


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

01/15/2016

Date

Humboldt

Court

FY15-16 2nd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt
Court
FY15-16 2nd Qtr.
Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Humboldt

 Court
 FY15-16 2nd Qtr.

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	94.25	85.25	84.85		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2015/16								2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purpose Only)	Total Funds (Info. Purpose Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (183,816)	\$ 244,957	\$ (63,294)				\$ 28,904	\$ 28,750	\$ 50,079
Payroll									
Jury									
Revolving	\$ 21,651							\$ 21,651	\$ 10,000
Other									
Distribution	\$ 0						\$ (1,248)	\$ (1,248)	\$ 8,433
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (33,603)	\$ (33,603)	\$ (5,795)
Credit Card									
Cash on Hand	\$ 3,100							\$ 3,100	\$ 3,000
Cash with County									
Cash Outside of the JCC							\$ 0	\$ 0	\$ 2,873
Cash Equivalents	\$ 1,563,623						\$ 1,137,635	\$ 2,701,258	\$ 2,279,424
Total Cash and Cash Equivalents	\$ 1,404,558	\$ 244,957	\$ (63,294)				\$ 1,131,688	\$ 2,717,909	\$ 2,348,013
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 3,398							\$ 3,398	\$ 160
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 5,720	\$ 2,747	\$ 63,294					\$ 71,760	\$ 63,023
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 2,158	\$ 2,158	\$ 3,292
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 45							\$ 45	\$ 7
Total Receivables	\$ 9,163	\$ 2,747	\$ 63,294				\$ 2,158	\$ 77,361	\$ 66,482
Prepaid Expenses - General	\$ 51,970							\$ 51,970	\$ 67,508
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 51,970							\$ 51,970	\$ 67,508
Other Assets									
Total Other Assets									
Total Assets	\$ 1,465,690	\$ 247,704	\$ 0				\$ 1,133,848	\$ 2,847,240	\$ 2,482,003
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 1,110	\$ 0	\$ 0				\$ 312	\$ 1,422	\$ 938
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 2,286	\$ 2,286	\$ 3,299
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 76,745	\$ 76,745	\$ 147,899
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 88,032	\$ 88,032	\$ 91,295
Due to Other Public Agencies	\$ 30,515		\$ 0				\$ 3,971	\$ 34,486	\$ 26,060
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 0
Interest							\$ 98	\$ 98	\$ (49)
Miscellaneous Accts Pay and Accrued Liab									
Total Accounts Payable and Accrued Liab	\$ 31,625	\$ 0	\$ 0				\$ 171,444	\$ 203,069	\$ 269,351
Civil							\$ 610,584	\$ 610,584	\$ 616,120
Criminal							\$ 234,310	\$ 234,310	\$ 279,341
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 5,835	\$ 5,835	\$ 7,199
Miscellaneous Trust									
Total Trust Deposits							\$ 850,729	\$ 850,729	\$ 902,660
Accrued Payroll									
Benefits Payable	\$ 26,289							\$ 26,289	\$ 37,250
Deferred Compensation Payable	\$ 0							\$ 0	\$ 2,170
Deductions Payable	\$ 0							\$ 0	\$ 89,884
Payroll Clearing	\$ 0							\$ 0	\$ 130,013
Total Payroll Liabilities	\$ 26,289							\$ 26,289	\$ 259,317
Revenue Collected in Advance	\$ 1,028,684							\$ 1,028,684	\$ 992,625
Liabilities For Deposits	\$ 16,033						\$ 12,492	\$ 28,525	\$ 36,853
Jury Fees - Non-Interest							\$ 1,200	\$ 1,200	\$ 1,350
Fees - Partial Payment & Overpayment							\$ 97,982	\$ 97,982	\$ 10,958
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 1,044,717						\$ 111,673	\$ 1,156,399	\$ 1,041,786
Total Liabilities	\$ 1,102,630	\$ 0	\$ 0				\$ 1,133,848	\$ 2,236,478	\$ 2,473,115
Total Fund Balance	\$ 363,060	\$ 247,704	\$ 0				\$ 0	\$ 610,764	\$ 8,888
Total Liabilities and Fund Balance	\$ 1,465,690	\$ 247,704	\$ 0				\$ 1,133,848	\$ 2,847,240	\$ 2,482,003

