



**FACT BOOK**  
**2015-2016**

**see blue.**<sup>TM</sup>  
*in everything we do.*

**UNIVERSITY OF KENTUCKY**

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## January 2016

We are proud to publish the 2015-16 Fact Booklet—a compendium of current statistics about people and programs at the University of Kentucky. In accordance with KRS 164.250, this booklet provides important data to our stakeholders and the general public, including information on enrollment management; retention and graduation rates; research contracts, grants and expenditures; faculty salaries; and a summary of the 2015-2016 Operating and Capital Budget.

For the eighth year in a row, UK set a record for total enrollment last fall. More than 30,700 students are now pursuing an advanced degree or credential at the University of Kentucky. Nearly 30 percent of UK undergraduates came to our campus from outside of Kentucky, including 38 percent of the freshman class. The size of the first-year class (5,211), the largest in UK's history, included another record high—bringing the total number of National Merit, National Achievement, and National Hispanic Finalists enrolled on our campus to 395. We also saw our highest-to-date retention rate, at 82.7 percent.

From new residence halls that are transforming the student living experience to classrooms and research space that advances learning and discovery, the University of Kentucky is becoming a national model for a thriving, public residential research campus.

Further underscoring the commitment to create the best possible academic experience for students, the university announced in October the single largest gift in UK's history — \$23 million to create one of the country's leading Honors programs. In the last five years, at the direction of President Capilouto and the Board of Trustees, participation in the

University's Honors Program has nearly doubled as part of an effort to enhance the undergraduate educational experience, while also improving retention and graduation rates. Construction is underway on a residence hall to house the Lewis Honors College, located at the corner of University and Hilltop Drives.

Construction is nearly complete on the new Academic Science Building, a \$122 million facility which will open in fall 2016. This state-of-the-art facility, home to innovative lecture halls and groundbreaking TEAL (Technology Enabled Active Learning) classrooms, will revamp science education at UK.

These priorities embolden our mission as a renowned flagship and land grant research university. They bolster our commitment to Kentucky – a covenant that has never been more vital. As we continue our work together we are building a stronger University of Kentucky—or better yet, a stronger University for Kentucky—and through it, a better future for our state.

Sincerely,

A handwritten signature in black ink that reads "Eli A. Capilouto". The signature is written in a cursive style with a large initial "E" and "C".

Eli Capilouto  
President

# MISSION

The University of Kentucky is a public, land grant university dedicated to improving people's lives through excellence in education, research and creative work, service and health care. As Kentucky's flagship institution, the University plays a critical leadership role by promoting diversity, inclusion, economic development and human well-being.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research;
- Expands knowledge through research, scholarship and creative activity; and
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity.

# VISION

The University of Kentucky will be one of the nation's 20 best public research universities.

-- The University of Kentucky Board of Trustees adopted the amended the Vision and Mission Statements on January 23, 2007 and modified it on March 27, 2012.

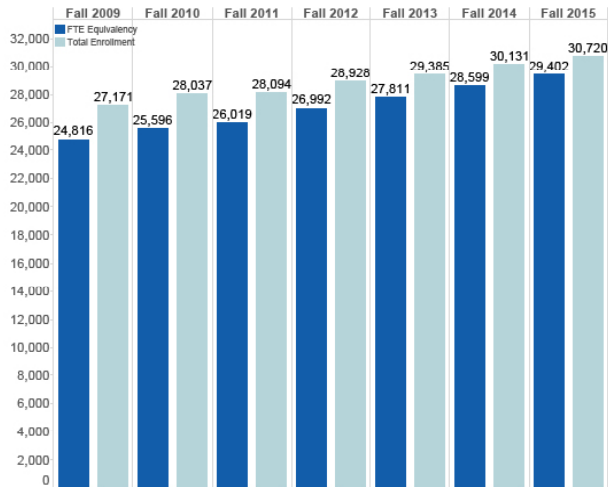
# ENROLLMENT - Headcount and Full-time Equivalent

## Fall 2015 Headcount

| <u>Level</u>            | <u>Full-time</u> | <u>Part-time</u> | <u>Total</u>  |
|-------------------------|------------------|------------------|---------------|
| Undergraduate           | 21,199           | 1,506            | 22,705        |
| Graduate*               | 5,745            | 1,277            | 7,022         |
| <b>Subtotal (IPEDS)</b> | <b>26,469</b>    | <b>2,734</b>     | <b>29,203</b> |
| UG Auditors             | 0                | 56               | 56            |
| Postdoctoral            | 248              | 1                | 249           |
| House Staff             | 688              | 0                | 688           |
| <b>Total (CPE)</b>      | <b>27,880</b>    | <b>2,840</b>     | <b>30,720</b> |

FTE calculations have been revised in accordance with CPE guidelines. The new method uses a formula that weights the number of student credit hours by students' degree level.

\*Note: Beginning in 2009-10, federal reporting guidelines for enrollments eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. These degree programs are now subsumed under the graduate classification.



# ENROLLMENT - Fall 2015 at a Glance

|              |               | <b>% of<br/>Total</b> |
|--------------|---------------|-----------------------|
| Men          | 14,298        | 46.5                  |
| Women        | 16,422        | 53.5                  |
| <b>Total</b> | <b>30,720</b> | <b>100.0</b>          |

|              |               |              |
|--------------|---------------|--------------|
| Full-time    | 27,880        | 90.8         |
| Part-time    | 2,840         | 9.2          |
| <b>Total</b> | <b>30,720</b> | <b>100.0</b> |

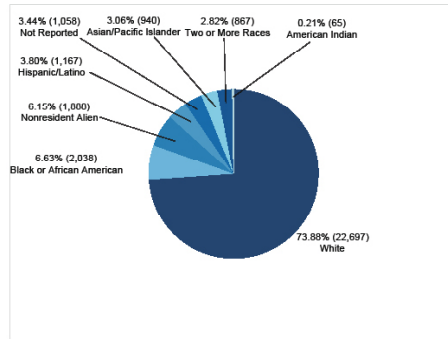
|              |               |               |
|--------------|---------------|---------------|
| Resident     | 20,887        | 68.0%         |
| Non-resident | 9,833         | 32.0%         |
| <b>Total</b> | <b>30,720</b> | <b>100.0%</b> |

|                                  |               | <b>% of<br/>Total</b> |
|----------------------------------|---------------|-----------------------|
| Freshmen                         | 6,260         | 20.4                  |
| Sophomores                       | 4,823         | 15.7                  |
| Juniors                          | 4,788         | 15.6                  |
| Seniors                          | 6,376         | 20.8                  |
| UG Non-degree                    | 514           | 1.7                   |
| <b>Subtotal Undergraduate</b>    | <b>22,761</b> | <b>74.2</b>           |
| Master/Specialist                | 2,351         | 7.7                   |
| Doctoral*                        | 4,316         | 14.0                  |
| Graduate Non-degree              | 355           | 1.2                   |
| <b>Subtotal Graduate</b>         | <b>7,021</b>  | <b>22.9</b>           |
| <b>House Staff/Post Doctoral</b> | <b>937</b>    | <b>3.1</b>            |
| <b>Total</b>                     | <b>30,720</b> | <b>100.0</b>          |

\*Note: Beginning in 2009-10, federal reporting guidelines for enrollments eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. These degree programs are included under the Doctoral classification.

