

Growing Road Network For the
Emerging Economy of Pakistan

PRE-QUALIFICATION DOCUMENT

OF

**CHINA – PAKISTAN ECONOMIC CORRIDOR (CPEC)
WESTERN ROUTE: NEW FOUR LANE HIGHWAY FACILITY
FROM BARAHMA BAHTAR TO D. I. KHAN AS PART OF
WESTERN ROUTE OF CHINA – PAKISTAN ECONOMIC
CORRIDOR (CPEC) 285 KM APPROX., PACKAGES: I TO V.**

Issued to: _____

Package(s): _____



General Manager (P&CA)

National Highway Authority

28-Minor Avenue, G-9/1, Islamabad, Pakistan. Website: www.nha.gov.pk

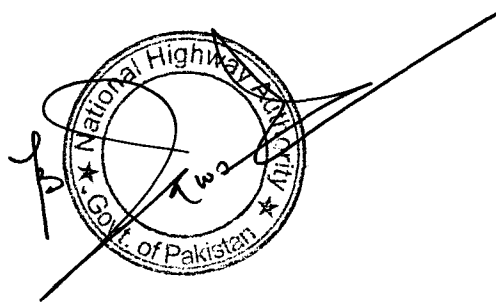
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PART - 1

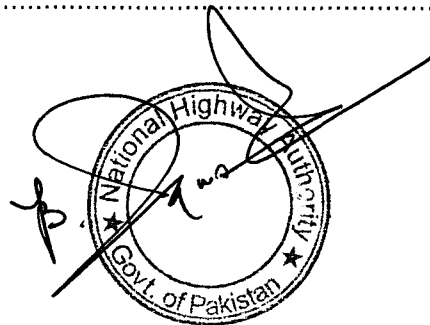
Pre-qualification Procedures



Section I. Instructions to Applicants

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Section I. Instructions to Applicants

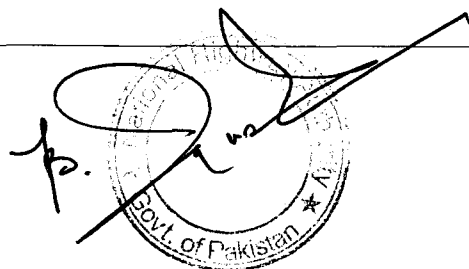
A. General

1. **Scope of Application** 1.1 In connection with the Invitation for Pre-qualification indicated in Section II, Pre-qualification Data Sheet (PDS), the Employer, as defined in the **PDS**, issues this Pre-qualification Document (PQD) to applicants interested in bidding for the works described in Section V, Scope of Works. The name of Project, number of contracts and the name and identification of each contract, corresponding to this pre-qualification, are provided in the **PDS**.
2. **Source of Funds** 2.1 The source of funds shall be Government of Pakistan (GOP).
3. **Corrupt and Fraudulent Practices** 3.1 The Employer requires that Applicants, Bidders, Suppliers, Contractors, and Consultants under these contracts, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy, the Employer:

Defines, for the purposes of this provision, the terms "corrupt and fraudulent practices" as follows:

"corrupt and fraudulent practices" includes the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official or the supplier or contractor in the procurement process or in contract execution to the detriment of the procuring agencies; or misrepresentation of facts in order to influence a procurement process or the execution of a contract, collusive practices among bidders (prior to or after bid submission) designed to establish bid prices at artificial, non-competitive levels and to deprive the procuring agencies of the benefits of free and open competition and any request for, or solicitation of anything of value by any public official in the course of the exercise of his duty.

4. **Eligible Applicants** 4.1 An Applicant shall be a private, public or government owned legal entity, subject to ITA 4.6, or any combination of them in the form of association(s) including Joint Venture (JV) with the formal intent, as evidenced by a letter of intent, to enter into an agreement or under an existing agreement. In the case of a JV, unless otherwise specified in the **PDS**, (i) all parties shall be jointly and severally liable, and (ii) Number of JV Partners including the in-charge and subcontractor(s) if any (to be considered for qualifications under ITA 23.3) must not exceed five.
- 4.2 The eligibility criteria listed in this Clause 4 shall apply to the Applicant, including the parties constituting the Applicant, i.e., its proposed partners, subcontractors or suppliers for any part of the Contract including related services.
- 4.3 An Applicant and all parties constituting the applicant shall have been registered with Pakistan Engineering Council (PEC) and shall have a valid registration Certificate in Category as mentioned in **PDS**.



- 4.4 Applicants and all parties constituting the Applicant shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they participated as a consultant in the preparation of the design or technical specifications of the Works that are the subject of this prequalification. Where a firm, or a firm from the same economic or financial group, in addition to consulting, also has the capability to manufacture or supply goods or to construct works, that firm, or a firm from the same economic or financial group, cannot normally be a supplier of goods or works, if it provided consulting services for the contract corresponding to this prequalification, unless it can be demonstrated that there is not a significant degree of common ownership, influence or control.
- 4.5 An Applicant shall submit only one application in the same Prequalification process, either individually as an Applicant or as a partner of a joint venture. No Applicant can be a subcontractor while submitting an application individually or as a partner of a joint venture in the same Prequalification process. A Subcontractor in any application may participate in more than one application, but only in that capacity. An Applicant who submits, or participates in, more than one application will cause all the applications in which the Applicant has participated to be disqualified.
- 4.6 Applicants shall not be under execution of a Bid-Securing Declaration; shall not be black listed or debarred under Public Procurement Rules, 2004 of the Government of Pakistan.
- 4.7 Applicants and all parties constituting the Applicant shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request including legal and financial autonomy and compliance with Commercial Law in case of Government owned entities of Pakistan.
- 4.8 In case of JVs, one of the partners who is responsible for performing a key function in contract management or is executing a major component of the proposed contract shall be nominated as being in charge during the Pre-qualification and bidding periods and in the event of a successful bid, during contract execution. The partner in charge shall be authorized to incur liabilities and receive instructions for and on behalf of any and all partners of the joint venture; this authorization shall be evidenced by submitting a power of attorney signed by legally authorized signatories of all the partners.



