

Department of the Interior U.S. Fish and Wildlife Service

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service (enter address from page 5 or 6 of application) Type of Activity:

Commercial export of skins of 6 native species: bobcat, Lynx river otter, Alaskan brown bear, Alaskan gray wolf, and American alligator (*CITES*)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

А.	Complete	if applying as an individual		
1.a. Last name		1.b. First name	1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	3. Social Security No.	4. Occupation	5. Affiliation/ Doing business as (se	a instructions)
2. Date of birth (hill/dd/yyyy)	5. Social Security No.	4. Occupation	5. Annauon/ Doing business as (se	æ instructions)
6.a. Telephone number	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address	

B. Comp	olete if applying or	n behalf of a b	usiness, co	rporation	ı, public	agency, Tribe, o	r institution	
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)						
. Tax identification no. 3. Description of			business, agency, Tribe, or institution					
4.a. Principal officer Last name 4.b. Principal officer		ïcer First name		4.c. Principal officer Middle name/ initial 4.d. Suffix		4.d. Suffix		
5. Principal officer title		<u> </u>		6. Primary	y contact na	me		
7.a. Business telephone number	7.b. Alternate telephor	ne number	7.c. Business fax number		er	7.d. Business e-mail address		
С.		All applicants	a complete	oddrocc i	nformat	ion		
	1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)							
1.b. City	1.c. State	1.d. 2	1.d. Zip code/Postal code:		1.e. County/Province		1.f. Country	
2.a. Mailing Address (include if diffe	erent than physical addr	ess; include name of	of contact pers	son if applica	able)			
2.b. City	2.c. State	2.d. 2	Zip code/Posta	al code:	2.e. Coun	nty/Province	2.f. Country	
D.			oplicants M					
 Attach check or money orde and local government agence outlined in instructions. (5) 	cies, and those acting on 50 CFR 13.11(d))	h behalf of such age	encies, are exer			· -	-	
2. Do you currently have or have Yes If yes, list the num	ave you ever had any Feo mber of the most current			a are applyin	ig to renew/	/re-issue:		No
3. Certification: I hereby certification: I hereby certification applicable parts in subchap best of my knowledge and b	, pter B of Chapter I of T	Title 50, and I certif	fy that the info	ormation sub	mitted in th	is application for a per	rmit is complete and a	

Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature

Commercial export of skins of 6 native species: bobcat, river otter, Lynx, Alaskan brown bear, Alaskan gray wolf, and American alligator (*CITES*)

Note: This application should be used solely for the export of furs and skins in the commercial trade. Applications that are submitted to the Office of Law Enforcement (OLE) *must* be submitted to an OLE office in the region where the applicant is located. If the skin is from a sport-hunted trophy, please use Form 3-200-28 and submit the application to the Division of Management Authority (DMA).

Provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing.

Scientific name	Common name	Taken from the Wild or captive- bred	Quantity	Description (e.g., raw or tanned)	State(s) where specimens were harvested
Alligator mississippiensis	American alligator				
Ursus arctos	Alaskan brown bear				
Canis lupus	Alaskan gray wolf				
Lynx rufus	Bobcat				
Lynx canadensis	Lynx				
Lontra canadensis	River otter				

1. Provide the following:

 Skins of these native species must be tagged with a CITES Tag at the time of export or re-export. Please see <u>50 §</u> <u>23.69(c)</u> and 23.70(d) for CITES tagging requirements. Provide a complete list of the CITES tag numbers (e.g. FL MIS 0712345 - always refer to tag) or CITES replacement tag numbers (e.g. FWS REPL 0123456 – always refer to tag). NOTE: Tags must be inserted through the skins and permanently locked in place at the time of export. Replacement tags must be obtained **before** submitting your application (broken tags must be surrendered at the time of requesting replacement tags).

<u>Electronic submission of inventories:</u> Because some applications contain extensive inventories, you may provide electronic versions. Electronic submission may assist in expediting the processing of your application.. You may include a disk containing the relevant information or check here _____ if you would like to be contacted to provide it via email.

3. Applicants residing or located outside the United States conducting commercial activities. The name, physical address and telephone number of your agent who is located in the United States and will maintain your records for five (5) years from the expiration of the permit. If the location is the same as in item 3, then indicate "same as 3." (This information is required under 50 CFR 13.12 and 13.46).

U.S. address (not a P.O. Box) for foreign applicant. (This information is required under 50 CFR 13.12).

Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

4. Provide the name and address of the **recipient in the foreign country** (if it is yourself, provide your foreign address).

Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

5. Current location of skins (Address -City, State/Province, County, Postal Code):

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

- 6. Provide a copy of your valid **Import/Export License**.
- 7. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <u>http://www.fws.gov/le/designated-ports.html</u>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).
- 8. Name and address where you wish permit mailed, **if** different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):
- 9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

- 10. Who should we contact if we have questions about the application? (Include name, phone number, and email):
- 11. **Disqualification Factor**. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

<u>Yes</u> No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

CITES Permit Office

NOTE: The application must be submitted to Division of Management Authority or to the Office of Law Enforcement in the region where the applicant is located.

