute fellows shared their insights on issues ranging from energy and the economy to health reform and politics.

“Our goal is to discuss the issues that are important to voters and policymakers with a focus on policy, rather than politics,” said Baker Institute founding director Edward P. Djerejian. “We hope this nonpartisan emphasis will be of tremendous value to our various constituencies, including Rice students

and the broader academic community.” This past academic year, the series provided comprehensive analysis of the Supreme Court’s decision affirming the Affordable Care Act, a key election issue in Texas and across the country, as well as analysis of the Texas GOP primary race to replace U.S. Sen. Kay Bailey Hutchison in the context of local and national ramifications. The role of early voting and voter ID laws was also examined.

Some of the events planned for the fall include a health care policy discussion as well as a conversation about jobs and the economy. Both will be led by Baker Institute fellows. The annual fall reception for Baker Institute Roundtable members will also focus on the election. In addition, Baker Institute fellows and scholars will use blog posts, op-eds and the institute email newsletter to advance the discussion.

The series will culminate in January 2013, with the publication of a “Recommendations for the Next Administration” briefing book discussing approaches and possible solutions to the challenges the president and his administration will face on some key issues.



10.05.11 Baker Institute honorary chair James A. Baker, III, poses with Baker Institute Student Forum members after a private meeting in advance of a conference on fiscal reform.

10.06.11 Alan Simpson, former U.S. senator and co-chairman of the National Commission on Fiscal Responsibility and Reform, delivers the keynote address at the conference “Defusing the Debt Bomb: Economic and Fiscal Reform.”

01.25.12 Nationally syndicated columnist Leonard Pitts Jr. participates in a panel event on the impact of religion on politics during the “Religion in the 2012 Elections” symposium, co-sponsored by the Baker Institute, Texas Freedom Network and the Rice University Religion and Public Life Program.

04.03.12 Members of the Baker Institute Student Forum (BISF), Rice University Young Democrats and the Rice Conservative Forum discuss contraception coverage and religious freedom during the BISF Spring Debate.

More than a year into the Arab Awakening, U.S. policy continues to face significant challenges in the Middle East as governments and alliances shift in the wake of protests and civil unrest.

Baker Institute founding director Edward P. Djerejian, a former U.S. ambassador to Syria, spoke and wrote at length about the ramifications of the crisis in Syria and the broader region. In addition to a special report emailed to Baker Institute newsletter subscribers, Djerejian blogged and tweeted on the subject. Media outlets, including The New York Times, Al Jazeera, CNN, Voice of America and National Public Radio, sought his insights during numerous interviews.

“We have to understand that the United States can influence, but cannot direct, the course of political events in the Arab world,” Djerejian said. “Whatever we can do to influence the evolution of these societies under more stable, democratic and free economic paths is where the United States should be crafting its policies.”

The institute also engaged in new efforts to promote peace in the Middle East. This past fall, the Baker Institute **Conflict Resolution Forum** and the United States Institute of Peace (USIP) co-hosted “Twenty Years After Madrid:

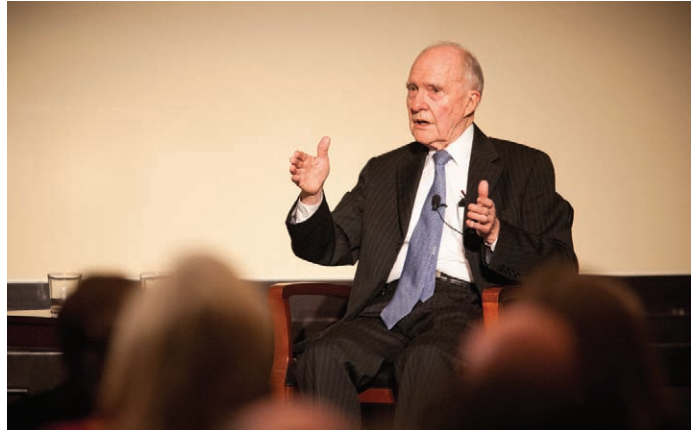
Lessons Learned and the Way Forward for Arab-Israeli Peacemaking,” an all-day conference in Washington, D.C. President George H.W. Bush addressed the large audience in a video interview. The Honorable James A. Baker, III, 61st secretary of state and the Baker Institute’s honorary chair, gave the keynote address, recalling the historic 1991 Madrid Peace conference — the first face-to-face peace negotiation directly involving Israel, Jordan, Syria, Lebanon and the Palestinians.

“Madrid revealed the critical importance of the United States as a credible and effective broker. We were reassuring, but also tough and fair,” Baker told the conference. “We never made threats or promises that we were not prepared to carry out, and there was a cost imposed on the parties for willfully saying ‘No.’”

Addressing the current situation in the Middle East, Baker called on the current U.S. leadership to do more. “The peace process may not be dead — but it’s clearly on life support,” he said.

Following the event, the Baker Institute and USIP published a joint report detailing the conference’s discussions and findings. The Conflict Resolution Forum also continued its efforts to actively engage decision-makers in the Middle East and

04.04.12 *Lt. Gen. (Ret.) Brent Scowcroft, former U.S. national security adviser and president of The Scowcroft Group, addresses the Arab Spring and America's "complicated relationship" with Russia, North Korea and China at a Baker Institute event.*



Washington, with a focus on Israeli-Palestinian issues.

