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### January 2007

In compliance with KRS 164.250, I am pleased to provide you with the 2006-2007 Fact Booklet, a collection of current facts and statistics about the people and programs at the University of Kentucky. All those with a stake in UK's success will find many items of interest in this booklet, including statistics on enrollments, retention, research expenditures, and faculty salaries. A summary of the 2006-2007 Operating Budget is also presented.

Last October, the UK Board of Trustees approved the 2006-2009 Strategic Plan developed under the leadership of Provost Kumble Subbaswamy. The new Plan was accompanied by Measures of Progress that will be used to judge our effectiveness as we propel UK up the ranks of world-class research institutions. Many of the performance indicators listed in the Measures of Progress are summarized here.

In fall 2006, we enrolled over 27,000 students, the largest number of students ever to attend the University. In addition, nearly 4,200 first-years students began their college careers at UK, establishing a new record for the largest entering class. We are especially proud that the number of African American first-year students more than doubled, from 143 in 2005 to 294 in 2006. UK also succeeded in attracting significantly more students who participated in the Governor's Scholars and Governor's School for the Arts Programs, up 16 percent from fall 2005. The 3,519 baccalaureate degrees conferred in 2005-06 set another UK record, increasing by seven percent from the previous year.

The increase in the number and talent of our students is part of our Top 20 Business Plan. The Business Plan calls for recruiting a substantial number of additional faculty members to reduce class sizes and improve our research and engagement efforts. We will provide competitive salaries, benefits and professional support to retain and

attract outstanding faculty and staff. Faculty salaries are now at 89 percent of our benchmark institutions' median salary. We aim to reach the median by 2012.

Several new construction projects are creating the space needed for our teaching, research and service programs. Over the last 18 months, we have completed \$6.7 million in renovations to our classrooms and laboratories. In the planning and design stage are a number of facilities, including those focused on Student Health and Patient Care, a Biological Pharmaceutical Complex Building, a Horticultural Research and Education Facility, and a renovated Livestock Disease Diagnostic Lab.

States with great universities have better educated and healthier citizens. The University of Kentucky is committed to research and scholarship that will truly engage the communities we serve. We plan for students to play an important role in our engagement efforts, which will provide them with new learning opportunities for self-growth and civic involvement. Elevating UK to Top 20 status will require hard work over the next 14 years, but the result of our efforts will not only produce a stronger university but also make Kentucky a better place for our children.

Sincerely,

Lee T. Todd, Jr.

Lu J. Josep

President

## **VISION**

The University of Kentucky will be one of the nation's 20 best public research universities, an institution recognized world-wide for excellence in teaching, research, and service and a catalyst for intellectual, social, cultural, and economic development.

# **MISSION**

The University of Kentucky is a public, research-extensive, land grant university dedicated to improving people's lives through excellence in teaching, research, health care, cultural enrichment, and economic development.

### The University of Kentucky:

- Facilitates learning, informed by scholarship and research.
- Expands knowledge through research, scholarship and creative activity.
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity.

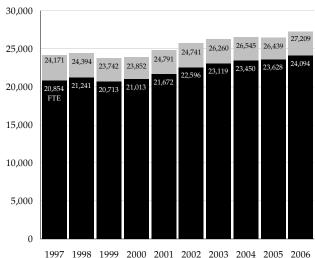
-- Adopted by the Board of Trustees, October 10, 2006

# ENROLLMENT - Headcount and Full-time Equivalent

### Fall 2006 Headcount

| <u>Level</u>     | Full-time | Part-time | <u>Total</u> |
|------------------|-----------|-----------|--------------|
| Undergraduate    | 17,476    | 1,816     | 19,292       |
| Graduate         | 2,769     | 2,815     | 5,584        |
| First Profession | nal 1,500 | 6         | 1,506        |
| Subtotal (IPED   | s) 21,745 | 4,637     | 26,382       |
| UG Auditors      | 0         | 36        | 36           |
| Postdoctoral     | 282       | 0         | 282          |
| House Staff      | 509       | 0         | 509          |
| Total (CPE)      | 22,536    | 4,673     | 27,209       |

Note: FTE figures have been re-calculated in accordance with CPE's guidelines, which call for adding the number of full-time students to one-third of the part-time students enrolled.



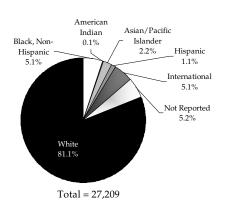
1997 1998 1999 2000 2001 2002 2003 2004 2005 200 Fall Semester

# ENROLLMENT - Fall 2006 at a Glance

|              |        | % of<br><u>Total</u> |                           |        | % of<br><u>Total</u> |
|--------------|--------|----------------------|---------------------------|--------|----------------------|
| Men          | 12,994 | 47.8                 | Freshmen                  | 5,452  | 20.0                 |
| Women        | 14,215 | 52.2                 | Sophomores                | 3,976  | 14.6                 |
| Total        | 27,209 | 100.0                | Juniors                   | 4,102  | 15.1                 |
|              |        |                      | Seniors                   | 5,430  | 20.0                 |
|              |        |                      | UG Non-degree             | 368    | 1.4                  |
| Full-time    | 22,536 | 82.8                 | Subtotal Undergraduate    | 19,328 | 71.0                 |
| Part-time    | 4,673  | 17.2                 | Master/Specialist         | 2,870  | 10.5                 |
| Total        | 27,209 | 100.0                | Doctoral                  | 2,251  | 8.3                  |
|              |        |                      | Graduate Non-degree       | 463    | 1.7                  |
|              |        |                      | Subtotal Graduate         | 5,584  | 20.5                 |
| Resident     | 20,918 | 76.9                 | First Professional        | 1,506  | 5.5                  |
| Non-resident | 6,291  | 23.1                 | House Staff/Post Doctoral | 791    | 2.9                  |
| Total        | 27,209 | 100.0                | Total                     | 27,209 | 100.0                |

