PSERC
A Short Course on
Synchronous Machines and Synchronous Condensers
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| Session | Time | Topics | Instructor |
| :---: | :---: | :---: | :---: |
| Introductions | 8:30-8:40 |  | Bradshaw |
| 1 Fundamentals of synchronous machines | 8:40-9:50 | - Energy conversion <br> - Synchronous machine construction <br> - Energy transfer in a synchronous machine <br> - Motor and generator action <br> - Phasor diagram for synchronous machines <br> - Losses <br> - Superconducting designs <br> - Power factor and torque angle <br> - Example of calculations <br> - Transients and damper windings <br> - Saturation and the magnetization curve | Heydt |
| B R E A K | 9:50-10:00 |  |  |


| 2 Synchronous condensers | $\begin{aligned} & \text { 10:00 } \\ & \text { 10:30 } \end{aligned}$ | - What is a synchronous condenser? <br> - Applications of synchronous condensers <br> - Analysis | Kalsi |
| :---: | :---: | :---: | :---: |
| 3 Superconducting synchronous condensers | $\begin{aligned} & \text { 10:30 } \\ & \text { 12:00 } \end{aligned}$ | - Superconductivity <br> - The superconducting synchronous condenser (SSC) <br> - Performance benefits of SSC in a grid | Kalsi |
| LUNCH | 12:00-1:30 |  |  |


| 4 Synchronous machine models | 1:30-2:30 | - Park's transformation <br> - Transient and subtransient reactances, formulas for calculation <br> - Machine transients | Heydt |
| :---: | :---: | :---: | :---: |
| 5 State estimation applied to synchronous generators | 2:30-3:30 | - Basics of state estimation <br> - application to synchronous generators <br> - demonstration of software to identify synchronous generator parameters | Kyriakides |


| B R E A K | $3: 30-3: 40$ |  |  |
| :--- | :--- | :--- | :--- |
| 6 Machine <br> instrumentation | $3: 40-4: 30$ | $\bullet$ DFRs <br> $\bullet$ Calculation of torque angle <br> $\bullet$ Usual machine instrumentation | Heydt, <br> Kyriakides, <br> and Kalsi |
| Question and <br> answer session | $4: 30-5: 00$ |  | All <br> participants |

## SESSION 1

# Fundamentals of synchronous machines 

## Synchronous Machines

- Example of a rotating electric machine
- DC field winding on the rotor, AC armature winding on the stator
- May function as a generator (MECHANICAL $\rightarrow$ ELECTRICAL) or a motor (ELECTRICAL $\rightarrow$ MECHANICAL)
- Origin of name: syn = equal, chronos = time


## Synchronous Machines



## Synchronous Machines

The concept of air gap flux

STATOR


ROTOR


## Synchronous Machines

- The inductance of the stator winding depends on the rotor position
- Energy is stored in the inductance
- As the rotor moves, there is a change in the energy stored
- Either energy is extracted from the magnetic field (and becomes mechanical energy - that is, its is a motor)
- Or energy is stored in the magnetic field and eventually flows into the electrical circuit that powers the stator - this is a generator


## Synchronous Machines

The basic relationships are

$$
\begin{gathered}
\text { POWER = ( TORQUE ) (SPEED) } \\
\text { ENERGY = }(1 / 2)\left(L I^{2}\right)
\end{gathered}
$$

POWER = d(ENERGY) / d(TIME)

## Synchronous Machines

Consider the case that the rotor (field) is energized by DC and the stator is energized by AC of frequency f hertz.

There will be average torque produced only when the machine rotates at the same speed as the rotating magnetic field produced by the stator.

$$
\text { RPM = ( } 120 \text { f ) / (Poles) }
$$

Example: f=60 Hz, two poles, RPM = 3600 rev/min

## Synchronous Machines

The axis of the field winding in the direction of the DC field is called the rotor direct axis or the d-axis. 90 degrees later than the d-axis is the quadrature axis (q-axis).

The basic expression for the voltage in the stator (armature) is

$$
v=r i+d N / d t
$$

Where v is the stator voltage, r is the stator resistance, and $\lambda$ is the flux linkage to the field produced by the field winding

## Synchronous Machines

## Basic AC power flow



RECEIVE


## Synchronous Machines

## Vinternal

## Vterminal

The internal voltage, often labeled E, is produced by the field interacting with the stator winding, and this is the open circuit

GENERATOR ACTION POWER FLOWS FROM MACHINE TO EXTERNAL CIRCUIT, E LEADS Vt voltage

## Synchronous Machines



The internal voltage, often labeled E, is produced by the field interacting with the stator winding, and this is the open circuit

MOTOR ACTION - POWER FLOWS FROM EXTERNAL CIRCUIT INTO THE MACHINE, E LAGS Vt voltage

## Synchronous Machines



The internal voltage, often labeled E, is produced by the field interacting with the stator winding, and this is the open circuit voltage

## Synchronous Machines

Active power will flow when there is a phase difference between Vsend and Vreceive. This is because when there is a phase difference, there will be a voltage difference across the reactance jx, and therefore there will be a current flowing in jx. After some arithmetic
Psent = [|Vsend|] [|Vreceive|] sin(torque angle) / x

## Synchronous Machines

## Example

A synchronous generator stator reactance is 190 ohms, and the internal voltage (open circuit) generated is 35 kV line to line. The machine is connected to a three phase bus whose voltage magnitude is 35 kV line-line. Find the maximum possible output power of this synchronous generator

## Synchronous Machines

## Example

Work on a per phase basis
35 kV line-line = $\mathbf{2 0 . 2} \mathbf{~ k V ~ I - n ~}$
Max P occurs when torque angle is 90 degrees
P = (20.2K)(20.2K)(sin(90))/190
= 2.1 MW per phase = 6.3 MW three phase

