



**UNIVERSITY
AT ALBANY**

State University of New York

**Annual
Security
&
Fire Safety Report
For CY 2011**

**Higher Education Opportunity Act
(Clery Act)**

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Annual Security Report

FOREWORD

On behalf of the University Community, welcome to the University at Albany!

At the University, our foremost concern is the safety and well-being of the students, faculty, staff and visitors. The University Police Department (“UPD”) is dedicated to providing service in keeping with our focus on Community Policing. We are here 24 hours a day, every day working to maintain and improve the safety, security, and quality of life within our community.

The University at Albany has more than 17,000 undergraduate and graduate students, with approximately 4,000 faculty and staff. There are 115 buildings and 12 miles of public roadways within the campus boundaries. And the Campus keeps growing!

Our 400-acre uptown campus is situated on the western edge of the City of Albany; the smaller downtown campus, with classrooms and residence halls, is situated in the middle of the city; the East Campus is in the town of East Greenbush. The data from all campuses are included in this report.

UPD works closely with students, faculty and staff across the campus to achieve the highest levels of personal safety possible. UPD is staffed around the clock, 365 days a year, with 35 professional law enforcement officers and 30 security officers. These officers routinely visit residence halls and offices to discuss safety. One campus effort, dubbed "Park, Walk and Talk," gets police out of their patrol cars to walk where students see them.

The University provides an extensive array of campus security programs and procedures which are described on the following pages. Our continuing effort to improve safety has led us to implement several initiatives – including creation of the Committee on University & Community Relations, an uptown campus bicycle patrol, a Ride Along Program, regular foot and bicycle patrols on the academic podium and an uptown campus shuttle, beginning in the late afternoon and continuing until midnight. We also meet regularly with local police, government officials, neighborhood association and community leaders, and landlords, seeking ways to make on-campus and off-campus living safer for our students. While this report is updated annually, we encourage you to check on the University’s website for periodic updates on campus security, and safety and victim support programs offered by UPD, Student Success, Residential Life, the Counseling Center, the Sexual Assault Prevention Program, Employee Assistance Program (“EAP”) and other campus offices and departments.

While we have developed procedures and policies to ensure that students and their possessions are protected as much as possible, it is primarily the responsibility of the student, faculty or staff member to provide for his or her own safety and security by taking simple, common sense precautions, and by reporting information to UPD or other campus security authorities, including

the Office of the Vice President for Student Success, the Department of Residential Life and the Office of Personal Safety and Office Campus Affairs.

All campus crime and incident reports are posted promptly on the University's website and are distributed to the student newspaper and other offices across campus. In cases where a reported crime appears to threaten the safety of the campus community, the University takes additional steps, such as leaflets delivered to every student room, email notifications, telephone alerts and television notices, to notify the campus community of what has occurred and the steps that are being taken.

UPD provides 24-hour-a-day, 365-day-a-year mobile and foot patrol protection to all University campus properties as well as nearby facilities rented or leased by the University.

The University and UPD strive to promote and maintain a campus environment conducive to academic achievement. A truly safe campus can only be achieved through the cooperation of the entire campus community. With your help and participation and our commitment, we are confident that the time you spend with us will be safe and productive.

Sincerely,

J. Frank Wiley, Chief

John M. Murphy, Clery Compliance Officer



CAMPUS CRIME STATISTICS

Under the Clery Act campuses are required to report campus crime statistics for certain offenses, hate crimes, arrests and disciplinary referrals to the campus judicial process. In all cases this data must be broken down according to the geographic location of the occurrence of the offense. These locations are defined as the campus “Clery Geography” under the Act.

Clery Geography

On Campus

Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution’s educational purposes, including residence halls; and

Any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

On Campus – in Residential Halls

“Dormitories or other residential facilities for students on campus” is a subset of the on-campus category. Institutions must disclose the total number of on-campus crimes, including those in dorms or other residential facilities for students on campus, and must also make a separate disclosure limited to the number of crimes occurring in student dorms or residential facilities on campus. As a subset, the number of crimes reported for dormitories or other residential facilities must be less than or equal to the number of reported crimes for the on-campus category.

Non-campus Building or Property

Any building or property owned or controlled by a student organization that is officially recognized by the institution; or

Any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution’s educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.

On Public Property

All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.



CRIMINAL OFFENSES (MAIN CAMPUS)

The following criminal offenses are reportable offenses under the Clery Act for the **Main Campus** (including the Downtown Campus and Alumni Quad).

Note: On campus statistics include both incidents reported to police and those reported to non-police officials and may therefore contain multiple entries for the same incident.

On Campus

Criminal Offense	2009	2010	2011
Murder/Non-Negligent Manslaughter	0	0	0
Negligent Manslaughter	0	0	0
Forcible Sex Offenses (including forcible rape)	7	8	7
Non-Forcible Sex Offenses	0	0	0
Robbery	3	1	1
Aggravated Assault	5	2	2
Burglary	16	27	19
Motor Vehicle Theft	1	3	2
Arson	4	3	2

Non-Campus Building or Property

Criminal Offense	2009	2010	2011
Murder/Non-Negligent Manslaughter	0	0	0
Negligent Manslaughter	0	0	0
Forcible Sex Offenses (including forcible rape)	0	0	0
Non-Forcible Sex Offenses	0	0	0
Robbery	0	0	0
Aggravated Assault	0	0	0
Burglary	0	0	0
Motor Vehicle Theft	0	0	0
Arson	0	0	0

On Campus – in Residential Halls

Criminal Offense	2009	2010	2011
Murder/Non-Negligent Manslaughter	0	0	0
Negligent Manslaughter	0	0	0
Forcible Sex Offenses (including forcible rape)	6	6	7
Non-Forcible Sex Offenses	0	0	0
Robbery	1	0	0
Aggravated Assault	4	0	0
Burglary	11	21	15
Motor Vehicle Theft	0	0	0
Arson	2	3	0

On Public Property

Criminal Offense	2009	2010	2011
Murder/Non-Negligent Manslaughter	0	0	0
Negligent Manslaughter	0	0	0
Forcible Sex Offenses (including forcible rape)	0	0	0
Non-Forcible Sex Offenses	0	0	0
Robbery	1	0	0
Aggravated Assault	2	3	0
Burglary	0	0	0
Motor Vehicle Theft	1	0	0
Arson	0	1	0

Hate Offense Statistics

- For Calendar Year 2009 there were two reported Criminal Mischief incidents evidencing bias. One evidenced racial bias and occurred on campus in a residential hall. One evidenced sexual orientation bias and occurred on campus (not in a residential hall).
- For Calendar Year 2010 there was one reported Harassment incidents evidencing religious bias, five Criminal Mischief incidents evidencing racial bias and two Criminal Mischief incidents that evidenced sexual orientation bias. All incidents occurred on campus (not in a residential hall).
- For Calendar Year 2011 there were two Criminal Mischief incidents evidencing racial bias. Both incidents occurred on campus in a residential hall.

Arrests

On Campus

Criminal Offense	2009	2010	2011
Liquor Law Violations	2	2	12
Drug Law Violations	40	28	81
Illegal Weapons Possession	3	2	4

On Campus – in Residential Halls

Criminal Offense	2009	2010	2011
Liquor Law Violations	2	1	7
Drug Law Violations	18	12	46
Illegal Weapons Possession	2	1	4

Non-Campus Building or Property

Criminal Offense	2009	2010	2011
Liquor Law Violations	0	0	0
Drug Law Violations	0	0	0
Illegal Weapons Possession	0	0	0

On Public Property

Criminal Offense	2009	2010	2011
Liquor Law Violations	0	0	1
Drug Law Violations	0	4	14
Illegal Weapons Possession	0	0	1

Disciplinary Actions/Referrals

On Campus

Criminal Offense	2009	2010	2011
Liquor Law Violations	331	183	127
Drug Law Violations	110	81	60
Illegal Weapons Possession	0	2	6

On Campus – in Residential Halls

Criminal Offense	2009	2010	2011
Liquor Law Violations	320	183	127
Drug Law Violations	97	78	51
Illegal Weapons Possession	0	2	5

Non-Campus Building or Property

Criminal Offense	2009	2010	2011
Liquor Law Violations	0	0	0
Drug Law Violations	0	0	0
Illegal Weapons Possession	0	0	0

On Public Property

Criminal Offense	2009	2010	2011
Liquor Law Violations	0	5	6
Drug Law Violations	0	2	3
Illegal Weapons Possession	0	1	0

CRIMINAL OFFENSES (EAST CAMPUS)

The **East Campus** had no reported offenses for 2009. The East Campus had one reported Burglary in 2010 that occurred on campus (not in a residential hall). The East Campus had no reported offenses for 2011.

Hate Offense Statistics

The East Campus had no reported Hate Offenses in 2009, 2010 and 2011.

Arrests

The East Campus had no reported Arrests in 2009, 2010 and 2011.

Disciplinary Actions/Referrals

The East Campus had no reported Disciplinary Actions or Referrals in 2009, 2010 and 2011.

CLERY CRIMES

(Offense Definitions)

Aggravated assault: An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury. This type of assault usually is accompanied by the use of a weapon or by means likely to produce death or great bodily harm.

Arson: Any willful or malicious burning or attempt to burn, with or without intent to defraud, a dwelling house, public building, motor vehicle or aircraft, personal property of another, etc.

Burglary: The unlawful entry into a building or other structure with the intent to commit a felony or a theft. *[Note: Prior to CY 2010 incidents were classified as burglaries unless evidence supporting that the offense had been committed by a person who had license and privilege to be in the building or other structure was documented. Following direction from the US Department of Education beginning with the 2010 compilation of statistics an incident is classified as a burglary **only if** evidence supporting that the offense had been committed by a person who **did not** have license and privilege to be in the building or other structure was documented. This change in*

classification has resulted in a significant change in the number of reportable burglaries under Clery.]

Crime or a public offense: An act committed or omitted in violation of a law forbidding or commanding it, and to which is annexed, upon conviction, either of the following punishments: death; imprisonment; fine; removal from office; or disqualification to hold and enjoy any office of honor, trust, or profit in this State.

Drug abuse: Violations of laws prohibiting the production, distribution and/or use of certain controlled substances and the equipment or devices utilized in their preparation and/or use.

Forcible Sex Offenses:

- **Forcible fondling:** The touching of the private body parts of another person for the purpose of sexual gratification, forcibly and/or against that person's will; or, not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/

her temporary or permanent mental or physical incapacity.

- **Forcible rape:** The carnal knowledge of a person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her temporary or permanent mental or physical incapacity (or because of his/her youth).
- **Forcible sodomy:** Oral or anal sexual intercourse with another person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.
- **Sexual Assault With an Object:** The use of an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, forcibly and/or against that person's will; or not forcibly or against the person's will where the victim is incapable of giving consent because of his/her youth or because of his/her temporary or permanent mental or physical incapacity.

Hate crime: Any act of intimidation, harassment, physical force, or the threat of physical force directed against any person or family, or their property or advocate, motivated either in whole or in part by hostility to their real or perceived race, ethnic background, national origin, religious belief, sex, age, disability, or sexual orientation, with the intention of causing fear or intimidation, or to deter the free exercise of enjoyment of any rights or privileges secured by the Constitution or the laws of the United States or the State of California, whether or not performed under the color of law (Source: Definitions Used in Hate Crimes Reporting, P.O.S.T. Hate Crimes Student Workbook, January 1992 P. 2).

Larceny – theft: The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another.

Liquor-law violation: Violations of laws or ordinances prohibiting the manufacture, purchase, transportation, possession or use of alcoholic beverages.

Murder and non-negligent manslaughter: The willful (non-negligent) killing of one human being by another.

Negligent manslaughter: The killing of another person through gross negligence.

Non-campus: Any building or property owned or controlled by a student organization that is officially recognized by the institution.

Non - Forcible Sex Offenses:

- **Incest:** Non-forcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.
- **Statutory rape:** Non-forcible sexual intercourse with a person who is under the statutory age of consent.

Public property: All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

Robbery: The taking or attempting to take anything of value from the care, custody, or control of a person or persons by force or threat of force or violence and/or by putting the victim in fear.

Vehicle theft: The theft or attempted theft of a motor vehicle.

Weapons: Violations of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment or use of firearms, cutting instruments, explosives, incendiary devices or other deadly weapons.

DISCLOSURE OF POLICY STATEMENT

Preparing and Reporting the Annual Disclosure of Crime Statistics

UPD, in conjunction with the Office of Student Success, Office of Residential Life, Office of Human Resource Management, and local law enforcement agencies, prepares this report to comply fully with the Jeanne Clery Disclosure of Campus Security Policy and Crime Statistics Act. Statistics are compiled in accordance with the Uniform Crime Reporting System of the Department of Justice and the Federal Bureau of Investigation.

Campus crime, arrest and referral statistics include those reported to UPD, designated campus officials (including Office of Student Success, Residential Life, Office of Conflict Resolution and Civic Responsibility, Office of Human Resource Management and Academic Deans), and local law enforcement entities. Crimes are reported that occur on campus, on locations that are contiguous to the campus, and buildings or properties that are either owned or controlled by a campus affiliated entity, including officially recognized student organizations. Please see the University Map herein for the boundaries of crime reporting in accordance with the Clery Act.

Campus policy encourages every member of the campus community to report a crime promptly to UPD or campus security authorities, and the Counseling Center, the Student Health Center and Chapel House clergy inform their clients of the University's voluntary confidential reporting procedures in the event a person does not want to pursue action either within the federal or state criminal justice system or within the University's discipline system. A procedure is in place to capture crimes statistics which are disclosed anonymously to UPD or the Counseling Center.

Each year, an e-mail notification is made to all enrolled students, faculty and staff that provides the web site to access this report. Copies of the report may also be obtained at the University Police Department located at the east side of campus, just off University Drive East at the east end of Indian Parking Lot or by calling (518) 442-3130. All prospective students may obtain a copy by calling UPD or the Undergraduate or Graduate Admissions Office, or by visiting the web site at <http://police.albany.edu/ASR.shtml>. All prospective employees may obtain a copy by calling UPD or the Office of Human Resource Management, or by visiting the above web site.

Of note, the federal Clery Act may define a particular crime differently than that crime is defined under the New York State Penal Code. For the purposes of this Report, the University uses the Clery Act definitions of crimes. Please see herein for the Clery Act definitions.

Campus Law Enforcement Authority and Jurisdiction

UPD officers have complete policing authority to apprehend and arrest anyone involved in illegal acts on campus and areas immediately adjacent to the campus, from major offenses such as rape, murder, aggravated assault, robbery and auto theft to more minor offenses such as traffic violations. As part of their policing authority, they also have the authority to execute arrest warrants and search warrants, to execute bench warrants, take into custody and transport, in accordance with the NYS Mental Hygiene Law, a person likely to be a danger to himself or herself or others, conduct a temporary detention and emergency search for a weapon, and accept possession of lost property.

If minor offenses involving violations of University rules and regulations are committed, including, but not limited to violations of the Community Rights and Responsibilities (CRR), UPD may also refer the individual to the disciplinary division of the Office of Student Success.

UPD works closely with local, state and federal policy agencies, and it has direct access to national and statewide computer data bases for accessing criminal history data, nationwide police records, driver/vehicle identification information as well as other law enforcement information. UPD also maintains cooperative agreements with Albany City Police and the Guilderland Police relative to joint policing, investigations and enforcement to secure the safety of the Campus, and the contiguous town and city. Namely, these agreements state that UPD will be primarily responsible for the investigation of crimes occurring on campus property, and the contiguous law enforcement agencies will be primarily responsible for the investigation of crimes occurring in their respective jurisdictions; the departments will cooperate with each other in such investigations.

Daily Logs

UPD maintains a daily log of all department activity. The log is available for viewing at the station upon request or at <http://police.albany.edu/CandISearch.shtml>.

Policy on Off-Campus Crimes

When a University student is involved in an off-campus offense, UPD officers may assist with the investigation in cooperation with local, state or federal law enforcement. The uptown campus sits in part in the Town of Guilderland, but mainly in the City of Albany. The downtown campus and the President's residence are in the City of Albany, while the East Campus is in the Town of East Greenbush. The University does not recognize or permit any off-campus student groups, such as fraternal groups or sporting groups, to have official off-campus property with the exception of the Student Association's Dippikill camp area located in Warrensburg, New York.

UPD regularly communicates with the Albany City Police, the Town of Guilderland Police, the Town of East Greenbush Police, Warren County Sheriff's Office and the New York State Police to track any crimes or incidents involving University students.

Reporting Crimes and other Emergencies

The University encourages all campus community members, students, faculty, staff and guests, to report all crimes and public safety incidents to UPD (University Police Department) in a timely manner.

To report a crime on the University Campus:

On campus telephones	dial	911
Emergency & Blue Light Telephones	pick up receiver/dialed automatically	
Other telephones, including cell phones	dial	518-442-3131

Crimes also can be reported personally to the UPD office, 24 hours a day. The University Police Building is located at the east side of campus, just off University Drive East at the east end of Indian Parking Lot.

To report a less serious incident:

Conflict Resolution and Civic Responsibility	518-442-5501
Residential Life (for incidences occurring in campus residence)	518-442-5875
UPD	518-442-3131

To report crimes off campus: **dial 911 for local agencies**

The University encourages all campus community members, students, faculty, staff and guests, to report all crimes and public safety incidents to UPD in a timely manner.

Procedure after a call comes into UPD:

After a call comes in, police or other University personnel, fire and/or ambulance service will be dispatched as appropriate to the location of the call. Criminal offenses are pursued by UPD. UPD communicates routinely with other law enforcement agencies in the area.

The UPD works in close coordination with other area police agencies including the New York State Police, the Albany City Police, the Guilderland Police and the East Greenbush Police in the reporting and investigation of crimes occurring on and off campus.

Reporting Missing Persons

Any incident involving a person who is believed to be missing should be reported to the University Police Department (UPD). Any University official who becomes aware of a student believed to be missing for 24 hours or more must notify UPD.

UPD will take the report regardless of how long the person may have been missing. There is no requirement that the person be “missing” for 24 hours before UPD will take a report and begin an investigation. It is the policy of the University Police Department to take immediate reports on all persons thought to be “missing” and to immediately begin efforts to locate the person.

University students may designate a confidential contact person to be notified in the event the student has been missing for 24 hours or more. Designation of this confidential contact person is in addition to the designation of an “emergency contact”, although they may be the same person if the student so chooses. Confidential contacts, as well as general emergency contacts, may be designated through the students’ My UAlbany portal.

In the event that a student is believed to have been missing for 24 hours or more notification will be made to the confidential contact if one has been designated. In addition if the student is under the age of 18 and not emancipated, their custodial parent will be notified.

Note that while the above notifications are required under federal law nothing precludes contacting these or any other persons during the investigation if doing so may further the investigation, whether 24 hours has past or not. Appropriate to the particulars of the report other resources may be contacted to assist with an investigation including other law enforcement agencies.

Voluntary Confidential Reporting Procedures

The University encourages all members of its community to report crimes to UPD or other Campus Security Authorities, whether he or she is a victim or a witness.

If you are a victim or a witness to a crime and you do not want to pursue action within the University's discipline system or the criminal justice system, you may still want to consider making a confidential report. UPD maintains an electronic confidential reporting system on its website http://police.albany.edu/crime_report.shtml. It will not retain email addresses, IP addresses or other identifying information.

The purpose of a confidential report is to comply with your wishes to keep the matter confidential, while taking steps to ensure the future safety of yourself and others. With this information, the University can keep an accurate record of the number of incidents involving students, faculty and staff, determine where there is a pattern of crime relative to location, method, and assailant and alert the campus community to potential harm. Confidential reports are counted and disclosed in the annual crime statistics for the campus. Please understand while the crime is counted for statistical purposes, confidential reporting of a crime may impede a speedy investigation and/or a thorough investigation, or it may prohibit an investigation in its entirety.

Emergency Response Management

The University maintains and tests an All-Hazards Emergency Response Management Plan (ERMP). The ERMP is also on file at SUNY System Administration.

The ERMP utilizes the communications methods noted in the "Timely Warnings and Emergency Notifications to the Campus Community" section below. The SUNY NY Alert Emergency Notification system is a primary communications method. The system is tested annually with the last community-wide test having occurred on Friday, October 3, 2011. Prior to each annual test notification is sent to the community via email announcing when the test will occur, delineating the parameters of the test, advising the community how to participate in the SUNY NY Alert program and advising the community how and where to comment on the testing process.

In addition to the test of the notification system a scenario based exercise of the ERMP is held at least annually. A tabletop exercise simulating a response to a tornado event was held Monday, September 28, 2011.

Timely Warnings and Emergency Notifications to the Campus Community

What warrants a "timely warning" or "emergency notification"?

Timely Warnings shall be issued whenever a Clery Crime that is considered to represent a serious or continuing threat to students and employees is reported to UPD or a local police agency and has occurred within the University's Clery Geography. Whenever a timely warning is sent it shall be sent to the entire community.

Emergency Notifications shall be issued when a significant emergency or dangerous situation involving an immediate threat to the health or safety of students or employees occurs on the campus. As appropriate, emergency notifications may be targeted at only a segment or segments of the campus community that is at risk. Emergency notifications will be issued without delay unless doing so would compromise efforts to assist a victim or to contain, respond to, or otherwise mitigate the emergency.

The Vice President for Student Success, or in their absence a designee of the Vice President, in conjunction with the Chief of University Police and/or other campus and non-campus officials as appropriate, shall confirm the existence of a situation that may warrant a warning or notification and determine if a timely warning or emergency notification is warranted and the extent of the notification as appropriate. In addition to criminal incidents emergency notifications may be issued in situations such as, but not limited to:

1. Safety Related Issues:

- An incident that occurs ON any of our campuses that affects the personal safety and security of our population.
- An incident that occurs in close proximity of the University's three campuses that may potentially affect the personal safety and security of our student, faculty and staff population.

2. Health Related Issues:

- A member of our population is diagnosed with a serious or life threatening communicable/infectious disease.
- Evidence of bio terrorism.

