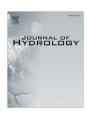
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# The AMMA-CATCH Gourma observatory site in Mali: Relating climatic variations to changes in vegetation, surface hydrology, fluxes and natural resources

E. Mougin <sup>a,\*</sup>, P. Hiernaux <sup>a</sup>, L. Kergoat <sup>a</sup>, M. Grippa <sup>a</sup>, P. de Rosnay <sup>a</sup>, F. Timouk <sup>a</sup>, V. Le Dantec <sup>a</sup>, V. Demarez <sup>a</sup>, F. Lavenu <sup>a,1</sup>, M. Arjounin <sup>b</sup>, T. Lebel <sup>b</sup>, N. Soumaguel <sup>c</sup>, E. Ceschia <sup>a</sup>, B. Mougenot <sup>a</sup>, F. Baup <sup>a</sup>, F. Frappart <sup>a</sup>, P.L. Frison <sup>d</sup>, J. Gardelle <sup>a</sup>, C. Gruhier <sup>a</sup>, L. Jarlan <sup>a</sup>, S. Mangiarotti <sup>h,i,j,k</sup>, B. Sanou <sup>a</sup>, Y. Tracol <sup>e</sup>, F. Guichard <sup>f</sup>, V. Trichon <sup>g</sup>, L. Diarra <sup>1</sup>, A. Soumaré <sup>1</sup>, M. Koité <sup>m</sup>, F. Dembélé <sup>n</sup>, C. Lloyd <sup>o</sup>, N.P. Hanan <sup>p</sup>, C. Damesin <sup>q</sup>, C. Delon <sup>r</sup>, D. Serça <sup>r</sup>, C. Galy-Lacaux <sup>r</sup>, J. Seghieri <sup>s</sup>, S. Becerra <sup>h,i,j,k</sup>, H. Dia <sup>h,i,j,k</sup>, F. Gangneron <sup>h,i,j,k</sup>, P. Mazzega <sup>h,i,j,k</sup>

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## SUMMARY

The Gourma site in Mali is one of the three instrumented meso-scale sites deployed in West-Africa as part of the African Monsoon Multi-disciplinary Analysis (AMMA) project. Located both in the Sahelian zone sensu stricto, and in the Saharo–Sahelian transition zone, the Gourma meso-scale window is the northernmost site of the AMMA-CATCH observatory reached by the West African Monsoon.

The experimental strategy includes deployment of a variety of instruments, from local to meso-scale, dedicated to monitoring and documentation of the major variables characterizing the climate forcing, and the spatio-temporal variability of surface processes and state variables such as vegetation mass, leaf area index (LAI), soil moisture and surface fluxes. This paper describes the Gourma site, its associated instrumental network and the research activities that have been carried out since 1984. In the AMMA project, emphasis is put on the relations between climate, vegetation and surface fluxes. However, the Gourma site is also important for development and validation of satellite products, mainly due to the existence of large and relatively homogeneous surfaces. The social dimension of the water resource uses and governance is also briefly analyzed, relying on field enquiry and interviews.

The climate of the Gourma region is semi-arid, daytime air temperatures are always high and annual rainfall amounts exhibit strong inter-annual and seasonal variations. Measurements sites organized along a north-south transect reveal sharp gradients in surface albedo, net radiation, vegetation production, and distribution of plant functional types. However, at any point along the gradient, surface energy budget, soil moisture and vegetation growth contrast between two main types of soil surfaces and hydrologic systems. On the one hand, sandy soils with high water infiltration rates and limited run-off support almost continuous herbaceous vegetation with scattered woody plants. On the other hand, water infiltra-

<sup>&</sup>lt;sup>a</sup> Centre d'Etudes Spatiales de la Biosphère, UMR 5126 (CNRS/CNES/IRD/UPS), 18 Avenue Edouard Belin, 31401 Toulouse Cedex 4, France

<sup>&</sup>lt;sup>b</sup> Laboratoire de Tranferts en Hydrologie et Environnement, UMR 5564 (CNRS/UJF/IRD/INPG) BP 53, 38041 Grenoble Cedex 9, France

<sup>&</sup>lt;sup>c</sup> Centre IRD, Quartier Hippodrome, BP 2528, Bamako, Mali

<sup>&</sup>lt;sup>d</sup> Laboratoire des Géomatériaux, Université Marne-la-Vallée, France

<sup>&</sup>lt;sup>e</sup> Centro de Estudios Avanzados en Zonas Aridas, Casilla 599, colina El Pino s/n La Serena, Chile

<sup>&</sup>lt;sup>f</sup> CNRM-GAME (CNRS/Meteo-France), Toulouse, France

E Laboratoire d'Ecologie Fonctionnelle, ECOLAB, UMR 5245 (CNRS/UPS/INPT), Université Paul Sabatier, 118 Route de Narbonne, 31062 Toulouse Cedex 9, France

h Laboratoire des Mécanismes et Transferts en Géologie, UMR 5563 (CNRS/IRD/UPS), 14 Avenue Edouard Belin, 31400 Toulouse Cedex 4, France

<sup>&</sup>lt;sup>i</sup> Université de Toulouse, UPS (OMP), LMTG, 14 Av Edouard Belin, F-31400 Toulouse, France

<sup>&</sup>lt;sup>j</sup> CNRS, LMTG, F-31400 Toulouse, France

k IRD, LMTG, F-31400 Toulouse, France

<sup>&</sup>lt;sup>1</sup>Institut d'Economie Rurale, BP 258 – rue Mohamed V, Bamako, Mali

<sup>&</sup>lt;sup>m</sup> Direction Nationale de la Météorologie, Bamako, Mali

<sup>&</sup>lt;sup>n</sup> Institut Polytechnique Rural, Katibougou, Mali

<sup>°</sup> Centre of Ecology and Hydrology, Crowmarsh Gifford, Wallingford OX10 8BB, UK

P Natural Resource Ecology Laboratory, Colorado State University, Fort Collins, CO 80523, USA

<sup>&</sup>lt;sup>q</sup> Ecologie Systématique et Evolution, UMR 8079 (CNRS/U. Paris-Sud), Université Paris-Sud, 91405 Orsay, France

<sup>&</sup>lt;sup>r</sup>Laboratoire d'Aérologie, UMR 5560 (CNRS/UPS), 14 Avenue Edouard Belin, 31400 Toulouse, France

<sup>5</sup> Hydro Sciences Montpellier (HSM), UMR N° 5569 (CNRS/IRD/UM1/UM2) Université Montpellier 2, Case MSE Place Eugène Bataillon, 34095 Montpellier, France

<sup>\*</sup> Corresponding author. Tel.: +33 5 61 55 66 69; fax: +33 5 61 55 85 00. E-mail address: eric.mougin@cesbio.cnes.fr (E. Mougin).

<sup>&</sup>lt;sup>1</sup> Deceased on 2007, February 10.

tion is poor on shallow soils, and vegetation is sparse and discontinuous, with more concentrated run-off that ends in pools or low lands within structured endorheic watersheds.

Land surface in the Gourma is characterized by rapid response to climate variability, strong intra-seasonal, seasonal and inter-annual variations in vegetation growth, soil moisture and energy balance. Despite the multi-decadal drought, which still persists, ponds and lakes have increased, the grass cover has largely recovered, and there are signs of increased tree cover at least in the low lands.

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#### Introduction

From the late 1960s, the Sahelian region has experienced chronic below average annual rainfall although a return to wetter conditions has been observed in the last two decades in parts of West-Africa (Lebel et al., 2009). The long dry period has been punctuated by major droughts that occurred throughout out the Sahel in 1972-1973 and in 1983-1984 with dramatic consequences on water resources and on vegetation cover that triggered soil erosion and massive losses in livestock and aggravated population poverty. Although it is by no means the first arid episode in historical period and during the Holocene (Brooks, 2006), the situation differs by the density of human population and intensity of natural resource use that could aggravate the effect of aridity. This is particularly true in agro-pastoral areas in the Sahel where the growth of population is high and where changes in land cover and soil degradation due to changes in land use, are problematic. Purely pastoral areas of the Sahel suffer less from man induced effects due to a lower population density, and a relatively small impact of grazing compared to clearing land for crops. The changes in vegetation and hydrology observed in the pastoral areas are thus likely more due to climate variation and its effect on hydrological and ecological processes than to land use. Yet, observations made in this region since the early 20th century have given rise to theories about anthropogenic induced degradation effects by overgrazing and over-exploitation, finally leading to drought and desertification (Stebbing, 1935; Charney et al., 1975). More recent field and remote sensing observations have questioned these views and the relative responsibility of climate variation and land use changes on the ecosystem production and climate (Giannini et al., 2008 and references therein).

The response at different temporal scales of vegetation and hydrologic systems to chronic (multi-year) dry conditions and acute (single year) droughts experienced in the Sahel remain questioned. Is the Sahelian ecosystem resilient? Are there trends towards definitive aridification? Related to these question is a continuing controversy on the interpretation given to the inter-annual variations in rain use efficiency indices either calculated from field data, or from satellite data using NDVI statistics and rain estimates (Tucker et al., 1991; Prince, 1991; Olsson et al., 2005; Anyamba and Tucker, 2005; Hein and de Ridder, 2006; Prince et al., 2007; Heumann et al., 2007). In addition, consequences of such changes on the interaction between the surface and the atmosphere still need to be assessed with the support of in situ data, very scarce in the Sahel. Moreover, possible thresholds and discontinuities in the dynamics of the ecosystem response to climate changes are expected to be revealed by sampling along the Sahel eco-climatic gradient to the transition with the hyper-arid Sahara desert to the north.

