

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

05/04/2016

Date

MONTEREY

Court

FY 15-16 3RD QTR

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

MONTEREY

Court

FY 15-16 3RD QTR

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	186.2	170.6	168.6	170.2	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended March								
	Fiscal Year 2015/16							2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (638,589)	\$ 766,690	\$ (126,194)			\$ 173,707	\$ 175,615	\$ 126,163	
Payroll	\$ (11,959)	\$ 0					\$ (11,959)	\$ 0	
Jury									
Revolving	\$ 25,000						\$ 25,000	\$ 25,000	
Other									
Distribution						\$ 0	\$ 0	\$ 0	
Civil Filing Fees						\$ 0	\$ 0	\$ 0	
Trust						\$ (120,744)	\$ (120,744)	\$ (45,098)	
Credit Card									
Cash on Hand	\$ 5,300						\$ 5,300	\$ 5,300	
Cash with County									
Cash Outside of the JCC						\$ 0	\$ 0	\$ 0	
Cash Equivalents	\$ 2,100,348					\$ 4,966,732	\$ 7,067,079	\$ 7,253,390	
Total Cash and Cash Equivalents	\$ 1,480,099	\$ 766,690	\$ (126,194)			\$ 5,019,694	\$ 7,140,290	\$ 7,364,755	
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Receivable - General								\$ 1,043	
Dishonored Checks									
Due From Employee	\$ 0						\$ 0	\$ 0	
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	
Due From Other Governments	\$ 669	\$ 10,685	\$ 0				\$ 11,354	\$ 6,221	
Due From Other Courts	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Due From State	\$ 35,796	\$ 0	\$ 137,243				\$ 173,040	\$ 112,236	
Trust Due To/From						\$ 2,990,266	\$ 2,990,266	\$ 250,900	
Distribution Due To/From						\$ 889,324	\$ 889,324	\$ 92,042	
Civil Filing Fee Due To/From									
General Due To/From	\$ 987						\$ 987	\$ 80	
Total Receivables	\$ 37,453	\$ 10,685	\$ 137,243			\$ 3,879,589	\$ 4,064,971	\$ 462,523	
Prepaid Expenses - General	\$ 129,009						\$ 129,009		
Salary and Travel Advances	\$ 0						\$ 0	\$ 0	
Counties									
Total Prepaid Expenses	\$ 129,009						\$ 129,009	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 1,646,561	\$ 777,376	\$ 11,050			\$ 8,899,284	\$ 11,334,270	\$ 7,827,278	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 34,014	\$ 0	\$ 0			\$ 1,235	\$ 35,249	\$ 5,121	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 3,880,576	\$ 3,880,576	\$ 343,022	
Due to Other Courts									
Due to State	\$ 0						\$ 0	\$ 0	
TC145 Liability						\$ 384,875	\$ 384,875	\$ 485,433	
Due to Other Governments	\$ 0						\$ 0	\$ 0	
AB145 Due to Other Government Agency						\$ 351,679	\$ 351,679	\$ 340,523	
Due to Other Public Agencies									
Sales and Use Tax	\$ 2,135						\$ 2,135	\$ 2,407	
Interest						\$ 1,098	\$ 1,098	\$ 84	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0						\$ 0	\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 36,149	\$ 0	\$ 0			\$ 4,619,463	\$ 4,655,612	\$ 1,176,589	
Civil						\$ 2,287,915	\$ 2,287,915	\$ 1,717,250	
Criminal						\$ 1,902,249	\$ 1,902,249	\$ 2,239,420	
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC						\$ 0	\$ 0	\$ 0	
