


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Superior Court – Santa Clara

Court

FY 2015-16 3rd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court – Santa Clara

Court

FY 2015-16 3rd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court’s fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court – Santa Clara

Court

FY 2015-16 3rd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	770.08	637.49	634.46	633.44	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2015/16									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,542,111)	\$ 1,885,096	\$ (1,346,023)	\$ 1,756,458			\$ 757,003	\$ 510,423	\$ 473,409
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 255,566	\$ 255,566	\$ 298,437
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (503,000)	\$ (503,000)	\$ (274,021)
Credit Card									
Cash on Hand	\$ 14,810							\$ 14,810	\$ 16,160
Cash with County	\$ 5,795,994							\$ 5,795,994	\$ 7,000,000
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 2,290,568						\$ 30,168,283	\$ 32,458,850	\$ 31,789,674
Total Cash and Cash Equivalents	\$ 5,559,260	\$ 1,885,096	\$ (1,346,023)	\$ 1,756,458			\$ 30,677,852	\$ 38,532,644	\$ 39,303,658
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 0	\$ 24,250	\$ 1,002,704					\$ 1,026,954	\$ 1,032,654
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0			\$ 0			\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,123	\$ 0						\$ 3,123	\$ 342
Total Receivables	\$ 3,123	\$ 24,250	\$ 1,002,704	\$ 0			\$ 0	\$ 1,030,077	\$ 1,032,996
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 5,562,383	\$ 1,909,346	\$ (343,319)	\$ 1,756,458			\$ 30,677,852	\$ 39,562,720	\$ 40,336,654
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 209,763	\$ 50,580	\$ 44,471	\$ 0			\$ 40,409	\$ 345,222	\$ 370,522
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 3,123	\$ 3,123	\$ 342
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 1,782,563	\$ 1,782,563	\$ 1,939,931
Due to Other Governments	\$ 100,000		\$ 0					\$ 100,000	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 4,925,960	\$ 4,925,960	\$ 4,838,157
Due to Other Public Agencies									
Sales and Use Tax	\$ 723							\$ 723	\$ 223
Interest							\$ 4,510	\$ 4,510	\$ 461
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 310,486	\$ 50,580	\$ 44,471	\$ 0			\$ 6,756,565	\$ 7,162,101	\$ 7,149,636
Civil									
Criminal							\$ 21,178,890	\$ 21,178,890	\$ 22,218,353
Unreconciled - Civil and Criminal							\$ 2,619,027	\$ 2,619,027	\$ 2,315,908
Trust Held Outside of the JCC								\$ 0	\$ 0
Trust Interest Payable							\$ 14,163	\$ 14,163	\$ 9,940
Miscellaneous Trust									
Total Trust Deposits							\$ 23,812,080	\$ 23,812,080	\$ 24,544,201
Accrued Payroll	\$ 0							\$ 0	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 0							\$ 0	\$ 405,132
Revenue Collected in Advance	\$ 0		\$ 221,395					\$ 221,395	\$ 563,011
Liabilities For Deposits	\$ 36,334	\$ 1,972					\$ 109,207	\$ 147,513	\$ 147,750
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 36,334	\$ 1,972	\$ 221,395	\$ 0			\$ 109,207	\$ 368,909	\$ 710,760
Total Liabilities	\$ 346,820	\$ 52,552	\$ 265,866	\$ 0			\$ 30,677,852	\$ 31,343,090	\$ 32,809,729
Total Fund Balance	\$ 5,215,563	\$ 1,856,795	\$ (609,185)	\$ 1,756,458				\$ 8,219,631	\$ 7,526,925
Total Liabilities and Fund Balance	\$ 5,562,383	\$ 1,909,346	\$ (343,319)	\$ 1,756,458			\$ 30,677,852	\$ 39,562,720	\$ 40,336,654

