# RESEARCH PAPER

# Human Surfactant Proteins A2 (SP-A2) and B (SP-B) Genes as Determinants of Respiratory Distress Syndrome

WALAA A ABUELHAMED, NANCY ZEIDAN, WALAA A SHAHIN, \*HODA I RIZK AND #WALAA A RABIE

From Departments of Pediatrics, \*Public Health and Community Medicine, and #Clinical and Chemical Pathology; Faculty of Medicine, Cairo University, Cairo, Egypt.

Correspondence to: Dr W Rabie, Lecturer, Department of Clinical and Chemical Pathology, Faculty of Medicine, Cairo University, Cairo, Egypt. wl.rabie@gmail.com

Received: August 20, 2014; Initial review: November 18, 2014; Accepted: February 02, 2015.

**Objective**: To study the relationship between *SP-A2* and *SP-B* gene polymorphisms and respiratory distress syndrome in preterm neonates.

Design: Cross-sectional.

**Setting:** Neonatal intensive care unit and the Molecular Biology unit of the Chemical Pathology Department, Kasr Alainy hospital, Cairo University.

**Participants:** Sixty-five preterm infants with respiratory distress syndrome and 50 controls. The genomic DNA was isolated using DNA extraction kits. SYBR Greenbased real-time PCR was used to determine the variant genotypes of *SP-A2* c.751 G>A and *SP-B* c.8714 G>C single nucleotide polymorphisms.

**Results**: Homozygosity of *SP-A* (OR 46, 95% CI 14-151) and *SP-B* (OR 5.2, 95% CI 2.3-11.4) alleles increased the risk of respiratory distress syndrome. The logistic regression model showed that genotypes *SP-A2* (OR 164) and *SP-B* (OR 18) were directly related to the occurrence of respiratory distress syndrome, whereas gestational age (OR 0.57) and 5-minute Apgar score (OR 0.19) were inversely related to its occurrence.

**Conclusions:** There is a possible involvement of *SP-A2* and *SP-B* genes polymorphisms in the genetic predisposition to respiratory distress syndrome.

**Keywords:** Neonate; Polymorphisms; Respiratory distress syndrome; Surfactant protein.

ccording to Egypt Demographic and Health Survey 2008 [1], neonatal mortality was 16 per 1000 live births. Identifying the causes of neonatal morbidity and mortality is essential for planning to its reduction, as one of the Millennium Development Goals [2]. The inability of premature neonates to produce surfactant and immaturity of the lung constitute the primary etiologies of respiratory distress syndrome (RDS). The surfactant protein (SP) genes have been used as candidate genes. So far, five human proteins have been identified: SP-A1, SP-A2, SP-B, SP-C, and SP-D [3]. The SP-A gene is located in chromosome 10q21 with two 99% homologous genes-SP-A1 and SP-A2 — in which a large number of singlenucleotide polymorphisms (SNPs) exist [4-6]. SP-B is secreted by type II cells in the lung and is essential for its normal function. Its absence results in respiratory failure and death shortly after birth [7-11]. Several studies have evaluated the association of SP-A and SP-B SNPs with RDS [12-14], but the functional consequences of their allelic variations are not well understood. The study of their polymorphisms aids in understanding the susceptibility of

various individuals to RDS. The aim of this study was to investigate the association between *SP-A2* and *SP-B* genes polymorphisms and the risk of RDS in preterm neonates.

## **METHODS**

We conducted this cross-sectional study at Children's Hospital, Faculty of Medicine, Cairo University, during the period between May 2013 and January 2014. Sixty-five preterm infants with RDS and 50 without RDS as controls were recruited from the neonatal intensive care unit (NICU). The study protocol was approved by the Ethics committee of Faculty of Medicine, Cairo University. Written informed consent was obtained from the legal guardians.

The criteria for the diagnosis of RDS included the presence of respiratory rate >60/min, dyspnea, grunting, cyanosis, respiratory acidosis in addition to the presence of typical chest *X*-ray findings [15-17]. Bronchopulmonary dysplasia (BPD) was diagnosed according to the National Institute of Health (US) criteria [18]. Neonates with genetic syndromes, congenital malformations, and other associated pathologies were excluded.

We c ollected 3 mL of blood in EDTA vacutainers, kept at 4°C until DNA was extracted. Genomic DNA was extracted using High Pure PCR Template Preparation Kit (Roche Applied Science, USA).