In an effort to raise awareness about opportunities for women in the region, the institute's **Kelly Day Endowment on the Status of Women and Human Rights in the Middle East** published two papers examining the ramifications of the Arab Awakening for Arab women. The work focused on social media, the Internet and the role of women in the popular uprisings.

The Baker Institute also welcomed The Honorable Condoleezza Rice, 66th U.S. secretary of state, who discussed her most recent book, "No Higher Honor: A Memoir of My Years in Washington," as part of the **Shell Distinguished Lecture Series**.

To impact policy, Rice told a crowd of more than 1,000 to "roll up your sleeves, get in there, do battle with everybody else, try to make good policy decisions, and then go home and do something else. And that's what I have done."

National security was addressed by U.S. Rep. Michael T. McCaul, R-Texas, who spoke on current and potential security risks along the U.S.-Mexico border as a guest of the **Homeland Security Program**. Journalist Dudley Althaus of the Houston Chronicle's Mexico City bureau and author Rusty Fleming provided further

insights on the topic of drug wars as guests of the program.

Nathan P. Jones, Ph.D., joined the **Drug Policy Program** as the institute's first Alfred C. Glassell III Postdoctoral Fellow in Drug Policy. Jones' research focuses on drug violence in northeastern Mexico.

The Drug Policy Program's "The War on Drugs Has Failed. Is Legalization the Answer?" conference examined trends in domestic and international drug policy, with an emphasis on alternatives to prohibition and criminalization. The event featured a keynote address by noted travel writer, public television producer and public radio host Rick Steves. Other speakers included Ethan Nadelmann, executive director of the Drug Policy Alliance, and British criminologist Alex Stevens.

"The impetus for the legalization of marijuana is building," a conference report published after the event noted. "Medical marijuana is currently legal in 16 states and the District of Columbia. Voters in Washington State and Colorado can decide to legalize the drug in the November 2012 election. As this process continues, all concerned should carefully examine the claims made by opposing sides, rely on the best available evidence, particularly peer-reviewed science, and encourage extensive further research."



11.02.11

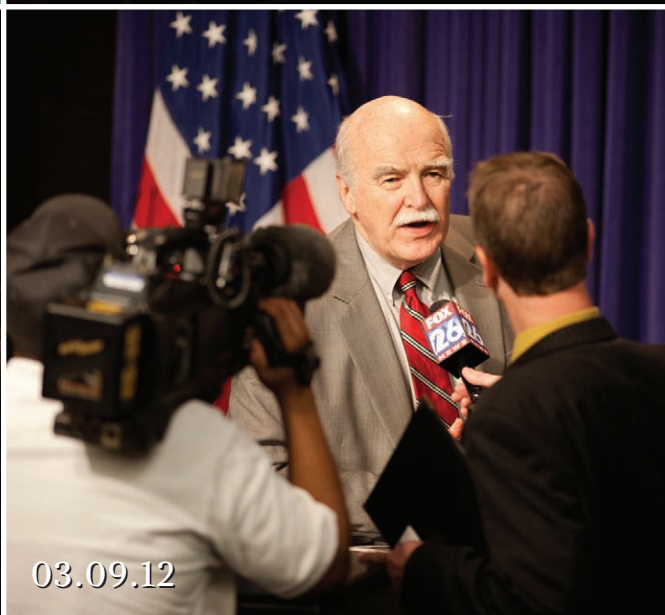
“The most telling symbol of the Arab Awakening was perhaps a placard I saw in Tunisia bearing the Arabic word for ‘enough,’” said Baker Institute founding director Edward P. Djerejian. “Enough of autocratic rule, of rampant unemployment, of critically deficient education opportunities, and of social injustice.”



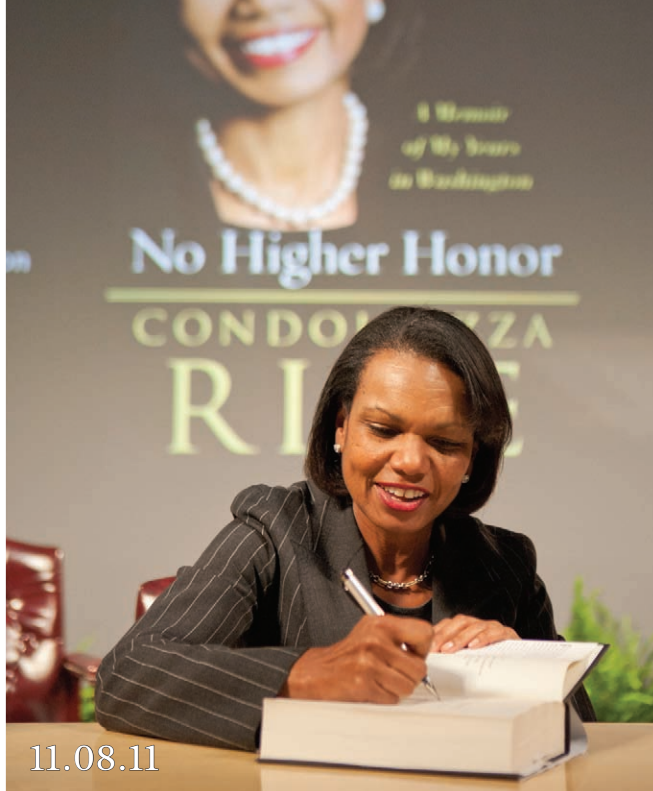
02.21.12



03.08.12



03.09.12



11.08.11

11.02.11 Twenty years after the Madrid Peace Conference, the Baker Institute and the United States Institute of Peace (USIP) co-host a conference in Washington, D.C., to discuss the way forward for Arab-Israeli peacemaking. Pictured at the 2011 USIP conference are members of the U.S. delegation to the 1991 conference (from left to right): Gemal Helal; Daniel Kurtzer; James A. Baker, III; Edward P. Djerejian; Dennis Ross; and Aaron David Miller. Photo courtesy of USIP.