B. Contents of the Prequalification Document

5. Sections of Prequalification Document

- 5.1 The document for the prequalification of Applicants (hereinafter - "prequalification document") consists of parts 1 and 2 which comprise all the sections indicated below, and should be read in conjunction with any Addendum issued in accordance with ITA 7.

PART 1 Prequalification Procedures

- Section I. Instructions to Applicants (ITA)
- Section II. Prequalification Data Sheet (PDS)
- Section III. Qualification Criteria and Requirements
- Section IV. Application Forms

PART 2 Works Requirements

- Section V. Scope of Works

- 5.2 The "Invitation for Prequalification Applications" issued by the Employer is not part of the prequalification document.
- 5.3 The Employer accepts no responsibility for the completeness of the prequalification document and its addenda unless they were obtained directly from the Employer.
- 5.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.

6. Clarification of Prequalification Document

- 6.1 A prospective Applicant requiring any clarification of the Prequalification Document shall contact the Employer in writing at the Employer's address indicated in the **PDS**. The Employer will respond in writing to any request for clarification provided that such request is received no later than seven (07) days prior to the deadline for submission of applications. The Employer shall forward copies of its response to all applicants who have acquired the prequalification document directly from the Employer including a description of the inquiry but without identifying its source. Should the Employer deem it necessary to amend the prequalification document as a result of a clarification, it shall do so following the procedure under ITA 7 and in accordance with the provisions of ITA 16.2.

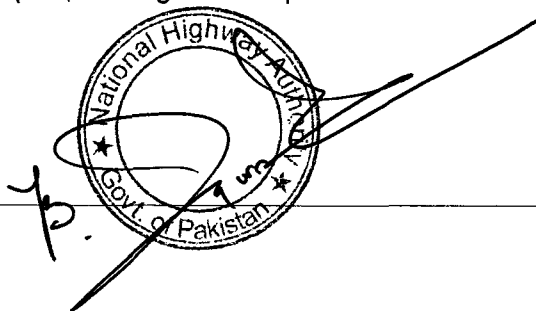
7. Amendment of Prequalification Document

- 7.1 At any time prior to the deadline for submission of applications, the Employer may amend the Prequalification Document by issuing addenda.
- 7.2 Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the prequalification document from the Employer.



C. Preparation of Applications

8. **Cost of Applications** 8.1 The Applicant shall bear all costs associated with the preparation and submission of its application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.
9. **Language of Application** 9.1 The application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified in the **PDS**. Supporting documents and printed literature that are part of the application shall also in same language.
10. **Documents Comprising the Application** 10.1 The application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11;
 - (b) documentary evidence establishing the Applicant's eligibility to pre-qualify, in accordance with ITA 12;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **PDS**.
11. **Application Submission Form** 11.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV, Application Forms. This Form must be completed without any alteration to its format.
12. **Documents Establishing the Eligibility of the Applicant** 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV, Application Forms.
13. **Documents Establishing the Qualifications of the Applicant** 13.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.
14. **Signing of the Application and Number of Copies** 14.1 The Applicant shall prepare one original of the documents comprising the application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.
- 14.2 The Applicant shall submit copies of the signed original application, in the number specified in the **PDS**, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.



The stamp is circular with the text "National Highway Authority" around the top and "Govt. of Pakistan" around the bottom. There are two stars on either side of the text. A handwritten signature is written across the stamp, and a large arrow points from the bottom right towards the top right.

D. Submission of Applications

15. **Sealing and Identification of Applications**
- 15.1 The Applicant shall enclose the original and the copies of the application in a sealed envelope that shall:
- (a) bear the name and address of the Applicant;
 - (b) be addressed to the Employer, in accordance with ITA 16.1; and
 - (c) bear the specific identification of Contract packages under this pre-qualification process as indicated in the **PDS 1.1**.
- 15.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required.
16. **Deadline for Submission of Applications**
- 16.1 Applications shall be received by the Employer at the address and no later than the deadline indicated in the **PDS**. A receipt will be given for all applications submitted. Applicants may always submit their applications by mail or by hand. When so specified in the **PDS**, Applicants shall also submit an electronic copy of their applications as specified in **PDS**.
- 16.2 The Employer may, at its discretion, extend the deadline for the submission of applications by amending the Prequalification Document in accordance with ITA 7, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.
17. **Late Applications**
- 17.1 The Employer may at its discretion, accept the application after the deadline for submission of applications prescribed in ITA 16.
18. **Opening of Applications**
- 18.1 Employer shall open the applications as per its standard procedures and shall prepare a record of the opening of applications that shall include, as a minimum, the name of the Applicant.

E. Procedures for Evaluation of Applications

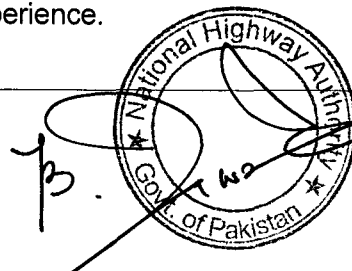
19. **Confidentiality**
- 19.1 Information relating to the evaluation of applications, and recommendation for pre-qualification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of results of pre-qualification is made to all Applicants.
- 19.2 From the deadline for submission of applications to the time of notification of the results of the prequalification in accordance with ITA 26, any Applicant that wishes to contact the Employer on any matter related to the prequalification process, may do so but only in writing.