Genotype analysis was carried out at the Molecular Biology unit of the Chemical Pathology Department, Faculty of Medicine, Cairo University. The c.751 G>A of SP-A2 and c.8714 G>C in the 3'UTR of SP-B genes were genotyped using SYBR Green-based polymerase chain reaction (PCR) and consequent melting curve analysis was performed using LightCycler 2.0 Instrument (Roche Applied Science, Germany). PCR amplification was done using the Primers sequences as previously reported for SP-B [19, 20] and SP-A2 [21] genes. The 20  $\mu$ L reaction mixture included 15 µL of master mix with the following components: 9µL water, PCR grade; 1 µL forward Primers, 10×conc.; 1 μL reverse Primers, 10×conc.; 4μL 5×conc, LightCyclerFastStart DNA MasterPLUS SYBR Green I (Roche Applied Science, Germany); and 5 µL of 50 ng genomic DNA, under the following conditions: initial denaturation at 95°C for 10 min, followed by 45 cycles of denaturation at 95 °C for 10 s, annealing at 59 °C for 20s, and extension at 72°C for 25s. After amplification, melting curve analysis was performed by heating the reaction mixture from 65 to 95 °C at a rate of 0.1 °C/s. LightCycler 2.0 PCR Systems automatically calculated the negative derivative of the change in fluorescence and generated a melting curve for each sample Web Fig. 1.

Statistical analysis: Data analysis was done using SPSS Version 15, and Epicalc version 2000. Pearson Chi-Square, Fisher's Exact, and z tests were used; the predictors for

TABLE I DEMOGRAPHIC AND CLINICAL DATA OF THE STUDIED GROUPS

Variables	RDS $(n = 65)$	Controls (n=50)	P. value
Gestational age (wks)*	29.4 (2.42)	32.5 (2.02)	< 0.001
Birth weight (kg)*	1.2 (0.33)	1.6 (0.32)	< 0.001
Apgar at 5 min*	4.9 (1.07)	6.7 (1.32)	< 0.001
#Males	37 (57)	28 (56)	0.92
#Caesarian delivery	47 (72)	35 (70)	0.79
#Antenatal steroid usage	22 (33.8)	18 (36)	0.81
#Multiple pregnancies	21(32.3)	12 (24)	0.22
*Premature rupture of membranes	8 (12.3)	3 (6)	0.25
#Maternal hypertension	20 (30.8)	17 (34)	0.71
#Maternal diabetes mellitus	3 (4.6)	7 (14)	0.07

Values in \* mean (SD)  $or^{\#}$  No. (%).

RDS were tested using logistic regression analysis. P<0.05 was considered significant.

#### RESULTS

Mean (SD) gestational age, birth weight, and Apgar score were significantly (*P*<0.001) lower in the RDS group compared to controls. Otherwise, there was no significant difference between the two groups regarding the remaining demographic and clinical data (*Table I*).

The amplification of the genomic DNA segments with subsequent genotyping analysis was successful in 115 samples. There were statistically significant differences in genotypes between the RDS and control groups (*Table II*). Preterm neonates with *SP-A2* (AA and GA) genotypes and *SP-B* (CC and GC) genotypes were more prone to have RDS compared to neonates with the GG genotype. A and C alleles of *SP-A2* and *SP-B* genes, respectively, were significantly higher (*P*<0.001) in the RDS group. There was no significant association between variant genotypes

TABLE II GENOTYPE AND ALLELE FREQUENCIES IN THE STUDIED GROUPS

Variables	RDS	Controls	P value	OR	
	(n=65)	(n=50)		(95% CI)	
Genotype fr SP-A2	requencies				
GG	13 (20)	46 (92)	< 0.001		
GA	1 (1.5)	2 (4)	0.82		
AA	51(78.5)	2(4)	< 0.001		
SP-B					
GG	19 (29.2)	34 (68)	< 0.001		
GC	5 (7.7)	16 (32)	0.001		
CC	41(63.1)	0(0)	< 0.001		
Risky and P	rotective gen	otypes			
SP-A2*					
GA+AA	52 (80)	4(8)	< 0.001	46 (14-151)	
GG	13 (20)	46 (92)			
SP-B*					
GC+CC	46 (70.8)	16 (32)	< 0.001	5.2 (2.3-11.4)	
GG	19 (29.2)	34 (68)			
Alleles					
SP-A2*					
G	25 (19.2)	94 (94)	< 0.001	65 (26 -167)	
A	105 (80.8)	6 (6.0)			
SP-B*					
G	44 (33.8)	84 (84)	< 0.001	10.3 (5.4-19.6)	
C	86 (66.2)	16 (16)			

Data expressed as number and percent.