# ENROLLMENT - Fall 2015 by Race/Ethnicity

|                                 | <u>Undergrad</u> | <u>Grad.</u> | <u>Post<br/>Doc.</u> | <u>House<br/>Staff</u> | <u>Total</u>  |
|---------------------------------|------------------|--------------|----------------------|------------------------|---------------|
| Black or African American       | 1,701            | 319          | 3                    | 15                     | 2,038         |
| Amer. Indian/<br>Alaskan Native | 41               | 23           | 0                    | 1                      | 65            |
| Asian/Pacific Islander          | 595              | 249          | 20                   | 76                     | 940           |
| Hispanic/Latino                 | 954              | 188          | 3                    | 22                     | 1,167         |
| International                   | 785              | 938          | 144                  | 21                     | 1,888         |
| White                           | 17,268           | 4,858        | 72                   | 501                    | 22,697        |
| Two or more races               | 770              | 95           | 2                    | 0                      | 867           |
| Not Reported                    | 647              | 354          | 5                    | 52                     | 1,058         |
| <b>Total</b>                    | <b>22,761</b>    | <b>7,022</b> | <b>249</b>           | <b>688</b>             | <b>30,720</b> |

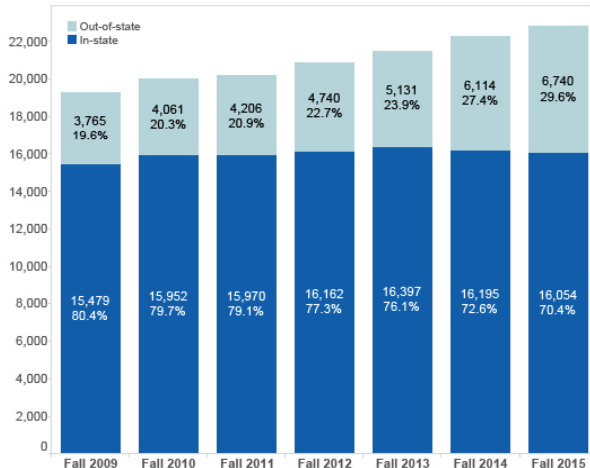


Total = 30,720



# UNDERGRADUATES - by Residency Status

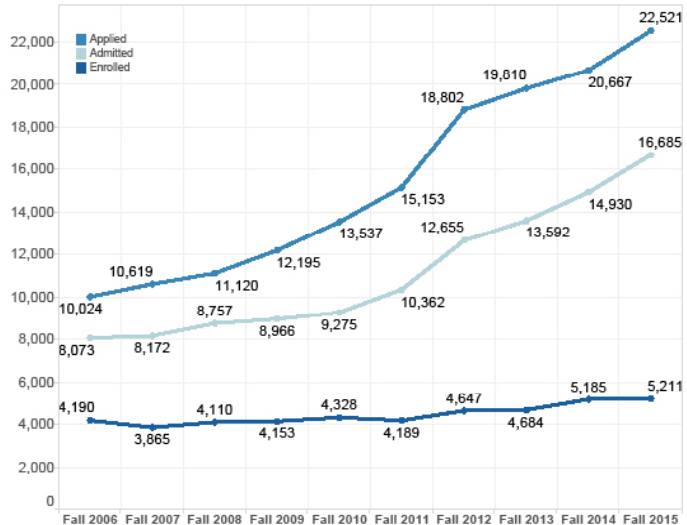
| <u>Year</u> | <u>Kentucky Residents</u> | <u>Out-of-State Students</u> |
|-------------|---------------------------|------------------------------|
| Fall 2015   | 16,023                    | 6,738                        |
| Fall 2014   | 16,164                    | 6,110                        |
| Fall 2013   | 16,367                    | 5,128                        |
| Fall 2012   | 16,142                    | 4,736                        |
| Fall 2011   | 15,949                    | 4,203                        |
| Fall 2010   | 15,933                    | 4,055                        |
| Fall 2009   | 15,457                    | 3,760                        |



# APPLIED, ADMITTED and ENROLLED

## First-year Students Fall 2015

|             |        |
|-------------|--------|
| Applied     | 22,521 |
| Admitted    | 16,685 |
| Enrolled    | 5,211  |
| Admit. Rate | 74.1%  |
| Yield Rate  | 31.2%  |



# FIRST-YEAR STUDENT PROFILE

|                                                           | <u>Fall</u><br><u>2011</u> | <u>Fall</u><br><u>2012</u> | <u>Fall</u><br><u>2013</u> | <u>Fall</u><br><u>2014</u> | <u>Fall</u><br><u>2015</u> |
|-----------------------------------------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| Total                                                     | 4,139                      | 4,647                      | 4,684                      | 5,185                      | 5,211                      |
| Female                                                    | 2,194                      | 2,498                      | 2,623                      | 2,900                      | 2,994                      |
| Black or African-American <sup>a</sup>                    | 422                        | 533                        | 553                        | 550                        | 557                        |
| Out-of-State                                              | 1,073                      | 1,442                      | 1,401 <sup>b</sup>         | 1,932                      | 1,982                      |
| International                                             | 56                         | 110                        | 95                         | 131                        | 97                         |
| Full-Time                                                 | 4,082                      | 4,588                      | 4,619                      | 5,144                      | 5,167                      |
| Governor's Scholars and<br>Governor's School for the Arts | 394                        | 427                        | 417                        | 384                        | 410                        |
| Merit Scholars                                            | 32                         | 70                         | 105 <sup>c</sup>           | 113 <sup>c</sup>           | 117 <sup>c</sup>           |
| Valedictorians                                            | 154                        | 208                        | 182                        | 170                        | 156                        |

<sup>a</sup> This number includes students who reported two or more races, one of which was "Black or African American".

<sup>b</sup> Includes International Students.