11.08.11 Former Secretary of State Condoleezza Rice signs copies of her memoir "No Higher Honor" after her Shell Distinguished Lecture Series address.



03.07.12

02.21.12 Congressman Michael T. McCaul, R-Texas, addresses current and potential national security risks along the U.S.-Mexico border.

03.07.12 Homeland security and terrorism fellow Joan Neuhaus Schaan (left) confers with Capt. Colin F.G. Crookshank, group general manager of Ras Al Khaimah Ports in the United Arab Emirates (right), during his lecture in the institute's Rush Conference Center.

03.08.12 Travel writer Rick Steves meets audience members after his keynote address for the Drug Policy Program conference "The War on Drugs Has Failed. Is Legalization the Answer?"



05.30.12

03.09.12 Drug policy fellow William Martin is interviewed by local news media during the conference "The War on Drugs Has Failed. Is Legalization the Answer?," at which defenders of current U.S. drug policy, advocates for reform and academics debated U.S. drug policy.

05.30.12 Ron Prosor, permanent representative of the State of Israel to the United Nations, speaks at the Baker Institute on prospects for peace in the Middle East.

Amid soaring energy demand in Asia and the explosive growth of shale gas discoveries in North America, the Baker Institute **Energy Forum** focused on the outlook for China and the United States in addition to its work on the Middle East.

The Energy Forum's groundbreaking study "The Rise of China" found that despite aggressive demand-management policies announced in recent years, China's oil use could easily reach levels comparable to today's U.S. levels by 2040.

"Given the scale of vehicle stock growth in China, it is going to be extremely difficult to move the needle of the country's rising transport fuel outlook," said Kenneth Medlock, a study author and the James A. Baker, III, and Susan G. Baker Fellow in Energy and Resource Economics.

The study noted that China's strategy of investing in oil operations and companies abroad has encountered difficulties in light of recent geopolitical events and rising global political risks in oil-producing regions.

"China is now finding itself mired in more energy-related foreign diplomacy than it bargained for," said Amy Myers Jaffe, another study author, and the Wallace S. Wilson Fellow in Energy Studies. "But this

could be good news for the United States. It may mean China will be more inclined to act in concert with other members of the international community in conflict-prone regions."

The increasing prominence of U.S. shale gas also has significant implications for global energy markets, according to the Baker Institute study "Shale Gas and U.S. National Security." Sponsored by the U.S. Department of Energy, the report investigated the role of U.S. shale gas as global primary energy use shifts increasingly to natural gas. Specifically, the study concluded that shale gas will diminish the petro-power of major natural gas producers in the Middle East, Russia and Venezuela, and it will be a major factor limiting global dependence on natural gas supplies from unstable regions that are currently uncertain sources of the global supply of oil. In addition, the timely development of U.S. shale gas resources will limit the need for the United States to import liquefied natural gas for at least two decades, thereby reducing stress on the U.S. trade deficit and economy. Jaffe discussed this shift in an article published in *Foreign Policy*, "The Americas, Not the Middle East, Will Be the World Capital of Energy."

Citing geopolitical trends and new technology facilitating shale gas drilling, she predicted that "by the 2020s, the

10.04.11 *Longtime supporter Wallace S. Wilson (center) has helped the institute become a leading voice on energy geopolitics and policy. At right, he attends the annual Baker Institute Board of Advisers meeting with fellow board members Warren W. Tichenor (left) and Clarence P. Cazalot Jr. (right).*



capital of energy will likely have shifted back to the Western Hemisphere, where it was prior to the ascendancy of Middle Eastern megasuppliers such as Saudi Arabia and Kuwait in the 1960s.”

In November, Medlock testified before the U.S. Senate Committee on Energy and Natural Resources. The committee sought to assess market developments for U.S. natural gas, including the approval process and potential for liquefied natural gas (LNG) exports.

“U.S. LNG exports will occur in a global setting, so it is an international trade issue,” Medlock said. “The bottom line is that certification of LNG exports will not likely produce a large domestic price impact, and the long-term volume of exports from the U.S. will not likely be very large given the expected market developments abroad.”

Indeed, the “North American Energy Resources Summit,” co-hosted with PFC Energy, highlighted the vast endowment of natural resources coming to the forefront in North American energy markets and the policy challenges associated with large-scale development. In his keynote address, ConocoPhillips chairman and chief executive officer James J. Mulva called for government policies that are “fuel neutral” and avoid favoritism.

Participants also heard from top executives at Anadarko Petroleum, Pemex, Syncrude Canada and Black Stone Minerals.