#### WHAT IS ALREADY KNOWN?

· Low gestational age, maternal diabetes and perinatal asphyxia are risk factors for respiratory distress syndrome.

### WHAT THIS STUDY ADDS?

SP-B and SP-A polymorphisms are associated with increased risk of respiratory distress syndrome.

of both *SP-A2* and *SP-B* and severity of RDS or BPD among our patients. These variant genotypes also did not differ between the infants who died *versus* those who survived (*Table III*).

In our study, the distribution of the combined genotypes of SP-A2 and SP-B between RDS and the control as (AA and CC) represents 49.2% of cases and 0% of the control, while (GG and GG) represents 60% of the control and only 6.2% of cases (P<0.001).

For the regression analysis, the variables included were: maternal hypertension, maternal diabetes mellitus, multiple pregnancies, maternal use of antenatal steroids, premature rupture of membrane, mode of delivery, gestational age, birth weight, sex, Apgar score at 5 min, and *SP-A2* and *SP-B* genotypes. There were four significant predictors for RDS; two were directly related to the occurrence of RDS (the *SP-A2* genotype with OR =164; *P*<0.001; and *SP-B* genotype with OR=18; *P*=0.008), while gestational age (OR=0.57; *P*=0.01) and 5-minute Apgar score (OR=0.19; *P*=<0.001) were inversely related.

#### DISCUSSION

Factors affecting the development of RDS include specific SNPs of SPs which affect the protein structure and function [22], degree of prematurity, sex, and ethnicity [23]. In this study, we found that preterm neonates with *SP-A2* (AA) or SP-B (CC) genotypes had higher odds of RDS compared to neonates with GG genotype. However, Lyra, *et al.* [24] reported that there was no statistically significant difference in the distribution of the genotypes of the G/C polymorphism at nucleotide 8714 in patients with and without RDS. Our results are in concordance with an earlier

study showing that the homozygous genotype for *SPA* was over represented in RDS [23].

Studying the distribution of the combined genotypes of *SP-A2* and *SP-B* between RDS cases and the control showed that combined (AA & CC) were present in higher number of cases than controls, while combined (GG and GG) represented more of the control than cases. This indicates that homozygous wild genes are essential for surfactant function. Meanwhile, there was no statistically significant synergistic effect of either *SP-A2* or *SP-B* on the severity of RDS, BPD, or neonatal mortality.

The limitations of present study include small sample size and no matching of cases and controls for gestational age and birth weight.

In conclusion, this study identified that the SP-A2 [AA] and SP-B [CC] genotypes are risk factors of RDS. Further studies on a larger group of patients in different populations are required to confirm these findings.

Contributors: AWA: conceived and designed the study, and revised the manuscript for important intellectual content. She will act as guarantor of the study; ZN, SW: collected data, and drafted the paper; RW: laboratory tests and interpretation, data analysis and manuscript writing; RH: data analysis. The final manuscript was approved by all authors.

Funding: None; Competing interests: None stated.

#### REFERENCES

- 1. El-Zanaty F. and Way A.: Egypt Demographic and Health Survey. Cairo, Egypt: Ministry of Health and Population, National Population Council, El-Zanaty and Associates, and Macro International; 2008. p.116.
- 2. WHO, 2014: Millennium Development Goals. Available

**TABLE III** RELATION BETWEEN SP-A2 AND SP-B GENOTYPES AND RDS GRADE, BPD AND OUTCOME

Variables	SPA2 genotypes		P value	SPB genotypes		P value
RDS grade	CA+AA	CC		GC+CC	GG	
I and II	15 (88.2)	2 (11.8)	0.49	12 (70.6)	5 (29.4)	0.99
III and IV	37 (77.1)	11 (22.9)		34 (70.8)	14 (29.2)	
BPD	10 (76.9)	3 (23.1)	0.76	9 (19.6)	4 (21.1)	0.89
Death	29 (55.8)	9 (69.2)	0.38	28 (60.9)	10 (52.6)	0.54

<sup>\*</sup>Value in No. (%).