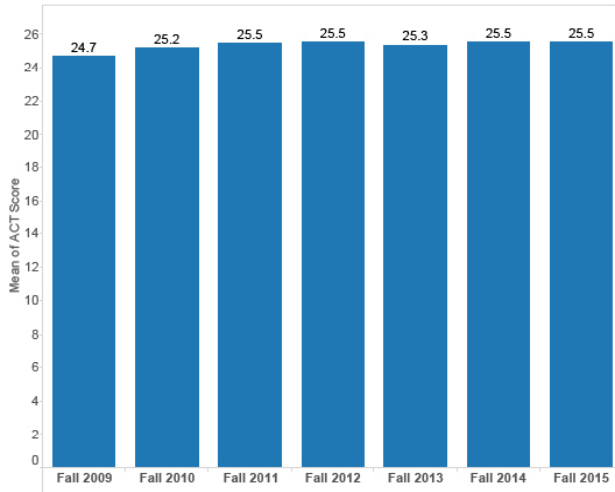
<sup>c</sup> Number includes National Merit, National Achievement and National Hispanic Finalists



# ACT Scores - Entering First-year Students

| <u>Year</u> | <u>N</u> | <u>Mean</u> | <u>25th/75th<br/>Percentile</u> |
|-------------|----------|-------------|---------------------------------|
| Fall 2015   | 4,788    | 25.5        | 22/28                           |
| Fall 2014   | 4,707    | 25.5        | 21/28                           |
| Fall 2013   | 4,326    | 25.3        | 22/28                           |
| Fall 2012   | 4,240    | 25.5        | 23/28                           |
| Fall 2011   | 3,865    | 25.5        | 23/28                           |
| Fall 2010   | 4,049    | 25.2        | 22/28                           |
| Fall 2009   | 3,906    | 24.7        | 22/28                           |

Note: Some students submit SAT scores rather than ACT scores. These students' scores are not reflected in the table and graph.

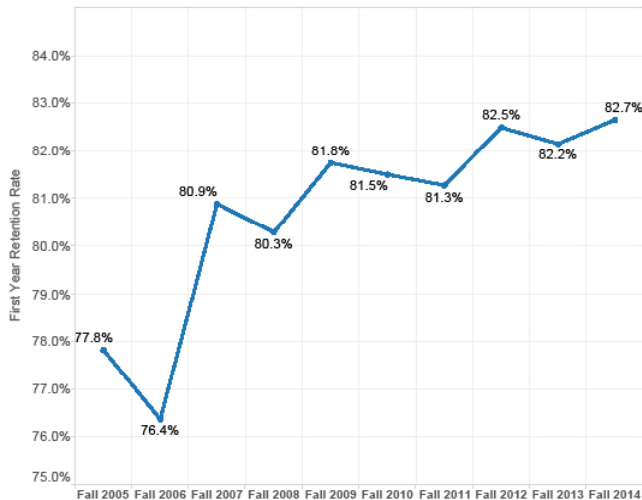


# RETENTION RATE

## First-to-second Year Retention Rate\*

| <u>Cohort</u> | <u>Retention<br/>Rate</u> |
|---------------|---------------------------|
| Fall 2014     | 82.7%                     |
| Fall 2013     | 82.2%                     |
| Fall 2012     | 82.5%                     |
| Fall 2011     | 81.3%                     |
| Fall 2010     | 81.5%                     |
| Fall 2009     | 81.8%                     |
| Fall 2008     | 80.3%                     |
| Fall 2007     | 81.0%                     |
| Fall 2006     | 76.4%                     |
| Fall 2005     | 77.8%                     |

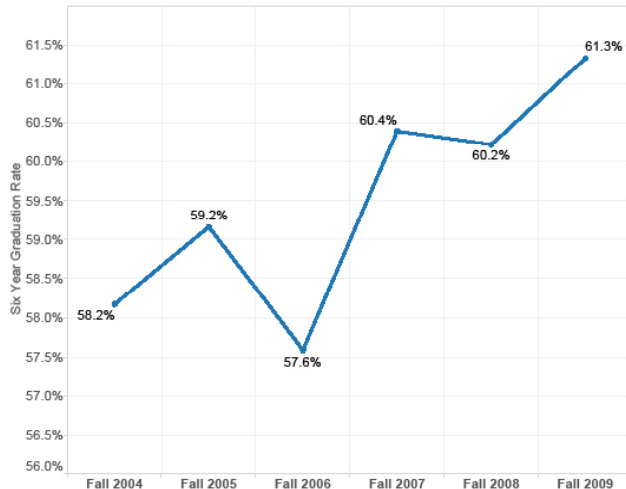
\*Retention rates apply to first-time, full-time, degree-seeking students.



# GRADUATION RATE

## Six-year Graduation Rate\*

| <u>Cohort</u> | <u>Graduation Rate</u> |
|---------------|------------------------|
| Fall 2009     | 61.3%                  |
| Fall 2008     | 60.2%                  |
| Fall 2007     | 60.4%                  |
| Fall 2006     | 57.6%                  |
| Fall 2005     | 59.2%                  |
| Fall 2004     | 58.2%                  |

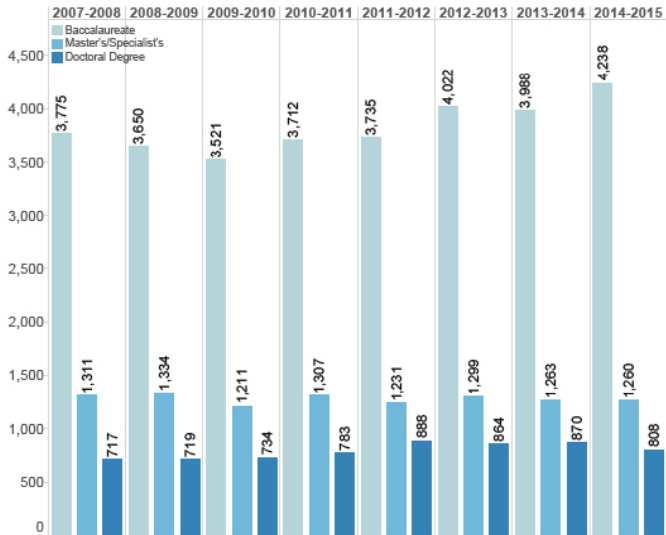


\*Graduation rates apply to first-time, full-time, degree-seeking students.

# DEGREES CONFERRED

## Academic Year 2014-2015

|                       |       |
|-----------------------|-------|
| Baccalaureate         | 4,238 |
| Master's/Specialist's | 1,260 |
| Doctor's Degree       | 808   |



Note: Academic Year is defined as Fall - Winter  
- Spring - Summer.