The Energy Forum’s ongoing collaborations extended across campus and around the globe. “The Changing Geopolitics of Natural Gas: The Rise of Unconventional Gas and Its Implications for Global Natural Gas Markets, Geopolitical Relations and U.S. Energy Security,” a joint study with Harvard University, will focus on the regional and global impacts of development of domestic sources of unconventional gas in China and Europe, as well as large but untapped conventional resources in Iraq, Iran and Central Asia. The Energy Forum is also working with The University of Texas on a shale gas study.

Students, the next generation of energy experts and policymakers, were also a top priority for the Energy Forum. More than a dozen Rice University students served as program interns, researching topics ranging from sustainable development to natural gas pricing.

10.17.11 Dick Benschop (left), president of Shell Netherlands, congratulates the finalists of the “Global Energy Debates” student essay contest, co-hosted by Shell. Student participants shared their ideas for the future global energy mix, taking into account the need to reduce greenhouse gas emissions.

11.03.11 Kenneth B. Medlock III, the James A. Baker, III, and Susan G. Baker Fellow in Energy and Resource Economics, presents his policy research during an exclusive Associate Roundtable Breakfast. For more information about the Associate Roundtable, please see page 50.

12.02.11 At the Energy Forum conference “The Rise of China and Its Energy Implications,” Citigroup managing director and global head of commodities Edward L. Morse addresses China’s future role as a price maker in the global commodities market.

01.18.12 From left, petroleum geologist Arthur E. Berman, Pennsylvania State University professor Terry Engelder and Raoul LeBlanc, senior director of PFC Energy’s Financial Advisory Practice, participate in a panel discussion during the Energy Forum and PFC Energy’s “North American Energy Resources Summit.”

04.09.12 Patricia L. Cochran (standing), executive director of the Alaska Native Science Commission, describes how economic and environmental challenges in the Arctic are affected by the rest of the world, and vice versa, at the event “Indigenous People in the Arctic: Issues and Initiatives.”

04.17.12 The United States can phase out its use of oil and coal by 2050, says physicist Amory B. Lovins of the Rocky Mountain Institute. In his Baker Institute lecture, Lovins explains how and signs copies of his book, “Reinventing Fire.”

04.20.12 Liberian president and 2011 Nobel Peace prize winner Ellen Johnson Sirleaf is the inaugural speaker for the Chevron Excellence in Leadership Energy Lecture Series.

05.02.12 The Energy Forum hosts Uzi Landau, Israel’s minister of energy and water resources, who reviews “The Geopolitics of Gas Discoveries in Israel,” including the significance of the Tamar and Leviathan natural gas discoveries.



10.17.11

“By the 2020s,” said Amy Myers Jaffe, Wallace S. Wilson Fellow in Energy Studies, “the capital of energy will likely have shifted back to the Western Hemisphere, where it was prior to the ascendancy of Middle Eastern megasuppliers such as Saudi Arabia and Kuwait in the 1960s.”



04.17.12



11.03.11



12.02.11



01.18.12



04.09.12



04.20.12



05.02.12

Jobs and the economy were center stage this past year amid a global recession and the approach of a U.S. presidential election. With U.S. government debt at record levels, leaders of both political parties debated spending reform and fundamental changes to our nation's corporate and personal income tax system.

The Baker Institute **Tax and Expenditure Policy Program's** conference "Defusing the Debt Bomb: Economic and Fiscal Reform" explored in-depth the potential for spending and tax reform in resolving the nation's debt crisis. Former U.S. Sen. Alan Simpson, R-Wyo., co-chairman of the president's National Commission on Fiscal Policy and Reform, delivered the keynote address, arguing that special interests are paralyzing U.S. policy and governance.

Tax policy fellow John W. Diamond's research focused on corporate tax reform, building on research the previous year calling for base-broadening, rate-reducing tax reform. On a local level, Diamond testified before the City of Houston's Long-term Financial Planning Committee on the subject of pension reform.

Russell A. Green, an economist who most recently served as the U.S. Treasury Department's financial attaché to India,

was named the Baker Institute's new Will Clayton Fellow in **International Economics**.

"There are a lot of interesting policy questions that I want to pursue from a more academic perspective," Green said. "The experience I have provides a good base of understanding of the way markets work and the way policymakers think."

The Baker Institute policy report "Limits of the Jugaad Growth Model: No Workaround to Good Governance for India" called on policymakers to address gaping deficiencies in public services.

"Indian industry has gained fame in management circles for jugaad, or persevering despite limited resources. This skill has proven particularly important in overcoming inadequate public services," the report noted. "However, the economy appears to have reached the limit of using jugaad in the place of good government, suggesting a lower growth trajectory in the absence of a major improvement in political dynamics."

The Baker Institute **Latin America Initiative** welcomed Tony Payan for a yearlong appointment as Baker Institute Scholar for Immigration and Border Studies. He and program director Erika

09.27.11 Baker Institute senior fellow for Latin America and former New Mexico Gov. Bill Richardson (center) meets Rice students Sam Hile '12 (left) and Myles Bugbee '12, president of the Baker Institute Student Forum (right), at the annual Roundtable Fall Reception. Learn more about the Baker Institute Roundtable on page 45.



de la Garza are participating in a long-term study on immigration supported by the Ray C. Fish Foundation. A visiting scholar from The University of Texas at El Paso, Payan is interested in international borders, including border governability, foreign policy attitudes on the border and the manifestation of U.S. foreign policy at its borders.