# ENROLLMENT - Fall 2006 by Race/Ethnicity

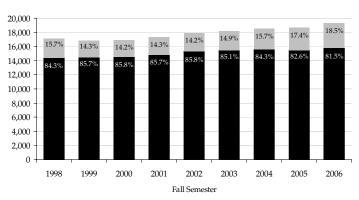
|                |            |       | First | Post | Hous  | e            |
|----------------|------------|-------|-------|------|-------|--------------|
|                | Undergrad. | Grad. | Prof. | Doc. | Staff | <u>Total</u> |
| Black,         |            |       |       |      |       |              |
| Non-Hispanic   | 1,052      | 269   | 64    | 2    | 5     | 1,392        |
| Amer. Indian/  |            |       |       |      |       |              |
| Alaskan Native | 21         | 5     | 1     | 0    | 0     | 27           |
| Asian/Pacific  |            |       |       |      |       |              |
| Islander       | 409        | 92    | 65    | 17   | 24    | 607          |
| Hispanic       | 212        | 71    | 14    | 2    | 8     | 307          |
| International  | 185        | 1,017 | 19    | 148  | 22    | 1,391        |
| Not Reported   | 540        | 452   | 142   | 85   | 195   | 1,414        |
| White          | 16,909     | 3,678 | 1,201 | 28   | 255   | 22,071       |
| Total          | 19,328     | 5,584 | 1,506 | 282  | 509   | 27,209       |



# UNDERGRADUATES - by Residency Status

#### Number of Undergraduates Enrolled by Residency Status

|           | <b>Kentucky</b> | Out-of-State    |
|-----------|-----------------|-----------------|
|           | Residents       | <b>Students</b> |
| Fall 2006 | 15,757          | 3,571           |
| Fall 2005 | 15,481          | 3,251           |
| Fall 2004 | 15,587          | 2,905           |
| Fall 2003 | 15,486          | 2,704           |
| Fall 2002 | 15,348          | 2,530           |
| Fall 2001 | 14,820          | 2,464           |
| Fall 2000 | 14,499          | 2,400           |
| Fall 1999 | 14,441          | 2,406           |
| Fall 1998 | 14,461          | 2,696           |
|           |                 |                 |



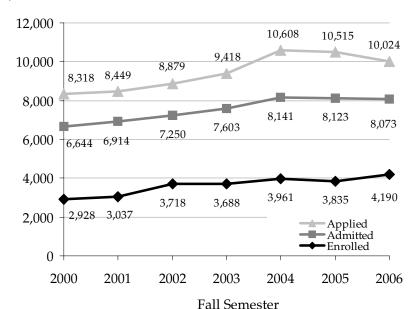
■ Kentucky Residents ■ Out-of-State Students

# APPLIED, ADMITTED and ENROLLED

### First-year Students Fall 2006

Applied 10,024 Admitted 8,073 Enrolled 4,190

Admit. Rate 80.5% Yield Rate 51.9%

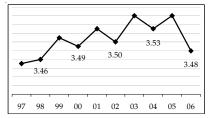


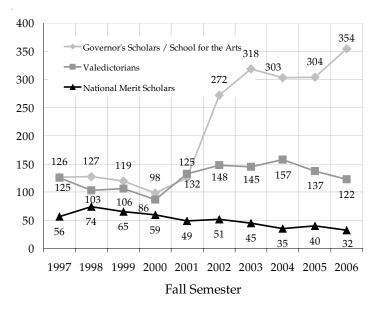
## FIRST-YEAR STUDENT PROFILE

In fall 2006, the University enrolled 4,190 first-year students, with 34% of the class presenting a high school GPA of 3.8 or higher, and including:

- 354 Governor's Scholars and Governor's School for the Arts
- 122 High School Valedictorians32 National Merit Scholars

Average HS GPA of Total First-year Class





# ACT Scores - Entering First-year Students

|             |          |             | 25th/75th         |
|-------------|----------|-------------|-------------------|
| <u>Year</u> | <u>N</u> | <u>Mean</u> | <b>Percentile</b> |
| Fall 2006   | 3,799    | 23.9        | 21/26             |
| Fall 2005   | 3,427    | 24.6        | 22/27             |
| Fall 2004   | 3,581    | 24.2        | 21/27             |
| Fall 2003   | 3,356    | 24.3        | 22/27             |
| Fall 2002   | 3,362    | 23.8        | 21/26             |

24.1

24.0

24.3

21/26

21/26

22/27

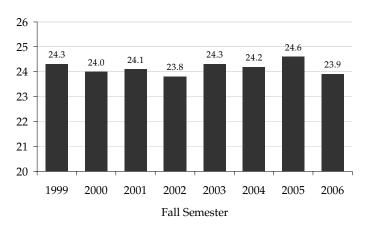
Note: Some students submit SAT scores rather than ACT scores. These students' scores are not reflected in the table and graph.

