

David Weild



Head of Capital Markets

Experience

David oversees Capital Markets at Grant Thornton and is a former Vice Chairman and executive committee member of The NASDAQ Stock Market who had line responsibility for the global listings businesses of NASDAQ. David is also Chairman and CEO of Capital Markets Advisory Partners, the firm that specializes in innovating equity capital markets solutions for issuers.

Industry experience

David and co-author Ed Kim are noted for their work that was first to identify how changes in stock market structure are harming capital formation and job growth in the United States. Their studies (Why are IPOs in the ICU?; Market structure is causing the IPO crisis – and more; A wake up call for America) have been cited in over 100 articles including The Economist, The Wall Street Journal, The New York Times and The Financial Times. These studies have also been cited by Congressmen, Senators and the Executive Branch of the U.S. Government, including most recently in the Interim Report of the White House’s Job Council led by Jeffrey Immelt, CEO of General Electric, and the IPO Task Force report to the U.S. Treasury led by Kate Mitchell, former Chairman of the National Venture Capital Association.

David has testified in Congress and at the CFTC-SEC Joint Panel on Emerging Regulatory Issues and his studies served as the impetus for the The JOBS Act (HR 3606). David attended the signing of The JOBS Act by President Obama in the Rose Garden of the White House on April 5, 2012.

While at NASDAQ, David created the “Market Intelligence Desk” and the “Corporate Client Services Network” which are the two core services offerings that NASDAQ continues to rely on to serve its listed companies. He spent 14 years at Prudential Securities in senior management roles, including President of PrudentialSecurities.com, Head of Corporate Finance (where he tripled revenue and doubled productivity per investment banker over three years), Head of Technology Investment Banking and Head of Global Equity Capital Markets (where his department ranked #1 in a poll of other equity syndicate professionals for two years running). He oversaw more than 1,000 IPO’s, Follow-on offerings and convertible transactions and was an innovator in new issue systems and transaction structures and was behind a number of innovations in the equity capital markets including, “Capped jump-ball” institutional pots, incentive underwriting structures, the “Evergreen” diligence process that underlies most modern follow-on offerings, the first use of algorithms to improve the quality of

retail distribution on new issues and the first “Accelerated book build” transaction.

Professional qualifications and memberships

- Member of the NYSE and NVCA’s (National Venture Capital Association) *Blue Ribbon Panel*

Community involvement

- Chairman of Board of Tuesday’s Children

Publications

- *Why are IPOs in the ICU?*
- *Market structure is causing the IPO crisis – and more*

- *A wake up call for America*

Education

David holds an MBA from the Stern School of Business and a BA from Wesleyan University. He studied on exchange at The Sorbonne, Ecole des Hautes Etudes Commerciales and The Stockholm School of Economics.

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