# DEGREES CONFERRED - By College

## Academic Year 2014-2015

| <u>College</u>       | <u>Bacc.</u> | <u>Mast.*</u> | <u>Doc.**</u> |
|----------------------|--------------|---------------|---------------|
| Agriculture          | 647          | 90            | 29            |
| Arts & Sciences      | 1,020        | 146           | 99            |
| Business & Economics | 631          | 164           | 13            |
| Comm. & Information  | 370          | 105           | 10            |
| Dentistry            | -            | 7             | 56            |
| Design               | 72           | 19            | -             |
| Education            | 420          | 198           | 35            |
| Engineering          | 439          | 76            | 52            |
| Fine Arts            | 114          | 29            | 11            |
| Grad. School         | -            | 73            | 4             |

| <u>College</u>  | <u>Bacc.</u> | <u>Mast.*</u> | <u>Doc.**</u> |
|-----------------|--------------|---------------|---------------|
| Health Sciences | 66           | 110           | 69            |
| Law             | -            | -             | 124           |
| Medicine        | -            | 37            | 141           |
| Nursing         | 217          | -             | 24            |
| Pharmacy        | -            | -             | 144           |
| Public Health   | -            | 81            | 15            |
| Social Work     | 55           | 96            | 2             |
| Total           | 4,051        | 1,231         | 828           |

\* Includes Specialist degrees

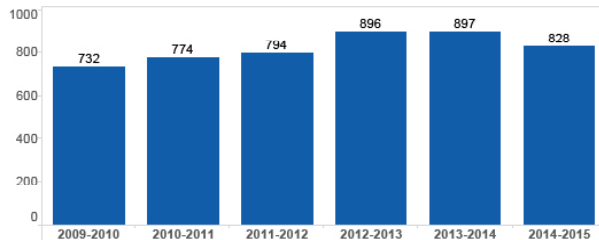
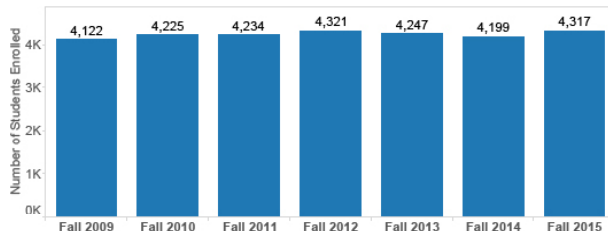
\*\* Includes Doctor's Degrees (Research/Scholarship) and Doctor's Degrees (Professional Practice)

Note: Academic Year is defined as Fall - Winter - Spring - Summer.



# DOCTORATES - Enrollments and Degrees Awarded

| <u>Year</u> | <u>Fall Enrollment</u> | <u>Degrees Awarded</u> |
|-------------|------------------------|------------------------|
| 2015-16     | 4,317                  | -                      |
| 2014-15     | 4,199                  | 828                    |
| 2013-14     | 4,247                  | 897                    |
| 2012-13     | 4,319                  | 896                    |
| 2011-12     | 4,234                  | 794                    |
| 2010-11     | 4,225                  | 774                    |
| 2009-10     | 4,122                  | 732                    |



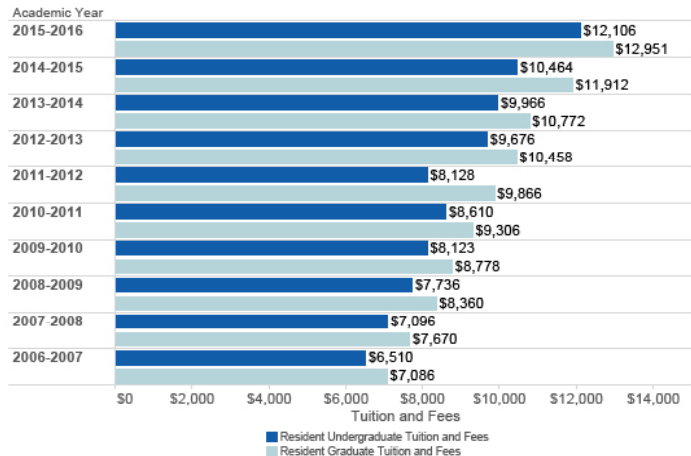
Note 1: Federal reporting guidelines have eliminated the first-professional degree category and established a new Doctor's Degree - Professional Practice category. Doctoral enrollments and degree recipients have been revised to include enrollments and degrees from former first-professional programs.

Note 2: Enrollments are based on the fall semester of a given academic year. The number of degree recipients for 2015-16 is not yet available.

# ANNUAL TUITION and FEES

## 2015-2016

| <b><u>Resident</u></b>     | <b><u>Tuition</u></b> | <b><u>Fees</u></b> |
|----------------------------|-----------------------|--------------------|
| Graduate                   | \$ 11,625             | \$ 1,326           |
| Undergraduate              |                       |                    |
| - Lower Division           | 10,780                | 1,326              |
| - Upper Division           | 11,092                | 1,326              |
| <b><u>Non-resident</u></b> | <b><u>Tuition</u></b> | <b><u>Fees</u></b> |
| Graduate                   | \$ 26,154             | \$ 1,326           |
| Undergraduate              |                       |                    |
| - Lower Division           | 24,104                | 1,326              |
| - Upper Division           | 24,432                | 1,326              |



Note 1: The undergraduate tuition rate in the graph applies to lower division students.

Note 2: Several graduate programs (e.g., MBA) have differential tuition rates.

# FULL-TIME EMPLOYEES

**2015-2016**

| <b><u>Full-Time Employees</u></b> | President's<br>Office | Educational<br>Units | Provost                    |                               | Health<br>Affairs | Research   | Total         | % Total       |
|-----------------------------------|-----------------------|----------------------|----------------------------|-------------------------------|-------------------|------------|---------------|---------------|
|                                   |                       |                      | Administration/<br>Support | Finance and<br>Administration |                   |            |               |               |
| Exec./Admin./Managerial           | 48                    | 225                  | 88                         | 77                            | 127               | 38         | 603           | 4.6%          |
| Faculty                           | 0                     | 2,348                | 14                         | 0                             | 0                 | 1          | 2,363         | 18.0%         |
| Library Faculty                   | 0                     | 6                    | 49                         | 0                             | 0                 | 0          | 55            | 0.4%          |
| Other Professional                | 224                   | 2,073                | 401                        | 256                           | 1,730             | 318        | 5,003         | 38.1%         |
| Secretarial/Clerical              | 73                    | 1,144                | 198                        | 155                           | 711               | 50         | 2,331         | 17.7%         |
| Tech./Paraprofessional            | 24                    | 833                  | 37                         | 137                           | 461               | 41         | 1,542         | 11.7%         |
| Skilled Crafts                    | 7                     | 22                   | 2                          | 136                           | 0                 | 3          | 170           | 1.3%          |
| Service/Maintenance               | 9                     | 189                  | 17                         | 660                           | 170               | 31         | 1,078         | 8.2%          |
| <b>Total</b>                      | <b>385</b>            | <b>6,840</b>         | <b>806</b>                 | <b>1,421</b>                  | <b>3,199</b>      | <b>482</b> | <b>13,145</b> | <b>100.0%</b> |

Note 1: Percentages may not total properly due to rounding.

