990-PF

#### Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

Expenses

Administrative

Operating

17

18

19

20

21 and

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23

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25

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Do not enter social security numbers on this form as it may be made public. Department of the Treasury ► Go to www.irs.gov/Form990PF for instructions and the latest information. Open to Public Inspection Internal Revenue Service For calendar year 2018 or tax year beginning 2018, and ending 20 A Employer identification number Name of foundation 82-2800838 FOUNDATION FOR A SMOKE-FREE WORLD, INC. Number and street (or P.O. box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) 575 5TH AVENUE 14TH FL (216) 861-7350 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here NEW YORK, NY 10017 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach Address change Name change computation H Check type of organization: | X | Section 501(c)(3) exempt private foundation E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here . X Accrual I Fair market value of all assets at J Accounting method: Cash If the foundation is in a 60-month termination end of year (from Part II, col. (c), line Other (specify) under section 507(b)(1)(B), check here 51,445,911. (Part I, column (d) must be on cash basis.) **16**) **▶** \$ (d) Disbursements Part I Analysis of Revenue and Expenses (The (a) Revenue and total of amounts in columns (b), (c), and (d) (b) Net investment (c) Adjusted net for charitable expenses per purposes may not necessarily equal the amounts in income income books (cash basis only) column (a) (see instructions).) 80,000,000 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to 2 Check > attach Sch. B 613,991 613,991 3 Interest on savings and temporary cash investments. Dividends and interest from securities . . . 5a Gross rents Net rental income or (loss) Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a 0 Capital gain net income (from Part IV, line 2) Income modifications 10 a Gross sales less returns and allowances b Less: Cost of goods sold ... Gross profit or (loss) (attach schedule) Other income (attach schedule) 11 80,613,991. 613,991. 12 Total. Add lines 1 through 11 1,626,418. 1,626,418. 13 Compensation of officers, directors, trustees, etc. 4,353,302. 4,268,724. 14 Other employee salaries and wages 1,054,889. 891,309. 15 Pension plans, employee benefits 2,578,537. 2,450,392. 16a Legal fees (attach schedule) ATCH 1 305,066. 255,066. Accounting fees (attach schedule)ATCH 2 12,149,608. 10,359,714. Other professional fees (attach schedule) [3]

7,014.

48,183.

733,245.

725,555.

2,851,593.

26,433,410

6,727,353.

33,160,763.

47,453,228

0 .

613,991

JSA For Paperwork Reduction Act Notice, see instructions.

Subtract line 26 from line 12:

Interest

Taxes (attach schedule) (see instructions)[4].

Depreciation (attach schedule) and depletion.

Travel, conferences, and meetings

Printing and publications

Other expenses (attach schedule) ATCH 5

Total operating and administrative expenses.

Contributions, gifts, grants paid

Total expenses and disbursements. Add lines 24 and 25

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-). .

Form **990-PF** (2018)

0.

749,618.

821,142.

2,845,976.

24,268,359.

30,724,845.

6,456,486.