The Latin America Initiative also supported the Puentes Consortium, a binational community of scholars who carry out multidisciplinary research on issues of importance to the relations between Mexico and the United States. As part of this year’s consortium research, Baker Institute political scientist Mark P. Jones co-authored a paper on “The Use of Electronic Technology and Legislative Representation in the Mexican and U.S. States: Nuevo León and Texas.”

Jones and Payan spoke extensively to the media about the Mexican presidential election, and the possible ramifications for both sides of the border. The Latin America Initiative’s Vecinos Lecture Series hosted a panel discussion on the Mexican elections, as well as a separate event on the Venezuelan presidential race. In collaboration with the Organization of American States, the Latin America Initiative’s Americas Project brought young leaders from across the hemisphere

to discuss “21st-century Borders in the Americas.”

The Baker Institute **Transnational China Project** co-organized “Ephemera and Archive,” an international, interdisciplinary conference of 35 scholars looking at problems in collecting and studying items such as public service advertisements, posters and protest materials, which are artifacts of cultural history.

C.V. Starr Transnational China Fellow Steven W. Lewis also examined the issue of “Carbon Management in China: The Effects of Decentralization and Privatization” as part of the “Rise of China and Its Energy Implications” study, a major research initiative with the Baker Institute Energy Forum.



07.11.11



10.13.11



02.02.12

“The depth of the institute’s coverage of foreign policy issues is really striking, with expertise in security, energy, economics, key regions and countries,” said Russell Green, the institute’s Will Clayton Fellow in International Economics. “We’ve established a hub for international analysis that attracts a lot of great people to come participate.”



03.29.12



04.11.12



10.21.11

07.11.11 Gen. Pervez Musharraf, 10th president of the Islamic Republic of Pakistan, presents a vision for his country's future, in which Pakistan would shun extremism and embrace socioeconomic liberalization in return for fair and dignified treatment by the rest of the world.

10.13.11 Fellows from throughout the Western Hemisphere discuss "21st-century Borders in the Americas: Sovereignty, Migration and Crime" during the Latin America Initiative's Americas Project 2011 Colloquium.

10.21.11 The institute, along with the Program in Poverty, Justice and Human Capabilities in the Center for the Study of Women, Gender and Sexuality at Rice University, hosts a lecture and book signing with Nobel laureate Amartya Sen, Harvard University economics and philosophy professor.



03.16.12

02.02.12 Ambassador Mauro Vieira of Brazil (left) addresses an audience at the Baker Institute after an introduction by Rice University President David Leebron (right). In May, the institute and Rice announced a formal partnership with Brazil's premier think tank, Fundação Getúlio Vargas, to enhance research and academic relationships and lay the foundation for long-term cooperation.

03.16.12 François Delattre, ambassador of the French Republic to the United States (right), at a reception following his presentation on "New Opportunities in the France-U.S. and Transatlantic Partnership."



04.23.12

03.29.12 Veteran foreign correspondent and author Paul Starobin shares his insights on the 2012 Russian presidential elections and aftermath.

04.11.12 In a lecture titled "Global Affairs and the Global Economy," JPMorgan Chase & Co. chair and CEO Jamie Dimon draws upon his experiences in the global financial services sector to talk about the outlook for the global economy.

04.23.12 At a Vecinos Lecture Series panel discussion moderated by Latin America Initiative program director Erika de la Garza, experts on Central American security explain how drug violence and human trafficking affect the entire Western Hemisphere.

Health care reform and the implications of the Affordable Care Act (ACA) captured the attention of policymakers and the general public in 2012. The Baker Institute **Health Policy Forum**'s Vivian Ho and Elena M. Marks sought to provide guidance by examining the act's short- and long-term consequences, including the ramifications of the Supreme Court's ruling upholding the law.

The Health Policy Forum conference "National Health Care Reform: The Good, the Bad and the Ugly" featured five of the nation's foremost health economists discussing the economic underpinnings of health care reform legislation, the current status and future for the prescription drug industry, and the long-term care sector. And a Health Policy Forum report warned that the ACA could create a significant surge in demand for health care services. By 2014, Texas and several other states would likely face a shortfall of primary care providers.

Marks wrote about the issue of contraception as a public health benefit. She also discussed the reasoning behind federal recommendations regarding the use of mammograms and prostate cancer screenings. Dr. John Mendelsohn penned an op-ed urging the city of Houston to capitalize on its resources and be a global leader in biotechnology.

Ho was a panelist in a Methodist Hospital Research Institute forum on "The Future of Health Care and Cardiovascular Medicine." The Health Policy Forum also continued joint projects with The University of Texas Medical Branch and MD Anderson Cancer Center assessing the effectiveness of cancer treatments. The forum partnered with MD Anderson on a National Cancer Institute-funded grant evaluating cancer surgery costs and quality.

Ho and Kirstin R.W. Matthews, fellow in the **Science and Technology Policy Program**, also co-authored a journal article on "The Aging of Biomedical Research." Another article by Matthews and senior science and technology policy fellow Neal F. Lane analyzed the federal research and development funding system, and what it portends for the future.