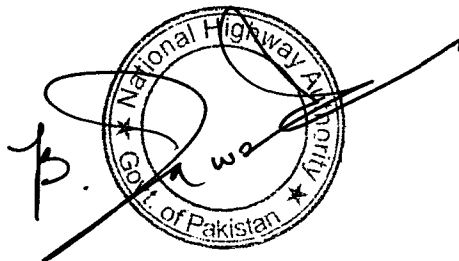
20. **Clarification of Applications**
- 20.1 To assist in the evaluation of applications, the Employer may, at its discretion, ask any Applicant for a clarification of its application which shall be submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.
- 20.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Employer's request for clarification, its application may be rejected.
21. **Responsiveness of Applications**
- 21.1 The Employer may reject any application which is not responsive to the requirements of the prequalification document.
22. **Subcontractors**
- 22.1 Applicants planning to subcontract any of the key activities indicated in Section III, Qualification Criteria, shall specify the activity(ies) or parts of the works to be subcontracted in the Application Submission Form. Applicants shall clearly identify the proposed specialist subcontractors in Forms ELI-1.2 and EXP (experience)-4.2 in Section IV. Such proposed specialist subcontractor(s) shall meet the corresponding qualification requirements specified in Section III, Qualification Criteria and Requirements.
- 22.2 At this time, the Employer does not intend to execute certain specific parts of the Works by subcontractors selected in advance by the Employer (Nominated Subcontractors) unless otherwise stated in the PDS.

F. Evaluation of Applications and Prequalification of Applicants

23. **Evaluation of Applications**
- 23.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements to evaluate the qualifications of the Applicants. The use of other methods, criteria, or requirements shall not be permitted. The Employer reserves the right to waive minor deviations in the qualification criteria if they do not materially affect the capability of an Applicant to perform the contract.
- 23.2 The Employer shall also evaluate the performance of the applicant against its completed and ongoing project with the recommendations of construction wing NHA. NHA may disqualify the Applicants to whom a slow progress notice has been issued.
- 23.3 Only the qualifications of subcontractors that have been identified in the application may be considered in the evaluation of an Applicant. However, the general experience and financial resources of subcontractors may not be added to those of the Applicant for purposes of prequalification of the Applicant.
- 23.4 In case of multiple contracts, the Employer shall pre-qualify each Applicant for the maximum number and types of contracts for which the Applicant meets the appropriate aggregate requirements of such contracts, as specified in Section III, Qualification Criteria and Requirements; except sub-criteria 4.2 Specific (Similar) Experience.



24. **Employer's Right to Accept or Reject Applications** 24.1 The Employer reserves the right to accept or reject any application, and to annul the prequalification process and reject all applications at any time, without thereby incurring any liability to Applicants.
25. **Prequalification of Applicants** 25.1 All Applicants whose applications have met or exceeded ("passed") the specified threshold requirements will, to the exclusion of all others, be pre-qualified by the Employer.
26. **Notification of Prequalification** 26.1 Once the Employer has completed the evaluation of the applications it shall notify all Applicants in writing of the names of those applicants who have been pre-qualified.
27. **Invitation to Bid** 27.1 Promptly after the notification of the results of the prequalification the Employer shall invite bids from all the Applicants that have been pre-qualified.
- 27.2 Bidders may be required to provide a Bid Security acceptable to the Employer in the form and an amount to be specified in the Bidding Documents, and the successful Bidder shall be required to provide a Performance Security to be specified in the Bidding Documents.
28. **Changes in Qualifications of Applicants** 28.1 Any change in the structure or formation of an Applicant after being pre-qualified in accordance with ITA 25 and invited to bid shall be subject to a written approval of the Employer prior to the deadline for submission of bids. Such approval shall be denied if as a consequence of the change the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria and Requirements, or if in the opinion of the Employer, a substantial reduction in competition may result. Any such changes shall be submitted to the Employer not later than seven (07) days after the date of the Invitation for Bids.



Section II. Prequalification Data Sheet

A. General

ITA 1.1	National Highway Authority 28-Mauve Area, Sector G-9/I, Islamabad (Pakistan) Phone # 92-51-9032727, Fax # 92-51-9260419
ITA 1.1	China – Pakistan Economic Corridor (CPEC) Western Route: New Four Lane Highway Facility from Barahma Bahtar to D. I. Khan as Part of Western Route of China – Pakistan Economic Corridor (CPEC) 285 Km Approx., Packages: I [Km 0+00 to Km 57+00 (Tentative)]; Packages: II [Km 58+00 to Km 114+00 (Tentative)]; Packages: III [Km 115+00 to Km 171+00 (Tentative)]; Packages: IV [Km 172+00 to Km 228+00 (Tentative)]; Packages: V [Km 229+00 to Km 285+00 (Tentative)].
ITA 4.3	Category C-A (no limit) with specialization in CE-01, 02 & 10. In case of foreign bidder, PEC registration is not required at pre-qualification stage; however, a foreign prequalified firm is entitled to bid only in a joint venture with a Pakistani constructor in accordance with the relevant provisions of PEC bye-laws.
ITA 4.8	Number of JV Partners including the in-charge, and subcontractor(s) if any (to be considered for qualifications under ITA 23.3) must not exceed five; non compliance in this regard shall cause the applicant to be non-responsive for pre-qualification and result in to rejection of its Application without evaluation.

