

## 2 Zika Virus: Medical Countermeasure Development Challenges

### 3 Authors

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### 28 Abstract

#### 29 Introduction

30 Reports of high rates of primary microcephaly and Guillain–Barré syndrome associated with Zika virus  
31 infection in French Polynesia and Brazil have raised concerns that the virus circulating in these regions is  
32 a rapidly developing neuropathic, teratogenic, emerging infectious public health threat. There are no  
33 licensed medical countermeasures (vaccines, therapies or preventive drugs) available for Zika virus  
34 infection and disease. The Pan American Health Organization (PAHO) predicts that Zika virus will continue  
35 to spread and eventually reach all countries and territories in the Americas with endemic *Aedes*  
36 mosquitoes. This paper reviews the status of the Zika virus outbreak, including medical countermeasure  
37 options, with a focus on how the epidemiology, insect vectors, neuropathology, virology and immunology  
38 inform options and strategies available for medical countermeasure development and deployment.  
39  
40

41 **Methods**

42 Multiple information sources were employed to support the review. These included publically available  
43 literature, patents, official communications, English and Lusophone lay press. On-line surveys were  
44 distributed to physicians in the US, Mexico and Argentina and responses analyzed. Computational epitope  
45 analysis as well as infectious disease outbreak modeling and forecasting were implemented. Field  
46 observations in Brazil were compiled and interviews conducted with public health officials.

Key Learning Points:

- The pattern of Zika-associated disease observed in Brazil represents a significant public health risk.
- The relationship between infection with Zika virus and primary microcephaly meets most accepted criteria for causality.
- A causal linkage between Zika infection and Guillain–Barré syndrome is plausible, but analysis is complicated by regional co-endemicity of dengue and chikungunya.
- Possible pathophysiologic interactions between Zika virus infection, microcephaly, other birth defects and GBS are not understood.
- Expedited research will be required to address open questions and to better inform countermeasure development and clinical management.
- Blood banks must promptly implement infection control procedures to secure the supply of critical blood products.
- Methods and policies designed to delay the spread of the virus into uninfected regions will buy critical time to develop medical countermeasures.
- Development of a general use prophylactic vaccine for Zika virus will require considerable time and careful evaluation to mitigate typical vaccine-associated risks in previously healthy unexposed general populations.

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47

#### 48 Background and Introduction

49 Zika virus infection has spread rapidly in the tropical Americas since introduction to Brazil in 2014.  
50 Although a causal association is not yet confirmed, there is a growing consensus that Zika infection is  
51 linked to an upsurge in cases of Guillan Barré (GBS) syndrome and the birth of microcephalic infants  
52 following maternal infection [1, 2]. That association has become more likely with the publication of the  
53 report by Mlakar *et al* in which large numbers of viral particles were demonstrated in the central nervous  
54 tissue of an electively aborted microcephalic Zika-infected fetus [3].

55 The flavivirus Zika was first isolated from a *Rhesus* macaque obtained from the Ziika forest of Uganda  
56 during 1947 [4, 5]. Zika virus is an enveloped, icosahedral positive strand RNA virus. The Zika virus  
57 reference genome ([http://www.ncbi.nlm.nih.gov/nuccore/NC\\_012532.1](http://www.ncbi.nlm.nih.gov/nuccore/NC_012532.1)) comprises a noncoding region  
58 and sequences coding for a 3419 amino acid polyprotein  
59 (<http://www.ncbi.nlm.nih.gov/protein/226377834>). Zika virus is related to yellow fever (YF), dengue,  
60 West Nile, and Japanese encephalitis viruses, and most closely to Spondweni virus [6, 7]. Studies in *Rhesus*  
61 macaque suggest that adaptive immune responses to Zika infection interfere with, but do not fully protect

62 against, YF infection and disease [8, 9]. Serologic cross-reactivity, including non-neutralizing antibodies,  
 63 is observed with other closely related flaviviruses and flavivirus vaccines.

64 Primates, including humans, are the best-documented Zika virus animal reservoir, with transmission to  
 65 humans primarily by mosquito vectors (*Aedes spp.*, including *Ae. aegypti* and *Ae. albopictus* [8, 10-13].  
 66 Soon after initial Zika virus discovery in Uganda, serologic evidence of human infection by Zika was  
 67 observed in Egypt [14], India [15], Malaysia [15, 16], Thailand [16], Vietnam [16] and the Philippines [17].  
 68 Based on serology, but not verified by viral isolation, many other species may support Zika virus infection,  
 69 including forest-dwelling birds [18], horses, goats, cattle, ducks and bats [19]. Recent reports indicate the  
 70 potential for both human blood-borne and sexual transmission of Zika virus, including prolonged presence  
 71 of virus in semen [20-23]. Zika virus is also present in the saliva of infected patients [24]. Perinatal  
 72 transmission was documented in French Polynesia during the 2013-2014 outbreak where Zika virus  
 73 sequences were identified in breast milk by polymerase chain reaction (PCR) [25], but reports from that  
 74 outbreak did not indicate microcephaly as a complication. These observations underscore the need for  
 75 more detailed studies to examine relationships between Zika virus pathogenesis, geography, and  
 76 potential teratogenicity.

77 Historically, adult human infection with Zika virus has presented with mild, non-life threatening symptoms  
 78 in 20% of infected patients, with 80% being clinically asymptomatic during initial infection. Typical acute  
 79 symptoms persist from days to one week, and include fever (37.9°C or below), maculopapular rash  
 80 (average duration 6 days), arthralgia (average duration 3.5d, range 1 to 14d) and/or conjunctivitis,  
 81 myalgia, headache, retro-orbital pain and emesis. Based on blood bank screens in French Polynesia, it  
 82 appears that viremia can begin up to 10 days before onset of symptoms, suggesting it may be longer than  
 83 for some other arboviruses [20]. Recent reports of unusually high rates of GBS and primary microcephaly,  
 84 which are temporally and spatially associated with the Zika virus outbreak in Brazil, have raised concerns  
 85 that the virus variant circulating in these regions represents an altered public health threat, with  
 86 neuropathic and teratogenic outcomes [26]. Death after Zika virus infection of an otherwise healthy  
 87 patient with sickle cell disease has also been reported, indicating increased risk to otherwise medically  
 88 compromised individuals [27]. The more severe Zika disease symptoms were not observed during the  
 89 2007 Yap Island, Micronesia, Zika outbreak, although approximately 5,000 people were infected [28]. Zika  
 90 virus infection and disease is now a reportable illness in the United States, and as of February 2016, has  
 91 spread to the countries and territories summarized in Table 1.

92 **Table 1: Countries and territories with active Zika virus transmission**

American Samoa	Ecuador	Mexico
Barbados	El Salvador	Nicaragua
Bolivia	French Guiana	Panama
Brazil	Guadeloupe	Paraguay
Cape Verde	Guatemala	Saint Martin
Colombia	Guyana	Samoa
Commonwealth of Puerto Rico, US territory	Haiti	Suriname
Costa Rica	Honduras	Tonga
Curacao	Jamaica	U.S. Virgin Islands
Dominican Republic	Martinique	Venezuela

93 Source: [29]. See Figure 2 for additional details. As of February 17, 2016.

94  
95 Clinical diagnosis of infection with Zika virus is complicated by similarities to other acute arboviral fevers,  
96 and Zika disease shares insect vectors and geographic range with dengue and chikungunya [30]. A case  
97 definition for Zika virus disease (“Zika”) has been developed by the World Health Organization [31]. A  
98 suspected case of Zika requires the presence of rash and/or fever with either arthralgia, arthritis, or non-  
99 purulent conjunctivitis. A probable case requires these symptoms in conjunction with the presence of  
100 anti-Zika IgM antibodies and an epidemiologic link within two weeks prior to symptom onset to a region  
101 with local autochthonous transmission. A confirmed case of Zika virus disease requires laboratory  
102 confirmation of recent Zika virus infection by either presence of Zika virus RNA or antigen in serum or  
103 other samples (e.g. saliva, tissues, urine, whole blood); or IgM antibody against Zika virus positive and  
104 PRNT90 for Zika virus with titre  $\geq 20$  and Zika virus PRNT90 titre ratio  $\geq 4$  compared to other flaviviruses;  
105 and exclusion of other flaviviruses.