Ways to communicate these issues:

For Safety Related Issues:

The following methods can be used to get the word out. Methods of communication will be chosen based on the nature of the incident. A decision will be made on notification methods by the VP for Student Success or their designee.

- E-mail to all students and/or faculty/staff
- Postings in campus center, residence halls, downtown and east campus as applicable to the incident. Postings can be emailed as attachments to various offices as indicated below
- Delivery of hard copy notification to all residential suites
- Albany Student Press (ASP) ad, based on timing of the incident
- Posting of notification on University Police Department web site
- ***SUNY NY Alert in the case of a critical campus wide emergency***
- Email to parents
- Post to MyUAlbany portal

For Health Related Issues:

The following methods can be used to get the word out. Methods of communication will be chosen based on the nature of the incident. A decision will be made on notification methods by the VP for Student Success.

- Letters to students and/or parents (communication to parents is extremely minimal, most likely done in cases of measles, mumps, or rubella. Viral Meningitis would be communicated to the parents whose students are directly affected.)
- Letters to faculty and staff, if appropriate
- Posting of notification on the University web-site at <http://www.albany.edu>
- ASP ad, based on timing of the incident

Procedure to follow:

For information that is believed to be of interest or concern to the entire University population, the Vice President for Student Success will typically notify the President's Office in advance of sending a campus wide notification.

SUNY New York Alert

WHAT IS IT?

The University at Albany is one of many State University campuses that have adopted an emergency messaging service offered through the [State Emergency Management Office](#) (SEMO) and the State University of New York (SUNY).

The system will allow the University at Albany to communicate on matters of **CRITICAL EMERGENCIES** with all members of the University community who sign up for this service.

The system allows e-mail, phone and text messages to be sent automatically to all members of the campus community who have provided their contact information.

HOW TO SIGN UP

The University **strongly recommends** that all students, faculty and staff sign up for SUNY NY Alert emergency messages. You can sign up for this service by logging on to [MyUAlbany](#) and choosing “SUNY NY Alert Emergency contact Info”. Registered students and current Faculty and Staff are eligible to sign up this way.

If you choose to receive the alerts, you must provide at least one e-mail address. You may also provide a cell phone number and provider to receive text message alerts and a phone number, either cell or land line, to receive voice alerts. You may list up to three phone numbers for each of these options, but you should be aware that the alert system will attempt to contact every number that you list until one of the phones is answered. We advise that you list your most-used number for text messaging and/or your most-used number for voice messages.

Campus Security Authorities

The University has designated certain administrators and staff as “campus security authorities” in recognition that many students, faculty and staff may be hesitant about reporting crimes to UPD, and may be more inclined to report incidents to non-law enforcement administrators and staff instead.

Campus Security Authorities are charged with reporting to the appropriate law enforcement personnel, either UPD or local police, or to any official or office which should be informed of the crime or complaint due to the nature of the crime or complaint, those allegations of Clery Act crimes that the campus security authority concludes are made in good faith. A campus security authority is not responsible for determining whether a crime took place as that is the function of law enforcement and its investigatory process.

A Campus Security Authority is required to report all allegations to law enforcement personnel, even if the Campus Security Authority was told of a crime in the context of providing emotional support or health care support. The allegations will be reported whether or not the victim chooses to file a report with law enforcement or press charges. A Campus Security Authority may also provide a victim or witness with assistance in reporting a crime to UPD or local police, or to any official or office which should be informed of the crime or complaint.

Pastoral counselors and mental health counselors at the University are not Campus Security Authorities, and are therefore exempt from disclosing or reporting allegations of crimes and incidents. However, to be exempt from the Clery Act reporting requirements, the counselor must be acting in their professional role of pastoral or mental health counselors at the University. For example, a Dean who has PhD in psychology is not acting in the counseling role, but rather as a Dean; and a PhD student in psychology working in the counseling center as part of his/her education and training is acting in the counseling role. Pastoral counselors and mental health counselors are trained in the procedures for reporting crimes and the procedures for reporting crimes confidentially. The University encourages them to inform their clients of the procedures in accordance with their professional judgment, given the individual victim and circumstances before them.

In addition, the Student Health Services employees, including the medical director, physicians and nurses, and Five Quad volunteers, are not Campus Security Authorities.

The University designates the following as Campus Security Authorities:

- Vice Provost for Undergraduate Education
- Professional staff in Student Success except Student Health Center and Counseling Center staff
- Senior staff in the Office of Human Resource Management
- Academic Advisors in Advisement Services Center Undergraduate Studies (ASC/US) and Educational Opportunity Program (EOP)
- Professional staff in Athletics, including coaches

Security of Campus Buildings

Residence Halls

During the past several years, the University at Albany has enacted many programs to enhance the security of residents. These initiatives include:

- Security viewing holes on residence hall room doors.
- Residence hall access doors locked 24-hours a day.
- Card readers on suite doors of newly renovated buildings.
- Key access to all interior bedrooms.
- If keys are lost, lock changes are done and all suite members are issued new keys.
- Phones in all traditional residence hall foyers for campus calls.
- “Red phones” are located in all bedrooms on Freedom, Indian Alumni and Empire and in the suites of State, Colonial and Dutch. These phones can dial on campus and 911.
- Blue light phones are in all public female bathrooms.
- Security patrols on all quads during evening hours.
- SUNYCard ID Access, a computerized ID card system that limits building access to residents of specific areas.
- A Residence Director and Residence Assistants are on duty at all times that students are in residence. There is always a back-up manager on duty as well.
- Ten security cameras strategically placed at entrances to Alumni Quad.
- Throughout the year, Residential Life staff conducts programs relating to personal safety. Many are conducted in conjunction with the University Police. The Advisory Committee on Campus Security meets regularly to recommend safety improvements to University Administration. The Office of Personal Safety & Off-Campus Affairs, located in UPD, has information regarding personal safety for all members of the University community.

Other Campus Buildings, Academic and Non-Academic

Most campus facilities, with the exception of residence halls, are open to the public during the day and evening hours when classes are in session. Residence Halls are locked twenty-four hours a day, seven days a week, with card access for residents and other authorized individuals. The general public is encouraged to attend cultural, athletic and other activities on campus with access limited to the facilities in which the events take place.

At night and during periods of time when classes are not in session, University buildings are generally locked. Faculty, staff and limited numbers of students with proper identification and appropriately issued keys and access cards are allowed access to the buildings. Campus employees with assigned offices are issued keys. They are responsible for reporting missing and stolen keys.

Campus Security Programs and Procedures

Safety and security on campus is built on a strong foundation that includes:

1. **Community Policing.** UPD strives to develop and maintain a positive relationship with all members of the UAlbany family with a supportive community oriented approach to law enforcement.
2. **Individual Responsibility.** Every member of the university community is responsible for his/her own safety by taking simple, common sense precautions *and reporting incidents to the police and other campus authorities.*
3. **Student Volunteerism.** One of our most important safety programs, the Don't Walk Alone Safety Escort Service, is run by students who voluntarily give of their time and energy as a positive contribution to safety efforts on campus.

Safety Programs & Initiatives

The University at Albany encourages you to take full advantage of safety programs and initiatives designed to protect your person and your property. They include:

The Whistle Watch Safety Program

Supplies free whistles to students, faculty and staff as a means to alert community residents in the event of a problem.

The Light Sense Program

Provides free miniature flashlights to individuals to illuminate poorly lit areas on or off campus.

A.D.A.P. – the Albany Disabled Alert Program

Makes available free personal attack alarms to those members of the University community who are not able to use a Whistle Watch whistle.

Operation Identification

Available through the University Police Department, this program is a nation wide system that tracks your property in the event it is lost or stolen. A personal number and UPD's agency identifying number are engraved on your property.

RAD – Rape Aggression Defense

Designed to develop and enhance the options of self-defense for women who participate in this course. RAD is a twelve-hour course taught by certified instructors and offered in three-hour

segments. The course is offered free of charge to members of the University community by the University Police Department. Classes range in size from 18-20 attendants.

Don't Walk Alone

A volunteer safety escort service provides evening escorts on the Main campus. They can be found in the library lobby.

Park, Walk & Talk

A University Police patrol designed to establish a closer relationship to and enhance communications with the University community by having officers park their cars, and interact with students & staff on campus and in the residence halls.

The University at Albany Mountain Bike Patrol

University Police bike patrol provides more effective access to residential and academic areas of the campus and gives the community greater access to the officers.

The Sexual Assault Prevention Program

The Sexual Assault Prevention Program is committed to meeting the diverse needs of the campus community through programs and services that educate, support, motivate and empower students with regards to sexual assault and relationship violence. Programs incorporate topics that raise awareness about sexual assault and relationship violence; define and discuss consent, coercion and sexual assault; the interplay with substance use; resources for recovery; and bystander intervention to help prevent and eradicate these violent behaviors. Men For Relationship Education and Change (Men REACH) is an all-male group of professional staff who are trained by the Coordinator for Sexual Assault Prevention and provide targeted programs for male students. In the aftermath of a sexual assault or relationship violence, the Coordinator for Sexual Assault Prevention is available to assist the diverse needs of any survivor and to also assist those seeking to provide support to survivors. For a more detailed summary of programs, please see "Campus-Wide Initiatives for Sexual Assault Prevention/Education and Victim's Assistance" in the Appendix.

Emergency & Blue Light Phones

There are more than 330 emergency & blue light phones on the three campuses of the University. These telephones connect directly to the University Police Department when picked up, making the reporting of emergency situations, or suspicious persons instantaneous. These phones are clearly visible, denoted by their blue lights and striped poles.

The 911 Emergency System

The 911 phone system was established to assist members of the University community in contacting the University Police Department in case of situations such as: fires, crimes in progress, or medical emergencies.

The Security Services Assistants (SSA)

This program involves uniformed civilian security officers assigned to key areas of the campus to serve as observers and reporters of potential safety problems. They are utilized to patrol the campus and assist University Police Officers at special University events.

Residence Hall Safety Enhancements

All suite doors are equipped with viewing holes; exterior doors are locked 24 hours a day; card access to exterior doors allows for additional security; phones are located in vestibule areas providing free on campus calls; security patrols on quads; personal, property and fire safety programs coordinated by residential life staff. Residence halls are locked twenty-four hours a day, seven days a week.

Advisory Committee on Campus Safety

A group of policy level personnel, including faculty, professional staff and students that examines and makes recommendations relative to safety conditions on the University campus.

Lighting

UPD, the Department of Physical Plant, and the Advisory Committee on Campus Safety annually survey lighting on campus to ensure that areas are adequately lighted. Numerous additions have been made to lighting in the last ten years.

Anti-intrusion Alarms

Many academic and support areas of special sensitivity are protected by alarm systems which ring in directly to a central station or UPD. Officers are dispatched to intruded areas to evaluate the situation.

Alcohol and Other Drug Education, Prevention Services and Programs

The University offers a wide range of alcohol and drug education prevention services and programs, including a highly visible social norms campaign targeting substance use and widespread delivery of an empirically supported screening and brief intervention program for at risk populations (e.g., first year students, student athletes, etc.). Additional services include

National Collegiate Alcohol Awareness Week, facility use for Alcoholics Anonymous meetings and peer education programs.

Current information regarding alcohol and drug prevention services and educational programs can be found by linking to: http://www.albany.edu/counseling_center/outreach.shtml.

Information on upcoming events can be found at the following website:

http://www.albany.edu/counseling_center/events.shtml.

Criminal Investigation Unit

UPD has a team of detectives assigned to do follow-up investigations of reported crimes. The team is specially trained to offer programs on recognizing and protecting oneself from on-line harassment.

University Counseling Center

Confidential counseling, support, and services are offered for crime victims. Please see page 58 for additional information. http://www.albany.edu/counseling_center/

University Health Center

Confidential health services, including medical examination and treatment, are offered for crime victims. Please see page 58 for additional information. http://www.albany.edu/health_center/

Office of Conflict Resolution & Civic Responsibility (Formerly Judicial Affairs)

Hears and adjudicates referrals from students victimized by other students. Sanctions range from warning and probation to expulsion.

Crime Prevention Pamphlets

UPD and Division of Student Success publish numerous pamphlets which are distributed to students and staff to assist them in preventing victimization for specific types of crimes.

Campus Drug Policy

The University at Albany is committed to promoting a drug-free campus environment.

Consistent with the Drug-Free Schools and Communities Act Amendments of 1989 (Public Law 101-226), all students and employees are advised that individuals who violate Federal, State or Local laws and campus policies are subject to University disciplinary action and criminal prosecution. UPD has the full authority to enforce all federal and state drug laws.

The possession, use or distribution of a controlled substance or dangerous drugs, or any drug unlawful to possess, e.g. marijuana, except as expressly permitted by law, is a violation of law and of campus policy. Penalties may include attendance and completion of appropriate rehabilitation programs in addition to federal, state and local sanctions.

Students should be aware there are significant psychological and physiological health risks associated with the use of illicit drugs and alcohol. Physical addiction, loss of control and withdrawal syndrome as well as serious damage to vital organs of the body can result from drug and alcohol abuse.

The following resources are available for assisting those with possible problems of chemical abuse:

- [University Counseling Center](#)
- [Middle Earth Peer Assistance Program](#)

New York State Law

Articles 220 and 221 of the New York State Penal Law set criminal penalties for possession or sale of drugs considered harmful or subject to abuse. The seriousness of the offense and penalty imposed upon conviction depend upon the individual drug and amount held or sold.

Marijuana

New York State law classifies possession of up to 25 grams of marijuana as a violation. Penalties range from \$100 to \$250 fine and/or up to 15 days in jail, depending on whether it is a first, second or third offense. Possession of more than 25 grams but not more than eight ounces is a misdemeanor; possession of more than eight ounces is a felony. Sale of 25 grams or less is a misdemeanor; sale of more than 25 grams is a felony. New York State law makes no exception for the use of marijuana for medical purposes.

Hashish

The penalties for sale or possession of hashish are more severe. Possession of any amount, no matter how small, is a misdemeanor punishable by up to one year in county jail and/or up to \$1000 fine. Possession of one-quarter ounce or more is a felony. Sale of any amount of hashish, no matter how small, is a felony.

Cocaine and Crack

Possession of any amount, no matter how small, is the most serious class of misdemeanor punishable by up to 12 months in a county jail. Possession of 500 milligrams or more is a class D felony punishable by 2.3 to 7 years in a state correctional facility. Sale of any amount is a felony.

Effects and Symptoms of overdose, withdrawal and misuse of alcohol and drugs

A description of alcohol and drug categories, their effects, symptoms of overdose, symptoms of withdrawal and indications of misuse can be found at:

<http://ncadi.samhsa.gov/>

and at the Drug Enforcement Administration of the U.S. Department of Justice website:

<http://www.usdoj.gov/dea/concern/concern.htm>

Federal Trafficking Penalties can be found at:

<http://www.usdoj.gov/dea/agency/penalties.htm>

Alcohol Policy and Enforcement Guidelines for University at Albany Officials

General Policy for Use of Alcohol on Campus

1. The University adheres to and enforces all federal, state and local laws concerning alcohol. Alcohol may only be sold and served at pre-approved social functions where the Alcohol Use Registration Form has been approved by the Alcohol Administrator for that building.
2. Any event at which alcohol is sold or served requires a license from NYS Liquor Authority. The sale and serving of alcoholic beverages under the terms of State law and University policy is permitted at the licensed premises of Chartwells in the Campus Center and by extension of that permit, temporary permits may be issued for Chartwells catered events only. Any alcoholic beverages sold or served outside the Campus Center require a special liquor permit from the NYS Liquor Authority. This includes events or performances where tickets are sold and alcohol is provided free of charge or in exchange for a purchased ticket. This permit must be secured through Chartwells for their catered events only and arranged at least two weeks in advance in order to allow time for the State permit to be issued to Chartwells. All outside caterers must provide UAS with their own approved NYS Liquor Authority permit.
3. Sponsor(s) of social events where alcohol is served assume full responsibility for the enforcement of all laws and university policies regarding the consumption of alcohol. This includes:
 - serving non-alcoholic beverages and food at events;
 - double proof of age required and must be present to the designated “responsible person” as stated on the Alcohol use registration. Acceptable form of proof include a valid driver's license, a birth certificate or official state or Federal ID, a passport, or a laminated ID card from another University/College.
4. **No** student under the age of twenty-one may possess or consume alcoholic beverages anywhere on campus.
5. Alcoholic beverages **are not** permitted in any freshman designated housing. These areas are designated as alcohol free. See [UAlbany housing](#) for more information.
6. For students twenty-one years or older and their guests in non-freshman areas no individual may possess more than 12, 12 oz bottles/cans of beer (or the equivalent), **or** one liter of hard liquor **or** wine at one time.
7. The following are prohibited under the University alcohol policy: binge drinking; driving under the influence; kegs and beer balls, whether empty or full, tapped or untapped;



spiked punch; Jell-O shots containing alcohol; drinking games or any behavior that encourages or contributes to excess alcohol consumption; carrying open containers in any public area of campus; possessing, consuming and storage of alcohol are prohibited in all public areas, e.g. lounges, hallways, stairwells, common bathrooms, or outdoor areas.

8. The Incident Sanction Protocol found in the University alcohol policy identifies a series of prohibited alcohol and other drug behaviors and the sanctions for first offense and any subsequent offense.
9. Parents of students under the age of twenty-one are notified in writing of any alcohol/drug violations by their sons or daughters.

Summary of policies governing the use of alcohol on all University at Albany Properties

1. No alcohol may be consumed in academic buildings, on University grounds, on the academic podium, in the Physical Education complex, on the Downtown Campus and the East Campus complex, except at “alcohol approved” social functions. An alcohol approved social function is one where the Alcohol Use Registration Form is filled out and approved by the Alcohol Administrator for that building. Events at which alcoholic beverages are served and which are not under the jurisdiction of a specific local alcohol policy administrator require an "Alcohol Use Registration Form" to be filed with the Vice President for Student Success, University Hall 206.
2. Under New York law, only persons twenty-one (21) years of age or older are legally entitled to purchase, be sold, given, or served alcohol. A person under 21 years of age may not possess or consume alcoholic beverages at any time on the University campus.
3. Under New York law, persons under the age of 21 are prohibited from possessing any alcoholic beverages with intent to consume the beverage. Violators are subject to a fine up to \$50.00 per offense. Authorized law enforcement personnel may seize alcoholic beverages involved in alleged violations of this law.
4. New York law further provides that any person, other than a parent or guardian, who purchases alcohol for, procures for, or gives alcohol to anyone under 21 years of age is guilty of a misdemeanor.
5. Under New York law, anyone under 21 years of age who uses fraudulent proof of age to obtain alcohol is guilty of a misdemeanor. This violation is punishable by a fine of up to \$100.00 and a community service requirement of up to thirty (30) hours.
6. Under New York law, anyone who is apparently intoxicated or who is behaving in an intoxicated manner may not be served alcohol.
7. A substantial part of the University at Albany is in the City of Albany and is subject to its open container law. Thus no open container of an alcoholic beverage is permitted on the campus, except at approved social functions as described in #1 above.
8. Any event at which alcoholic beverages are sold requires a license from the New York State Liquor Authority. The sale of alcoholic beverages under the terms of State law and University policy is permitted at the licensed premises of the Chartwells in the Campus Center. Any alcoholic beverages sold outside the Campus Center require a special liquor permit from the State of New York. This includes events or performances where tickets are sold and alcohol is provided free of charge or in exchange for a purchased ticket. This permit must be secured at least two weeks in advance in order to allow time for the State permit to be issued.



9. Under New York law, a person under the age of 21 who presents an altered New York State driver's license for the purpose of illegally purchasing an alcoholic beverage may be subject to a suspension of that driver's license for up to ninety (90) days and may also be required to apply to the Department of Motor Vehicles for a restricted use of driver's license following the suspension.
10. Sponsor(s) of social events at which alcohol is served assume full responsibility for the enforcement of all federal, state and local laws and University policies with respect to the consumption of alcohol. This responsibility includes:
 - o Serving appropriate amounts of non-alcoholic beverages and food at events where alcohol is served.
 - o Double proof of age is required and must be presented to the appropriate "responsible person" as designated on the Alcohol Use Registration Form at all functions where alcoholic beverages are served and students are present. The following are acceptable forms of proof:
 - **a valid driver's license**
 - **a birth certificate**
 - **an official state or federal ID**
 - **a passport**
 - **a laminated ID card from another University/College**
11. Camp Dippikill consists of 850 acres in Warrensburg, NY owned and operated by the Student Association. Alcohol use at Camp Dippikill is subject to all federal, state and local laws and University policies with respect to the consumption of alcohol. Administration of this policy is the responsibility of the Director of this property. No alcohol may be sold by any organization on this property.
12. Driving under the influence of alcohol on University property is prohibited and violators will be subject to arrest.
13. The Vice President for Student Success is responsible for implementing and interpreting the alcohol use policy. Inquiries should be directed to:

John M. Murphy, Associate Vice President for Student Success | University Hall 206F|
956-8140



Alcohol Policy Enforcement

Example of how to implement procedures

1. **ISSUE:** Within University policies and regulations, the University at Albany permits students of legal drinking age to possess and consume alcoholic beverages. However, individuals under 21 years of age may do neither.
2. **EXPECTATION:** University faculty, administrators and paraprofessional staff (herein referred to as University officials) are expected to clearly explain to students what action will be taken in dealing with alcohol policy violations.

When encountering instances of alcohol policy violations, University officials are expected to confront the violators. Information should be provided as to what behavior is inappropriate and what the individual is expected to do to be in compliance with the campus alcohol policy. If the individual is cooperative and complies with the request, the incident may be considered resolved. If the individual is uncooperative or refuses to comply, the local alcohol policy administrator responsible for the building or area should be contacted. If the policy administrator is unavailable or the individual continues to be uncooperative, the University Police should be summoned. The University Police will formally identify the violator and state the campus expectation for compliance with the University alcohol policy. In such cases a standard case form will be completed by the University Police Officer and the individual referred to the campus Judicial System. The University Police reserve the right to arrest, or issue a summons to appear in court on appropriate charges if such action is deemed necessary.

