Quantum Two

Time Dependent Perturbations

Time Dependent Perturbations Fermi's Golden Rule





and expressible, therefore, in the form

$$\hat{V}(t) = \left[V^{(+)}e^{-i\omega t} + V^{(-)}e^{i\omega t} \right] \theta(t).$$



and expressible, therefore, in the form

$$\hat{V}(t) = \left[V^{(+)}e^{-i\omega t} + V^{(-)}e^{i\omega t} \right] \theta(t).$$

where, $\theta(t)$ is the Heaviside step function that describes the initial application of the perturbation at t = 0,



and expressible, therefore, in the form

$$\hat{V}(t) = \left[V^{(+)}e^{-i\omega t} + V^{(-)}e^{i\omega t} \right] \theta(t).$$

where, $\theta(t)$ is the Heaviside step function that describes the initial application of the perturbation at t = 0, and in order that $\hat{V}(t)$ be Hermitian, we must have

$$V^{(+)} = \left[V^{(-)}\right]^+$$



and expressible, therefore, in the form

$$\hat{V}(t) = \left[V^{(+)}e^{-i\omega t} + V^{(-)}e^{i\omega t} \right] \theta(t).$$

where, $\theta(t)$ is the Heaviside step function that describes the initial application of the perturbation at t = 0, and in order that $\hat{V}(t)$ be Hermitian, we must have

$$V^{(+)} = \left[V^{(-)}\right]^+$$

Such a perturbation could describe, e.g., an electromagnetic wave applied to the system at t = 0, with a wavelength much large than the system size.



We consider here the situation in which the perturbation is simply left on



We consider here the situation in which the perturbation is simply left on and calculate, after all the transients of the system have died down, the **steady-state** dW_{r}

$$\Gamma_{n \to m} = \lim_{t \to \infty} \frac{dW_{n \to m}}{dt}$$



12

We consider here the situation in which the perturbation is simply left on and calculate, after all the transients of the system have died down, the **steady-state** dW_n and dW_n and

$$\Gamma_{n \to m} = \lim_{t \to \infty} \frac{dW_{n \to m}}{dt}$$

which gives the **transition probability per unit time** induced by the applied perturbation between an initial state $|n\rangle$ and a final state $|m\rangle$.



Using our basic first order result, the transition probability for this situation can be written in the form $1 - t - e^t$

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \int_0^t \left[V_{mn}^{(+)} e^{i\Omega_+ t} + V_{mn}^{(-)} e^{i\Omega_- t} \right] dt \right|^2$$



Using our basic first order result, the transition probability for this situation can be written in the form 1^2

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \int_0^t \left[V_{mn}^{(+)} e^{i\Omega_+ t} + V_{mn}^{(-)} e^{i\Omega_- t} \right] dt \right|^2$$

in which we have defined the quantities

$$\Omega_+ = \omega_m - \omega_n - \omega$$



Using our basic first order result, the transition probability for this situation can be written in the form 1^2

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \int_0^t \left[V_{mn}^{(+)} e^{i\Omega_+ t} + V_{mn}^{(-)} e^{i\Omega_- t} \right] dt \right|^2$$

in which we have defined the quantities

$$\Omega_{+} = \omega_{m} - \omega_{n} - \omega$$
 and $\Omega_{-} = \omega_{m} - \omega_{n} + \omega$.



Using our basic first order result, the transition probability for this situation can be written in the form $|^2$

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \int_0^t \left[V_{mn}^{(+)} e^{i\Omega_+ t} + V_{mn}^{(-)} e^{i\Omega_- t} \right] dt \right|^2$$

in which we have defined the quantities

$$\Omega_{+} = \omega_{m} - \omega_{n} - \omega$$
 and $\Omega_{-} = \omega_{m} - \omega_{n} + \omega$.

Performing the integrals, we find

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \frac{V_{mn}^{(+)} \left(e^{i\Omega_+ t} - 1 \right)}{2i \left(\Omega_+ / 2 \right)} + \frac{V_{mn}^{(-)} \left(e^{i\Omega_- t} - 1 \right)}{2i \left(\Omega_- / 2 \right)} \right|^2$$



Using our basic first order result, the transition probability for this situation can be written in the form $|^2$

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \int_0^t \left[V_{mn}^{(+)} e^{i\Omega_+ t} + V_{mn}^{(-)} e^{i\Omega_- t} \right] dt \right|^2$$

in which we have defined the quantities

$$\Omega_{+} = \omega_{m} - \omega_{n} - \omega$$
 and $\Omega_{-} = \omega_{m} - \omega_{n} + \omega$.