The African Monsoon Multi-disciplinary Analysis (AMMA)-Couplage de l'Atmosphère Tropicale et du Cycle Hydrologique (CATCH) site in the Gourma region, in Mali, samples the northern edge of the West African Monsoon (WAM) domain. The site is thus well situated to witness ecosystem changes and related changes in the WAM system. The Gourma region has indeed recorded ex-

tremes in the droughts of 1972-1973 and again 1983-1984, with severe impact on vegetation, crops, livestock and the population (de Leeuw et al., 1992). First observations of drought impacts on vegetation and soils of the Gourma region were reported over a few sites in 1972 (Boudet, 1972) and the sites revisited a few years later (Boudet 1977). The impact of the 1983-1984 droughts on rangeland resources was measured on a set of 25 rangeland sites that included some of the sites described by Boudet (Hiernaux, 1984). These sites were selected to sample the north-south bioclimatic gradient, the main vegetation and soil types and a range of grazing intensity, and were regularly monitored until 1995 and more irregularly studied till 2001. The monitoring of these study sites was intensified from 2002 onwards under the AMMA project (Redelsperger et al., 2006) with additional instrumentation at selected sites. The current activities focus on the relationships between climate variability, at different temporal scales, and the main surface processes related to vegetation, hydrology and fluxes. In particular, these studies address a critical need for improved documentation and understanding of the long term trends in vegetation in response to climate change. Studies conducted over the Gourma site also complement those performed at the second AMMA-CATCH Sahelian site located in the agro-pastoral region in southern Sahel (Cappelaere et al., 2009).

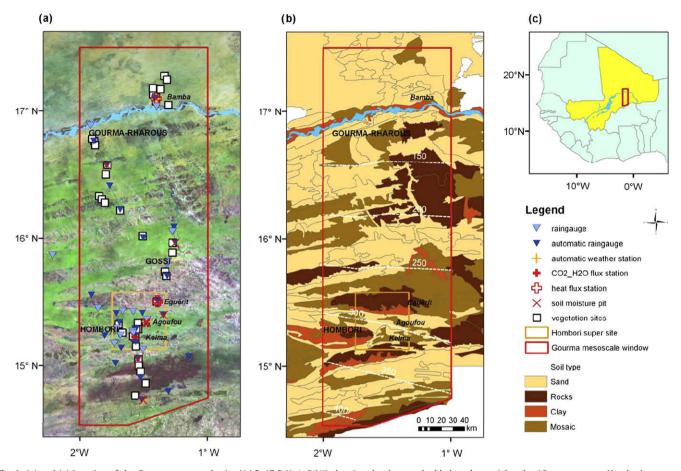
The present paper aims to describe the research activities carried out at the AMMA-CATCH site in Mali. Firstly, the general characteristics of climate, soil, surface hydrology, vegetation, population and livelihoods in the Gourma site are presented. Secondly, the observation strategy and the associated networks of instrument and monitoring sites are described. Third, main results are summarized with special emphasis on the specific hydrological, physical and ecological processes that prevail at the northern edge of the WAM. The strong seasonal and inter-annual dynamics of the ecosystem are highlighted and long term trends are outlined. Initial findings on the social dimension of water resource are summarized, focusing local social vulnerability to water-related risks, water management practices and the environmental public policy that reflect the effectiveness of the climate change agenda in Mali.

## The Gourma site

Location

The northernmost AMMA-CATCH site is located in the Gourma region which stretches from the loop of the Niger River southward down to the border region with Burkina-Faso. The meso-scale site also extends in the Haoussa region, to the north of the Niger River (Fig. 1). The study considers staggered scales in three embedded windows. From meso-scale to local scale, these windows are:

– The Gourma meso-scale site (Fig. 1), a  $1^{\circ} \times 3^{\circ}$  area (40,000 km²) in the centre of the Gourma region; it extends over the Sahelian bioclimatic gradient from Southern Sahel to the Sahel–Sahara transition. Thirty seven local monitoring sites, each  $1 \times 1$  km in size, are distributed along the bioclimatic gradient in three groups: Northern, Central and Southern Sahel. The main soil



**Fig. 1.** (a) and (c) Location of the Gourma meso-scale site (14.5–17.5°N, 1–2°W) showing the three embedded study spatial scales (Gourma meso-, Hombori super- and Agoufou, Eguerit and Kelma local-sites) superimposed on a Landsat false colour composite image. The figure shows the instrument and vegetation monitoring site networks. Green coloured surfaces correspond to savanna vegetation on sandy soils. Pink surfaces correspond to rocky and gravely surfaces like lateritic pans. Fine textured loamy soils are associated to white surfaces. (b) dominant soil types at meso-scale. Also indicated are the mean isohyets estimated during 1970–1989 period (Frappart et al., 2009). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

types are sampled within each group and a range of grazing pressure status (from light to intensively grazed) is sampled among the sandy soil sites.

- The Hombori super-site (Fig. 2), a  $50 \times 50$  km area (2500 km²) which extends over the central Sahelian bioclimate, at mid latitude within the meso-scale site (15.58–15.13°N; 1.75–1.33°W); the super-site includes nine of the 37 monitoring sites on an array of soil types that is representative of soils in the whole meso-scale site.
- Three local sites (1 km²), namely Agoufou (15.34°N, 1.48°W), (Fig. 3), Eguerit (15.50°N, 1.40°W) and Kelma (15.22°N, 1.56°W), representative of the three main substrates within the super-site, are more instrumented and more frequently monitored than the other sites.

#### Climate

Located towards the northern limit of the area reached by the West African Monsoon, the region experiences a single rainy season with most precipitation falling between late June and mid September. Over the 1950–2007 period, mean annual rainfall was 372 mm at the Hombori meteorological station (15.3°N, 1.7°W). The rainy season is followed by a long dry season of  $\sim$ 8 months in the south increasing to  $\sim$ 10 months in the north. As elsewhere in the Sahel, the Gourma site experienced a long drought which began in the late 1960s until the end of the 1980s. More average rainfall conditions have been observed since the 1990s (Fig. 4a). Mean

air temperature recorded at Hombori is  $30.2\,^{\circ}$ C. The highest monthly value is observed in May (42  $^{\circ}$ C) whereas the lowest one occurs in January (17.1  $^{\circ}$ C).

#### Geology, topography and soils

The underlying geology of the Gourma region includes Precambrian sandstones and schists eroded into a peneplain surface with occasional plateaus of hard sandstones that have resisted erosion. The Gourma peneplain is at between 250 and 330 m altitude with highest isolated sandstone buttes reaching 900–1100 m. The eroded and exposed peneplain surfaces are locally capped by iron pan formed during the humid period of the Quaternary, but larger areas of the region are covered by deep and stabilized sand dunes deposited during arid periods. Besides these two major landforms, and also inherited from the humid periods of the Quaternary, remnants of alluvial systems and lacustrine depressions, can be observed.

Using visual interpretation of a colour composite of Landsat Thematic Mapper (TM) data, field observations over the Gourma site, and by supervised classification of SPOT-4 images, nine types of soil substrate are identified including: rock outcrops (schist or sandstones), soils covered by gravels or iron pan, sand dune (bare or fixed), sandy plain, sand sheet, loamy sands, loamy flats, clay plains and flooded depressions. At meso-scale, most land units are mosaics of up to four soil types. However, based on water infiltration and fertility properties, soils types can be gathered into

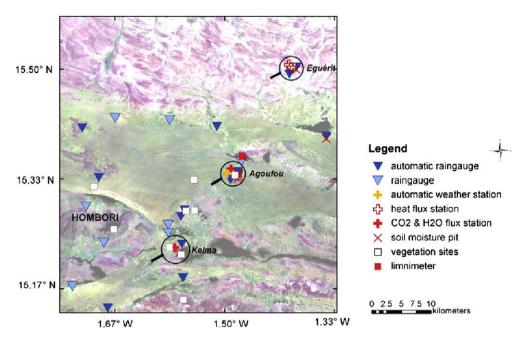


Fig. 2. Location of the instrument network and vegetation monitoring sites at the Hombori super-site (15.58–15.13°N, 1.75–1.33°W).

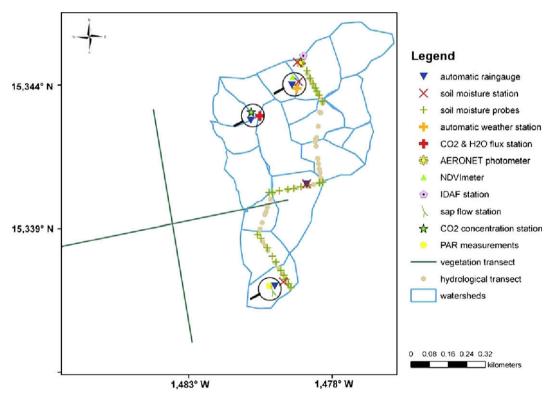
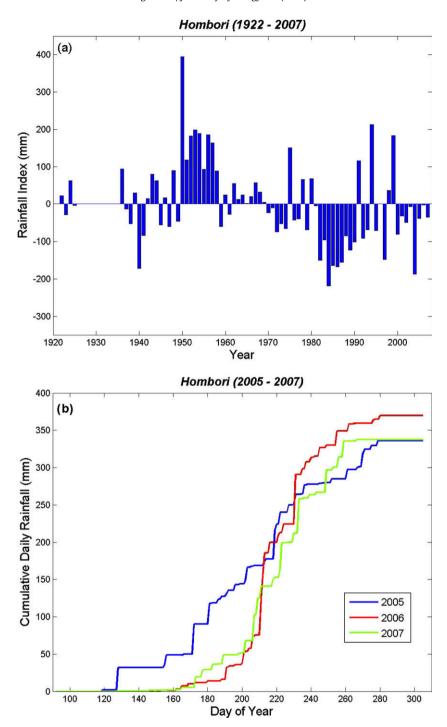


Fig. 3. Location of the instrument network at the Agoufou local site (15.3°N, 1.5°W).

three main categories: sandy soils extending over 58% of the area, shallow soils on rock and hard pan outcrops extending on 23.3% and fine textured soils in low lands on 18.7%. In the meso-scale map, the land units (Fig. 1b) have been attributed the dominant (area >66%) category and left to mosaic otherwise. The three main soil categories extend on similar proportion of the land within the Hombori super-site, with 53.9%, 29.6% and 16.4% for sandy, shal-

low and fine textured soils, respectively. As at meso-scale, predominantly sandy or shallow soils distribute in large alternant swaths of contrasted land cover (Table 1), also contrasting with the land cover of the lowland fine textured soils that form a web of narrow bands often slotted in between sandy and shallow soils (Fig. 5). Soil texture for the three local sites (Agoufou, Kelma and Eguerit) is indicated in Table 2.



**Fig. 4.** Long term rainfall anomalies at the Hombori meteorological station: (a) 1920–2007 period (mean = 373 mm). The anomaly is calculated as the difference between the total amount of the year under consideration and the long term annual mean. (b) Cumulative daily rainfall at Hombori during EOP (2005–2007).