3. **VIOLATIONS:** In order to provide a common base of understanding, it is important to define the prohibitive behaviors which the campus considers violations of the alcohol policy. Consistent with New York law and the campus alcohol policy, the following instances would be in violation:
 - o Individuals under 21 years of age possessing or consuming alcoholic beverages.
 - o Individuals 21 years or older providing, directly or indirectly, alcohol to persons under 21 years of age.
 - o Anyone having alcohol in public areas without an open container permit and proper campus authorization.
 - o Possession and/or use of bulk containers except as allowed by provisions in the campus alcohol policy.

4. **STAFF DIRECTIVES TO VIOLATORS:** Violators are to be instructed to dispose of the alcohol by means other than consuming it. Students may either pour the alcohol out on their own or give it to a staff member to pour out. When students pour alcohol out themselves, a University official should accompany the student to ensure proper disposal. Students are not to dispose of alcohol by consuming it, throwing it in a trash can or giving it to another person who may be 21 years of age. The University officials should ask the students if they intend to comply with the request. If the students are cooperative, they can proceed to dispose of the alcohol. Internal departmental procedures for communicating and documenting such incidents should take place. It is expected that students who violate the University alcohol policy will have the incident referred to the campus judicial system for appropriate sanctioning. If the students are uncooperative or refuse the official's request, the local alcohol policy administrator or Public Safety should be summoned for assistance. Again, it is expected such incidents will result in the preparation of a Standard Case Form and referral of the incident to the campus judicial system for alleged violation of the alcohol policy as well as non compliance with the University Official.

5. **NOTE:** If University officials encounter an unauthorized bulk container (e.g. beer ball, box of wine, keg, or "bash") it should be removed to an area where it can be drained. Once it is drained the container and tapping equipment, as appropriate, should be returned to the students. If the students refuse to drain the bulk container, the University Police should be summoned.

Campus Policy for Governing the Use of Alcohol in Residence Halls

All University at Albany students who live in residence, and their visitors/guests, are subject to New York State Law and the University at Albany's policy regarding possession and consumption of alcohol, as well as specific policies governing the Residence Halls.

1. Definition of Residence Hall/University Apartment Areas

Residence Halls are defined as the quadrangles and the University Apartment complexes, including outdoor areas. The boundaries of Indian, State, Colonial and Dutch Quadrangles are defined by the first paved roadway or sidewalk adjacent to the quadrangle. Indian and Dutch Quadrangles include the playing fields adjacent to the west and east respectively with regard to the application of this policy. The boundaries of Alumni Quadrangle are defined by the exterior city sidewalks surrounding the quad. The boundaries of Freedom Quad are defined by the entrance road to the complex from Tricentennial Drive. The boundaries of Empire Commons are defined by the University roadways surrounding the complex.

2. Alcohol Policy in Freshmen Designated Residence Halls

Alcoholic beverages are never permitted in freshman residence halls. This includes all residential buildings on Indian Quad and the designated freshman buildings on State Quad. Designated freshman buildings on State Quad are identified on a yearly basis on the Residential Life web site at <http://www.albany.edu/housing/>. Visitors or guests of students residing in these areas are not permitted to possess or consume alcohol in these areas regardless of whether they are of legal drinking age. These areas are designated as alcohol free.

3. Alcohol Policy for Students Under 21 Years of Age Living in Non-Freshmen Areas

A person under 21 years of age may not possess or consume alcoholic beverages at any time on the University at Albany campus.

No possession or consumption of alcohol is permitted by any student or guest in private residence rooms where all the assigned residents are under 21 years of age.

Visitors or guests of students under 21 years of age are not permitted to possess or consume alcohol in the suite or bedroom of an underage student regardless of whether they are of legal drinking age.

Alcohol containers, including empty liquor bottles, wine bottles and/or beer cans are prohibited.

4. Alcohol Policy for Students Over 21 Years of Age Living in Non-Freshmen Areas

The following regulations regarding alcohol use apply to students and visitors/guests in the non-freshmen areas who are 21 years of age or older:

No individual student may possess more than 12, 12 oz bottles/cans of beer (or the equivalent), or one liter of hard liquor or wine at one time.

Binge drinking is defined as consuming five or more drinks on one occasion for men or four or more drinks on one occasion for women.

(http://www.researchmatters.harvard.edu/story.php?article_id=420) Binge drinking is strictly prohibited.

Individuals of legal drinking age may not provide alcohol to underage roommates, suitemates, visitors or guests.

Drinking games (e.g. beer pong) and other activities that promote the irresponsible use of alcohol are prohibited. This includes the use of alcohol paraphernalia such as funnels and ice luges.

Kegs and beer balls, whether empty or full, tapped or untapped, are prohibited. Spiked punch and Jell-O shots containing alcohol, regardless of alcohol content are also prohibited.

Behavior that encourages or contributes to excessive alcohol consumption by another student is prohibited.

Carrying open containers of alcoholic beverages or consuming them in any public area of the campus is prohibited. This includes movement between residence hall rooms or apartments with an alcoholic beverage.

Compliance with all requests by University officials, including Residential Life staff or University Police, for proof of 21-year-old status is required. If there is reasonable suspicion to believe that alcohol might be in squeeze bottles, cups or other such containers, University staff reserve the right to approach students and hold individuals accountable under the provisions of this policy.

Possession, consumption, and storage of alcohol are prohibited in all public areas, e.g. lounges, hallways, stairwells, common bathrooms, or outdoor areas.

Driving on University property while under the influence of alcohol is strictly prohibited and will result in arrest, loss of driving privileges on campus, and possible suspension or expulsion from the University. Please see the following web site for further NYS Department of Motor Vehicles information, including FAQ's regarding Alcohol, Drugs, and DWI: <http://www.nysgtsc.state.ny.us/alco-faq.htm>

5. Alcohol and Other Drug Education, Prevention Services and Programs

The University offers a wide range of alcohol and drug education prevention services and programs. Current information regarding alcohol and drug prevention services and educational programs can be found by linking to:

http://www.albany.edu/counseling_center/outreach.shtml

6. The Incident-Sanction Protocol for Alcohol & Other Drug Violations

The sanctions specified in the following chart are the minimal expectations applied by staff in most student conduct cases involving alcohol and other drugs. The University’s response is not restricted to those sanctions listed in the protocol. Students are advised that illegal possession and/or use of alcohol and other drugs are strictly prohibited at the University.

Student Behavior	First Offense	Subsequent Offense
Under age possession/consumption of alcohol (under bulk)	Written Warning (not a formal referral), Disciplinary Warning, Notification of Parents, Community Service, Alcohol Education Program (AEP)	Disciplinary Probation, Removal from Residence, or Terminal Disciplinary Probation, Notification of Parents
Damage to property while under the influence	Disciplinary Probation to Removal from Residence, Terminal Disciplinary Probation, Suspension, Restitution, Notification of Parents, Community Service, AEP	Suspension from the University, Notification of Parents
Driving while intoxicated	Disciplinary Probation to Suspension from the University, Notification of Parents, Community Service, AEP, Loss of Driving Privileges	Dismissal from the University, Notification of Parents
Noise violation w/alcohol present	Disciplinary Warning, Disciplinary Probation, or Removal from Residence, Notification of Parents, Community Service, AEP	Disciplinary Probation, Removal from Residence, or Suspension from the University, Notification of Parents
Intoxicated student/Disruptive Conduct	Disciplinary Probation to Removal from Residence, Notification of Parents, Community Service, AEP	Removal from Residence to Suspension from the University, Notification of Parents



Possessing or using illegal drugs	University Police notified, possible arrest, Disciplinary Probation to Removal from Residence, Notification of Parents, Community Service.	Removal from Residence to Suspension from the University, Notification of Parents
Violent behavior while under the influence of alcohol	University Police Notified, Removal from Residence to Suspension from the University, Notification of Parents	Suspension to Dismissal from the University, Notification of Parents
Possession of illegal drugs with intent to sell	University Police notified, possible arrest, Suspension or Dismissal from the University, Notification of Parents	Dismissal from the University, Notification of Parents
Hosting a party involving illegal use of alcohol	Disciplinary Probation to Removal from Residence, Notification of Parents, Community Service	Removal from Residence to Suspension from the University, Notification of Parents
Possessing kegs, beer balls or punch spiked with liquor	Removal from the Residence Halls, Notification of Parents	Suspension from the University, Notification of Parents

For further information on the campus policy regarding alcohol use, see the Conflict Resolution website at <http://www.albany.edu/studentconduct/27168.php>.



Employee Alcohol Policy

It is a University policy that the unlawful use, possession, manufacture, dispensation or distribution of alcohol and controlled substances* in all campus work locations is prohibited. No employee will report for work or will work impaired by any substance, drug or alcohol, lawful or unlawful. "Impaired" means under the influence of a substance such that the employee's motor senses (i.e. sight, hearing, balance, reaction, or reflex) or judgment either are or may be reasonably presumed to be affected.

Medical testing may be done if the University has a reasonable suspicion that an employee is unable to perform job duties due to the misuse of alcohol, controlled substances, or prescription drugs.

Employees who unlawfully manufacture, distribute, dispense, possess, or use a controlled substance will be subject to disciplinary procedures consistent with applicable laws, rules, regulations and collective bargaining agreements. Sanctions may include termination of employment and referral for prosecution. Other corrective action may include satisfactory participation in an approved drug rehabilitation program.

Employees must notify the Office of Human Resources Management of any criminal drug statute conviction for a violation occurring in the workplace, or at the work site, no later than five (5) working days after such conviction. The University will notify appropriate federal agencies of such a conviction within 10 days of receiving notice of a conviction.

An Employee Assistance Program (EAP) is available on campus for employees who wish to seek assistance in dealing with drug and alcohol related problems. EAP is a confidential information, support, and referral service. Please call 442-5483 to reach the EAP coordinator.

This policy is in compliance with the Drug Free Workplace Act of 1988 and the Drug Free Schools and Communities Act Amendments of 1989. Adherence to this policy is a condition of employment at the University at Albany. Questions concerning this policy should be referred to the Office of Human Resources Management at 437-4700.

***The term "controlled substance" means a controlled substance in Schedule I through V of Section 202 of the Controlled Substance Act (21 USC812).**



Hate or Bias Crimes

The University at Albany strives to protect all members of the University at Albany community by prosecuting bias or hate crimes that occur within the campus jurisdiction.

Hate crimes, also called bias crimes or bias-related crimes, are criminal activity motivated by the perpetrator's bias or attitude against an individual victim or group based on perceived or actual personal characteristics, such as national origin, color, race, age, religion or creed, ethnicity, gender, sexual orientation, veteran status, marital status, or disability. Hate/bias crimes are against the law and University at Albany policy. Copies of the New York Law are available at <http://assembly.state.ny.us/leg/?cl=82&a=81>.

Penalties for bias-related crimes are very serious and range from fines to imprisonment for lengthy periods, depending on the nature of the underlying criminal offense, the use of violence or previous convictions of the offender. Perpetrators who are students will also be subject to campus disciplinary procedures where sanctions, including dismissal, are possible.

Hate or Bias-Related Incidents

The University at Albany strives to protect all members of the University at Albany community by prosecuting bias or hate crimes that occur within the campus jurisdiction.

In addition to preventing and prosecuting hate/bias crimes, University at Albany Police also assist in addressing bias-related activities that do not rise to the level of a crime. These activities, referred to as bias incidents and defined by the University as acts of bigotry, harassment, or intimidation directed at a member or group within the University at Albany community based on national origin, ethnicity, race, age, religion, gender, sexual orientation, disability, veteran status, color, creed, or marital status, may be addressed through the University at Albany's campus code of conduct. Bias incidents can be reported to the University Police and/or the Office of Conflict Resolution & Civic Responsibility. The Vice President for Student Success is always notified regarding a bias-related crime or incident.

Procedures to Initiate a Formal Complaint

If you are a victim of, or witness to, a hate/bias crime or incident on campus, report it to the University Police by calling 911 in an emergency, using a Blue Light Phone, a campus telephone calling 442-3130, or go to the University Police or the Office of Conflict Resolution & Civic Responsibility. An investigation and appropriate adjudication will follow.



Sexual Assault Prevention

The University at Albany has programs in place to inform members of the University community about sexual assault. The Sexual Assault Prevention Program was established as part of the University at Albany's commitment towards ending sexual assault and relationship violence. The Sexual Assault Prevention Program provides diverse services that educate, support, motivate and empower students, faculty and staff with regards to sexual assault and relationship violence. Programs incorporate topics that raise awareness about sexual assault and relationship violence; define and discuss consent, coercion and sexual assault; the interplay with substance use; resources for recovery; and bystander intervention to help prevent and eradicate these violent behaviors. Men REACH (Men for Relationship Education and Change), an all male group of professional staff, provides targeted outreach programs for men on campus. The Coordinator for Sexual Assault Prevention provides training opportunities and leadership to this group. Included among the sexual assault prevention initiatives are mandatory prevention programs for incoming students during Summer Planning Conference and prevention programs for all students throughout the academic year. Training programs on the sexual assault response protocol are offered throughout the year for faculty and staff. Additionally, the University Police Department offers RAD (Rape Aggression Defense) programs for both women and men on campus.

To learn more about these and other prevention activities, visit:

- www.albany.edu/counseling_center
- <http://police.albany.edu>
- www.albany.edu/counseling_center/sarc/

In the aftermath of a sexual assault or relationship violence incident, the Sexual Assault Prevention Program and other campus resources are available to assist. Please see the "What You Can Do If You or a Friend Is Raped or Sexually Assaulted" pamphlet that is included in this section.



Disciplinary Action

Where there is reason to believe the University's regulations prohibiting sexual misconduct have been violated, the University will pursue strong disciplinary action through its own channels. This discipline includes the possibility of suspension or dismissal from the University.

An individual charged with a crime related to sexual assault may be subject to University disciplinary procedures, whether or not an individual is prosecuted under the New York State Penal Code. During the disciplinary process, the rights of the accused are as described in Community Rights & Responsibilities.

The University will make every effort to be responsive and sensitive to victims of these serious crimes. Protection of the victim and prevention of continued trauma is the University's priority. When the victim and the accused live in the same residence hall, an immediate hearing with the Director of the Office of Conflict Resolution & Civic Responsibility will be held to determine the need for modifying the living arrangements.

Assistance for any other personal or academic concerns will be reviewed and options provided in accord with the University's Coordinated Response to the Sexual Assault of Students.

Additional information about resources and options to aid in recovery

is available at:

- www.albany.edu/counseling_center/sarc/
- www.albany.edu/studentaffairs/resources.shtml
- www.albany.edu/counseling_center

Two key documents used to communicate information about sexual assault policy, procedures and key resources to students as well as to the entire University community are: The University at Albany's "Statement of Principles and Rights for Victims of Sexual Assault" and the pamphlet "What You Can Do...If You or a Friend Is Raped or Sexually Assaulted." The text of these two essential resources follows.



University at Albany Statement of Principles and Rights for Victims of Sexual Assault

The University at Albany is committed to providing a safe and secure environment in which all of its members are treated with dignity and respect. To that end, the University takes the strongest possible stance against sexual assault in all its forms including sexual violence or the use of coercion, sexual contact absent the free, knowing, and active consent of the involved parties, including but not limited to cases involving impaired judgment and physical helplessness, and intimidation or exploitation of others for sexual purposes. The University is actively engaged in educating its members about these vital issues and in providing timely support and assistance to victims of sexual assault.

Should a sexual assault occur, it is imperative that victims be fully supported in their efforts to heal and respond as they determine is in their own best interests. To this end the University will safeguard the victim's confidentiality, respect the victim's privacy and support the victim's right to make choices about resources and options in accord with the University's "Coordinated Response to the Sexual Assault of Students."

All members and representatives of the University at Albany community, including campus officers, administrators, faculty, professional staff, employees, and students are expected to recognize and abide by the following principles regarding victims of campus-related sexual assaults:

HUMAN DIGNITY

Victims shall:

- Be treated with fairness and respect for their dignity
- Have their privacy honored
- Be free from any suggestions that they must report sexual assaults to be assured recognition of any other identified principles or rights
- Have their allegations of sexual assault treated seriously
- Be free from any suggestion that they are responsible for the sexual assaults committed against them
- Be free from unwanted pressure from campus personnel to:
 - Report sexual assaults if they do not wish to do so;
 - Report sexual assaults as less serious offenses;
 - Refrain from reporting sexual assaults for any reason, including the fear of unwanted personal publicity



RESOURCES ON AND OFF CAMPUS

Victims shall:

- Be notified of existing campus and community-based medical, counseling, mental health and student services for victims of sexual assault whether or not the assault is formally reported to campus or civil authorities;
- Have access to campus counseling;
- Be informed of and assisted in exercising:
 - Any rights to confidential or anonymous testing for sexually transmitted infections, HIV, and pregnancy
 - Any rights to preventive measures such as emergency contraception or HIV prophylaxis
 - Any rights that may be provided by law to obtain the communicable diseases test results of sexual assault suspects
- Be informed of the possible availability of crime victim assistance compensation through the New York State Crime Victims Board

CONFLICT RESOLUTION & CIVIC RESPONSIBILITY PROCEEDINGS

Victims have the right:

- To advance notice about a disciplinary hearing involving the person or persons accused of sexually assaulting them;
- To have a person of their choice accompany them throughout the disciplinary hearing;
- To remain present during the entire proceeding;
- To be heard at the proceeding;
- To be assured that their irrelevant past sexual history will not be discussed during the hearing;
- To make a “victim impact statement” if the accused is found in violation of the code;
- To be informed in a timely fashion of the outcome of the hearing



LAW ENFORCEMENT AND CAMPUS INTERVENTION

- Allegations of sexual assault should be investigated and evaluated by the appropriate criminal and civil authorities of the jurisdiction in which the sexual assault is reported;
- Victims shall receive full and prompt cooperation and assistance of campus personnel in notifying the proper authorities;
- Victims shall receive full, prompt, and victim-sensitive cooperation of campus personnel with regard to obtaining, securing and maintaining evidence, including a medical examination when it is necessary to preserve evidence of the assault;
- Campus personnel shall take reasonable and necessary actions to prevent further unwanted contact by victims' alleged assailants;
- Victims shall be notified of the options for and provided assistance in changing academic and living situations if such changes are reasonably available.

STATUTORY MANDATES

- Nothing in this Statement of Principles and Rights shall be construed to preclude or in any way restrict the University at Albany from carrying out its duties under law to report suspected offenses to the appropriate law enforcement authorities. Except as required by law, the University will take care not to identify the victim.
- Nothing in this Statement of Principles and Rights shall be construed to preclude or in any way restrict the University at Albany from issuing a Community Notice when the University is aware of a reported sexual assault incident that potentially puts the campus community at risk. The University will take care not to identify the victim in such notices.

Adapted from: Rutgers (c 2009), Princeton (c 2009) & Ball State Universities' Rights Statements



WHAT YOU CAN DO IF YOU OR A FRIEND IS SEXUALLY ASSAULTED

The University at Albany is committed to providing a safe and secure environment in which all its members are treated with dignity and respect. To that end, the University takes the strongest possible stand against sexual violence in all its forms including sexual assault but also including the use of coercion, intimidation or exploitation of others for sexual purposes. The University is actively engaged in educating its members about these vital issues and in providing timely support and assistance to victims of sexual assault.

George M. Philip
President



FOR IMMEDIATE HELP

1. Emergency & safety concerns

CALL 911 or (518) 442-3131

University Police

2. Get yourself to a safe place

Friend's place, Hospital, Police

3. Save evidence

Try not to shower, wash, or change clothes

4. Seek immediate medical care

Albany Medical Center

(518) 262-3131

Memorial Hospital

(518) 271-3257

5. Request an advocate

24/7 Albany County Crime Victims &

Sexual Violence Center

(518) 447-7716

6. Report the incident

Residential Life

Conflict Resolution

& Civic Responsibility

Police

7. Seek counseling and support

Sexual Assault Resources @ the

University Counseling Center

(518) 442-5800

Albany County Crime Victims &

Sexual Violence Center

(518) 447- 7716

HELP A FRIEND

1. Be a supportive listener.

Do not judge.

2. Provide information and resources.

Find out what is available.

3. Let them decide the next steps.

Often it is very important they gain back a sense of control over their body and environment.

4. Seek assistance for yourself.

Ask others for information and gain support so you can help the person you care about.

The University is committed to creating a campus atmosphere that is supportive of the needs of sexual assault victims/survivors.



STEPS TO CONSIDER

GET YOURSELF TO A SAFE PLACE

Call 911 or local Police.
University Police (518) 442-3131.

SAVE EVIDENCE: Forensic Rape Exam (“Rape Kit”) Information

- Forensic rape exam is provided for free to all individuals (male, female, and transgender).
- The exam is free whether or not you choose to file a police report.
- **It is best not to shower, bathe, douche, rinse your mouth, or wash the clothes you wore.**
- **It is BEST to be seen within 36 hours** but you can still go within 5 days of the assault for emergency care.
- A forensic rape exam is done to collect evidence.
- Evidence can be kept at the ER up to 30 days and you can decide what to do with the kit.

SEEK IMMEDIATE MEDICAL TREATMENT

- Seek medical care from an ER where professionals are certified to do a rape kit.
- Receiving medical care does not require you or the medical staff to file a police report.
- Most injuries are not obvious.
- Seek medical care even if you decide not to do a rape kit.
- University Health Center does offer some medical services (emergency contraception and testing for STI’s) but they do not provide rape kits or HIV prevention medication.

TALK TO AN ADVOCATE

- Albany County Crime Victims & Sexual Violence Center 24/7 hotline (518) 447-7716.
- Information and support.



- Financial assistance for medical care.
- Accompaniment to any local hospital.