Б	art II	Palance Shoots	Attached schedules and amounts in the description column should be for end-of-year	Beginning of year	Е	nd of	year
	artii	Dalatice Stieets	amounts only. (See instructions.)	(a) Book Value	(b) Book Value		(c) Fair Market Value
	1	Cash - non-interest-bear	ing				
	2	Savings and temporary	cash investments	1,289,874.	5,665,28	19.	5,665,289
	3	Accounts receivable .					
		Less: allowance for dou	btful accounts ▶				
	4	Pledges receivable ▶_					
		Less: allowance for dou	btful accounts ▶				
	5	Grants receivable					
	6	Receivables due from	officers, directors, trustees, and other				
		disqualified persons (at	tach schedule) (see instructions)				
	7	Other notes and loans r	receivable (attach schedule)				
		Less: allowance for dou	btful accounts ▶				
ssets	8	Inventories for sale or us	se				
SS	9	Prepaid expenses and d	leferred charges ATCH 6		64,33	37.	64,337
Ā	10a	Investments - U.S. and stat	e government obligations (attach schedule).				
	b	Investments - corporate	e stock (attach schedule)				
			e bonds (attach schedule)				
		Investments - land, buildings and equipment: basis	S, <b>&gt;</b>				
		Less: accumulated deprecia (attach schedule)	ation				
		,	loans				
			ach schedule) ATCH 7		45,426,15	2.	45,426,152.
		Land, buildings, and equipment: basis	<b>▶</b> 131,206.				
		Less: accumulated deprecia (attach schedule)		34,964.	80,36		
	15	Other assets (describe	► ATCH 8 )	29,250.	209,77	12.	209,772
	16	Total assets (to be	completed by all filers - see the				
		instructions. Also, see p	age 1, item I)		51,445,91		51,445,911.
	17	Accounts payable and a	accrued expenses	1,588.	2,762,69		
	18	Grants payable			264,28	33.	
es	19	Deferred revenue		4,394.			
Liabilities	20	Loans from officers, directo	ors, trustees, and other disqualified persons.				
ab	21	Mortgages and other no	otes payable (attach schedule)				
Ξ	22	Other liabilities (describe	e <b>▶</b> )				
	23	Total liabilities (add line	es 17 through 22)	5,982.	3,026,97	5.	
			w SFAS 117, check here				
ces		and complete lines 24	through 26, and lines 30 and 31.				
	24	Unrestricted					
Net Assets or Fund Balan	25	Temporarily restricted .					
d E	26	Permanently restricted .					
n		Foundations that do no	ot follow SFAS 117, check here ▶ X				
rΕ		and complete lines 27	through 31.				
3 0	27	Capital stock, trust prine	cipal, or current funds				
et	28	Paid-in or capital surplus, or	r land, bldg., and equipment fund				
188	29	Retained earnings, accumi	ulated income, endowment, or other funds	1,348,106.	48,418,93		
it A			balances (see instructions)	1,348,106.	48,418,93	6.	
ž	31	Total liabilities and	net assets/fund balances (see				
				1,354,088.	51,445,91	.1.	
			nges in Net Assets or Fund Bala		1		
1			palances at beginning of year - Part				
			ed on prior year's return)			1	1,348,106
			line 27a			2	47,453,228.
3	Othe	er increases not include	ded in line 2 (itemize) ▶			3	
						4	48,801,334.
		reases not included ir				5	382,398
6	Tota	I net assets or fund b	alances at end of year (line 4 minus	iline 5) - Part II, column (I	o), line 30	6	48,418,936

	s and Losses for Tax on Inv		<b>(b)</b> How		/ N =
	lescribe the kind(s) of property sold (for brick warehouse; or common stock, 200		àcquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a			D - Donation		
b					
С					
d					
е					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (los ((e) plus (f) minu	
а					
b					
<u> </u>					
d					
Complete only for assets	showing gain in column (h) and owned	hy the foundation on 12/31/69			
Complete only for assets		T		Gains (Col. (h) ga (k), but not less th	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	601.	Losses (from col	
<u>a</u>					
b					
<u>C</u>					
d					
е	. 15 -	in also autonia Dout Line 7			
2 Capital gain net incom	a or (not capital loss)	gain, also enter in Part I, line 7	2		
3 Net short-term capital	gain or (loss) as defined in sections	loss), enter -0- in Part I, line 7			
	Part I, line 8, column (c). See ins				
•					
raiti, iiile o		,			
		duced Tax on Net Investment I	3   ncome		
Part V Qualification	Under Section 4940(e) for Re	duced Tax on Net Investment I	ncome		
Part V Qualification	Under Section 4940(e) for Re		ncome	me.)	
Part V Qualification (For optional use by domes	Under Section 4940(e) for Retic private foundations subject to the	duced Tax on Net Investment I	ncome	me.)	
Part V Qualification (For optional use by domes	Under Section 4940(e) for Retic private foundations subject to the	duced Tax on Net Investment I	ncome	me.)	
Part V Qualification (For optional use by domes If section 4940(d)(2) applies	Under Section 4940(e) for Retic private foundations subject to the state of the sta	educed Tax on Net Investment In the section 4940(a) tax on net invest	ncome ment inco	·	
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Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does	Under Section 4940(e) for Retic private foundations subject to the state of the state of the section 4942 tax on the distribution to qualify under section 4940(e).	educed Tax on Net Investment Inve	ncome ment income ase period	d?	] Yes 🗓 No
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate	Under Section 4940(e) for Retic private foundations subject to the section 4942 tax on the district son't qualify under section 4940(e).	duced Tax on Net Investment In the section 4940(a) tax on net invest the section 4940(a) tax on net investigation and the section and the s	ncome ment income ase period	d?	] Yes 🗓 No
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Part V Qualification (For optional use by domes) If section 4940(d)(2) applies Was the foundation liable for lif "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013  2 Total of line 1, column and the number of years the number of years the line of the lin	tic private foundations subject to the section 4942 tax on the distributions amount in each column for each year and a section 4942 tax.  (b)  Adjusted qualifying distributions  3,212,423.	butable amount of any year in the bound complete this part.  Bar; see the instructions before make the value of noncharitable-use assets to the total on line 2 by 5.0, or by if less than 5 years.  In the bound of	ase period ng any en  2  3  4	d?  tries.  (d)  Distribution ra (col. (b) divided by  65,	tio col. (c)) 1.912015 1.912015 1.912015 459,724.
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Part VI instructions.