Division of Management Authority (DMA)

Branch of Permits, MS: IA 5275 Leesburg Pike Falls Church, VA 22041-3803 1-800-358-2104 or 703-358-2104

Office of Law Enforcement (OLE)

U.S. Fish & Wildlife Service

Office of Law Enforcement

3375 Koapaka St., #B296

Honolulu, HI 96819-1867

U.S. Fish & Wildlife Service

Office of Law Enforcement

9925 Pacific Highway, Room 136

Phone: (808) 861-8525

Fax: (808) 861-8515

Blaine, WA 98230

Phone: (360) 332-5388

Fax: (360)332-3010

Blaine

Region 1: Guam, Hawaii, Idaho, Oregon, & Washington Honolulu

Guam

U.S. Fish & Wildlife Service Office of Law Enforcement 415 Chalan San Antonio Road, Suite 209 Tamuning, Guam 96913-3620 Phone: (671) 647-6064 Fax: (671) 647-6068

Portland

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 55206 Portland, OR 97238 Phone: (503) 231-6135 Fax: (503) 231-6133

Region 2: Arizona, New Mexico, Oklahoma, & Texas

U.S. Fish & Wildlife Service Office of Law Enforcement 2545 W. Frye Road, Suite 8 Chandler, AZ 85224-6273 Phone: (480) 967-2007 Fax: (480) 966-9766

Region 3: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, & Wisconsin

U.S. Fish & Wildlife Service Office of Law Enforcement 10600 Higgins Road, Suite 200 Rosemont, IL 60018 Phone: (847) 298-3250 Ext. 110 Fax: (847) 298-7669

Region 4: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Puerto Rico, & Tennessee

Atlanta U.S. Fish & Wildlife Service Office of Law Enforcement 4341 International Parkway, Suite #104 Atlanta, GA 30354 Phone: (404) 763-7959 Fax: (404) 366-7031

New Orleans

Mail: U.S. Fish & Wildlife Service Office of Law Enforcement 61387 Hwy 434 Lacombe, LA 70445

Miami

Mail: U.S. Fish & Wildlife Service Office of Law Enforcement 10426 NW 31 Terrace Miami, FL 33172

Physical: U.S. Fish & Wildlife Service Office of Law Enforcement 6105 NW 18th St., Room 405 Miami, FL 33122 Phone: (305) 526-2994 or 2620 Fax: (305) 526-7480

Physical: U.S. Fish & Wildlife Service Office of Law Enforcement 2424 Edenborn, Room 100 Metairie, LA 70001 Phone: (504) 219-8870 Fax: (504) 219-8868

Region 5: Connecticut, District of Columbia, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, & West Virginia

U.S. Fish & Wildlife Service Office of Law Enforcement 70 E. Sunrise Hwy, Suite 419 Valley Stream, NY 11580 Phone: (516) 825-3950 Fax: (516) 825-3597

Region 6: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, & Wyoming

U.S. Fish & Wildlife Service Office of Law Enforcement Denver International Airport P.O. Box 492098 Denver, CO 80249 Phone: (303) 342-7430 Fax: (303) 342-7433

Region 7: Alaska

Fax: (907) 271-6199

Anchorage U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 190045 4600 Postmark Drive, Suite NB207 Anchorage, AK 99519 Phone: (907) 271-6198

Region 8: California and Nevada Los Angeles

U.S. Fish & Wildlife Service Office of Law Enforcement 370 Amapola Ave., #114 Torrance, CA 90501 Phone: (310) 328-6307 Fax: (310) 328-6399

Fairbanks

U.S. Fish & Wildlife Service Office of Law Enforcement 1412 Airport Way Fairbanks, AK 99701 Phone: (907) 456-2335 Fax: (907) 456-2356

San Francisco

1633 Old Bayshore Highway, Suite 248 Burlingame, CA 94010 Phone: (650) 876-9078 Fax: (650) 876-9701

APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in <u>blue</u> ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or, if applicable, on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11).
- Applications are processed in the order they are received.

SECTION A OR SECTION B:

Section A. Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. Fax and e-mail, while helpful in processing, are not required.
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing **power of attorney** must be included with the application.
- Affiliation/ Doing business as (dba): business, agency, Tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application (e.g., a taxidermist is an individual whose business can *directly* relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals (complete Section B)..

Section B. Complete if applying as a business, corporation, public agency, Tribe, or institution:

- Enter the complete name of the business, agency, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) and Office of Law Enforcement (OLE), a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs that use foreign addresses and are not required by DMA.
- Mailing address is the address to which communications from USFWS should be mailed if different from applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 Application processing fee:

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.
- Documentation of fee exempt status is not required for applications submitted by Federal, tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 Federal Fish and Wildlife permits:

• List the number(s) of your most recent issued USFWS permit. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

Section D.3 CERTIFICATION:

• The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT Paperwork Reduction Act, Privacy Act, and Freedom of Information Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

1. The gathering of information on fish and wildlife is authorized by:

(Authorizing statutes can be found at: http://www.gpoaccess.gov/cfr/index.html and http://www.fws.gov/permits/ltr/ltr.html

- a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
- b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50 CFR 17;
- c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
- d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, et. seq.), 50 CFR 18;
- e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
- f. Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
- g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
- h. General Provisions, 50 CFR 10;
- i. General Permit Procedures, 50 CFR 13; and
- j. Wildlife Provisions (Import/export/transport), 50 CFR 14.

2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to collection of information unless it displays a currently valid OMB control number.

- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - a. Routine disclosure to subject matter experts, and Federal, Tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. Routine disclosure to Federal, Tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. Routine disclosure to Federal, Tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - g. Routine disclosure to the appropriate Federal, Tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. Routine disclosure to the Government Accountability Office or Congress when the information is required for the evaluation of the permit programs.
 - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for an Import of Sport-hunted Trophies of Southern African Leopard, African Elephant, and Namibian Southern White Rhinoceros application is 20 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 2042-PDM, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

DO NOT RETURN THIS PAGE WITH THE APPLICATION