The Science and Technology Policy Program extended its focus on the next generation of scientists in two separate **Civic Scientist** lectures. National Oceanic and Atmospheric Administration head Jane Lubchenco called upon scientists "to become bilingual — to learn the very technical language of science, but also translate that into a language that laypeople can understand." Caltech biologists David Baltimore, who is also a Nobel laureate, and Alice Huang spoke jointly on the role scientists play in

12.06.11 *National Oceanic and Atmospheric Administration head Jane Lubchenco talks with Rice students at a meeting prior to her Civic Scientist Lecture on “Restoring Science to Its Rightful Place” in America’s future.*



improving the public’s and policymakers’ understanding of science. The pair also met with more than 200 Houston high school students to encourage them to go into science.

U.S. stem cell policy was in the news this past year because of a lawsuit that sought to block federal funding of human embryonic stem cell research. A timely Science and Technology Policy Program policy report called on Congress to codify U.S. stem cell policy, noting that the “constant flux in policy” impedes research and progress in the field.

The Baker Institute **Information Technology Policy Program** focused on cybersecurity, with information technology policy fellow Christopher Bronk co-authoring “The Dark Side of Cyber Finance” in *Survival*, the journal of the International Institute of Strategic Studies (UK). Bronk also contributed the paper “A Governance Switchboard: Scalability Issues in International Cyber Policymaking” for the University of Toronto’s *Cyber Dialogue*, one of the world’s top conferences on international cyber policy. His chapter “The Civilian Cyber Incident Response Policies of the U.S. Federal Government” was published in the January 2012 book, “Cybersecurity: Public Threats and Responses.” Bronk also presented at the International

School on Disarmament and Research on Conflicts at the University of Trento, Italy, and at the 53rd conference of the International Studies Association in San Diego, Calif. He spoke on “Cyber Power and the Future of Intelligence” at the FBI Field Office in New York, N.Y., in March 2012.

A key program event for the year was MIT professor Erik Brynjolfsson’s thought-provoking talk “Race Against the Machine,” which covered the problem of information technology-driven innovation disrupting the labor force.

In May, more than 140 participants attended the “International Space Medicine Summit 2012,” co-hosted by the institute’s **Space Policy Program** fellow George W.S. Abbey and Baylor College of Medicine’s Dr. Bobby Alford. The conference, held every year since 2007, focuses on the medical, psychological and biomedical challenges of deep space missions.

A Baker Institute report by Ronald L. Sass, fellow in global climate change, examined the possibility of water currents transporting a massive oil spill to the marshes and estuaries of the Texas Gulf Coast.

10.12.11 *Dr. Peter J. Hotez, Baker Institute fellow in disease and poverty, dean of the National School of Tropical Medicine at Baylor College of Medicine and president of the Sabin Vaccine Institute, explains how his research furthers “Innovation in the Control of the Neglected Tropical Diseases.”*



10.12.11

11.11.11 *The Health Policy Forum conference “National Health Care Reform: The Good, the Bad and the Ugly” features some of the nation’s foremost health economists, including Amitabh Chandra of Harvard University’s Kennedy School of Government (pictured here), to consider the implications of the Patient Protection and Affordable Care Act.*

11.30.11 *Laura J. Huffman, executive director of The Nature Conservancy of Texas, highlights the critical need for a sustainable water conservation plan in the state.*

02.08.12 *The U.S. military needs a change in strategy to successfully combat “irregular” warfare, including hit-and-run operations and attacks on soft targets such as civilians, says William Alexander Vacca of the global security company Northrop Grumman at an Information Technology Policy Program-organized event.*



02.08.12

02.23.12 *Health Policy Forum director Vivian Ho speaks to the Associate Roundtable at an exclusive breakfast meeting on what to expect when the Affordable Care Act takes full effect in 2014. For more information on the Associate Roundtable, see page 50.*

04.12.12 *As part of the institute’s Shell Distinguished Lecture Series, Nobel laureate David Baltimore (pictured) and Alice Huang, both Caltech biologists, discuss their roles as scientists inside and outside the laboratory. This event was also part of the Civic Scientist Lecture Series.*

05.19.12 *More than 140 participants from space-faring nations address the medical, psychological and biomedical challenges of flights to Mars and beyond at the sixth annual “International Space Medicine Summit.”*



04.12.12



11.11.11



11.30.11



02.23.12

The Affordable Care Act represents “a huge shift in how the private and public markets are going to work,” said Vivian Ho, James A. Baker III Institute Chair in Health Economics. “A decade from now, I expect to see more Americans with access to higher quality, affordable health care.”



05.19.12

For Rice University students, the path to the world of public policy often leads through the Baker Institute.

In the 2011-2012 academic year, seven institute interns won prestigious grants, including scholarships from the Fulbright, Marshall and Truman foundations. (See p. 38 for a full listing.)

This past year, the Baker Institute supported more than 73 internships for Rice students. Approximately 46 of those students worked at the Baker Institute under the guidance of fellows and scholars or the institute's administrative staff.

The institute also provided summer internships for nearly two dozen Rice students off-campus and around the globe with leading researchers and policymakers. Under the direction of C.V. Starr Transnational China Fellow Steven W. Lewis, the **Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program** sent 12 students to the nation's capital to conduct policymaking research at government agencies, private think tanks and nongovernmental organizations.