Performing the integrals, we find

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left| \frac{V_{mn}^{(+)} \left(e^{i\Omega_+ t} - 1 \right)}{2i \left(\Omega_+ / 2\right)} + \frac{V_{mn}^{(-)} \left(e^{i\Omega_- t} - 1 \right)}{2i \left(\Omega_- / 2\right)} \right|^2$$
$$= \frac{1}{\hbar^2} \left| \frac{V_{mn}^{(+)} e^{i\Omega_+ t/2} \sin \left(\Omega_+ t/2\right)}{\Omega_+ / 2} + \frac{V_{mn}^{(-)} e^{i\Omega_- t/2} \sin \left(\Omega_- t/2\right)}{\Omega_- / 2} \right|^2$$



Multiplying the quantity in brackets by its complex conjugate we find

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \frac{\sin^2 \left(\Omega_+ t/2\right)}{(\Omega_+/2)^2} + \left| V_{mn}^{(-)} \right|^2 \frac{\sin^2 \left(\Omega_- t/2\right)}{(\Omega_-/2)^2} \right\} + \frac{2}{\hbar^2} \operatorname{Re} \left[e^{-i\omega t} V_{mn}^{(+)} V_{nm}^{(-)*} \right] \frac{\sin \left(\Omega_+ t/2\right)}{(\Omega_+/2)} \frac{\sin \left(\Omega_- t/2\right)}{(\Omega_-/2)} \right\}$$



Multiplying the quantity in brackets by its complex conjugate we find

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \frac{\sin^2 \left(\Omega_+ t/2\right)}{(\Omega_+/2)^2} + \left| V_{mn}^{(-)} \right|^2 \frac{\sin^2 \left(\Omega_- t/2\right)}{(\Omega_-/2)^2} \right\} + \frac{2}{\hbar^2} \operatorname{Re} \left[e^{-i\omega t} V_{mn}^{(+)} V_{nm}^{(-)*} \right] \frac{\sin \left(\Omega_+ t/2\right)}{(\Omega_+/2)} \frac{\sin \left(\Omega_- t/2\right)}{(\Omega_-/2)} \right\}$$

To put this in a form useful for exploring the long time limit, we now multiply and divide the first two terms by $2\pi t$ and the last term by π^2 to obtain

$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ \frac{\left| V_{mn}^{(+)} \right|^2}{\pi} \frac{\sin^2 \left(\Omega_+ t/2 \right)}{\Omega_+^2 t/2} + \frac{\left| V_{mn}^{(-)} \right|^2}{\pi} \frac{\sin^2 \left(\Omega_- t/2 \right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2 \right)}{\left(\Omega_+/2 \right)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2 \right)}{\left(\Omega_-/2 \right)} \right].$$



Multiplying the quantity in brackets by its complex conjugate we find

$$W_{n \to m}(t) = \frac{1}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \frac{\sin^2 \left(\Omega_+ t/2\right)}{(\Omega_+/2)^2} + \left| V_{mn}^{(-)} \right|^2 \frac{\sin^2 \left(\Omega_- t/2\right)}{(\Omega_-/2)^2} \right\} + \frac{2}{\hbar^2} \operatorname{Re} \left[e^{-i\omega t} V_{mn}^{(+)} V_{nm}^{(-)*} \right] \frac{\sin \left(\Omega_+ t/2\right)}{(\Omega_+/2)} \frac{\sin \left(\Omega_+ t/2\right)}{(\Omega_+/2)} \frac{\sin \left(\Omega_+ t/2\right)}{(\Omega_+/2)} \right\}$$

To put this in a form useful for exploring the long time limit, we now multiply and divide the first two terms by $2\pi t$ and the last term by π^2 to obtain

$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ \frac{\left| V_{mn}^{(+)} \right|^2}{\pi} \frac{\sin^2 \left(\Omega_+ t/2 \right)}{\Omega_+^2 t/2} + \frac{\left| V_{mn}^{(-)} \right|^2}{\pi} \frac{\sin^2 \left(\Omega_- t/2 \right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2 \right)}{(\Omega_+/2)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2 \right)}{(\Omega_-/2)} \right].$$