Fall 2001 2,875

Fall 2000 2,754

Fall 1999 2,532

### Average ACT Scores of First-year Students



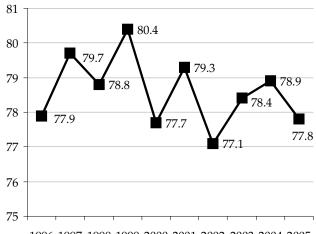
## RETENTION RATE

### First-to-second Year Retention Rate\*

|   |               |                |         | Black,       |              |
|---|---------------|----------------|---------|--------------|--------------|
| ( | <u>Cohort</u> | <u>Overall</u> | White 1 | Non-Hispanic | <u>Other</u> |
|   | 2005          | 77.8           | 78.0    | 76.1         | 76.4         |
|   | 2004          | 78.9           | 79.6    | 69.0         | 80.5         |
|   | 2003          | 78.4           | 78.4    | 72.8         | 83.1         |
|   | 2002          | 77.1           | 77.3    | 78.0         | 71.5         |
|   | 2001          | 79.3           | 79.3    | 77.5         | 81.1         |
|   | 2000          | 77.7           | 77.7    | 77.7         | 79.7         |
|   | 1999          | 80.4           | 80.7    | 77.2         | 78.0         |
|   | 1998          | 78.8           | 79.0    | 80.3         | 71.5         |
|   | 1997          | 79.7           | 80.1    | 79.7         | 70.9         |
|   | 1996          | 77.9           | 78.3    | 73.8         | 77.0         |

<sup>\*</sup>Retention rates apply to first-time, full-time, degreeseeking students; the fall 2005 cohort numbers are preliminary.

#### First-to-second Year Overall Retention Rate



1996 1997 1998 1999 2000 2001 2002 2003 2004 2005

Fall Cohort

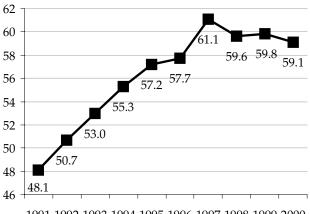
## **GRADUATION RATE**

### Six-year Graduation Rate\*

|    |              |                |              | Black,       |              |
|----|--------------|----------------|--------------|--------------|--------------|
| Co | <u>ohort</u> | <u>Overall</u> | <b>White</b> | Non-Hispanic | <u>Other</u> |
| 2  | 2000         | 59.1           | 60.6         | 45.0         | 50.5         |
| -  | 1999         | 59.8           | 60.8         | 46.2         | 57.1         |
| -  | 1998         | 59.6           | 60.2         | 49.7         | 61.4         |
| -  | 1997         | 61.1           | 62.2         | 49.0         | 59.4         |
| -  | 1996         | 57.7           | 59.1         | 43.2         | 53.9         |
| -  | 1995         | 57.2           | 58.2         | 38.5         | 63.2         |
| -  | 1994         | 55.3           | 57.1         | 35.4         | 48.2         |
|    | 1993         | 53.0           | 54.8         | 32.5         | 52.3         |
| -  | 1992         | 50.7           | 52.0         | 36.1         | 46.6         |
|    | 1991         | 48.1           | 49.3         | 34.4         | 45.9         |

\*Graduation rates apply to first-time, full-time, degreeseeking students; the fall 2000 cohort numbers are preliminary.

### Six-year Overall Graduation Rate



1991 1992 1993 1994 1995 1996 1997 1998 1999 2000

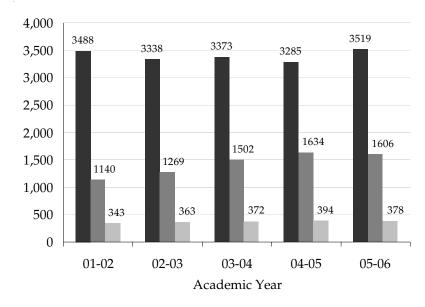
Fall Cohort

## DEGREES CONFERRED

### 2005-2006

■ Baccalaureate 3,519 Graduate 1,606

First Professional 378



# DEGREES CONFERRED - By College

### 2005-2006

|                       |       |        |      | First |                 |       |        |      | First |
|-----------------------|-------|--------|------|-------|-----------------|-------|--------|------|-------|
| <u>College</u>        | Bacc. | Mast.* | Doc. | Prof. | <u>College</u>  | Bacc. | Mast.* | Doc. | Prof. |
| Agriculture           | 442   | 57     | 22   | -     | Grad. School    | -     | 99     | 10   | -     |
| Arts & Sciences       | 975   | 133    | 71   | -     | Health Sciences | 94    | 122    | 3    | -     |
| Business & Economics  | 648   | 178    | 11   | -     | Law             | -     | -      | -    | 141   |
| Comm. & Info. Studies | 382   | 118    | 8    | -     | Medicine        | -     | 9      | 29   | 91    |
| Dentistry             | -     | 3      | -    | 49    | Nursing         | 81    | 40     | 14   | -     |
| Design                | 110   | 10     | -    | -     | Pharmacy        | -     | 4      | 6    | 97    |
| Education             | 328   | 263    | 31   | -     | Public Health   | -     | 29     | 11   | -     |
| Engineering           | 280   | 158    | 29   | -     | Social Work     | 55    | 100    | 6    | _     |
| Fine Arts             | 124   | 27     | 5    | -     | Total           | 3,519 | 1,350  | 256  | 378   |

<sup>\*</sup> Includes Specialist degrees

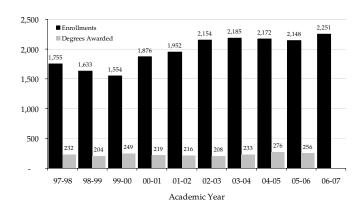
# DOCTORATES - Enrollments and Degrees Awarded

|             | <u>Fall</u>       | <b>Degrees</b> |
|-------------|-------------------|----------------|
| <u>Year</u> | <b>Enrollment</b> | <b>Awarded</b> |
| 2006-07     | 2,251             |                |
| 2005-06     | 2,148             | 256            |
| 2004-05     | 2,172             | 276            |
| 2003-04     | 2,185             | 233            |
| 2002-03     | 2,154             | 208            |
| 2001-02     | 1,952             | 216            |
| 2000-01     | 1,876             | 219            |
| 1999-00     | 1,554             | 249            |
| 1998-99     | 1,633             | 204            |
| 1997-98     | 1,755             | 232            |
|             |                   |                |

Note1: Doctoral enrollments are based on the number of students enrolled in doctoral programs during the fall semester of a given academic year.