106 GBS is a clinical syndrome of multiple autoimmune etiologies, which involve idiopathic peripheral  
107 neuropathy leading to acute flaccid paralysis [32]. Treatment consists of intravenous immunoglobulin  
108 and/or plasma exchange with supportive care for patients with respiratory compromise. The clinical  
109 course varies; 25% of patients require artificial ventilation (days to months), 20% of patients remain non-  
110 ambulatory at 6 months and 3-10% of patients die despite standard of care treatment. In medical care  
111 environments where ventilatory support is not readily available, GBS mortality is often much higher.  
112 Globally, annual GBS incidence is estimated at 1.1 to 1.8/100,000/year, of which approximately 70%  
113 appear associated with antecedent infectious disease. Such infections are typically gastrointestinal or  
114 respiratory, but include dengue infection [33-35]. A retrospective review of GBS cases (January 1995  
115 through December 2002) at a São Paulo hospital documents an annual incidence of 0.6  
116 cases/100,000/year, with a seasonal increase between September and March [36]. An abrupt surge in  
117 GBS, with significant mortality, is currently being observed in Brazil and other South American countries  
118 with Zika outbreaks. For example, during the 2015 rainy season, 50 of the 94 patients treated for GBS at  
119 the Hospital da Restauração in Recife, Brazil [37]. Retrospective seroneutralization analysis of GBS cases  
120 which were suspected of being associated with Zika during the 2013-2014 outbreak in French Polynesia  
121 has demonstrated that all 42 cases were positive for both dengue and Zika virus infection, yielding a ratio  
122 of 1 case of Zika-associated GBS for every 208 suspect cases of Zika virus infection [38]. However, the  
123 concomitant regional increase in dengue [39] and chikungunya [40] infections suggests that the increased  
124 GBS incidence may be attributable to these risk factors and/or to Zika infection.

125 Primary microcephaly (usually defined as head circumference  $\leq 3$  standard deviations below the mean at  
126 birth) is a rare multifactorial condition with incidence of from 1.3 to 150/100,000 live births (depending  
127 on consanguinity) [41]. Microcephaly is variously attributed to genetic factors, intrauterine infection  
128 (including rubella, toxoplasmosis, or cytomegalovirus), maternal malnutrition, and toxin exposure during  
129 gestation [42]. Symptoms include hearing loss, mental retardation, development delay, seizure disorders,  
130 and cerebral palsy. There is no specific treatment beyond supportive care. The reported annual incidence  
131 rate of microcephaly in all of Brazil was from 139 to 175 between 2010 and 2014 [43], or approximately  
132 6/100,000 live births. The 3,530 cases of Zika-associated primary microcephaly reported in Brazil during  
133 2015 yield a rate of 117/100,000 live births, indicating a twenty-fold increase in a single year.  
134 Retrospective review of French Polynesian birth data coinciding to the 2013-2014 Zika virus outbreak has  
135 confirmed that the incidence of central nervous system birth anomalies associated with that outbreak  
136 was well above average [44].

137 There are no specific licensed medical countermeasures (vaccines, therapeutics or preventive drugs)  
138 available for Zika virus infection and disease [45]. Diagnosis of Zika infection can be confirmed by PCR  
139 [46].

140 Clinical management of Zika is supportive and symptomatic, consisting of pain relief, fever reduction, and  
141 anti-histamines for the pruritic rash [26]. If a causal correlation between Zika virus infection and primary  
142 microcephaly and/or GBS is determined, rapid development of medical countermeasures to prevent and  
143 mitigate Zika-associated neurologic symptoms and birth defects.

144 We review and analyze information concerning the Zika virus outbreak in South America, Central America,  
145 and the Caribbean and the status of relevant medical countermeasures (MCM) available for treating or  
146 preventing Zika virus infection and disease. The analysis focuses on how the epidemiology, insect vectors,  
147 neuropathology, virology and immunology of this pathogen and outbreak inform options and strategies  
148 available for MCM deployment and future development.

## 149 [Methods](#)

150 Multiple information sources were employed to support the review. These included publically available  
151 literature, including a review of peer reviewed journal papers and analysis of patent databases (Reuters,  
152 United States Patent and Trademark Office). Official bulletins and documents of the World Health  
153 Organization (WHO), European Center for Disease Prevention and Control (ECDC), United States Center  
154 for Disease Prevention and Control (CDC) were consulted, as well as statements on the websites of these  
155 agencies. The lay press of the English speaking and Lusophone world regarding the Zika virus outbreak  
156 was monitored. On-line surveys were distributed to physicians in the US, Mexico and Argentina and  
157 responses analyzed. Computational epitope analysis of Zika and comparative epitope analysis of Zika and  
158 related viruses and the human proteome was conducted [47]. Infectious disease outbreak modeling and  
159 forecasting was implemented [48]. Field observations in Brazil were compiled and interviews conducted  
160 with public health authorities.

## 161 [Discussion](#)

### 162 [Summary of Findings](#)

163 Zika phylogenetic analysis indicates that the Zika virus lineage circulating in Brazil and Suriname shares  
164 common ancestry with viruses that have spread across the Pacific since 2007 (See Figure 1) [49]. While  
165 GBS was associated with the prior Polynesian outbreak [39, 50], the risk of pregnancy complications  
166 (teratogenicity) associated with Zika virus infections in the Americas may be substantially higher than  
167 previously reported [51, 52]. Primary clinical observations suggest that Zika-associated GBS in Brazil and  
168 South America follows typical symptoms, progression, and outcome risks associated with autoimmune  
169 GBS. While still unconfirmed, the increasing likelihood of a causal association between Zika infection, GBS  
170 and microcephaly demand that MCM development proceed with that expectation [44]. As the burden of  
171 the current Zika associated disease profile falls on neonates and their parents, the disability-adjusted life  
172 year (DALYS) cost impact will be very high.

173 Uncertainties about Zika virus transmission abound. The degree to which humans, non-human primates,  
174 or other animals can amplify and transmit the virus to insect vectors is poorly understood. The typical  
175 range and types of insect vectors observed in the past may not be predictive for the virus now circulating  
176 in the Americas. Infectivity of the circulating strain, viremia levels, duration, and risk of occult persistence  
177 are not yet understood.

178 The highest risk for introduction and establishment of autochthonous Zika transmission is likely to be  
179 associated with infected humans traveling by international ground, sea, and air transportation, and with  
180 the transport of mosquito larvae by trucks, ships and aircraft. Countering transportation-based  
181 introduction is the best immediate strategy available for delaying the spread. Options include more  
182 rigorous cargo fumigation at ports and border crossing points, use of larvicides and insecticides, and  
183 monitoring ground, sea, and air travel from infected areas. Seaports and the US/Mexico border are the  
184 most critical points for reducing the risk of large-scale vector borne viral distribution into the United States  
185 and Canada. Cases acquired abroad will continue to be identified in regions that have not reported  
186 autochthonous infection, and must be differentiated from local transmission. Rapid identification of  
187 infected persons who are subclinical and viremic is nearly impossible.

188 There is a critical need for development and deployment of Zika diagnostics to regional clinical reference  
189 laboratories (not just public health laboratories). Obstetricians throughout the Americas must advise their  
190 patients on very difficult decisions involving risk to ongoing or planned pregnancies. Neurologists are  
191 confronting unprecedented GBS outbreaks. These front-line physicians lack access to critical tests  
192 necessary to guide decisions, information concerning infection monitoring after possible exposure,  
193 understanding of the window of susceptibility to birth defects, and clear direction and resources for  
194 testing, diagnosing, and managing obstetric and neurology patients.

195 Delaying spread of the virus into new regions may buy some time to develop MCM, but will not help those  
196 who live in infected areas. During gestation, women of means may choose to leave infected countries for  
197 safe zones [53, 54]. The governments of several affected countries are recommending that pregnancies  
198 be deferred for up to two years for those who remain there [55]. Altered birth cohort progression  
199 throughout the region, coupled with disabled care, may have long-term disruptive political, systemic and  
200 economic impacts in these countries.

