

## Power Series with Restricted Coefficients

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Define a family of functions

$$\mathcal{F} = \left\{ 1 + \sum_{n=1}^{\infty} a_n x^n : a_n \in \{-1, 0, 1\} \right\}$$

and three closed subsets of the open interval  $(0, 1)$ :

$$\Omega_2 = \{x : \exists f \in \mathcal{F} \text{ for which } f(x) = f'(x) = 0\},$$

$$\Omega_3 = \{x : \exists f \in \mathcal{F} \text{ for which } f(x) = f'(x) = f''(x) = 0\},$$

$$\Omega_4 = \{x : \exists f \in \mathcal{F} \text{ for which } f(x) = f'(x) = f''(x) = f'''(x) = 0\}.$$

Elements of  $\Omega_2$  are called **double zeroes**, those of  $\Omega_3$  **triple zeroes** and those of  $\Omega_4$  **quadruple zeroes**. For each  $k = 2, 3, 4$ , define [1]

$$\alpha_k = \min \Omega_k, \quad \tilde{\alpha}_k = \sup \Omega_k^c$$

where  $\Omega_k^c$  is the complement of  $\Omega_k$  in  $(0, 1)$ . The structure of  $\Omega_k$  is very complicated – it appears to possess infinitely many connected components – but provably  $\alpha_2 = 0.6684756\dots$  and conjecturally

$$\tilde{\alpha}_2 = 0.669\dots, \quad \alpha_3 = 0.743\dots, \quad \tilde{\alpha}_3 \approx 0.75\dots$$

No one has yet examined  $\alpha_4$  or  $\tilde{\alpha}_4$  numerically, as far as is known. Elements of  $\Omega_2^c$  are said to satisfy a certain **transversality condition**, in the sense that  $y \in \Omega_2^c$  and  $f(y) = 0$  imply that  $f'(y) \neq 0$  for all  $f \in \mathcal{F}$ . Such a property is useful in [2] for a seemingly unrelated analysis of fractals.

Define instead

$$\hat{\mathcal{F}} = \left\{ 1 + \sum_{n=1}^{\infty} a_n x^n : a_n \in \{-2, -1, 0, 1, 2\} \right\}$$

and  $\hat{\Omega}_2$  to be the corresponding set of double zeroes in  $(0, 1)$ . In this case,  $\min \hat{\Omega}_2$  is precisely  $1/2$  and is an isolated point of  $\hat{\Omega}_2$ . Removing  $1/2$  from  $\hat{\Omega}_2$  appears to give

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a connected set (that is, an interval) and the minimum of this set is conjectured to be  $\approx 0.5437$ . The fact that  $\Omega_2$  and  $\hat{\Omega}_2$  are so distinct topologically is very striking [1].

A different family of functions, studied earlier in [3, 4], is

$$\mathcal{G} = \left\{ 1 + \sum_{n=1}^{\infty} b_n x^n : b_n \in [-1, 1] \right\}.$$

Let  $\beta_k$  denote the associated minimum zero of order  $k$  (at least) of  $g$ , taken over all  $g \in \mathcal{G}$ . It turns out that  $\beta_k$  is always algebraic:  $\beta_2 = 0.6491378608\dots$  has minimal polynomial

$$2z^5 - 8z^2 + 11z - 4,$$

$\beta_3 = 0.7278832326\dots$  has minimal polynomial

$$10z^{12} - 14z^{11} + 14z^6 - 10z^5 - 80z^3 + 185z^2 - 147z + 40,$$

and  $\beta_4 = 0.7773295434\dots$  has minimal polynomial

$$\begin{aligned} &126z^{22} - 296z^{21} + 176z^{20} + 44z^{12} - 104z^{11} + 54z^{10} + 96z^7 \\ &- 146z^6 + 56z^5 - 684z^4 + 2236z^3 - 2797z^2 + 1584z - 342. \end{aligned}$$

Of course,  $\beta_1 = 1/2$ , which corresponds to  $g(x) = 1 - \sum_{n=1}^{\infty} x^n$ . The following least squares approximation

$$\beta_k \approx 1 - \frac{1}{(1.23909318\dots) + (0.81255949\dots)k}$$

was obtained in [4] and is based on data up to  $k = 27$ . We wonder if more precise asymptotics are feasible. Additional relevant references include [5, 6, 7].

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