Measurement of the cross section for W-boson production in association with jets in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV

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We present a measurement of the cross section for W-boson production in association with jets in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV. The analysis uses a data sample corresponding to an integrated luminosity of 320 pb^{-1} collected with the CDF II detector. W bosons are identified in their electron decay channel and jets are reconstructed using a cone algorithm. For each $W+ \geq n$-jet sample ($n = 1 - 4$) we measure $\sigma(p\bar{p} \rightarrow W+ \geq n\text{-jet}) \times \mathcal{B}(W \rightarrow e\nu)$ with respect to the transverse energy E_T of the nth-highest E_T jet above 20 GeV, for a restricted $W \rightarrow e\nu$ decay phase space. The cross sections, corrected for all detector effects, can be directly compared to particle level $W + \text{jet(s)}$ predictions. We present here comparisons to leading order and next-to-leading order predictions.

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Final states containing a vector boson V ($V = W, Z$) and multiple jets ($V + $jet(s)) are a key signal channel for important standard model (SM) processes such as $t\bar{t}$ or single top production, as well as a search channel for the Higgs boson and for physics beyond the SM. The production of $V +$jet(s) via quantum chromodynamics (QCD) presents a very large background to these processes. The ability to describe it accurately is therefore crucial, as well as being a stringent test of the power of perturbative QCD predictions. Consequently, a precise measurement of the cross section for QCD $V + $jet(s) production is an important component of the hadron collider experimental program. In this paper, we report a measurement [1] of the differential cross sections for direct $W \to e\nu + \geq n$-jet production as a function of the transverse energy E_T^{jet} [2] of the nth-leading jet (the highest E_T jet for $W + \geq 1$-jet, the second highest E_T jet for $W + \geq 2$-jet, etc.), for $n \leq 4$ and $E_T^{jet} > 20$ GeV. In order to minimize the dependence of the measurement on the modeling of the W boson production and decay kinematics, we quote cross sections defined within a limited W decay phase space: $E_T^{ele} > 20$ GeV, $|\eta^{ele}| < 1.1$, $E_T^\nu > 30$ GeV, and $m_T^W > 20$ GeV/c^2 [2]. The range of E_T^{jet} extends up to 350 GeV in the $W + 1$-jet sample, a significant increase in the measured phase space compared to previous $V + $jet(s) measurements [3, 4]. Furthermore, the differential spectra presented here are for the first time corrected for all detector effects and represent absolute particle level cross sections [5] free, within systematic uncertainties, of any experimental bias. As such, they provide a benchmark which can be directly used for background estimates and for the validation and tuning of QCD phenomenological models. At the end of this paper we present, as examples, comparisons of our results with some of the available predictions. It is important to note that the cross section is not corrected for effects resulting from the interaction between the proton and anti-proton remnants (the "underlying event").

This analysis uses 320 ± 18 pb^{-1} of data collected using the CDF II [6] detector during the Tevatron Run II period. The CDF II detector is a general-purpose detector designed to study $p\bar{p}$ collisions at the Fermilab Tevatron. Inside a 1.4 T solenoidal magnetic field, a large open-cell drift chamber and an eight-layer silicon system provide precise charged-particle tracking information. Outside the solenoid electromagnetic and hadronic sampling calorimeters surround the tracking volume, allowing for the measurement of particle energies over the range $|\eta| < 3.6$. Finely segmented detectors located at electromagnetic shower maximum are used for electron identification. Forward gas Cerenkov detectors measure the fraction of bunch crossings that result in an inelastic $p\bar{p}$ collision and thereby determine the instantaneous luminosity delivered to the experiment.

The selection of $W \to e\nu$ events proceeds as follows. An online trigger system selects events containing an electromagnetic calorimeter cluster with $E_T > 18$ GeV associated with a high p_T track. Offline, electron candidates are required to pass standard identification cuts [7] and to have $E_T > 20$ GeV. The sample is enriched with events containing a neutrino by requiring that the missing transverse energy $\not{E}_T$ [2], corrected for the calorimeter jet energy scale and the potential presence of muons [8], satisfies $\not{E}_T > 30$ GeV. To reduce background contamination further, the W transverse mass [2] m_T^W is required to satisfy $m_T^W > 20$ GeV/c^2. In addition, $Z \to e^+ e^-$ events are rejected by a veto algorithm [7].