Vreceive

## Synchronous Machines

## Example

If the phase angle is limited to 45 degrees, find the generator power output


## Synchronous Machines

## Example

$$
P=6.3 \sin (45)=4.6 \mathrm{MW}
$$



## Synchronous Machines

Losses
Rotor: resistance; iron parts moving in a magnetic field causing currents to be generated in the rotor body; resistance of connections to the rotor (slip rings)
Stator: resistance; magnetic losses (e.g., hysteresis) Mechanical: windage; friction at bearings, friction at slip rings
Stray load losses: due to nonuniform current distribution

$$
\begin{aligned}
\text { EFFICIENCY } & =\text { OUTPUT / INPUT } \\
& =1-(\text { LOSSES }) / \text { INPUT }
\end{aligned}
$$

## Synchronous Machines

## Losses

Generally, larger machines have the higher efficiencies because some losses do not increase with machine size. For example, many generators in the 5 MW class and above have efficiencies greater than $97 \%$

But 3\% of 5 MW is still 150 kW - and for large units

- e.g. 600 MW, 3\% of 600 MW is 18 MW!
$\square$
- Damping


## Power factor

## Power factor is the cosine between voltage and current in a sinusoidal AC circuit.




## Power factor



## Power factor

 THE MACHINE POWER FACTOR AT THE TERMINALS

## Power factor



MOTOR NOTATION

## Power factor

# Note that the power factor angle is controllable by the generated voltage E and hence by the DC field excitation. 

## Basic expressions

## $\operatorname{MOTOR} \quad \mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{jl}_{\mathrm{a}} \mathrm{x}$

## GENERATOR $\mathrm{V}_{\mathrm{t}}=\mathrm{E}-\mathrm{jl}_{\mathrm{a}} \mathrm{x}$

## Power factor

Consider now a machine that:

1. Is operated at successively smaller and smaller torque angle
2. Greater and greater field excitation

## Successively smaller and smaller torque angle

The machine torque angle is made smaller and smaller by reducing the electrical load (P)
$\mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{j}_{\mathrm{a}} \mathrm{x}$


Current in the circuit

MOTOR
NOTATION

Voltage drop in reactance

## Successively smaller and smaller torque angle

The machine torque angle is made smaller and smaller by reducing the electrical load (P)
$\mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{jl}_{\mathrm{a}} \mathrm{x}$


MOTOR
NOTATION

Current in the circuit

Voltage drop in reactance

## Successively smaller and smaller torque angle

The machine torque angle is made smaller and smaller by reducing the electrical load (P)
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MOTOR
NOTATION

Voltage drop in reactance

## Successively smaller and smaller torque angle

The machine torque angle is made smaller and smaller by reducing the electrical load (P)
$\mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{j}_{\mathrm{a}} \mathrm{x}$

Current in the circuit
Vt

MOTOR
NOTATION
Voltage drop in reactance

## Successively greater field excitation

## Increasing the field excitation causes E to increase

## $\mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{j}_{\mathrm{a}} \mathrm{x}$

Current in the circuit

MOTOR
NOTATION


Vt

Voltage drop in reactance

## Successively greater field excitation

## Increasing the field excitation causes E to increase

## $\mathrm{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{j}_{\mathrm{a}} \mathrm{x}$

Current in the circuit

MOTOR
NOTATION


Voltage drop in reactance

## Successively greater field excitation

## Increasing the field excitation causes E to increase

## $\mathbf{V}_{\mathrm{t}}=\mathrm{E}+\mathrm{j}_{\mathrm{a}} \mathrm{x}$

Current in the circuit

MOTOR
NOTATION


Voltage drop in reactance

The foregoing indicates that as the machine (1) approaches zero power operation - the borderline between generator and motor operation, the active power to/from the machine goes to zero and (2) as the machine becomes overexcited, the power factor becomes $\cos (90)=0$.

As the field excitation increases, |E| increases, and the machine current becomes higher - but the power factor is still zero. And I leads Vt. In theory, there is no active power transferred, but a high and controllable level of $\mathbf{Q}$.

This mode of operation is called a synchronous condenser

## Synchronous condenser

 operation

# Synchronous condenser operation 

Nearly zero active power flow, nearly zero power factor, nearly perpendicular la and Vt, current leads terminal voltage acting as a motor, it acts as a capacitor
la

Power factor correction, reactive power support, voltage support, reactive power can be varied by varying excitation, low loss, no 'resonance problems' of conventional fixed capacitors, potentially a large source of reactive power

## Examples

A synchronous generator is rated 100 MVA. The machine is intended to be operated at rated power at torque angle $=37$ degrees. The armature resistance is $0.1 \%$, and the reactance is $85 \%$. The terminal voltage is rated 34.5 kV . Find the machine internal percent excitation and terminal pf when the machine operates at 100 MW. Estimate the armature $I^{2} R$ losses.

## Examples

$$
\begin{aligned}
P= & \frac{|V t \| E| \sin (\delta)}{x} \\
& =\frac{(1)\left(|E| \sin \left(37^{\circ}\right)\right.}{0.85} \\
& =1.41
\end{aligned}
$$

## Examples

$$
E=1.41 / 37^{\circ}
$$

## $\mathrm{Vt}=1.00 / 0^{\circ}$

$1.00 \angle 0^{\circ}+j I_{a}(0.85)=1.42 \angle 37^{\circ}$ $0.85\left|I_{a}\right| \angle \varphi+90^{\circ}=1.42 \angle 37-1.00 \angle 0^{\circ}$
$\left|I_{a}\right|=1.02$
$\phi=-8.9^{\circ}$
POWER FACTOR

$$
\cos \left(-8.9^{\circ}\right)=98.8 \% \quad L A G G I N G
$$

## Examples

A six pole synchronous generator operates at 60 Hz . Find the speed of operation

## Examples

# RPM = ( 120 f ) / (Poles) 

## $R P M=120 * 60 / 6$

## $=1200$

## Examples

A 40 MVAr synchronous condenser operates on a 34.5 kV bus. The synchronous reactance is $150 \%$. Estimate the field excitation to obtain a 30 to 40 MVAr range of reactive power.