B. Contents of the Prequalification Document

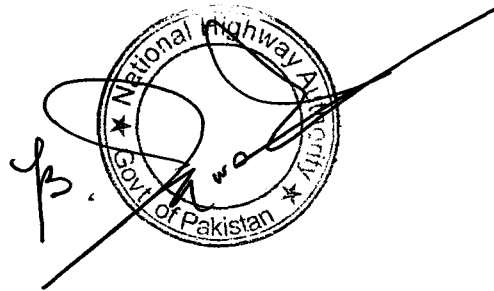
ITA 6.1	General Manager (Procurement & Contract Administration) National Highway Authority 28-Mauve Area, Sector G-9/I, Islamabad (Pakistan) Phone # 92-51-9032727, Fax # 92-51-9260419 E-mail: gmpca.nha@gmail.com , www.nha.gov.pk
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C. Preparation of Applications

ITA 9.1	<p>The language of the application as well as of all correspondence is English. The information / documents in non-English language without authentic translation shall not be considered for evaluation. The translated documents shall be considered for evaluation only if following conditions have been fulfilled:</p> <p>a. notary certificates (original) as per the law of their country have been provided for each such translated document.</p> <p style="text-align: center;">- and -</p> <p>b. the translated documents have been duly verified [signed and stamped (in original) and with the written statement of the attester (the authorized officer in the Embassy) that this is a true copy of the documents translated in English duly notarized and is authentic"] from the Embassy of the applicant's country of constitution in Pakistan.</p>
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	<p>incorporation, memorandum of association and other documents as per law of their country.</p> <p>(ii) Each page of prequalification document must be signed and stamped by legally authorized representative of the firm / JV. For the said purpose, Power of Attorney shall be on judicial stamp paper with original signatures (scanned and electronic signatures would not be acceptable).</p> <ul style="list-style-type: none"> • Complete signatures, initials and other personal details of the attorney should be provided on Power of Attorney. • Principal (issuing authority of power of attorney) shall provide the legal documents establishing his authority to sign power of attorney on behalf of company / JV. • Documents not duly signed and stamped shall not be considered for evaluation purpose.
ITA 14.2	One Copy. Applicants shall also submit one electronic copy in PDF Format with the Application on digital CD.
D. Submission of Applications	
ITA 16.1	The Last date for submission of Application is 28th December 2015 up to 1530 hours at the address mentioned at ITA 6.1.
ITA 26.1	Employer will inform the pre-qualified and disqualified bidders separately about their own status after the completion of evaluation process.
ITA 29	Capacity of prospective bidders to win more than one (01) package, based on lowest evaluated responsive bids, shall be based on the pre-qualification criteria given under Section III (for aggregate requirements) which shall be intimated to the prequalified Applicants only.

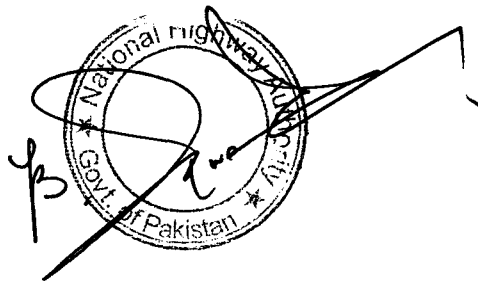


Section III. Qualification Criteria and Requirements

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

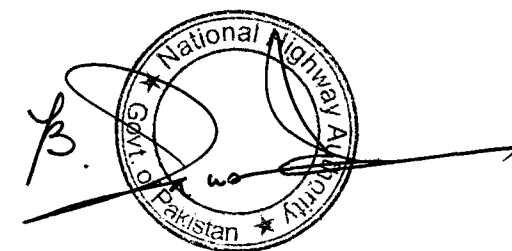
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Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
1. Eligibility							
1.1	Registration with PEC	Registration Certificate in accordance with ITA Sub-Clause 4.3.	Must meet	Not applicable	*	Not applicable	Forms ELI – 1.1 and 1.2, with attachments.
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA Sub-Clause 4.4.	Must meet	Not applicable	Must meet	Not applicable	Application Submission Form.
1.3	Ineligibility	Not having been declared ineligible by the Employer, as described in ITA Sub-Clause 4.6.	Must meet	Not applicable	Must meet	Not applicable	Application Submission Form.
1.4	Government owned entity	In case of Government owned entity of Pakistan, documents establishing legal and financial autonomy and compliance with commercial law.	Must meet	Not applicable	Must meet	Not applicable	Forms ELI – 1.1 and 1.2 with attachment.

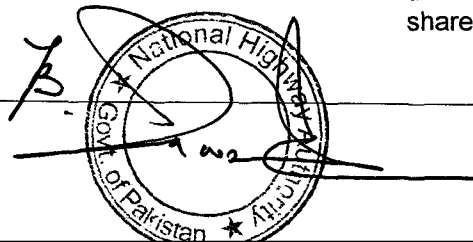
*PEC Registration in relevant category with respect to working share and specialization (CE-01, CE-02 and CE-10) of each partner in the joint venture agreement / intention of joint venture and in accordance with ITA 4.8 under PDS. The requirement of PEC Registration shall be read in conjunction with ITA 4.3 under PDS.



Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
2. Historical Contract Non-Performance							
2.1	Performance of the applicant and History of Non-Performing Contracts	Non-performance of a contract did not occur within the last 10 years prior to the deadline for application submission based on all information.	Must meet	Not applicable	Must meet	Not applicable	Form CON-2
2.2	Failure to Sign Contract	Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.6 for 10 years; and neither black listed nor debarred to participate for the time period up to submission of Application for Prequalification.	Must meet	Not applicable	Must meet	Not applicable	Application Submission Form
2.3	Resolved/ Pending Litigation	A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted. All pending litigation shall in total not represent more than fifty (50) % of the Applicant's net worth and shall be treated as resolved against the Applicant.	Must meet	Not applicable	Must meet	Not applicable	Form CON – 2



Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
3. Financial Situation							
3.1	Financial Performance	Submission of audited balance sheets, for the last Three [03] years to demonstrate: The current soundness of the applicant's financial position and its prospective long term profitability, to evaluate;					
	a.	Capacity to have cash flow reasonably enough to meet the cash flow requirements of the said works which is: PKR Rs. 2,000 Million for each package separately Following formula will be used for evaluation: Min Cash Flow = (working capital) - (one month's average invoicing of current commitment) + (credit limit). Note: (i) Latest Credit Limit certificate issued by Bank within Last Six Months is required. (ii) Applicant shall provide an undertaking to confirm the amount of credit limit that has already been utilized. Similarly on the same undertaking it shall also confirm the amount that shall remain available exclusively for the current project packages separately. Non-provision of said undertaking by prospective Applicant will lead to consider only part of credit limit for evaluation purpose depending on the number of packages for which an Applicant is seeking Prequalification.	Must meet	Must meet	Must meet as per their JV share	Not Applicable	Form FIN – 3.1 with attachments
	b.	Average Net Worth for last three years PKR Rs. 3,000 Million for each package separately	Must meet	Must meet	Must meet as per their JV share	Not Applicable	Form FIN – 3.1 with attachments
	c.	Net Working Capital for the latest year PKR Rs. 1,500 Million for each package separately	Must meet	Must meet	Must meet as per their JV share	Not Applicable	Form FIN – 3.1 with attachments



Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover as mentioned below, calculated as total certified payments received for contracts in progress or completed, within the last three (03) years: PKR Rs. 8,000 Million for each package separately	Must meet	Must meet	Must meet as per their JV share	Not Applicable	Form FIN – 3.2 with attachments



Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
4. Experience							
4.1	General Experience	Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last 10 years prior to the bid submission deadline. Note: Letter(s) of Award / Acceptance and Completion Certificate(s) must be provided with the Documents.	Must meet	N/A	Must meet	N/A	Form EXP – 4.1
4.2.	Specific (Similar) Experience	Participation as contractor, in at least one [01] highway contract within the last Seven [07] years, with a value of the largest work of at least amount as mentioned below, that have been successfully and substantially completed and that are similar to the proposed works. Value of the largest similar work executed in last 07 years: Rs. 13,000 Million Note: Letter of Award / Acceptance and Completion Certificate/ Client's satisfaction certificate for the completed work must be provided with the Documents.	Must meet	N/A	One partner must meet	N/A	Form EXP 4.2
4.3	Current Commitment	Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded or for which a letter of intent or acceptance has been received, or for Contracts approaching completion, but for which substantial Completion Certificate is yet to be issued. Note: Letters of Award / Acceptance must be provided with the Documents.	Must Provide	N/A	Must Provide	N/A	Form EXP 4.3



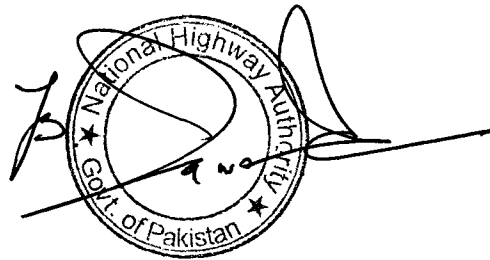
Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
5. Equipment Capabilities							
	(A)	Total equipment available with the applicant is to be listed along with its current mobilization on on-going projects	Must provide	N/A	Must provide	N/A	Form Eqp-5.1(A)
	(B)	Proposed arrangements to meet the requirement of equipments for the execution of these works is also to be listed.	Must meet	Must meet	N/A	N/A	Form Eqp-5.1(B)
6. Key Staff							
		Key staff available with the applicant as proposed to meet with the requirement of the package, is to be listed.	Must meet	Must meet	N/A	N/A	Form Stf-6.1



Section IV. Application Forms

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Application Submission Form

Date: _____, 20....

Contract:

General Manager (Procurement & Contract Administration)
National Highway Authority
28-Mauve Area, Sector G-9/I, Islamabad (Pakistan)

We, the undersigned, apply to be pre-qualified for the Package *[insert number]* and declare that:

- (a) we have examined and have no reservations to the Pre-qualification Documents, including Addendum(s) No(s), issued in accordance with Instructions to Applicants (ITA) Clause 7;
- (b) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, do not have any conflict of interest, in accordance with ITA Sub-Clause 4.4;
- (c) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, have not been declared ineligible by any Employer in the world, or under the Public Procurement Rules 2004 of Government of Pakistan, or under execution of a Bid Securing Declaration in the world;
- (d) we, in accordance with ITA Sub-Clause 22.1 plan to/ not to [select as appropriate] subcontract the works /or parts of the works;
- (e) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the pre-qualified applicants to bid for the contract subject of this pre-qualification, without incurring any liability to the Applicants, in accordance with ITA Clause 24.