The jets in each $W \to e\nu$ event are reconstructed using the JETCLU cone algorithm [9] with cone radius $R = \sqrt{\Delta\phi^2 + \Delta\eta^2} = 0.4$. Starting from seed locations corresponding to calorimeter towers with $E_T > 1$ GeV, all nearby towers with $E_T > 0.1$ GeV are used to search for stable cones. To resolve ambiguities with overlapping cones, cones sharing an energy fraction greater than 0.75 are merged into a single jet; otherwise the shared towers are assigned to the closest jet. We apply a jet energy scale (JES) correction [10] such that the measured E_T^{jet} is on average equal to the summed E_T of the particles within the jet cone that are the result of the $p\bar{p} \to W + X$ interaction. Jets are required to have $E_T^{jet} > 20$ GeV and $|\eta| < 2.0$.

To ensure negligible overlap of electron and jet energy deposits, events are rejected if any jet lies within $\Delta R = 0.52$ of the W decay electron [3]. Using this jet definition, we divide the inclusive $W \to e\nu$ candidate events into $W + \geq n$-jet samples, and in each sample form the E_T^{jet} spectrum of the nth E_T-ordered jet.

The processes which contribute background events to our W candidate sample can be divided into two categories: "leptonic" and "multi-jet". The leptonic background contains real electrons and/or neutrinos from boson decay and includes $W \to \tau\nu$, $Z \to e^+ e^-$, WW, $W\gamma$, and top pair production. The multi-jet background arises from QCD interaction events in which one or more jets are incorrectly reconstructed in the detector as electrons and have mis-measured energy, resulting in large event $\not{E}_T$. Background estimation proceeds as follows. For each $W + \geq n$-jet sample, a background-enriched event sample is constructed by removing the $\not{E}_T > 30$ GeV requirement in the W selection. Multi-jet, leptonic, and signal $\not{E}_T$ histograms are then fit to the data in the range [0,100] GeV. The measured $\not{E}_T$ spectrum, and the result of the fit, are shown in Fig. 1 for the $W + \geq 1$-jet sample. For this fit the leptonic background and signal processes are modeled by applying the W event selection minus the $\not{E}_T > 30$ GeV requirement to detector simu-

burgh EH9 3JZ, United Kingdom, ^{k}University of Heidelberg, D-69120 Heidelberg, Germany, ^{l}Universidad Iberoamericana, Mexico D.F., Mexico, ^{m}University of Manchester, Manchester M13 9PL, England, ^{n}Nagasaki Institute of Applied Science, Nagasaki, Japan, ^{o}University de Oviedo, E-33007 Oviedo, Spain, ^{p}Queen Mary, University of London, London, E1 4NS, England, ^{q}Texas Tech University, Lubbock, TX 79409, ^{r}IFIC(CSIC-Universitat de Valencia), 46071 Valencia, Spain,

lated Monte Carlo event samples of these processes. The multi-jet background is modeled using an event sample selected from the same 320 pb^{-1} analysis dataset by requiring that at least two of the electron identification criteria fail. Kinematic cuts are unchanged, resulting in a background-dominated sample that accurately reflects the kinematic distributions of the multi-jet background events in the signal sample. It is necessary to correct this multi-jet sample for ∼ 5% contamination from signal and leptonic background events, estimated by applying the multi-jet selection criteria to the Monte Carlo simulations of these processes. In the fit, only the normalizations of the multi-jet and signal $\not{E}_T$ histograms are allowed to float. The normalization of the $W \to \tau\nu$ and $Z \to e^+e^-$ histograms relative to the signal is fixed by the well-established relationships between these cross sections [11]. The normalizations of the WW, $W\gamma$, and top pair production histograms are determined using the recently measured cross sections for these processes [12]. Once the fit is performed, the background fractions in each $W+ \geq n$-jet sample are obtained by integrating the respective histograms, with their fitted normalization, above the $\not{E}_T$ cut of 30 GeV. These fractions are then used to normalize the jet E_T distributions of each background model relative to the candidates to give the background correction as a function of E_T^{jet}. This method offers a more accurate description of the kinematics of the multi-jet background when compared with previous approaches [3]. Fig. 1 demonstrates a successful modeling of the $\not{E}_T$ spectrum. Similarly good agreement was found in the electron E_T and m_T^W distributions across all jet multiplicities.