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2015/16											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2014/15	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 3,168,127	\$ 16,052					\$ 3,184,179	\$ 6,874,567	\$ 2,923,000	\$ 6,384,156	
Improvement and Modernization Fund							\$ 35,229	\$ 52,500	\$ 26,250	\$ 17,628	
Judges' Compensation (45.25)	\$ 26,250						\$ 26,250	\$ 52,500	\$ 26,250	\$ 52,500	
Court Interpreter (45.45)	\$ 28,211						\$ 28,211	\$ 70,000	\$ 18,339	\$ 70,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 348,582						\$ 348,582	\$ 760,273	\$ 338,627	\$ 730,007	
Other Miscellaneous	\$ 73,084						\$ 73,084	\$ 119,979	\$ 73,084	\$ 210,327	
	\$ 3,644,254	\$ 16,052					\$ 3,660,306	\$ 7,902,545	\$ 3,379,360	\$ 7,464,816	
Grants											
AB 1058 Commissioner/Facilitator			\$ 94,124				\$ 94,124	\$ 192,213	\$ 99,758	\$ 219,813	
Other Judicial Council Grants								\$ 15,070		\$ 16,513	
Non-Judicial Council Grants											
			\$ 94,124				\$ 94,124	\$ 207,283	\$ 99,758	\$ 236,326	
Other Financing Sources											
Interest Income	\$ 995	\$ 193					\$ 1,188	\$ 4,500	\$ 1,261	\$ 5,600	
Investment Income											
Donations	\$ 2,027						\$ 2,027	\$ 3,740	\$ 2,532	\$ 2,270	
Local Fees	\$ 36,223						\$ 36,223	\$ 113,029	\$ 43,610	\$ 115,910	
Non-Fee Revenues								\$ 60,960	\$ 998	\$ 57,540	
Enhanced Collections		\$ 5,900					\$ 5,900	\$ 10,360	\$ 5,003	\$ 11,140	
Escheatment											
Prior Year Revenue	\$ (398)						\$ (398)		\$ 2,651		
County Program - Restricted		\$ 1,679					\$ 1,679	\$ 3,530	\$ 2,032	\$ 3,370	
Reimbursement Other	\$ 3,059						\$ 3,059	\$ 3,584	\$ 2,699	\$ 5,362	
Sale of Fixed Assets											
Other Miscellaneous	\$ 3,632						\$ 3,632	\$ 860	\$ 604	\$ 790	
	\$ 45,541	\$ 7,771					\$ 53,312	\$ 200,514	\$ 61,559	\$ 202,182	
Total Revenues	\$ 3,689,798	\$ 23,823	\$ 94,124				\$ 3,807,743	\$ 8,310,382	\$ 3,540,878	\$ 7,903,124	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,632,114	\$ 8,584	\$ 46,880				\$ 1,687,567	\$ 3,908,495	\$ 1,979,270	\$ 3,733,338	
Temp Help											
Overtime	\$ 4,388		\$ 38				\$ 4,424	\$ 10,000	\$ 2,951	\$ 10,000	
Staff Benefits	\$ 909,737	\$ 4,225	\$ 22,275				\$ 936,237	\$ 2,300,272	\$ 999,516	\$ 2,280,027	
	\$ 2,546,237	\$ 12,809	\$ 69,162				\$ 2,628,226	\$ 6,218,767	\$ 2,981,737	\$ 6,023,365	
Operating Expenses and Equipment											
General Expense	\$ 100,414		\$ 293				\$ 100,707	\$ 288,217	\$ 231,886	\$ 369,646	
Printing	\$ 4,694						\$ 4,694	\$ 40,997	\$ 18,511	\$ 58,398	
Telecommunications	\$ 22,199		\$ 164				\$ 22,363	\$ 46,900	\$ 15,097	\$ 86,600	
Postage	\$ 35,491	\$ 1,345					\$ 36,835	\$ 85,300	\$ 67,645	\$ 83,200	
Insurance											
In-State Travel	\$ 7,478		\$ 3,966				\$ 11,444	\$ 17,601	\$ 6,756	\$ 19,025	
Out-of-State Travel	\$ 1,263						\$ 1,263				
Training	\$ 935		\$ 440				\$ 1,375	\$ 1,850	\$ 745	\$ 1,750	
Security Services	\$ 52,990		\$ 3,450				\$ 56,439	\$ 228,181	\$ 58,300	\$ 131,582	
Facility Operations	\$ 12,606		\$ 1,686				\$ 13,888	\$ 63,057	\$ 14,724	\$ 36,750	
Utilities											
Contracted Services	\$ 607,300	\$ 419	\$ 1,707				\$ 609,426	\$ 1,324,799	\$ 479,794	\$ 1,231,027	
Consulting and Professional Services	\$ 3,209						\$ 3,209	\$ 19,800	\$ 6,895	\$ 19,795	
Information Technology	\$ 63,666	\$ 125					\$ 63,991	\$ 228,340	\$ 41,801	\$ 219,529	
Major Equipment								\$ 79,036	\$ 132,614	\$ 132,983	
Other Items of Expense	\$ 60						\$ 60		\$ 115		
	\$ 912,498	\$ 1,889	\$ 11,105				\$ 925,492	\$ 2,402,078	\$ 1,072,742	\$ 2,380,287	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 51,448						\$ 51,448	\$ 98,241	\$ 52,972	\$ 84,837	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (15,471)	\$ 1,635	\$ 13,836				\$ (457)	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (457)						\$ (457)		\$ (3,587)	\$ (13,089)	
	\$ 35,519	\$ 1,635	\$ 13,836				\$ 50,991	\$ 98,241	\$ 49,385	\$ 71,548	
Total Expenditures	\$ 3,494,254	\$ 16,332	\$ 94,124				\$ 3,604,710	\$ 8,719,086	\$ 4,103,664	\$ 8,475,200	
Excess (Deficit) of Revenues Over Expenditures	\$ 195,542	\$ 7,491	\$ 0				\$ 203,033	\$ (408,704)	\$ (562,786)	\$ (572,076)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 167,518	\$ 241,213	\$ 0				\$ 407,731	\$ 407,731	\$ 572,076	\$ 572,076	
Ending Balance (Deficit)	\$ 363,060	\$ 247,704	\$ 0				\$ 610,764	\$ (19)	\$ 8,888	\$ 0	