SEEK COUNSELING AND SUPPORT SERVICES

- You may feel helpless, scared, confused, frustrated, angry, traumatized, or even unusually calm.
- Telling someone in a safe environment may make you feel less alone.
- All services listed below are free.

Sexual Assault Resources @ the University Counseling Center

(518) 442-5800

Albany County Crime Victim & Sexual Violence Center (CVSVC)

(518) 447-7100

REPORT THE INCIDENT

Office of the Vice President for Student Success

(518) 956-8140

Sexual Assault Resources

(518) 442-5800

Conflict Resolution & Civic Responsibility

(518) 442-5501

Diversity and Inclusion/Sexual Harassment

Title IX Coordinator (518) 956-8110

University Police / Local Police

All numbers are listed on page 53.

Academic & Residential Assistance

Options discussed as needed through the Office of the VP for Student Success above.

See Appendix J in Community Rights and Responsibilities

<http://www.albany.edu/studentconduct/27173.php>

DEFINITION OF CONSENT

SEE:

Community Rights & Responsibilities

<http://www.albany.edu/studentconduct/introduction.php>

Agree to sexual activity freely and knowingly.

No use of threat, intimidation or coercion.

Judgment is not temporarily or permanently impaired by drugs, alcohol, or any other physical/mental impairment.

Not unconscious or asleep.

Not under the age of 17 years.

QUESTIONS

Q: If I tell a University faculty or professional that I was assaulted or raped do they have to tell someone and would they include my name when they tell?

A: If you tell a faculty or professional you can request to remain anonymous. They do have to provide some information indicating an assault has occurred along with the date, time and general location of the incident. They cannot include your name or any other identifying information without your consent. Staff members should be able to provide you with or direct you to campus resources.

Q: If I go to the hospital do I have to report it to the police?

A: No, not in New York State. If you have a rape kit done it can be held for up to 30 days at the hospital while you decide what you want to do. It will not leave the hospital unless you file a report.

Q: If I want to report an incident or file a complaint, whom should I call?

A: You have several reporting options. To file a criminal report, call the police (all numbers are listed on page 53). You may pursue a University judicial case through the Office of Conflict Resolution & Civic Responsibility (518) 442-5501. Given that sexual assault is prohibited under Title IX, you may speak with the Title IX Coordinator to file a sexual harassment complaint. Call (518) 956-8110. The Coordinator for Sexual Assault Prevention can assist with questions about reporting options (518) 442-5800.

Sexual Assault Resources @ the University Counseling Center

400 Patroon Creek Blvd, Suite 104
Albany, NY 12206
(518) 442-5800

Web Sites:

www.albany.edu/counseling_center/sarc/
<http://www.facebook.com/UAlbanySAResources>

Email: consultation@albany.edu



DO YOU KNOW?

- 90% of sexual assaults are committed by someone the victim knows.
- 50% of all sexual assaults involve alcohol use by at least one person.
- It can be considered a sexual assault even if there was no penetration of any oral, anal or genital opening.
- If you seek medical care at a hospital you can ask for financial assistance.
- Approximately 10% of those who are victims of rape at college are males.
- You can be sexually assaulted by your partner.
- If one or both of you were drinking it can still be considered a sexual assault.
- Sexual assault is a broad term that includes: sexual exploitation, forcible touching, and rape.

Make a personal pledge.

- *Pledge to never commit, condone, or remain silent about relationship violence and sexual violence.*
- *Choose to respect, listen to, seek equality with and share power with every person you go out with or decide to be intimate with.*

Acknowledgment - White Ribbon Campaign
Men Working to End Violence Against Women



24/7 Emergency Resources

Police or Medical Emergency 911

For campus offices/resources dial last 5 digits of the phone number if using a campus phone, e.g. 2-5800 if calling the Counseling Center.

University Police Department

From cell/off campus phone **(518) 442-3131**

Albany City Police (518) 438-4000

East Greenbush Police (518) 479-1212

Colonie Police (518) 783-2811

Guilderland Police (518) 356-1501

5-Quad Ambulance (518) 442-3131

Albany Medical Center Hospital (518) 262-3131

Albany Memorial Hospital . (518) 271-3257

Albany County Crime Victim & Sexual Violence Center

Local 24 hr Hotline **(518) 447-7716**

www.albanycounty.com/cvsvc/

RAINN (Rape Assault & Incest National Network)

National 24 hr Hotline **(800) 656-4673**

www.online.rainn.org



Campus Resources

Sexual Assault Resources @ the University

Counseling Center (518) 442-5800

www.albany.edu/counseling_center/sarc/

Office of the Vice President

for Student Success (OVPSS) (518) 956-8140

www.albany.edu/studentsuccess

University Police Department (UPD) (518) 442-3131

police.albany.edu

University Counseling Center (UCC) (518) 442-5800

www.albany.edu/counseling_center

University Health Center (UHC) (518) 442-5454

www.albany.edu/health_center

Conflict Resolution

& Civic Responsibility (518) 442-5501

www.albany.edu/studentconduct

Vice Provost for Undergraduate Education (518) 442-3950

Middle Earth Hotline (518) 442-5777

Residential Life & Housing (518) 442-5875

www.albany.edu/housing

Office of Diversity & Inclusion (518) 956-8110

www.albany.edu/diversityandinclusion/



Sexual Offender Registry

The Sex Offender Registration Act requires the Division of Criminal Justice Services (DCJS) to maintain a Sex Offender Registry. The Registry contains information on sex offenders classified according to their risk of re-offending: low-risk (Level 1), moderate-risk (Level 2) and high-risk (Level 3). The Act requires that the Division also maintain a Subdirectory of Level 3 Sex Offenders. The DCJS Sex Offender Registry site may be found on the web (See the link to the right) and contains their Subdirectory of Level 3 Sex Offenders as well as other information regarding New York State's Sex Offender Registry.

Sex offenders registered in New York are now required to notify the Registry of any institution of higher education at which he or she is, or expects to be, whether for compensation or not, enrolled, attending or employed, and whether such sex offender resides or expects to reside in a facility operated by the institution. Changes in status at the institution of higher education must also be reported to the Registry no later than ten days after such change.

The University at Albany maintains on the UPD website a listing of any registered sexual offenders reported to the University as being enrolled, attending or employed at the University. This information may be found at: <http://police.albany.edu/SOR.shtml>.

The Division of Criminal Justice Services' Sex Offender Registry may be found at www.criminaljustice.state.ny.us/nsor/.



The Student Health Center

The University Health Center provides a range of services from acute care to prevention focused educational programs, as well as consultation services to the campus community. The Health Center staff is dedicated to bringing high quality care and services to our students to maintain a healthy lifestyle during these student years, and in the years beyond.

Contact Information

Health Center: (518) 442-5454
Appointments: (518) 442-5229

Important Links

- [Immunization Requirements](#)
- [Women's Health Clinic](#)
- [Meningitis Information](#)
- [Medical Excuse Policy](#)
- [Antibiotic Policy](#)
- [STD Information](#)
- [MRSA Information](#)

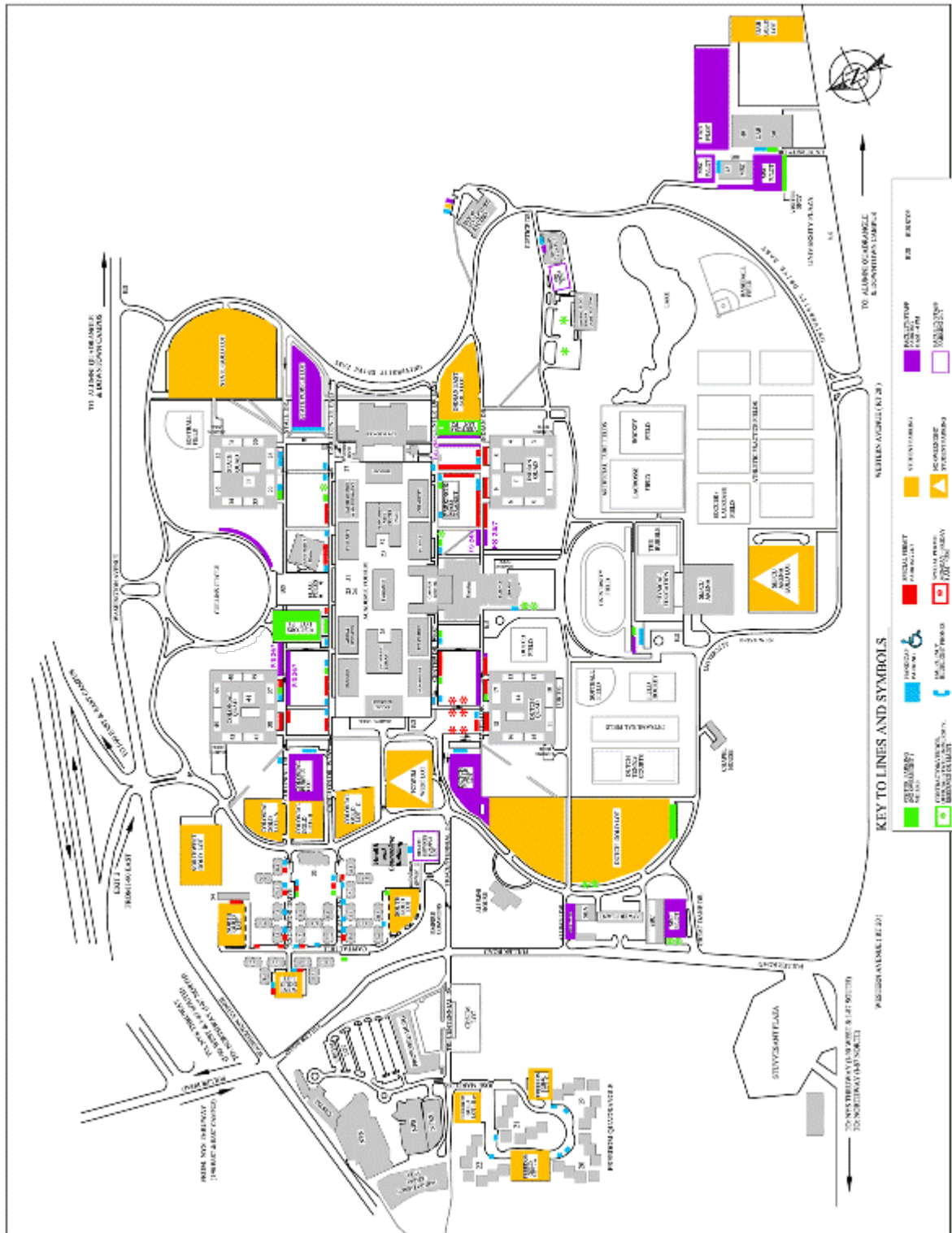
The University Counseling Center

The University Counseling Center offers a broad range of psychological services including counseling, prevention and health promotion to help students succeed and to promote their intellectual, emotional and physical development. Individual, group and couples counseling; psychological assessment; crisis services; and consultation are available for currently registered undergraduate and graduate students. In addition, consultation services are also available for parents and family members, University faculty and staff and others who are concerned about the well-being of a University at Albany student. Prevention and health promotion services are offered to the campus community on a variety of health and psychological issues including alcohol and other drugs, bystander intervention, sexual assault prevention, suicide prevention, sexual health and HIV/AIDS prevention.

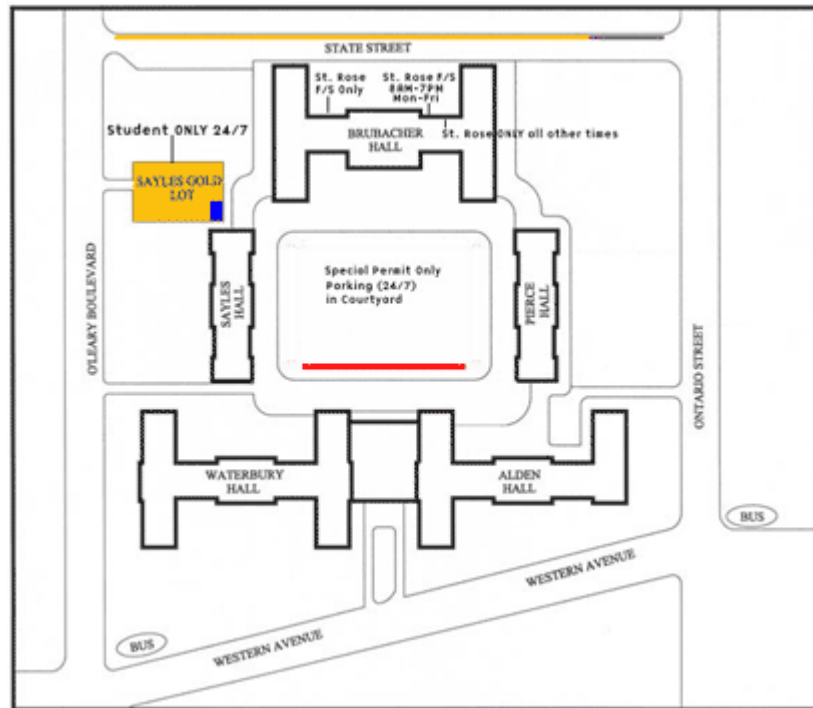
Each year, hundreds of University at Albany students seek help for personal and academic concerns and thousands of students participate in our educational programs. Counseling services are confidential and are provided by a diverse professional staff that welcomes the rich diversity of all our students. To learn more about University Counseling Center services, visit us on the web at www.albany.edu/counseling_center/.

MAPS

Main Campus – 1400 Washington Avenue, Albany, NY 12222

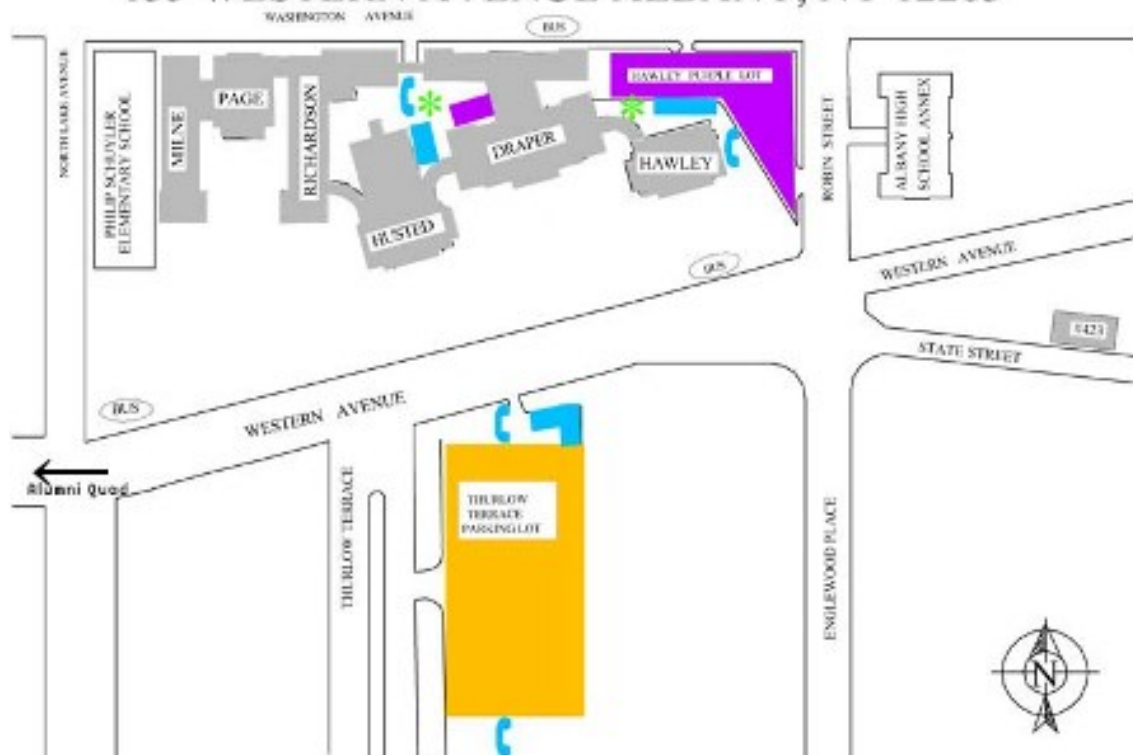


Alumni Quadrangle

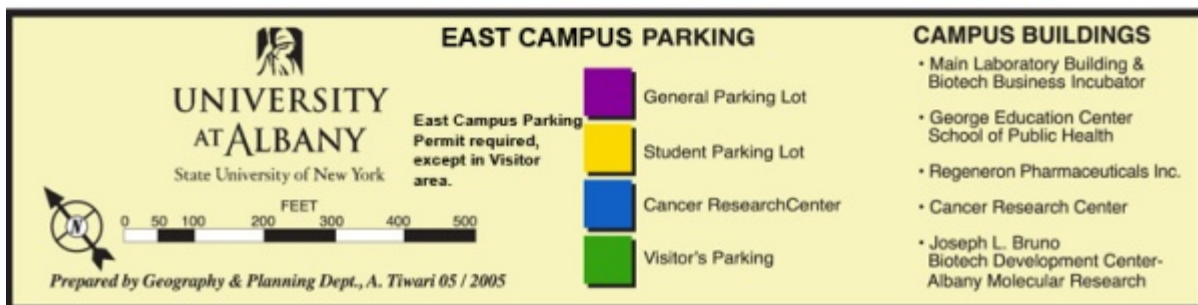


Downtown Campus

135 WESTERN AVENUE ALBANY, NY 12203



East Campus



Annual Fire Safety Report

completed in compliance with 34 CFR 668.49(b)

FORWARD

Welcome to the University at Albany!

The Office of Environmental Health and Safety is pleased to serve a diverse and vibrant academic community in upstate New York. For many of our residential students, the University at Albany is their first living experience away from home. The challenge to our University is to provide a safe environment within which faculty, staff, students, and visitors can learn, live and work. The integral parts of our Life Safety Philosophy include fire safety Education, Engineering controls, and Enforcement of campus policies, state laws and state fire codes. It is with this combination of Education, Engineering and Enforcement, that we are able to reduce the risk of a fire event on our campus. This report will describe our fire prevention and life safety programming at the University at Albany, and will demonstrate how the three E's work together to make sure that all members of our community can return safely home without fire related injury or loss of property.

Perhaps the most important of the three E's is Education. It is through life safety and fire prevention training, emergency evacuation drills, live fire training with portable fire extinguishers, tabling, and our annual Fire Prevention Day that the message of awareness and emergency response is disseminated throughout our community. We are excited to partner with the New York State Office of Fire Prevention and Control, the Albany Fire Department, and the McKownville Fire Department in providing valuable life preserving information to our community members.

Engineering controls describe UAlbany's efforts in the renovation of existing residence halls to include full fire sprinkler systems and addressable fire alarm systems. Mohawk Tower on Indian Quad is under renovations, and will be open for the Fall 2013 semester. The Office of Environmental Health and Safety has an energetic program of construction plan review, issuing building permits for construction, construction inspections, and issuing Certificates of Occupancy for safe and code compliant spaces. Engineering controls also describe the on-going inspection, testing and maintenance of fire protection systems on campus. This work ensures that these systems perform as designed in the event of a fire emergency.

Enforcement of state laws, campus regulations, and state fire codes is important in maintaining safe behaviors and buildings. The University at Albany police personnel are sworn police officers empowered to investigate crimes and make arrests. As such, they are responsible for maintaining order through the enforcement of state laws, some of which – arson, criminal tampering, and falsely reporting an incident – have a direct connection to fire safety. Additionally, University Police and Residential Life staff work together in administering campus standards of conduct. These standards can be found in the University at Albany student handbook "Community Rights and Responsibilities". Conflicts with campus standards can be heard by the Office of Conflict Resolution and Civic Responsibility.

Enforcement and Education work together in an annual fire safety inspection by the New York State Office of Fire Prevention and Control. Every space on campus is inspected in detail on an annual basis. Periodic inspections of residence halls are also made by the Dormitory Authority of the State of New York. While code violations are cited in the inspection process, these inspections are also used as an opportunity to meet face-to-face with resident students to discuss the violations and how they impair their safety.

Please take some time to review the information found in this report. We encourage you to contact the Office of Environmental Health and Safety should you have any questions or comments about this document.

Again, welcome to the University at Albany – I sincerely hope you have a safe and productive campus experience!

Lisa Donohue
Director
Office of Environmental Health and Safety



Mock Dorm Room Burning at Uptown Fire Safety Day.

FIRE SAFETY EDUCATION

The Office of Environmental Health and Safety employs one fire safety educator who is responsible for providing fire prevention and life safety information to the campus community. Training is provided to all in-coming students through the Summer Planning Conferences. Training is also given to all Resident Assistants and Residence Directors at the beginning of the academic year. The University at Albany was pleased to host two Fire Safety Days in the Fall 2011 semester. This involved a partnership between UAlbany, the New York State Office of Fire Prevention and Control the Albany Fire Department and the McKownville Fire Department. Live fire portable fire extinguisher training is provided throughout the year.

FIRE SAFETY SYSTEMS

University at Albany Residence Halls

All Residence Halls are equipped with addressable fire alarm systems including smoke and heat detection devices. Activated fire alarm systems notify building occupants with distinctive audible and visual notification devices. All fire alarms ring into the Power Plant, which is monitored 24/7/365. EVERY alarm of fire is reported to the municipal fire department. Evacuated buildings are not re-occupied until the fire department has arrived on scene, investigated the alarm, and has given its approval to re-occupy the building.

Several of the Residence Halls have full fire sprinkler systems. Partial fire sprinkler systems are also provided in some Residence Halls to protect common areas, mechanical areas, and the means of egress.

All Residence Halls are provided with emergency illumination through emergency lighting and illuminated exit signs.

Fire alarm systems are inspected, tested and maintained in accordance with NFPA 72 “National Fire Alarm Code”. This work is completed by contract with a third party.

Fire sprinkler systems, fire pumps, and fire hydrants are inspected, tested and maintained in accordance with NFPA 25 “Standard for the Inspection, Testing and Maintenance of Water-Based Fire Protection Systems”. This work is completed by trained employees of the University at Albany.