$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ |V_{mn}^{(+)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_+ t/2\right)}{\Omega_+^2 t/2} + |V_{mn}^{(-)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_- t/2\right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2\right)}{\left(\Omega_+/2\right)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2\right)}{\left(\Omega_-/2\right)} \right].$$



$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ |V_{mn}^{(+)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_+ t/2\right)}{\Omega_+^2 t/2} + |V_{mn}^{(-)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_- t/2\right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2\right)}{\left(\Omega_+/2\right)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2\right)}{\left(\Omega_-/2\right)} \right].$$

This form is convenient, because in the long time limit, the transient oscillations in the functions indicated above tend to die away, and they approach Dirac δ -functions as $t \to \infty$.



$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ |V_{mn}^{(+)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_+ t/2\right)}{\Omega_+^2 t/2} + |V_{mn}^{(-)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_- t/2\right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2\right)}{\left(\Omega_+/2\right)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2\right)}{\left(\Omega_-/2\right)} \right].$$

This form is convenient, because in the long time limit, the transient oscillations in the functions indicated above tend to die away, and they approach Dirac δ -functions as $t \to \infty$. Specifically one can show that

$$\delta(\omega) = \lim_{T \to \infty} \delta_1(T, \omega) = \lim_{T \to \infty} \frac{1}{\pi} \frac{\sin^2 (\omega T/2)}{\omega^2 T/2}$$



$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ |V_{mn}^{(+)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_+ t/2\right)}{\Omega_+^2 t/2} + |V_{mn}^{(-)}|^2 \frac{1}{\pi} \frac{\sin^2 \left(\Omega_- t/2\right)}{\Omega_-^2 t/2} \right\} + \frac{2\pi^2}{\hbar^2} \Lambda_{m,n}(t) \left[\frac{1}{\pi} \frac{\sin \left(\Omega_+ t/2\right)}{\left(\Omega_+/2\right)} \right] \left[\frac{1}{\pi} \frac{\sin \left(\Omega_- t/2\right)}{\left(\Omega_-/2\right)} \right].$$

This form is convenient, because in the long time limit, the transient oscillations in the functions indicated above tend to die away, and they approach Dirac δ -functions as $t \to \infty$. Specifically one can show that

$$\delta(\omega) = \lim_{T \to \infty} \delta_1(T, \omega) = \lim_{T \to \infty} \frac{1}{\pi} \frac{\sin^2(\omega T/2)}{\omega^2 T/2}$$
$$\delta(\omega) = \lim_{T \to \infty} \delta_2(T, \omega) = \lim_{T \to \infty} \frac{1}{\pi} \frac{\sin(\omega T/2)}{\omega/2}$$



This allows us to write, for times *t* much greater than typical evolution times of the unperturbed system

$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \delta(\Omega_+) + \left| V_{mn}^{(-)} \right|^2 \delta(\Omega_-) \right\} + \frac{2\pi^2 \Lambda_{m,n}(t)}{\hbar^2} \delta(\Omega_+) \delta(\Omega_-).$$



This allows us to write, for times *t* much greater than typical evolution times of the unperturbed system

$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \delta(\Omega_+) + \left| V_{mn}^{(-)} \right|^2 \delta(\Omega_-) \right\} + \frac{2\pi^2 \Lambda_{m,n}(t)}{\hbar^2} \delta(\Omega_+) \delta(\Omega_-).$$

Clearly, the product of delta functions in the last term

$$\delta(\Omega_{+})\delta(\Omega_{-}) = \delta(\omega_{m} - \omega_{n} - \omega)\delta(\omega_{m} - \omega_{n} + \omega)$$

vanishes, since the arguments of the δ -functions vanish at different points.



This allows us to write, for times *t* much greater than typical evolution times of the unperturbed system

$$W_{n \to m}(t) = \frac{2\pi t}{\hbar^2} \left\{ \left| V_{mn}^{(+)} \right|^2 \delta(\Omega_+) + \left| V_{mn}^{(-)} \right|^2 \delta(\Omega_-) \right\} + \frac{2\pi^2 \Lambda_{m,n}(t)}{\hbar^2} \delta(\Omega_+) \delta(\Omega_-).$$

Clearly, the product of delta functions in the last term

$$\delta(\Omega_{+})\delta(\Omega_{-}) = \delta(\omega_{m} - \omega_{n} - \omega)\delta(\omega_{m} - \omega_{n} + \omega)$$

vanishes, since the arguments of the δ -functions vanish at different points.

