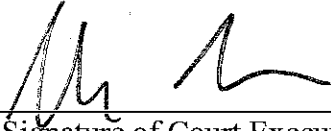


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive

8-19-2016

Date

SANTA CRUZ SUPERIOR COURT
Court

2015-16 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ SUPERIOR COURT

Court

2015-16 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

SANTA CRUZ SUPERIOR COURT

Court

2015-16 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		119.40	119.60	119.50	119.50

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June										
Fiscal Year 2015/16										
	Governmental Funds						Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Special Revenue			Capital Project	Debt Service					
	General	Non-Grant	Grant							
ASSETS										
Operations	\$ (247,203)	\$ 314,123	\$ 0	\$ 0			\$ 46,245	\$ 113,164	\$ (407,377)	
Payroll	\$ (1,363)						\$ 0	\$ (1,363)	\$ (1,622)	
Jury	\$ 5,000							\$ 5,000	\$ 5,000	
Revolving										
Other										
Distribution							\$ 0	\$ 0		
Civil Filing Fees	\$ 0	\$ 0					\$ 41	\$ 41	\$ 102,224	
Trust							\$ (3,023)	\$ (3,023)	\$ (18,693)	
Credit Card										
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350	
Cash with County							\$ 1,320,214	\$ 1,320,214	\$ 2,082,210	
Cash Outside of the JCC										
Cash Equivalents	\$ 901,884						\$ 851,276	\$ 1,753,159	\$ 3,013,607	
Total Cash and Cash Equivalents	\$ 661,667	\$ 314,123	\$ 0	\$ 0			\$ 2,214,752	\$ 3,190,542	\$ 4,778,699	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 1,421	\$ 537		\$ 0			\$ 0	\$ 1,958	\$ 1,552	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ 3	\$ 0	\$ 0					\$ 3	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 159,831							\$ 159,831	\$ 72,217	
Due From Other Governments	\$ 89,698	\$ 112,713					\$ 11,944	\$ 214,355	\$ 162,696	
Due From Other Courts	\$ 25,000						\$ 0	\$ 25,000	\$ 0	
Due From State	\$ 417,166	\$ 18,868	\$ 409,917					\$ 845,950	\$ 347,197	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 21							\$ 21	\$ 5,008	
Total Receivables	\$ 693,140	\$ 132,118	\$ 409,917	\$ 0			\$ 11,944	\$ 1,247,118	\$ 588,669	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 992	
Salary and Travel Advances	\$ 0							\$ 0	\$ 330	
Counties										
Total Prepaid Expenses	\$ 0							\$ 0	\$ 1,322	
Other Assets										
Total Other Assets										
Total Assets	\$ 1,354,807	\$ 446,241	\$ 409,917	\$ 0			\$ 2,226,695	\$ 4,437,660	\$ 5,368,690	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 560,296	\$ 0	\$ 8,520					\$ 568,816	\$ 348,645	
Accounts Payable - General	\$ 95,301	\$ 0	\$ 0	\$ 0			\$ 1,773	\$ 97,074	\$ 169,822	
Due to Other Funds	\$ 54,563	\$ 97,339	\$ 7,930				\$ 21	\$ 159,852	\$ 77,224	
Due to Other Courts	\$ 883							\$ 883		
Due to State	\$ 9,243							\$ 9,243	\$ 3,437	
TC145 Liability							\$ 377,201	\$ 377,201	\$ 403,546	
Due to Other Governments	\$ 63,123		\$ 73,872					\$ 136,995	\$ 53,917	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax	\$ 56							\$ 56	\$ 0	
Interest							\$ 61	\$ 61	\$ 13	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0		
Total Accounts Payable and Accrued Liab.	\$ 783,465	\$ 97,339	\$ 90,322	\$ 0			\$ 379,056	\$ 1,350,181	\$ 1,056,604	
Civil							\$ 429,028	\$ 429,028	\$ 34,806	
Criminal							\$ 75,470	\$ 75,470		
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 1,320,214	\$ 1,320,214	\$ 2,082,210	
Trust Interest Payable							\$ 208	\$ 208	\$ 0	
Miscellaneous Trust										
Total Trust Deposits							\$ 1,824,919	\$ 1,824,919	\$ 2,117,016	
Accrued Payroll	\$ 409,812	\$ 0	\$ 0					\$ 409,812	\$ 305,183	
Benefits Payable	\$ 139,616							\$ 139,616	\$ 129,094	
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0	
Deductions Payable	\$ 0							\$ 0	\$ 0	
Payroll Clearing	\$ 0							\$ 0	\$ (11)	
Total Payroll Liabilities	\$ 549,428	\$ 0	\$ 0					\$ 549,428	\$ 434,266	
Revenue Collected in Advance	\$ 0		\$ 319,595					\$ 319,595	\$ 1,043,516	
Liabilities For Deposits	\$ 17,122						\$ 20,328	\$ 37,450	\$ 23,287	
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 0	
Fees - Partial Payment & Overpayment							\$ 2,393	\$ 2,393	\$ 2,258	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ (100)	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 17,122		\$ 319,595				\$ 22,720	\$ 359,437	\$ 1,068,961	
Total Liabilities	\$ 1,350,015	\$ 97,339	\$ 409,917	\$ 0			\$ 2,226,695	\$ 4,083,966	\$ 4,676,847	
Total Fund Balance	\$ 4,792	\$ 348,902	\$ 0	\$ 0				\$ 353,694	\$ 691,843	
Total Liabilities and Fund Balance	\$ 1,354,807	\$ 446,241	\$ 409,917	\$ 0			\$ 2,226,695	\$ 4,437,660	\$ 5,368,690	