Form **990-PF** (2018)

JSA 8E1430 1.000 7700NA 0114 5/13/2019 6:34:36 PM V 18-4.5F

Form 9	990-PF (2018) FOUNDATION FOR A SMOKE-FREE WORLD, INC. 82-280	1838	Р	age 4
Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see i	nstru	ctions	s)
1a	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1.			
	Date of ruling or determination letter: (attach copy of letter if necessary - see instructions)			
	Domestic foundations that meet the section 4940(e) requirements in Part V, check		12,2	280.
	here and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of			
	Part I, line 12, col. (b).			
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			
	Add lines 1 and 2		12,2	280.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		12,2	280.
6	Credits/Payments:			
	2018 estimated tax payments and 2017 overpayment credited to 2018   6a   7,000.			
b	Exempt foreign organizations - tax withheld at source 6b			
С	Tax paid with application for extension of time to file (Form 8868) 6c			
	Backup withholding erroneously withheld 6d			
	Total credits and payments. Add lines 6a through 6d		7,0	000.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached			
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		5,2	280.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  10			
	Enter the amount of line 10 to be: Credited to 2019 estimated tax   Refunded   11			
	t VII-A Statements Regarding Activities			
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No
	participate or intervene in any political campaign?	1a		X
	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the			
-	instructions for the definition	1b		Х
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials			
	published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		Х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
	(1) On the foundation. $\triangleright$ \$ 0 (2) On foundation managers. $\triangleright$ \$ 0			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
	on foundation managers. $\blacktriangleright$ \$			
	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		Х
_	If "Yes," attach a detailed description of the activities.	_		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		Х
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		Х
	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	N/	Δ
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by <i>General Instruction T</i> .			
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
Ū	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that			
		6	Х	
	conflict with the state law remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶  DE , NY ,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			
	4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes,"			
	complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their			
	names and addresses	10		X