This leaves contributions only from the first two terms, only one of which can be non-zero at a time.



If the final state has an energy **greater** than the initial, so that

 ε_m $\hbar\omega$ ε_n

 $\omega_m = \omega_n + \omega$

then the corresponding transition probability



If the final state has an energy **lower** than the initial, so that

$$\omega_m = \omega_n - \omega$$

then the transition probability





Thus at long times, the probability of a transition increases linearly with time

$$W_{n \to m}^{\pm}(t) = \frac{2\pi t}{\hbar^2} |V_{mn}^{(\pm)}|^2 \,\,\delta(\omega_m - \omega_n \mp \omega)$$

The final form of the transition rate, giving the transition probability per unit time, can then be obtained by differentiation of this with respect to time, which gets rid of the factor of *t*:



Thus at long times. the probability of a transition increases linearly with time

$$W_{n \to m}^{\pm}(t) = \frac{2\pi t}{\hbar^2} |V_{mn}^{(\pm)}|^2 \,\,\delta(\omega_m - \omega_n \mp \omega)$$

The final form of the transition rate, giving the transition probability per unit time, can then be obtained by differentiation of this with respect to time, which gets rid of the factor of *t*:

$$\Gamma_{n \to m}^{\pm} = \frac{2\pi}{\hbar^2} |V_{mn}^{(\pm)}|^2 \delta(\omega_m - \omega_n \mp \omega)$$



Thus at long times, the probability of a transition increases linearly with time

$$W_{n \to m}^{\pm}(t) = \frac{2\pi t}{\hbar^2} |V_{mn}^{(\pm)}|^2 \,\,\delta(\omega_m - \omega_n \mp \omega)$$

The final form of the transition rate, giving the transition probability per unit time, can then be obtained by differentiation of this with respect to time, which gets rid of the factor of *t*:

$$\Gamma_{n\to m}^{\pm} = \frac{2\pi}{\hbar^2} |V_{mn}^{(\pm)}|^2 \delta(\omega_m - \omega_n \mp \omega) = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \delta(\varepsilon_m - \varepsilon_n \mp \hbar\omega)$$

where in the second form we have converted the ∂ -tunction in frequency to a δ -function in energy.



Thus at long times, the probability of a transition increases linearly with time

$$W_{n \to m}^{\pm}(t) = \frac{2\pi t}{\hbar^2} |V_{mn}^{(\pm)}|^2 \,\,\delta(\omega_m - \omega_n \mp \omega)$$

The final form of the transition rate, giving the transition probability per unit time, can then be obtained by differentiation of this with respect to time, which gets rid of the factor of *t*:

$$\Gamma_{n\to m}^{\pm} = \frac{2\pi}{\hbar^2} |V_{mn}^{(\pm)}|^2 \delta(\omega_m - \omega_n \mp \omega) = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \delta(\varepsilon_m - \varepsilon_n \mp \hbar \omega)$$

where in the second form we have converted the ∂ -tunction in frequency to a δ -function in energy.

This is the simple form of what is referred to as Fermi's golden rule.



$$\Gamma_{n \to m}^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \delta(\varepsilon_m - \varepsilon_n \mp \hbar\omega)$$

Since the δ -functions makes the transition rate formally infinite or zero, this expression has meaning only when there is a distribution of final states having the right energy that can be integrated over.



$$\Gamma_{n \to m}^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \delta(\varepsilon_m - \varepsilon_n \mp \hbar\omega)$$

Since the δ -functions makes the transition rate formally infinite or zero, this expression has meaning only when there is a distribution of final states having the right energy that can be integrated over.

Indeed, typically, situations in which Fermi's golden rule applies are those where the final states are part of a continuum (e.g., when a photon or electron is given off or absorbed, so that there is a continuum both of energies and of possible directions associated with the incoming or outgoing photon).



$$\Gamma_{n \to m}^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \delta(\varepsilon_m - \varepsilon_n \mp \hbar\omega)$$

Since the δ -functions makes the transition rate formally infinite or zero, this expression has meaning only when there is a distribution of final states having the right energy that can be integrated over.

Indeed, typically, situations in which Fermi's golden rule applies are those where the final states are part of a continuum (e.g., when a photon or electron is given off or absorbed, so that there is a continuum both of energies and of possible directions associated with the incoming or outgoing photon).