201 In affected areas, regional surges in GBS may stress medical response capacity. MCM preparation for GBS  
202 surges should include sufficient intensive care unit capacity, ventilators, plasma exchange equipment [56],  
203 trained support personnel, and intravenous immunoglobulin (IVIG) [57, 58]. Regional IVIG supplies in  
204 affected areas may be at risk due to a combination of high demand and reduced availability secondary to  
205 blood donation restrictions designed to limit virus transmission *via* blood products. Procedures for  
206 minimizing risk of salivary transmission must be developed [24]. Guidance concerning blood bank risk  
207 management has recently been established, and must be promptly implemented [20, 59, 60]

## 208 [The Evolving Epidemiology of Zika Virus Spread into the Americas](#)

209 In contrast to the relatively slow spread of Ebola virus through West Africa, the Zika outbreak in the  
210 Americas appears to be moving very rapidly. While the potential association of Zika virus with teratology  
211 and neuropathology place a particular urgency on the development of MCM, strategies for developing  
212 and deploying MCM must account for the differences and similarities between the observed epidemiology  
213 and that of prior outbreaks. For example, developing, testing and deploying a new vaccine may be feasible  
214 for endemic pathogens or slowly moving epidemics, but may not be practical for a rapidly moving  
215 infectious disease outbreak. Until the pathogenesis of the disease, nature of vectors and mechanisms of  
216 spread are understood, caution must be exercised in making assumptions in the design of MCM.

217 Flaviviruses can appear significantly more pathogenic when introduced into new niches and populations,  
218 but as a new virus becomes established, herd immunity effects often attenuate apparent virulence. West

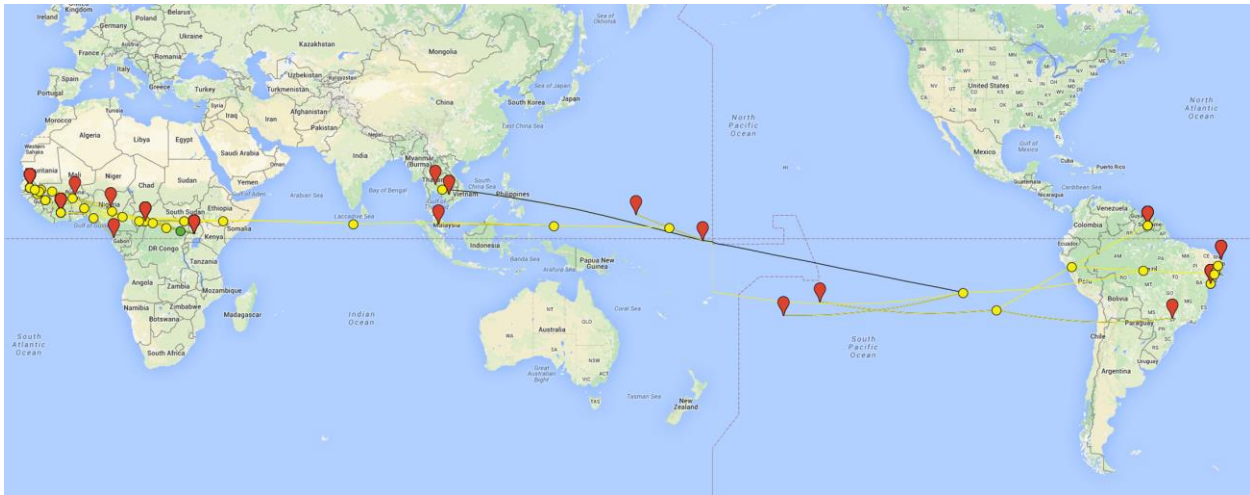
219 Nile virus in birds shifted from a relatively benign profile in the traditional endemic African host range to  
220 very high mortality upon introduction in North America in 1999. This change was associated with specific  
221 mutations that increased viral reproductive fitness in avian hosts and the North American environment  
222 [61]. The rapid spread of chikungunya, an unrelated alphavirus, into India was the result of adaptation to  
223 a different mosquito vector resulting from a single nucleotide change [62]. The patterns of rapid  
224 evolutionary radiation of these arboviruses into new niches, and their associated pathophysiology, may  
225 help inform hypothesis development concerning patterns of infection and disease in the Zika virus  
226 outbreak in the Americas.

227 Many questions about Zika virus epidemiology and transmission remain, but among the most pressing  
228 questions are whether the change in disease phenotype correlates to changes in viral genotype, and if  
229 current clinical disease is influenced by viral entry into a new population with indigenous confounding or  
230 effect modification. Historically restricted to Africa and Asia, outbreaks of autochthonous Zika virus  
231 infection were reported in Micronesia beginning in 2007 [7, 28]. As predicted by Hayes [63], widespread  
232 autochthonous outbreaks of Zika virus were then reported in French Polynesia in October 2013 [64], New  
233 Caledonia in January 2014 [64], Cook Islands in February 2014 [64], and Easter Island in February 2014  
234 [65]. Zika then began to infect patients in South America in 2014 [66]. The first molecularly confirmed  
235 case of Zika virus infection in Brazil was identified in March 2015 [67].

236 To summarize these events and to help guide assessment of genetic and immunologic differences  
237 between historic and current Zika virus populations, we performed preliminary phylogeographic analyses  
238 of available molecular sequence data and metadata (place and time of isolation) from the viruses to  
239 connect these incidents *via* shared ancestry of the sequences. Based on data released as of January 18,  
240 2016 we have focused on two genes to reconstruct the spread and evolution of Zika from Africa to  
241 Southeast Asia to the South Pacific and to South America; E (Envelope) and NS5 (RNA-dependent-RNA-  
242 polymerase). Results from applying this method for tracking and summarizing sequence accessions,  
243 together with associated temporal and geographic metadata, suggest a pattern of stepwise accumulation  
244 of sequence changes. The Zika virus circulating in the Americas appears to have acquired mutations while  
245 hopping along distant points across the Pacific, and then emerged as a burst of infection by a cohort of  
246 closely related viruses upon arrival in Brazil.

247





248  
 249 **Figure 1. Phylogeographic analyses illustrating the lineage of the Zika virus currently circulating in**  
 250 **Brazil.** Phylogeographic analysis based on the envelope gene of Zika virus. This analysis illustrates the path of  
 251 travel of Zika virus from Africa, Asia, and across the Pacific to South America. This analysis was created with  
 252 Supramap [68]. Yellow circles and branches are associated with common ancestors. Red pins and black lines are  
 253 associated with observed viral isolates. The root of the tree is indicated with a green circle. Data analyzed  
 254 included all envelope variants of Zika virus available in the public domain as of January 18, 2016. Nucleotide  
 255 sequence data were aligned using MAFFT v7.215 under default settings. A dataset for the envelope gene was  
 256 created resulting in a matrix of 56 taxa and 753 aligned positions. A phylogenetic tree search was conducted for  
 257 each dataset using RAXML v8.1.16 for 100 replicates under the GTRCAT model of nucleotide substitution. The  
 258 outgroup was set to HQ234498. Supramap to project the phylogenetic tree into the earth [68].

259 The initial introduction of Zika virus into continental South America may have occurred in Brazil during  
 260 2014 or very early 2015. Our results suggest entry to Brazil from the Cook Islands (as suggested by analysis  
 261 of the E gene) or Easter Island (as suggested by analysis of the NS5 gene). Some speculation concerning  
 262 viral introduction into Brazil near Rio de Janeiro has assumed that the virus was imported by infected  
 263 humans, and has centered on two sporting events which included participants from Polynesia (the 2014  
 264 FIFA World Cup and the Va'a World Sprint Canoe World Championships) [69]. These sporting events  
 265 occurred during June, July and August of 2014. Other Brazilian researchers question this hypothesis,  
 266 noting that data suggests an original epicenter in the Brazilian northeast [70] (states of Rio Grande do  
 267 Norte, Bahia, and Pernambuco). Our preliminary phylogeographic analysis is consistent with both of these  
 268 hypotheses. Additional annotated sequence data may enable more precise assessment of the likely entry  
 269 point and time.