## Examples

## $0.75 \leq Q \leq 1.0$ <br> $0.75 \leq\}\left|I_{a}\right| \leq 1.0$

$$
\begin{aligned}
& V_{t}=E+j I_{a} x \\
& 1 \angle 0=\left|E_{f}\right| \angle 0+j I_{a}(1.5) \\
& {\left[1.0-\left|E_{f}\right| \angle 0=1.5\left|I_{a}\right| \angle\left(90^{\circ}+90^{\circ}\right)\right.} \\
& A t\left|I_{a}\right|=0.75 \\
& 1.0-\left|E_{f}\right|=-1.5|0.75| \\
& \left|E_{f}\right|=2.125
\end{aligned}
$$

## Examples

$$
\begin{aligned}
& V_{t}=E+j I_{a} x \\
& 1 \angle 0=\left|E_{f}\right| \angle 0+j I_{a}(1.5) \\
& {\left[1.0-\left|E_{f}\right| \angle 0=1.5\left|I_{a}\right| \angle\left(90^{\circ}+90^{\circ}\right)\right.} \\
& \text { At }\left|I_{a}\right|=1.00 \\
& 1.0-\left|E_{f}\right|=-1.5|1.00| \\
& \left|E_{f}\right|=2.50
\end{aligned}
$$

Therefore the field excitation should be between $213 \%$ and 250 \%

## SESSION 4

## Synchronous machine models

- Saturation and the magnetization curve
- Park's transformation
- Transient and subtransient reactances, formulas for calculation
- Machine transients


## Saturation and the magnetization

 curve


SYNCHRONOUS REACTANCE = SLOPE OF AIR GAP LINE

# Saturation and the magnetization 

## curve

- Saturation occurs because of the alignment of magnetic domains. When most of the domains align, the material saturates and no little further magnetization can occur
- Saturation is mainly a property of iron -- it does not manifest itself over a practical range of fluxes in air, plastic, or other nonferrous materials
- The effect of saturation is to lower the synchronous reactance (to a 'saturated value')


# Saturation and the magnetization 

## curve

- Saturation may limit the performance of machines because of high air gap line voltage drop
- Saturation is often accompanied by hysteresis which results in losses in AC machines
- Saturation is not present in superconducting machines


## Transients and the dq transformation



## Transients and the dq transformation


$v=-r i-\dot{\lambda}$

## Transients and the dq transformation



THE BASIC IDEA IS TO WRITE THE VOLTAGE EQUATION AS IF THERE WERE ONLY A dAXIS, AND AGAIN AS IF THERE WERE ONLY A q-AXIS

ROTATION

## q-axis



## Transients and the dg transformation

$$
v=-r i-\dot{\lambda}
$$

$$
\left[\begin{array}{c}
v_{a} \\
v_{b} \\
v_{c} \\
-v_{F} \\
-v_{D} \\
-v_{G} \\
-v_{Q}
\end{array}\right]=-\left[\begin{array}{ccccccc}
r_{a} & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & r_{b} & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & r_{c} & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & r_{F} & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & r_{D} & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & r_{G} & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & r_{Q}
\end{array}\right]\left[\begin{array}{c}
i_{a} \\
i_{b} \\
i_{c} \\
i_{F} \\
i_{D} \\
i_{G} \\
i_{Q}
\end{array}\right]-\left[\begin{array}{c}
\dot{\lambda}_{a} \\
\dot{\lambda}_{b} \\
\dot{\lambda}_{c} \\
\dot{\lambda}_{F} \\
\dot{\lambda}_{D} \\
\dot{\lambda}_{G} \\
\dot{\lambda}_{Q}
\end{array}\right]
$$

## Transients and the dq transformation

$$
\mathrm{P}=\sqrt{2 / 3}\left[\begin{array}{ccc}
\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\
\cos \theta & \cos (\theta-2 \pi / 3) & \cos (\theta+2 \pi / 3) \\
\sin \theta & \sin (\theta-2 \pi / 3) & \sin (\theta+2 \pi / 3)
\end{array}\right]
$$