Note2: The number of doctoral degree recipients for 2006-07 is not yet available.

#### Number of Doctoral Students Enrolled and Doctoral Degree Recipients



## ANNUAL TUITION and FEES

Fees

### <u>2006-2007</u>

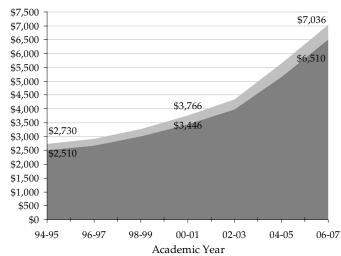
**Tuition** 

Resident

| □Graduate                 | \$ 6,308                 | \$ 728                |
|---------------------------|--------------------------|-----------------------|
| ■Undergraduate            |                          |                       |
| - Lower Division          | 5,782                    | 728                   |
| - Upper Division          | 5,970                    | 728                   |
|                           |                          |                       |
|                           |                          |                       |
| Non-resident              | <b>Tuition</b>           | <u>Fees</u>           |
| Non-resident<br>Graduate  | <b>Tuition</b> \$ 14,426 | <u>Fees</u><br>\$ 728 |
|                           |                          |                       |
| Graduate                  |                          |                       |
| Graduate<br>Undergraduate | \$ 14,426                | \$ 728                |

Note: Beginning in 2004-05, undergraduates in upper and lower divisions are charged different rates of tuition. The tuition rate in the graph reflects the rate for lower division students.

#### Resident Tuition and Fees Combined



## **FULL-TIME EMPLOYEES**

### 2005-2006

|                         | <b>Educational</b> | Hospital    |              |         |
|-------------------------|--------------------|-------------|--------------|---------|
| Full-time Employees     | & General          | & Auxiliary | <b>Total</b> | % Total |
| Exec./Admin./Managerial | 322                | 111         | 433          | 3.8%    |
| Faculty                 | 1,942              | 0           | 1,942        | 16.8%   |
| Other Professional      | 2,192              | 2,000       | 4,192        | 36.3%   |
| Secretarial/Clerical    | 1,428              | 828         | 2,256        | 19.5%   |
| Tech./Paraprofessional  | 924                | 344         | 1,268        | 11.0%   |
| Skilled Crafts          | 167                | 38          | 205          | 1.8%    |
| Service/Maintenance     | 723                | 507         | 1,230        | 10.7%   |
| Total                   | 7,718              | 3,828       | 11,546       | 100%    |

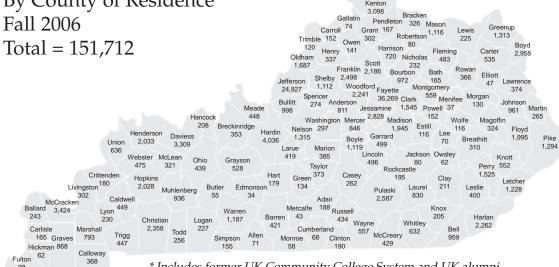
## FULL-TIME EMPLOYEE DEMOGRAPHICS

### 2005-2006

|                         | Black,       | American<br>Indian/<br>Alaskan | Asian/<br>Pacific |                 |              |               |       |
|-------------------------|--------------|--------------------------------|-------------------|-----------------|--------------|---------------|-------|
| Full-time Employees     | Non-Hispanic | <u>Native</u>                  |                   | <b>Hispanic</b> | <b>White</b> | <u>Female</u> | Male  |
| Exec./Admin./Managerial | 16           | 0                              | 6                 | 1               | 410          | 197           | 236   |
| Faculty                 | 66           | 3                              | 194               | 22              | 1,657        | 645           | 1,297 |
| Other Professional      | 156          | 7                              | 184               | 13              | 3,832        | 2,853         | 1,339 |
| Secretarial/Clerical    | 297          | 1                              | 23                | 6               | 1,929        | 2,009         | 247   |
| Tech./Paraprofessional  | 109          | 0                              | 49                | 10              | 1,100        | 747           | 521   |
| Skilled Crafts          | 29           | 0                              | 3                 | 0               | 173          | 4             | 201   |
| Service/Maintenance     | 415          | 1                              | 16                | 5               | 793          | 541           | 689   |
| Total                   | 1,088        | 12                             | 475               | 57              | 9,894        | 6,996         | 4,530 |

### KENTUCKY ALUMNI

By County of Residence\* Fall 2006



<sup>\*</sup> Includes former UK Community College System and UK alumni

## KENTUCKY STUDENTS

Hancock

Logan

Grayson

25

Warren

Simpson

By County of Origin Fall 2006 Total = 19,607

Crittenden

Lvon

18

Marshall

Calloway

Carlisle

Fulton 12

Hickman 77

12 Graves

Caldwell

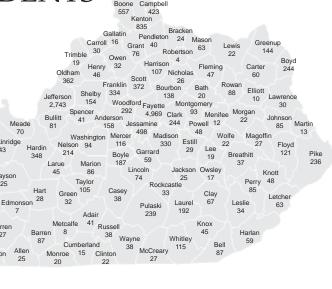
Trigg

Hopkins

Christian

157

Todd

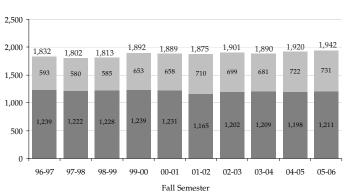


### FULL-TIME FACULTY - Historical Trends

#### Number of Full-time Faculty by Year

|            | <u>Instructional</u> | <u>Other</u>   |
|------------|----------------------|----------------|
|            | <b>Faculty</b>       | <b>Faculty</b> |
| 2005-2006  | 1,211                | 731            |
| 2004-2005  | 1,198                | 722            |
| 2003-2004  | 1,209                | 681            |
| 2002-2003  | 1,202                | 699            |
| 2001-2002* | 1,165                | 710            |
| 2000-2001  | 1,231                | 658            |
| 1999-2000  | 1,239                | 653            |
| 1998-1999  | 1,228                | 585            |
| 1997-1998  | 1,222                | 580            |
| 1996-1997  | 1,239                | 593            |