Emergency illumination is inspected, tested, and maintained by trained employees of the University at Albany.

Special hazard systems are inspected, tested, and maintained by contract with a third party. These systems include the commercial kitchen hood fire suppression systems.

Portable fire extinguishers are found throughout all of our residence halls. Training on the use of portable fire extinguishers can be obtained by contacting the Office of Environmental Health and Safety.

Fire Safety Systems The University at Albany; Alumni Quad Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Alden Hall	Y	Y	N	Y	Y	N
Pierce Hall	Y	Y	N	Y	Y	N
Sayles Hall	Y	Y	N	Y	Y	N
Waterbury Hall	Y	Y	N	Y	Y	N

Fire Safety Systems The University at Albany; Freedom Quad Student Apartments Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Truth Hall, A-1	Y	Y	N	N	Y	N
Truth Hall, A-2	Y	Y	N	N	Y	N
Truth Hall, A-3	Y	Y	N	N	Y	N
Truth Hall, A-5	Y	Y	N	N	Y	N
Truth Hall, A-6	Y	Y	N	N	Y	N
Truth Hall, A-7	Y	Y	N	N	Y	N
Stanton Hall, B-1	Y	Y	N	N	Y	N
Stanton Hall, B-2	Y	Y	N	N	Y	N
Stanton Hall, B-3	Y	Y	N	N	Y	N
Stanton Hall, B-5	Y	Y	N	N	Y	N
Stanton Hall, B-6	Y	Y	N	N	Y	N
Stanton Hall, B-7	Y	Y	N	N	Y	N
Northup Hall, C-1	Y	Y	N	N	Y	N
Northup Hall, C-2	Y	Y	N	N	Y	N
Northup Hall, C-3	Y	Y	N	N	Y	N
Northup Hall, C-5	Y	Y	N	N	Y	N
Northup Hall, C-6	Y	Y	N	N	Y	N
Northup Hall, C-7	Y	Y	N	N	Y	N
Lazarus Hall, D-1	Y	Y	N	N	Y	N
Lazarus Hall, D-2	Y	Y	N	N	Y	N
Lazarus Hall, D-3	Y	Y	N	N	Y	N
Lazarus Hall, D-5	Y	Y	N	N	Y	N
Lazarus Hall, D-6	Y	Y	N	N	Y	N
Lazarus Hall, D-7	Y	Y	N	N	Y	N

Fire Safety Systems The University at Albany; Empire Commons Student Apartments Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Empire Commons A-1	Y	Y	Y	N	Y	N
Empire Commons A-2	Y	Y	Y	N	Y	N
Empire Commons A-3	Y	Y	Y	N	Y	N
Empire Commons B-1	Y	Y	Y	N	Y	N
Empire Commons B-2	Y	Y	Y	N	Y	N
Empire Commons B-3	Y	Y	Y	N	Y	N
Empire Commons B-4	Y	Y	Y	N	Y	N
Empire Commons B-5	Y	Y	Y	N	Y	N
Empire Commons C-1	Y	Y	Y	N	Y	N
Empire Commons C-2	Y	Y	Y	N	Y	N
Empire Commons C-3	Y	Y	Y	N	Y	N
Empire Commons C-4	Y	Y	Y	N	Y	N
Empire Commons C-5	Y	Y	Y	N	Y	N
Empire Commons D-1	Y	Y	Y	N	Y	N
Empire Commons D-2	Y	Y	Y	N	Y	N
Empire Commons D-3	Y	Y	Y	N	Y	N
Empire Commons E-1	Y	Y	Y	N	Y	N
Empire Commons E-2	Y	Y	Y	N	Y	N
Empire Commons E-3	Y	Y	Y	N	Y	N
Empire Commons F-1	Y	Y	Y	N	Y	N
Empire Commons F-2	Y	Y	Y	N	Y	N
Empire Commons F-3	Y	Y	Y	N	Y	N
Empire Commons G-1	Y	Y	Y	N	Y	N
Empire Commons G-2	Y	Y	Y	N	Y	N
Empire Commons G-3	Y	Y	Y	N	Y	N

Fire Safety Systems The University at Albany; Colonial Quad Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Clinton Hall	Y	Y	N	N	Y	N
Delancey Hall	Y	Y	N	N	Y	N
Hamilton Hall	Y	Y	Y	N	Y	N
Herkimer Hall	Y	Y	N	N	Y	N
Johnson Hall	Y	Y	Y	N	Y	N
Livingston Tower	Y	Y	N	N	Y	N
Morris Hall	Y	Y	N	N	Y	N
Paine Hall	Y	Y	N	N	Y	N
Zenger Hall	Y	Y	N	N	Y	N

Fire Safety Systems The University at Albany; Dutch Quad Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Beverwyck Hall	Y	Y	N	N	Y	N
Bleaker Hall	Y	Y	N	N	Y	N
Ryckman Hall	Y	Y	Y	N	Y	N
Schuyler Hall	Y	Y	N	N	Y	N
Stuyvesant Tower	Y	Y	N	N	Y	N
Ten Broeck Hall	Y	Y	Y	N	Y	N
Ten Eyck Hall	Y	Y	N	N	Y	N
Van Cortlandt Hall	Y	Y	N	N	Y	N
Van Rensselaer Hall	Y	Y	Y	N	Y	N

Fire Safety Systems The University at Albany; Indian Quad Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Adirondack Hall	Y	Y	N	N	Y	N
Cayuga Hall	Y	Y	N	N	Y	N
Mahican Hall	Y	Y	N	N	Y	N
Mohawk Tower	Y	Y	Y	N	Y	N
Montauk Hall	Y	Y	N	N	Y	N
Oneida Hall	Y	Y	Y	N	Y	N
Onondaga Hall	Y	Y	Y	N	Y	N
Seneca Hall	Y	Y	N	N	Y	N
Tuscarora Hall	Y	Y	Y	N	Y	N

Fire Safety Systems The University at Albany; State Quad Calendar Year 2011						
Residential Facilities	Smoke Detection	Heat Detection	Fire Sprinkler Systems	Fire Sprinkler Systems – Partial	Egress Lighting	Mass Notification Systems
Anthony Hall	Y	Y	N	N	Y	N
Cooper Hall	Y	Y	N	N	Y	N
Eastman Tower	Y	Y	N	N	Y	N
Fulton Hall	Y	Y	N	N	Y	N
Irving Hall	Y	Y	N	N	Y	N
Melville Hall	Y	Y	Y	N	Y	N
Steinmetz Hall	Y	Y	Y	N	Y	N
Tappan Hall	Y	Y	N	N	Y	N
Whitman Hall	Y	Y	Y	N	Y	N

EMERGENCY EVACUATION

Emergency evacuation procedures are posted on the back of every residential suite door.

Procedures:

In case of fire, immediately exit the building. Pull the manual pull station on the way out to notify other building occupants of an emergency. Report to the designated Assembly Area for accountability.

In case of a fire alarm, immediately exit the building. Report to the designated Assembly Area for accountability.

Disabled persons on other than the ground level floor should respond to a designated Area of Refuge or fire-rated stair tower. The fire department's first incident priority is the rescue of persons who are trapped or cannot evacuate on their own. Persons should not use the elevator as a means of egress.

Residence Hall Emergency Evacuation Drills:

308 emergency evacuation drills were conducted during the calendar year 2011.

Emergency Evacuation Drills The University at Albany; Alumni Quad Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Alden Hall	1	0	2			
Pierce Hall	1	0	2			
Sayles Hall	1	0	2			
Waterbury Hall	1	0	2			

Emergency Evacuation Drills The University at Albany; Freedom Quad Student Apartments Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Truth Hall, A-1	1	0	2			
Truth Hall, A-2	1	0	2			
Truth Hall, A-3	1	0	2			
Truth Hall, A-5	1	0	2			
Truth Hall, A-6	1	0	2			
Truth Hall, A-7	1	0	2			
Stanton Hall, B-1	1	0	2			
Stanton Hall, B-2	1	0	2			
Stanton Hall, B-3	1	0	2			
Stanton Hall, B-5	1	0	2			
Stanton Hall, B-6	1	0	2			
Stanton Hall, B-7	1	0	2			
Northup Hall, C-1	1	0	2			
Northup Hall, C-2	1	0	2			
Northup Hall, C-3	1	0	2			
Northup Hall, C-5	1	0	2			
Northup Hall, C-6	1	0	2			
Northup Hall, C-7	1	0	2			
Lazarus Hall, D-1	1	0	2			
Lazarus Hall, D-2	1	0	2			
Lazarus Hall, D-3	1	0	2			
Lazarus Hall, D-5	1	0	2			
Lazarus Hall, D-6	1	0	2			
Lazarus Hall, D-7	1	0	2			

Emergency Evacuation Drills The University at Albany; Empire Commons Student Apartments Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Empire Commons A-1	1	0	2			
Empire Commons A-2	1	0	2			
Empire Commons A-3	1	0	2			
Empire Commons B-1	1	0	2			
Empire Commons B-2	1	0	2			
Empire Commons B-3	1	0	2			
Empire Commons B-4	1	0	2			
Empire Commons B-5	1	0	2			
Empire Commons C-1	1	1	2			
Empire Commons C-2	1	1	2			
Empire Commons C-3	1	1	2			
Empire Commons C-4	1	1	2			
Empire Commons C-5	1	1	2			
Empire Commons D-1	1	1	2			
Empire Commons D-2	1	1	2			
Empire Commons D-3	1	1	2			
Empire Commons E-1	1	1	2			
Empire Commons E-2	1	1	2			
Empire Commons E-3	1	0	2			
Empire Commons F-1	1	1	2			
Empire Commons F-2	1	1	2			
Empire Commons F-3	1	1	2			
Empire Commons G-1	1	0	2			
Empire Commons G-2	1	0	2			
Empire Commons G-3	1	0	2			

Emergency Evacuation Drills The University at Albany; Colonial Quad Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Clinton Hall	1	0	2			
Delancey Hall	1	0	2			
Hamilton Hall	1	0	2			
Herkimer Hall	1	0	2			
Johnson Hall	1	0	2			
Livingston Tower	1	1	2			
Morris Hall	1	0	2			
Paine Hall	1	0	2			
Zenger Hall	1	0	2			

Emergency Evacuation Drills The University at Albany; Dutch Quad Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Beverwyck Hall	1	0	2			
Bleaker Hall	1	0	2			
Ryckman Hall	1	0	2			
Schuyler Hall	1	0	2			
Stuyvesant Tower	1	0	2			
Ten Broeck Hall	1	0	2			
Ten Eyck Hall	1	0	2			
Van Cortlandt Hall	1	0	2			
Van Rensselaer Hall	1	0	2			

Emergency Evacuation Drills The University at Albany; Indian Quad Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Adirondack Hall	1	0	2			
Cayuga Hall	1	0	2			
Mahican Hall	1	0	2			
Mohawk Tower	1	0	2			
Montauk Hall	1	0	2			
Oneida Hall	1	0	2			
Onondaga Hall	1	0	2			
Seneca Hall	1	0	2			
Tuscarora Hall	1	0	2			

Emergency Evacuation Drills The University at Albany; State Quad Calendar Year 2011						
Residential Facilities	Spring 2011	Summer 2011	Fall 2011			
Anthony Hall	1	0	2			
Cooper Hall	1	0	2			
Eastman Tower	1	0	2			
Fulton Hall	1	0	2			
Irving Hall	1	0	2			
Melville Hall	1	0	2			
Steinmetz Hall	1	0	2			
Tappan Hall	1	0	2			
Whitman Hall	2	0	2			

ENFORCEMENT

This section of the University at Albany Annual Fire Report identifies laws, rules and regulations applicable to faculty, staff and students. These laws, rules and regulations are enforced by the New York State Division of Homeland Security and Emergency Services - Office of Fire Prevention and Control (through an annual inspection process), the University Police Department (UPD), Parking and Mass Transit Services, the UAlbany Department of Residential Life, and the UAlbany Office of Environmental Health and Safety (EH&S).

Penal Law of New York State

Article 145 Criminal Tampering

145.14 Criminal Tampering in the third degree

A person is guilty of criminal tampering in the third degree when, having no right to do so nor any reasonable ground to believe that he has such right, he tampers with property of another person with intent to cause substantial inconvenience to such person or to a third person. Criminal tampering in the third degree is a class “B” misdemeanor.

145.15 Criminal Tampering in the second degree

A person is guilty of criminal tampering in the second degree when, having no right to do so nor any reasonable ground to believe that he has such right, he or she tampers or makes connection with property of a gas, electric, sewer, stream or water-works corporation, telephone or telegraph, corporation, common carrier, nuclear powered electric generating facility, or public utility operated by a municipality or district; except that in any provision under this section, it is an affirmative defense that the defendant did not engage in such conduct for a larcenous or otherwise unlawful or wrongful purpose. Criminal tampering in the second degree is a class “A” misdemeanor.

145.20 Criminal Tampering in the first degree

A person is guilty of criminal tampering in the first degree when, with intent to cause a substantial interruption or impairment of a service rendered to the public, and having no right to do so nor any reasonable ground to believe that he or she has such right, he or she damages or tampers with property of a gas, electric, sewer, stream or water-works corporation, telephone or telegraph corporation, common carrier, nuclear powered electric generating facility, or public utility operated by a municipality or district, and thereby cause such substantial interruption or impairment of services. Criminal tampering in the first degree is a class “D” felony.

Article 15 Arson

150.00 Arson definitions

As used in this article,

1. “Building”, in addition to its ordinary meaning, includes any structure, vehicle or watercraft used for the overnight lodging of persons, or used by persons for carrying on business therein. Where a building consists of two or more units separately secured or occupied, each unit shall not be deemed a separate building.
2. “Motor vehicle”, includes every vehicle operated or driven upon a public highway which is propelled by any power other than muscular power, except
 - (a) Electrically-driven invalid chairs being operated or driven by an invalid,
 - (b) Vehicles which run only upon rails or tracks, and
 - (c) Snowmobiles as defined in article forty-seven of the vehicle and traffic law.

150.01 Arson in the fifth degree

A person is guilty of arson in the fifth degree when he or she intentionally damages property of another without consent of the owner by intentionally starting a fire or causing an explosion.

Arson in the fifth degree is a class A misdemeanor.

150.05 Arson in the fourth degree.

1. A person is guilty of arson in the fourth degree when he recklessly damages a building or motor vehicle by intentionally starting a fire or causing an explosion.
2. In any prosecution under this section, it is an affirmative defense that no person other than the defendant had a possessory or proprietary interest in the building or motor vehicle.

Arson in the fourth degree is a class E felony.

150.10 Arson in the third degree.

1. A person is guilty of arson in the third degree when he intentionally damages a building or motor vehicle by starting a fire or causing an explosion.
2. In any prosecution under this section, it is an affirmative defense that
 - (a) no person other than the defendant had a possessory or proprietary interest in the building or motor vehicle, or if other persons had such interest, all of them consented to the defendant's conduct, and
 - (b) the defendant's sole intent was to destroy or damage the building or motor vehicle for a lawful and proper purpose, and
 - (c) the defendant had no reasonable ground to believe that his conduct might endanger the life or safety of another person or damage another building or motor vehicle.

Arson in the third degree is a class C felony.

150.15 Arson in the second degree.

A person is guilty of arson in the second degree when he intentionally damages a building or motor vehicle by starting a fire, and when

- (a) another person who is not a participant in the crime is present in such building or motor vehicle at the time, and
- (b) the defendant knows that fact or the circumstances are such as to render the presence of such a person therein a reasonable possibility.

Arson in the second degree is a class B felony.

150.20 Arson in the first degree.

1. A person is guilty of arson in the first degree when he intentionally damages a building or motor vehicle by causing an explosion or a fire and when
 - (a) such explosion or fire is caused by an incendiary device propelled, thrown or placed inside or near such building or motor vehicle; or when such explosion or fire is caused by an explosive; or when such explosion or fire either
 - (i) causes serious physical injury to another person other than the participant; or
 - (ii) the explosion or fire was caused with the expectation or receipt of financial advantage or pecuniary profit by the actor; and when
 - (b) another person who is not a participant in the crime is present in such building or motor vehicle at the time; and

- (c) the defendant knows that fact or the circumstances are such as to render the presence of such person therein a reasonable possibility.
- 2. As used in this section, “incendiary device” means a breakable container designed to explode or produce uncontained combustion upon impact, containing flammable liquid and having a wick or a similar device capable of being ignited.

Arson in the first degree is a class A-I felony.

Article 240 Falsely Reporting an Incident

240.50 Falsely reporting an incident in the third degree

A person is guilty of falsely reporting an incident in the third degree when, knowing the information reported, conveyed or circulated to be false or baseless, he:

- 1. Initiates or circulated a false report or warning of an alleged occurrence or impending occurrence of a crime, catastrophe or emergency under circumstances in which it is not unlikely that public alarm or inconvenience will result; or
- 2. Reports, by word or action, to an official or quasi-official agency or organization having the function of dealing with emergencies involving danger to life or property, an alleged occurrence or impending occurrence of a catastrophe or emergency which did not in fact occur or does not in fact exist; or
- 3. Gratuitously reports to a law enforcement officer or agency (a) the alleged occurrence of an offense or incident which did not in fact occur; or (b) an allegedly impending occurrence of an offense or incident which in fact is not about to occur; or (c) false information relating to an actual offense or incident or to the alleged implication of some person, therein; or
- 4. Reports, by word or action, an alleged occurrence or condition of child abuse or maltreatment which did not in fact occur or exist to;
 - (a) the statewide central register of child abuse and maltreatment, as defined in title six of article six of the social services law, or
 - (b) any person required to report cases of suspected child abuse or maltreatment pursuant to subdivision one of section four hundred thirteen of the social services law, knowing that the person is required to report such cases, and with the intent that such an alleged occurrence be reported to the statewide central register. Falsely reporting an incident in the third degree is a class A misdemeanor.

240.55 Falsely reporting an incident in the second degree

A person is guilty of falsely reporting an incident in the second degree when knowing the information reported, conveyed or circulated to be false or baseless, he or she:

1. Initiates or circulates a false report or warning of an alleged occurrence or impending occurrence of a fire, explosion, or the release of a hazardous substance under circumstances in which it is nor unlikely that public alarm or inconvenience will result;
2. Reports by word or action, to any official or quasi-official agency or organization having the function of dealing with emergencies involving danger to life or property, an alleged occurrence or impending occurrence of a fire, explosion, or the release of a hazardous substance which did not in fact occur or does not in fact exist; or
3. Knowing the information reported, conveyed or circulated to be false or baseless and under circumstances in which it is like public alarm or inconvenience will result, he or she initiates or circulates a report or warning of an alleged occurrence or an impending occurrence of a fire, an explosion, or the release of a hazardous substance upon any private premises. Falsely reporting an incident in the second degree is a class E felony.

240.60 Falsely reporting an incident in the first degree

A person is guilty of falsely reporting an incident in the first degree when he:

1. commits the crime of falsely reporting an incident in the second degree as defined in section 240.55 of this article, and has previously been convicted of that crime; or
2. commits the crime of falsely reporting an incident in the third degree as defined subdivisions one and two of section 240.50 of this article or falsely reporting an incident in the second degree as defined in subdivisions one and two of section 240.55 of this article and another person who is an employee or member of any official or quasi-official agency having the function of dealing with emergencies involving danger to life of property; or who is a volunteer firefighter with a fire department, fire company, or any unit thereof as defined in the volunteer firefighters' benefit law; or who is a volunteer ambulance worker with a volunteer corporation pr any unit thereof as defined in the volunteer ambulance workers' benefit law suffers serious physical injury or is killed in the performance of his or her official duties in traveling to or working at or returning to a firehouse, police station, quarters or other base facility from the location identified in such report; or
3. Commits the crime of falsely reporting an incident in the third degree as defined in subdivisions one and two of section 240.50 of this article or falsely reporting an incident in the second degree as defined in subdivisions one and two of section 240.55 of this article and another person suffers serious physical injury or is killed as a result of any

vehicular or other accident involving any emergency vehicle which is responding to, operating at, or returning from the location identified in such report.

4. An emergency vehicle as referred to in subdivision three of this section shall include any vehicle operated by any employee or member of any official or quasi-official agency having the function of dealing with emergencies involving danger to life or property and shall include, but not necessarily be limited to, an emergency vehicle which is operated by a volunteer ambulance worker with a volunteer ambulance corporation, or any unit thereof as defined in the volunteer ambulance workers' benefits law.

5. Knowing the information reported, conveyed or circulated to be false or baseless and under circumstances in which it is likely public alarm or inconvenience will result, he or she initiates or circulates a report or warning of an alleged occurrence or an impending occurrence of a fire, an explosion, or the release of a hazardous substance upon school grounds and it is likely that persons are present on said grounds.

6. Knowing the information reported, conveyed or circulated to be false or baseless and under circumstances in which it is likely public alarm or inconvenience will result, he or she initiates or circulates a report or warning of an alleged occurrence or impending occurrence of a fire, explosion or the release of a hazardous substance in or upon a sports stadium or arena, mass transportation facility, enclosed shopping mall, any public building or any public place, and it is likely that persons are present. For purposes of this subdivision, the terms "sports stadium or arena, mass transportation facility or enclosed shopping mall" shall have their natural meaning and the term "public building" shall have the meaning set forth in section four hundred one of the executive law. Falsely reporting an incident in the first degree is a class D felony.