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2015/16										2014/15	
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 57,109,229	\$ 362,656					\$ 57,471,885	\$ 76,738,075	\$ 57,767,087	\$ 79,733,098	
Improvement and Modernization Fund	\$ 158,918						\$ 158,918	\$ 238,520	\$ 570,175	\$ 723,082	
Judges' Compensation (45.25)	\$ 562,878						\$ 562,878	\$ 710,000	\$ 562,878	\$ 710,000	
Court Interpreter (45.45)	\$ 2,356,979						\$ 2,356,979	\$ 4,000,000	\$ 2,930,261	\$ 4,000,000	
Civil Coordination Reimbursement (45.55)	\$ 616,765						\$ 616,765	\$ 840,693	\$ 603,528	\$ 800,693	
MOU Reimbursements (45.10 and General)	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
Other Miscellaneous	\$ 63,114,236	\$ 362,656					\$ 63,476,892	\$ 84,836,755	\$ 64,743,396	\$ 88,276,340	
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ 1,876,671				\$ 1,876,671	\$ 2,718,528	\$ 2,183,627	\$ 2,606,208	
Other Judicial Council Grants	\$ 1		\$ 337,236				\$ 337,236	\$ 891,454	\$ 235,295	\$ 475,775	
Non-Judicial Council Grants	\$ 1		\$ 428,396				\$ 428,396	\$ 1,271,415	\$ 402,354	\$ 1,365,465	
	\$ 1		\$ 2,642,303				\$ 2,642,304	\$ 4,881,397	\$ 2,821,276	\$ 4,447,448	
Other Financing Sources											
Interest Income	\$ 50,009	\$ 5,701		\$ 2,779			\$ 58,490	\$ 60,000	\$ 42,572	\$ 50,000	
Investment Income											
Donations		\$ 20,424					\$ 20,424	\$ 40,000	\$ 24,311	\$ 50,000	
Local Fees	\$ 973,534	\$ 113,602					\$ 1,087,136	\$ 1,852,000	\$ 1,038,340	\$ 1,497,000	
Non-Fee Revenues											
Enhanced Collections		\$ 467,692					\$ 467,692	\$ 685,000	\$ 454,138	\$ 665,000	
Escheatment	\$ (4,910)	\$ 441					\$ (4,469)	\$ 17,000	\$ (172,130)	\$ 60,000	
Prior Year Revenue									\$ 219,764		
County Program - Restricted		\$ 190,483					\$ 190,483	\$ 346,126	\$ 180,874	\$ 322,502	
Reimbursement Other	\$ 56,765	\$ 1,057,793	\$ 107,071				\$ 1,221,630	\$ 3,226,156	\$ 1,659,306	\$ 2,900,589	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,172,127						\$ 1,172,127	\$ 1,620,903	\$ 1,080,120	\$ 1,613,753	
	\$ 2,247,525	\$ 1,856,138	\$ 107,071	\$ 2,779			\$ 4,213,513	\$ 7,847,185	\$ 4,527,294	\$ 7,158,844	
Total Revenues	\$ 65,361,762	\$ 2,218,794	\$ 2,749,374	\$ 2,779			\$ 70,332,709	\$ 97,565,337	\$ 72,091,966	\$ 99,882,632	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 31,028,611	\$ 655,357	\$ 1,148,207				\$ 32,832,175	\$ 40,386,205	\$ 35,063,913	\$ 40,031,646	
Temp Help	\$ 331,986		\$ 20,700				\$ 352,686	\$ 341,171	\$ 375,873	\$ 953,848	
Overtime	\$ 147,543	\$ 3,410					\$ 150,953	\$ 25,000	\$ 16,300	\$ 25,000	
Staff Benefits	\$ 20,594,119	\$ 1,857,083	\$ 1,081,585				\$ 23,532,786	\$ 42,187,696	\$ 26,043,651	\$ 39,999,941	