In situations of this sort one typically introduces a density of states function $\rho(\varepsilon)$, which gives the number of states per unit energy in the interval between ε and $\varepsilon + d\varepsilon$, considered a continuous function of the final energy.


$$\Gamma_n^{\pm} = \int d\varepsilon_m \ \Gamma_{n \to m}^{\pm} \rho\left(\varepsilon_m\right)$$



$$\Gamma_{n}^{\pm} = \int d\varepsilon_{m} \ \Gamma_{n \to m}^{\pm} \rho(\varepsilon_{m})$$
$$= \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^{2} \int d\varepsilon_{m} \ \delta(\varepsilon_{m} - \varepsilon_{n} \pm \hbar\omega) \rho(\varepsilon_{m})$$



$$\Gamma_{n}^{\pm} = \int d\varepsilon_{m} \ \Gamma_{n \to m}^{\pm} \rho(\varepsilon_{m})$$

$$= \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^{2} \int d\varepsilon_{m} \ \delta(\varepsilon_{m} - \varepsilon_{n} \pm \hbar\omega) \rho(\varepsilon_{m})$$

which simplifies to

$$\Gamma_n^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \rho(\varepsilon_f)$$



$$\Gamma_{n}^{\pm} = \int d\varepsilon_{m} \ \Gamma_{n \to m}^{\pm} \rho(\varepsilon_{m})$$
$$= \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^{2} \int d\varepsilon_{m} \ \delta(\varepsilon_{m} - \varepsilon_{n} \pm \hbar\omega) \rho(\varepsilon_{m})$$

which simplifies to

$$\Gamma_n^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \rho(\varepsilon_f)$$

where

$$\varepsilon_f = \varepsilon_n \pm \hbar \omega$$

is the final energy of the states to which transitions actually occur.



$$\Gamma_{n}^{\pm} = \int d\varepsilon_{m} \ \Gamma_{n \to m}^{\pm} \rho(\varepsilon_{m})$$
$$= \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^{2} \int d\varepsilon_{m} \ \delta(\varepsilon_{m} - \varepsilon_{n} \pm \hbar\omega) \rho(\varepsilon_{m})$$

which simplifies to

$$\Gamma_n^{\pm} = \frac{2\pi}{\hbar} |V_{mn}^{(\pm)}|^2 \rho(\varepsilon_f)$$

where

$$\varepsilon_f = \varepsilon_n \pm \hbar \omega$$

is the final energy of the states to which transitions actually occur.



As an example of the application of Fermi's golden rule, and to see how densities of states of the sort typically encountered are constructed, we consider a ground state hydrogen atom, with a single bound electron described by the wave function

$$\psi_0(r) = \left(\pi a_0^3\right)^{-1/2} e^{-r/a_0}$$

to which is applied a harmonic perturbing potential

$$\hat{V}(\vec{r},t) = V_0 \cos(\vec{k}_0 \cdot \vec{r} - \omega t)$$





We are interested in calculating the **differential ionization rate**

$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega}$$

for transitions to free-particle k-states passing through an infinitesimal solid angle $d\Omega$ centered along some particular direction (θ, ϕ) .



We are interested in calculating the **differential ionization rate**

$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega}$$

for transitions to free-particle k-states passing through an infinitesimal solid angle $d\Omega$ centered along some particular direction (θ, ϕ) .

To proceed, we note that the perturbation can be written in the form

 $\hat{V}(\vec{r},t) = V_0 \cos(\vec{k}_0 \cdot \vec{r} - \omega t) = V e^{-i\omega t} + V^+ e^{i\omega t}$



We are interested in calculating the **differential ionization rate**

$$rac{d\Gamma_0(heta,\phi)}{d\Omega}$$

for transitions to free-particle k-states passing through an infinitesimal solid angle $d\Omega$ centered along some particular direction (θ, ϕ) .