270 After introduction, Zika virus rapidly spread throughout much of Brazil. In January 2016, there were cases  
 271 in 14 states in Brazil [71] and in neighboring countries including Colombia and Venezuela [44, 72] Zika  
 272 cases have also been recently reported in Cape Verde, but molecular data necessary to assess whether  
 273 they are linked to South America or Africa is not yet available [73]. Similarly, there were no molecular  
 274 data in the public domain for Zika cases in Central America, the Caribbean, and Mexico as of January 2016.

275 As summarized in Table 2, the Brazilian Ministry of Health has estimated that between 440,000 and  
 276 1,300,000 cases of Zika virus infection may have occurred in Brazil during 2015 [71]. These numbers,  
 277 which have served as the primary estimate of Zika incidence in Brazil for ECDC and other public health  
 278 analyses, must be recognized as a best estimate rather than actual incidence data. Therefore, all  
 279 epidemiologic analyses of rates and relative risks are based on this best estimate of the range of overall

280 incidence in the affected states of Brazil, and on the reported and verified cases of Zika associated primary  
 281 microcephaly in Brazil at large. The underlying estimates of incidence are likely to change as additional  
 282 data become available, and epidemiologic summary statistics will change as these estimates are refined.

283 **Table 2: Projection of Zika virus infections in states with laboratory confirmation of Zika virus**  
 284 **circulation during 2015 (18 of 27 Brazilian states or federated units).**

Brazil		Estimated Zika Virus Infections		Brazil		Estimated Zika Virus Infections	
Federated unit	Lower limit	Upper Limit	Federated unit	Lower limit	Upper Limit		
Alagoas	4,023	29,066	Paraná	42,008	97,118		
Amazonas	3,119	34,264	Pernambuco	34,579	81,303		
Bahia	19,216	132,274	Piauí	3,237	27,875		
Ceará	38,485	77,469	Rio de Janeiro	15,918	143,985		
Espírito Santo	6,481	34,190	Rio Grande do Norte	4,761	29,947		
Maranhão	1,481	60,067	Rondônia	2,911	15,383		
Mato Grosso	8,202	28,410	Roraima	1,450	4,399		
Pará	6,357	71,400	São Paulo	236,494	386,249		
Paraíba	6,013	34,558	Tocantins	8,767	13,182		
Brazil				443,502	1,301,140		

285 The parameters utilized for this estimate were developed by employing dengue case frequencies for the inferior  
 286 limit and the proportions of cases that occurred in French Polynesia for the upper limit based on the population in  
 287 each state. These speculative values are an estimate of the dispersion potential of this virus, which has over 80%  
 288 asymptomatic or oligosymptomatic cases (translated from Portuguese). See reference: [74].

289  
 290 Although human transmission may be a source of initial introduction into Brazil in 2014, the apparent  
 291 incidence of new infection in the region implies a high reproduction number ( $R_0$ ). Other means of  
 292 introduction must also be considered, including birds or insects *via* cargo shipping. Evidence supporting  
 293 avian infection by Zika virus has been reported [18], but the prevalence in birds and potential of  
 294 transmission from avian species to humans *via* insect intermediates has not been studied. West Nile virus  
 295 was rapidly spread throughout North America by birds. Transoceanic movements of arboviruses in insects  
 296 has been reported [75]. However, the relative absence of Zika along the western coast of South America  
 297 argues against wind or avian-borne introduction across the Andes into northeastern Brazil from Polynesia  
 298 or Easter Island. The greatest potential for new introduction and establishment of local autochthonous  
 299 transmission appears to be a combination of viremic human importation by ground, sea and air, and/or  
 300 cargo-associated transport of infected mosquitos and larvae by trucks, ships and airplanes. Therefore,  
 301 countering human and freight-based introduction appears to be the best countermeasure strategy

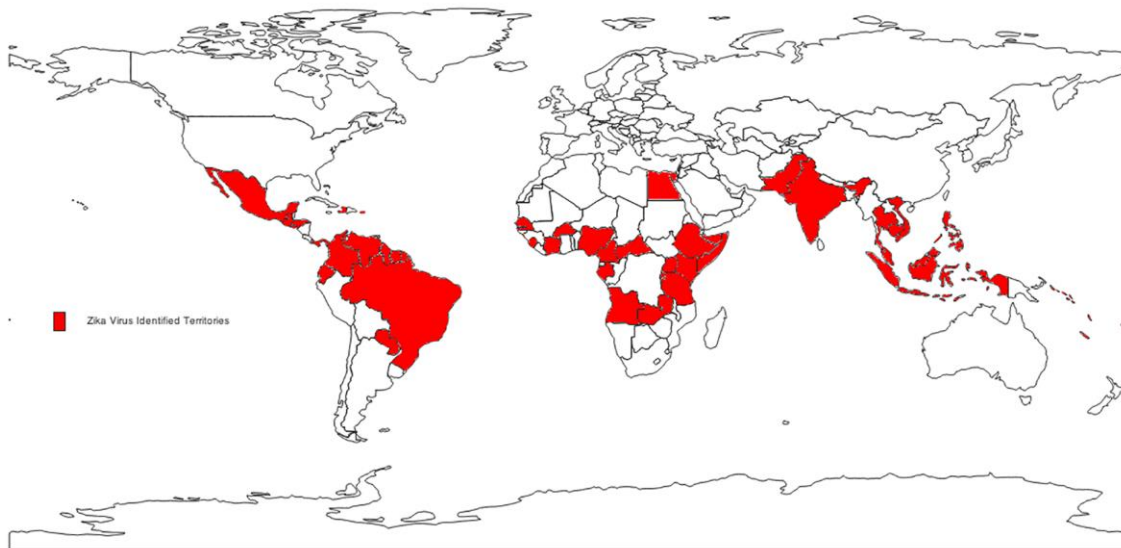
302 available for delaying the spread of the Zika virus into new regions of the Americas. Data demonstrating  
303 that human viremia precedes clinical symptoms suggests that screening by symptoms at points of entry  
304 may be problematic [20].

305 In the case of the West African Ebola outbreak of 2014 to present, rapid communication, adoption of  
306 effective outbreak tracing and control measures, and cultural changes reduced transmission to the point  
307 that vaccine trial efficacy endpoints could not be met. In the preceding Zika outbreak on Yap island in  
308 Micronesia, the overall attack rate observed for confirmed and probable Zika virus disease among patients  
309 presenting to health care facilities was 14.6 per 1000 Yap residents (range of 3.6 to 21.5 per 1000  
310 population). During what appears to have been a four month, self-limited outbreak, it is estimated that  
311 73% of Yap residents 3 years of age or older were infected with a Zika virus strain hypothesized to have  
312 been brought to the island by an imported non-human primate [28]. This suggests that, with the current  
313 Zika outbreak, the virus may spread so efficiently that by the time a vaccine becomes available to test in  
314 human clinical trials, identifying large naïve at-risk populations may be an obstacle to demonstrating  
315 efficacy.

316 Zika virus evolution and spread is constrained by both human and insect hosts, and this creates an  
317 opportunity to develop countermeasure strategies focusing on either or both. The interaction between  
318 pathogen and host biology will impact the incidence, prevalence and eventual distribution of the virus.  
319 As Zika adapts to new niches in the Americas, the roles played by humans and non-human primates, other  
320 animals and arthropods as primary and intermediate hosts must be understood. Factors which will  
321 influence the rate of spread include availability of vector species, temperature and humidity available to  
322 support transmissibility, and high mosquito to human contact rates. Similar to dengue and chikungunya,  
323 *Aedes* sp. (*Ae. aegypti* and *Ae. albopictus*) appear to be the leading candidate Zika vectors in the outbreak.  
324 Potential involvement of other insect vectors including *Culex* sp. mosquitoes are currently being examined  
325 [76, 77]. In the outbreak on Yap island, 12 mosquito species belonging to four genera were identified as  
326 potential vectors, and *Ae. hensilli* Farner was the predominant vector species [28]. The distribution of *Ae.*  
327 *aegypti* and *Ae. albopictus* mosquito populations reaches around the globe, with remarkable parallels to  
328 the global distribution of Zika virus (Figure 2). *Ae. aegypti* populations are predominately located in the  
329 subtropics and tropics. In contrast, *Ae. albopictus* is able to survive cooler temperatures and has high  
330 ecological plasticity. *Ae. albopictus*, is distributed through the northern United States, southern Brazil,  
331 northern China, and southern Europe, as well as Africa, Central America, and Australia [78, 79], and is  
332 rapidly colonizing new regions. This territory expansion is aided by temperature changes, globalization  
333 and urbanization [78, 79]; all factors which are also associated with increased risk of autochthonous Zika  
334 virus transmission. Improved understanding of the vectors involved may help explain the outbreak, and  
335 must guide the public health response [78, 80]. For example, *Ae. aegypti* and *Ae. albopictus* are both  
336 widely distributed in the United States [78]. Due to greater cold tolerance, *Ae. Albopictus* could spread  
337 the virus further into the North East and Midwestern US, and perhaps Canada (see Figure 2). In Africa,  
338 the virus has been isolated from a wide range of *Aedes* species [13]. Therefore, it will be important to  
339 understand which species can carry Zika in Latin and the Caribbean, and whether other *Aedes* species, or  
340 other vector species, present any risk in North America. Ultimately, the distribution of the virus will be  
341 determined by the distribution of competent insect vectors and the strategies developed to interfere with  
342 the virus-vector cycle.