In addition, three students headed to Paris and Brussels this summer as part of the institute's internship program at the **French Institute of International Relations (IFRI)**; and the **Moscow Summer Intern Program**,

with the support of space policy fellow George W.S. Abbey, sent four Rice students to Moscow's Youth Space Center of Bauman Moscow State Technical University.

The Baker Institute also encourages students to identify and explore areas of public interest through the **Public Diplomacy and Global Policymaking in the 21st Century** program. Under the leadership of science and technology policy fellow Kirstin R.W. Matthews and development director Christene Kimmel, 12 Rice students organized a colloquium in Doha, Qatar, with students from Education City to discuss key issues, including scientific diplomacy and modern research, interfaith dialogue and democracy.

The student-run **Baker Institute Student Forum**, with assistance from Bonner Means Baker Fellow Joe Barnes, selects and sponsors events of interest to Rice students. This past year, students debated topics ranging from jobs and the economy to contraception and religious freedom. They also hosted former Houston Mayor Bill White for a discussion about the future of U.S. energy and voting demographics in Texas. Rice students also had the chance to meet privately with former national security adviser Lt. Gen. Brent Scowcroft and enjoyed an informal luncheon with The Honorable James A. Baker, III.



07.09.11 *2011 Moscow Summer Internship Program participants explored the history of spaceflight in Russia while developing working relationships and fostering future collaboration with other engineering and science students from around the world.*

08.27.11 *Every year through the Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program, the Baker Institute helps support a select group of Rice University students studying policy in the nation's capital.*

09.23.11 *Through the Baker Institute's internship program with the French Institute of International Relations (IFRI), students like Navtej Dhaliwal '13 (pictured here) are given the unique opportunity to work directly with scholars at one of Europe's top think tanks.*

02.26.12 *Public Diplomacy and Global Policymaking students visit the Shell lab at Qatar Science and Technology Park in Doha, Qatar.*



Financial Summary



09.23.11 Rice Board of Trustees Chairman James W. Crownover (far left) and Molly Crownover (far right) with the French Institute of International Relations internship class of 2011: (from left to right) Chris Keller '13, Devin Glick '12 and Navtej Dhaliwal '13.

The fiscal year of Rice University's Baker Institute corresponds to that of the university, running from July 1 to June 30. The institute's main expenditures include policy-related programs, research appointments and operating costs. Policy-related program costs include, but are not limited to, conferences, seminars, publishing, honoraria and special appointments. Operating costs include salaries, communications, maintenance, technology and general administration.

The Baker Institute operating budget for fiscal year 2013, approved by Rice University, is \$6.33 million. This is compared to \$5.7 million in FY12 and \$5.6 million in FY11. This operating budget encompasses all known institute research and administrative expenses for the fiscal year. Unknown expenses such as capital improvements or additional research programs will be funded through the institute's reserves.

As the Baker Institute enters its 20th year, it will seek to build on the solid financial base that has provided funding for its programs and operations to date. In the future, the institute's principal fiscal focus will be on assuring the sustainability and growth of the premier research programs that have earned the institute national and international recognition.

To that end, the Baker Institute will begin to seek substantial long-term funds that not only support individuals and projects, but also those that sustain the institute's research programs for years to come. The long-term financial health of the institute relies on its ability to secure core funding that ensures continuity of thought leadership in key policy debates.

Baker Institute Endowment History (Fiscal Years 2009–2012)

(in millions of U.S. dollars)

Fiscal Year	Market Value*	Earnings Distributed	New Contributions to Endowments
2009	\$77.84	\$ 3.41	\$ 0.24
2010	\$60.85	\$ 3.19	\$ 1.05
2011	\$65.19	\$ 3.26	\$ 1.44
2012	\$78.16	\$ 3.37	\$ 3.86

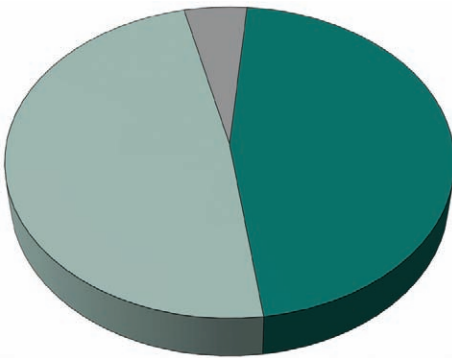
*As of June 30 of the previous fiscal year

Consolidated Income Statement – Fiscal Year 2012 (July 1, 2011–June 30, 2012)

(in millions of U.S. dollars)

2011 Balance Roll Forward	\$ 5.97
Revenue	+ \$ 6.76
Baker Institute Endowment Distribution	\$ 3.14
Current Use Gifts From Supporters	\$ 3.28
Rice University Funds	\$ 0.34
Expenses	– \$ 6.76
Research Fellows and Programs	\$ 4.66
Development, Public Affairs, Communication and Administration	\$ 2.10
Net Income – Fiscal Year 2012	\$ 5.97

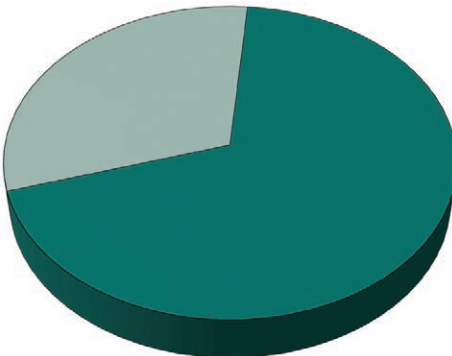
Funding Sources – Fiscal Year 2012
(in millions of U.S. dollars)