## Surface hydrology

Although the Niger River across northern sector of the Gourma meso-scale site from west to east at 17° latitude N (Fig. 1a), the Gourma is globally endorheic, contributes little water to, nor receives water from, the Niger River. Two hydrologic systems characterize the Gourma region. On sandy soils (58% of the total surface), hydrologic systems are endorheic operating at short distance from dune slopes to inter-dune depressions within small adjacent catchments. On the shallow soils and low land fine textured soils (42%), endorheic systems operate over much larger distances with concentrated run-off feeding a structured web of rills ending in one

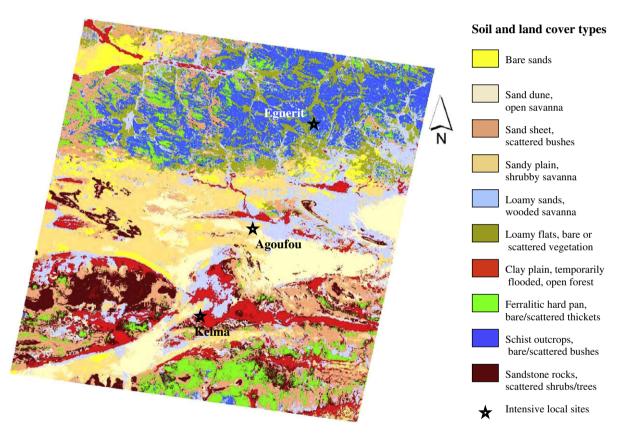
or several interconnected pools. Among them most are temporary ponds but there are a few permanent lakes such as Agoufou and Gossi, this later being the largest within the Gourma site. Away from the Niger River, these ponds or lakes and the local shallow water tables supplied by some of them are the major water resources for the Gourma population and their livestock.

## Land cover, vegetation and land use

As elsewhere in the Sahel, the vegetation of the Gourma comprises a herbaceous layer almost exclusively composed of annual plants, among which grasses dominate, and scattered bushes,

Table 1
Characteristics of the land cover types mapped over the Hombori super-site: relative areas (%) of bare soil patches, of canopy cover by woody plants and area cropped, and indication of main woody plant species encountered.

Land cover types	Bare soil patches (%)	Woody plant cover (%)	Dominant woody species	Cropped field area (%)
Bare sands	>80	<0.5	Leptadenia pyrotechnica, Balanites aegyptiaca, Acacia raddiana	0
Sand dune	<20	2-10	Acacia raddiana, Combretum glutinosum, Balanites aegyptiaca, Acacia Senegal, Leptadenia pyrotechnica	<5
Sand sheet	<30	1-3	Acacia ehrenbergiana, Boscia senegalensis, Maerua crassifolia, Acacia raddiana, Balanites aegyptiaca	0
Sandy plain	<10	5-15	Acacia raddiana, Balanites aegyptiaca, Sclerocarya birrea, Guiera senegalensis, Acacia laeta	0-30
Loamy sands	<20	10-30	Acacia laeta, Sclerocarya birrea, Euphorbia balsamifera, Grewia bicolor	0-30
Loamy flats	>80	<0.5	Acacia ehrenbergiana, Balanites senegalensis, Calotropis procera, Maerua crassifolia, Commiphora africana	0
Clayed plain	0-100	5-80	Acacia seyal, Anogeissus leiocarpus, Acacia nilotica, Mitragyna inermis, Balanites aegyptiaca	<5
Hard pan	>80	0-5	Boscia senegalensis, Combretum micranthum, Pterocarpus lucens, Acacia ehrenbergiana	0
Schist outcrop	>80	<1	Acacia ehrenbergiana, Commiphora Africana, Maerua crassifolia, Ziziphus mauritiana	0
Sandstone rocks	>80	1–15	Sclerocarya birrea, Acacia Senegal, Combretum micranthum	<1
Mean	41	9.5		2.4



**Fig. 5.** Land cover map of the Hombori super-site obtained from the classification of SPOT-4 images, photo-interpretation of Landsat scenes and field observations. Land cover classes 1–5 belong to the endorheic system with local sheet run-off. Classes 6–10 belong to the endorheic system with concentrated run-off in structured watersheds.

shrubs and low trees. The density and canopy cover of woody plants are low on average, i.e. a few hundreds per hectare and a few percent, respectively. However, woody plants distribution is highly variable, with higher densities along drainage lines, around pools, in the inter-dune depressions and also on shallow soils. On shallow soils, with the narrow linear thickets dominated by shrubs and trees set perpendicular to the slope, known as 'tiger bush' (Hiernaux and Gerard, 1999), can form.

Except for the rice fields of the flooded alluvial plains along the narrow Niger River valley, cropped land only extends in the southern half of the Gourma site over a few percent of the land. The main rain-fed crop is millet grown on sandy and loamy sand soils, with limited areas of sorghum, rice and okra fields in low land clay soils. All areas, including cropped fields after harvest, are used for livestock grazing under communal access. Daily grazing orbits and

seasonal moves are used to optimize livestock access to changing water and fodder resources. This results in a range of grazing pressure status, from intensive year-round grazing close to water points and homesteads, to light wet season grazing in area remote from water points. These pastoral land use patterns translate to a web of livestock paths radiating from the water points, and spots with high soil organic matter and nutrient contents localised in livestock resting areas close to water points and camps.

#### Population and livelihoods

Analysis of scarce population census data in the Gourma remains to be done to get a clear picture of the actual demographic situation and trends. Yet, after the decrease of the rural population observed in the years following the droughts (RIM, 1987;

Table 2
Characteristics of soil texture in terms of sand, clay and silt contents (%) for the three local sites (Agoufou, Kelma and Eguerit). Particles size are defined as clay (<0.002 mm), silt (<0.05 mm), and sand (<2 mm).

Depth (cm)	Agoufou (15.34°N, 1.48°W)			Eguerit (15.50°N, 1.40°W)			Kelma (15.22°N, 1.56°W)		
	Sand	Silt	Clay	Sand	Silt	Clay	Sand	Silt	Clay
0–6	91.0	3.3	4.6	43.6	29,8	26.3	47.8	27.5	24.5
6-12	91.1	3.2	5.1	43.6	27,9	28.1	40.3	19.4	40.0
12-25	89.7	4.1	5.8	30.2	27,4	42.1	35.1	19.8	45.1
25-50	90.9	3.2	5.5	-	-	-	31.6	16.1	52.4
50-100	92.0	1.9	5.8	-	-	-	30.1	21	48.9

Ag Mahmoud, 1992; Hiernaux, 1996), the spectacular development of some of the small towns such as Gossi and the settlement of some of the pastoralists leading to the conversion of temporary camps into permanent villages are indicators of profound changes in society and livelihoods. The food crisis of the droughts in the mid 1970s and early 1980s, and later, the civilian insecurity that prevailed in the 1990s, all contributed to increase out migration and settlement in towns. However, the development of modern means of communications with the tar of the main road across the Gourma achieved in 1985, the Gao bridge over the Niger River in 2007, and the recent expansion of the cell phone network also helped. Unfortunately, the lack of reliable statistics on agricultural activities limits the trend interpretation. The total area cropped nowadays in the southern half of the Gourma does not differ markedly from what it was in the 1950s (Gallais, 1975), yet large reduction in area cropped followed the droughts and cropping expansion has been observed since the mid 1990s. The trends in pastoral activity are even more difficult to assess because of the large seasonal mobility of livestock, and the flexibility to adapt these moves to resources opportunities. Livestock population suffered from the droughts (Bourn and Wint, 1985) but seems to have recovered (RIM, 1987), although population moves and changes in management hamper interpretation. The severe droughts of the 1970s and 1980s have focused the interest of the international community on the living conditions and vulnerability of the populations in the Sahel. The setting up of a range of political measures at the regional to local scale to reduce environmental and social costs of such event were organized in most countries prone to droughts or desertification (Dia et al., 2008). After decades of debates and social transformations, it remains difficult to disentangle the environmental and social factors that condition the vulnerability of exposed populations. This particularly applies to access to drinking water that remains a major constraint for livelihood and economic development in the Gourma region, where population has to mostly rely on surface water. Indeed, unlike some other regions of the Sahel (e.g. the Ferlo of northern Senegal), there is no continuous aquifer in the Gourma that could support development of a network of deep wells. As a result the sedentary population in the Gourma concentrates around the relatively few locations with

reliable water, while large areas remain poorly and seasonally populated (Ag Mahmoud, 1992).

#### Instrument and monitoring network

Overall strategy

The overall observation strategy is based on the deployment of a variety of instrument networks, from local- to meso-scales, dedicated to the monitoring and documentation of the major variables characterizing the climate forcing and the spatio-temporal variability of geophysical and land surface variables. Located on the three dominant soil types that can be encountered in the region, the three local sites are extensively instrumented (Table 3). Long term measurements corresponding to the AMMA long observing period (LOP) monitor meteorological variables, vegetation and surface hydrology including soil moisture and water surfaces. Emphasis is also put on the estimation of surface fluxes at local scales and their up-scaling to the super-site scale and finally to meso-scale. Up-scaling of surface fluxes is performed either through weighting by the relative area covered by each landform type (Timouk et al., 2009) or using land surface models and remote sensing data following an assimilation strategy (Jarlan et al., 2008).

Understanding, modelling and predicting plant vegetative phenology (the seasonal cycle of LAI and biomass and their inter-annual variation) is key to correct prediction of soil moisture, herbaceous production and flux variability. Thus, intensive process field studies that complement the long-term monitoring focus on the characterization of the numerous ecological and ecophysiological processes responsible for the phenological cycle of vegetation: carbon assimilation, water uptake and release, vegetation growth and decay, coupled C/H<sub>2</sub>O/N cycles, etc. Moreover, since soil moisture controls soil evaporation, plant transpiration and thus energy fluxes, soil moisture is monitored at different spatial scales by means of local automatic and satellite measurements. Finally, the overall set-up provides data sets, forcing variables and functional understanding of land surface to validate large-scale models. In addition, NO<sub>x</sub> biogenic emissions and dry deposition are measured

**Table 3**General characteristics of the three instrumented local sites distributed within the Hombori super-site. Is also indicated the list of instruments deployed on each site (FS: energy and CO<sub>2</sub> flux station; AWS: Automatic Weather Station; AR: Automatic Raingauge; ASMS: Automatic Soil Moisture Station; PARIS: PAR Interception Station; SFS: Sap Flux Station; CO2: CO2 precision station; IDAF: wet and dry deposit station; AERONET: photometer; HFS: Heat Flux Station).