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June										
Fiscal Year 2015/16										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds				
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 11,992,698	\$ 113,208					\$ 12,105,906	\$ 12,402,957	\$ 11,667,655	\$ 11,724,594
Improvement and Modernization Fund	\$ 35,289						\$ 35,289	\$ 35,288	\$ 35,288	\$ 35,288
Judges' Compensation (45.25)										
Court Interpreter (45.45)	\$ 884,404						\$ 884,404	\$ 868,000	\$ 867,740	\$ 707,780
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 184,783						\$ 184,783	\$ 195,480	\$ 194,074	\$ 196,778
Other Miscellaneous	\$ 203,558						\$ 203,558	\$ 203,557	\$ 203,557	\$ 203,557
	\$ 13,300,731	\$ 113,208					\$ 13,413,939	\$ 13,705,282	\$ 12,968,314	\$ 12,867,997
Grants										
AB 1058 Commissioner/Facilitator			\$ 340,612				\$ 340,612	\$ 315,002	\$ 313,260	\$ 332,686
Other Judicial Council Grants			\$ 333,089				\$ 333,089	\$ 178,096	\$ 37,564	\$ 34,000
Non-Judicial Council Grants										
			\$ 673,701				\$ 673,701	\$ 493,098	\$ 350,824	\$ 366,686
Other Financing Sources										
Interest Income	\$ 7,453	\$ 2,165					\$ 9,617	\$ 7,800	\$ 7,565	\$ 9,313
Investment Income										
Donations	\$ 0						\$ 0			
Local Fees	\$ 134,939	\$ 56,571					\$ 191,510	\$ 261,062	\$ 261,062	\$ 293,359
Non-Fee Revenues										
Enhanced Collections		\$ 228,556					\$ 228,556	\$ 204,997	\$ 226,529	\$ 215,239
Escheatment									\$ 650	
Prior Year Revenue										
County Program - Restricted	\$ 195,771	\$ 39,299					\$ 39,299	\$ 41,300	\$ 46,315	\$ 47,441
Reimbursement Other		\$ 2,800					\$ 198,571	\$ 196,946	\$ 171,193	\$ 149,293
Sale of Fixed Assets	\$ 12,734						\$ 12,734	\$ 16,850	\$ 17,225	\$ 16,475
Other Miscellaneous										
	\$ 350,896	\$ 329,391					\$ 680,287	\$ 728,955	\$ 730,538	\$ 731,120
Total Revenues	\$ 13,651,628	\$ 442,599	\$ 673,701				\$ 14,767,927	\$ 14,927,335	\$ 14,049,676	\$ 13,965,803
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 7,678,886	\$ 138,936	\$ 333,040				\$ 8,150,862	\$ 8,123,487	\$ 8,045,644	\$ 7,844,950
Temp Help	\$ 6,307						\$ 6,307	\$ 17,680	\$ 17,680	
Overtime	\$ 39,038						\$ 39,038	\$ 2,977	\$ 2,977	
Staff Benefits	\$ 3,725,984	\$ 90,640	\$ 165,763				\$ 3,982,388	\$ 4,370,226	\$ 3,971,693	\$ 3,959,452