Note 2: The President's Office includes staff from the Athletics Department and the Offices of the General Counsel, Institutional Advancement, and Commercialization and Economic Development.

Note 3: Starting in 2006-07, library faculty are reported to the federal government in the other professional category. For this publication, library faculty are classified separately.

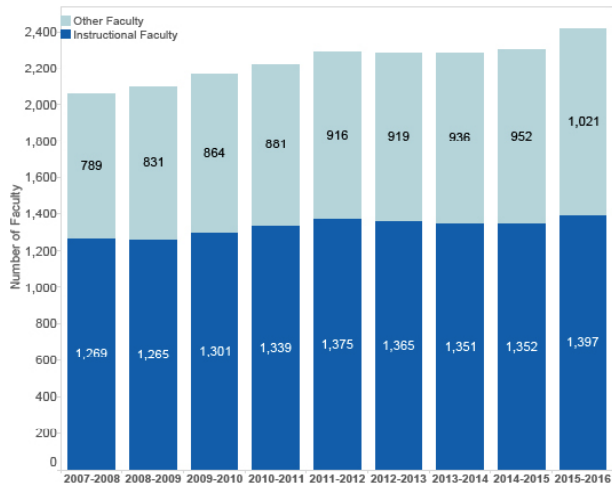
# FULL-TIME EMPLOYEE DEMOGRAPHICS

## 2015-2016

| <u>Full-time Employees</u> | <u>Black or</u> | American           | Asian/          |               |               | <u>Two or</u> |                |               |              |
|----------------------------|-----------------|--------------------|-----------------|---------------|---------------|---------------|----------------|---------------|--------------|
|                            | <u>African</u>  | Indian/<br>Alaskan | Pacific         | Hispanic/     | White         | <u>more</u>   | <u>Unknown</u> | <u>Female</u> | <u>Male</u>  |
|                            | <u>American</u> | <u>Native</u>      | <u>Islander</u> | <u>Latino</u> |               | <u>rac</u>    |                |               |              |
| Exec./Admin./Managerial    | 24              | 0                  | 10              | 4             | 563           | 2             | 0              | 282           | 321          |
| Faculty                    | 86              | 2                  | 316             | 70            | 1,873         | 16            | 0              | 929           | 1,434        |
| Library Faculty            | 1               | 0                  | 2               | 0             | 52            | 0             | 0              | 39            | 16           |
| Other Professional         | 229             | 2                  | 222             | 60            | 4,449         | 40            | 6              | 3,270         | 1,733        |
| Secretarial/Clerical       | 275             | 2                  | 23              | 29            | 1,984         | 18            | 1              | 2,032         | 299          |
| Tech./Paraprofessional     | 127             | 2                  | 60              | 27            | 1,316         | 10            | 0              | 970           | 572          |
| Skilled Crafts             | 18              | 0                  | 1               | 0             | 150           | 1             | 1              | 3             | 167          |
| Service/Maintenance        | 306             | 0                  | 16              | 7             | 743           | 6             | 2              | 390           | 688          |
| <b>Total</b>               | <b>1,066</b>    | <b>8</b>           | <b>650</b>      | <b>197</b>    | <b>11,130</b> | <b>93</b>     |                | <b>7,915</b>  | <b>5,230</b> |

# FULL-TIME FACULTY - Historical Trends

|                  | <b>Instructional<br/>Faculty*</b> | <b>Other<br/>Faculty</b> |
|------------------|-----------------------------------|--------------------------|
| <b>2015-2016</b> | 1,397                             | 1,021                    |
| <b>2014-2015</b> | 1,352                             | 952                      |
| <b>2013-2014</b> | 1,351                             | 936                      |
| <b>2012-2013</b> | 1,365                             | 919                      |
| <b>2011-2012</b> | 1,375                             | 916                      |
| <b>2010-2011</b> | 1,339                             | 881                      |
| <b>2009-2010</b> | 1,301                             | 864                      |
| <b>2008-2009</b> | 1,265                             | 831                      |
| <b>2007-2008</b> | 1,269                             | 789                      |



\*Note: Instructional faculty in preclinical and clinical medicine are not included.

# FACULTY DEMOGRAPHICS

## Tenured and Tenure-track Faculty 2015-2016

|                               | <u>Black or African</u> |           | <u>Asian</u> |           | <u>Hispanic</u> |           | <u>White</u> |            | <u>Multiple</u> |          | <u>Not reported</u> |           |
|-------------------------------|-------------------------|-----------|--------------|-----------|-----------------|-----------|--------------|------------|-----------------|----------|---------------------|-----------|
|                               | <u>M</u>                | <u>F</u>  | <u>M</u>     | <u>F</u>  | <u>M</u>        | <u>F</u>  | <u>M</u>     | <u>F</u>   | <u>M</u>        | <u>F</u> | <u>M</u>            | <u>F</u>  |
| <u>Full-Time Faculty</u>      |                         |           |              |           |                 |           |              |            |                 |          |                     |           |
| Professors/Lib. I             | 6                       | 5         | 68           | 10        | 6               | 3         | 421          | 143        |                 |          |                     |           |
| Associate Professors/Lib. II  | 17                      | 14        | 39           | 22        | 5               | 8         | 260          | 171        | 4               | 1        | 1                   |           |
| Assistant Professors/Lib. III | 7                       | 14        | 43           | 20        | 16              | 7         | 147          | 131        | 2               | 6        |                     | 2         |
| Instructors/Lib. IV           |                         |           |              |           |                 |           | 1            |            |                 |          |                     |           |
| <b>Total</b>                  | <b>30</b>               | <b>33</b> | <b>150</b>   | <b>52</b> | <b>27</b>       | <b>18</b> | <b>829</b>   | <b>445</b> | <b>6</b>        | <b>7</b> | <b>1</b>            | <b>33</b> |