To proceed, we note that the perturbation can be written in the form

$$\hat{V}(\vec{r},t) = V_0 \cos(\vec{k}_0 \cdot \vec{r} - \omega t) = V e^{-i\omega t} + V^+ e^{i\omega t}$$

where in the position representation

$$V = \frac{1}{2} V_0 e^{i\vec{k}_0 \cdot \vec{r}}$$

is harmonically varying in the electron position coordinate.



$$\varepsilon_f = \varepsilon_i + \hbar \omega = \hbar \omega - \varepsilon_0$$



$$\varepsilon_f = \varepsilon_i + \hbar\omega = \hbar\omega - \varepsilon_0$$

This final energy is assumed to be associated with the final kinetic energy

$$\varepsilon_f = \frac{\hbar^2 k^2}{2m}$$

of the ionized electron,



$$\varepsilon_f = \varepsilon_i + \hbar\omega = \hbar\omega - \varepsilon_0$$

This final energy is assumed to be associated with the final kinetic energy

$$\varepsilon_f = \frac{\hbar^2 k^2}{2m}$$

of the ionized electron, which requires the final wavevector to have magnitude

$$k = k_f = \sqrt{\frac{2m\left(\hbar\omega - \varepsilon_0\right)}{\hbar^2}}$$



$$\varepsilon_f = \varepsilon_i + \hbar\omega = \hbar\omega - \varepsilon_0$$

This final energy is assumed to be associated with the final kinetic energy

$$\varepsilon_f = \frac{\hbar^2 k^2}{2m}$$

of the ionized electron, which requires the final wavevector to have magnitude

$$k = k_f = \sqrt{\frac{2m\left(\hbar\omega - \varepsilon_0\right)}{\hbar^2}} = \sqrt{\frac{2m\left(\hbar\omega - me^4/2\hbar^2\right)}{\hbar^2}}$$



$$\Gamma_{0\to\vec{k}} = \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \varepsilon_f)$$



$$\Gamma_{0 \to \vec{k}} = \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \varepsilon_f)$$
$$= \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \hbar\omega + \varepsilon_0)$$



$$\Gamma_{0 \to \vec{k}} = \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \varepsilon_f)$$

$$= \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \hbar\omega + \varepsilon_0)$$

$$= \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \delta(k - k_f)$$

where we have used the result

$$\delta\left[\frac{\hbar^2}{2m}\left(k^2 - k_f^2\right)\right] = \frac{m}{\hbar^2 k}\delta(k - k_f)$$



$$\Gamma_{0 \to \vec{k}} = \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \varepsilon_f)$$

$$= \frac{2\pi}{\hbar} \left| V_{\vec{k},0} \right|^2 \delta(\varepsilon_k - \hbar\omega + \varepsilon_0)$$

$$= \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \delta(k - k_f)$$

where we have used the result

$$\delta\left[\frac{\hbar^2}{2m}\left(k^2 - k_f^2\right)\right] = \frac{m}{\hbar^2 k}\delta(k - k_f)$$

Note that this last δ -function involves only the magnitude of the wavevector.





$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$



$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$

where the sum really is a symbolic way of writing an integral over all those wavevectors passing through the solid angle $d\Omega$ along (θ, ϕ) .



$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$

where the sum really is a symbolic way of writing an integral over all those wavevectors passing through the solid angle $d\Omega$ along (θ, ϕ) .

Working in the spherical coordinate representation in k-space this can be written in the form r^{∞}

$$\sum_{\vec{k} \in d\Omega} \delta(k - k_f) = \int_0^\infty dk \ k^2 d\Omega \ \rho(\vec{k}) \delta(k - k_f)$$



$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$

where the sum really is a symbolic way of writing an integral over all those wavevectors passing through the solid angle $d\Omega$ along (θ, ϕ) .

Working in the spherical coordinate representation in k-space this can be written in the form ______

$$\sum_{\vec{k} \in d\Omega} \delta(k - k_f) = \int_0^\infty dk \; k^2 d\Omega \; \rho(\vec{k}) \delta(k - k_f)$$

where $\rho(\vec{k}) = \rho(k, \theta, \phi)$ is the density of plane wave states with wavevector \vec{k} , i.e., the number of states per unit volume of k-space.



To obtain the density of states, it is convenient to take the entire system to be contained in a large box of edge *L*, with normalized plane wave states

$$\langle \vec{r} | \vec{k} \rangle = \phi_{\vec{k}}(\vec{r}) = L^{-3/2} e^{i \vec{k} \cdot r}$$

that satisfy periodic boundary conditions at the edges of the box.



To obtain the density of states, it is convenient to take the entire system to be contained in a large box of edge *L*, with normalized plane wave states

$$\langle \vec{r} | \vec{k} \rangle = \phi_{\vec{k}}(\vec{r}) = L^{-3/2} e^{i\vec{k} \cdot r}$$

that satisfy periodic boundary conditions at the edges of the box.