Par	t VII-A Statements Regarding Activities (continued)			
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			
	person had advisory privileges? If "Yes," attach statement. See instructions	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ►WWW.SMOKEFREEWORLD.ORG			
14	The books are in care of ▶ THOMAS HARDING Telephone no. ▶ 929-446	-0688	3	
	Located at ▶575 5TH AVENUE, 14TH FLOOR NEW YORK, NY ZIP+4 ▶ 10017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here	N/A		
	and enter the amount of tax-exempt interest received or accrued during the year		N/A	
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?	16		Х
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of			
_	the foreign country			
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required		V	NI.
_	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	disqualified person?  Yes X No  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2018? Yes X			
	If "Yes," list the years			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to	2b	N/	A
	<b>all</b> years listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.	20	117	
·				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
-	at any time during the year? Yes X No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
	foundation had excess business holdings in 2018.)	3b	N/	Α
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Form	990-PF (2018) FOUNDATION FOI	R A SMOKE-FRE	E WORLD, INC.	82	-2800838	F	Page <b>C</b>
Pa	rt VII-B Statements Regarding Activities	for Which Form	4720 May Be Req	uired (continued	<i>'</i> )		
5a	During the year, did the foundation pay or incur any am	ount to:				Yes	No
	(1) Carry on propaganda, or otherwise attempt to influ	ence legislation (section	on 4945(e))?	Yes X	No		
	(2) Influence the outcome of any specific public ele	ection (see section	4955); or to carry or	١,			
	directly or indirectly, any voter registration drive?			Yes X	No		
	(3) Provide a grant to an individual for travel, study, or o			7.7	No		
	(4) Provide a grant to an organization other than a						
	section 4945(d)(4)(A)? See instructions				No		
	(5) Provide for any purpose other than religious, c			. — —			
	purposes, or for the prevention of cruelty to children		•		No		
b	If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the						
	Regulations section 53.4945 or in a current notice regal			•	5b		Х
	Organizations relying on a current notice regarding disa						
_							
С	If the answer is "Yes" to question 5a(4), does the		•		N.		
	because it maintained expenditure responsibility for the	-		res	No		
_	If "Yes," attach the statement required by Regulations s	` ,					
6a	Did the foundation, during the year, receive any fur	•					
	on a personal benefit contract?				No		37
b	Did the foundation, during the year, pay premiums, dire	ectly or indirectly, on a	personal benefit contra	ict?	6b		X
	If "Yes" to 6b, file Form 8870.						
7a	At any time during the tax year, was the foundation a p	• •	· · · · · · · · · · · · · · · · · · ·		No		
b	If "Yes," did the foundation receive any proceeds or ha	•		on?	7b	N/	A
8	Is the foundation subject to the section 4960 tax on pa	, ,					
	remuneration or excess parachute payment(s) during the	year?		Yes X	No		
Pa	rt VIII Information About Officers, Directo and Contractors	rs, Trustees, Fol	indation Managers	s, Hignly Paid En	npioyees,		
1	List all officers, directors, trustees, and foundat	ion managers and	their compensation	See instructions.			
	(a) Name and address	(b) Title, and average hours per week	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans	(e) Expens	se acco	unt,
	(a) Hame and address	devoted to position	enter -0-)	and deferred compensation	n other al	lowance	es
	11						_
ATC	H 11		1,590,809.	35,610	•		0.
2	Compensation of five highest-paid employees "NONE."	(other than thos	se included on line		tions). If n	one,	ente
(a	Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expens		
				·			
ATC	H 12		1,533,703.	83,287			0.
		1	l .				

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Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Emplo and Contractors (continued)	yees,
3 Five	highest-paid independent contractors for professional services. See instructions. If none, enter "NONI	<b>.</b>
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
ATCH 13		9,377,452
Total numb	per of others receiving over \$50,000 for professional services	19
Part IX-A		
	undation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of ns and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE	ATTACHMENT 17	
		6 277 247
2 SEE A	ATTACHMENT 17	6,277,847.
2 000 1		
		6,518,464.
3 SEE A	TTACHMENT 17	
		229,537.
4		229,337.
<u> </u>		
Part IX-B	, ,	Amount
1 NONE	ne two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
2		
All other p	rogram-related investments. See instructions.	
3NONE		
Total. Add	lines 1 through 3	

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Par	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign foi	undations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities.	1a	24,833,214.
	Average of monthly cash balances	1b	41,623,359.
	Fair market value of all other assets (see instructions).	1c	
	Total (add lines 1a, b, and c)	1d	66,456,573.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	66,456,573.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see		
	instructions)	4	996,849.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	65,459,724.
6	Minimum investment return. Enter 5% of line 5	6	3,272,986.
Par	<b>Distributable Amount</b> (see instructions) (Section 4942(j)(3) and (j)(5) private operating four and certain foreign organizations, check here ▶ and do not complete this part.)	ndation	s
1	Minimum investment return from Part X, line 6	1	3,272,986.
	Tax on investment income for 2018 from Part VI, line 5 2a 12,280.		
b	Income tax for 2018. (This does not include the tax from Part VI.)		
	Add lines 2a and 2b.	2c	12,280.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,260,706.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	3,260,706.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	3,260,706.
Par	t XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	30,724,845.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	30,724,845.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.		
	Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		30,724,845.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	lculatir	ng whether the foundation

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qualifies for the section 4940(e) reduction of tax in those years.