Site name (location)	Soil type	Vegetation type	Dominant herbaceous species	Dominant woody species	Instrument
Agoufou (15.34°N, 1.48°W)	Fixed dunes	Open woody savannah	Cenchrus biflorus, Aristida mutabilis, Zornia glochidiata, Tragus berteronianus	Acacia raddiana, Combretum glutinosum, Balanites aegyptiaca, Acacia Senegal, Leptadenia pyrotechnica	FS, AWS, AR, ASMS, PARIS, SFS, CO2, IDAF, AERONET
Eguerit (15.50°N, 1.40°W)	Gravels, loamy patches	Scattered shrubs, herbs on loamy deposits	Schoenefeldia gracilis, Aristida adscentionis, Pennisetum violaceum, Panicum laetum	Acacia ehrenbergiana, Commiphora Africana, Maerua crassifolia, Ziziphus mauritiana	HFS, AR, ASMS
Kelma (15.22°N, 1.56°W)	Clayed soil	Open Forest	Sporobolus hevolvus, Echinochloa colona, Aeschinomene sensitive	Acacia seyal, Acacia nilotica, Balanites aegyptiaca	FS, AR, ASMS, SFS

and related to meteorological variables and surface characteristics such as soil moisture and land use cover. These relations will provide better parameterizations of biogenic emissions and surface characteristics in a Sahelian environment at both local and mesoscale (Delon et al., 2007).

#### Meteorological observations

The instrument network deployed within the meso-scale site is shown in Fig. 1a. Three automatic weather stations (AWS) were installed along the climatic gradient at Agoufou (15.3°N), Bamba (17.1°N) and Kobou (14.7°N), in 2002, 2004 and 2008, respectively. These stations measure (at a 15 min intervals) the standard meteorological variables and useful complementary variables such as the four components of the net radiation (Table 4). These observations are complemented by those collected by the AERONET sun photometer installed at the Agoufou site, providing information about atmospheric aerosol optical properties and water vapour content (http://loaphotons.univ-lille1.fr/photons/).

Being the most important variable, rainfall is intensively monitored by a network of automatic and manual rain gauges that have been progressively installed since 2004. In 2008, this network consists of 32 automatic rain gauges distributed within the Gourma meso-scale window, with a enhanced density over the Hombori super-site. These automatic raingauges are complemented by 15 manual raingauges among which eight belong to Malian rainfall services (Figs. 1a and 2). In addition, chemical composition of rainfall water and dry and wet depositions as well as gas concentration are provided by the analysis of data collected by a semi-automatic station located at the Agoufou local site. This station belongs to a regional network deployed in West-Africa within the frame of the IDAF Observing System (http://medias.obs-mip.fr/idaf/).

A precise CO<sub>2</sub> measurement system installed at the Agoufou site in April 2006 is providing measurements of atmospheric carbon dioxide concentration ([CO<sub>2</sub>]), with an absolute precision of 0.2 ppm and with continuous and long-term data collection. The Agoufou instrument complements two additional systems in Southern and Central Africa in connection with the GlobalView-CO<sub>2</sub> project (www.esrl.noaa.gov/gmd/ccgg/globalview/co2/). As the time-series available at African sites increases much improved inverse quantification of African sources and sinks will be possible (Lokupitiya et al., 2008).

Automatic acquisitions are complemented by measurements of vertical profiles of  $[CO_2]$  in the nocturnal boundary layer (0–200 m

altitude) measured with a tethered balloon. Boundary layer budgets based on measurements allow estimations of sensible, latent and CO<sub>2</sub> fluxes at a scale larger than that of the flux stations. The low level jet, which essentially is the monsoon flow, has been portrayed with the frequent tethered balloon profiles (Bain et al., submitted for publication).

## Surface hydrology and water cycle observations

Run-off in the Gourma region depends on soil depth, texture and rainfall patterns. In endorheic catchments on sandy soils, evaluation of run-off, run-on and infiltration processes are performed thanks to data recorded by soil moisture stations that have been installed at the top, middle and bottom locations of dune slopes at the Agoufou site. In addition, a joint monitoring of the seasonal dynamics of the unsaturated zone associated to vegetation dynamics is performed along a 'hydrological' transect that crosses a series of endorheic catchments (Fig. 3). This set-up is designed to address the interactions between water redistribution and herbaceous and woody vegetation patterns, and between vegetation dynamics and production and soil moisture availability.

Over wider areas, documentation of the spatio-temporal variability of soil moisture content is performed using local automatic measurements collected by a network of 12 soil moisture stations deployed within the Gourma meso-scale window (Fig. 1). Automatic acquisitions are complemented by field surface measurements performed along transects of 1–50 km long with the objective of satellite products validation. A detailed description of the soil moisture network and the associated up-scaling methodology can be found in de Rosnay et al. (2009).

Water losses through evaporation and plant transpiration is studied through eddy-correlation measurements performed through four flux stations, including two heat flux and two CO<sub>2</sub>/H<sub>2</sub>O stations, that were installed within the Gourma site since 2005. Among them, three are installed within the Hombori super-site on representative dominant surfaces, namely the Agoufou woody savannah on sand dunes (Fig. 6), the Kelma open *Acacia* forest on clay soil, and on a bare soil patch at the Eguerit rocky erosion surface (Fig. 2). The fourth flux station is positioned on almost bare sands in the northern part of the Gourma window at the Bamba site otherwise characterized by sparse perennial herbs and very scattered trees. These stations are part of the CarboAfrica project (www.carboafrica.net) whose eddy-correlation measurement stations are distributed on the major African ecosystems.

**Table 4**List of measured variables at the automatic weather stations.

Variable	Unit	Height (m)	Instrument
Incoming short wave radiation	$ m Wm^{-2}$	2.2	Kipp&Zonen CNR1
Outgoing short wave radiation	$ m W~m^{-2}$	2.2	Kipp&Zonen CNR1
Incoming long wave radiation	$\mathrm{W}\mathrm{m}^{-2}$	2.2	Kipp&Zonen CNR1
Outgoing long wave radiation	$ m W~m^{-2}$	2.2	Kipp&Zonen CNR1
Incoming global PAR	$\mathrm{mmol}\ \mathrm{m}^{-2}\ \mathrm{s}^{-1}$	2.2	Delta T BF3
Incoming diffuse PAR	$\mathrm{mmol}\ \mathrm{m}^{-2}\ \mathrm{s}^{-1}$	2.2	Delta T BF3
IRT surface temperature	°C	2.2	Apogee IRTS-P
Wind velocity	$\mathrm{m}~\mathrm{s}^{-1}$	2.2	Vector A100R
Wind direction	360°	2.2	Vector W200P
Atmospheric pressure	hPa	1	Setra 278
Air temperature	°C	2	Campbell CS215
Relative humidity	%	2	Campbell CS215
Rainfall	mm	1,5	Campbell SBS500
TDR soil moisture	ms	-0.1, $-0.3$ , $-0.6$ , $-0.8$ , $-1.2$ , $-1.5$ , $-2.5$ , $-4.0$ , $-5.0$	Campbell CS616
Soil heat flux	$ m W~m^{-2}$	-0,05, -0,1	Huskflux HFP01
Soil temperature	°C	-0,05, -0,1	Campbell&08, 107
Soil surface temperature	°C	-0.001	RoHS Type K
PIR radiometer (MODIS, SPOT)	$\mathrm{mol}\ \mathrm{m}^{-2}\ \mathrm{s}^{-1}$	3	Skye SKR1850A
R radiometer (MODIS, SPOT)	$\mathrm{mol}\ \mathrm{m}^{-2}\ \mathrm{s}^{-1}$	3	Skye SKR1850A

Flux measurements at the Hombori super-site are complemented by sap flow measurements performed on representative tree species: *Acacia senegal, Acacia raddiana, Combretum glutinosum* and *Balanites aegyptiaca* at the Agoufou site and *Acacia seyal* at the Kelma site. These automatic stations give an estimation of the transpiration from trees, providing useful data to interpret the measurements made by the flux stations.

Besides the measurements made on the soil unsaturated zone, manual observations of the water level of the Agoufou pond has been performed weekly since 2007. The monitoring of the seasonal and inter-annual variations of its surface extent is based on different sensors including aerial photographs and satellite images at different spatial resolution namely Landsat, SPOT-4, MODIS, CORONA and FORMOSAT (Gardelle et al., 2009).

#### Vegetation monitoring and eco-physiology

The monitoring of the vegetation is based on the survey of 37 1 km × 1 km sites distributed along the north-south bioclimatic gradient (Fig. 1). Measurements are made every month during the rainy season for three plant functional types namely, annual herbs, perennial herbs and trees. At the Hombori super-site over the Agoufou, Kelma and Eguerit local sites, the seasonal variation of leaf area index (LAI), vegetation cover, plant height, herbage above and below ground masses, and floristic composition are monitored every 10 days during the growing season along a 1 km long 'vegetation' transect (Fig. 3). Tree and herb LAI is estimated using hemispherical images (Mougin et al., submitted for publication). Phenology of the main tree species is also measured along the climatic gradient using sampling techniques detailed in Hiernaux et al. (1994). At the different sites, woody population dynamics are regularly monitored (Hiernaux et al., 2009a). The survey includes the estimation of tree density, tree height, crown cover, wood and foliage masses.

In addition, automatic measurements of photosynthetic active radiation (PAR) interception by tree canopies complement LAI measurements and provide useful data for process model development and validation. Incident and transmitted PAR cells installed at the Agoufou savannah site (Fig. 3) and Kelma open forest site provide time variation of the fraction of PAR absorbed by the vegetation layer.

In addition to automatic acquisitions, intensive field campaigns are carried out at the three local sites. Field work mainly consists of ecophysiological measurements aiming at characterizing the plant and soil response to their environment, particularly to water avail-



Fig. 6. Eddy covariance flux station at the Agoufou local site (August 2005).

ability. This includes measurements of stomatal conductance, leaf water potential, and gas exchange (transpiration and photosynthesis). Associated to laboratory chemical analysis of soil and vegetation samples, these measurements provide the necessary input parameters for land surface models and for model parameterization. Since 2004, intensive field measurements have been performed in collaboration with international teams like the Climate and Land Surface Systems Interaction Centre (CLASSIC, http://classic.nerc.ac.uk/IGBP.php) in the United Kingdom and the TROpical Biome In Transition (TROBIT, www.geog.leeds.ac.uk/research/trobit/) project. The list of surface variables monitored at different spatial scales is given in Table 5.