	\$ 11,450,215	\$ 229,576	\$ 498,803				\$ 12,178,594	\$ 12,493,713	\$ 12,037,994	\$ 11,804,402
Operating Expenses and Equipment										
General Expense	\$ 203,381		\$ 2,822				\$ 206,203	\$ 281,094	\$ 179,216	\$ 220,575
Printing	\$ 90,371						\$ 90,371	\$ 70,101	\$ 78,103	\$ 78,103
Telecommunications	\$ 104,782						\$ 104,782	\$ 107,900	\$ 106,938	\$ 98,009
Postage	\$ 30,561						\$ 30,561	\$ 67,500	\$ 65,655	\$ 88,451
Insurance	\$ 4,762						\$ 4,762	\$ 6,000	\$ 5,495	\$ 6,030
In-State Travel	\$ 34,979		\$ 3,199				\$ 38,178	\$ 24,150	\$ 24,178	\$ 25,883
Out-of-State Travel	\$ 1,929						\$ 1,929			
Training	\$ 3,733		\$ 1,570				\$ 5,303	\$ 8,500	\$ 8,438	\$ 5,888
Security Services	\$ 789						\$ 789	\$ 100	\$ 100	\$ 300
Facility Operations	\$ 320,058			\$ 75,000			\$ 395,058	\$ 355,950	\$ 264,024	\$ 453,096
Utilities										
Contracted Services	\$ 563,260	\$ 33,000	\$ 194,481				\$ 790,741	\$ 685,430	\$ 521,151	\$ 581,718
Consulting and Professional Services	\$ 11,230						\$ 11,230	\$ 13,140	\$ 13,140	\$ 11,760
Information Technology	\$ 787,407	\$ 271,189					\$ 1,058,596	\$ 931,000	\$ 1,505,613	\$ 2,002,481
Major Equipment	\$ 72,225						\$ 72,225	\$ 50,000	\$ 1,118	\$ 30,661
Other Items of Expense	\$ 1,824						\$ 1,824	\$ 1,850	\$ 1,766	\$ 1,895
	\$ 2,231,290	\$ 304,189	\$ 202,072	\$ 75,000			\$ 2,812,551	\$ 2,602,715	\$ 2,767,024	\$ 3,004,960
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 114,824						\$ 114,824	\$ 133,000	\$ 131,273	\$ 134,899
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 108						\$ 108			
Capital Costs										
Internal Cost Recovery	\$ (132,693)	\$ 40,367	\$ 92,326				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment										
	\$ (17,762)	\$ 40,367	\$ 92,326				\$ 114,931	\$ 133,000	\$ 131,273	\$ 134,899
Total Expenditures	\$ 13,663,743	\$ 574,132	\$ 793,202	\$ 75,000			\$ 15,106,077	\$ 15,229,428	\$ 14,936,291	\$ 15,544,261
Excess (Deficit) of Revenues Over Expenditures	\$ (12,116)	\$ (131,533)	\$ (119,501)	\$ (75,000)			\$ (338,150)	\$ (302,093)	\$ (886,615)	\$ (1,578,458)
Operating Transfers In (Out)	\$ (226,770)	\$ 32,270	\$ 119,501	\$ 75,000			\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 243,678	\$ 448,165	\$ 0	\$ 0			\$ 691,843	\$ 691,843	\$ 1,578,458	\$ 1,578,458
Ending Balance (Deficit)	\$ 4,792	\$ 348,902	\$ 0	\$ 0			\$ 353,693	\$ 389,750	\$ 691,843	\$ 0