Vehicle and Traffic Law of New York State

Article 32 Stopping, Standing, and Parking

1202. Stopping, standing or parking prohibited in specified places

Fire Code of New York State (2011 Revision Cycle)¹

- Chapter 1 General Requirements
- Chapter 2 Definitions
- Chapter 3 General Precautions Against Fire
 - Section 304 Combustible Waste Material
 - Section 308 Open Flames
 - Section 310 Smoking
 - Section 314 Indoor Displays
- Chapter 4 Emergency Planning and Preparedness
 - Section 403 Public Assemblages and Events
 - Section 404 Fire Safety and Evacuation Plans
 - Section 405 Emergency Evacuation Drills
 - Table 405.2 Fire and Evacuation Drill Frequency and Participation
 - Section 406 Employee Training and Response Procedures
 - Section 407 Hazard Communication
 - Section 408 Use and Occupancy-related Requirements
 - 408.3 Group B and Group R-2 College and University Facilities
- Chapter 5 Fire Service Features
- Chapter 6 Building Services and Systems
 - Section 604 Emergency and Standby Power Systems
 - Section 605 Electrical Equipment, Wiring and Hazards
 - Section 607 Elevator Recall and Maintenance
 - Section 610 Commercial Kitchen Hoods
 - Section 611 Carbon Monoxide Alarms
- Chapter 7 Fire-Resistance-Rated Construction
- Chapter 8 Interior Finish, Decorative Materials and Furnishings
- Chapter 9 Fire Protective Systems
 - Section 903 Automatic Sprinkler Systems
 - Section 904 Alternative Automatic Fire-extinguishing Systems
 - Section 905 Standpipe Systems
 - Section 906 Portable Fire Extinguishers
 - Section 907 Fire Alarm and Detection Systems
 - Section 908 Emergency Alarm Systems
 - Section 913 Fire Pumps
- Chapter 10 Means of Egress
 - Section 1004 Occupant Load
- Chapter 14 Fire Safety During Construction and Demolition
- Chapter 24 Tents, Canopies and Other Membrane Structures
- Chapter 33 Explosives and Fireworks

¹ The Fire Code of New York State is available for purchase by contacting the International Code Council, 500 New Jersey Avenue, NW, 6th Floor, Washington D.C. 20001. 1-888-ICC-SAFE. <http://www.iccsafe.org>
A free on-line version of the Fire Code of New York State can be found at <http://publicecodes.citation.com/st/ny/st/b300v10/index.htm>.

Property Maintenance Code of New York State (2011 Revision Cycle)²

Chapter 7 Fire Safety Requirements

University at Albany “Community Rights and Responsibilities”

The “*Community Rights and Responsibilities*” is administered by the University at Albany Office of Conflict Resolution and Civic Responsibility. Its contents describe standards for student conduct, and identify prohibited conduct that is detrimental to the University at Albany. This report contains excerpts from the document that address campus fire safety. Students may be arrested for violation of student conduct standards that are also violations of state law, and/or may be referred to the campus Judicial System for resolution of strictly campus-related violations.

VI. Prohibited Conduct

The conduct listed in this section is in violation of Community Rights and Responsibilities. Such conduct is expressly prohibited. This list is not exhaustive, but is intended to place students on notice of the types of conduct that may result in disciplinary action. The provisions of Community Rights and Responsibilities shall apply both to conduct which occurs on campus or at University sponsored events and to conduct occurring off campus if such off campus conduct is deemed adverse to the interests of the University community. Prohibited conduct includes not only completed actions but also attempted violations of Community Rights and Responsibilities. Prohibited conduct may result in referral to the judicial system. Student groups and organizations recognized administratively or by student government(s) and/or their officers may be charged with, and held responsible for violations of these conduct codes. Sanctions against the student organization and its officers may include revocation of recognition of the group, loss of permission to use University facilities and the University name, as well as other appropriate sanctions pursuant to Section VIII of this code. It is a violation of University policy for students to affiliate with organizations that have had their University recognition suspended or permanently revoked by the University. The definition of affiliation includes joining, rushing, pledging or being involved in any activity that would normally be associated with being a member of such organization.

1. Fire Safety

Causing or creating a fire.

Tampering with safety measures or devices, including but not limited to, alarm systems, fire extinguishers, exit signs, emergency phone systems, smoke or heat detectors, fire

² The Property Maintenance Code of New York State is available for purchase by contacting the International Code Council, 500 New Jersey Avenue, NW, 6th Floor, Washington D.C. 20001. 1-888-ICC-SAFE. <http://www.iccsafe.org>
A free on-line edition of the Property Maintenance Code of New York State can be found at <http://publicecodes.citation.com/st/ny/st/b1300v07/index.htm>.

hoses, security systems, locked exterior doors, etc.

Failing to conform to safety regulations, including but not limited to, falsely reporting an incident, failure to evacuate facilities in a timely fashion in emergency situations or in response to fire alarms, inappropriate use of the fire alarm system.

22. Smoking

Smoking is prohibited in all indoor University buildings, including campus residence halls. Smoking is prohibited out of doors in the following areas: within 30 feet of exterior ventilation intakes; within 10 feet of building entrances and open windows; and in all exterior stairwells.

Residence Hall Regulations

8. Cooking. Cooking is permitted only in residence hall kitchenette areas. Minimal snack preparation is permitted in student rooms. Any open flame cooking equipment, including charcoal barbecue grills, and hibachis must be used outside and at least 30 feet from any building.

9. Appliances. Air conditioners, ceiling fans, microwave ovens and all other cooking appliances are prohibited. For snack preparation, the following appliances, UL approved ONLY, are permitted: pop-up toaster, closed element popcorn popper, thermostatically controlled hot pots and rice cookers, and percolator / coffeemaker.

14. Room decorations. Combustible materials such as posters, pictures, etc. shall be limited to 20% of available wall space in each room. No combustible material shall be allowed on ceilings or on the inside of any door. Fabric, including sheets, fishnet, tapestry, etc. used as decorations are prohibited. Ceiling decorations of all types are prohibited. Curtains must be flame resistant (per NFPA 701) as should any upholstered furniture (labeled CAL 133).

HANDBOOK – University Apartments Office

Fire Safety

NEVER LEAVE COOKING UNATTENDED. One may so busy juggling all their responsibilities that it is easy to forget that something is cooking on the stovetop or in the oven. NEVER leave the kitchen area or the apartment when something is cooking, either in the oven or on top of the stove.

There are different types of fires that can occur in the kitchen; some more serious than others. Typically the most dangerous kitchen fire is a grease fire. A grease fire occurs when oil, butter, or other greases are heated so highly that they ignite. This type of fire

can cause open flames that can extend to kitchen cabinets or other items very quickly. What should you do?

Grease fires – If a grease fire is small and ONLY if you are confident you can extinguish it, turn off the burner and smother the fire with a pan lid. Make sure the lid will cover the whole fire. Never, ever, use water to extinguish grease, oil or fat fires because water can precipitate splattering that can cause burns or scalds and spread the fire. Fire extinguishers are not recommended for this type of fire either. Do not attempt to carry the pan from a grease fire outside. The pan will become too hot and the fire will easily spread.

If a grease fire should occur and you are not confident you can extinguish it, do not risk getting burned even to turn off the burner. Evacuate immediately and activate the fire alarm to notify others.

Oven fires occur inside the oven. What should you do? Close the oven door and turn off the heat source. The oven fire usually suffocates. Do not take a burning dish out of the oven. Keep stovetop, oven and microwave oven clean. Accumulated grease and food in drip pans and cooking surfaces can cause smoke the next time the appliance is used.

Dry cooking fires typically occur on the stovetop if the moisture burns off the pan and the food (or empty pan) is left to scorch and burn. This type of fire will cause a lot of heat and can damage the surrounding area with smoke. This type of fire is prevented by never leaving your cooking unattended and by turning off the burner when food is finished cooking.

Microwave fires can occur when food is left to cook in the microwave for too long a period of time. NEVER use aluminum foil or put pots and pans in the microwave. Be careful of travel mugs – aluminum mugs cannot be heated in the microwave. Aluminum will cause a microwave fire. In the event of a fire in a microwave, keep the door closed and unplug or turn off the microwave. DO NOT remove a burning object from the microwave. Keep the microwave door closed, and evacuate the building, pulling the alarm on the way out.

Always follow the following guidelines to prevent fire or burn related injury:

1. Use padded oven mitts when handling a hot pot or pan.
2. Heat oil slowly over moderate heat.
3. Never pour butter or oil on top of something cooking in the broiler. The broiler operates at very high heat and will ignite the grease, causing a fire.
4. Unplug small appliances such as the coffeepot or toaster when they are not in use.
5. Turn off the oven or stovetop as soon as you have finished cooking.
6. Double check that the oven and stovetop are OFF before leaving your apartment.
7. Do not store outdoor barbeque items in you apartment such as grills, charcoal or lighter fluid.

If a fire occurs that is out of your control, **EVACUATE AND PULL THE ALARM**. Never risk harm to yourself or others. The safety of your life and the lives of your fellow students is more important than any material possessions that may be lost in a fire. Lastly, if you hear the fire alarm, always evacuate the building immediately.

Draperies and curtains not original to the apartment should be labeled “fire resistant” under code NFPA 701. Additionally, draperies, curtains and wall hangings of any material may not cover more than 20% of the surface.

The use of any open-flamed devices such as candles, sternos, incense, and kerosene lamps is prohibited. The following are also prohibited: natural trees, wreaths, paneling, wallpaper or similar coverings, open-element or liquid fueled (kerosene, propane, gas) space heaters, and excessive trash accumulation.

Holiday decorations: only Underwriter Laboratories approved artificial trees or wreaths will be allowed in the University Apartments. A “University Apartment” is defined as any indoor area within the University Apartments; e.g. student rooms / suites, any common area, offices, and staff apartments. Only UL approved menorahs or other lights will be allowed in University Apartments. This policy is based upon New York State Fire Prevention Codes and the Apartments License.

Cooking and Use of Outside Areas

The use of charcoal burners and other open-flame cooking devices shall not be operated within 30 feet of the buildings. Residents are responsible for themselves and their guests in ensuring any outside gathering results in an expeditious and complete clean-up of the area. It is imperative that individuals take very safety precaution when disposing of used charcoal. Once the charcoal is finished being used, allow it to sit in the grill for at least 24-hours before placing it in the dumpster; placing used charcoal in the dumpster too soon will likely start a fire. Violations of this section may result in loss of privileges, as well as more severe sanctions.

Rules and Regulations

4. Fire safety. All residents must evacuate the building when a fire alarm sounds and move to the opposite side of the street. Failure to cooperate or to evacuate during a fire alarm; causing of a false fire alarm; inappropriate behavior which results in the activation of a fire alarm; interfering with the proper functioning of a fire alarm system; tampering with, damaging or removing fire hoses, extinguishers, exit lights, heat / smoke sensors, extinguisher boxes or alarm covers, or any other fire safety apparatus is strictly prohibited. The use of any open-flamed devices such as candles, sternos, and incense and kerosene lamps is prohibited. The following are also prohibited: natural trees, wreaths, paneling, wall paper or similar coverings, open element or liquid fueled (kerosene, propane, gas) space heaters, and hazardous trash accumulation.
5. Appliances. Students are expected to use appliances furnished in their apartment in the appropriate manner. The student takes full responsibility for the appliances use and functioning during the course of their stay.

UNIVERSITY AT ALBANY CONTACTS FOR NOTIFICATION OF A FIRE EVENT

*** NOTE *** Telephone numbers listed below are NON-EMERGENCY only. If you have a fire, police, or medical emergency; please dial 911 from a campus phone, or (518) 442-3131 from a cellular phone.

UAlbany Office of Environmental Health and Safety (518) 442-3495
University at Albany, 1400 Washington Ave., Albany, NY 12222

Director	Lisa Donohue
Assistant Director	Karl G. Kilts
Fire Protection Supervisor	Greg Amyot
Electrician	Mike Drosky
Plumber	Dave Gregory
Campus Safety Specialist	Tyler Lemere
Campus Safety Specialist	Vickie Dichian
Campus Safety Specialist	Michael Wilson

University Police Department (518) 442-3130
University at Albany, Justice Drive, Albany, NY 12222

Chief of Police	J. Frank Wiley
Deputy Chief of Police	Thomas J. Kilcullen
Assistant Chief of Police	Paul M. Berger
Inspector	Aran Mull
Inspector	Jennifer L. Fila

Director of Personal Safety and Off-Campus Affairs	Thomas L. Gebhardt
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Five Quad Volunteer Ambulance Service (518) 442-5555

Albany Department of Fire and Emergency Services (518) 447-7879
26 Broad Street, Albany, New York 12202

Fire Chief	Robert C. Forezzi, Sr.
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McKownville Fire Department (518) 489-4340
1250 Western Avenue, Albany, NY 12203

Fire Chief	Anthony Cortese
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POLICY FOR REPORTING ON-CAMPUS FIRES

A fire, for purposes of HEOA regulations, is defined as *any instance of open flame or other burning in a place not intended to contain the burning or in an uncontrolled manner.*

All incidents of fire and alarms of fire on the campus of The University at Albany shall be reported to the local fire department immediately, per the Fire Code of the State of New York. All system reports of fire are signaled to the Uptown Power Plant. The Plant Engineer has no discretion regarding the notification of the local fire department – all alarms of fire must be reported immediately. Reports of fire may also be received by the campus 911 operator. The 911 operator has no discretion regarding the notification of the local fire department – all reports of fire must be reported immediately.

The Power Plant and the University Police Department shall notify The University at Albany Office of Environmental Health and Safety (EH&S) of all incidents of fire as soon as practicable. The 24/7 EH&S on-call list should be used to make this notification.

The University at Albany Office of Environmental Health and Safety will use New York State form DOS-1660 to notify the New York State Division of Homeland Security and Emergency Services – Office of Fire Prevention and Control of every incident of fire on campus pursuant to Title 19 New York Codes, Rules and Regulations Part 500 (19 NYCRR 500).

The University at Albany Office of Environmental Health and Safety will publish incidents of fire on the Fire Safety Log per the United States Department of Education “Handbook for Campus Safety and Security Reporting”.

2009 FIRE INCIDENT LOG:

The University at Albany; Residence Hall Fires Summary						
Date/Time Reported	Date/Time of Fire	Location	Nature of Fire	Injuries	Deaths	Value
3/25/2009 1915hrs	Same	Empire Commons C4-202	Accidental-Cooking	0	0	\$0-\$99
4/4/2009 2101hrs	Same		Accidental-Equipment Failure	0	0	\$0-\$99
4/5/2009 0652hrs	Same	Alden Hall Northeast Door	Incendiary	0	0	\$0-\$99
4/14/2009 1847hrs	Same	Empire Commons B1-201	Accidental-Cooking	0	0	\$500
4/19/2009 2056hrs	Same	Empire Commons B1-201	Accidental-Cooking	0	0	\$0-\$99
7/5/2009 1845hrs	Same	Dutch Quad Kitchen	Accidental- Electrical	0	0	\$500
9/10/2009 2141hrs	Same	Clinton Hall- MER	Accidental- Equipment Failure	0	0	\$500

2010 FIRE INCIDENT LOG:

The University at Albany; Residence Hall Fires Summary						
Date/Time Reported	Date/Time of Fire	Location	Nature of Fire	Injuries	Deaths	Value
1/18/2010 1745hrs	Same	Empire Commons B-1-204	Accidental- Cooking	0	0	\$0-\$99
1/19/2010 1245hrs	Same	Tuscarora Hall Kitchen	Accidental-Cooking	0	0	\$0-\$99
2/7/2010 0240hrs	Same	Adirondack Hall	Incendiary-Arson	0	0	\$0-\$99
3/16/2012 1802hrs	Same	Oneida Hall Room 214	Incendiary-Arson	0	0	\$0-\$99

2011 FIRE INCIDENT LOG:

The University at Albany; Residence Hall Fires Summary						
Date/Time Reported	Date/Time of Fire	Location	Nature of Fire	Injuries	Deaths	Value
11/7/2011 2000hrs	Same	Empire Commons A3-103	Accidental- Cooking	0	0	\$0-\$99
8/15/2011 1830hrs	Same	Empire Commons G2-101	Accidental- Cooking	0	0	\$0-\$99
2/11/2011 1807hrs	Same	Empire Commons F3-102	Accidental- Cooking	0	0	\$327

STATISTICS AND RELATED INFORMATION REGARDING FIRES IN RESIDENTIAL FACILITIES:

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Alumni Quad Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Alden Hall	0					
Pierce Hall	0					
Sayles Hall	0					
Waterbury Hall	1	1	Accidental – Combustible materials contacted heat source	0	0	\$0-99

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Freedom Quad Student Apartments Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Truth Hall, A-1	0					
Truth Hall, A-2	0					
Truth Hall, A-3	0					
Truth Hall, A-5	0					
Truth Hall, A-6	0					
Truth Hall, A-7	0					
Stanton Hall, B-1	0					
Stanton Hall, B-2	0					
Stanton Hall, B-3	0					
Stanton Hall, B-5	0					
Stanton Hall, B-6	0					
Stanton Hall, B-7	0					
Northup Hall, C-1	0					
Northup Hall, C-2	0					
Northup Hall, C-3	0					
Northup Hall, C-5	0					
Northup Hall, C-6	0					
Northup Hall, C-7	0					
Lazarus Hall, D-1	0					
Lazarus Hall, D-2	0					
Lazarus Hall, D-3	0					
Lazarus Hall, D-5	0					
Lazarus Hall, D-6	0					
Lazarus Hall, D-7	0					

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Empire Commons Student Apartments Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Empire Commons A-1	0					
Empire Commons A-2	0					
Empire Commons A-3	1	1	Unintentional/Cooking	0	0	\$0-99
Empire Commons B-1	0					
Empire Commons B-2	0					
Empire Commons B-3	0					
Empire Commons B-4	0					
Empire Commons B-5	0					
Empire Commons C-1	0					
Empire Commons C-2	0					
Empire Commons C-3	0					
Empire Commons C-4	0					
Empire Commons C-5	0					
Empire Commons D-1	0					
Empire Commons D-2	0					
Empire Commons D-3	0					
Empire Commons E-1	0					
Empire Commons E-2	0					
Empire Commons E-3	0					
Empire Commons F-1	0					
Empire Commons F-2	0					
Empire Commons F-3	1	1	Cooking	0	0	\$250-500
Empire Commons G-1	0					
Empire Commons G-2	1	1	Unintentional/Cooking	0	0	\$30K
Empire Commons G-3	0					

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Colonial Quad Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Clinton Hall	0					
Delancey Hall	0					
Hamilton Hall	0					
Herkimer Hall	0					
Johnson Hall	0					
Livingston Tower	0					
Morris Hall	0					
Paine Hall	0					
Zenger Hall	0					

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Dutch Quad Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Beverwyck Hall	0					
Bleaker Hall	0					
Ryckman Hall	0					
Schuyler Hall	0					
Stuyvesant Tower	0					
Ten Broeck Hall	0					
Ten Eyck Hall	0					
Van Cortlandt Hall	0					
Van Rensselaer Hall	0					

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; Indian Quad Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Adirondack Hall	0					
Cayuga Hall	0					
Mahican Hall	0					
Mohawk Tower	0					
Montauk Hall	0					
Oneida Hall	0					
Onondaga Hall	0					
Seneca Hall	0					
Tuscarora Hall	0					

Statistics and Related Information Regarding Fires in Residential Facilities The University at Albany; State Quad Calendar Year 2011						
Residential Facilities	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries That Required Treatment at a Medical Facility	Number of Deaths Related to a Fire	Value of Property Damage Caused by Fire
Anthony Hall	0					
Cooper Hall	0					
Eastman Tower	0					
Fulton Hall	0					
Irving Hall	0					
Melville Hall	0					
Steinmetz Hall	0					
Tappan Hall	0					
Whitman Hall	0					

FIRE SAFETY GOALS AND OBJECTIVES:

The University at Albany plans to add or upgrade residence halls. These residences will include full fire sprinkler systems, addressable fire alarm systems, and mass notification capability. The schedule for upgrades is as follows:

Mohawk Tower, Indian Quad. Full renovation. Scheduled to open in the Fall semester 2013.

Liberty Terrace Apartments. New construction. Scheduled to open in the Fall 2012 semester.

Seneca Hall, Indian Quad. Full renovation. Scheduled to open in the Fall semester 2012.

University at Albany
Office of Environmental Health and Safety
Chemistry Building, Room B-73
1400 Washington Avenue
Albany, New York 12222

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Photo by J. Herrick

ANNUAL SECURITY AND FIRE REPORT Distribution

The information in this annual security report is made available to you in compliance with The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, formerly the Student Right to Know Act of 1990. It is our hope that this information assists you in making intelligent, informed decisions. Please read it carefully and use the information to become partners with us in preventing crime on our campus. This Clery brochure is prepared annually in a hard copy print version and an electronic PDF format. Following are the distribution methods for the annual security report:

ANNUAL SECURITY AND FIRE REPORTS AVAILABILITY

- Email in the fall to all current students, faculty and staff
- Notice of report availability is included on undergraduate and graduate student application packages
- Notice of report availability is included in all letters of invitation for interviews for prospective employees and a link to the report is on the Human Resource Management website where job postings are advertised
- Electronic PDF report posted on UPD web site at: <http://police.albany.edu/ASR.shtml>.
- The Fire Log can be found on the Office of Environmental Health & Safety web page at: <http://www.albany.edu/ehs/firesafety.shtml>
- The Crime Log can be found on the University Police web site at: <http://police.albany.edu/CandISearch.shtml>

To request a hard copy of the annual security report, please

- Call the Clery Compliance Officer at (518) 956-8140, or;
- Write the Clery Compliance Officer at University at Albany, UAB 206, 1400 Washington Avenue, Albany, New York 12222

APPENDIX A

Campus-Wide Initiatives for Sexual Assault Prevention/Education and Victim's Assistance

CAMPUS-WIDE INITIATIVES TARGETED TO PROMOTE BASIC PERSONAL SAFETY AND TO PREVENT SEXUAL ASSAULT

Project REACH Steering Committee on the Prevention of Violence Against Women

The **Project REACH Steering Committee on the Prevention of Violence Against Women** was formed to develop a working alliance between on campus and off campus key resources for students who have been sexually assaulted. It was also formed and funded as a part of a grant from the Office of Violence Against Women, Department of Justice Grant. The committee was charged with a number of responsibilities: It 1) reviews and refines strategies for communication and collaboration between the University at Albany and our Albany community partners, regarding the provision of service for student victims of sexual assault and other related violent crimes against women, (2) identifies training needs and opportunities for the University at Albany and Albany community partners, (3) recommends changes to the University at Albany policy for responding to sexual assault and related violence against women, (4) works on developing an efficient system for collecting and sharing data and information on incidents of sexual assault and related crimes against women both on and off University at Albany campus. The committee was chaired by Estela Rivero, Ph.D., DOJ Grant Project Director and comprised of University at Albany professionals and community experts.