#### Remote sensing survey

Since 1984, the study sites of 1 km  $\times$  1 km in size have been selected, whenever possible, within 3 km  $\times$  3 km similar surfaces allowing monitoring to be conducted with medium and coarse resolution satellites such as the advanced very high resolution radiometer (AVHRR) onboard the national oceanic and atmospheric administration (NOAA) series (Hiernaux and Justice, 1986). The presence of large homogeneous and flat surfaces characterized by a high seasonal and inter-annual variability makes the Gourma site particularly well suited both for methodological development and for multi product validation exercises.

Seasonal, inter-annual and decadal variations of vegetation cover, LAI and herbage production are assessed and mapped through normalised difference vegetation index (NDVI) values derived from various satellite sensors operating at different spatial resolutions in the optical domain (Hiernaux and Justice, 1986; Lo Seen et al., 1995). Besides, surface soil moisture (SSM) variations have been monitored by both active and passive microwave sensors, including the European Remote Sensing (ERS) synthetic aperture radar (SAR), the ENVISAT-advanced synthetic aperture radar (ASAR) and the advanced microwave scanning radiometer (AMSR-E).

Associated to an appropriate field sampling strategy at different spatial scales, the Gourma site has been extensively used for the development of multi-spectral remote sensing inverse methods, particularly with the following sensors: European remote sensing (ERS) wind scatterometer (e.g. Frison et al., 1998; Jarlan et al., 2002; Zribi et al., 2009), special sensor microwave/imager (SSM/I) sensor (Frison et al., 2000), ERS-SAR (Zine et al., 2005),

**Table 5**List of monitored variables recorded at the Gourma site with automatic instruments and field campaigns.

Variable	Units
Gross photosynthetic productivity (GPP)	g C m <sup>-2</sup> d <sup>-1</sup>
Net primary productivity (NPP)	$g C m^{-2} d^{-1}$
Net ecosystem productivity (NEP)	$g C m^{-2} d^{-1}$
Herbaceous green standing mass (BM)	${ m g~DM~m^{-2}}$
Herbaceous dry standing mass	${ m g~DM~m^{-2}}$
Herbaceous litter mass	${ m g~DM~m^{-2}}$
Herbaceous root mass	$g DM m^{-2}$
Herbaceous leaf area index (LAI)	$m^2 m^{-2}$
Tree standing mass	${ m g~DM~m^{-2}}$
Tree plant area index (PAI)	$\mathrm{m^2~m^{-2}}$
Fraction of absorbed photosynthetically active radiation (FAPAR)	-
Soil respiration	$\mu$ mol C m $^{-2}$ s $^{-1}$
Maximum photosynthetic assimilation	$\mu mol C m^{-2} s^{-1}$
Latent heat flux	$\mathrm{W}\mathrm{m}^{-2}$
Sensible heat flux	$\mathrm{W}\mathrm{m}^{-2}$
Soil heat flux	$\mathrm{W}\mathrm{m}^{-2}$
CO <sub>2</sub> concentration (at 2 m)	ppmv
Runoff	$\mathrm{mm}~\mathrm{d}^{-1}$
Drainage	$\mathrm{mm}~\mathrm{d}^{-1}$
Soil water content	$m^3 m^{-3}$
Sap flow	kg h <sup>-1</sup>

SPOT/VEGETATION (Jarlan et al., 2008; Mangiarotti et al., 2008), ENVISAT-ASAR (Baup et al., 2007). Current activities focus on the evaluation of important surface variables for model initialisation and spatialisation like the MODIS derived albedo and LAI products (Myneni et al., 2002), and the AMSR-E (Gruhier et al. 2008) derived SSM products (Fig. 7). Such an evaluation is crucial before their assimilation in land surface models. More details on the validation exercise over the Gourma site can be found in Samain et al. (2008) and de Rosnay et al. (2009).

Since 2000, the Gourma sites have been integrated in the site network of the validation of land european remote sensing instruments (VALERI) (Baret et al., 2009; www.avignon.inra.fr/valeri/) and Committee on Earth Observation Satellites (CEOS)/land product validation (Morisette et al., 2006; http://lpvs.gsfc.nasa.gov/) projects. Among the selected validation sites, the Gourma site stands out as the site with the lowest spatial heterogeneity when high- (SPOT) and low-resolution (MODIS) products are compared (Garrigues et al., 2008). In addition, the Gourma site network has been retained as ESA calibration/validation site for the forthcoming soil microwave and ocean salinity (SMOS) mission (http://www.e-sa.int/esaLP/LPsmos.html).

Human population, natural resource management and societies

A several-year cycle of observations (interviews, enquiries, etc.) has been initiated in the commune of Hombori, with three main objectives: analysis of the spatio-temporal patterns of the water resources availability; identification and analysis of the social vulnerability to water risks; observation and interpretation of the multi-level social and political management of the resources. The data are organized in a GIS with other layers on the proximity with villages, campsites, roads or tracks, the availability of other resources (wood, pastures, fishes, etc.) and the related extractive or exploiting activities.

Integration in observation networks

In Mali, the AMMA-CATCH site is associated to the 'Observatoire du Gourma/Réseau National de Surveillance Environnementale

(RNSE) coordinated by the University of Bamako/FAST. In addition, the northern sites of the Gourma site, located north on the left side of the Niger River, are part of the ROSELT/OSS (Réseau d'Observatoires de Surveillance Ecologique à Long Terme / Observatoire du Sahara et du Sahel) network (www.roselt-oss.org/pays/mali/). As part of these activities leading national collaborators include Institut d'Economie Rurale (IER-Bamako), Centre de Recherches Régional Agronomique (CRRA-Gao), Direction Nationale de la Météorologie (DNM-Bamako) and the Faculté des Sciences et Techniques (FAST-Bamako).

#### **Main findings**

Spatial patterns along the bioclimatic gradient

The Gourma meso-scale site is a 3° north-south by 1° east-west transect with a series of monitored sites distributed on the dominant soil surfaces along the rainfall gradient. The rationale in establishing study sites along such an extended transect lies in the possibility of long-term monitoring on a climatic and ecological gradient. This is the reason why several transects have been designed in different eco-climatic zones of semi-arid regions like the northern Australia tropical transect (NATT) or the Kalahari transect (Canadell et al., 2002). The following paragraphs summarize the main findings of this 'transect' approach.

Climate

The whole transect is under the influence of the West African Monsoon. The inter tropical discontinuity, which separates the south-westerlies monsoonal winds from the north-easterlies Harmattan wind, crosses the Gourma on its way north, on average in May and in October on its way south (Flamant et al., 2009). Rainfall is concentrated in the core of the monsoon season, which extends from late June to mid September. Rainy season duration and the annual total rainfall decrease with increasing latitude characterized by an average annual rainfall of 450 mm in the south of the Gourma meso-scale window progressively declining to 150 mm in the north (Frappart et al., 2009). The rainfall gradient is

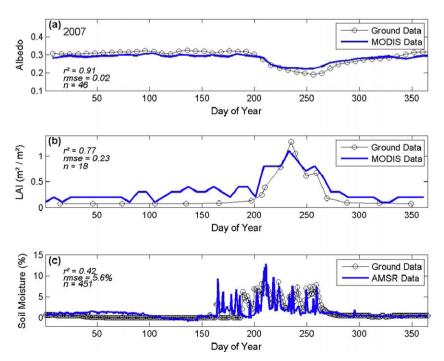


Fig. 7. Evaluation of satellite products during EOP (2007): (a) MODIS albedo, (b) normalised AMSR-E volumetric surface soil moisture (SSM), (c) MODIS leaf area index (LAI).

therefore about 1 mm  $\rm km^{-1}$ , a value commonly reported for the Sahel climatic gradient (Lebel et al., 2009). The number of rainfall events decreased with latitude, and each rainy day brings, on average, slightly less rainfall than further south. Maximum air temperature and diurnal temperature ranges increase from the south west edge of the Gourma region to the northern one, thus following the aridity gradient.

The Gourma transect samples an extremely sharp gradient of surface albedo and net radiation. Since soils are bright sands and vegetation is sparser at the northern edge of the Gourma site, surface albedo reaches values as high as 40% throughout the year at Bamba (17.1°N, 1.4°W) whereas albedo values vary from 20% (wet season) to 35% (dry season) at Agoufou (15.3°N, 1.5°W) (Samain et al., 2008). Net radiation is inversely correlated with the albedo gradient. During the monsoon season, the daily average net radiation is maximum near 15°N, and decreases northward to 17°N (Fig. 8), typifying the reduced radiation budget of desert and semi-desert areas related to increasing albedo (Timouk et al., 2009). The moist static energy (Guichard et al., 2009), whose latitudinal variations impact the intensity of the monsoon flow, also decreases from Agoufou to Bamba.

## Vegetation

Over most of the Gourma site, annual plants largely dominate the composition of the herbaceous layer as elsewhere in the Sahel. However, perennial herbaceous, especially grasses and sedges, are more common towards each of the two transitions zones. Tussock perennials, such as *Andropogon gayanus* and *Cymbopogon giganteus* occur in some of the loamy sand depressions to the south of the Gourma mesosite, while the tussocks of *Panicum turgidum*, *Aristida sieberiana* and *Cyperus jeminicus*, colonise the dunes north of the Niger River.

Although the diversity of the herbaceous species is generally low as elsewhere in the Sahel and species composition quite variable from year to year at a site, there are trends in species composition with long cycle annuals such as *Diheteropogon hagerupii*, *Pennisetum pedicellatum* and *Schoenefeldia gracilis* becoming more

frequent in wetter sites to the south of the region. Woody plant species diversity also increases with rainfall: many woody species common in the Sudanian zone are not present north of the 450 mm isohyet such as *Sclerocarya birrea*, while others do not grow north of the 300 mm isohyet such as *Combretum glutinosum* and *Pterocarpus lucens*. However, there are also species common in the arid and hyper-arid zones which are not spontaneous in more humid zones such as *Salvadora persica*, *Euphorbia balsamifera*, *Maerua crassifolia* and *Acacia ehrenbergiana*.