Signed *[insert signature(s) of the authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign
the application for and on behalf of: Applicant's Name *[insert full name of Applicant]*
Address *[insert street number/town or city and country]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]* _____



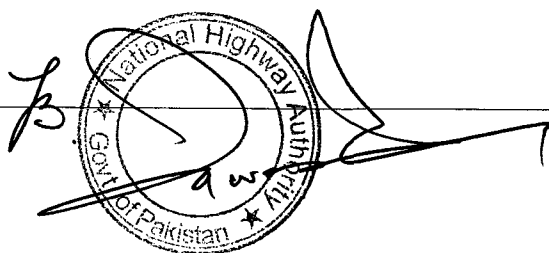
Form ELI -1.1 Applicant Information Form

Date: _____, 20....

Contract:

Page _____ of _____

Applicant's legal name:	
In case of Joint Venture (JV), legal name of each partner:	
Applicant's Actual or Intended country of constitution:	
Applicant's actual or Intended year of constitution:	
Applicant's legal address in country of constitution:	
PEC Registration Category _____ Validity _____	
Detail of Owners of Applicants Name: Address: Telephone/Fax numbers: E-mail address:	Authorized representative information Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of: [Tick mark (✓) on the following box(es) if documents are attached:] <input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and documents of registration of the legal entity named above, in accordance with ITA 4.1. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.1. <input type="checkbox"/> In case of Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.7. <input type="checkbox"/> Valid Registration Certificate from PEC.	



Form ELI -1.2

Applicant's Partners Information Form

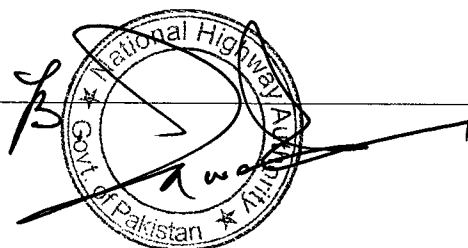
[The following form shall be filled in for the partners of a joint venture and subcontractors pursuant to ITA 4.8 under PDS]

Date: _____, 20....

Contract: _____

Page ____ of ____

JV applicant legal name:
Applicant's Partner/ Subcontractor's legal name:
Applicant's Partner/ Subcontractor's country of registration:
Applicant Partner/ Subcontractor's year of constitution:
Applicant Partner/ Subcontractor's legal address in country of constitution:
Applicant Partner/ Subcontractor's owner or authorized representative information: Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of: [Tick mark (✓) on the following box(es) if documents are attached:] <input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and Registration Documents of the legal entity named above, in accordance with ITA 4.1. <input type="checkbox"/> In case of a Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.7. <input type="checkbox"/> Valid Registration Certificate from PEC.



Form CON – 2 Performance of Applicant

(To be filled for each Partner in case of JV and Subcontractors pursuant to ITA 4.8 under PDS)

Date: _____, 20....

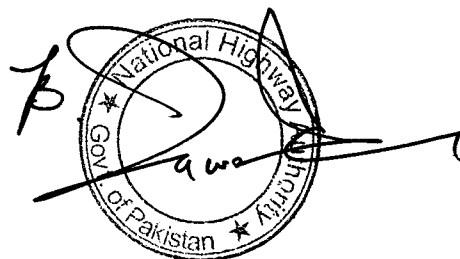
Applicant's Legal Name: _____

OR Joint Venture Partner's/ Subcontractor's Legal Name: _____

Contract:

Page _____ of _____

Non-Performing Contracts in accordance with Section III, Qualification Criteria and Requirements			
Tick mark (✓) one of the following boxes which prevails:			
<input type="checkbox"/> Contract non-performance did not occur during the 10 (Ten) years specified in Section III, Qualification Criteria and Requirements, Sub-Criteria 2.1.			
<input type="checkbox"/> Contract(s) not performed during the 10 (Ten) years specified in Section III, Qualification Criteria and Requirements, Sub-Criteria 2.1 are indicated below:			
Year	Non performed portion of contract	Contract Identification	Total Contract Amount current value Rs. (equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: Name of Employer: _____ Address of Employer: Reason(s) for non performance:	
Add as many as required			

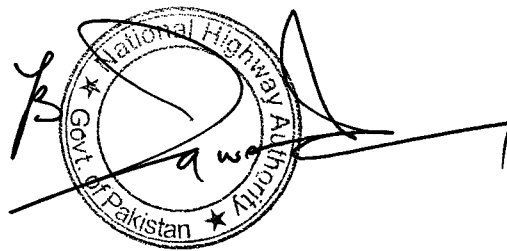


Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements

Tick mark (✓) one of the following boxes which prevails:

- No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Criteria 2.3.
- Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub- Criteria 2.3 is indicated below.

Year	Outcome as Percentage of Total Assets	Contract Identification	Total Contract Amount (current value, Rs.)
[insert year]	[insert percentage]	Contract Identification: Name of Employer: Address of Employer: Matter in dispute:	
Add as many as required			



Form FIN – 3.1 Financial Situation

(To be filled for each Partner in case of JV)

Date: _____, 20....

Applicant's Legal Name: _____

OR Joint Venture Partner's Legal Name: _____

Contract:

Page _____ of _____

1. Financial data

Financial information	Historic information for previous years [Rupees in million (Equivalent)]		
	2013/14	2012/13	2011/12
Information from Balance Sheet			
Total Assets (TA)			
Total Liabilities (TL)			
Net Worth (NW)			
Current Assets (CA)			
Current Liabilities (CL)			
Net working Capital.			
Information from Income Statement			
Total Revenue (TR)			
Profits Before Taxes (PBT)			



2. Financial documents

The Applicant shall provide duly attested copies of the balance sheets and/or financial statements for 03 (Three) years pursuant to Section III, Qualifications Criteria and Requirements, Sub-Criteria 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant and not sister or parent companies.
- (b) be audited by a certified accountant preferably having satisfactory QCR rating.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

[Tick mark (✓) on the following box if documents are attached:]

- Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the 03 (Three) years required above; and complying with the requirements.