	\$ 52,102,259	\$ 2,515,849	\$ 2,250,492				\$ 56,868,600	\$ 82,940,072	\$ 61,499,736	\$ 81,010,435	
Operating Expenses and Equipment											
General Expense	\$ 950,130	\$ 154,477	\$ 34,974				\$ 1,139,581	\$ 1,730,404	\$ 941,046	\$ 1,714,093	
Printing	\$ 83,460		\$ 40				\$ 83,499	\$ 125,000	\$ 73,812	\$ 154,000	
Telecommunications	\$ 480,701		\$ 64				\$ 480,765	\$ 650,000	\$ 485,609	\$ 680,000	
Postage	\$ 311,771		\$ 6				\$ 311,777	\$ 364,000	\$ 305,040	\$ 422,000	
Insurance	\$ 32,522						\$ 32,522	\$ 41,000	\$ 38,617	\$ 47,000	
In-State Travel	\$ 57,421	\$ 1,462	\$ 4,898				\$ 63,782	\$ 121,100	\$ 66,936	\$ 133,200	
Out-of-State Travel	\$ 7,334		\$ 19,856				\$ 27,190	\$ 22,500	\$ 4,705	\$ 10,868	
Training	\$ 54,190		\$ 3,104				\$ 57,294	\$ 104,999	\$ 83,320	\$ 113,000	
Security Services	\$ 75,029		\$ 267,751				\$ 342,780	\$ 357,001	\$ 236,968	\$ 315,958	
Facility Operations	\$ 885,071						\$ 885,071	\$ 1,344,156	\$ 875,333	\$ 1,371,100	
Utilities	\$ 122,588						\$ 122,588	\$ 185,000	\$ 124,587	\$ 165,000	
Contracted Services	\$ 4,105,697	\$ 886,195	\$ 425,351				\$ 5,417,244	\$ 9,598,887	\$ 4,564,829	\$ 6,458,813	
Consulting and Professional Services	\$ 1,389,707						\$ 1,389,707	\$ 2,059,595	\$ 1,336,029	\$ 1,948,527	
Information Technology	\$ 245,463	\$ 322,146	\$ 1,608				\$ 569,217	\$ 843,000	\$ 817,143	\$ 2,895,773	
Major Equipment	\$ 254,512	\$ 93,624					\$ 348,136		\$ 72,510		
Other Items of Expense	\$ 42,046						\$ 42,046	\$ 53,500	\$ 32,312	\$ 58,000	
	\$ 9,097,643	\$ 1,457,904	\$ 757,652				\$ 11,313,199	\$ 17,600,142	\$ 10,038,799	\$ 16,487,332	
Special Items of Expense											
Grand Jury	\$ 0	\$ 3,383					\$ 3,383	\$ 6,000	\$ 5,699	\$ 5,000	
Jury Costs	\$ 484,978	\$ 72,818					\$ 557,796	\$ 738,500	\$ 477,592	\$ 725,000	
Judgements, Settlements and Claims	\$ 11,157						\$ 11,157				
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (351,337)		\$ 351,337				\$ 0	\$ 1,750,000	\$ (1,750,000)	\$ 3,500,000	
Prior Year Expense Adjustment								\$ 0	\$ 0	\$ 0	
	\$ 144,798	\$ 76,201	\$ 351,337				\$ 572,335	\$ 2,494,500	\$ (1,266,709)	\$ 4,230,000	
Total Expenditures	\$ 61,344,699	\$ 4,049,954	\$ 3,359,481	\$ 2,779			\$ 68,754,134	\$ 103,034,714	\$ 70,271,825	\$ 101,727,767	
Excess (Deficit) of Revenues Over Expenditures	\$ 4,017,063	\$ (1,831,160)	\$ (610,107)	\$ 2,779			\$ 1,578,575	\$ (5,469,377)	\$ 1,820,141	\$ (1,845,135)	
Operating Transfers In (Out)	\$ (922)		\$ 922				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,199,422	\$ 3,687,955	\$ 0	\$ 1,753,679			\$ 6,641,056	\$ 6,641,056	\$ 5,706,784	\$ 5,706,784	
Ending Balance (Deficit)	\$ 5,215,563	\$ 1,856,795	\$ (609,185)	\$ 1,756,458			\$ 8,219,631	\$ 1,171,679	\$ 7,526,925	\$ 3,861,649	