Herbaceous production decreases and its inter-annual variability increases on sandy and shallow soils as climate becomes drier along the gradient (Fig. 9). However, herbaceous production on clay soils in depressions across the full transect is similar because vegetation growth in these sites is driven by run-on and flood regime rather than by precipitation *per se*. Similarly there is a weak trend of decreasing woody plant density, canopy cover and height on sandy soils and shallow soils, as climate gets drier along the gradient, but this relationships is not seen on clay soils (Hiernaux et al., 2009a).

#### Two contrasted soil and hydrologic systems

In addition to the latitudinal arrangement along the climatic gradient, the Sahelian ecosystem of the Gourma site is patterned by the juxtaposition of contrasted soils and hydrologic systems across most of the rainfall gradient except in the northern transition zone to the Sahara (north of the Niger River) which is dominated by sand dunes (Fig. 1).

## Soils and hydrologic systems

The hydrologic behaviour (run-on/run-off balance) of each unit in the super-site soil map, has been rated during a field survey, based on the expected water infiltration of the soil and the topography. The rate used is the value of the coefficient ( $\alpha$ ) of the empirical relationship between total infiltration or balance index (I) resulting from a precipitation (P) and a standard precipitation of 10 mm (Hiernaux, 1984):  $I = P + \alpha$  (2P - 10)/10.

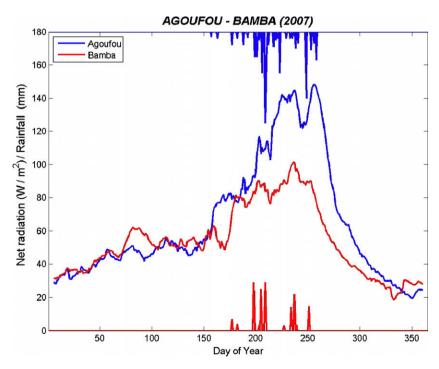
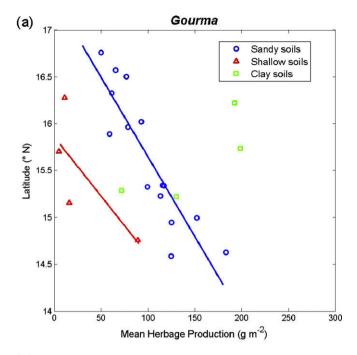
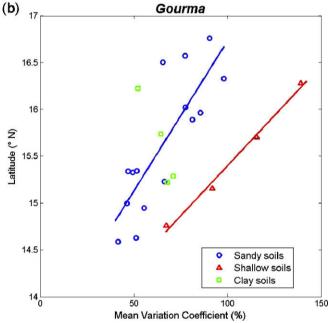


Fig. 8. Comparison of the seasonal variation of net radiation observed at Agoufou (15.3°N, 1.5°W) and Bamba (17.1°N, 1.4°W) during 2007. Also are indicated the daily rainfalls for the two sites.





**Fig. 9.** Variation of vegetation production across the Gourma gradient: (a) mean herbaceous mass measured at the end of the growing season over 1984–2006 and (b) temporal variation index (mean of the site coefficient of variation of the mass mean), plotted against the latitude position of the sites sorted by soil types.

Among the values taken the coefficient  $\alpha$ , nine typical values between -4 (high losses by run-off) and 15 (extremely high gains due to large external inputs) have been retained to characterize the hydrologic behaviour of each soil unit. As expected from an overall endorheic system, the area weighed mean of the run-on/run-off balance rate calculated over the whole super-site is almost null (-0.1). The slightly negative value of the weighed mean results from the slight imbalance between dominant run-off on rocky soils (-3.5) and loamy soils (-1.5), balanced sandy soils (0.0), and dominant run-on on clay (+4.5) and sandy-loam soils (+2.2).

Anomalies of mean NDVI anomalies calculated over the wet season on a series of 2000–2006 MODIS images confirm the empir-

ical rating, highlights the partition of the Gourma into two contrasted hydrologic systems. Sandy soil catchments consistently present small anomalies and relatively high mean NDVI values, while watershed on otherwise textured soils have large anomalies and relatively low mean NDVI values.

#### Soils and soil moisture

In addition to rainfall variability, run-on/run-off balance driven by soil types and geomorphology play an important role on the spatial patterns and dynamics of soil moisture (de Rosnay et al., 2009; Timouk et al., 2009). Clay soils located in depressions with high surface run-on are temporarily flooded during the rainy season. Due also to their high clay content, these surfaces exhibit the highest soil moisture (SM) values maintained at saturation during flooding events. In contrast, rocky soils characterized by high run-off fraction show low SM values. Lastly, the endorheic sandy systems, characterized by limited run-on/run-off processes and by a high infiltration rate, show a well pronounced SM dynamics characterized by fast variations of the surface soil moisture (Fig. 10a). In sandy soils, surface flows and surface ponding rarely occurs and then only in limited areas for short time periods (i.e. a few hours).

#### Vegetation

Large differences in annual production of the herbaceous vegetation are observed between sites belonging to the two hydrologic systems. Herbaceous yields are systematically low on the shallow soil sites for which a large fraction of the rainfall is lost by runoff whereas lowland fine textured soils present extreme values depending on success or failure of plant seedlings to withstand flood. At inter-annual scales, production on sandy soils varies less dramatically, with mean values between that of rocky soils and depressions. The spatial heterogeneity of the herbaceous layer can been assessed as the coefficient of variation of above ground biomass in 100 random samples (1 m<sup>2</sup>) taken at peak biomass each year towards the end of the wet season (Hiernaux et al., 2009b). The coefficient of variation of the herbaceous layer ranges between 50% and 75% in low land clay soils, 50% and 100% in sandy soils. and 75% and 150% in shallow soils. Part of the high values reached by herbaceous spatial heterogeneity is explained by the relative extent of bare soil patches that on average cover only 6% of the area in sandy soils sites, but 72.3% on lowland clay and 83.5% on shallow soils.

Woody plant populations also differ markedly between soils types, by the density of the woody plants, their size and canopy cover, but also with regard to spatial distribution mode and species composition. Over the super-site, canopy cover of woody plants reaches 9.5% shared between bushes (5.8%), shrubs and low trees (3.7%). Woody plant cover are unequally distributed in the land-scape with 40.3% canopy cover in low land clayed soils, 12.2% in the loamy-clay to loamy-sand soils of flats and valleys, 5.7% in sandy soils and 2.9% in shallow soils. The spatial distribution of the woody plant varies from near random in some *Acacia seyal* forest in low lands or *Euphorbia balsamifera* open stands on loamy sands, but are more often aggregated in relation with the pattern of soils, micro-topography and run-off/run-on balances.

Soils and their associated hydrologic properties also largely determine the woody plant species composition. Three dominant species contribute equally, for 13% each, to the woody plant cover in the super-site: *A. raddiana*, predominantly but not exclusively on sandy soils, the ubiquitous *B. aegyptiaca* and *A. seyal* which dominates in the low land forest on flooded clay soils. *Acacia ehrenbergiana* and *Boscia senegalensis*, common on shallow and sandy-loam soils contribute each to 9%. Another three species account for 5–6% each: *Combretum glutinosum* on sandy soils, *Anogeissus leiocarpus* on flooded loamy soils and *Acacia nilotica* on flooded clay soils.

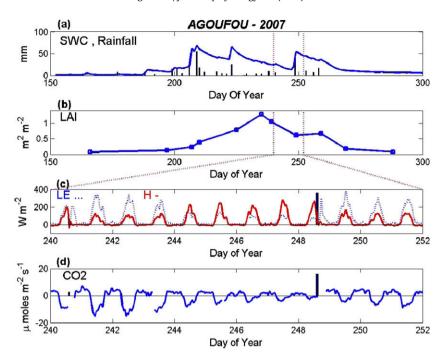


Fig. 10. Seasonal variation of surface variables at the Agoufou local site during EOP (2007) from 30 May to 27 October: (a) daily rainfall and soil water content in the rooting zone (0–1 m depth) and (b) total (herbaceous + trees) leaf area index (LAI); from 28 August to 10 September: (c) sensible and latent fluxes and (d) CO<sub>2</sub> flux.

Together these eight species account for 73% of the cover, the 13 other species all account for less than 5% of the woody cover.

#### A brief and hectic rain season

## Seasonal cycle

Surface energy budget, soil moisture and vegetation growth are markedly shaped by the alternation of a long dry season and a short rain season, as detailed in Guichard et al. (2009), de Rosnav et al. (2009), Timouk et al. (2009), Hiernaux et al. (2009b). Being at the northern edge of the WAM domain, the average rain season in the Gourma only lasts 120 days on average in the south and 60 days on average in the north with 30-12 rainy days from south to north across the Gourma site (Frappart et al., 2009). The rainfall is made of several major rain events with important intermittency. Not surprisingly, this is reflected in many aspects of eco-hydrology in the Gourma. The rapid germination and growth of the annual grasses and dicotyledons is a prime example of such a sharp cycle. During the period of seedling establishment, characterized by active root growth, LAI remains at low values, below 0.1 at the Agoufou local site in 2007 (Fig. 10b). Then, if soil moisture permits, as after DoY 210 of 2007 at Agoufou, following heavy rains, growth is rapid till the end of August. At Agoufou, maximum LAI was reached on DoY 235 (22 August, 2007) followed by the beginning of senescence triggered by the lack of water in the rooting zone. C4 annual grasses display very high photosynthesis rates (Damesin et al., unpublished data), which sustains the rapid growth whenever soil moisture is available between seedling establishment and flowering. In September 2007, the overall decrease of the green vegetation at Agoufou was buffered by late rainfall events occurring between DoY 238 and 258. However, the bulk of the herbaceous production is achieved within a few days or weeks of active production so that the level of herbaceous yield is largely determined by the duration and soil moisture condition of that period. In turn, the rapid plant growth greatly impacts the land surface properties like the energy balance and water fluxes. The synchronized response of surface albedo and LAI can be seen in Figs. 7a and 10b, with a time lag between these two variables and soil moisture (Fig. 10a).