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended December									
	Fiscal Year 2015/16								2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,005,526	\$ 96,240			\$ 0	\$ (794)	\$ 1,100,972	\$ 3,094,265	\$ 1,353,307	\$ 2,991,706
Traffic & Other Infractions	\$ 176,584	\$ 17,237			\$ 0	\$ 4	\$ 193,825	\$ 501,626	\$ 302,619	\$ 553,663
Other Criminal Cases	\$ 240,594	\$ 8,578	\$ 0		\$ 0	\$ (10)	\$ 249,161	\$ 563,180	\$ 274,330	\$ 528,537
Civil	\$ 201,674	\$ 7,322			\$ 0	\$ (11)	\$ 208,985	\$ 364,436	\$ 256,738	\$ 427,720
Family & Children Services	\$ 346,813	\$ 35,738			\$ 0	\$ 257	\$ 382,808	\$ 538,072	\$ 361,803	\$ 434,687
Probate, Guardianship & Mental Health Services		\$ 47,780					\$ 47,780	\$ 217,259	\$ 48,799	\$ 221,209
Juvenile Dependency Services	\$ 14,171	\$ 302,268			\$ 0		\$ 316,438	\$ 649,170	\$ 322,341	\$ 683,205
Juvenile Delinquency Services	\$ 14,170	\$ 132			\$ 0		\$ 14,302	\$ 57,477	\$ 24,416	\$ 62,387
Other Court Operations		\$ 60					\$ 60	\$ 22,034	\$ 115	\$ 24,235
Court Interpreters		\$ 57,190				\$ 40	\$ 57,230	\$ 75,418	\$ 33,849	\$ 76,546
Jury Services	\$ 43,203	\$ 69,955	\$ 51,448		\$ 0	\$ 26	\$ 164,632	\$ 280,858	\$ 195,188	\$ 270,043
Security		\$ 64,077					\$ 64,077	\$ 274,872	\$ 57,549	\$ 137,821
Trial Court Operations Program	\$ 2,042,735	\$ 706,575	\$ 51,448		\$ 0	\$ (488)	\$ 2,800,269	\$ 6,638,668	\$ 3,231,054	\$ 6,411,759
Enhanced Collections								\$ 10,450		\$ 11,003
Other Non-Court Operations										
Non-Court Operations Program								\$ 10,450		\$ 11,003
Executive Office	\$ 78,631	\$ 26,169			\$ 0		\$ 104,800	\$ 314,844	\$ 127,877	\$ 375,765
Fiscal Services	\$ 151,769	\$ 25,516			\$ 0	\$ 53	\$ 177,338	\$ 389,168	\$ 162,335	\$ 306,754
Human Resources	\$ 95,528	\$ 9,012			\$ 0	\$ (57)	\$ 104,483	\$ 220,984	\$ 123,225	\$ 174,253
Business & Facilities Services	\$ 99,190	\$ 104,845			\$ 0	\$ (14)	\$ 204,020	\$ 440,282	\$ 95,686	\$ 406,324
Information Technology	\$ 160,375	\$ 53,375			\$ 0	\$ 49	\$ 213,799	\$ 704,709	\$ 363,686	\$ 789,343
Court Administration Program	\$ 585,493	\$ 218,916			\$ 0	\$ 31	\$ 804,440	\$ 2,069,968	\$ 872,810	\$ 2,052,438
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,628,228	\$ 925,492	\$ 51,448		\$ 0	\$ (457)	\$ 3,604,710	\$ 8,719,086	\$ 4,103,864	\$ 8,475,200