Presidential Advisory Council for the Prevention of Sexual Assault

The **Presidential Advisory Council for the Prevention of Sexual Assault** was charged with a number of responsibilities. It monitors the incidence and prevalence of acquaintance rape at the University at Albany, and evaluates and compares our rates to the national level. The council also solicits and receives continuing input from all constituents of the University community and the surrounding communities. The council provides continuing assessment of effective methods of prevention, education and support services to ensure they are in keeping with nationally known best practices. The council was charged to enhance communication about prevention and safety services offered at the University to both the University community and to the community agencies that provide services to our students. The council makes recommendations for long and short-term practices and policies that will enhance the academic and social quality of the campus life, and ensure safety for all students. The council is chaired by Jeanette Altarriba, Ph.D., Chair, Department of Communications, Professor, Departments of psychology and Latin American, Caribbean, and U.S. Latino Studies and comprised of faculty, staff, community experts, and students.

President's Advisory Committee on Campus Security

The **President's Advisory Committee on Campus Security** is charged with reviewing campus security procedures and making recommendations for their improvement. It works with members of the campus community, including those who advise or supervise students, to address issues of personal safety, crime prevention, sexual assault, reporting procedures for incidents and complaints, counseling of victims, and appropriate responses to inquiries from concerned persons. This committee was co-chaired by Inspector Jennifer Fila, M.P.H. of University Police and Diana Mancini, Ph.D. Assistant Dean of the School of Criminal Justice and comprises faculty, staff, and students.

University at Albany Sexual Assault Response Team

The **UA Sexual Assault Response Team** is charged with discussing the coordination of services and support offered to survivors of sexual assault and/or relationship violence at the University. Representatives from the University Counseling Center, Residential Life, Conflict and Civic Responsibility, Undergraduate Studies, and UPD comprise the Team, which was charged by the Vice President for Student Success and chaired by Joyce DeWitt-Parker, Ph.D., Coordinator for Sexual Assault Prevention.

University Counseling Center

Note: As with all successful programs, UCC programs are enhanced by collaboration from many University departments. Of particular note are the many faculty who offer extra credit to students who attend educational programs on sexual assault and other health and safety related topics.

Sexual Assault Resources

Programs are targeted to increase students' awareness of sexual assault issues and to educate students about strategies for reducing risk and preventing assault, especially in the context of sex and dating in the college social scene.

2011-2012:

93 Total Programs were presented to **8,643** individuals (includes 14 Bystander Intervention Programs presented to 827 students)

20 Planning Conferences, reaching 2840 students
3 Large Scale Events, reaching 581 students
17 Exhibits, reaching at least 3000 individuals
25 Additional Programs, reaching 1,306 students (includes 14 Bystander Intervention Programs presented to 827 students)

5 Academic Class Lectures taught to 137 individuals

23 Trainings were provided to 779 individuals (2 trainings include 185 grant-sponsored conference attendees)

7 Professional Staff Trainings, includes 2 protocol trainings

16 Peer Educator Trainings, includes 12 protocol trainings

In addition, 16 Awareness campaigns targeted to the UAlbany student population of 17,000 students

Program offerings include:

- **Summer Planning Conferences and Orientations** – 20 interactive “Issues in the Social Scene” programs were presented to 2,840 incoming students during their orientation during SPC and other targeted orientation programs, such as through the Educational Opportunity Program (EOP) and with international students. The audiences included co-ed or single gender groups, freshman and transfer students. The program provides: definitions of sexual assault, sexual consent intimate partner abuse and stalking; realistic scenarios that facilitate discussions about options for prevention; and knowledge of bystander interventions that seek to expand options for student’s to assist in the prevention of sexual assault, relationship violence, and stalking in the college community. Resources, both on and off campus, are discussed briefly, as well as, options for reporting to the criminal justice system and the campus judicial affairs. The program also provided options for risk reduction, and a key selection of behaviors that support victims coming forward. *Note: Several of the above topics are included in compliance with NYS Education law and with the federal Jeanne Clery Disclosure of Campus Security Policy & Campus Crime Statistics Act.*
- **Men REACH** - Men for Relationship Education and Change is a volunteer group of professional men from a variety of Student Success units who are trained by the Coordinator of Sexual Assault Prevention and provide targeted programming for male students during Summer Planning Conference. Under the leadership of Dr. Monserrat, the group recruited undergraduate males who are interested in sexual assault prevention and willing to volunteer their time to help educate their peers. In addition, programming was extended to include offerings throughout the year. Overall, the 2011-2012 academic year proved to be one of increased visibility, renewed energy and creative programming for Men REACH. For example, they facilitated Man-2-Man programs, recruitment meetings, and

exhibit tabling at major athletic events. Their “Man Up Moments” interactive tabling and exhibit during Sexual Assault Awareness Month gave male and female students an opportunity to share information about moments when they were active bystander for others in need. This was achieved by having students write about the events on “fist bumps” that were displayed at various other venues throughout the month. Well over 150 students participated in this event and associated raffle for tickets to a professional baseball game.

- **Sexual Assault and Acquaintance Rape Prevention Programs** – workshops, exhibits, interactive theater performances, and special presentations endeavor to increase communication and understanding between college men and women about sexual violence, fostering positive gender relations and decreasing the occurrence of sexual assault.
- **Peer Educator/Leader Training Programs** – presentations to resident assistants, Middle Earth peer educators, Project SHAPE peers, and other student leadership groups in the context of academic credit-bearing courses or workshops on the topic of sexual assault, to ensure their basic understanding of what constitutes sexual assault, including the interface with alcohol; the judicial and legal consequences; what constitutes sexual consent; date rape drugs; factors most frequently involved in a sexual assault (e.g., alcohol, parties acquainted); impact of sexual assault; and their role in implementing the University’s response protocol.
- **Professional Staff Training Programs** – presentations offered to staff in order to insure that students have access to updated information Sexual Assault response and receive timely and sensitive victim services. Typically, the Department of Residential Life and other professional staff who have high student contact receive training on the sexual assault protocol.
- **Awareness Campaigns** – These programs and campaigns include the dissemination of information about sexual assault prevention and campus policies and procedures and include Fridge Magnet Campaigns, Teal Wristband Campaigns, the Webpage, brochures, Blast E-Mail notices, and postings such as S.T.A.H.L. News.

Alcohol and Other Drug Abuse Prevention Program

The Alcohol and Other Drug Abuse Prevention Program offers a range of programs which are summarized in the University at Albany document entitled, “**Campus-Wide Initiatives for the Prevention of Alcohol and Other Drug Abuse**”.

Middle Earth Peer Assistance Program

The Middle Earth Peer Assistance Program is staffed by undergraduate students who are trained by professionals to provide an array of psycho-educational programs including workshops and interactive theater presentations on sexual assault, relationship violence, and healthy relationships through its outreach education services. In addition, the Hotline Service is available to respond to calls from students from noon to midnight Monday-Thursday and 24 hours a day on Fridays and Saturdays, when classes are in session. Psychologists from the University Counseling Center provide backup services for this hotline when it is in operation. Students working on the Middle Earth hotline are supervised under the auspices of academic credit-bearing courses.

Sexuality Week offers programs addressing relevant and critical issues faced by college students related to the key themes of sexual and reproductive health, diversity in sexual orientation and gender identity, sexual assault, intimate partner abuse and stalking, RAD workshops, and other related presentations.

Sexual Health Promotion and Project SHAPE (Sexual Health and Peer Education)

The University Counseling Center's Sexual Health Promotion Program includes workshops and other educational activities about sexuality, relationships and communication. Also included is Project SHAPE, a group of undergraduate peer educators who are trained in an accredited sexuality course that covers sexual assault and present many of these programs. Sex Sense Week is sponsored by Project SHAPE, and the Sexual Assault Prevention program. Programs include "Loveline", and "Sex Talk: Communication with a Partner".

Grant Related Initiative- Project REACH

The University at Albany – University Counseling Center was awarded three year (2008-2011) U.S. Department of Justice, Office on Violence Against Women Campus Grant Initiative to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking. This grant funds Bystander Intervention Training for students throughout the year and sponsored regional conferences in 2010, 2011, and 2012. This grant is co-directed by Drs. Dolores Cimini and Estela Rivero of the University Counseling Center.

- **Conference: "Broadening the Lens: Responding to Sexual Assault on College Campuses"** - provided training for law enforcement personnel, prosecutors, medical personnel, advocates, campus responders, and other professionals from the campus and local community. One hundred thirty five individuals were in attendance and featured speakers included nationally recognized experts such as Christopher Mallios, Thomas Tremblay, and Michelle Garcia over a day and a half of presentations. This

conference was coordinated through the Project REACH Steering Committee and hosted by the Counseling Center.

- **Meetings: “Overview of Bystander Intervention and Creating Campus Change Through Diffusion of Innovation”**- provided information about bystander intervention and how staff can present these principles in a manner that prompts students to become engaged and energized to take positive steps to end power based sexual violence on campus. Nationally recognized speaker Dorothy Edwards discussed “Green Dot” strategies with students and staff in three presentations over a day and a half.
- **Bystander Intervention Programs** – Fourteen programs were offered to 827 students as part of the “STEP UP! UALBANY” initiative funded by a Dept. of Justice-Office of Violence Against Women Grant that educates students to be proactive in helping others when faced with problematic, risky, and concerning situations. Real-life scenarios involving examples of sexual and relationship violence, mental health concerns, or other risky behaviors are used to generate open, honest and non-judgmental discussions in order to empower students with the skills needed to intervene in both, emergency and non-emergency situations. Peer educators, such as Middle Earth and Project SHAPE members, as well as other student groups have been trained by Counseling Center staff.

University Police Department

Educational Programs

- **RAD - Rape Aggression Defense:** The Rape Aggression Defense System is a program of realistic, self-defense tactics and techniques. The R.A.D. System is a comprehensive course offered separately for women, men and children that begins with awareness, prevention, risk reduction and avoidance, while progressing to basic physical self-defense training.
- **Summer Planning Conference (SPC) Orientation** – provides general safety and security information for all incoming first year students, transfer and international students.
- **Personal Safety Program** – provides advice on how to make yourself a “bad victim;” risk reduction tips to decrease the likelihood of becoming a victim of sexual assault; basic self-defense options; and victim assistance information.
- **Emergency Response** - UPD responds to all manner of campus emergencies including crimes in progress and fires.
- **Student Escort Service** - Members of the Department as well as student volunteers provide escorts for students at night.
- **Community Liaison** - UPD maintains close relationships with local municipalities, neighborhood groups and police departments and provides appropriate assistance to them on request. Further, UPD helps coordinate assistance to student victims of off-campus crimes. This includes disseminating information to students about off-campus crime.
- **Blue Light Phones** - UPD is the answering point for well over 300 emergency telephones on campus.

Office of Personal Safety and Off Campus Affairs

The Office of Personal Safety and Off Campus Affairs has implemented the following initiatives and programs to enhance safety and promote the prevention of sexual assault:

- **Committee on University and Community Relations** – This award winning campus–community coalition has as one of its four major goals, the improvement of safety and security off campus for students.

- **Liaison to Community Groups** – For example, taking a leadership role with the Midtown Neighborhood Watch which also distributes safety messages.
- **Off Campus Late Night Safety Walk and Talks:** A collaborative with other community law enforcement agencies and colleges designed to establish relationships with students by going door to door and hand out safety information.
- **Don't Walk Alone**” this is a volunteer safety escort program.

Department of Athletics

- **Men Focused and Collaborative Programs** – The University Counseling Center and Men REACH facilitators trained by the Coordinator for Sexual Assault Prevention, have collaborated with the Department of Athletics to provide athlete-specific team programs designed to provide frank discussions with male athletes about sexual assault, sexual consent, and the leadership roles they can take as male student athletes in sexual assault prevention. In addition, Counseling Center staff offered two bystander intervention programs that were customized to reflect the specific
- **CHAMPS Life Skills Program** – The Department of Athletics supports large scale campus programs that are coordinated through the Coordinator for Sexual Assault Prevention (e.g., Can I Kiss You, presentation by Mike Domitrz, September 1, 2011) which dealt with issues of consent as it relates to sexual behavior, sexual assault, options for assisting in the prevention of sexual assault, resources available to victims and options for supporting those who come forward). While not mandated to attend all programs, student athletes receive study hall credit through the Department of Athletics sponsored CHAMPS Life Skills program.

Student Association

- **Office of Gender and Sexuality Concerns Director** – Co-sponsors sexual assault awareness events, collaborates on program development through active committee work and provides diversity in the representation of concerns that include issues related to women, men, transgendered individuals and the broad scope of the LGBTQ communities; collaborates with the Sexual Assault Resource Center in the planning, promotion and implementation of the campuses “Take Back the Night” program held each April during Sexual Assault Awareness Month.

Department of Residential Life

Key initiatives and programs within the Department of Residential Life include:

- Sponsoring of **Safety Awareness Week** and a variety of educational programs and awareness campaigns.
- Overseeing the **Women's Resource Center** which offers educational materials and programs for students in residence.

Department of Student Life

- Support for the dissemination of information about sexual assault prevention, including the announcement of student forums and educational programs, on the Office of Student Activities E-News web site.
- S.T.A.H.L. News (Students Talking About Healthy Lifestyles) – Appearing on bathroom stalls in the Campus Center, this news flyer contains useful prevention information for men and women as well as resources for assistance.

PUBLICATIONS ADDRESSING SEXUAL ASSAULT PREVENTION

- **“University at Albany Safety and Security”** information is available on-line at: <http://police.albany.edu/SRTK.asp/>. Included is information about reporting a crime or other emergency; building security; University Police Department enforcement authority; crime prevention programs; campus crime statistics; sexual harassment, rape, and sexual assault; and campus security programs and procedures. This document is in compliance with the federal Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act and is maintained by the University Police Department.
- **“What You Can Do If You or A Friend is Raped or Sexually Assaulted”** This pamphlet provides timely and detailed information about resources and options in the wake of a sexual assault in accord with the University’s response protocol and is distributed to all incoming first year, EOP students, transfer students and International students. It is also available on-line at www.albany.edu/counseling_centers/sarc/ and is widely available in many University offices. This document is updated regularly by the Coordinator of Sexual Assault Resources.
- Many other educational pamphlets such as **“Acquaintance Rape: What Everyone Should Know,” “Rohypnol: Facts About The Date Rape Drug,” “If You Are Raped: What Every Woman Needs to Know,” “If She Is Raped: A Guidebook for Husbands, Fathers and Male Friends,” “If He Is Raped: A Guidebook for Parents, Partners, Spouses, and Friends,”** and **“You Are Not Alone: A Guide for Battered Women”** are available through the University Counseling Center and are distributed in educational workshops and safety fair exhibits.

UNIVERSITY RESOURCES FOR VICTIM’S ASSISTANCE:

- The **“Coordinated Response to the Sexual Assault of Students” (hereinafter, the Sexual Assault Protocol)** was created by the cooperative efforts of the University Counseling Center, the President’s Task Force on Women’s Safety, the Office of Judicial Affairs, the University Police, the Albany Police, Albany County’s Crime Victim and Sexual Violence Center (formerly known as Albany County Rape Crisis Center) and the Albany County District Attorney’s Office. The Protocol details the University’s response to students reporting sexual assault to ensure that such students are offered timely information about resources, accommodations and reporting options.
The protocol is available at www.albany.edu/counseling_center/sarc/.

- **“What You Can Do If You Or A Friend Is Raped Or Sexually Assaulted”** Consistent with the University’s sexual assault response protocol (see above), this pamphlet for students provides detailed information about timely options and campus and community resources available to assist victims of sexual assault. This pamphlet is distributed to incoming students and is widely available in offices across campus. This information is also available at www.albany.edu/counseling_center/sarc/.
- The **“Coordinated Response to the Intimate Partner Abuse and Stalking of Students” (hereinafter, the IPA and Stalking Protocol)** was newly created by the cooperative efforts of a Subcommittee of members from the Presidential Advisory Council for the Prevention of Sexual Assault who, in collaboration with all the members of the “Council”, completed the policy. Consultation was sought from two outside experts: Bob Passonno, Coordinator, Criminal Justice Training Programs, NYS Office for the Prevention of Domestic Violence; and Rebecca Dreke, Senior Program Associate, Stalking Resource Center, National Center for Victims of Crime.
The coordinated response is available at www.albany.edu/counseling_center/sarc/ .
- The **Office of the Vice President for Student Success** provides coordination of resources and information when a student reports a sexual assault. This office also oversees the services and prevention programs provided by departments within the Division of Student Success.
- The **University Counseling Center’s** entire professional staff offers assessment, treatment and/or referral for students coping with the aftermath of sexual assault or abuse. The Center offers programs as follows:

The **Coordinator for Sexual Assault Prevention** serves as a resource for consultation, assessment, treatment and/or referral;

- provides campus-wide consultation and targeted training programs regarding the needs of sexual assault victims and the implementation of the Protocol to an array of University departments including especially those most likely to receive requests for assistance from students (e.g., Residential Life, University Police, University Health Center, and University Counseling Center, Conflict Resolution and Civic Responsibilities); and
- serves as a member of the Albany County Sexual Assault Resource Team (SART), a community based committee with representatives from key agencies in the community who provide serve as resources for University at Albany students (e.g., Crime Victim and Sexual Violence Center, Albany Medical Center’s Sexual Assault Examiner Program, Memorial Hospital, District Attorney’s Office, The Law Project, Albany Law School, Albany Police Department). Current issues, best practices in the field, and the effectiveness of referral pathways are discussed.

The **Sexual Assault Resources Webpage** provides critically important and time sensitive resource information for students who suspect they or a friend have been sexually assaulted. This page also outlines services that can provide for students, parents, faculty and staff in the aftermath of a sexual assault and the ability of the University Counseling center to provide an array of services.

The page is available at www.albany.edu/counseling_center/sarc/.

Liaison Program - designated University Counseling Center staff, trained in sexual assault response and crisis response procedures are assigned to each of the residential quadrangles, to Disabled Student Services and to the Department of Athletics as liaisons that can provide consultative assistance regarding the implementation of the sexual assault response protocol.

The **Middle Earth Hotline** provides peer assistance and referral options for students reporting sexual assault during the academic year. The hotline operates from noon-midnight Monday-Thursday, 24 hours a day on Friday and Saturday, and 12 am to midnight on Sundays when classes are in session.

Publications – In addition to the “What You Can Do if...Sexually Assaulted” pamphlet listed above, additional educational materials such as “If You Are Raped: What Every Woman Needs to Know”, “If She Is Raped: A Guidebook for Husbands, Fathers and Male Friends,” “If He Is Raped: A Guidebook for Parents, Partners, Spouses, and Friends,” and “You Are Not Alone: A Guide for Battered Women” are available through the Sexual Assault Resource Center and the University Counseling Center and are often given to clients and concerned third parties seeking assistance in the aftermath of a sexual assault or domestic violence incident.

- The **University Health Center** offers medical examination and treatment, including psychiatric consultation, emergency contraception and a full range of gynecological services.
- The **University Police Department** investigates reports of sexual assault and other crimes occurring on campus; they also can assist with referrals to local police agencies for off campus crimes reported to them.
- The **Office of Personal Safety and Off Campus Affairs** assists with the coordination of resources and services for victims of serious crime; this office often serves as a liaison with local police agencies.
- The **Office of Conflict Resolution and Civic Responsibility** provides campus adjudication procedures for alleged violations of campus regulations (e.g. including Sexual Assault I and II).

- The **Department of Residential Life and Housing** Staff is available for support and information about options in the wake of a sexual assault. The **Women's Resource Center** also maintains information about resources pertinent to women's concerns.
- The **Vice Provost for Undergraduate Studies** can assist with approving and arranging academic accommodations for students who have been sexually assaulted and temporarily unable to maintain their academic functioning.

Updated: July 1, 2012

APPENDIX B

Campus-Wide Initiatives for the Prevention of Alcohol and Other Drug Abuse 2011-2012 Academic Year

CAMPUS-WIDE INITIATIVES FOR THE PREVENTION OF ALCOHOL AND OTHER DRUG ABUSE

2011-2012 Academic Year

National Leadership in the Alcohol and Other Drug Prevention Field (Cumulative)

Student Involvement/Leadership

- The Middle Earth Peer Assistance Program continues to disseminate a public service media campaign addressing underage drinking at the University at Albany. The UAlbany PSA won a statewide competition in December 2006 and was subsequently adapted for use across the state under the auspices of the New York State Office of Alcoholism and Substance Abuse Services "Underage Drinking-Not a Minor Problem-College Edition" public service campaign, and this statewide PSA has continued to be disseminated locally, statewide, and nationally during the current year.
- The Middle Earth Peer Assistance Program of the University Counseling Center, Division of Student Success, received an Exemplary Substance Abuse Prevention Program Award from the U.S. Department of Health and Human Services Center for Substance Abuse Prevention (CSAP) in 2001. The award-winning program was selected by CSAP in collaboration with the National Association of State Alcohol and Drug Abuse Directors and the Community Anti-Drug Coalitions of America.
- The Middle Earth Peer Assistance Program of the University Counseling Center was nationally recognized by the U.S. Department of Education as one of six universities to be awarded the Alcohol and Other Drug Prevention Models on College Campuses grant (2000-2001). The goal of this funding competition is to identify innovative and effective alcohol and other drug (AOD) prevention programs and disseminate information about these programs to other colleges and universities across the country.