Note: Beginning in 2001-2002, changes in the federal definition of 'Instuctional Faculty' excluded 'Extension Faculty;' however, Lecturers are now included. 'Other Faculty' now includes those with Extension, Clinical, Research and Library faculty series designations.



■Instructional Faculty ■Other Faculty

## FACULTY DEMOGRAPHICS

# Tenured and Tenure-track Faculty 2005-2006

|                      |          | ack,<br>Iispanic | America:<br>Alaskar | n Indian<br>Native |                          | sian/<br>slander | Hisp     | anic     | W        | hite     |
|----------------------|----------|------------------|---------------------|--------------------|--------------------------|------------------|----------|----------|----------|----------|
| Full-time Faculty    | <u>M</u> | <u> </u>         | <u>M</u>            | <u>F</u>           | $\underline{\mathbf{M}}$ | <u>F</u>         | <u>M</u> | <u>F</u> | <u>M</u> | <u>F</u> |
| Professors           | 6        | 7                | 0                   | 0                  | 44                       | 7                | 1        | 1        | 472      | 94       |
| Associate Professors | 19       | 9                | 1                   | 0                  | 30                       | 5                | 3        | 2        | 320      | 199      |
| Assistant Professors | 7        | 10               | 0                   | 0                  | 45                       | 18               | 6        | 2        | 168      | 95       |
| Instructors          | 0        | 1                | 0                   | 0                  | 0                        | 1                | 0        | 0        | 4        | 4        |
| Total                | 32       | 27               | 1                   | 0                  | 119                      | 31               | 10       | 5        | 964      | 392      |

# FACULTY - By Rank and Title Series: 2005-2006

### Tenured/Tenure-track

| <u>Rank</u> | <u>Regular</u> | <u>Librarian</u> | <b>Extension</b> | Spec. Title | <u>Total</u> |
|-------------|----------------|------------------|------------------|-------------|--------------|
| Professors  | 495            | 26               | 41               | 70          | 632          |
| Associate   | 403            | 26               | 19               | 140         | 588          |
| Assistant   | 259            | 7                | 8                | 77          | 351          |
| Instructor  | 2              | 7                |                  | 1           | 10           |
| Total       | 1,159          | 66               | 68               | 288         | 1,581        |

### **Non-tenure Track**

| <u>Rank</u> | <u>Clinical</u> | Research | <u>Lecturer</u> | <u>Other</u> | <u>Total</u> |
|-------------|-----------------|----------|-----------------|--------------|--------------|
| Professors  | 13              | 11       |                 | 1            | 25           |
| Associate   | 36              | 11       |                 | 2            | 49           |
| Assistant   | 107             | 56       |                 | 2            | 165          |
| Instructor  | 24              |          |                 |              | 24           |
| Lecturer    |                 |          | 97              | 1            | 98           |
| Total       | 180             | 78       | 97              | 6            | 361          |

# **FACULTY SALARIES**

| <u>Fall</u>  | All-ranks Average Salary | Benchmark<br>Median Salary |  |
|--------------|--------------------------|----------------------------|--|
| 2005         | \$ 73,685                | \$ 82,664                  | 1% 10%   |
| 2004         | 71,026                   | 81,713                     |  |
| 2003         | 69,911                   | 78,594                     | -1% +  |
| 2002         | 66,953                   | 76,547                     | -2% -<br>-3% -   |
| 2001         | 66,713                   | 74,184                     | -3/6<br>-4% -3.2% -3.3%                                    |
| 2000         | 64,842                   | 73,892                     | -5% +  |
| 1999         | 62,314                   | 68,138                     | -6%  |
| 1998*        | 60,714                   | 64,954*                    | -7%6.5%  |
| 1997         | 58,660                   | 60,644                     | -8%  |
| 1996         | 57,024                   | 58,916                     | -9%  |
| * Using a re | vised set of benchmark   | institutions               | -10% -11% -10.1% -10.1% -10.9% -10.9% -12.2% -12.5% -13.1% |

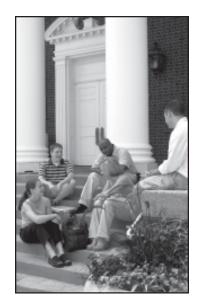
1996 1997 1998 1999 2000 2001 2002 2003 2004 2005

<sup>- 25 -</sup>

# REVENUE (In Millions)

| Source of Funds                            | Revised Budge<br>2005-06 | t Budget 2006-07 |
|--|--------------------------|------------------|
| State Appropriation                        | \$ 314.3                 | \$ 318.6         |
| Tuition and Fees                           | 203.5                    | 221.3            |
| County Appropriation                       | 12.7                     | 12.7             |
| Endowment and Investment Income            | 25.2                     | 31.0             |
| Federal Governmental Appropriations        | 15.4                     | 15.3             |
| Gifts, Grants and Contracts                | 164.8                    | 171.3            |
| Sales and Services of Educational Activiti | ies 76.1                 | 74.8             |
| Transfers                                  | 45.0                     | 45.8             |
| Fund Balances                              | 52.1                     | 32.5             |
| Affiliated Corporations                    | 314.1                    | 327.0            |
| Hospital                                   | 467.6                    | 587.6            |
| Total                                      | \$ 1,690.9               | \$ 1,837.9       |

Note: Revised budget numbers do not total properly due to rounding.