#### Intra-seasonal variations

In addition to the shortness of the rain season, plants are confronted with a high level of uncertainty in the timing and consistency of rainfall within the growing season. It is frequent that the first germinations dry out due to a lack of rain, while the subsequent cohorts of plants grow normally and produce seeds. Sequences of 5-10 days without precipitation are frequent even during the core of the rainy season and the frequency and duration of these intra-seasonal droughts increase with latitude (Frappart et al., 2009). These dry spells considerably modify the surface energy budget and evapotranspiration, as shown in Figs. 8 and 10c for the Agoufou grassland in 2007. During a dry spell starting after the rainfall of DoY 240, the latent heat flux decreases rapidly during a 7 days dry period, whereas the surface energy is increasingly dissipated as sensible heat flux. During the dry spell, soil moisture is depleted and plant growth is interrupted (Fig. 10a and b). The system switches back to moist conditions after the rain of DoY 249, immediately for latent and sensible heat fluxes (Fig. 10c), after two days for the CO<sub>2</sub> flux (Fig. 10d). Plant growth also rapidly resumes, which shows how quickly Sahelian grasslands can recover after a short dry spells during the growing season.

#### Large inter-annual variations

#### Climate

The varying number and intensity of rain events observed during a rainy season at Hombori generate an important inter-annual variability in soil and vegetation growth. The AMMA enhanced observing period (EOP), sampled three different years: 2005 (total 408 mm) provided a long and regular rain season close to the climate average. 2006 (total 377 mm) was characterized by a very late but intense rain season, whereas 2007 (total 291 mm) was also very late but well below the long-term average (Fig. 4b). The rainfall recorded from 2005 to 2007 are largely above the

167 mm recorded in 2004, which in the Gourma, was a drought almost as extreme as the 'historical droughts' of 1973 and 1984.

#### Inter-annual variability of vegetation

Most of the inter-annual variation in annual herbaceous production result from inter-annual variation in the soil moisture regime driven by rainfall volume and distribution as illustrated by the variations of herbaceous green mass at Agoufou during the 2005, 2006 and 2007 wet season (Fig. 11). However, it may occur locally, that the seed stock limits growth, either because rainfall were insufficient in previous years for plants to seed because intense herbivory reduced seed production, or else because high seed consumption by rodents or birds has depleted the seed stock. More generally, since the herbaceous cover originates every year from the germination of seeds produced mostly during the previous growing season, and because annual plants respond strongly and quickly to soil water availability, frequent and abrupt changes in species composition have been observed. However, these shifts are not linked to the inter-annual variations in herbaceous production (Hiernaux et al., 2009b).

For perennial components of the vegetation, there are inter-annual variations in the production of established individuals and variation in population recruitment and mortality rates. Compared to annual plants, the production variations are buffered by the wider growing season and the benefit from soil moisture stocked over consecutive years. Yet, inter-annual discrepancies measured in maximum leaf mass per standard branchlet on an array of woody species reached proportions of 4–1 in extreme cases (Hiernaux et al., 1994). Even more fluctuant between years are the mortality and cohort recruitment events, contributing to the decoupling of woody population dynamics from annual climates.

Inter-annual variability in surface properties and energy fluxes

The difference in rainfall between 2005 and 2006, illustrated in Janicot et al. (2008), produced a dry anomaly throughout the Sahel in early 2006, indicated by a significant AMSR-E derived soil moisture anomaly, leading to a negative anomaly of early season NDVI. The core monsoon season, however, is characterized by a reversal

of the anomalies, which turn positive, showing that plants were able to recover, and even produce more greenness in 2006 compared to 2005. This scheme applies to the whole central and northern Sahel and holds also true for the Gourma (Fig. 11). As a result, surface albedo and net radiation obey the same logic, with net radiation and albedo reaching similar values in 2006 and 2005 (Samain et al., 2008). Data from 2007, however, demonstrate that plant recovery is significantly impaired if the late season rainfall are insufficient to compensate a late start of the monsoon season. In terms of energy balance, 2004 was remarkable in the sense that the seasonal cycle was almost completely suppressed by the drought, because of a very poor vegetation growth for the Agoufou site (Samain et al., 2008).

Long term trends

#### Climate

Compared to the wet period of the 1950s, the annual rainfall amount in the 1970–2007 dry period shows a 20% reduction translating to a southward shift of the annual isohyets (Frappart et al., 2009). The length of the rainy season has decreased during the 1950–2007 period due to both a delay of the starting date and an earlier ending. Results show that the decrease of the number of rainy days in the Gourma site in the last decade may be associated to an intensification of the daily rainfall.

Since 1950, the observed mean annual air temperature increase is about 0.7 °C. This increasing trend mainly affects the minimum temperatures, which have increased 1.3 °C, whereas the positive trend is much less pronounced on the maximum temperatures (0.1 °C). This trend is consistent with the observations made over the West African Sahel showing that the increase is higher at the driest edge of the Sahel (Zhou et al., 2007).

#### Surface waters

In apparent contradiction with the negative precipitation trend, the analysis of the long term remote sensing data showed evidences of a general increase in the surface of the Gourma ponds over the last 50 years (Gardelle et al., 2009). Moreover, after the

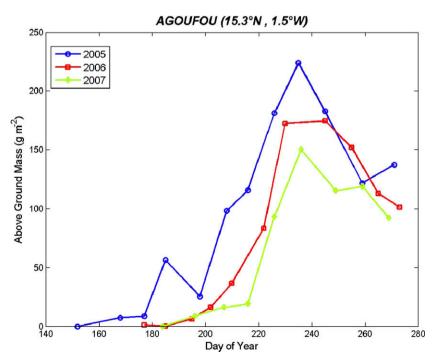


Fig. 11. Inter-annual variations of vegetation herbage mass at Agoufou during EOP (2005–2007). Uncertainties on mass values are 15%.

major droughts of the 1970s and 1980s some temporary ponds became permanent. A particularly striking example is the increase of the Agoufou pond area as quantified by the classification of remote sensing images; its size at the end of the rainy season was less than 10 ha in the sixties, increased to about 60 ha in 1996 and it is now-adays between 440 and 560 ha (Fig. 12). Corresponding calculated normalized anomalies of the surface extent of the Agoufou pond show very negative values in 1954 and 1965, i.e. during the wet period whereas positive values are found since 1990, in a context of drier years (Fig. 13). However, note that the very dry 2004 year exhibits the only negative anomaly within the last decade.

These observations are in agreement with the increase of surface run-off reported for some other Sahelian region (e.g. Favreau et al., 2009; Cappelaere et al., 2009; Descroix et al., 2009) yet its causes are not yet fully understood. The intensification of agricultural activities and the associated increase of crusted soils suggested as a possible explanation for the South West Niger region does not hold for the Gourma site, where agriculture has

a minor impact and where, more likely, causes are to be sought in the decrease of vegetation and in the modification of soil surface characteristics following the major droughts of the 1970s and 1980s.

#### Vegetation

Long term trends like the shift to more arid tolerant species after the 1984 drought and the slow return to typical Sahelian flora, can only be identified through systematic and regular observations performed over long period of time as they are masked by high inter-annual variability (Hiernaux et al., 2009b). This applies particularly to tree population dynamics which operate at a longer time scale than that of the herbaceous cover (Hiernaux et al., 2009a).

Dramatic variations of the woody cover occurred during the study period following the 1983–1984 drought, which affected all the woody populations (Figs. 14 and 15). Following the drought-induced mortality and in spite of below average rainfall

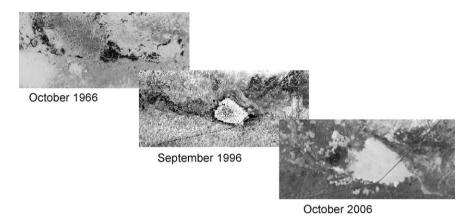


Fig. 12. Comparison of aerial photographs and high resolution satellite images recorded over the Agoufou pond at the end of the raining season in 1966 (CORONA satellite image), 1996 (aerial photograph) and 2006 (SPOT satellite image).

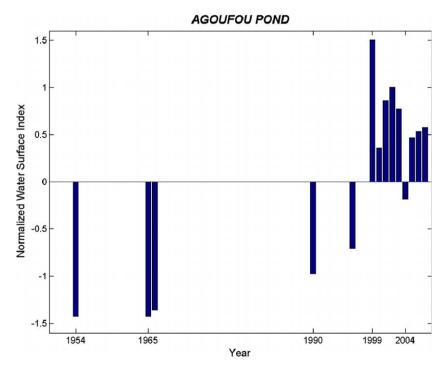
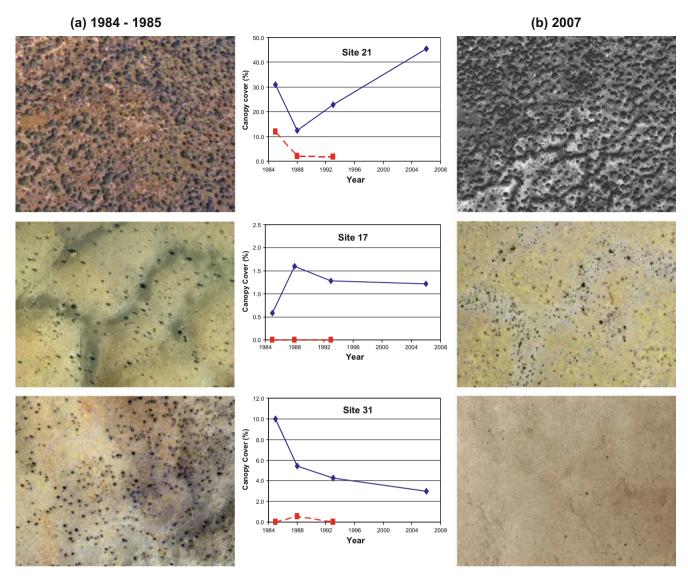


Fig. 13. Long term anomalies of the surface extent of the Agoufou pond over the period 1954–2007. Estimation of the maximum annual extent is based on the use of aerial, high (SPOT and Landsat) or intermediate (MODIS) resolution satellite images.



**Fig. 14.** Long term tree cover variation for three vegetation sites at the Hombori super-site showing increasing (# 21), overall constant (# 17) and decreasing (# 31) trends. On the central plots, blue and red symbols correspond to live and dead trees, respectively (after Hiernaux et al., 2009a). Aerial images show the variation of tree density on the different sites between: (a) October 1984 (# 17) or 1985 (# 21, 31) and (b) March 2007 (# 17, 21, 31). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

conditions, woody populations have recovered in most sites as illustrated by site #17 on sandy soils and site #21 on clay soils, apart from specific situations possibly linked to land use history (fire, clearing, camp settlement) like on the site #31. Tree density and canopy cover have strongly increased in temporarily flooded open forests on clayed plains (e.g. site #21) which benefit from increasing run-off water originating from adjacent shallow soils. Similar observations are made along the latitudinal gradient and there is no evidence for a higher sensitivity to drought at the driest end of the rainfall gradient.