Total: \$ 6.76

- Baker Institute Endowment Distribution
\$ 3.14 (46%)
- Current Use Gifts From Supporters
\$ 3.28 (49%)
- Rice University Funds
\$ 0.34 (5%)

Budget Expenses – Fiscal Year 2012
(in millions of U.S. dollars)

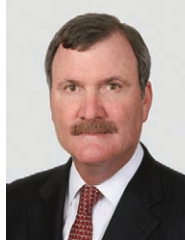


Total: \$ 6.76

- Research Fellows and Programs
\$ 4.66 (69%)
- Development, Public Affairs, Communication and Administration
\$ 2.10 (31%)

Board of Advisers

The board of advisers is dedicated to supporting and expanding the research and public policy goals of the Baker Institute. Board members provide a valuable external perspective and sounding board for institute leadership and policy fellows, and increase the Baker Institute's visibility with their support of its nonpartisan policy research and recommendations.



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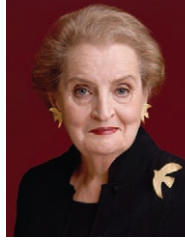


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The nonpartisan Baker Institute's 29 fellows and experts produce cutting-edge research that provides the base for the institute's public policy assessments and recommendations. The institute is noted for its independent, pragmatic and forward-looking policy proposals that are disseminated to policymakers and reported in national and international publications and media. The fellows also write books and articles and hold workshops and lectures throughout the year.



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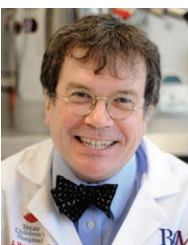
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09.27.11 *John W. Diamond, Edward A. and Hermena Hancock Kelly Fellow in Public Finance, speaks to Baker Institute members about fiscal reform during the Fall Roundtable Reception.*



Philanthropy plays an important role at Rice University's Baker Institute in advancing our fellows and scholars' public policy research programs and recommendations, as well as our ability to introduce students to the world of public policy. We are grateful for the generous support of individuals, foundations and corporations in Houston, the nation and around the globe who have entrusted us with their support.

The Roundtable and Associate Roundtable, the institute's premier membership forums, continue to grow in membership and remain focused on advancing the mission of the institute. This past year, members attended lectures by many distinguished speakers and interacted with world leaders, national decision-makers and leading researchers, including The Honorable Condoleezza Rice, Liberia's President Ellen Johnson Sirleaf and Lt. Gen. (Ret.) Brent Scowcroft.

As the Baker Institute's presence on the global stage grows, we are ever mindful that it is our donors who have fueled our success. This year we received \$5.4 million in new gifts and pledges. The additions of a visiting Baker Institute Scholar for Immigration Studies, supported by the Ray C. Fish Foundation, and the Alfred C. Glassell III Postdoctoral Fellow in Drug Policy added depth to our Drug Policy Program and the Latin America Initiative. Molly and James W. Crownover's lead gift provided a solid underpinning for our annual campaign in support of the institute's student leadership programs.

In addition, we are pleased to announce a two-year commitment from Brian Fitzgerald, who will support a program analyst for the Middle East Program under the supervision of Ambassador Edward Djerejian, our founding director.

The Baker Institute Board of Advisers has been instrumental in helping us implement the institute's strategic marketing and communications plan as we approach the institute's 20th anniversary. This group of 26 national and global leaders plays a critical role in promoting our policy fellows, their research and policy recommendations, as well as guiding efforts to establish the institute as a thought leader distinguished among its peers. We are thankful for our board members' support, and willingness to contribute their time and resources to the Baker Institute mission.

Vince McElligott
Senior Director of Development

Christene Kimmel
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The Baker Institute named endowment contributors provide permanent sustainable funds directed toward a specific purpose in support of public policy fellows, scholars, interns and programmatic initiatives.

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09.27.11 *From left, Roundtable chair Beth Robertson, with honorary co-chairs Susan G. Baker and Y. Ping Sun at the annual Fall Roundtable Reception.*

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09.29.11 *At a Baker Institute Student Forum event, author and theologian the Rev. Jim Wallis (right), CEO of progressive Christian organization Sojourners, explores how working across religious and political lines can lead to a more civil democracy.*



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09.27.11 *Associate Roundtable co-chair Molly Ward (left) and Roundtable Members socialize at the annual Fall Roundtable Reception.*

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02.02.12 *Baker Institute founding director Edward P. Djerejian is interviewed about U.S.- Israel relations, Syria and Iran at the 12th Annual Herzliya Conference in Israel.*



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10.05.11 *Baker Institute honorary chair James A. Baker, III, meets with the Baker Institute Student Forum before a conference on spending and tax reform and the nation's debt crisis.*

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04.19.12 *Russell Green, the institute's new Will Clayton Fellow in International Economics, talks about his research with institute members and guests at a Roundtable recruitment dinner.*



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11.03.11 *Associate Roundtable co-chair Wesley Ward listens to energy and resource economics fellow Kenneth B. Medlock III at a breakfast event.*

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