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Pa	rt XIII Undistributed Income (see instr	ructions)			
		(a)	(b)	(c)	(d)
1	Distributable amount for 2018 from Part XI,	Corpus	Years prior to 2017	2017	2018
	line 7				3,260,706.
	Undistributed income, if any, as of the end of 2018:				
а	Enter amount for 2017 only				
	Total for prior years: 20_16_,20_15_,20_14_				
	Excess distributions carryover, if any, to 2018:				
	From 2013				
	From 2014				
	From 2015				
	From 2016				
	110111 2011 11111	3,185,969.			
	<b>Total</b> of lines 3a through e  Qualifying distributions for 2018 from Part XII,	3,103,707.			
4	line 4: ► \$ 30,724,845.				
a	Applied to 2017, but not more than line 2a				
	,				
C	Applied to undistributed income of prior years (Election required - see instructions)				
,	Treated as distributions out of corpus (Election				
٠	required - see instructions)				
d	Applied to 2018 distributable amount				3,260,706.
	Remaining amount distributed out of corpus	27,464,139.			
5	Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same				
	amount must be shown in column (a).)				
6	Enter the net total of each column as				
	indicated below:	20 6EO 100			
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,650,108.			
b	Prior years' undistributed income. Subtract				
_	line 4b from line 2b.  Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has				
	been issued, or on which the section 4942(a) tax has been previously assessed				
	Subtract line 6c from line 6b. Taxable				
·	amount - see instructions				
е	Undistributed income for 2017. Subtract line				
	4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2018. Subtract lines				
•	4d and 5 from line 1. This amount must be				
	distributed in 2019				
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section				
	170(b)(1)(F) or $4942(g)(3)$ (Election may be				
_	required - see instructions)				
8	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
Q.	Excess distributions carryover to 2019.				
,	Subtract lines 7 and 8 from line 6a	30,650,108.			
10	Analysis of line 9:				
	Excess from 2014				
	Excess from 2015				
c	Excess from 2016				
d	Excess from 2017 3,185,969.				
е	Excess from 2018 27,464,139.				

orm	990-PF (2018)	FOUNDATIO	N FOR A SMOKE	-FREE WORLD, IN	C.	82-	280083	38 Page <b>1</b> (
Pa	rt XIV Private Ope	erating Foundations	s (see instructions	and Part VII-A, ques	stion 9)	N	OT AP	PLICABLE
1 a	If the foundation has r	eceived a ruling or d	etermination letter th	at it is a private op	erating			
	foundation, and the ruling	is effective for 2018, e	nter the date of the rulin	g	▶∟			
b	Check box to indicate w	hether the foundation	is a private operating	foundation described	in section	4942(j)	(3) or	4942(j)(5)
2 a	Enter the lesser of the ad-	Tax year		Prior 3 years				(e) Total
	justed net income from Part	<b>(a)</b> 2018	<b>(b)</b> 2017	(c) 2016	(d) 2	2015	· '	(e) Total
	I or the minimum investment							
	return from Part X for each year listed							
h	85% of line 2a							
С	Qualifying distributions from Part XII, line 4 for each year listed							
d	Amounts included in line 2c not							
	used directly for active conduct							
	of exempt activities							
е	Qualifying distributions made directly for active conduct of							
	exempt activities. Subtract line							
2	2d from line 2c							
3	Complete 3a, b, or c for the alternative test relied upon:							
а	"Assets" alternative test - enter:							
	(1) Value of all assets.							
	(2) Value of assets qualifying under section							
	4942(j)(3)(B)(i)							
b	"Endowment" alternative test-							
	enter 2/3 of minimum invest-							
	ment return shown in Part X, line 6 for each year listed							
С	"Support" alternative test - enter:							
	(1) Total support other than							
	gross investment income (interest, dividends, rents,							
	payments on securities							
	loans (section 512(a)(5)),							
	or royalties) (2) Support from general							
	public and 5 or more							
	exempt organizations as provided in section 4942							
	(j)(3)(B)(iii)							
	(3) Largest amount of sup- port from an exempt							
	organization							
<b>.</b>	(4) Gross investment income					<b>^ ^ ^ ^ ^ ^ ^ ^ ^ ^</b>		
Рa		tary Information (		rt only if the found	dation had	\$5,000 0	r more	ın assets a
_		uring the year - see						
	Information Regarding			are then 20% of the to	stal aantribus	iono rocci	المبيط اممي	aa farmalatia
а	List any managers of t before the close of any						ed by ti	ne roundation
		tax year (but only if th	icy have contributed	ποιο τιαπ ψο,000). (	000 30011011	501 (u)(z).)		
	N/A							
b	List any managers of					an equally	/ large p	portion of the
	ownership of a partners	snip or other entity) of	which the foundation	on has a 10% or great	er interest.			
	N/A							
2	Information Regarding	Contribution, Grant	, Gift, Loan, Scholars	ship, etc., Programs:				
	Check here ▶ if th	ne foundation only i	makes contributions	s to preselected cha	aritable orga	nizations a	and doe	s not accep
	unsolicited requests fo							
	complete items 2a, b, c	, and d. See instructio	ns.					
а	The name, address, ar	d telephone number	or email address of	the person to whom a	pplications sh	ould be add	lressed:	
	ATCH 14	•		•				
b	The form in which appli	cations should be sub	omitted and informa	tion and materials the	y should incl	ude:		
	T I				•			
	ATCH 14							