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended March										
Fiscal Year 2015/16								2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 15,182,367	\$ 1,397,632					\$ 16,429,126	\$ 25,969,295	\$ 18,674,445	\$ 24,737,675
Traffic & Other Infractions	\$ 2,730,382	\$ 30,884					\$ 2,761,266	\$ 4,618,813	\$ 3,352,509	\$ 5,444,042
Other Criminal Cases	\$ 6,170,939	\$ 1,174,765				\$ 991	\$ 7,346,696	\$ 10,799,433	\$ 8,447,170	\$ 11,163,023
Civil	\$ 5,757,718	\$ 213,083					\$ 5,970,800	\$ 8,368,301	\$ 6,834,316	\$ 8,611,160
Family & Children Services	\$ 7,490,203	\$ 1,572,662	\$ 10,064				\$ 9,223,802	\$ 13,474,461	\$ 9,863,955	\$ 13,110,289
Probate, Guardianship & Mental Health Services	\$ 1,975,667	\$ 44,029			\$ 150,873		\$ 2,019,696	\$ 3,002,569	\$ 2,298,481	\$ 2,999,808
Juvenile Dependency Services	\$ 373,155	\$ 58,154			\$ 0		\$ 431,310	\$ 636,838	\$ 532,352	\$ 487,387
Juvenile Delinquency Services	\$ 416,242	\$ 120,389					\$ 536,632	\$ 695,501	\$ 530,224	\$ 622,348
Other Court Operations	\$ 269,191	\$ 5,501					\$ 274,692	\$ 368,374	\$ 294,960	\$ 201,716
Court Interpreters	\$ 2,340,288	\$ 610,591	\$ 1,093				\$ 2,951,972	\$ 4,607,924	\$ 3,332,202	\$ 4,142,988
Jury Services	\$ 421,787	\$ 105,407	\$ 484,978				\$ 1,012,172	\$ 1,105,410	\$ 986,685	\$ 1,098,427
Security		\$ 139,145					\$ 139,145		\$ 69,518	\$ 0
Trial Court Operations Program	\$ 43,127,939	\$ 5,472,242	\$ 496,135			\$ 991	\$ 49,097,308	\$ 73,646,919	\$ 55,216,817	\$ 72,618,863
Enhanced Collections										
Other Non-Court Operations	\$ 1,502,164	\$ 113,203	\$ 76,201				\$ 1,691,569	\$ 2,323,487	\$ 1,672,401	\$ 2,160,297
Non-Court Operations Program	\$ 1,502,164	\$ 113,203	\$ 76,201				\$ 1,691,569	\$ 2,323,487	\$ 1,672,401	\$ 2,160,297
Executive Office	\$ 2,115,225	\$ 146,938					\$ 2,261,172	\$ 2,971,456	\$ 2,253,357	\$ 1,792,257
Fiscal Services	\$ 2,415,402	\$ 1,517,822				\$ (991)	\$ 3,933,224	\$ 5,172,567	\$ 3,888,534	\$ 4,757,110
Human Resources	\$ 3,231,819	\$ 77,674					\$ 3,309,492	\$ 1,773,977	\$ 1,079,147	\$ 1,657,288
Business & Facilities Services	\$ 1,318,377	\$ 1,023,228					\$ 2,341,604	\$ 6,828,338	\$ 671,252	\$ 9,159,477
Information Technology	\$ 3,157,674	\$ 2,962,091					\$ 6,119,765	\$ 10,317,970	\$ 5,490,317	\$ 9,582,475
Court Administration Program	\$ 12,238,496	\$ 5,727,753				\$ (991)	\$ 17,965,258	\$ 27,064,308	\$ 13,382,607	\$ 26,948,607
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 56,868,600	\$ 11,313,199	\$ 572,335			\$ 0	\$ 68,754,134	\$ 103,034,714	\$ 70,271,825	\$ 101,727,767