# FACULTY - By Rank and Title Series: 2015-2016

## Tenured/Tenure Track

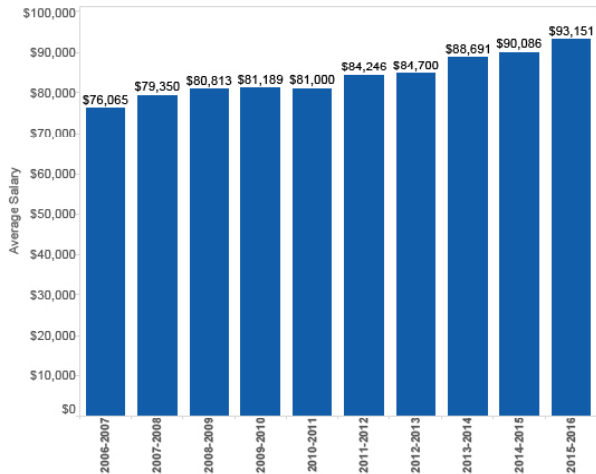
| <u>Rank</u>                   | <u>Regular</u> | <u>Librarian</u> | <u>Extension</u> | <u>Spec. Title</u> | <u>Total</u> |
|-------------------------------|----------------|------------------|------------------|--------------------|--------------|
| Professors/Lib. I             | 520            | 19               | 38               | 85                 | 662          |
| Associate Professors/Lib. II  | 397            | 27               | 16               | 102                | 542          |
| Assistant Professors/Lib. III | 295            | 9                | 13               | 77                 | 394          |
| Instructors/Lib. IV           | 1              |                  |                  |                    | 1            |
| <b>Total</b>                  | <b>1,213</b>   | <b>55</b>        | <b>67</b>        | <b>264</b>         | <b>1,599</b> |

## Non-tenure Track

| <u>Rank</u>          | <u>Clinical</u> | <u>Research</u> | <u>Lecturer</u> | <u>Other</u> | <u>Total</u> |
|----------------------|-----------------|-----------------|-----------------|--------------|--------------|
| Professors           | 41              | 3               |                 | 2            | 46           |
| Associate Professors | 101             | 19              |                 | 1            | 121          |
| Assistant Professors | 344             | 68              |                 | 4            | 416          |
| Instructors          | 34              |                 | 175             | 27           | 236          |
| <b>Total</b>         | <b>520</b>      | <b>90</b>       | <b>175</b>      | <b>34</b>    | <b>819</b>   |

# FACULTY SALARIES

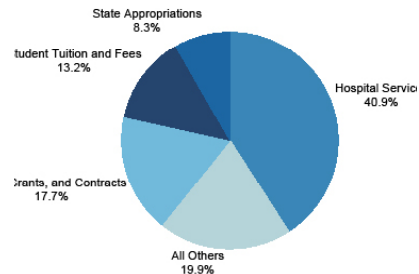
| <u>Fiscal Year</u> | <u>All-ranks Average Salary</u> |
|--------------------|---------------------------------|
| 2015-2016          | \$ 93,151                       |
| 2014-2015          | 90,086                          |
| 2013-2014          | 88,691                          |
| 2012-2013          | 84,700                          |
| 2011-2012          | 84,246                          |
| 2010-2011          | 81,000                          |
| 2009-2010          | 81,189                          |
| 2008-2009          | 80,813                          |
| 2007-2008          | 79,350                          |
| 2006-2007          | 76,065                          |





# REVENUE (In Millions)

| <b>Source of Funds</b>                    | <b>Revised Budget</b> | <b>Budget</b>    |
|-------------------------------------------|-----------------------|------------------|
|                                           | <b>2014-15</b>        | <b>2015-16</b>   |
| State Appropriations                      | \$279.6               | \$279.6          |
| Student Tuition and Fees                  | 412.1                 | 446.7            |
| County Appropriations                     | 22.8                  | 23.2             |
| Endowment and Investment Income           | 23.9                  | 25.7             |
| Federal Appropriations                    | 17.2                  | 18.4             |
| Gifts, Grants and Contracts               | 560.8                 | 598.3            |
| Recoveries of Facilities and Admin. Costs | 43.5                  | 44.0             |
| Sales and Services                        | 48.8                  | 51.1             |
| Hospital Services                         | 1,169.2               | 1,380.6          |
| Auxiliary Enterprises                     | 151.9                 | 170.7            |
| Appropriated Fund Balances - University   | 281.7                 | 318.8            |
| Other                                     | 5.2                   | 11.3             |
| <b>Total</b>                              | <b>\$3,016.7</b>      | <b>\$3,368.4</b> |



# EXPENDITURES (In Millions)

| <u>Program</u>             | Revised Budget   |                  |
|----------------------------|------------------|------------------|
|                            | <u>2014-15</u>   | <u>2015-16</u>   |
| Instruction                | \$431.8          | \$430.3          |
| Research                   | 301.4            | 324.0            |
| Public Service             | 356.6            | 398.1            |
| Libraries                  | 26.2             | 26.5             |
| Academic Support           | 98.9             | 89.5             |
| Student Services           | 39.7             | 43.7             |
| Institutional Support      | 151.7            | 172.4            |
| Operations and Maintenance | 75.7             | 80.7             |
| Student Financial Aid      | 169.6            | 186.6            |
| Auxiliary Enterprises      | 152.6            | 161.0            |
| Hospital Services          | 1,064.9          | 1,272.4          |
| Transfers                  |                  |                  |
| Capital Transfers          | 70.7             | 107.1            |
| Mandatory Transfers        | 76.9             | 76.2             |
| <b>Total</b>               | <b>\$3,016.7</b> | <b>\$3,368.4</b> |

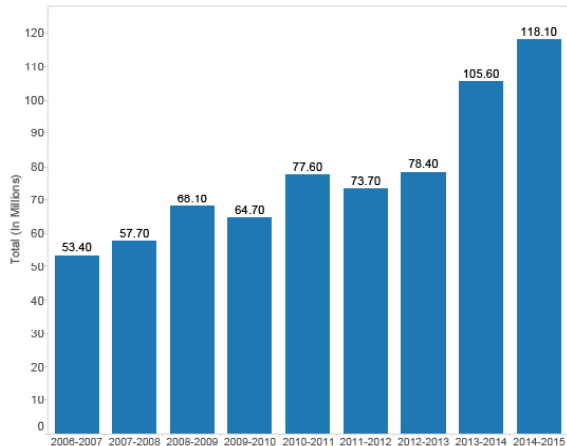
| <u>Category</u>     | Revised Budget   |                  |
|---------------------|------------------|------------------|
|                     | <u>2014-15</u>   | <u>2015-16</u>   |
| Personnel Services  | \$1,447.6        | \$1,543.2        |
| Operating Expenses  | 1,210.7          | 1,412.6          |
| Financial Aid       | 169.6            | 186.6            |
| Capital Outlay      | 41.2             | 42.7             |
| Transfers           |                  |                  |
| Capital Transfers   | 70.7             | 107.1            |
| Mandatory Transfers | 76.9             | 76.2             |
| <b>Total</b>        | <b>\$3,016.7</b> | <b>\$3,368.4</b> |

# PRIVATE GIVING (In Millions)

## Fiscal Year 2014-2015

|               |                 |
|---------------|-----------------|
| Alumni        | \$ 28.8         |
| Non-alumni    | 22.3            |
| Corporations  | 24.0            |
| Foundations   | 23.8            |
| Organizations | 18.5            |
| <b>Total</b>  | <b>\$118.1*</b> |

\*Source amounts do not total properly due to rounding.