Rarely, reconstructed jets may originate from separate $p\bar{p}$ interactions in the same bunch crossing. To account for this effect, corrections extracted from the data are applied to the measured cross sections. The corrections are less than 2% in the lowest E_T^{jet} bins and decrease rapidly with increasing E_T^{jet}.

The total background fraction increases with increasing jet multiplicity and transverse energy. At low E_T^{jet} it is 10% (40%) in the 1-jet (4-jet) sample, rising to 90% at the highest E_T^{jet} for all jet multiplicities. Multi-jet events contribute ∼ 70% of the overall background in the 1-jet sample. At high jet multiplicities and high E_T^{jet} the contribution from top pair production becomes increasingly important, climbing to 50% (80%) of the total background in the 2-jet (3, 4-jet) sample. The systematic uncertainty on the background estimate is 15% at low E_T^{jet} independent of the jet multiplicity, rising to 50% (20%) at the highest E_T^{jet} in the 1-jet (4-jet) sample. At low jet multiplicities, this is dominated by the limited statistics of the multi-jet background sample. At high jet multiplicities, the 12% uncertainty on the measured top pair production cross section dominates the total systematic.

We have used fully simulated signal Monte Carlo samples to correct the event yield for the efficiency of the $W \to e\nu$ selection criteria. Samples for each jet mul-

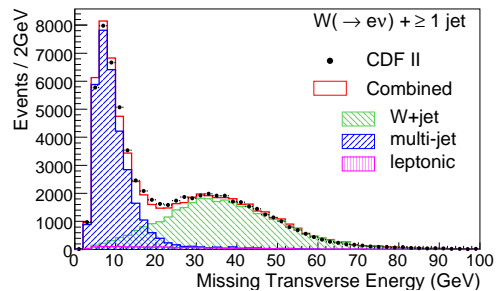FIG. 1: The results of fitting the signal and background $\not{E}_T$ template distributions to the data in the $W+ \geq 1$-jet sample before the final $\not{E}_T$ cut is applied.

tiplicity n were obtained using the ALPGEN v1.3 [13] event generator for the $W + n$-parton final state, and the PYTHIA v6.3 [14] Monte Carlo program for the parton shower and hadronization. PYTHIA includes an underlying event model, hereafter referred to as TUNE A, which has been tuned to describe Tevatron data [15]. The efficiency correction factor is defined as the ratio of two subsets of the generated signal events: in the numerator, the number of reconstructed events which pass the $W \to e\nu$ selection criteria, and in the denominator, the number of generator-level events which pass the electron, neutrino and transverse-mass cuts corresponding to our cross section definition. This is found to be $(60 \pm 3)\%$, independent of event jet multiplicity and kinematics within the quoted uncertainty. By comparing $Z \to e^+e^-$ measured and simulated event samples, we confirm that electron identification efficiencies are well reproduced by our Monte Carlo across all measured phase space to within 5%. This uncertainty also covers the observed variation in efficiency obtained by changing the number of final state partons in the ALPGEN matrix element (ME) calculation and the parton showering program from PYTHIA to HERWIG v6.5 [16]. Additionally, the W candidate event yield must be corrected to account for the efficiency of the online trigger to accept high E_T electrons. This is independent of jet kinematics and found to be $96.2 \pm 0.6\%$ [7].