c Any submission deadlines:

ATCH 14

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATCH 14

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Part XV Supplementary Information (continued)

oupplementary information	ooninaca)			
3 Grants and Contributions Paid Dur	ing the Year or App	roved for	Future Payment	
Recipient	If recipient is an individual,	Foundation	Purpose of grant or	
3 Grants and Contributions Paid Dur Recipient Name and address (home or business)	any foundation manager	status of	Purpose of grant or contribution	Amount
a Paid during the year	Of Substantial Contributor	Todiplont		
a Tala danng the year				
ATCH 15				
				6,456,486.
Total			▶ 3a	0,430,480.
<b>b</b> Approved for future payment				
ATCH 16				
Total			▶ 3b	19,192,280.

JSA 8E1491 1.000

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Part XVI	-A Analysis of Income-Prod	ucing Act	ivities			
Enter gross	amounts unless otherwise indicated.	Unrela	ated business income	Excluded by	y section 512, 513, or 514	(e)
-	n service revenue:	(a) Business code	<b>(b)</b> Amount	(c) Exclusion code	<b>(d)</b> Amount	Related or exempt function income (See instructions.)
-						,
_						
с						
d						
е						
f						
<b>g</b> Fees	and contracts from government agencies					
2 Member	ship dues and assessments					
3 Interest of	on savings and temporary cash investments -			14	613,991.	
4 Dividend	ds and interest from securities					
5 Net rent	tal income or (loss) from real estate:					
a Debt	-financed property					
<b>b</b> Not o	debt-financed property					
6 Net renta	al income or (loss) from personal property					
7 Other in	vestment income					
8 Gain or (	loss) from sales of assets other than inventory					
	ome or (loss) from special events • • •					
	rofit or (loss) from sales of inventory					
	evenue: a					
	_					
e	Add columns (b) (d) and (c)				613,991.	
	I. Add columns (b), (d), and (e) dl line 12, columns (b), (d), and (e)					613,991.
	heet in line 13 instructions to verify calc					,
Part XVI-			ccomplishment of Ex	xempt Pur	poses	
Line No.	Explain below how each activit			<u> </u>		uted importantly to the
	accomplishment of the foundation	-				
	accomplishment of the foundation	on a exemp	t purposes (other than	by providing	grands for Sacri parpose	a). (Occ matructions.)
	N/A					
	l					