343 Predictions of an unusually severe *El Niño* weather pattern favoring mosquito reproduction, coupled with  
344 the pending 2016 Rio de Janeiro Summer Olympic games [81] and well established cargo and cruise

345 shipping routes between South America, the Caribbean, Gulf of Mexico and Eastern seaboard ports in  
346 North America suggest the potential for further spread of Zika virus during 2016 to many regions of the  
347 Americas which support *Ae. aegypti* and *Ae. albopictus* mosquito populations, including significant  
348 portions of the continental United States. PAHO predicts that all countries in the Americas where *Aedes*  
349 mosquitos are found will eventually become infected with Zika virus [82].



350  
351 **Figure 2: Zika Virus, Past and Current Distribution**

352 Source: Centers for Disease Control and Prevention [83].

### 353 [Zika Neuropathology and Teratology](#)

354 In other recent outbreaks, Zika disease has been subclinical or mild [28]. What makes this outbreak a high  
355 priority global public health concern is the association with incidence of birth defects involving the central  
356 nervous system and the apparent increased incidence of GBS. The immediate need is for MCM to treat  
357 Zika-associated GBS and other neuropathy [84] in the adult, and to prevent the teratogenic outcomes  
358 which may be collectively referred to as Zika fetal syndrome (primary microcephaly [3], retinopathy [85,  
359 86], and other neurologic birth defects). To optimize MCM development, the link between infectious  
360 cause and clinical effect must be clearly established. However, as evidence has accumulated, skepticism  
361 about a causal link between Zika spread and primary microcephaly incidence has given way to growing  
362 acceptance that Zika virus infection during the first and second trimester may be a major contributing  
363 factor to the surge in microcephaly. The possible increase in GBS incidence, associated morbidity and  
364 mortality, and potential association of these disease symptoms with Zika is not as solid. Interpretation of  
365 any change in overall GBS incidence in the region attributable to Zika virus is complicated by local  
366 fluctuations in the incidence of dengue and chikungunya [87].

367 When applying Bradford Hill's criteria for establishing epidemiologic causation to the current Zika virus  
368 outbreak [88], the most obvious paradox is why a possible correlation between Zika infection,  
369 microcephaly and GBS was not detected in outbreaks prior to the 2013-2014 French Polynesian  
370 experience [89, 90]. This appears to violate the requirement for consistency, but may indicate the variable  
371 presence of another risk factor in addition to Zika virus. The apparent lack of consistency may reflect an  
372 interaction between host and/or viral genetics and the environment, or the presence of one or more  
373 additional risk factor variables [88]. Since the more severe outcomes observed (GBS and Zika fetal

374 syndrome) may have an autoimmune component, it may not be necessary for each risk factor to be  
375 concurrent. The specific pathogen(s), potential confounders or effect modifiers, and the mechanistic basis  
376 of the GBS and central nervous system teratogenicity observed in this outbreak must be better  
377 understood.

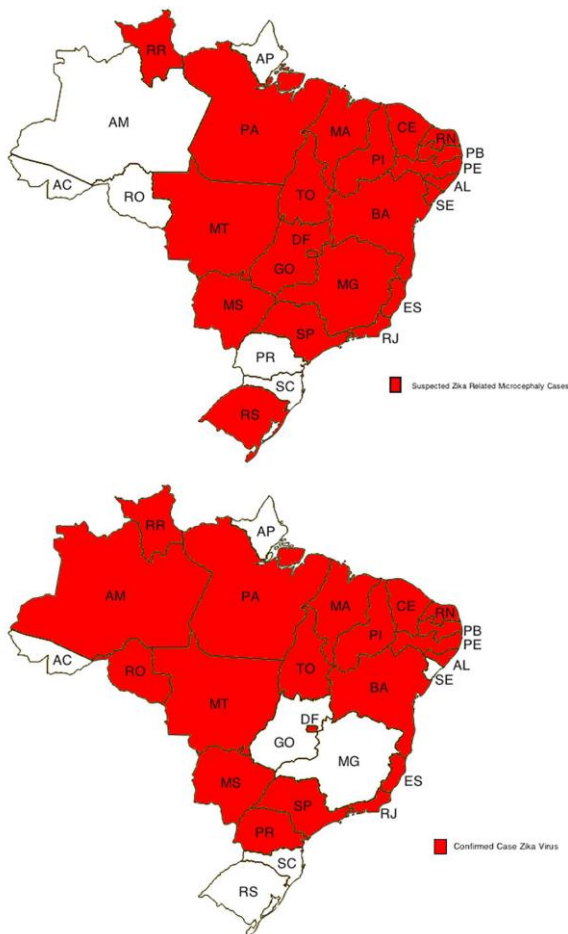
378 Public health awareness of a possible link between the Zika virus outbreak and microcephaly gradually  
379 developed during the second half of 2015. Reports of an unusual increase in the number of children born  
380 with microcephaly in 2015 in the Brazilian state of Pernambuco, followed by analysis of data from the  
381 Brazilian live birth information system (SINASC), documented a significant increase in the number of  
382 microcephaly cases compared with previous years. Temporal and spatial concordance of the distribution  
383 of primary microcephaly with that of Zika virus infection raised public health concerns of a possible causal  
384 relationship [91]. These findings led to a November 11, 2015 declaration of a public health emergency by  
385 the Brazilian Ministry of Health [92]. Assuming initial viral entry into Brazil sometime during June-August  
386 of 2014, this timeline is consistent with a causal relationship.

387 The link between high rates of microcephaly and Zika infection was initially greeted with skepticism.  
388 Although a possible link between microcephaly and Zika virus infection was first reported in French  
389 Polynesia (the apparent source of the virus which seeded Brazil), it has not been reported over the many  
390 prior years that Zika has existed in its traditional endemic range [63, 93, 94]. While this may be the result  
391 of a case (under) reporting phenomenon, it is more plausible that girls in endemic areas are infected and  
392 become immune well before childbearing age. Therefore, one hypothesis is that the outbreak of  
393 microcephaly in Brazil is the consequence of recent introduction to a fully susceptible population,  
394 including pregnant women. There are examples of arbovirus-caused teratology in domestic animals at the  
395 leading edge of vector borne incursion [95]. Understanding the age of infection in endemic areas and  
396 whether childhood exposure provides protection could help clarify the paradox of low microcephaly rate  
397 in endemic regions, and would guide immunization strategy when a vaccine becomes available. An  
398 alternative hypothesis is that viral evolutionary changes have given rise to a new spectrum of Zika disease.