Trust Interest Payable						\$ 799	\$ 799	\$ 351	
Miscellaneous Trust									
Total Trust Deposits						\$ 4,190,963	\$ 4,190,963	\$ 3,957,021	
Accrued Payroll	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ 226,367						\$ 226,367	\$ 196,134	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 22,583	
Deductions Payable	\$ 405						\$ 405	\$ 151,225	
Payroll Clearing								\$ 0	
Total Payroll Liabilities	\$ 226,771	\$ 0					\$ 226,771	\$ 369,941	
Revenue Collected in Advance	\$ 0		\$ (224)				\$ (224)	\$ 0	
Liabilities For Deposits	\$ 20,300					\$ 88,858	\$ 109,157	\$ 90,955	
Jury Fees - Non-Interest						\$ 0	\$ 0	\$ 4,289	
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	
Other Miscellaneous Liabilities	\$ 0						\$ 0	\$ 0	
Total Other Liabilities	\$ 20,300		\$ (224)			\$ 88,858	\$ 108,933	\$ 95,245	
Total Liabilities	\$ 283,220	\$ 0	\$ (224)			\$ 8,899,284	\$ 9,182,280	\$ 5,598,797	
Total Fund Balance	\$ 1,363,340	\$ 777,376	\$ 11,274				\$ 2,151,989	\$ 2,228,481	
Total Liabilities and Fund Balance	\$ 1,646,561	\$ 777,376	\$ 11,050			\$ 8,899,284	\$ 11,334,270	\$ 7,827,278	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2015/16								2014/15			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 13,204,298	\$ 128,992					\$ 13,333,290	\$ 18,343,907	\$ 12,550,146	\$ 17,153,376	
Improvement and Modernization Fund								\$ 57,146		\$ 57,146	
Judges' Compensation (45.25)	\$ 135,593						\$ 135,593	\$ 174,071	\$ 142,497	\$ 170,988	
Court Interpreter (45.45)	\$ 754,482						\$ 754,482	\$ 999,765	\$ 742,624	\$ 1,092,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 368,370	\$ 0					\$ 368,370	\$ 746,463	\$ 409,497	\$ 666,149	
Other Miscellaneous	\$ 277,496						\$ 277,496	\$ 277,496	\$ 277,496	\$ 264,491	
	\$ 14,740,239	\$ 128,992					\$ 14,869,231	\$ 20,598,848	\$ 14,122,261	\$ 19,404,150	
Grants											
AB 1058 Commissioner/Facilitator			\$ 351,800				\$ 351,800	\$ 649,501	\$ 376,045	\$ 639,183	
Other Judicial Council Grants			\$ 71,822				\$ 71,822	\$ 488,792	\$ 54	\$ 78,503	
Non-Judicial Council Grants											
			\$ 423,622				\$ 423,622	\$ 1,138,293	\$ 376,099	\$ 717,686	
Other Financing Sources											
Interest Income	\$ 9,363	\$ 1,252					\$ 10,615	\$ 16,200	\$ 8,392	\$ 14,000	
Investment Income											
Donations											
Local Fees	\$ 115,193	\$ 89,015					\$ 204,208	\$ 355,600	\$ 231,506	\$ 370,000	
Non-Fee Revenues	\$ 4,102	\$ 4,102					\$ 4,102	\$ 12,600	\$ 9,422	\$ 9,000	
Enhanced Collections		\$ 39,116					\$ 39,116	\$ 35,000	\$ 28,309	\$ 65,000	
Escheatment								\$ 15,000	\$ 1,738	\$ 15,000	
Prior Year Revenue	\$ 7,696						\$ 7,696		\$ (1,558)		
County Program - Restricted		\$ 40,770					\$ 40,770	\$ 64,000	\$ 36,716	\$ 72,000	
Reimbursement Other	\$ 9,161	\$ 16,758					\$ 25,919	\$ 118,623	\$ 24,573	\$ 99,480	
Sale of Fixed Assets											
Other Miscellaneous	\$ 50,491						\$ 50,491	\$ 65,500	\$ 50,913	\$ 60,500	