# EXPENDITURES (In Millions)

|                        | Revised Budget | Budget     |
|------------------------|----------------|------------|
| <u>Program</u>         | <u>2005-06</u> | 2006-07    |
| Instruction            | \$ 292.0       | \$ 252.1   |
| Research               | 275.8          | 272.0      |
| Public Service         | 196.4          | 217.0      |
| Libraries              | 21.9           | 22.5       |
| Academic Support       | 67.4           | 68.1       |
| Student Services       | 21.7           | 22.3       |
| Institutional Support  | 77.3           | 117.7      |
| Operations and Mainter | nance 52.3     | 56.4       |
| Student Financial Aid  | 77.8           | 80.0       |
| Auxiliary Enterprises  |                |            |
| Operations             | 114.6          | 118.1      |
| Mandatory Transfers    | 25.2           | 22.9       |
| Hospital               | 468.7          | 588.7      |
| Total                  | \$ 1,690.9     | \$ 1,837.9 |

| R<br><u>Category</u> | evised Budge<br><u>2005-06</u> | t Budget <u>2006-07</u> |
|----------------------|--------------------------------|-------------------------|
| Personnel Services   | \$ 892.8                       | \$ 941.7                |
| Operating Expenses   | 716.6                          | 820.5                   |
| Capital Outlay       | 48.4                           | 46.9                    |
| Mandatory Transfer   | rs 33.0                        | 28.8                    |
| Total                | \$ 1,690.9                     | \$ 1,837.9              |

Note: Revised budget numbers do not total properly due to rounding.

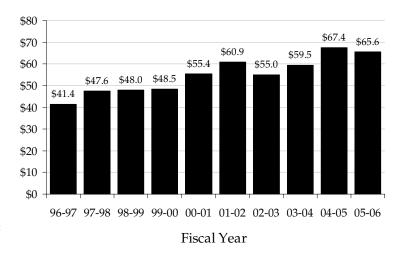
# PRIVATE GIVING (In Millions)

### 2005-2006

| Alumni              | \$ 13.1 |
|---------------------|---------|
| Non-alumni          | 18.1    |
| Corporations        | 12.2    |
| Foundations         | 15.5    |
| Trusts/Associations | 6.6     |
| Total               | \$ 65.6 |

Note 1: Source amounts do not total properly due to rounding.

Note 2: Beginning in 1998-99, Private Giving no longer includes the Community College System, except LCC. With the transfer of LCC to the KCTCS in 2003-04 FY, gifts to LCC stopped being reflected in UK's Private Giving statistics.



# GRANT and CONTRACT AWARDS (In Millions)

### 2005-2006

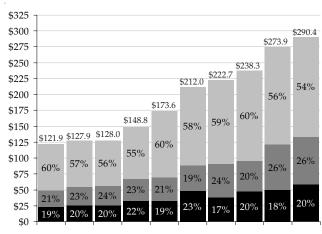
### By Category of Support

| Instruction    | \$ 14.1  |
|----------------|----------|
| Research       | 205.0    |
| Public Service | 69.9     |
| Other          | 1.4      |
| Total          | \$ 290.4 |

### By Source

| □ Federal                 | 54% |
|---------------------------|-----|
| ■ State                   | 26% |
| ■ Business Industry Other | 20% |

Note 1: Beginning in 1998-99, Grant and Contract Awards no longer includes the Community College System, except LCC. With the transfer of LCC to the KCTCS in 2003-04 FY, awards to LCC stopped being reflected in UK's Grant and Contract Awards statistics.



96-97 97-98 98-99 99-00 00-01 01-02 02-03 03-04 04-05 05-06

# RESEARCH and DEVELOPMENT (In Thousands)

#### **Research and Development Expenditures** Federally \$325,000 Total Financed \$300,000 ─ Total R&D Expend. Fed. Financed R&D Expend. Fiscal R&D R&D \$275,000 Expenditures\* Expenditures\* Year \$250,000 2005 \$225,000 \$ 306,653 \$ 142,794 \$200,000 2004 297,610 129,887 \$175,000 120,003 2003 272,062 \$150,000 2002 236,275 100,426 \$125,000 2001 211.721 86,239 \$100,000 2000 202,392 73,858 \$75,000 1999 174,034 66,184 \$50,000 161,346 1998 60,760 \$25,000 1997 124.804 62,128 \$0 1996 118.721 51.450 1996 1997 1999 2000 2001 2002 2004 2005

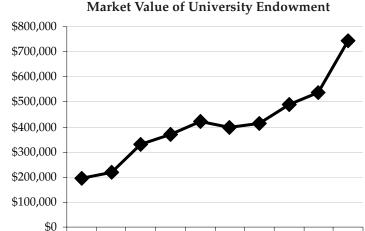
Fiscal Year Ending June 30

<sup>\*</sup>As reported to the National Science Foundation for science and engineering disciplines.

# UNIVERSITY ENDOWMENT (In Thousands)

| Fiscal      | Market       |
|-------------|--------------|
| <u>Year</u> | <u>Value</u> |
| 2006        | \$ 746,114   |
| 2005        | 538,384      |
| 2004        | 491,098      |
| 2003        | 414,328      |
| 2002        | 399,030      |
| 2001        | 420,838      |
| 2000        | 371,373      |
| 1999        | 329,543      |
| 1998        | 219,740      |
| 1997        | 195,091      |
|             |              |

Note: In 2006, the Chandler Medical Center University Hospital Quasi-Endowment Fund for \$150 million was established, accounting for the large increase over the 2005 total.