The allowed wavevectors in this situation are then of the form

$$\vec{k} = \frac{2\pi}{L}(n_x\hat{\imath} + n_y\hat{\jmath} + n_z\hat{k})$$

where n_x, n_y , and n_z are integers.



To obtain the density of states, it is convenient to take the entire system to be contained in a large box of edge *L*, with normalized plane wave states

$$\langle \vec{r} | \vec{k} \rangle = \phi_{\vec{k}}(\vec{r}) = L^{-3/2} e^{i \vec{k} \cdot r}$$

that satisfy periodic boundary conditions at the edges of the box.

The allowed wavevectors in this situation are then of the form

$$\vec{k} = \frac{2\pi}{L}(n_x\hat{\imath} + n_y\hat{\jmath} + n_z\hat{k})$$

where n_x, n_y , and n_z are integers.

The points in k-space thus form a regular cubic lattice with edge length $2\pi/L$ so there is exactly one state in every k-space unit cell volume of $(2\pi/L)^3$.



The resulting density of states in k space

$$\rho(\vec{k}) = \left(\frac{L}{2\pi}\right)^3$$

is uniform, i.e., independent of $ec{k}$.



The resulting density of states in k space

$$\rho(\vec{k}) = \left(\frac{L}{2\pi}\right)^3$$

is uniform, i.e., independent of $ec{k}$.

Thus, the density of "ionized" electron states along $d\Omega$ takes the form

$$\sum_{k' \in d\Omega} \delta(k'-k) = \int_0^\infty dk \; k^2 d\Omega \; \rho(\vec{k}) \delta(k-k_f) = \left(\frac{L}{2\pi}\right)^3 k^2 d\Omega$$



• •

Putting this density

 $\sum_{k'\in d\Omega} \delta(k'-k) = \left(\frac{L}{2\pi}\right)^3 k^2 d\Omega$



Putting this density

$$\sum_{k' \in d\Omega} \delta(k' - k) = \left(\frac{L}{2\pi}\right)^3 k^2 d\Omega$$

into our expression

$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$



Putting this density

$$\sum_{k' \in d\Omega} \delta(k' - k) = \left(\frac{L}{2\pi}\right)^3 k^2 d\Omega$$

into our expression

$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$

and dividing through by $d\Omega$, we obtain the following expression for the **differential** ionization rate

$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{2m\pi k}{\hbar^3} \left| V_{\vec{k},0} \right|^2 \rho(\vec{k})$$



Putting this density

$$\sum_{k' \in d\Omega} \delta(k' - k) = \left(\frac{L}{2\pi}\right)^3 k^2 d\Omega$$

into our expression

$$d\Gamma_0(\theta,\phi) = \frac{2m\pi}{\hbar^3 k} \left| V_{\vec{k},0} \right|^2 \sum_{\vec{k} \in d\Omega} \delta(k-k_f)$$

and dividing through by $d\Omega$, we obtain the following expression for the **differential** ionization rate

$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{2m\pi k}{\hbar^3} \left| V_{\vec{k},0} \right|^2 \rho(\vec{k})$$
$$= \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

where it is understood at this point that $\left| ec{k}
ight| = k_f$ as given above



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.



$$\frac{d\Gamma_{0}(\theta,\phi)}{d\Omega} = \frac{mL^{3}k}{4\pi^{2}\hbar^{3}} \left| V_{\vec{k},0} \right|^{2}$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$V_{\vec{k},0} = \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3r \ e^{-i\vec{k}\cdot\vec{r}} e^{i\vec{k}_0\cdot\vec{r}} \psi_0(r)$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$\begin{split} V_{\vec{k},0} &= \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i\vec{k} \cdot \vec{r}} e^{i\vec{k}_0 \cdot \vec{r}} \psi_0(r) \\ &= \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i(\vec{k} - \vec{k}_0) \cdot \vec{r}} \psi_0(r) \end{split}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$\begin{split} V_{\vec{k},0} &= \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i\vec{k}\cdot\vec{r}} e^{i\vec{k}_0\cdot\vec{r}} \psi_0(r) \\ &= \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i(\vec{k}-\vec{k}_0)\cdot\vec{r}} \psi_0(r) = \frac{V_0}{2L^{3/2}} \tilde{\psi}_0(\vec{k}-\vec{k}_0) \end{split}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$\begin{split} V_{\vec{k},0} &= \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i\vec{k}\cdot\vec{r}} e^{i\vec{k}_0\cdot\vec{r}} \psi_0(r) \\ &= \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i(\vec{k}-\vec{k}_0)\cdot\vec{r}} \psi_0(r) = \frac{V_0}{2L^{3/2}} \tilde{\psi}_0(\vec{k}-\vec{k}_0) \end{split}$$

where

$$\tilde{\psi}_0(\vec{q}) = \frac{1}{\sqrt{\pi a_0^3}} \int d^3r \; e^{-i\vec{q}\cdot\vec{r}} e^{-r/a_0}$$
72