Form FIN - 3.2 Average Annual Turnover

(To be filled for each Partner in case of JV)

Date: _____, 20....

Applicant's Legal Name: _____
OR Joint Venture Partner's Legal Name: _____

Contract:

Page ____ of ____

Annual turnover data (construction only)

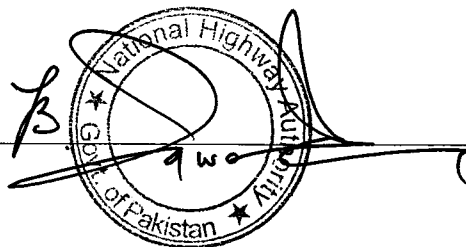
Year	Amount and Currency	Rupees in million (Equivalent)
2012		
2013		
2014		
Average Annual Turnover *		

* Average annual turnover calculated as total certified payments received during the three years for works in progress or completed, divided by three.

Specific proposed sources of financing to meet the cash flow of the Package(s).

Source of Financing	Amount (Pak Rs or equivalent)
1.	
2.	
3.	

Attach audited financial statements for the last three years (for individual applicant or each partner of joint venture)



Form EXP - 4.1 General Construction Experience

(To be filled for each Partner in case of JV)

Date: _____, 20....

Applicant's Legal Name: _____
OR Joint Venture Partner's Legal Name: _____

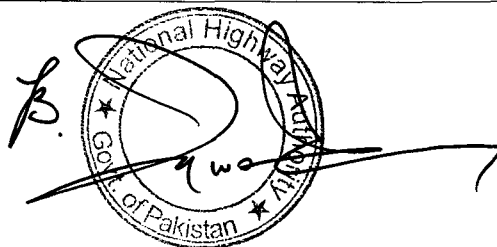
Contract:

Page _____ of _____

[Identify contracts that demonstrate construction works over the past ten [10] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Criteria 4.1. List contracts chronologically, according to their commencement dates.]

Date of award	Date of completion	Contract Identification	Role of Applicant
		Contract name: _____ Brief Description of the Works performed by the Applicant: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	<i>(insert "Contractor" or "Subcontractor" or "Contract Manager")</i>
		Contract name: _____ Brief Description of the Works performed by the Applicant: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	

Letter(s) of Award / Acceptance and Completion Certificate(s) must be attached.



Form EXP - 4.2 Specific (Similar) Construction Experience

[The following table shall be filled in for contracts performed by the Applicant, each partner of a Joint Venture, and specialist sub contractors pursuant to ITA 4.8 under PDS]

Date: _____, 20....

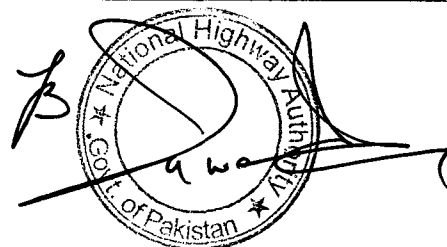
Applicant's Legal Name: _____
OR Joint Venture Partner's Legal Name: _____

Contract:

Page _____ of _____

[Identify similar contracts over the past seven (07) years pursuant to Section-III, Qualification Criteria and Requirements, Sub-Criteria 4.2. List contracts chronologically, according to their commencement (starting) dates]

Similar Contract No.	Information		
Contract Identification			
Total Contract Amount			
Award date (Letter of Award)			
Completion date (completion Certificate)			
Role in Contract	Contractor	Management Contractor	Subcontractor
If partner in a JV, or subcontractor	%age Amount (specify participation)	Total Amount	
Employer's Name:			
Address: Telephone/ fax number: E-mail:			
Description of the similarity in			
1. Physical size			
2. Complexity			
3. Method / Technology			
Letter of Award / Acceptance and Completion Certificate/ Client's satisfaction certificate for the completed work must be provided with the Documents			



Form EXP - 4.3
Current Commitments
(Projects/Works in Hands/ Progress)

(To be filled for each Partner in case of JV)

Date: _____, 20.....

Applicant's Legal Name: _____
 OR Joint Venture Partner's Legal Name: _____

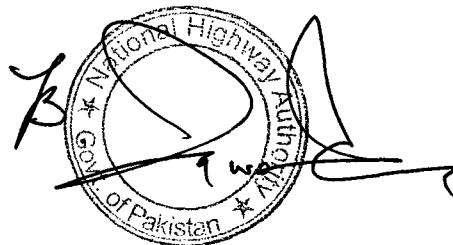
Contract:

Page _____ of _____

[Identify contracts that demonstrate construction works pursuant to Section III, Qualification Criteria and Requirements, Sub-Criteria 4.3. List contracts chronologically, according to their commencement (starting) dates.]

Contract Identification	Client and Contact Details	Starting Month / Year	Completion Month / Year (planned)	Total Contract Amount (Rs Million or equivalent)	Amount of out standing works (Rs Million or equivalent)	Average monthly invoicing over last six months (Rs Million or equivalent)
01						
02						

[In accordance with Section-III, Qualification Criteria and requirements – Sub-Criteria 3.1 and Sub-Criteria 4.3 to substantiate the adequacy of the sources of finance to meet the applicant's cash flow requirements on the said contracts].



Form EQP – 5.1(A)
Equipment Capabilities
List of Equipment (working) available with the Firm
along with its Current Mobilization

[The following table shall be filled in for the individual Applicant OR for each partner in case of a Joint Venture]

Date: _____, 20....