Fiscal Year Ending June 30

1998 1999 2000 2001 2002 2003 2004 2005 2006

# **NEW FACILITIES**

|   |                | Funding | Anticipated  |
|---|----------------|---------|--------------|
| <u>Under Construction</u>                         | Scope          | Source  | On-line Date |
| Patient Care Facility Parking Garage (GMP 2)      | \$ 32,000,000  | Agency  | Winter 2008  |
| Basketball Practice Facility (Construct)          | 27,500,000     | Agency  | Spring 2007  |
| Basketball Practice Facility (Design)             | 2,500,000      | Agency  | Spring 2007  |
| KY Swine Dev. & Training Ctr. Feed Mill           | 1,740,180      | Federal | Spring 2007  |
|   |                |         |              |
| In Planning and Design                            |                |         |              |
| Construct Patient Care Facility                   | \$ 450,000,000 | Agency  | Fall 2010    |
| Biological Pharmaceutical Complex Building        | 135,292,000    | State   | Winter 2009  |
| Student Health Facility                           | 24,000,000     | Agency  | Spring 2008  |
| Expand & Upgrade Livestock Disease Diagnostic Lab | 8,500,000      | State   | Summer 2008  |
| Renovate - Expand Boone Faculty Center            | 6,200,000      | Private | Winter 2007  |
| Horticultural Research and Education Facility     | 1,600,000      | Agency  | Fall 2006    |

Daniel Jiman

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## LAND and SPACE\*

### 2005-2006

|   | Main Campus | Off Campus** | <u>Total</u> |
|---|-------------|--------------|--------------|
| Acreage***                                | 787         | 24,121       | 24,908       |
| Assignable Square Footage in Buildings*** |             |              |              |
| Classroom                                 | 223,995     | 34,432       | 258,427      |
| Laboratory                                | 1,161,932   | 144,014      | 1,305,946    |
| Office                                    | 1,598,155   | 245,894      | 1,844,049    |
| Study                                     | 414,197     | 6,492        | 420,689      |
| Special                                   | 708,653     | 509,625      | 1,218,278    |
| Ĝeneral                                   | 700,666     | 145,342      | 846,008      |
| Support                                   | 3,260,265   | 359,889      | 3,620,154    |
| Health Care                               | 464,723     | 37,539       | 502,262      |
| Residential                               | 1,282,756   | 173,055      | 1,455,811    |
| Unclassified                              | 231,867     | 102,316      | 334,183      |
| Total                                     | 10,047,209  | 1,758,598    | 11,805,807   |

<sup>\*</sup> Excludes space provided at no cost; includes leased space

<sup>\*\*</sup> Includes research farms, Robinson Forest, substations, 4-H camps, and Adena Park

<sup>\*\*\*</sup> Source: Fall 2005 Physical Facilities and Land Inventory

# GENERAL EQUIPMENT INVENTORIES

(In Millions)

### Inventory 6/30/06\*

| \$ 41.1  |
|----------|
| 98.0     |
| 15.9     |
| 20.2     |
| 3.2      |
| 22.3     |
| 2.9      |
| 14.8     |
| \$ 242.3 |
| 11.1     |
| 120.6    |
| 23.2     |
| \$ 397.2 |
|          |

<sup>\*</sup> Comparisons to last year's data are not available due to new Equipment Reporting System (EBARS). Categories do not total properly due to rounding.

# LIBRARY COLLECTIONS

### 2005-2006

| Volumes Held              | 3,406,377 |
|---------------------------|-----------|
| Current Periodical Titles | 31,897    |
| Microforms                | 6,388,872 |
| Audio-visual Materials    | 90,795    |



The Libraries' collections also include over 320 licensed networked electronic databases with nearly 35,000 electronic journals and a large collection of electronic books

accessible in the University's 15 libraries and also available to faculty and students off campus via a proxy server. In addition, the collections include: 258,827 maps; over 200 current state, national and international newspapers; over 6,200 Oral History program interviews; the University Archives and Records Program; the Wendell H. Ford Public Policy Research Center; Audio-Visual Archives; Bert T. Combs Appalachian Collection; the Public Policy Archives; the Rare Book Collection which includes the W. Hugh Peal Collection of 19th century English and related literature; and the Preservation Reformatting Center. The Center for Digital Programs creates digital content for the Kentuckiana Digital Library including electronic texts, digitized photographs, images and archival finding aids. The Library is a regional depository for U.S. government publications and is also a depository for European Union and Canadian publications, British Parliamentary Papers, Kentucky government publications, and technical reports from federal agencies, all selectively collected.

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### BENCHMARK INSTITUTIONS

Michigan State University University of Illinois - Urbana-Champaign

North Carolina State University University of Iowa

Ohio State University University of Maryland - College Park

Pennsylvania State University University of Michigan - Ann Arbor

Purdue University University of Minnesota - Twin Cities

Texas A&M University University of North Carolina - Chapel Hill

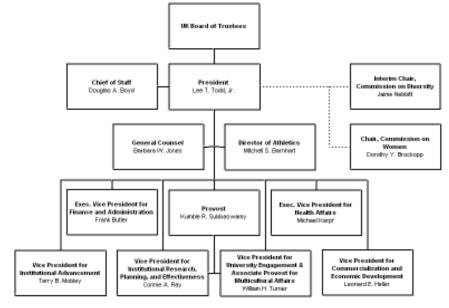
University of Arizona University of Virginia