399 Koch's postulates concerning infectious disease causality include demonstrating the presence of the  
400 pathogen in affected patients. Molecular biologic evidence demonstrating Zika genomes in tissue and  
401 amniotic fluid of Brazilian children born with microcephaly "support the conclusion of the rapid risk  
402 assessment of 24 November that a causal association between microcephaly in newborns and Zika virus  
403 infection during pregnancy is plausible" [26]. More recently, a small Brazilian case-series describing  
404 intrauterine transmission of Zika in humans has been published [96, 97]. In this study, sequencing of viral  
405 nucleic acids obtained *via* amniocentesis confirmed presence of Asian-type Zika virus. Ultrasound analysis  
406 revealed findings similar to those observed with cytomegalovirus infection (but more severe), and also  
407 similar to those previously reported with intrauterine infection by West Nile virus. On the basis of  
408 observed ultrasound findings, the authors of the alert speculate that "as with other intrauterine  
409 infections, it is possible that the reported cases of microcephaly represent only the more severely affected  
410 children and that newborns with less severe disease, affecting not only the brain but also other organs,  
411 have not yet been diagnosed." This has since proven true with the reports of ocular lesions in affected  
412 infants [85]. A recent report of a case imported into Europe from Borneo provided electron microscopy  
413 evidence of Zika-like virions in a fetus from a terminated pregnancy [3]. To our knowledge, however,  
414 there have not yet been replicating virus isolates obtained from affected fetuses or placental tissues,  
415 although full length viral genome has been recovered [97].

416 Concurrent with growing evidence from Brazil of a correlation between Zika infection microcephaly, on  
417 November 24, 2015 French Polynesian public health authorities published a report documenting an  
418 increase of at least 17 cases of primary microcephaly relative to background incidence during 2014-2015.  
419 Based on the timing of the Zika outbreak in French Polynesia, this report hypothesizes peak sensitivity to  
420 teratogenic effects during the first or second trimester [91]. These findings, supported by evidence  
421 indicating an increased incidence of GBS syndrome in patients infected with Zika virus, were sufficient to  
422 lead PAHO to issue a public health alert on December 1, 2015 concerning potential associations between  
423 neurological syndromes, congenital malformations, and Zika virus infection [98].

424 Strength of epidemiologic association and evidence indicating a biological gradient correlating exposure  
425 and disease are also key criteria for establishing causation [88]. Figure 3 illustrates the distribution of  
426 Brazilian states currently investigating an association between primary microcephaly cases and Zika  
427 infection, and those currently reporting circulation of Zika virus, with additional detail being provided in  
428 Table 3.



429  
430 **Figure 3: States in Brazil investigating microcephaly cases for association with Zika virus infection**  
431 **(above), and with confirmed circulation of Zika virus (below).**

432 After [99]. Information sources include Brazilian Health Ministry (Ministério da Saúde);WHO (World Health  
433 Organization); PAHO (Pan American Health Organization).

434

435 **Table 3: Summary of Brazilian States (Federated units), current Zika circulation patterns, and**  
 436 **increased incidence of primary microcephaly**

Brazilian State		Zika Circulation	Primary microcephaly	Brazilian State		Zika Circulation	Primary microcephaly
Acre	AC			Pará	PA	+	+
Alagoas	AL	+	+	Paraíba	PB		
Amapá	AP			Paraná	PR	+	
Amazonas	AM	+		Pernambuco	PE	+	+
Bahia	BA	+	+	Piauí	PI	+	+
Ceará	CE	+	+	Rio de Janeiro	RJ	+	
Distrito Federal	DF	+	+	Rio Grande do Norte	RN	+	+
Espírito Santo	ES	+	+	Rio Grande do Sul	RS		+
Goiás	GO		+	Rondônia	RO	+	
Maranhão	MA	+	+	Roraima	RR	+	+
Mato Grosso	MT	+	+	Santa Catarina	SC		
Mato Grosso do Sul	MS	+	+	São Paulo	SP	+	
Minas Gerais	MG		+	Sergipe	SE		+
				Tocantins	TO	+	+

437 After [99]. Information sources include Brazilian Health Ministry (Ministério da Saúde); WHO (World Health  
 438 Organization); PAHO (Pan American Health Organization).

439 The state of Pernambuco (located in northeastern Brazil) was the first to identify an increase of  
 440 microcephaly, and has reported 1,236 cases up to January 09 (35% of total), followed by Paraíba (569),  
 441 Bahia (450), Ceará (192), Rio Grande do Norte (181), Sergipe (155), Alagoas (149), Mato Grosso (129) and  
 442 Rio de Janeiro (122) [100]. In the northwestern region there were 46 additional microcephalic neonatal  
 443 deaths being investigated for Zika virus involvement as of January 15, 2016. Considering the average  
 444 annual birth rate in Brazil of 1.5% [101], this would indicate 6,600 to 19,500 pregnancies at risk of primary  
 445 microcephaly from Zika virus infection. On average, in Brazilian states reporting Zika infection during  
 446 2015, the attack rate for 2015 is estimated to have been between 0.30% and 0.88%. These numbers yield  
 447 an annual cumulative incidence rate estimate for Brazilian mothers infected with Zika during pregnancy  
 448 delivering infants with primary microcephaly ranging from 18% to 53%. Based on these best estimates of  
 449 overall Zika incidence, Brazilian mothers infected with Zika during pregnancy are between 3,700 to 11,000  
 450 times more likely to deliver infants with primary microcephaly compared to uninfected mothers. Table 4  
 451 provides a comparison of predicted versus reported cases of microcephaly (derived from the data  
 452 summarized in Table 2). This summary suggests that the verified cases of microcephaly in Brazil may  
 453 under-represent the actual incidence between October 22, 2015 and January 09, 2016. These data appear  
 454 to indicate epidemiologic association of Zika virus and microcephaly, as well as a correlation between  
 455 gradient of Zika exposure and microcephaly. However, they do not provide a mechanism for the  
 456 pathogenesis.

457 **Table 4: Comparison of predicted to reported cumulative case incidence distribution of primary**  
 458 **microcephaly by federated unit (state), Brazil, 2015**

Brazil	Reported cases	Predicted Cases		Brazil	Reported cases	Predicted Cases	
Federated unit with Zika		Lower Limit	Upper Limit	Federated unit with Zika		Lower Limit	Upper Limit
Alagoas	149	32	78	Paraná	No data	334	262
Amazonas	No data	25	93	Pernambuco	1,236	275	220
Bahia	450	153	357	Piauí	No data	26	75
Ceará	192	306	209	Rio de Janeiro	122	127	386
Espírito Santo	No data	52	92	Rio Grande do Norte	181	38	81
Maranhão	No data	12	162	Rondônia	No data	23	42
Mato Grosso	129	65	77	Roraima	No data	12	12
Pará	No data	51	193	São Paulo	No data	1,880	1,043
Paraíba	569	48	93	Tocantins	No data	70	36
Brazil (18 of 27 states reporting)						3,526	3,515

459 Table based on estimates provided by Brazilian Ministry of Health as summarized in Table 2. Numbers of predicted  
 460 cases are derived by calculating predicted at-risk pregnancies (the product of average crude birth rate in Brazil  
 461 between 2011-2013 of 15 births/1000 people and estimated Zika infected population in each state summarized in  
 462 Table 2) and multiplying by the corresponding calculated average incidence rate estimate lower and upper limits  
 463 for the country at large during 2015.

#### 464 [Zika Virology and Immunology](#)

465 In the typical initial infection event, Zika virus is transmitted to a bitten human host after skin injection of  
 466 a mixture of insect saliva, virus, and blood components from the most recent feeding during female  
 467 mosquito blood meals. Probability of viral particle transmission is related to the volume of fluid held in  
 468 the proboscis from a prior blood meal, viral replication levels and volume of insect salivary glands, and  
 469 the viral infectious titer of the preceding host [102]. In the case of many arboviruses, mosquito salivary  
 470 gland products enhance viral infectivity and replication. Zika infection of the recipient host requires viral  
 471 envelope protein binding and particle uptake into susceptible cells, is mediated by specific receptors  
 472 which include DC-SIGN, AXL, Tyro3, and TIM-1, and triggers transcriptional activation of Toll-like receptor  
 473 3 (TLR3), RIG-I, MDA5, interferon stimulated genes including OAS2, ISG15, and MX1, and beta interferon  
 474 [103]. Primarily infected cells include skin fibroblasts, epidermal keratinocytes, and skin dendritic cells.  
 475 Immature dendritic cells appear to be an important initial Zika target. Reasoning by analogy to dengue  
 476 infection, it is likely that primary Zika infection triggers apoptosis of infected cells, thereby evading aspects  
 477 of innate immune responses and increasing initial release of infectious viral particles [102]. Both dengue  
 478 and Zika viruses subsequently exploit autophagy to enhance replication [104], and pharmacologic



479 manipulation of Zika-infected cells with 3-Methyladenine (3-MA), an inhibitor of autophagosome  
480 formation, strongly reduces viral copy numbers in infected fibroblasts [103]. Based on prior murine  
481 studies involving Zika virus inoculation in mouse brain [105], autophagy of Zika virus has been postulated  
482 as playing a key role in the pathogenesis of Zika-associated primary microcephaly [106].