PARK'S TRANSFORMATION

$$
\theta=\omega_{R} t+\delta+\pi / 2
$$

BY APPLYING PARK'S TRANSFORMATION, THE TIME VARYING INDUCTANCES BECOME CONSTANTS

## Transients and the dq transformation

| $\left[\begin{array}{c}v_{0} \\ v_{d} \\ v_{q} \\ -v_{F} \\ -v_{D} \\ -v_{G} \\ -v_{Q}\end{array}\right]=-\left[\begin{array}{ccccccc}r+3 r_{n} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & r & \omega\left(L_{A Q}+\ell_{q}\right) & 0 & 0 & \omega L_{A Q} \omega L_{A Q} \\ 0 & -\omega\left(L_{A D}+\ell_{d}\right) & r & -\omega L_{A D}-\omega L_{A D} & 0 & 0 \\ 0 & 0 & 0 & r_{F} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & r_{D} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & r_{G} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & r_{Q}\end{array}\right]\left[\begin{array}{c}i_{0} \\ i_{d} \\ i_{q} \\ i_{F} \\ i_{D} \\ i_{G} \\ i_{Q}\end{array}\right]$ |  |
| ---: | :--- |
|  | $-\frac{1}{\omega_{B}} \cdot\left[\begin{array}{ccccccc}L_{0}+3 L_{n} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & L_{A D}+\ell_{d} & 0 & L_{A D} & L_{A D} & 0 & 0 \\ 0 & 0 & L_{A Q}+\ell_{q} & 0 & 0 & L_{A Q} & L_{A Q} \\ 0 & L_{A D} & 0 & L_{A D}+\ell_{F} & L_{A D} & 0 & 0 \\ 0 & L_{A D} & 0 & L_{A D} & L_{A D}+\ell_{D} & 0 & 0 \\ 0 & 0 & L_{A Q} & 0 & 0 & L_{A Q}+\ell_{G} & L_{A Q} \\ 0 & 0 & L_{A Q} & 0 & 0 & L_{A Q} & L_{A Q}+\ell_{Q}\end{array}\right]\left[\begin{array}{l}i_{0} \\ i_{d} \\ i_{G} \\ i_{q} \\ i_{F} \\ i_{D} \\ i_{G} \\ i_{Q}\end{array}\right]$ |

## Machine reactances


d-axis equivalent circuit

## Machine reactances



## Machine reactances

- These equivalent circuit parameters are traditionally obtained by a combination of manufacturers' design specifications and actual tests
- IEEE has a series of standardized tests for large generators that yield several time constants and equivalent circuit inductances
- Aging and saturation are not well accounted
- Change in operating point is not well accounted


## Machine transient and subtransient reactances

| Subtransient direct axis <br> inductance | $L_{d}^{\prime \prime}$ | $L_{d}-\frac{L_{D} L_{A D}^{2}+L_{F} L_{A D}^{2}-2 L_{A D}^{3}}{L_{F} L_{D}-L_{A D}^{2}}$ |
| :--- | :---: | :---: |
| Transient direct axis <br> inductance | $L_{d}^{\prime}$ | $\frac{L_{d}-\frac{L_{A D}^{2}}{L_{F}}}{}$ |
| Subtransient open <br> circuit time constant in <br> the direct axis | $\tau_{d o}^{\prime \prime}$ | $\frac{L_{D} L_{F}-L_{A D}^{2}}{\omega_{B} r_{D} L_{F}}$ |
| Transient open circuit <br> time constant in the <br> direct axis | $\tau_{d o}^{\prime}$ | $\frac{L_{F}}{\omega_{B} r_{F}}$ |
| Subtransient short <br> circuit time constant in <br> the direct axis | $\tau_{d}^{\prime \prime}$ | $\frac{L_{d}^{\prime \prime}}{L_{d}^{\prime}} \tau_{d o}^{\prime \prime}$ |
| Transient short circuit <br> time constant in the <br> direct axis | $\tau_{d}^{\prime}$ | $\frac{L_{d}^{\prime}}{L_{d}} \tau_{d o}^{\prime}$ |





## Machine transient and subtransient reactances

The usual procedure is that IEEE standardized tests are used to obtain inductances and time constants. Then using the formulas, circuit inductances and resistances can be solved.

INDUCTANCES

## Transient calculations

- Transients in dynamic systems are calculated as solutions of differential equations
- The usual solution approach is a numerical solution of (dX/dt) = AX + bu
- Most numerical solutions relate to the approximation of dX/dt as (delta X)/(delta t)
- Solutions are iterative in the sense that the given initial condition is used to obtain $X$ at time $t=h$; then $X(h)$ is used to obtain $\mathrm{X}(2 \mathrm{~h})$, etc.
- Popular solution methods include Matlab toolboxes, EMTP, ETMSP, PSpice
- The computer solutions could be used to compare with actual field measurements. And if there are discrepancies, the computer model could be updated to obtain better agreement - and hence a more accurate model.


## SESSION 5

## State estimation applied to

## synchronous generators

## Session topics:

- Basics of state estimation - Application to synchronous generators - Demonstration of software to identify synchronous generator parameters


## BASICS OF STATE ESTIMATION



It is desired to measure the voltage across $\boldsymbol{R}_{2}$

Assume we have two voltmeters: $A$ and $B$ Measure the voltage across R2 with both voltmeters

$$
\begin{aligned}
& V_{a}=5.1 \mathrm{~V} \\
& V_{b}=4.7 \mathrm{~V}
\end{aligned}
$$

Since the two measurements do not agree but are close to each other, average the result to estimate $V_{2}$

$$
V_{2}=\frac{V_{a}+V_{b}}{2}=\frac{5.1+4.7}{2}=4.9 \mathrm{~V}
$$

## BASICS OF STATE ESTIMATION

Now assume that we have a third voltmeter $C$ Let the measurement from $C$ be $V_{c}=15 \mathrm{~V}$ Clearly this measurement is not reliable Simple approach: disregard $V_{c}$ and estimate $V_{2}$ from $V_{a}$ and $V_{b}$ Another approach: Use weighted state estimation This means, assign appropriate weights to each of the three measurements according to the confidence that the user has to each instrument.
For example, give the following weights:

- if $B$ is the best instrument give it a weight of 20
- give a weight of 18 to $\boldsymbol{A}$
- give a weight of 1 to $C$ since it is not reliable

$$
\Rightarrow V_{2}=\frac{5.1 \times 18+4.7 \times 20+15 \times 1}{39}=5.15
$$

## BASICS OF STATE ESTIMATION

Definition: State estimation is the process of assigning a value to an unknown system state variable, using measurements from the system under study. Knowledge of the system configuration and of the accuracy of the measuring instruments is used in this process.