Applicant's Legal Name: _____

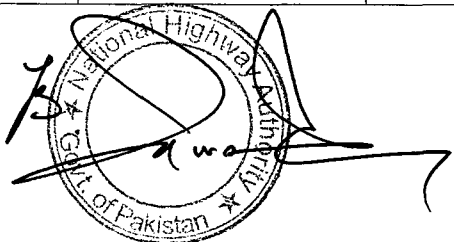
OR Joint Venture Partner's Legal Name: _____

Contract:

Page _____ of _____

[Identify equipment resources pursuant to Section III, Qualification Criteria and Requirements, Sub-Criteria 5.1(A). List of Equipment (working) available with the Firm along with its Current Mobilization on on-going Projects].

Description of Equipment (manufacturer, model, capacity etc.)	Ownership status (owned, leased, hired)	Currently mobilized	
		Project Location	Committed Time
01			
02			



Form STF – 6.1
KEY STAFF
List of Key Staff for these Works

[The following table shall be filled in for the individual Applicant OR for each partner in case of Joint Venture]

Date: _____, 20....

Applicant's Legal Name: _____

OR Joint Venture Partner's Legal Name: _____

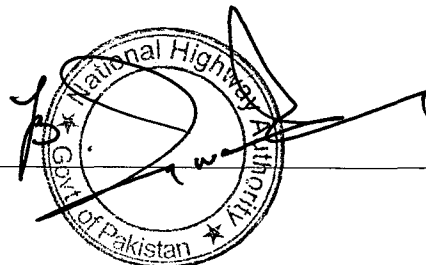
Contract: _____

Page _____ of _____

Sr. No	Name of Key Staff	Designation	Nos.	CNIC No.	PEC Registration No.	Qualification	Experience
1.							
2.							

Note:

- The Applicant must provide CVs of Key Staff alongwith their confirmation of availability for the package.
- Organization Chart of the firm and proposed organization chart for project package(s) shall also be provided.



PART - 2

Works Requirements



Section V. Scope of Works

Contents

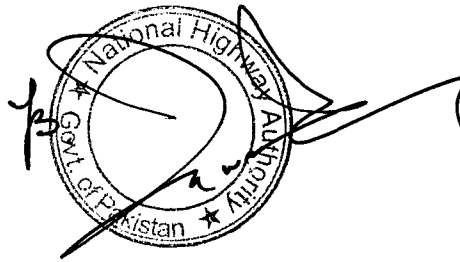
1. The Project	38
2. Description of the Works	39
3. Construction Period	40



1. The Project

Date: _____, 20....

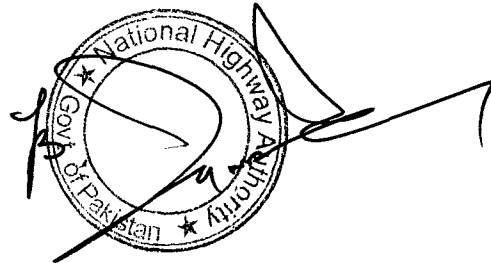
The Project is part of western route alignment of China Pakistan Economic Corridor (CPEC) which consists of construction of a new 4-Lane dual carriageway approximately 285 Km long starting at Barahma Bahtar interchange on motorway M-1 (near Hassanabdal) and ending at Yarik on National Highway N-55 (near D.I. Khan). The road has been divided into five contract packages (I to V) of almost equal length. The construction activity will be started on all the five packages simultaneously and is planned to be completed in 36 months period.



2. Description of the Works

Following information is intended to provide for a preliminary guidance and general information to the prospective Applicants without taking any responsibility by the Employer of its finality. The Applicant, shall be deemed to have inspected and examined the site and its surroundings; a linear plan is however, attached.

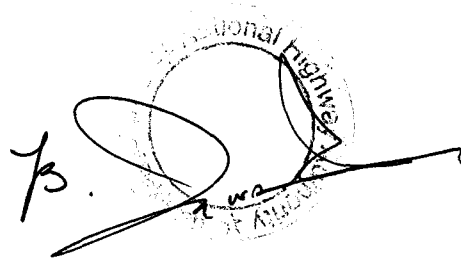
The project consists of construction of a new 4-Lane flexible/ asphalt concrete pavement dual Carriageway road facility approximately 285 Km long with earthen subgrade. The construction activity also comprises of three major bridges, one of which will be constructed on Pakistan's largest river i.e. Indus. The road will cross river Indus at Kala Bagh (Mianwali). Moreover, three flyovers will be constructed to cross over minor highways & Railway track and five interchanges to facilitate maximum population. The planned alignment will pass through Iskandarabad, Kamar Mashani, Kalur, Isa Khel, Kundal, Wanda Mir Alam and Yarik. The major construction materials are available not far from the roadway alignment. Major portion of the roadway alignment passes through rolling and plain terrain with some portion in mountainous terrain. The corridor passes through areas with moderate to hot tropical climatic conditions.



3. Contract Period

Completion period of the Project is

30 Months (Approximately) of each package (separately) but all packages will be constructed simultaneously - (Final completion period will be mentioned in the Bidding Documents)



A handwritten signature, possibly 'Y.B.', is written over a circular stamp. The stamp contains the text 'National Highway' at the top and 'of India' at the bottom. The signature is written in black ink and is somewhat stylized.



FEASIBILITY STUDY FOR 04 LANE EXPRESSWAY FROM D.I KHAN TO BARAHMA BAHTAR (ON M1)



Background Map

Terrain Map