# GRANT and CONTRACT AWARDS (In Millions)

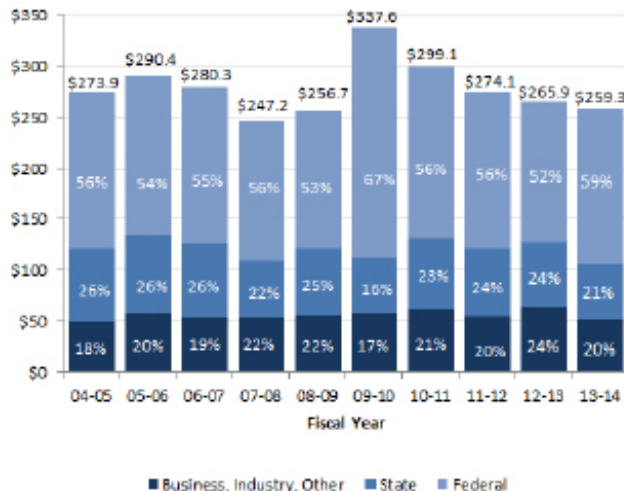
## 2013-2014

### By Category of Support

|                |                |
|----------------|----------------|
| Instruction    | \$9.1          |
| Research       | \$186.3        |
| Public Service | \$62.2         |
| Other          | \$1.7          |
| <b>Total</b>   | <b>\$259.3</b> |

### By Source

|                           |     |
|---------------------------|-----|
| Federal                   | 59% |
| State                     | 21% |
| Business, Industry, Other | 20% |

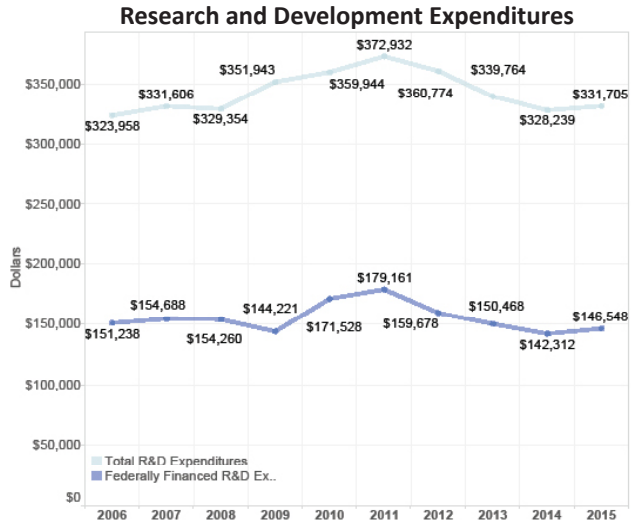


# RESEARCH and DEVELOPMENT (In Thousands)

| <b>Fiscal Year</b> | <b>Total R&amp;D Expenditures*</b> | <b>Federally Financed R&amp;D Expenditures*</b> |
|--------------------|------------------------------------|-------------------------------------------------|
| 2015               | \$ 331,705                         | \$ 151,238                                      |
| 2014               | 328,239                            | \$ 142,312                                      |
| 2013               | 339,764                            | 150,468                                         |
| 2012               | 360,774                            | 159,678                                         |
| 2011               | 372,932                            | 179,161                                         |
| 2010**             | 359,944                            | 171,528                                         |
| 2009               | 351,943                            | 144,221                                         |
| 2008               | 329,354                            | 154,260                                         |
| 2007               | 331,606                            | 154,688                                         |
| 2006               | 323,958                            | 151,238                                         |

\*As reported to the National Science Foundation for science and engineering disciplines; expenditures for FY 2008 and FY 2009 have been revised.

\*\* The FY 2010 Expenditure amount includes all fields, not just science and engineering.



# UNIVERSITY ENDOWMENT (In Thousands)

| <u>Fiscal Year</u> | <u>Market Value</u> |
|--------------------|---------------------|
| 2015               | \$ 1,096,112        |
| 2014               | 1,090,855           |
| 2013               | 957,784             |
| 2012               | 868,316             |
| 2011               | 881,860             |
| 2010               | 748,676             |
| 2009               | 668,008             |
| 2008               | 871,861             |
| 2007               | 916,590             |
| 2006               | 746,114             |

Note: In 2006, the Chandler Medical Center University Hospital Quasi-Endowment Fund for \$150 million was established, accounting for the large increase over the 2005 total.

Market Value of University Endowment



# LIBRARY COLLECTIONS

UK Libraries serves all students, faculty, and staff of the university, as well as the population of Kentucky. Twelve libraries on campus are home to more than 4.6 million volumes, with more than 400 licensed networked electronic databases, a large collection of e-journals and more than 1.1 million electronic books accessible both on- and off-campus. The collection also includes approximately 244,000 maps; numerous state, national, and international newspapers; nearly 10,000 interviews archived by the Louie B. Nunn Center for Oral History; the University Archives and Records Program; the Wendell H. Ford Public Policy Research Center; the Audio-Visual Archives; the Bert T. Combs Appalachian Collection; the Public Policy Archives; the Rare Book Collection including the W. Hugh Peal Collection of 19th century English and related literature; and the Preservation Reformatting Center. The Special Collections Research Center manages several online research tools that provide access to topical guides, collection inventories, and digitized materials. These tools are open to the public and include ExploreUK, SPOKEdb, the Notable Kentucky African Americans Database, and the Kentucky Digital Newspaper Program. The Digital Library Service also makes unique holdings available to the world and creates digital content for the Kentucky Digital Library including electronic texts, digitized photographs, images and archival finding aids. UK Libraries is a regional depository for U.S. government publications, and also a depository for Canadian government publications and European Union publications. British Parliamentary Papers, Kentucky government publications, and technical reports from federal agencies are selectively collected.