A further correction to the event yield is required to form particle level $W \to e\nu+ \geq n$-jetcross sections as a function of E_T^{jet}. This correction factor accounts for the effect of calorimeter jet energy resolution on the measured cross section and is determined as follows. Using the ALPGEN-PYTHIA simulated signal samples, two cross sections in each E_T^{jet} bin are determined: one defined by clustering generator-level particles into jets, the other by clustering after detector reconstruction, using the same calorimeter level jet definition (including JES correction) as the one used in the data. The correction factor for each bin is then defined as the ratio of the particle to calorimeter level cross section in that bin. To avoid dependence of such a correction on the assumed particle level E_T^{jet} distribution, an iterative procedure is used to reweight the events at the particle level until the particle level E_T^{jet} distribution agrees with the corresponding

data-unfolded distribution to within the systematic uncertainties of the measurement. The correction factors vary between 0.95 and 1.2 over the measured range of E_T^{jet}.

The total systematic uncertainty on the cross section introduced by the jet energy measurement ranges between 5% and 20%, increasing with increasing E_T^{jet}. This is dominated by the approximately 3% [10] uncertainty on the JES correction. The effect of this uncertainty on the cross section is estimated by applying this variation to an ALPGEN-PYTHIA simulated signal sample reweighted to match the data. The sensitivity of the measurement to jet energy resolution uncertainties, estimated by varying the calorimeter resolution in the simulation, is much smaller by comparison.

The measured differential cross sections $d\sigma(W \to e\nu + \geq n - \text{jet})/dE_T^{nth-jet}$ are listed in Table I. For each inclusive jet multiplicity sample the cross sections are given with respect to the E_T of the nth-leading jet in the sample, $E_T^{nth-jet}$. The quoted statistical uncertainties are on the event yield in each bin. The systematic uncertainties are the sum in quadrature of the effects introduced by the uncertainty on the background estimation, acceptance correction, and jet energy measurement. A 5.8% uncertainty in the integrated luminosity is not included. In summary, the total systematic uncertainty on the measured cross sections is $< 20\%$ at low E_T^{jet} increasing to $50\% - 80\%$ at high E_T^{jet}. At low E_T^{jet} this is dominated by the uncertainty on the jet energy scale, whereas at high E_T^{jet} it is dominated by the background uncertainty.

We proceed to compare the measured cross sections to some of the available theoretical predictions. Leading order (LO) perturbative QCD calculations exist for the matrix element of $V + n$ partons, with $n \leq 6$. They are included in Monte Carlo event generators [13, 17, 18] where the initial and final state partons are evolved through a perturbative parton shower (PS) and eventually hadronized. Additionally, the generator may include a model of the underlying event. In this LO plus PS approach, ambiguities may arise as a result of the hard emission of gluon radiation during the parton shower evolution. For example, a $V + n$-parton event may be reconstructed, after the shower, with a jet multiplicity $n_j \neq n$, and the question naturally arises as to whether the event should or should not be counted in the estimate of the n_j-jet cross section. This problem has been studied extensively in the literature, leading to the development of three merging algorithms, usually known as CKKW [19], Lonnblad's [20], and MLM [21]. A merging algorithm ensures that a given configuration in the multi-jet phase space enters into the calculation once and only once.

We present here the first comparisons of $W + \text{jet(s)}$ implementations of the CKKW and MLM schemes to data. We use an implementation of the CKKW scheme hereafter referred to as SMPR [22]. Details of the generation parameters and systematic uncertainties are given in [22] for the SMPR model and in [23] for the MLM model. The former uses MADGRAPH v4 [17] for the ME generation, PYTHIA v6.3 for the PS, and CTEQ6L1 [24] parton distribution functions (PDFs), the latter uses ALPGEN v2.12, HERWIG v6.5, and CTEQ5L [25] PDFs respectively. Following generation, the SMPR and MLM predictions are formed by clustering the final state particles into jets using the JETCLU algorithm. The uncertainties on these predictions cover variation of the renormalization scale by a factor 0.5–2. This dominates the overall uncertainty in the absolute rates [23].