$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$\begin{split} V_{\vec{k},0} &= \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i\vec{k}\cdot\vec{r}} e^{i\vec{k}_0\cdot\vec{r}} \psi_0(r) \\ &= \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i(\vec{k}-\vec{k}_0)\cdot\vec{r}} \psi_0(r) = \frac{V_0}{2L^{3/2}} \tilde{\psi}_0(\vec{k}-\vec{k}_0) \end{split}$$

where

$$\tilde{\psi}_0(\vec{q}) = \frac{1}{\sqrt{\pi a_0^3}} \int d^3r \ e^{-i\vec{q}\cdot\vec{r}} e^{-r/a_0} = \sqrt{\pi a_0^3} \frac{8}{(1+a_0^2q^2)^2}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{mL^3k}{4\pi^2\hbar^3} \left| V_{\vec{k},0} \right|^2$$

This quantity gives the number of ionizing transitions per unit time per unit solid angle into free particle states moving along the specified direction.

To complete the calculation we need to evaluate the matrix element

$$\begin{split} V_{\vec{k},0} &= \langle \vec{k} | V | \psi_0 \rangle = \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i\vec{k}\cdot\vec{r}} e^{i\vec{k}_0\cdot\vec{r}} \psi_0(r) \\ &= \frac{V_0}{2L^{3/2}} \int d^3 r \; e^{-i(\vec{k}-\vec{k}_0)\cdot\vec{r}} \psi_0(r) = \frac{V_0}{2L^{3/2}} \tilde{\psi}_0(\vec{k}-\vec{k}_0) \end{split}$$

where

$$\tilde{\psi}_0(\vec{q}) = \frac{1}{\sqrt{\pi a_0^3}} \int d^3r \ e^{-i\vec{q}\cdot\vec{r}} e^{-r/a_0} = \sqrt{\pi a_0^3} \frac{8}{(1+a_0^2q^2)^2}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2|\vec{k}-\vec{k}_0|^2)^4}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2|\vec{k}-\vec{k}_0|^2)^4}$$
$$= \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2|\vec{k}-\vec{k}_0|^2)^4} \\
= \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$

Note also that although we adopted the "box convention" for determining the density of states, corresponding factors in the normalization of the final plane wave state led to a cancellation of any terms involving the size *L* of the box.



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2|\vec{k}-\vec{k}_0|^2)^4} \\
= \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$

Note also that although we adopted the "box convention" for determining the density of states, corresponding factors in the normalization of the final plane wave state led to a cancellation of any terms involving the size *L* of the box.

So what does this look like?



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2|\vec{k}-\vec{k}_0|^2)^4} \\
= \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$

Note also that although we adopted the "box convention" for determining the density of states, corresponding factors in the normalization of the final plane wave state led to a cancellation of any terms involving the size *L* of the box.

So what does this look like?

Note first that it is symmetric about the z -axis (i.e., it is independent of ϕ).



$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$

To the left is a polar plot, with the radius of the curve indicating the magnitude of the cross section, as a function of the ejection angle θ of the ionized electron, measured with respect to the wave vector of the incoming plane wave.





$$\frac{d\Gamma_0(\theta,\phi)}{d\Omega} = \frac{16mV_0^2 a_0^2}{\pi\hbar^3} \frac{ka_0}{(1+a_0^2(k^2-2kk_0\cos\theta+k_0^2))^4}$$

To the left is a polar plot, with the radius of the curve indicating the magnitude of the cross section, as a function of the ejection angle θ of the ionized electron, measured with respect to the wave vector of the incoming plane wave.

Note the strong maximum along the forward scattering direction relative to the wave vector \vec{k}_0 that characterizes the perturbation, suggesting the absorption of both energy and momentum from the perturbing plane wave.