- from: http://www.who.int/mediacentre/factsheets/fs290/en/Accessed June 2, 2014
- 3. Puthothu B, Forster J, Heinze J, Heinzmann A, Krueger M. Surfactant protein B polymorphisms are associated with severe respiratory syncytial virus infection, but not with asthma. BMC Pulm Med. 2007;11:7:6.
- White RT, Damm D, Miller J, Spratt K, Schilling J, Hawgood S, *et al.* Isolation and characterization of the human pulmonary surfactant apoprotein gene. Nature. 1985;317:361-3.
- Katyal SL, Singh M, Locker J. Characterization of a second human pulmonary surfactant-associated protein SP-A gene. Am J Respir Cell Mol Biol. 1992; 6:446-52.
- Fisher JH, Kao FT, Jones C, White RT, Benson BJ, Mason RJ. The coding sequence for the 32,000-dalton pulmonary surfactant associated protein A is located on chromosome 10 and identifies two separate restriction-fragment length polymorphisms. Am J Hum Genet. 1987;40:503-11.
- Longo ML, Bisagno AM, Zasadzinski JA, Bruni R, Waring AJ. A function of lung surfactant protein SP-B. Science. 1993;261:453-6.
- Floros J, Phelps DS. Pulmonary surfactant. *In*: Biebuyck JF, Lynch C, Maze M, Saidamn Lj, Yaksh TL, Zapol WM, *eds*, Anesthesia: Biologic Foundations. Lippincott-Raven, New York; 1997. p. 1257-79.
- Nogee LM, de Mello DE, Dehner LP, Colten HR. Deficiency of pulmonary surfactant protein B in congenital alveolar proteinosis. N Engl J Med. 1993;328:406-10.
- 10. Nogee LM. Surfactant protein-B deficiency. Chest. 1997;111:129S-35S.
- Nogee LM, Wert SE, Proffit SA, Hull WM, Whitse.tt JA.
  Allelic heterogeneity in hereditary surfactant protein B (SP-B) deficiency. Am J Respir Crit Care Med. 2000;161:973-81.
- 12. Floros J, Fan R, Matthews A, Diangelo S, Luo J, Nielsen H, et al. Family-based transmission disequilibrium test (TDT) and case-control association studies reveal surfactant protein A (SP-A) susceptibility alleles for respiratory distress syndrome (RDS) and possible race differences. Clin Genet. 2001;60: 178-87.

- 13. Ramet M, Haataja R, Marttila R, Floros J, Hallman M. Association between the surfactant protein A (SP-A) gene locus and respiratory-distress syndrome in the Finnish population. Am J Hum Genet. 2000;66:1569-79.
- 14. Haataja R, Marttila R, Uimari P, Lofgren J, Ramet M, Hallman M. Respiratory distress syndrome: Evaluation of genetic susceptibility and protection by transmission disequilibrium test. Hum Genet. 2001;109:351-5.
- Kirkpatrick B, Mueller D. Respiratory disorders in newborn. *In*: Chernick V, Boat T, Edwin L (eds) Kendig's. Disorders of the Respiratory Tract in Children. WB Saunders: Philadelphia, 1988. p. 328-64.
- Liu J. Respiratory distress syndrome in full-term neonates. Neonatal Biol. 2012; 2167-0897.
- 17. Newman B. Imaging of medical disease of the newborn lung. Radiol Clin North Am. 1999;37:1049-78.
- Kinsella JP, Greenough A, Abman SH. Bronchopulmonary dysplasia. Lancet. 2006;367:1421-31.
- 19. Lin Z, deMello DE, Wallot M, Floros J. An SP-B gene mutation responsible for SP-B deficiency in fatal congenital alveolar proteinosis: evidence for a mutation hotspot in exon 4. Mol Genet Metab. 1998;64:25-35.
- 20. Lin Z, deMello DE, Batanian JR, Khammash HM, Diangelo S, Luo J, *et al.* Aberrant SP-B mRNA in lung tissue of patients with congenital alveolar proteinosis (CAP). Clin Genet. 2000;57:359-69.
- Kala P, Ten Have T, Nielsen H, Dunn M, Floros J. Association of pulmonary surfactant protein A (SP-A) gene and RDS: Interaction with SP-B. Pediatr Res. 1998;43:1-9.
- 22. Jo HS, Cho SI, Chang YH, Kim BI, Choi JH. Surfactant protein A associated with respiratory distress syndrome in Korean preterm infants: evidence of ethnic difference. Neonatology. 2013;103:44-7.
- Marttila R, Haataja R, Guttentag S, Hallman M. Surfactant protein A and B genetic variants in respiratory distress syndrome in singletons and twins. Am J Respir Crit Care Med. 2003;168:1216-22.
- 24. Lyra PP, Diniz EM, Abe-Sandes K, Angelo AL, Machado TM, Cardeal M. Surfactant protein B gene polymorphism in preterm babies with respiratory distress syndrome. Braz J Med Biol Res. 2011;44:66-72.