In addition, we present comparisons of our data with next-to-leading order (NLO) predictions for $W + 1$ and $W + 2$ jets obtained with the MCFM [26] program. These were generated using the CTEQ6.1M PDFs [24] and a renormalization and factorization scale $\mu = \sqrt{m_W^2 + (p_T^W)^2}$. We define an uncertainty due to the choice of μ by generating with a lower scale, $\mu = p_T^{jet}$, and a higher scale, $\mu = 2 * \sqrt{m_W^2 + (p_T^W)^2}$. Additionally, the variation due to the uncertainty on the PDFs has been computed using the Hessian method [24]. This PDF uncertainty is also broadly applicable to the SMPR and MLM predictions.

In the case of the NLO predictions, the final states are not evolved through a parton shower nor hadronized. Jets are reconstructed with a cone algorithm $R = 0.4$, such that two partons are merged if they are within $1.3 \times R$ of each other and within R of the resulting jet centroid [27]. This is still considered to be sufficient to give a reasonable description of the perturbative structure of the jet [28]. However, before comparing with data, the non-perturbative effects of hadronization and the underlying event have to be considered. These two effects are of opposite sign, leading to some cancellation. We have observed, using PYTHIA TUNE A, that below 50 GeV the hadronization effect dominates, leading to an overall decrease of the cross section with respect to the parton level that is within 10%. At higher E_T^{jet}, the correction is driven by the underlying event leading to an increase of at most 5%. A detailed study of this correction is outside the scope of this paper, and we do not apply any such corrections to the MCFM predictions.

The upper plot of Fig. 2 shows, as a function of the jet multiplicity n, the ratio of data to theory for the cumulative cross sections $\sigma_n = \sigma(W \to e\nu + \geq n\text{-jet}; E_T^{nth-jet} > 25 \text{ GeV})$. The lower plot shows the ratio σ_n/σ_{n-1}. In Fig. 3 the ratios of the measured differential cross sections $d\sigma(W \to e\nu + \geq n - \text{jets})/dE_T^{nth-jet}$ to the predictions are shown for $n = 1\text{-}3$. The difference observed in Fig. 2 between the measured cross sections and SMPR or MLM predictions reflects the LO nature of these calculations. All the predictions show good agreement with the data in the cross section ratios σ_n/σ_{n-1}. Fig. 3 shows that the variation in the $W + n$-jet cross section as a function of E_T^{jet} is better reproduced by the SMPR prediction than by the MLM. A possible explanation is the absence of a tuned underlying event model
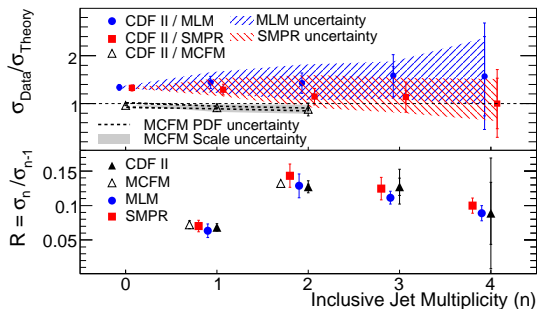
FIG. 2: Top: the ratio of data to theory for the cumulative cross sections $\sigma_n = \sigma(W \to e\nu+ \geq n$-jet; $E_T^{nth-jet} > 25$ GeV) as a function of the jet multiplicity n. Bottom: σ_n/σ_{n-1} for data, MLM, SMPR and MCFM. Inner (outer) error bars denote the statistical (total) uncertainties on the measured cross sections.
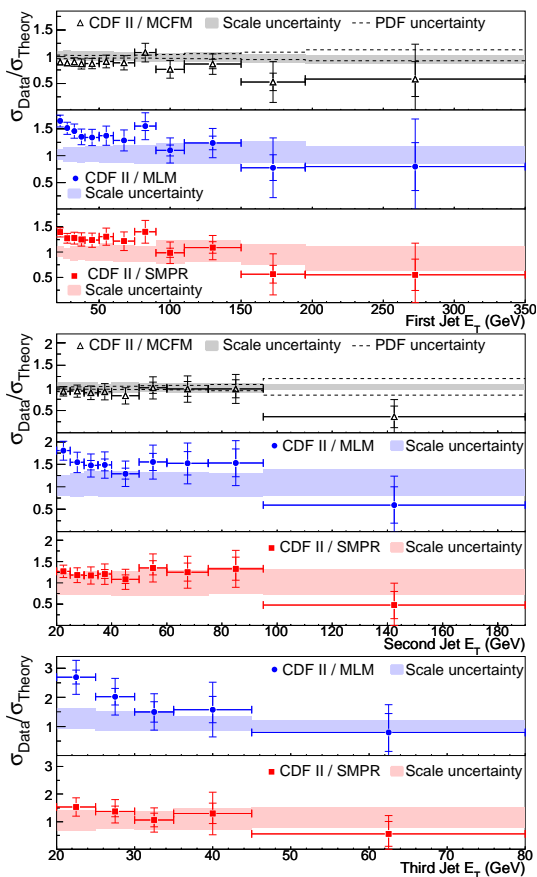