# CURRENT BENCHMARK INSTITUTIONS

Michigan State University

Ohio State University

University of Arizona

University of California – Davis

University of Florida

University of Iowa

University of Michigan – Ann Arbor

University of Minnesota – Twin Cities

University of Missouri - Columbia

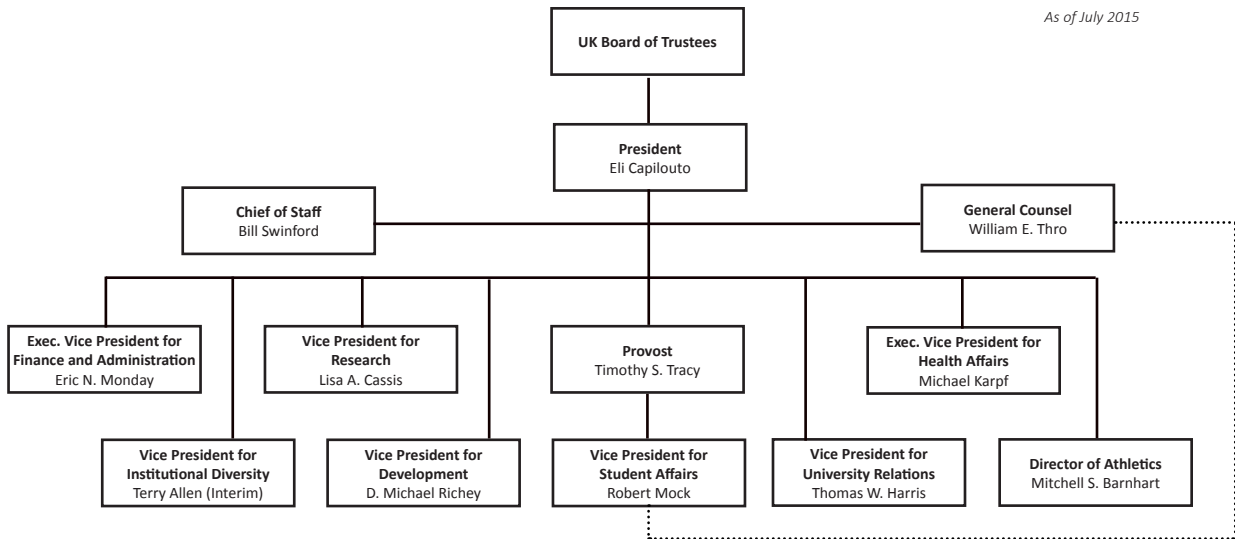
University of North Carolina – Chapel Hill

University of Wisconsin – Madison



# ADMINISTRATIVE ORGANIZATION

As of July 2015



# BOARD of TRUSTEES

|                                    |              |        |                               |                |        |
|------------------------------------|--------------|--------|-------------------------------|----------------|--------|
| <b>C.B. Akins, Sr.</b>             | Lexington    | (2017) | <b>Cammie Deshields Grant</b> | Alumni Member  | (2020) |
| <b>Claude A. "Skip" Berry, III</b> | Louisville   | (2021) | <b>Robert Grossman</b>        | Faculty Member | (2017) |
| <b>James H. Booth</b>              | Lovely       | (2019) | <b>David V. Hawpe</b>         | Louisville     | (2019) |
| <b>William C. Britton</b>          | Louisville   | (2017) | <b>Kelly Sullivan Holland</b> | Alumni Member  | (2018) |
| <b>Edward Britt Brockman</b>       | Louisville   | (2014) | <b>Terry Mobley</b>           | Lexington      | (2016) |
| <b>Sheila Brothers</b>             | Staff Member | (2016) | <b>Austin M. Mullen</b>       | Student Member | (2016) |
| <b>Mark P. Bryant</b>              | Paducah      | (2018) | <b>C. Frank Shoop</b>         | Georgetown     | (2021) |
| <b>Angela L. Edwards</b>           | Louisville   | (2019) | <b>Robert D. Vance</b>        | Maysville      | (2020) |
| <b>William Stamps Farish, Jr.</b>  | Versailles   | (2016) | <b>John F. Wilson</b>         | Faculty Member | (2016) |
| <b>Oliver Keith Gannon</b>         | Mt. Sterling | (2016) | <b>Barbara Young</b>          | Lexington      | (2015) |

# ADMINISTRATIVE HISTORY

- 1865** Agricultural and Mechanical College (A&M) established as part of Kentucky University
- 1869** James K. Patterson became President of A&M
- 1878** A&M separated from Kentucky University
- 1882** A&M moved to current location in Lexington
- 1885** Ag. Experiment Station opened
- 1889** College of Agriculture established
- 1908** College of Arts and Sciences and College of Law established; A&M name changed to "State University, Lexington, Kentucky"
- 1909** University Library established
- 1911** Henry S. Barker became President of State University
- 1916** State University renamed University of Kentucky
- 1917** Frank L. McVey became President of UK; Graduate School established
- 1918** College of Engineering established
- 1923** College of Education established
- 1925** College of Business and Economics established
- 1941** Herman L. Donovan became President
- 1947** College of Pharmacy moved to UK
- 1956** Frank G. Dickey became President
- 1957** Ashland Extension Center established
- 1960** Medical Center established; College of Medicine admitted first class; College of Nursing admitted first class; Henderson Extension Center and Southeast Extension Center established
- 1962** College of Dentistry admitted first class; University Hospital opened
- 1963** John W. Oswald became President
- 1964** Community College System established under UK; College of Architecture established
- 1965** Lexington Technical Institute (LTI) established
- 1966** College of Allied Health Professions established
- 1967** College of Home Economics established
- 1968** Albert D. Kirwan became President
- 1969** College of Social Work established; Otis A. Singletary became President
- 1970** College of Library and Information Science established
- 1976** College of Communications and College of Fine Arts established
- 1982** University reorganized with a Central Administration and three sectors headed by Chancellors (Lexington Campus, Medical Center, and Community College System)
- 1984** LTI became Lexington Community College
- 1987** David P. Roselle became President
- 1990** Charles T. Wethington, Jr. became President
- 1993** College of Communications and Information Studies established
- 1998** William T. Young Library opened; All Community Colleges (except LCC) transferred to the KCTCS
- 2001** Lee T. Todd, Jr. became President; University reorganized with a Provost model
- 2002** College of Design established
- 2004** LCC transferred to the KCTCS; College of Public Health established
- 2011** Eli Capilouto became President

# VALUES

The University of Kentucky is guided by its core values:

- *Integrity*
- *Excellence*
- *Mutual Respect and Human Dignity*
- *Diversity and Inclusion*
- *Academic Freedom*
- *Personal and institutional responsibility and accountability*
- *Shared Governance*
- *A sense of community*
- *Work-life Sensitivity*
- *Civic Engagement*
- *Social Responsibility*

-- The University of Kentucky Board of Trustees adopted the amended the Values Statement on January 23, 2007 and modified it on March 27, 2012.