Fig. 16a and b displays the normalized rainfall anomaly index (Lebel and Ali, 2009) for the Hombori meteorological station over the 1984–2007 study period, and a similar normalized index calculated from measurements of herbaceous production performed on three sandy sites at the Hombori super-site. Only observations collected on endorheic sandy soils are reported here as they are more directly related to rainfall variations. The range of variability is of the same order for the two data sets, but with slightly higher variability in annual rainfall than in herbage production. In contrast to observations made over the Niger site (Hiernaux et al.,

2009c; Cappelaere et al., 2009), there is no evidence for a long term decreasing trend in herbage production which remains strongly related to the rainfall amount and above all to the temporal distribution of rainfall events (see also Hiernaux et al., 2009b). These results point out the strong resilience of the herbaceous cover on sandy soils in the Sahel.

## The human dimension

#### Social dimensions of the water resource

The most striking character of the water resource in the commune of Hombori is the diversity of local situations: practically every village or pastoral camp has a particular relationship with the resource. It results from the combination of different factors: (a) the types of water availability (lakes, pools, shallow wells); (b) the types of access modes including various extraction devices, sources of energy and transportation modes; (c) the rules framing the legitimacy, precedence and other determinants of access to the resource; (d) the economical conditions for accessing and extracting the resource; and (e) the organization required to maintain the

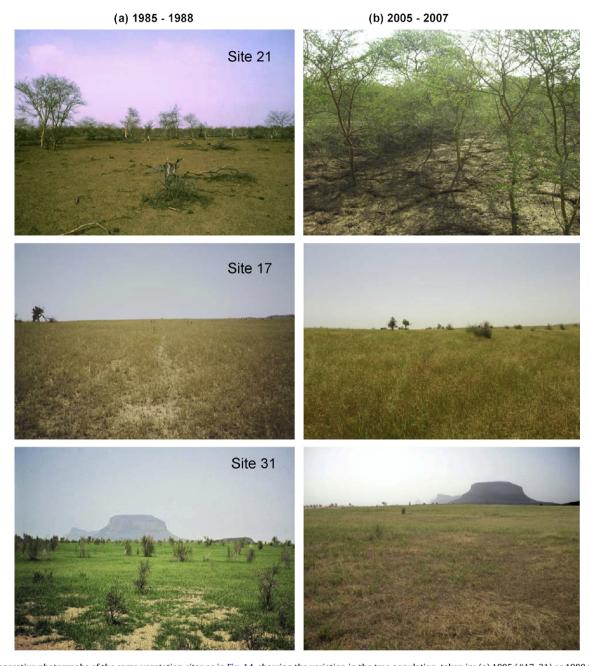


Fig. 15. Comparative photographs of the same vegetation sites as in Fig. 14, showing the variation in the tree population, taken in: (a) 1985 (#17, 31) or 1988 (#21) and (b) 2005 (#31) or 2007 (#17, 21).

resource and access. These local observations might be representative of many municipalities in the Gourma or even in the Sahel.

## Social vulnerability to the water resource and management

Monsoon variability is recognized as a key determinant of water security, by the social groups that organize their life in a way that provides the maximum advantage of these environmental features. However, the capacity for these populations to overcome crises is limited by several factors. It has been observed that, in response to the severe droughts, several of the surveyed households diversified their portfolio of activities and resource uses (Thébaud, 2002). This was possible due to the availability of a diversity of resources on a restrained territory and because their accessibility and exploitability were susceptible of social rearrangements. From enquiries among households in Hombori, a few structural patterns conditioning the social vulnerability to water-related risks can be iden-

tified: (a) the scarcity of permanent potable water points; (b) the lack of maintenance of some water devices available for collective uses; (c) the concurrent uses in the same places that often results in the spoiling of the freshwater; and (d) the absence of treatment plants. Yet, not all social groups are equality exposed to risks, and exposure depends on the activity. The most often evoked risk concerns human health. The risk of water shortage is related to the intensity of the rainy season and on the threats put on the agriculture production and food security. The water use requires an every day hard labour usually done by women. Recurring water scarcity directly increases this burden and intensify the vulnerability of the corresponding groups.

#### Water resource management practices and governance

Water management, effective at the local scale, is embedded in a national policy frame which may explicitly concern the resources

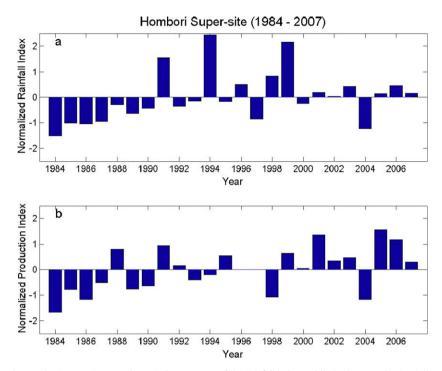


Fig. 16. Long term anomalies at the Hombori super-site over the period 1984–2007 of: (a) rainfall index and (b) herbage production index. Estimated vegetation anomalies are based on measurements made on three vegetation sites located on sandy soils (after Hiernaux et al., 2009b).

like in the National Plan for Environment (1998), the Pastoral Charter (2001) and the Orientation Law for Agriculture (2006). It can also constitute a deeper legal trend that impacts resource management and governance like the adoption of decentralization measures (Kassibo, 1997; Dia, 2006) and the consequent transfer of environmental liability to territorial authorities. Three kinds of legal instruments are operated: (a) orientation instruments that define objectives (food security, institutional tools to protect the environment, etc.) and open the possibility for local actors to assume environmental responsibilities; (b) binding regulations that introduce new obligations and permissions regarding the access and uses of resources; (c) incentives that allow for negotiated local arrangements between the stakeholders (Dicko and Djire, 2007). Yet the local practices are far from these idealized frames, most often reflecting the concurrent uses of resources and the development of strategies by the local actors. Surface water such as ponds for example, is the main water resource that has always been used as drinking water for human and livestock, for washing, for several craft activities, and it is increasingly used for gardens irrigation and fish breeding. Opposite to some well or borehole water, pond water remains a free public good in Hombori. However, the access to pond water may be regulated, at least seasonally when becoming scarce, either by infrastructures like crop field and fences that impede or channel the access of livestock or else through priority arrangements between use and users. In some particular case, the use of water is paid either in kind (fetch water to irrigate) or money (right to breed fish in ponds). The beneficiary of this fishing tax is not clearly stated by law and generates conflict between village customary and district authorities.

#### **Conclusions**

Taking advantage of existing data sets on climate and vegetation, the AMMA-CATCH research activities at the Gourma site in Mali are widely multi-disciplinary and rely on the deployment of a large network of instruments across the climatic gradient. The

up-scaling of local-scale results is achieved by remote sensing and modelling tools. During the AMMA Enhanced Observing Period (2005–2007), numerous investigations of eco-physiology and land surface processes have been carried out. The combined use of the Long Term Ecological survey (1984–present) and the results of the recent process studies should allow us to reanalyse historical survey data and develop realistic scenarios on the role of land surface in the transition from the wet period (1950–1970) to the dry period (1970–present). Such realistic scenarios are crucial for a correct interpretation of surface/atmosphere feedback loops. Furthermore, the combination of long term surface dynamics monitoring with detailed process studies establishes a much awaited basis for future climate/land surface simulations in West-Africa.

The variation in rainfall across the Sahelian climatic gradient shows a 1 mm km<sup>-1</sup> in rainfall with increasing latitude. From south to north there is also a sharp gradient of surface albedo and net radiation, a decrease in mean vegetation production yet increasing variability from year to year, at least on sandy and shallow soils. The distribution of vegetation functional types also follows the latitudinal arrangements: with more perennial herbaceous at both extremities of the gradient, the functional diversity of annual herbaceous decreasing with latitude as well as the woody plant density, size, cover and species diversity.

However, at any point along the gradient, surface energy budget, soil moisture and vegetation growth contrast between two main types of soil surfaces and hydrologic systems. On the one hand, sandy soils with high water infiltration rates and limited run-off within small endorheic catchments, support almost continuous herbaceous layer with scattered woody plants. On the other hand, water infiltration is poor on shallow soils and lowland fine textured soils, generating large concentrated run-off that ends in pools within structured endorheic watersheds. The vegetation cover of these watersheds is extremely patchy, with large areas remaining bare of herbaceous all year round, and large areas with very scattered shrubs contrasting with small areas of dense linear thickets and low land forest. Because of the distinct soil surface, soil moisture regime and vegetation growth, the two main

hydrologic systems also contrast in albedo, energy balance, water and  $\mathrm{CO}_2$  fluxes. The short duration of the rainy season and the determinant role of a few major rain events with important intermittency reinforce the functioning contrast between the two hydrologic systems.

The long term dynamics of the ecosystem seems to also diverge between the two hydrologic systems that share the landscape. Indeed, the vegetation of the sandy soils is more sensitive and responds more rapidly to drought than vegetation on rocky soils or in the depressions. But the vegetation demonstrated large resilience, so that even if some components such as the woody plant population remained affected over longer time the ecosystem functioning recovered after a couple of years. On the contrary, deep structural and functional changes triggered by the droughts have persisted if not aggravated in the gravelly watersheds. On the watershed slopes, vegetation cover kept regressing over decades following drought, and its partial recovery adopt new patterns marked by the increased run-off and soil erosion that resulted from the large and durable opening of the herbaceous layer. At the watershed scale increased run-off has fed the swelling of ponds in apparent contradiction with the negative precipitation trend. The impact of the increased run-on on lowland vegetation is more variable depending on the associated change in flood regime, but globally there is a significant increase in woody plant population density and cover in low lands.

Although much of the research is still active, the Mali site of AMMA-CATCH is already contributing through scientific publications including papers in this special issue of the Journal of Hydrology to a better knowledge on the physical, hydrological and biological processes at soil surface in relation with the West African Monsoon. Some elements of the feedback effects of the variation of geophysical surface on the atmosphere and the WAM system are also revealed by the study.

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