	\$ 191,902	\$ 191,013					\$ 382,915	\$ 682,523	\$ 390,012	\$ 704,980	
Total Revenues	\$ 14,932,141	\$ 320,005	\$ 423,622				\$ 15,675,769	\$ 22,419,664	\$ 14,888,372	\$ 20,826,816	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,720,304	\$ 6,536	\$ 223,267				\$ 7,950,106	\$ 11,343,501	\$ 7,995,254	\$ 10,742,318	
Temp Help	\$ 89,197						\$ 89,197	\$ 103,000	\$ 24,925	\$ 81,981	
Overtime	\$ 206,061	\$ 460					\$ 206,521	\$ 260,000	\$ 57,195	\$ 90,000	
Staff Benefits	\$ 3,517,188	\$ 23,002	\$ 86,717				\$ 3,626,907	\$ 5,287,992	\$ 3,353,504	\$ 5,099,936	
	\$ 11,532,749	\$ 29,998	\$ 309,983				\$ 11,872,731	\$ 16,994,493	\$ 11,430,877	\$ 16,014,235	
Operating Expenses and Equipment											
General Expense	\$ 379,395		\$ 1,032				\$ 380,427	\$ 554,824	\$ 206,898	\$ 491,001	
Printing	\$ 24,128		\$ (476)				\$ 23,652	\$ 33,150	\$ 34,297	\$ 52,550	
Telecommunications	\$ 71,065		\$ 765				\$ 71,830	\$ 134,060	\$ 59,879	\$ 93,600	
Postage	\$ 77,004		\$ 257				\$ 77,262	\$ 119,000	\$ 73,049	\$ 126,999	
Insurance	\$ 7,583						\$ 7,583	\$ 15,500	\$ 15,929	\$ 16,903	
In-State Travel	\$ 12,573		\$ 4,049				\$ 16,623	\$ 51,290	\$ 16,220	\$ 30,000	
Out-of-State Travel	\$ 9,313						\$ 9,313	\$ 14,838	\$ 2,265	\$ 7,500	
Training	\$ 13,518		\$ 0				\$ 13,518	\$ 60,025	\$ 8,631	\$ 24,857	
Security Services	\$ 240,639		\$ 2,759				\$ 243,398	\$ 623,332	\$ 281,840	\$ 621,620	
Facility Operations	\$ 148,457		\$ 360				\$ 148,816	\$ 305,500	\$ 166,640	\$ 257,655	
Utilities									\$ 144	\$ 150	
Contracted Services	\$ 1,223,751	\$ 106,729	\$ 30,227				\$ 1,360,706	\$ 2,627,502	\$ 1,251,774	\$ 2,064,807	
Consulting and Professional Services	\$ 6,102						\$ 6,102	\$ 8,000	\$ 3,867	\$ 6,650	
Information Technology	\$ 520,394		\$ 1,212				\$ 521,606	\$ 1,014,227	\$ 162,762	\$ 739,561	
Major Equipment	\$ 181,895	\$ 0					\$ 181,895	\$ 456,002	\$ 15,178	\$ 174,377	
Other Items of Expense	\$ 10,574		\$ 107				\$ 10,681	\$ 15,500	\$ 5,130	\$ 13,006	
	\$ 2,926,391	\$ 106,729	\$ 40,293				\$ 3,073,412	\$ 6,032,750	\$ 2,304,503	\$ 4,721,236	
Special Items of Expense											
Grand Jury	\$ 1,159						\$ 1,159	\$ 16,000	\$ 6,546	\$ 7,500	
Jury Costs	\$ 101,427						\$ 101,427	\$ 205,000	\$ 119,620	\$ 185,000	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0				
Capital Costs											
Internal Cost Recovery	\$ (62,073)		\$ 62,073				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ (702)		
	\$ 40,513		\$ 62,073				\$ 102,586	\$ 221,000	\$ 125,465	\$ 192,500	
Total Expenditures	\$ 14,499,652	\$ 136,727	\$ 412,349				\$ 15,048,728	\$ 23,248,243	\$ 13,860,845	\$ 20,927,971	
Excess (Deficit) of Revenues Over Expenditures	\$ 432,489	\$ 183,278	\$ 11,274				\$ 627,041	\$ (828,579)	\$ 1,027,526	\$ (101,155)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 930,851	\$ 594,097	\$ 0				\$ 1,524,949	\$ 1,524,949	\$ 1,200,955	\$ 1,200,955	
Ending Balance (Deficit)	\$ 1,263,340	\$ 777,376	\$ 11,274				\$ 2,151,989	\$ 696,370	\$ 2,228,481	\$ 1,099,800	