483 The infection and host response cascade triggered by initial infection with Zika virus has yet to be  
484 characterized. Dengue infection in humans may provide a model until further information becomes  
485 available. In the case of dengue, the infection then spreads to both lymphatic and non-lymphatic tissues;  
486 fever, arthralgia and myalgia ensue. Viral titers peak with fever onset, are stable for one to two days, and  
487 then decline as adaptive immune responses begin to control the infection (T and B cells), with IgM and  
488 IgG levels increasing rapidly as viremia drops. The CD8+ T cell responses to dengue infection are primarily  
489 directed to nonstructural protein epitopes including NS3 and NS5. Human infection by dengue provides  
490 one of the most classic examples of antibody dependent enhancement of disease by pre-existing non-  
491 neutralizing antibody, resulting in dengue hemorrhagic fever [107, 108]. The potential role of antibody  
492 dependent enhancement (ADE) of Zika infection and disease has not been examined.

493 The duration of viremia, infectivity, and persistence of Zika virus, is not known for either post-partum or  
494 intrauterine infection. Nor is the route of fetal infection, or the degree of neurotropism. Related  
495 flaviviruses may cause persistent infection despite the presence of serum antibodies [109]. West Nile virus  
496 can be neurotropic in many species including humans [110, 111]. Dengue is associated with encephalitis,  
497 encephalopathy, and multiple less frequent neurological symptoms [33, 35]. Transplacental transmission  
498 of West Nile virus has been reported [112]. Dengue infection in pregnancy leads to transplacental transfer  
499 of anti-dengue antibodies [113-115]. However, despite the extensive distribution of dengue, there is only  
500 one published case study showing transplacental fetal infection [116]. Zika virus has been demonstrated  
501 in amniotic fluid [97, 117], as well as in an aborted fetus [3]. Researchers from the Carlos Chagas Institute  
502 of Paraná Fiocruz have reported that Zika virus can cross the placenta during pregnancy, based on  
503 demonstration of viral proteins in placental cells. The working hypothesis offered for the Zika viral  
504 transplacental transport mechanism is that the virus may be using the migratory capacity of these cells to  
505 reach fetal vessels [118]. An alternative explanation for Zika virus infection of amniotic fluid and, possibly,  
506 fetal central nervous tissue may be viral uptake and transport *via* FcRn receptors on the placenta.  
507 Epitopes with dengue or YF could result in preexisting antibodies to these viruses binding Zika and  
508 enhancing initial virus replication or placental cell infection, or transplacental viral transfer.

509 Rapid immunoinformatic analysis of the envelope protein of Zika, from Brazilian Zika SPH2015  
510 (KU321639), indicates predicted B and T cell epitopes in peptides that are consistent to those reported  
511 for dengue, YFYF and Japanese encephalitis. The envelope Domain II B cell epitope, to which much dengue  
512 non-neutralizing cross reaction is attributed [119], is also conserved also in Zika, consistent with prior field  
513 observations of cross reactivity with dengue and YF. Domain III of the Zika envelope protein, likely the  
514 main specific neutralizing domain, is distinct from recent Brazilian dengue isolates. When compared with  
515 recent Brazilian dengue 1-4 isolates (GQ330473, HQ184924, JF808120, JN848496, JQ513335, KP858105,  
516 KP858119, HQ184925, JN848499, KP858111) and a recent Peruvian YF isolate (GQ379163), 76% of  
517 possible major histocompatibility complex class (MHC) I and MHC II binding peptides and potential B cell  
518 linear epitopes are unique to Zika. Related to this, the patterns of similarity of T and B cell motifs with the  
519 human proteome differs in Zika relative to dengue, indicating a potentially different pattern of epitope  
520 mimics. When envelopes of 38 strains of Zika from around the world are compared [13, 120], the Cook

521 Island and Brazilian isolates stand apart from two clusters of African isolates, based on analysis of B cell  
522 linear epitopes and predicted MHC II binding.

523 Opportunities and strategies for Zika medical management and countermeasure development will benefit  
524 from answers to key questions concerning the virology and immunology of Zika infection in the human  
525 host. A better understanding of natural immune responses and viral infection may clarify the potential  
526 role of Zika in eliciting GBS or microcephaly. Targeted identification and design of antivirals, neutralizing  
527 antibody preparations and immunotherapeutics still require understanding of the underlying biology.  
528 Critical priorities for early characterization include duration and levels of viremia and transmissibility,  
529 whether circulating non-neutralizing antibody complexes contribute to either primary infection or fetal  
530 pathology, and the potential for interaction with pre-existing immunity elicited by other flaviviruses or  
531 flavivirus vaccines.

### 532 [Medical Countermeasure Development Strategies](#)

533 Over the short term, development and testing of antiviral drugs, neutralizing antibody preparations, and  
534 medicines designed to interfere with Fc receptor interactions [121] are among many MCM strategies  
535 which must be evaluated for those at greatest risk - pregnant women in their first and second trimesters  
536 [122, 123]. Product candidates with antiviral potency can be rapidly selected and evaluated using *in vitro*  
537 tests and animal challenge models. Once identified, testing of medical products may be expedited by  
538 focusing on high-risk populations (pregnant women and those wishing to become pregnant); risk/benefit  
539 ratios in these populations may be more compelling, and clinical safety and efficacy testing may be more  
540 efficient when subpopulations with higher risk for clearly defined disease outcomes, rather than general  
541 populations, are selected for clinical study enrollment. Pregnant women are typically the last “special  
542 population” to be clinically tested when developing a MCM, but this outbreak represents a special case  
543 where the fetus is apparently at highest risk.

544 Development of a general use prophylactic vaccine for Zika virus-induced disease will require considerable  
545 time and careful evaluation of safety, effectiveness, and risk/benefit ratio for the population at large. This  
546 is particularly true for a vaccine designed to protect against a virus apparently associated with both  
547 neurologic teratogenic effects and neurologic autoimmune disease (GBS), and which belongs to a genus  
548 notorious for antibody-mediated enhancement of infection [107, 124, 125]. For example, during 2002 it  
549 was announced that a vaccine for the closely related West Nile Virus was in preparation with licensure  
550 anticipated within three years [126]. While an equine vaccine for West Nile Virus has been licensed, there  
551 are currently no vaccines licensed for preventing West Nile Virus disease in humans. With any  
552 prophylactic vaccine intended for human use, the requirement for careful evaluation of safety (including  
553 potential for eliciting autoimmune disease) and efficacy necessitate large and sustained clinical  
554 development efforts [127-130]. In Brazil, Institute Butantan has announced an expedited Zika vaccine  
555 development effort projected for completion in three to five years after an initial year of non-human  
556 primate testing, which may involve collaboration with the NIH [131]. Experience suggests that this is an  
557 optimistic timeline for development and licensure of a flavivirus vaccine, which may require up to twenty  
558 years of clinical development and testing [132].

559 In the Yap island outbreak of 2007, 73% of the residents of Yap were infected by Zika within four months  
560 [28]. By the time marketing authorization is granted for a general use prophylactic vaccine, Zika may have  
561 become endemic in susceptible regions of the Americas, with a large fraction of the population having  
562 become infected during childhood or adolescence. Hopefully such infection will provide subsequent

563 protection from both adult GBS and transplacental infection, as appears may be the case in other endemic  
564 regions. However, this scenario offers little solace for the patients, parents (and would-be parents),  
565 primary caregivers, obstetricians, neurologists and public health officials who are confronting the  
566 immediate implications and consequences of the current outbreak.