University of California - Los Angeles University of Washington

University of Florida University of Wisconsin - Madison

University of Georgia

## **ADMINISTRATIVE ORGANIZATION**



# **BOARD of TRUSTEES**

| ussell Springs ( rudent Member ( orbin ( icholasville ( aculty Member ( ancy ( exington ( | (2010)<br>(2007)<br>(2011)<br>(2011)<br>(2008)<br>(2010)<br>(2009)                                | Phillip R. Patton Erwin Roberts C. Frank Shoop Myra Leigh Tobin JoEtta Y. Wickliffe  | Alumni Member Glasgow Louisville Lexington Alumni Member Harrodsburg Lexington Staff Member Faculty Member   | (2012)<br>(2009)<br>(2012)<br>(2008)<br>(2008)<br>(2009)<br>(2008)<br>(2007)<br>(2007)  |
|---|---|--|--|---|
| ,   | ,   | Ernest Yanarella<br>Barbara S. Young   | Faculty Member<br>Lexington  | (2007)<br>(2007)  |
| i   | ussell Springs (udent Member (orbin (icholasville (culty Member (ancy (exington (keville (dent))) | (2010)   (2010)   (2010)   (2007)   (2007)   (2011)   (2011)   (2011)   (2011)   (2008)   (2010)   (2009)   (2009)   (2007)   ( | russell Springs (2010) Phillip R. Patton rudent Member (2007) Erwin Roberts (2011) C. Frank Shoop richolasville (2011) Myra Leigh Tobin rulty Member (2008) JoEtta Y. Wickliffe runcy (2010) Billy B. Wilcoxson richolasville (2009) Russ Willams reckeville (2007) Ernest Yanarella | ussell Springs (2010) Phillip R. Patton Glasgow udent Member (2007) Erwin Roberts Louisville orbin (2011) C. Frank Shoop Lexington icholasville (2011) Myra Leigh Tobin Alumni Member culty Member (2008) JoEtta Y. Wickliffe Harrodsburg ancy (2010) Billy B. Wilcoxson Lexington exington (2009) Russ Willams Staff Member keville (2007) Ernest Yanarella Faculty Member |

# **ADMINISTRATIVE HISTORY**

established

| 1865 | Agricultural and Mechanical College      | 1941 | Herman L. Donovan became President         | 1976 | College of Communications and        |
|------|--|------|--|------|--------------------------------------|
|      | (A&M) established as part of Kentucky    | 1947 | College of Pharmacy moved to UK            |      | College of Fine Arts established     |
|      | University                               | 1956 | Frank G. Dickey became President           | 1982 | University reorganized with a        |
| 1869 | James K. Patterson became President of   | 1957 | Ashland Extension Center established       |      | Central Administration and three     |
|      | A&M                                      | 1960 | Medical Center established; College of     |      | sectors headed by Chancellors        |
| 1878 | A&M separated from Kentucky              |      | Medicine admitted first class; College of  |      | (Lexington Campus, Medical Center,   |
|      | University                               |      | Nursing admitted first class; Henderson    |      | and Community College System)        |
| 1882 | A&M moved to current location in         |      | Extension Center and Southeast Extension   | 1984 | LTI became Lexington Community       |
|      | Lexington                                |      | Center established                         |      | College                              |
| 1885 | Ag. Experiment Station opened            | 1962 | College of Dentistry admitted first class; | 1987 | David P. Roselle became President    |
|      |  |      | University Hospital opened                 | 1990 | Charles T. Wethington, Jr. became    |
| 1908 | College of Arts and Sciences and College | 1963 | John W. Oswald became President            |      | President                            |
|      | of Law established; A&M name changed     | 1964 | Community College System established       | 1993 | College of Communications and        |
|      | to "State University, Lexington,         |      | under UK; College of Architecture          |      | Information Studies established      |
|      | Kentucky"                                |      | established                                | 1998 | William T. Young Library opened;     |
| 1909 | University Library established           | 1965 | Lexington Technical Institute (LTI)        |      | All Community Colleges (except LCC)  |
| 1911 | Henry S. Barker became President of      |      | established                                |      | transferred to the KCTCS             |
|      | State University                         | 1966 | College of Allied Health Professions       | 2001 | Lee T. Todd, Jr. became President;   |
| 1916 | State University renamed University of   |      | established                                |      | University reorganized with a        |
|      | Kentucky                                 | 1967 | College of Home Economics established      |      | Provost model                        |
| 1917 | Frank L. McVey became President of UK;   | 1968 | Albert D. Kirwan became President          | 2002 | College of Design established        |
|      | Graduate School established              | 1969 | College of Social Work established;        | 2004 | LCC transferred to the KCTCS;        |
|      | College of Engineering established       |      | Otis A. Singletary became President        |      | College of Public Health established |
|      | College of Education established         | 1970 | College of Library and Information         |      |                                      |
| 1925 | College of Business and Economics        |      | Science established                        |      |                                      |

### **VALUES**

The values of the University guide its decisions and the behavior of its community. Its core values are:

- Integrity
- Academic excellence and academic freedom
- Mutual respect and human dignity
- Embracing diversity
- Personal and institutional responsibility and accountability

- Shared governance
- A sense of community
- Sensitivity to work-life concerns
- Civic responsibility
- Service to society

-- Adopted by the Board of Trustees, October 10, 2006

### UK's Top 20 Business Plan

By 2020, UK will...

- Increase enrollment by 7,000 to 34,000
- Increase the graduation rate to more than 72 percent
- Increase bachelor's degrees awarded from 3,300 to 6,350
- Increase doctorates awarded from 276 to 465
- Increase the number of faculty by 625 to more than 2,500
- Increase research expenditures by \$470 million to \$768 million
- Increase engagement and service efforts throughout the state to improve agriculture, health care, economic development and communities.





An Equal Opportunity University

Published by the UK Office of Institutional Research