## EXAMPLE 1

Assume that it is desired to estimate two states (variables) Three measurements are obtained, which form the following equations

$$
\begin{aligned}
& x_{1}+x_{2}=3.1 \\
& 2 x_{1}-x_{2}=0.2 \\
& x_{1}-3 x_{2}=-4.8
\end{aligned}
$$

$$
\text { In matrix form: }\left[\begin{array}{cc}
1 & 1 \\
2 & -1 \\
1 & -3
\end{array}\right]\left[\begin{array}{l}
x_{1} \\
x_{2}
\end{array}\right]=\left[\begin{array}{c}
3.1 \\
0.2 \\
-4.8
\end{array}\right]
$$

3 measurements
$3 \times 1$ vector

The matrix equation is of the form $H x=z$

## EXAMPLE 1



Number of measurements: $n=3$
Number of states: $m=2$
Since $n>m$, the system is overdetermined Hence there is no unique solution

The solution is not unique since in general it is not possible to satisfy all the equations exactly for any choice of the unknowns.
A solution should be selected such that the error in satisfying each equation is minimum.
This error is called the residual of the solution and can be computed by,

$$
r=z-H \hat{x}
$$

$\hat{x}$ : the vector of the estimated parameters
The residual will be calculated later

## EXAMPLE 1

There are many ways to minimize the residual $r$ One of the most popular is the least squares method, which in effect minimizes the length (Euclidean norm) of the residual $r$.
This method results in a simple formula to calculate the estimated parameters
Given the system is of the form $H x=z$,the vector of the estimated parameters is given by,

$$
\hat{x}=\left(H^{T} H\right)^{-1} H^{T} z=H^{+} z
$$

$H^{+}$is called the pseudoinverse of $H$

## H x z EXAMPLE 1

$$
\left[\begin{array}{ll}
1 & 1 \\
0
\end{array}\right]\left[\begin{array}{ll}
x_{1}
\end{array}\right]\left[\begin{array}{c}
3.1 \\
0
\end{array}\right] \text { Substitute } H \text { and } z \text { in } \hat{x}=\left(H^{T} H\right)^{-1} H^{T} z
$$ and solve for the unknown states

$\hat{x}=\left[\left[\begin{array}{ccc}1 & 2 & 1 \\ 1 & -1 & -3\end{array}\right]\left[\begin{array}{cc}1 & 1 \\ 2 & -1 \\ 1 & -3\end{array}\right]\right]^{-1}\left[\begin{array}{ccc}1 & 2 & 1 \\ 1 & -1 & -3\end{array}\right]\left[\begin{array}{c}3.1 \\ 0.2 \\ -4.8\end{array}\right]$
$\Rightarrow \hat{x}=\left[\begin{array}{cc}6 & -4 \\ -4 & 11\end{array}\right]^{-1}\left[\begin{array}{c}-1.3 \\ 17.3\end{array}\right]=\left[\begin{array}{ll}0.22 & 0.08 \\ 0.08 & 0.12\end{array}\right]\left[\begin{array}{c}-1.3 \\ 17.3\end{array}\right]$
$\Rightarrow \hat{x}=\left[\begin{array}{l}1.098 \\ 1.972\end{array}\right]$

## EXAMPLE 1

To see how much error we have in the estimated parameters, we need to calculate the residual in a least squares sense

$$
J=r^{T} r=(z-H \hat{x})^{T}(z-H \hat{x})
$$

$r=H \hat{x}-z=\left[\begin{array}{cc}1 & 1 \\ 2 & -1 \\ 1 & -3\end{array}\right]\left[\begin{array}{c}1.098 \\ 1.972\end{array}\right]-\left[\begin{array}{c}3.1 \\ 0.2 \\ -4.8\end{array}\right]=\left[\begin{array}{c}-0.03 \\ 0.224 \\ -0.018\end{array}\right]$

$$
\Rightarrow J=\left[\begin{array}{lll}
-0.03 & 0.224 & -0.018
\end{array}\right]\left[\begin{array}{c}
-0.03 \\
0.224 \\
-0.018
\end{array}\right]=0.0514
$$

## WHY ARE ESTIMATORS NEEDED?

In power systems the state variables are typically the voltage magnitudes and the relative phase angles at the nodes of the system.

The available measurements may be voltage magnitudes, current, real power, or reactive power.

The estimator uses these noisy, imperfect measurements to produce a best estimate for the desired states.

## WHY ARE ESTIMATORS NEEDED?

It is not economical to have measurement devices at every node of the system

The measurement devices are subject to errors

If errors are small, these errors may go undetected

If errors are large,
the output would be useless

There are periods when the communication channels do not operate. Therefore, the system operator would not have any information about some part of the network.

# HOW DOES THE ESTIMATOR HELP? 

An estimator may:

- reduce the amount of noise in the measurements
- detect and smooth out small errors in readings
- detect and reject measurements with gross errors
- fill in missing measurements
- estimate states that otherwise are difficult to measure


## EXAMPLE 2



Assume we have a network configuration as in the figure on the left. Assume that measurements are available for $V_{1}, V_{2}$, and $V_{3}$. Find a relationship for $\mathrm{V}_{3}$ that has the following form: $V_{3}=a V_{1}+b V_{2}+c$

Available measurements

| $\mathrm{V}_{1}$ | $\mathrm{~V}_{2}$ | $\mathrm{~V}_{3}$ |
| :---: | :---: | :---: |
| 7.1 | 0 | 3.1 |
| 8.3 | 3.2 | 2.3 |
| 10.4 | 5.1 | 1.4 |
| 0 | 9.1 | 4.0 |

This is clearly an estimation problem with three unknowns (a, $b, c)$, and four measurements.
Therefore it is an overdetermined estimation problem.

