



Memorandum

U.S. Department of Transportation
Transportation Security Administration

Subject: INFORMATION: TSA "Watchlists"

Date:
October 16, 2002

Reply to

From: Acting Associate Under Secretary, Transportation
Security Intelligence, TSI-1

To: Associate Under Secretary, Security Regulation and
Policy

1. (FOUO) Summary: Since November 2001, the FAA/TSA "watchlist" has expanded almost daily as Intelligence Community (IC) agencies and the Office of Homeland Security continue to request the addition of individuals to the No-Fly and Selectee lists.

[REDACTED]

(b)(5)

(FOUO) Although TSA compiles the lists from requests made by IC agencies, the airline companies are responsible for implementing the security directives (SDs) that support the two lists.

[REDACTED]

(b)(5)
(b)(2)
(b)(3)
1520.7(b)
(j)

2. (SSI) Background: Between 1990 and September 11, 2001, the FAA issued several Security Directives (SDs) and companion Emergency Amendments (EAs) that identified persons whom air carriers could not transport, because they were determined to pose a direct threat U.S. civil aviation.

[REDACTED]

(b)(2)
(b)(3)

On September 11, 2001, only three of these SDs were in effect, with a total of names of individuals that air carriers were prohibited from transporting.

1520.7(b),
(c)(j)

000287

(SSI) Early on September 12, [REDACTED] the FAA issued SD-108-01-06/EA 129-01-05, [REDACTED]

(b)(2)
(b)(3)
1520.7(b),
(c), (j)

(SSI) In November 2001, [REDACTED] the FAA assumed full administrative responsibility for the "watchlist" and issued SD-108-01-19. At that time, the three active FAA SD/EAs that had listed names of individuals to be denied transport [REDACTED] were canceled. [REDACTED]

(b)(2)
(b)(3)
1520.7(b),
(j)

[REDACTED] and the SD was broken out into two separate "name lists:" No-Fly and Selectee. SD-108-01-20*** supports the list of persons to be denied transport; this list is commonly referred to as the "No-Fly list." SD-108-01-21*** supports the list of persons whom air carriers are required to "select" for additional security screening prior to boarding the individuals on an aircraft; this list is referred to as the "Selectee list."

3. (FOUO) Discussion:

A. (FOUO) Current Procedures: All individuals placed on the No-Fly and Selectee lists since November 2001 have been added or removed (or moved from one list to the other) based on the request of and information provided, almost exclusively, [REDACTED]

(b)(2)
(b)(3)
1520.7(j)
(c)

[REDACTED] Names are removed from the two lists when [REDACTED] the individual is no longer assessed to pose a threat to U.S. [REDACTED]

B. (FOUO) Criteria: Since FAA/TSA assumed administrative control of the "watchlist" in November 2001, the placement of individuals on the No-Fly or Selectee lists has been guided by two primary principles:

[REDACTED] (b)(2)
(b)(3)
1520.7(c), (j)

[REDACTED]

(FOUO) The essential purpose of the No-Fly list is to prevent the transport of individuals who [REDACTED]. The Selectee list is a less restrictive measure that requires named individuals to be subjected to additional security screening measures before being allowed to board an aircraft.

[REDACTED]

(b)(2)
(b)(3)
1520.7(c)
(j)

b(2)
b(3)
1520.7(c)
(j)
b(5)

TSA's immediate concern is the safety of the flying public.

(FOUO)

[REDACTED]

(b)(2)
(b)(5)
(b)(3)
1520.7(c)
(j)

C. (FOUO) Requirements:

[REDACTED]

b(2)
b(3)
1520.7(c)
(j)

(FOUO)

[REDACTED]

(b)(2)
(b)(3)
1520.7(b)
(j)

[REDACTED]

b(2)
(b)(3)
520.7(b)
(j)

4. (FOUO) Problems and Recommendations:

A. (FOUO)

[REDACTED]

b(2)
(b)(5)
(b)(3)
1520.7(b)
(c), (j)

(FOUO) Solution:

[REDACTED]

(b)(2)
(b)(5)
(b)(3)
1520.7(c),
(j), (L)

B. (FOUO)

[REDACTED]

(b)(2)
(b)(5)
(b)(3)
1520.7(b),
(L)

(FOUO) Solution:

[REDACTED]

C. (FOUO)

[REDACTED]

(b)(2)
(b)(5)
(b)(3)
1520.7(b)

[REDACTED]

(b)(2)
(b)(5)
(b)(3)
1520.7(1)

(FOUO) Solution:

[REDACTED]

(b)(5)
(b)(3)
1520.7(1)

5. (FOUO) Conclusions:

[REDACTED]

continues to receive these requests on a daily basis.

ISA

b(5)

Claudio Manno

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