FIG. 3: Ratio of the measured cross sections $d\sigma(W \to e\nu+ \geq n$-jet)$/dE_T^{nth-jet}$ ($n = 1-3$) to the MLM, SMPR and MCFM predictions. Inner (outer) error bars denote the statistical (total) uncertainties on the measured cross sections.

in the HERWIG component of the MLM prediction. We observe good agreement between the MCFM predictions and data in both cumulative and differential cross section comparisons.

In summary, we have used 320 pb^{-1} of CDF II data

TABLE I: The measured cross section $d\sigma(W \to e\nu+ \geq n$-jet)$/dE_T^{nth-jet}$ for each $E_T^{nth-jet}$-bin ($n = 1-4$), with statistical (first) and systematic (second) uncertainties. An overall 5.8% uncertainty on the integrated luminosity is not included.

$E_T^{1st-jet}$ (GeV)	$d\sigma/dE_T^{1st-jet}$ (pb/GeV)
20−25	4.46 ± 0.07 ±0.29
25−30	2.80 ± 0.06 ±0.21
30−35	1.92 ± 0.05 ±0.17
35−40	1.31 ± 0.04 ±0.14
40−50	0.839 ± 0.023 ±0.093
50−60	0.498 ± 0.018 ±0.063
60−75	0.259 ± 0.011 ±0.038
75−90	0.158 ± 0.008 ±0.024
90−110	0.056 ± 0.005 ±0.011
110−150	0.0225 ± 0.0023 ±0.0044
150−195	0.0035 ± 0.0011 ±0.0023
195−350	0.00039 ± 0.00022 ±0.00038
$E_T^{2nd-jet}$ (GeV)	$d\sigma/dE_T^{2nd-jet}$ (pb/GeV)
20−25	0.874 ± 0.033 ±0.097
25−30	0.483 ± 0.025 ±0.066
30−35	0.286 ± 0.020 ±0.045
35−40	0.190 ± 0.017 ±0.034
40−50	0.095 ± 0.009 ±0.019
50−60	0.057 ± 0.007 ±0.012
60−75	0.0269 ± 0.0046 ±0.0066
75−95	0.0107 ± 0.0022 ±0.0028
95−190	0.00059 ± 0.00039 ±0.00049
$E_T^{3rd-jet}$ (GeV)	$d\sigma/dE_T^{3rd-jet}$ (pb/GeV)
20−25	0.184 ± 0.016 ±0.036
25−30	0.087 ± 0.012 ±0.024
30−35	0.037 ± 0.008 ±0.013
35−45	0.020 ± 0.006 ±0.011
45−80	0.0015 ± 0.0012 ±0.0013
$E_T^{4th-jet}$ (GeV)	$d\sigma/dE_T^{4th-jet}$ (pb/GeV)
20−25	0.0422 ± 0.0087 ±0.0079
25−35	0.0074 ± 0.0039 ±0.0036

to measure the differential $\sigma(W \to e\nu+ \geq n$-jet) cross sections as a function of the transverse energy of the nth-leading jet for $n = 1-4$. The cross sections, corrected for all detector effects, can be directly compared to the particle level predictions of W + jet(s) Monte Carlo generators.

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