Social Norms Marketing Interventions

- As part of an innovative, evidence-based intervention strategy designed to address high-risk alcohol consumption, a grant program funded by the New York State Office of Alcoholism and Substance Abuse Services (OASAS) has allowed the University at Albany to implement a campus wide social norms marketing campaign designed to correct student misperceptions regarding the role and extent of alcohol use on campus.

Campus and Community Coalitions

- The Committee on University and Community Relations of the Office of Personal Safety and Off-Campus Affairs, University Police Department, was nationally recognized by the U.S. Department of Education as one of four universities to be awarded the Alcohol and Other Drug Prevention Models on College Campuses grant (2006-2011). The goal of this funding competition is to identify innovative and effective alcohol and other drug (AOD) prevention programs and disseminate information about these programs to other colleges and universities across the country.
- The University at Albany was awarded a grant from the New York State Office of Alcoholism and Substance Abuse Services and the Office of Juvenile Justice and Delinquency Prevention in 2004 to participate in the Healthy Campus and Community Demonstration Project. The primary goal of the project was to evaluate the effectiveness of a community-based social norms campaign on the attitudes and perceptions of long-term Albany residents who live in the Pine Hills and Beverwyck neighborhoods. Results from this project have been published in the October 2004 issue of the Report on Social Norms.
- The Committee on University and Community Relations, under the Office of Personal Safety and Off-Campus Affairs, University Police Department, has made an impact upon student access to AOD abuse prevention strategies, and has created an effective partnership with local tavern owners in order to eliminate drink specials, which promote high-risk drinking and its associated consequences. The committee, consisting of student leaders and professional staff from the University at Albany as well as other local colleges - specifically the College of Saint Rose, the Albany College of Pharmacy, the Albany Medical College and the Junior College of Albany/The Sage Colleges, officials from the Albany Police and Fire Departments as well as the Albany Common Council, neighborhood association representatives, community and religious leaders, tavern owners, landowners and representatives from the Empire State Restaurant and Tavern Association, the Albany and Rensselaer County Restaurant & Tavern Association and the New York State Division of Alcohol Beverage Control, is a recognized innovator in the area of Environmental Management strategies. The Committee is nationally recognized in the area of university-community coalitions for the prevention of alcohol misuse and underage drinking. Mr. Thomas Gebhardt, Chair of the Committee, published an article describing the committee's efforts entitled, "A Campus-community Coalition to Control Alcohol-related Problems Off Campus: An Environmental Management Case Study" Thomas L. Gebhardt, M.A.; Kimberly Kaphingst, M.S.; William DeJong, Ph.D., *Journal of American College Health*, March 2000. In addition to speaking nationally, regionally and locally he was a consultant for the "Campus/Community Partnership Initiative" of the Center to Prevent Alcohol-Related Problems Among Young People at Boston University's School of Public Health from September, 2004 to January, 2009.

Early Intervention

National Award for early Intervention Programs

- The University Counseling Center STEPS Comprehensive Alcohol Screening and Brief Intervention Program has earned six national awards in recognition of the effectiveness of the program in reducing alcohol use and associated negative consequences among University at Albany students. These awards include the following:
 - *2009 Grand Gold Medal for Excellence, National Association of Student Personnel Administrators*
 - *2009 Gold Award for Excellence in Health and Counseling Services, National Association of Student Personnel Administrators*
 - *2009 Grand Gold Medal for Excellence, National Association of Student Personnel Administrators*
 - *2009 Best Practices in College health Award, American College Health Association*
 - *2009 "Pioneer in Prevention" Exemplary Substance Abuse Prevention Award, National Association of State Alcohol and Drug Abuse Directors and Center for Substance Abuse Prevention, Substance Abuse and Mental Health Services Administration*
 - *2009 Science and Service Award, Substance Abuse and Mental Health Services Administration*

Early Intervention for At-Risk Students

- The University Counseling Center Alcohol and Other Drug Abuse Prevention Program, under the auspices of major grants from the U.S. Department of Education and the Substance Abuse and Mental Health Services Administration, is evaluating the effectiveness of the evidence-based *Brief Alcohol Screening Intervention for College Students* (BASICS) targeting four populations: first year students, student-athletes, members of fraternities and sororities, and students seeking health care services at the University Health Center. Each of these early interventions is tailored to population-specific needs.

Interventions for Mandated Students

- The Office of Conflict Resolution and Civic Responsibility and the University Counseling Center are examining the effectiveness of adaptations of the evidence-based *Brief Alcohol Screening Intervention for College Students* (BASICS) targeting students referred for alcohol policy violations.

National Involvement of University Professionals

- University at Albany psychologist M. Dolores Cimini has been appointed as a Center Fellow and member of a National Expert Panel by the U.S. Department of Education's Higher Education Center for Alcohol and Other Drug Abuse and Violence Prevention. Working as trainers, consultants, and evaluators, center associates represent a group of experts from around the country. Center Fellows help strengthen alcohol, other drug, and violence prevention efforts on U.S. College and university campuses.
- University Counseling Center and Office of Personal Safety staff members made professional conference presentations on Model Programs and Initiatives at meetings that included the National Meeting on Alcohol, Other Drug, and Violence Prevention in Higher Education.
- University at Albany psychologist M. Dolores Cimini was appointed by the U.S. Department of Education to the Planning Committee of its 22nd Annual National Meeting on Alcohol and Other Drug Abuse and Violence Prevention in Higher Education.

Collaborative Research/Publications

- The University at Albany was awarded the following grants totaling over 3 million dollars to address high-risk drinking and related negative consequences in our students:
 - *FY 2000 Alcohol and Other Drug Prevention Models on College Campuses Grant*, U.S. Department of Education - \$75,000
 - *Rapid Response to College Drinking Problems Grant*, National Institute on Alcohol Abuse and Alcoholism (NIAAA) - \$890,000
 - *Grant to Reduce High-Risk Drinking or Violent Behavior Among College Students*, U.S. Department of Education - \$294,601
 - *Targeted Capacity Enhancement Grant for Screening and Brief Intervention (SBI)*, Substance Abuse and Mental Health Services Administration (SAMHSA) - \$1,419,463
 - *Grant to Reduce High-Risk Drinking or Violent Behavior Among College Students*, U.S. Department of Education - \$298,266
 - *FY 2006 Alcohol and Other Drug Prevention Models on College Campuses Grant*, U.S. Department of Education - \$174,932
 - *Underage Drinking: Not a Minor Problem-College Edition PSA Development Grant*, New York State Office of Alcoholism and Substance Abuse Services - \$25,000
 - *FY 2009 Alcohol and Other Drug Prevention Models on College Campuses Grant*, U.S. Department of Education - \$220,000
- The STEPS Comprehensive Alcohol Screening and Brief Intervention Program has been designated as an Alcohol and Other Drug Prevention Model Program by the U.S. Department of Education. Using grant funds associated with this award, the Counseling Center aims to enhance and further evaluate a comprehensive Screening and Brief Intervention program,

based on the NIAAA Tier I Brief Alcohol Screening and Intervention for College Students (BASICS) model, to meet the unique needs of fraternity and sorority members enrolled at a large public university.

- Collaboration among faculty members and professional staff in the publication of articles in professional journals targeted to colleges and universities and the AOD abuse prevention area. Articles written in 2011-2011 have been accepted for publication in the Journal of Studies on Alcohol and Drugs, Addictive Behaviors, and the Journal of American College Health.

Major Initiatives

Presidential Leadership

- Openly and publicly acknowledging that alcohol abuse and underage drinking exist.
- Reaching out to campus, community, state-level, and national groups to develop and implement a comprehensive strategy for AOD abuse prevention.
- Taking an active stand on alcohol and other drug issues and conveying clear expectations and standards.
- Serving as a role model to students, faculty, and administrators as well as colleagues on a national scale.
- Making alcohol and other drug prevention a priority in the strategic plan for the institution.
- Supporting grant projects from a variety of sources, including the National Institute for Alcohol Abuse and Alcoholism (NIAAA), the Substance Abuse and Mental Health Services Administration (SAMHSA), and the U.S. Department of Education.

Campus Task Force on AOD Abuse and Related Risk Behaviors

- Commitment by the University President to expand the membership and scope of the newly-named President's Advisory Council on Alcohol Abuse and Related Risk Behaviors,
- Establishing as a priority the dissemination of best practices at local, state, and national conferences, with an identified commitment to participation in the U.S. Department of Education National Meeting on Alcohol and Other Drug Abuse and Violence Prevention in Higher Education.

Student Involvement/Leadership

- The Middle Earth Peer Assistance Program developed a public service media campaign addressing underage drinking at the University at Albany. The UAlbany PSA won a statewide competition in December 2006 and was subsequently adapted for use across the state under the auspices of the New York State Office of Alcoholism and Substance Abuse Services "Underage Drinking-Not a Minor Problem-College Edition" public service campaign. This statewide campaign has continued to be disseminated during the current year.

- Ongoing communication between student groups and the Addictive Behaviors Specialist concerning the nature and quality of prevention/intervention efforts on campus. (Includes several groups recognized by the Student Association, fraternities and sororities, and student-athletes).
- Planning and continued implementation of a major peer education program for all new students during the Summer Planning Conference (freshman orientation). This program was developed based on a leading empirical model in the field of prevention. Results indicate this peer-based interactive theater-focused strategy has been effective in decreasing reports of high-risk drinking behavior and in increasing reports of protective behaviors among participants. A full program description has been published in a research article and has been disseminated to Institutions of Higher Education (IHE's) nationally through the external support of a \$100,324 Model Program in Alcohol Prevention grant awarded by the U.S. Department of Education.
- Presentation of prevention programming by the Middle Earth Peer Assistance Program within the University community on alcohol and other drug use among students, as well as topics related to health promotion including healthy relationships, prevention of depression and anxiety, stress management, and multicultural values and awareness.
- Working with fraternity and sorority leadership to provide ongoing educational and social norms programming in the area of alcohol and other drug abuse prevention.
- Co-sponsorship of non alcohol-related social and recreational activities with the Coordinator of Fraternity and Sorority Affairs and individual fraternity and sorority chapters.

Social Norms Marketing Interventions

- Development, continued implementation, and evaluation of evidence-based comprehensive campus-wide and student-athlete specific social norms media campaigns.
- Development of a continued implementation strategy for a Healthy Campus Community Social Norms Project involving the University and neighbors in the Beverwyck and Pine Hills communities (funded by the New York State Office of Alcoholism and Substance Abuse Services and the Office of Juvenile Justice and Delinquency Prevention).

Campus & Community Coalitions

- Development and implementation of a campus committee to serve as a liaison with the Albany community and local tavern owners in addressing issues related to alcohol/substance use off campus. Committee initiatives include:
 - Distribution of a "14-Point Plan" that includes an explanation of the consequences of hosting parties with alcohol, information tables in the freshman residence halls and increased Albany Police Department presence in neighborhoods the week before classes begin.

- Annual promulgation of the Tavern [Owner] Advertisement Agreement and continued expansion of the list of participating taverns
- Annual renewal of the University's protocol with the Albany Police Department regarding student house parties, and follow up of parties as they are reported by the Albany Police and/or to the Office of Personal Safety and Off-Campus Success hotline
- Involvement by the Albany Police Department in education and intervention with students hosting parties in the Albany community
- Participation by a number of University representatives in the Capital District Regional College Consortium on Alcohol and Other Drugs, which serves as a network for prevention, education, and treatment initiatives among local colleges and service agencies in the Capital District area.

Restriction of Alcohol Marketing and Promotion

- Prohibition of the marketing or advertising of alcohol by local bars on campus through University policy.
- Aggressive elimination of local bar advertisement literature posted in and around University lecture centers and on Campus Center cafeteria tables.

Alcohol-Free Options

- Offering of non alcohol-related social and recreational activities by Residential Life staff, Student Involvement and Leadership staff, the University Police Department, and the Department of Athletics staff. These include:
 - Weeknight and weekend social events, such as "Danes after Dark", offering live music, comedy and other entertainment.
 - "Open Mike" music nights, where students could perform music, comedy and other entertainments.
 - Game show nights and Movie Nights in the residential communities.
 - Sporting events, concerts and theater in the local community.
 - Structured weekend trips to New York City and Boston plays, ski trips, rafting, and other activities.

Education

- Ongoing educational and social norms programming in the area of alcohol and substance abuse prevention to academic classes, residence halls, and for student groups.
- Presentations on topics related to alcohol and substance abuse prevention at programs for students and parents new to the University, including the Summer Planning Conference (for all incoming freshmen) and during Transfer Student Orientation.

- Collaboration with Fraternity and Sorority Affairs Coordinator on "Greek Life 101" seminars to address issues of alcohol abuse, violence, and hazing. These seminars are presented to all new pledges.
- Participation in National Collegiate Alcohol Awareness Week programming, including workshops and exhibits. Keynote speakers have included Judge Mitch Crane, Mark Sterner, comedian Jim Snack, University professional staff and faculty members, local professionals in the areas of substance abuse prevention and treatment, and presentations by students.
- Integration of information relating to alcohol/substance abuse with other workshop topics, such as:
 - alcohol and HIV/AIDS
 - alcohol and culture
 - alcohol and women
 - alcohol, other drug use, and relationship violence
 - campus drinking norms and the social climate
 - alcohol use and gambling issues
- Implementation of awareness campaigns concerning marijuana, non-medical prescription drug use, rohypnol, GHB, ecstasy, and other designer drugs.
- Participation in "Don't Drink & Drive" advertising campaigns.
- Training of peer educators, resident assistants, and undergraduate hotline counselors in the area of alcohol and substance abuse prevention and education strategies.
- Training of University faculty and professional staff in the area of social norms marketing and alcohol and drug prevention (Presentation by Dr. H. Wesley Perkins).
- Training of University professional staff in the area of alcohol and substance abuse.
- Collaboration by the Department of Residential Life on major AOD prevention initiatives on campus, including data collection and implementation of the social normative strategy to reduce excessive drinking, sponsoring and presenting educational programming, and providing alternative events for residents (e.g. coffee houses; poetry nights).
- Development and implementation of campus-wide AOD abuse prevention initiatives by the Addictive Behaviors Specialist based within the University Counseling Center.

Early Intervention

Early Intervention for At-Risk Students

- The University Counseling Center STEPS Comprehensive Alcohol Screening and Brief Intervention Program has earned six national awards in recognition of the effectiveness of the program in reducing alcohol use and associated negative consequences among University at Albany students. These awards include the following:
 - *2009 Grand Gold Medal for Excellence, National Association of Student Personnel Administrators*
 - *2009 Gold Award for Excellence in Health and Counseling Services, National Association of Student Personnel Administrators*
 - *2009 Grand Gold Medal for Excellence, National Association of Student Personnel Administrators*
 - *2009 Best Practices in College Health Award, American College Health Association*
 - *2009 "Pioneer in Prevention" Exemplary Substance Abuse Prevention Award, National Association of State Alcohol and Drug Abuse Directors and Center for Substance Abuse Prevention, Substance Abuse and Mental Health Services Administration*
 - *2009 Science and Service Award, Substance Abuse and Mental Health Services Administration*
- Implementation and evaluation of evidence-based Screening and brief Intervention (SBI) services provided by the University Counseling Center and target a variety of high risk behaviors often associated with drinking. These preventative interventions target first year students, student-athletes, fraternity and sorority members, and students who seek health-related services at the University Health Center. The ancillary risky behaviors addressed are linked to current alcohol use and the potential for compromising a college student's health and safety.
- Development of a campus-community referral network for students with alcohol/substance abuse concerns and issues.

Interventions for Mandated Students

- Implementation and adaptation of an adapted BASICS intervention with students mandated for University alcohol policy violations.

Policy Evaluation and Enforcement

- Development of a University at Albany *Good Samaritan 911 Policy* to support students who seek assistance in the case of a medical emergency, either for themselves or for other students.
- Consistent provision of timely and effective adjudication by the Office of Conflict Resolution and Civic Responsibility and Department of Residential Life of alcohol related incidents among students.
- Referral of students who violate University alcohol or other drug policies/community standards by the Office of Conflict Resolution and Civic Responsibility to the University Counseling Center's BASICS program.
- Referral of students who violate alcohol policies to the Office of Conflict Resolution and Community Responsibility and/or the University Police Department or local police agencies as appropriate.
- Development and dissemination of materials concerning drinking laws and the penalties associated with fake or altered identification.
- Regular meetings with Student Success staff and the Interfraternity Council (IFC) and its members to discuss the IFC alcohol policy.
- Development, dissemination, evaluation and revision of alcohol policies by the Division of Student Success and the Office of Human Resources.
- Development and enforcement of alcohol policies by self-regulating student groups such as the Interfraternity Council, Pan Hellenic Association, and fraternity and sorority organizations.
- Establishment of quadrangle-based liaison programs with the University Police Department and University Counseling Center.
- Training of University Police Department officers in the operation of alcohol detection equipment and recognition of impaired operation of vehicles.
- Training of University staff in the recognition of and intervention for students under the influence of alcohol or other drugs.

Parental Involvement

- Enlisting "Parents as Partners" in the University alcohol abuse prevention campaign through the development and dissemination of materials describing (1) the actual alcohol use rates by students (part of the University's social normative campaign), and (2) how parents can play an active role in the prevention of substance abuse.

- Participation by parents of incoming first year students in a “Transitions” orientation program addressing accurate norms and rates of alcohol use by University at Albany students and outlining strategies for discussing parental expectations around academic performance and alcohol and other drug prevention.

Treatment and Referral

- Enhancement of a referral network for students with alcohol/substance abuse concerns and issues.
- Assessment, treatment, and referral of students who need treatment for alcohol and other drug concerns by the University Counseling Center.
- Scheduling and technical assistance to Alcoholics Anonymous (AA) groups on and off campus.

Research

- Re-administration in Spring 2012 of the *UAlbany Student Health Survey*. Students were administered the survey from a stratified random sample of classrooms selected under the guidance of the University’s Office of Institutional Research, Planning, and Effectiveness. This research is part of a campus and national effort to assess college health factors impacting academic performance, retention and campus life. Results of the survey are currently being used to generate incidence rates of student’s behavior and perceptions. This data will help to plan programs, prioritize campus needs, allocate resources, design strategies for intervention, and identify protective and risk factors associated with academic performance.
- Ongoing evaluation of AOD abuse prevention initiatives, including the social norms media campaign, campus presentations and events, and Middle Earth Players programs.
- Evaluation of the STEPS/BASICS program, including the collection of prevalence data, assessment of student AOD perceptions and attitudes, the collection of feedback from participants regarding their experience in the program, and outcome evaluation (including changes in substance use and decrease in the occurrence of negative consequences associated with substance use).
- Collaboration with faculty members in the publication of articles in professional journals targeted to colleges and universities and the AOD abuse prevention area. Articles written in 2009-2011 have been accepted for publication or have been published in the Journal of Studies on Alcohol and Drugs, Alcohol Dependence, and the Journal of American College Health.

Grant Activities

During the 2011-2012 academic year, the University Counseling Center implemented the following grants to address high-risk drinking and related risk behaviors in several sub-populations of University at Albany students:

- **Evaluating the Effects of Screening and Brief Intervention for Cannabis and Non-Medical Prescription Drug Use among College Students (Faculty Research Award)**
The purpose of this study is to implement and evaluate a comprehensive Screening and Brief Intervention program, based on the NIAAA Tier I Brief Alcohol Screening and Intervention for College Students (BASICS) model, to meet the unique needs of students using cannabis and other illegal drugs.
Funder: Davison for Research, University at Albany, SUNY
Award Amount: \$3,960 **Project Dates:** 5/1/12-4/30/13
- **Expanding Evaluation Capacity for the STEPS Comprehensive Alcohol Screening and Brief Intervention Program**
The purpose of this study is to enhance and further evaluate a comprehensive Screening and Brief Intervention program, based on the NIAAA Tier I Brief Alcohol Screening and Intervention for College Students (BASICS) model, to meet the unique needs of students mandated to the University judicial system for alcohol policy violations at a large public university.
Funder: Center for Substance Abuse Prevention, Substance Abuse and Mental Health Services Administration, U.S. Department of Health and Human Services (SAMHSA-CSAP)
Award Amount: \$30,000 **Project Dates:** 2/1/11-9/30/12
- **Examining the Efficacy of Alcohol Screening and Brief Intervention within University-based Health Care Settings (K23 Mentored Patient -Oriented Career Development Award for Dr. M. Dolores Cimini)**
The aim of this project is to examine the efficacy and cost-effectiveness of the delivery of alcohol screening and brief intervention in a variety of delivery formats in reducing alcohol use and related negative consequences in a target population of undergraduate and graduate students seeking services at university-based primary medical and mental health care settings. The specific aims of this research will be accomplished in the context of a longitudinal randomized controlled trial (RCT) using a between-group design to assess short-term and longer-term efficacy of BASICS, e-Chug, and personalized drinking feedback-only in reducing alcohol use and associated negative consequences. Emphasis will be placed on the evaluation of moderators and mediators of treatment efficacy, and will evaluate patterns of and changes in participant academic performance and retention across time for study participants.
Funder: National Institute on Alcohol Abuse and Alcoholism (NIAAA)
Award Amount: \$736,000 **Project Dates:** 10/1/10-9/30/15
- **The STEPS Comprehensive Alcohol Screening and Brief Intervention Program**
The purpose of this study is to enhance and further evaluate a comprehensive Screening and Brief Intervention program, based on the NIAAA Tier I Brief Alcohol Screening and Intervention for College Students (BASICS) model, to meet the unique needs of fraternity and sorority members enrolled at a large public university. Through this grant, the STEPS Program was also recognized as a national Model Alcohol and Other Drug Abuse Prevention Program.

Funder: U.S. Department of Education

Award Amount: \$220,235

Project Dates: 7/1/09-6/31/10

The science-based interventions implemented in the above projects have been developed and rigorously researched and designated as model strategies. For each of the above projects, a primary goal involves the institutionalization of effective program components and dissemination of information concerning the effective components of each project to Institutions of Higher Education at a local, state, and national level.

Updated August 15, 2012 by M. Dolores Cimini, Ph.D.