Now, it is necessary to express the estimation problem mathematically

## EXAMPLE 2

Substitute the measurements obtained in the desired model $V_{3}=a V_{1}+b V_{2}+c$
$3.1=7.1 a+0 b+c$
$2.3=8.3 a+3.2 b+c$
$1.4=10.4 a+5.1 b+c$
$4.0=0 a+9.1 b+c$
In matrix form,


As in example 1, we can solve this matrix equation by taking the pseudoinverse of the H matrix

$$
\Rightarrow\left[\begin{array}{l}
\hat{a} \\
\hat{b} \\
\hat{c}
\end{array}\right]=\left[\begin{array}{ccc}
7.1 & 0 & 1 \\
8.3 & 3.2 & 1 \\
10.4 & 5.1 & 1 \\
0 & 9.1 & 1
\end{array}\right]\left[\begin{array}{l}
3.1 \\
2.3 \\
1.4 \\
4.0
\end{array}\right]=\left[\begin{array}{c}
-0.3028 \\
-0.1374 \\
5.2505
\end{array}\right]
$$

## EXAMPLE 3

## Let's work out another example:

 Estimate the relative phase angles at the buses of the figure below

Given:
$X_{12}=0.2$ p.u.
$X_{13}=0.4$ p.u.
$X_{23}=0.1$ p.u.
System base: 100 MVA

## EXAMPLE 3 <br> SOLUTION



The line flows are given by,

Let bus 1 be the reference bus
$\vartheta_{1}=0$
From the measurements:
$M_{12}=20 \mathrm{MW}=0.2$ p.u.
$M_{13}=30 \mathrm{MW}=0.3$ p.u.
$M_{32}=-60 \mathrm{MW}=-0.6$ p.u.

$$
f_{a b}=\frac{1}{X_{a b}}\left(\vartheta_{a}-\vartheta_{b}\right)=M_{a b}
$$

The above formula can be shown considering a simple two bus arrangement
 $P \approx \underline{\left|V_{1}\right|\left|V_{2}\right| \sin \left(\delta_{1}-\delta_{2}\right)}$ Since $V_{1}$ and $V_{2}$ are approximately 1 p.u., and the $X \quad$ angle $\delta_{1}-\delta_{2}$ is small, $P$ can be obtained as,

$$
P \approx \frac{\delta_{1}-\delta_{2}}{X}
$$

## EXAMPLE 3

Hence, $f_{12}=\frac{1}{X_{12}}\left(\vartheta_{1}-\vartheta_{2}\right)=\frac{1}{0.2}\left(0-\vartheta_{2}\right)=-5 \vartheta_{2}=0.2$

$$
\begin{aligned}
& f_{13}=\frac{1}{X_{13}}\left(\vartheta_{1}-\vartheta_{3}\right)=\frac{1}{0.4}\left(0-\vartheta_{3}\right)=-2.5 \vartheta_{3}=0.3 \\
& f_{32}=\frac{1}{X_{32}}\left(\vartheta_{3}-\vartheta_{2}\right)=\frac{1}{0.1}\left(\vartheta_{3}-\vartheta_{2}\right)=10 \vartheta_{3}-10 \vartheta_{2}=-0.6
\end{aligned}
$$

In matrix form, $\left[\begin{array}{cc}-5 & 0 \\ 0 & -2.5 \\ -10 & 10\end{array}\right]\left[\begin{array}{l}\vartheta_{2} \\ \vartheta_{3}\end{array}\right]=\left[\begin{array}{c}0.2 \\ 0.3 \\ -0.6\end{array}\right]$
This is again of the form $H x=z$, and is solved as in example 1:
$\hat{\vartheta}=\left[\left[\begin{array}{ccc}-5 & 0 & -10 \\ 0 & -2.5 & 10\end{array}\right]\left[\begin{array}{cc}-5 & 0 \\ 0 & -2.5 \\ -10 & 10\end{array}\right]\right]^{-1}\left[\begin{array}{ccc}-5 & 0 & -10 \\ 0 & -2.5 & 10\end{array}\right]\left[\begin{array}{c}0.2 \\ 0.3 \\ -0.6\end{array}\right]$
$\Rightarrow\left[\begin{array}{l}\hat{\vartheta}_{2} \\ \hat{\vartheta}_{3}\end{array}\right]=\left[\begin{array}{l}-0.0438 \\ -0.1048\end{array}\right] \mathrm{rad}$

# APPLICATION OF STATE ESTIMATION TO SYNCHRONOUS GENERATORS 

- Need to know the operating parameters of generators to $\rightarrow$ perform studies
$\rightarrow$ study behavior of the system at various operating levels
$\rightarrow$ perform postmortem analysis
- Meet requirements for machine testing (e.g. NERC)
- To reestablish machine parameters after a repair
- Fault identification / signature analysis
- Incipient event identification


## APPLICATION OF STATE ESTIMATION TO SYNCHRONOUS GENERATORS

Problems:
Generator parameters change with operating point, aging Cannot measure parameters while generator is committed Cannot afford to decommit unit in order to measure its parameters

## Solution:

Use available terminal measurements, knowledge of the model of the generator, and state estimation, to approximate the required parameters

To do that, it is necessary to develop a model for the synchronous generator

## SYNCHRONOUS GENERATOR REPRESENTATION



## SYNCHRONOUS GENERATOR MODEL



Schematic diagram of a synchronous generator

## DEVELOPMENT OF SYNCHRONOUS GENERATOR MODEL



## DEVELOPMENT OF SYNCHRONOUS GENERATOR MODEL

$$
\lambda_{0 d q}=P \lambda_{a b c}
$$

$$
i_{0 d q}=P i_{a b c}
$$

$$
v_{0 d q}=P v_{a b c}
$$

$$
P=\sqrt{2 / 3}\left[\begin{array}{ccc}
1 / \sqrt{2} & 1 / \sqrt{2} & 1 / \sqrt{2} \\
\cos \vartheta & \cos (\vartheta-2 \pi / 3) & \cos (\vartheta+2 \pi / 3) \\
\sin \vartheta & \sin (\vartheta-2 \pi / 3) & \sin (\vartheta+2 \pi / 3)
\end{array}\right]
$$