567 In the absence of currently available vaccines, the likely long timeline for vaccine development, and the  
568 open questions about the basic pathogenesis of Zika virus infection, parallel development of other  
569 prophylactics and therapeutics must be explored. Regarding drugs, the Assistant Director General of the  
570 World Health Organization has indicated that preventive therapies, similar to those for malaria, seem like  
571 a faster and more workable option than treatments [133]. Currently no small molecule drugs are  
572 approved for treatment of Zika infection, although a search of the patent literature reveals many drugs  
573 targeting hepatitis C which include claims to Zika virus efficacy. Such antivirals should be evaluated for  
574 their efficacy and safety against Zika virus. The anti-malarial hydroxychloroquine is an autophagy  
575 inhibitor, and *in vitro* testing has demonstrated inhibition of dengue virus infection *via* induction of  
576 reactive oxygen species and mitochondrial antiviral signaling protein [134]. Of interest is that  
577 hydroxychloroquine has been safely used during pregnancy [135]. Amodiaquine also acts *via* inhibition of  
578 autophagy [136], is safe for use in pregnancy [137], and *in situ* inhibition of Ebola pathogenicity using this  
579 compound has been demonstrated at clinically relevant doses [138]. In preliminary cell culture studies,  
580 Amodiaquine has also been observed to inhibit the pathogenicity of Zika virus at similar concentrations  
581 to those previously reported for Ebola virus (unpublished results by permission, Drs. V Soloveva and S  
582 Bavari). Targeted immunotherapeutic strategies may also offer hope for reducing clinical complications  
583 from Zika infection including GBS [139, 140], and antibody dependent enhancement (ADE). *In vitro*, ADE  
584 has been demonstrated with Zika virus [124]. A US patent issued in 2014, describes a drug useful for  
585 treating ADE in dengue that has been verified in *in levitro* and *in vivo* experiments [141].

586 The potential for monoclonal antibody based therapies for arbovirus infections was recently reviewed  
587 [142], concluding that such therapies offer promise as interventions but must be carefully evaluated given  
588 the potential challenge of ADE. Engineering to remove Fc binding sequences was shown to mitigate the  
589 ADE risk in animal models [143]. Prophylactic and therapeutic use of cross-reactive neutralizing mAbs for  
590 flavivirus infections has been shown to be effective in animal models [144]. *De novo* antibodies may be  
591 generated which target Zika-specific epitopes. Further study of the role of transplacental immunoglobulin  
592 in Zika teratology will be needed.

593 MAbs which have been appropriately engineered and de-risked have the potential to protect against Zika  
594 infection, but a mAb product must have high potency if it is to provide an adequate number of doses at  
595 reasonable cost. For example, the adult dose of ZMapp™ that may reduce the spread of Ebola within the  
596 body requires nearly 200 x 10ml vials at 100mg/ml of three antibodies that recognize distinct epitopes of  
597 the Ebola Zaire glycoprotein. New tools such as affinity maturation to create a comprehensive map of the  
598 paratope sequence space to allow identification of beneficial, neutral, and detrimental amino acid  
599 substitutions at each complementarity determining region (CDR) position, as well as use of phage displays,  
600 may lead to improved manufacturability (reduced susceptibility to deamidation, oxidation, aggregation)  
601 and lead to faster testing of each antibody variant in a cost-effective manner. A similar strategy for Zika,  
602 combining two or three mAbs binding non-overlapping specific epitopes, would increase the chances of  
603 neutralization by first pass hepatic clearance of the immune complexes. In the absence of dose-response  
604 information in humans, a reliable estimate can be obtained from LD50 exposure animal studies where the  
605 level of protection may be titrated.

## 606 Outbreak Modeling, Tracking, and Public Health Communications

607 Zika infection is rapidly spreading throughout the Americas. To keep up with this outbreak, surveillance  
608 tracking, outbreak tracking and threat analysis will necessarily involve a combination of methods, both  
609 traditional and modern. Traditional methods include case reporting, vector sampling, reservoir animal  
610 sampling, and sentinel systems. A number of tools can be added to this list. These include human  
611 networking, reporting signature pattern recognition and forecasting, social media tracking and  
612 bioinformatics, including geospatial analysis of isolates and immunoinformatics. The West African Ebola  
613 virus outbreak of 2014-2015 revealed serious deficiencies in global surveillance, threat identification and  
614 management capabilities for infectious disease epidemics. The various lessons-learned exercises which  
615 followed may help guide a more effective response to the threats associated with the current outbreak.

616 Physicians and their patients are asking for practical information to guide routine decisions, and are  
617 expressing frustration about public health communication and availability of the clinical tests required to  
618 manage important reproductive health decisions. To better understand the questions and issues which  
619 medical caregivers and patients need to have addressed, informal on-line surveys were distributed to  
620 physicians in the US, Mexico and Argentina. In an initial sample of 56 responses addressing the question  
621 “What are the key questions you or your patients might ask about Zika virus?”. The top two responses  
622 were “How long does a woman need to wait to get pregnant following potential exposure to Zika virus?”  
623 (30%) and “What is the likelihood that a pregnant woman who is exposed to Zika virus will have an infant  
624 with a severe defect?” (23%). Many comments focused on frustrations associated with the absence of  
625 necessary clinical diagnostic laboratory tests. However, the most telling initial finding involved a question  
626 distributed to physicians outside of the United States. In response to “Do you think your health system is  
627 prepared for the Zika Virus?”, 79% (343/472) of physicians responded “No”, and 21% (99/472) responded  
628 “Yes”.

629 Prompt and effective public health communications have also been a challenge during both the H1N1  
630 outbreak and the West African Ebola outbreak. In an initiative specifically designed to apply lessons  
631 learned from the Ebola experience concerning the importance of rapidly disseminating key information,  
632 the International Severe Acute Respiratory and Emerging Infection Consortium (ISARC) in cooperation  
633 with Fundação Oswaldo Cruz (Fiocruz), WHO, Institute Pasteur, and the German Centre for Infection  
634 Research and others have established an internet-based resource for sharing and developing public health  
635 research and response information concerning Zika virus, under the coordination of Fernando Bozza of  
636 Fiocruz [145].

637 PAHO is working to provide timely access to the information which physicians and the public require,  
638 and has published a statement on Zika Virus Transmission and Prevention which included the following  
639 comments [82]:

- 640 • There are two main reasons for the virus's rapid spread: (1) the population of the Americas had  
641 not previously been exposed to Zika and therefore lacks immunity, and (2) *Aedes* mosquitoes—  
642 the main vector for Zika transmission—are present in all the region's countries except Canada  
643 and continental Chile.
- 644 • PAHO anticipates that Zika virus will continue to spread and will likely reach all countries and  
645 territories of the region where *Aedes* mosquitoes are found.

646 The National Library of Medicine has established a Disaster Information Research Center website listing  
647 resources providing links (<https://disaster.nlm.nih.gov/dimrc/zikavirus.html#a6>)),

## 648 Conclusions

649 With the sudden emergence of Zika virus as an evolving epidemic, we are confronted with the need to  
650 simultaneously study and understand a new disease, and to develop countermeasures. In many ways Zika  
651 presents a much more complex challenge than Ebola, and it may impact more lives. It is vector borne,  
652 and therefore its range of transmission will be determined by vector ecosystem. Limiting movement or  
653 contact of people cannot significantly contain it. Acute infection may be unapparent, so patients cannot  
654 be quarantined. Zika-related disease has its most devastating effects on the unborn fetus with a delay to  
655 diagnosis. The transplacental pathology is not understood. The occurrence of GBS suggests that Zika virus  
656 associated disease has an autoimmune component. It is epidemic in a region with a high degree of global  
657 connectivity; cases will be widely disseminated. The Zika epidemic is moving very rapidly. Research  
658 reagents, animal models, and fundamental science knowledge are much less well developed than they  
659 were for Ebola. On the other hand, decades of experience with dengue, YFV, and West Nile have  
660 equipped us with familiarity with ADE and flavivirus vaccine development strategies. Zika virus is likely a  
661 harbinger of future diseases driven by ecosystem change and global interconnectedness.

662 Perhaps the biggest challenge with Zika will be to recognize it for what it is: a new disease which does not  
663 fit the epidemiology or response paradigm of Ebola or dengue and which will demand effort, resources,  
664 unparalleled collaboration, and above all, open mindedness in formulating responses.

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