**Superior Court of California, County of Santa Cruz
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended June										
	Fiscal Year 2015/16							2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,139,152	\$ 279,933					\$ 4,419,085	\$ 4,319,770	\$ 4,178,889	\$ 4,258,595
Traffic & Other Infractions	\$ 702,386	\$ 12,965					\$ 715,351	\$ 693,538	\$ 698,210	\$ 792,916
Other Criminal Cases	\$ 510,962	\$ 245,604					\$ 773,344	\$ 813,027	\$ 834,267	\$ 869,721
Civil	\$ 1,046,545	\$ 50,102			\$ 16,779		\$ 1,096,647	\$ 1,065,776	\$ 979,116	\$ 942,110
Family & Children Services	\$ 658,376	\$ 80,428			\$ 75,548		\$ 814,351	\$ 591,326	\$ 844,496	\$ 588,822
Probate, Guardianship & Mental Health Services	\$ 364,352	\$ 4,012					\$ 368,363	\$ 394,632	\$ 348,565	\$ 344,536
Juvenile Dependency Services	\$ 223,424						\$ 223,424	\$ 225,904	\$ 207,487	\$ 195,481
Juvenile Delinquency Services		\$ 2,335					\$ 2,335	\$ 1,908	\$ 1,347	\$ 196
Other Court Operations	\$ 779,539	\$ 65,838					\$ 845,376	\$ 817,108	\$ 789,196	\$ 795,795
Court Interpreters	\$ 803,129	\$ 47,122					\$ 850,252	\$ 905,945	\$ 821,493	\$ 779,580
Jury Services	\$ 153,147	\$ 66,681	\$ 114,824				\$ 334,652	\$ 300,490	\$ 343,258	\$ 361,089
Security	\$ 425	\$ 425					\$ 425	\$ 100	\$ 100	\$ 11,185
Trial Court Operations Program	\$ 9,381,010	\$ 855,443	\$ 114,824		\$ 92,326		\$ 10,443,604	\$ 10,129,524	\$ 10,046,424	\$ 9,940,026
Enhanced Collections	\$ 210,170				\$ 40,367		\$ 250,537	\$ 204,997	\$ 241,347	\$ 215,239
Other Non-Court Operations	\$ 622,264	\$ 84,358					\$ 706,621	\$ 987,336	\$ 685,747	\$ 818,569
Non-Court Operations Program	\$ 832,434	\$ 84,358			\$ 40,367		\$ 957,158	\$ 1,192,333	\$ 927,094	\$ 1,033,808
Executive Office	\$ 286,246	\$ 4,227					\$ 290,473	\$ 266,347	\$ 282,748	\$ 268,541
Fiscal Services	\$ 756,245	\$ 78,740	\$ 108		\$ (132,693)		\$ 702,400	\$ 899,359	\$ 757,977	\$ 765,911
Human Resources	\$ 152	\$ 12,758					\$ 12,910	\$ 3,216	\$ 204,262	\$ 238,040
Business & Facilities Services	\$ 159,377	\$ 344,023					\$ 503,400	\$ 542,652	\$ 292,182	\$ 408,170
Information Technology	\$ 763,131	\$ 1,433,002					\$ 2,196,133	\$ 2,195,997	\$ 2,425,604	\$ 2,889,765
Court Administration Program	\$ 1,965,150	\$ 1,872,750	\$ 108		\$ (132,693)		\$ 3,705,315	\$ 3,907,571	\$ 3,962,773	\$ 4,570,427
Expenditures Not Distributed or Posted to a Program									\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 12,178,594	\$ 2,812,551	\$ 114,931		\$ 0		\$ 15,106,077	\$ 15,229,428	\$ 14,936,291	\$ 15,544,261

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Cruz

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	-
Restricted	-	-	-	348,902	-	-	-	-	-	348,902
Committed	-	-	-	-	-	-	-	-	-	-
Assigned	4,792	-	4,792	-	-	-	-	-	-	4,792
Unassigned	-	-	-	-	-	-	-	-	-	-
Total	\$ 4,792	\$ -	\$ 4,792	\$ 348,902	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 353,694