Resulting model:

$$
\left[\begin{array}{c}
v_{0 d q_{3 x 1}} \\
v_{F D G Q_{4 x 1}}
\end{array}\right]=-\left[R_{7 x 7}\right]\left[\begin{array}{c}
i_{0 d q_{3 x 1}} \\
i_{F D G Q_{4 x 1}}
\end{array}\right]-\left[L_{7 x 7}\right]\left[\begin{array}{c}
\dot{i}_{0 d q_{3 x 1}} \\
\dot{i}_{F D G Q_{4 x 1}}
\end{array}\right]
$$

## SYNCHRONOUS GENERATOR MODEL

$\left[\begin{array}{c}v_{0} \\ v_{d} \\ v_{q} \\ -v_{F} \\ -v_{D} \\ -v_{G} \\ -v_{Q}\end{array}\right]=-\left[\begin{array}{ccccccc}r+3 r_{n} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & r & \omega\left(L_{A Q}+\ell_{q}\right) & 0 & 0 & \omega L_{A Q} \omega L_{A Q} \\ 0 & -\omega\left(L_{A D}+\ell_{d}\right) & r & -\omega L_{A D}-\omega L_{A D} & 0 & 0 \\ 0 & 0 & 0 & r_{F} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & r_{D} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & r_{G} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & r_{Q}\end{array}\right]\left[\begin{array}{l}i_{0} \\ i_{d} \\ i_{q} \\ i_{F} \\ \omega_{F} \\ i_{D} \\ i_{G} \\ i_{Q}\end{array}\right]$

$-\left[\begin{array}{ccccccc}L_{0}+3 L_{n} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & L_{A D}+\ell_{d} & 0 & L_{A D} & L_{A D} & 0 & 0 \\ 0 & 0 & L_{A Q}+\ell_{q} & 0 & 0 & L_{A Q} & L_{A Q} \\ 0 & L_{A D} & 0 & L_{A D}+\ell_{F} & L_{A D} & 0 & 0 \\ 0 & L_{A D} & 0 & L_{A D} & L_{A D}+\ell_{D} & 0 & 0 \\ 0 & 0 & L_{A Q} & 0 & 0 & L_{A Q}+\ell_{G} & L_{A Q} \\ 0 & 0 & L_{A Q} & 0 & 0 & L_{A Q} & L_{A Q}+\ell_{Q}\end{array}\right]\left[\begin{array}{l}i_{0} \\ i_{d} \\ i_{q} \\ i_{F} \\ i_{F} \\ i_{D} \\ i_{G} \\ i_{Q}\end{array}\right]$

## MODEL DISCUSSION

After the development of the model it is necessary to carefully examine the available information about the system, find out what is known in the model, what is unknown and needs to be calculated or assumed, and what is desired to be estimated.

For the synchronous generator case,

Measured/Known
line-to-line terminal voltages
line currents
field voltage (for an exciter with brushes)
field current (for an exciter with brushes)

Unknown
damper currents
current derivatives

Finally, some of the parameters need to be estimated through state estimation, while the other parameters need to be calculated from manufacturer's data

## STATE ESTIMATOR CONFIGURATION

## EXAMPLE

Estimate $L_{A D}, L_{A Q}$, and $r_{F}$

- Calculate current derivatives by using $i(t) \approx \frac{i(t+\Delta t)-i(t)}{\Delta t}$
- Rearrange system in the form $H x=z$


# DEMONSTRATION OF PROTOTYPE APPLICATION FOR PARAMETER ESTIMATION 

-Prototype application developed in Visual C++
-Portable, independent application
-Runs under Windows
-Purpose: Read measurements from DFR and use manufacturer's data to estimate generator parameters

## SESSION 6

Machine instrumentation

## Session topics:

## -Digital Fault Recorders (DFRs) <br> - Calculation of torque angle

## DIGITAL FAULT RECORDERS (DFRs)

A DFR is effectively a data acquisition system that is used to monitor the performance of generation and transmission equipment.

It is predominantly utilized to monitor system performance during stressed conditions. For example, if a lightning strikes a transmission line, the fault recognition by protective relays and the fault clearance by circuit breakers takes only about 50 to 83 ms .

This process is too fast for human intervention. Therefore, the DFR saves a record of the desired signals (e.g. power and current), and transmits this record to the central offices over a modem, where a utility engineer can perform postevent analysis to determine if the relays, circuit breakers and other equipment functioned properly.

## DIGITAL FAULT RECORDERS (DFRs)



The DFR sends the measured signals to a central pc station through a modem

## DIGITAL FAULT RECORDERS (DFRs)



Typical graphics window showing a snapshot of the measured signals

## TYPICAL DFR SPECIFICATIONS

Data files are stored in COMTRADE IEEE format The DFR can be configured to create transient records and continuous records<br>Can be used during disturbances, abnormal conditions, and normal conditions

Typical specifications:
Analog channels: $8,16,24$, or 32
Digital channels: 16, 32, 48, or 64
Sample rate: 24-192 samples/min
Operating voltage: 48VDC, 125VDC, 250VDC, 120VAC

## CALCULATION OF TORQUE ANGLE

The torque angle $\delta$ is defined as the angle between the machine emf $E$ and the terminal voltage $V$ as shown in the phasor diagram


## CALCULATION OF TORQUE ANGLE

The torque angle can be calculated in different ways depending on what information is available
Two ways to calculate the torque angle will be shown:

1. Using line to line voltages and line currents (stator frame of reference)
2. Using voltages and currents in the rotor frame of reference (0dq quantities)

## CALCULATION OF TORQUE ANGLE IN THE STATOR REFERENCE FRAME

Known quantities:
Line to line voltages ( $v_{a b}, v_{b c}, v_{c a}$ )
Line currents ( $i_{a}, i_{b}, i_{c}$ )
Procedure:

1. Calculate phase voltages

$$
\begin{aligned}
& v_{a}=\frac{1}{3}\left(v_{a b}-v_{c a}\right) \\
& v_{b}=\frac{1}{3}\left(-v_{a b}+v_{b c}\right) \\
& v_{c}=\frac{1}{3}\left(-v_{b c}+v_{c a}\right)
\end{aligned}
$$

2. Calculate three phase active and reactive power

$$
\begin{aligned}
& P=v_{a b} i_{a}-v_{b c} i_{c} \\
& Q=\left(v_{a b} i_{c}+v_{b c} i_{a}+v_{c a} i_{b}\right) / \sqrt{3}
\end{aligned}
$$

3. Calculate the power factor

$$
\varphi=\tan ^{-1} \frac{Q}{P}
$$

## CALCULATION OF TORQUE ANGLE IN THE STATOR REFERENCE FRAME

4. Calculate the voltage angle for each phase

For a balanced 3-phase system,
$v_{a}=v_{m} \cos \theta$
$v_{b}=v_{m} \cos (\theta-120)$
$v_{c}=v_{m} \cos (\theta+120)$

For phase $a$, use phases $b$ and $c$
$v_{b}=v_{m} \cos \theta \cos 120+v_{m} \sin \theta \sin 120=-\frac{1}{2} v_{m} \cos \theta+\frac{\sqrt{3}}{2} v_{m} \sin \theta$
$v_{c}=v_{m} \cos \theta \cos 120-v_{m} \sin \theta \sin 120=-\frac{1}{2} v_{m} \cos \theta-\frac{\sqrt{3}}{2} v_{m} \sin \theta$
It can be observed that, $v_{b}+v_{c}=-v_{m} \cos \theta$

$$
v_{b}-v_{c}=\sqrt{3} v_{m} \sin \theta
$$

$$
\begin{aligned}
& \Rightarrow \frac{v_{b}+v_{c}}{v_{b}-v_{c}}=-\frac{1}{\sqrt{3}} \cot \theta \\
& \Rightarrow \tan \theta=-\frac{1}{\sqrt{3}} \frac{v_{b}-v_{c}}{v_{b}+v_{c}}
\end{aligned}
$$

## CALCULATION OF TORQUE ANGLE IN THE STATOR REFERENCE FRAME

The angles for the other phases can be calculated in a similar fashion. The angles for all phases are given by,

$$
\begin{aligned}
& \theta_{v_{a}}=\tan ^{-1}\left(\frac{-1}{\sqrt{3}} \frac{\left(v_{b}-v_{c}\right)}{\left(v_{b}+v_{c}\right)}\right) \\
& \theta_{v_{b}}=\tan ^{-1}\left(\frac{-1}{\sqrt{3}} \frac{\left(v_{c}-v_{a}\right)}{\left(v_{c}+v_{a}\right)}\right) \\
& \theta_{v_{c}}=\tan ^{-1}\left(\frac{-1}{\sqrt{3}} \frac{\left(v_{a}-v_{b}\right)}{\left(v_{a}+v_{b}\right)}\right)
\end{aligned}
$$

5. Find the angle of $i_{a}$

Using the above procedure,

$$
\theta_{i_{a}}=\tan ^{-1}\left(\frac{-1}{\sqrt{3}} \frac{\left(i_{b}-i_{c}\right)}{\left(i_{b}+i_{c}\right)}\right)
$$

## CALCULATION OF TORQUE ANGLE IN THE STATOR REFERENCE FRAME

6. Calculate the instantaneous line to neutral rms voltage for phase a

$$
V_{t_{m s}}=-\frac{v_{b c}+v_{c a}}{\sqrt{2} \sqrt{3} \cos \theta_{v_{a}}}
$$

7. Calculate the machine generated emf

$$
\bar{E}_{t}=V_{t}+\left(r+j x_{q}\right) I_{a}=\left|E_{t}\right| \angle \delta
$$

$\bar{\delta}$ is the torque angle

## CALCULATION OF TORQUE ANGLE IN THE ROTOR REFERENCE FRAME

Known quantities:
Odq voltages ( $v_{0}, v_{d,} v_{q}$ )
Odq currents ( $\left.i_{0}, i_{d,} i_{q}\right)$
Procedure:

1. Calculate the active and reactive power

$$
\begin{aligned}
& P=v_{d} i_{d}+v_{q} i_{q} \\
& Q=v_{q} i_{d}-v_{d} i_{q}
\end{aligned}
$$

2. Calculate the terminal voltage

$$
\bar{E}_{t}=V_{d}+j V_{q}=\left|E_{t}\right| \angle \gamma
$$

## CALCULATION OF TORQUE ANGLE IN THE ROTOR REFERENCE FRAME

3. Calculate the terminal current
$I_{t}=\frac{\sqrt{P^{2}+Q^{2}}}{\left|E_{t}\right|}$
4. Calculate the power factor angle

$$
\varphi=\cos ^{-1}\left(\frac{P}{E_{t} I_{t}}\right)
$$

5. Calculate the torque angle

$$
\delta=\tan ^{-1} \frac{\left(x_{q} I_{t} \cos \varphi-r I_{t} \sin \varphi\right)}{\left(E_{t}+r I_{t} \cos \varphi+x_{q} I_{t} \sin \varphi\right)}
$$

## SESSION 7

## Question and answer

## session

