

FIGURE 19-1
Penalty Guidelines

Violation Level I	Violation Level II	Competition Penalties: Postseason Ban *Competition penalties may be used singularly or in combination		
Aggravation		2 to 4 years		
Standard	Aggravation	1 to 2 years		
Mitigation	Standard	0 to 1 year		
	Mitigation	0		

Violation Level I	Violation Level II	Financial Penalties: Fine (Percent of total budget for sport program)	Financial Penalties: Negate revenue from sport program for years in which violations occurred	Financial Penalties: Reduce or eliminate NCAA monetary distribution for sports sponsorship and/or grants-in-aid
Aggravation		\$5,000 plus 3 to 5%	Impose this penalty if greater than percent of budget fine + \$5,000.	Alternative financial penalty
Standard	Aggravation	\$5,000 plus 1 to 3%		Alternative financial penalty
Mitigation	Standard	\$5,000 plus 0 to 1%		Alternative financial penalty
	Mitigation	\$5,000*		Alternative financial penalty
		* A minimum \$5,000 financial penalty will be imposed to ensure the penalty will be at least as significant as the fine imposed for a Level III violation.		

Violation Level I	Violation Level II	Scholarship Reductions of Involved Sport(s) Program(s)*		
Aggravation		25 to 50%	* For cases in which financial aid overages have occurred, a minimum 2-for-1 reduction in financial aid awards shall apply up to at least 20% of the team financial aid limit.	
Standard	Aggravation	12.5 to 25%		
Mitigation	Standard	0 to 12.5%		
	Mitigation	0 to 5%		

Violation Level I	Violation Level II	Show-Cause Order	Restrictions	
Aggravation		5 to 10 years	All athletically related duties	
Standard	Aggravation	2 to 5 years	All or partial coaching and recruiting duties (including game suspensions)	
Mitigation	Standard	1 to 2 years	All or partial coaching and recruiting duties (including game suspensions)	
	Mitigation	0 to 1 years	All or partial coaching and recruiting duties (including game suspensions)	

Violation Level I	Violation Level II	Head Coach Restrictions (game suspensions via show cause for 11.1.2.1)		
Aggravation		50 to 100% of season		
Standard	Aggravation	30 to 50% of season		
Mitigation	Standard	0 to 30% of season		
	Mitigation	0 to 10% of season		

Violation Level I	Violation Level II	Recruiting Visit Restrictions	Recruiting Communication Restrictions	Off-Campus Recruiting Restrictions
Aggravation		<p>25 to 50% 14- to 26-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>25 to 50% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 15 to 28 visits (need to account for unused visits from the previous year, if any) Basketball: 4 to 6 visits Baseball: 7 to 13 visits</p>	<p>25 to 50% 14- to 26-week ban on communication with all prospective student-athletes</p>	<p>25 to 50% Sports with no limits: 14- to 26-week ban on all contacts and evaluations</p> <p>25 to 50% cuts in Recruiting Person Days (RPD) or Evaluation Days (ED)</p> <p>Men's Basketball: 34 to 65 (RPD) Women's Basketball: 26 to 50 (RPD) Football: 11 to 21 Fall; 44 to 84 Spring (ED) Softball: 13 to 25 (ED) Women's Volleyball: 21 to 40 (ED)</p>

Violation Level I	Violation Level II	Recruiting Visit Restrictions	Recruiting Communication Restrictions	Off-Campus Recruiting Restrictions
Standard	Aggravation	<p>12.5 to 25 % 7- to 13-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>12.5 to 25% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 8 to 14 visits (need to account for unused visits from the previous year, if any) Basketball: 2 to 3 visits Baseball: 4 to 7 visits</p>	<p>12.5 to 25 % 7- to 13-week ban</p>	<p>12.5 to 25 % No limit sports: 7- to 13-week ban</p> <p>Men's Basketball: 17 to 33 (RPD) Women's Basketball: 13 to 25 (RPD) Football: 6 to 11 Fall; 22 to 42 Spring (ED) Softball: 7 to 13 (ED) Women's Volleyball: 11 to 20 (ED)</p>
Mitigation	Standard	<p>0 to 12.5% 0 to 6-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>0 to 12.5% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 0 to 7 visits (need to account for unused visits from the previous year, if any) Basketball: 0 to 2 visits Baseball: 0 to 4 visits</p>	<p>0 to 12.5% 0 to 6-week ban</p>	<p>0 to 12.5% No limit sports: 0 to 6-week ban</p> <p>Men's Basketball: 0 to 17 (RPD) Women's Basketball: 0 to 13 (RPD) Football: 0 to 6 Fall; 0 to 21 Spring (ED) Softball: 0 to 7 (ED) Women's Volleyball: 0 to 10 (ED)</p>
	Mitigation	<p>0 to 5% 0 to 3-week ban on unofficial visits (no scheduled unofficial visits and no complimentary tickets)</p> <p>0 to 5% cuts in official paid visits (based on the average number provided during the previous 4 years)</p> <p>Football: 0 to 3 visits Basketball: 0 to 1 visit Baseball: 0 to 2 visits</p>	<p>0-5% 0 to 3-week ban</p>	<p>0 to 5% No limit sports: 0 to 3-week ban</p> <p>Men's Basketball: 0 to 17 (RPD) Women's Basketball: 0 to 5 (RPD) Football: 0 to 3 Fall; 0 to 9 Spring (ED) Softball: 0 to 3 (ED) Women's Volleyball: 0 to 4 (ED)</p>

Violation Level I	Violation Level II	Probation		
Aggravation		6 to 10 years		
Standard	Aggravation	2 to 6 years		
Mitigation	Standard	0 to 2 years		
	Mitigation	0 years		