Superior Court of California, County of Monterey
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended March									
	Fiscal Year 2015/16								2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,730,538	\$ 244,785					\$ 3,975,322	\$ 6,527,610	\$ 4,006,319	\$ 5,897,977
Traffic & Other Infractions	\$ 551,424	\$ 127,391					\$ 678,816	\$ 925,986	\$ 631,622	\$ 921,534
Other Criminal Cases	\$ 1,802,186	\$ 199,434					\$ 2,001,620	\$ 2,366,668	\$ 1,956,270	\$ 2,800,729
Civil	\$ 811,964	\$ 64,539					\$ 876,503	\$ 870,513	\$ 706,550	\$ 940,690
Family & Children Services	\$ 877,731	\$ 309,898			\$ 0		\$ 1,187,629	\$ 1,572,876	\$ 1,198,202	\$ 1,987,020
Probate, Guardianship & Mental Health Services	\$ 212,774	\$ 68,376					\$ 281,150	\$ 366,189	\$ 262,561	\$ 312,778
Juvenile Dependency Services	\$ 84,888	\$ 216,126					\$ 301,014	\$ 698,308	\$ 325,726	\$ 483,375
Juvenile Delinquency Services	\$ 80,716	\$ 880					\$ 81,596	\$ 192,948	\$ 58,835	\$ 150,690
Other Court Operations	\$ 315,603	\$ 51,984					\$ 367,586	\$ 773,611	\$ 321,050	\$ 663,932
Court Interpreters	\$ 504,583	\$ 223,468					\$ 728,051	\$ 1,032,854	\$ 694,041	\$ 1,162,855
Jury Services	\$ 166,869	\$ 42,721	\$ 101,427				\$ 311,018	\$ 553,367	\$ 402,338	\$ 575,655
Security		\$ 282,423					\$ 282,423	\$ 660,396	\$ 281,840	\$ 765,040
Trial Court Operations Program	\$ 9,139,276	\$ 1,832,026	\$ 101,427		\$ 0		\$ 11,072,728	\$ 16,541,326	\$ 10,845,354	\$ 16,662,275
Enhanced Collections	\$ 13,240	\$ 131					\$ 13,371	\$ 78,672	\$ 45,372	\$ 65,000
Other Non-Court Operations			\$ 1,159				\$ 1,159	\$ 16,500	\$ 19,643	
Non-Court Operations Program	\$ 13,240	\$ 131	\$ 1,159				\$ 14,530	\$ 95,172	\$ 65,016	\$ 65,000
Executive Office	\$ 411,790	\$ 46,292			\$ 0		\$ 458,083	\$ 1,439,788	\$ 306,575	\$ 527,291
Fiscal Services	\$ 681,684	\$ 72,586					\$ 754,270	\$ 877,357	\$ 736,154	\$ 985,624
Human Resources	\$ 603,591	\$ 23,402	\$ 0				\$ 626,993	\$ 497,207	\$ 478,762	\$ 394,948
Business & Facilities Services	\$ 161,392	\$ 280,168					\$ 441,560	\$ 998,955	\$ 200,348	\$ 388,860
Information Technology	\$ 861,759	\$ 818,806					\$ 1,680,565	\$ 2,798,438	\$ 1,228,636	\$ 1,903,973
Court Administration Program	\$ 2,720,215	\$ 1,241,255	\$ 0		\$ 0		\$ 3,961,470	\$ 6,611,745	\$ 2,950,476	\$ 4,200,696
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 11,872,731	\$ 3,073,412	\$ 102,586		\$ 0		\$ 15,048,728	\$ 23,248,243	\$ 13,860,845	\$ 20,927,971