# Page **13** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations Part XVII

1	Did the	e organization direct	ly or indirectly	engage in any	of the following	g with any	other organi	zation described		Yes	No
	in sec	ction 501(c) (other	than section	501(c)(3) orga	anizations) or	in sectio	n 527, relat	ting to political			
	organiz	zations?									
а	Transf	ers from the reportin	g foundation to	a noncharitable	e exempt organ	ization of:					
	(1) Cas	sh							1a(1)		X
	(2) Oth	ner assets							1a(2)		X
b	Other	transactions:									
	(1) Sa	les of assets to a no	ncharitable exe	mpt organization					1b(1)		X
		rchases of assets fro							1b(2)		X
		ntal of facilities, equi							1b(3)		X
		imbursement arrange							-		Х
		ans or loan guarantee									Х
		rformance of service									X
С		g of facilities, equipm		-	_						Х
		answer to any of th	_							fair m	arket
		of the goods, other			•			•			
		in any transaction or									
(a) L	ine no.	(b) Amount involved	(c) Name of r	noncharitable exemp	ot organization	(d) Desc	ription of transfers	s, transactions, and shar	ring arra	ngeme	nts
.,		N/A			Ū	N/A	•				
22	le the	foundation directly	or indirectly af	filiated with or	related to one	a or more	tav-evemnt (	organizations			
Za		bed in section 501(c)	-				-	-		esX	No
h		s," complete the follow	•	ction 30 ((c)(3))	or in section 52	27:				cs	_ NO
	11 163	(a) Name of organization		(b) Type	e of organization		(c)	Description of relations	hin		
		(a) Name of organization	'	( <b>b)</b> 1990	o or organization		(0)	Description of relations	шр		
	Under	r penalties of perjury, I decla	are that I have exami	ned this return, include	ding accompanying so	hedules and s	tatements, and to	the best of my knowledg	e and t	elief, it	is true,
	I	ct, and complete. Declaration of	preparer (other than t	axpayer) is based on all	information of which p	reparer has an	y knowledge.				
Sig	n			5/14/19		COO		May the IRS			
Her	e Sign	nature of officer or thistee		Date	<b>7</b>	Title		with the pre		Yes	
	Oigi	nature of moci of thistes	-2	_ Date		THIC		See instructions	5	. res [	No
		Print/Type preparer's na	me	Frepa er's sig/	ature 1		Date	Chaste is F	PTIN		
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Pre	parer		NST & YOUN	G II S T.T.D	V			24 65			
	Only	1.0		CENTER, 31	2 שבוואודב פ	 !Т	F	irm's EIN ► 34-65			
-30	City		NCINNATI,	OH	WILLINGT C	452	02	Phone no 513-61	2-14	400	
			TACTIMINATT,	J11		172	<u> </u>	110110 110.	m <b>99</b> (		(2019)
								For	iii JJ(	J-1 I	(ZUI0)

# Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

### Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No. 1545-0047

2018

**Employer identification number** 

FOUNDATION FOR A SMOKE-FREE WORLD, INC. 82-2800838 Organization type (check one): Filers of: Section: Form 990 or 990-EZ 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule**  $\lfloor exttt{X} 
floor$  For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. **Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its

Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number 82-2800838

Part I	Contributors	(see instructions).	Use duplicate	copies of Part I if	additional space is needed.

(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
1	PMI GLOBAL SERVICES, INC  120 PARK AVENUE  NEW YORK, NY 10017	\$80,000,000.	Person Payroll Noncash  (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions	Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number 82-2800838

Part II	Noncash Property	(see instructions)	Use duplicate copie	es of Part II if additiona	I space is needed
CII G III	140116a3111 10pc1ty	1300 111311 401101137.	. Use auplicate copi		i space is riceacu.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	

Name of or	rganization FOUNDATION FOR A SMOKE	-FREE WORLD, IN	IC.	Employer identification number 82-2800838	
Part III	(10) that total more than \$1,000 for the following line entry. For organization contributions of \$1,000 or less for the	Exclusively religious, charitable, etc., contributions to organizations desc (10) that total more than \$1,000 for the year from any one contributor. Of the following line entry. For organizations completing Part III, enter the total contributions of \$1,000 or less for the year. (Enter this information once. So Use duplicate copies of Part III if additional space is needed.			
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held	
	Transferee's name, address, ar	(e) Transf nd ZIP + 4		nship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held	
	Transferee's name, address, ar	(e) Transf		nship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held	
	(e) Trans  Transferee's name, address, and ZIP + 4		nsfer of gift  Relationship of transferor to transferee		
(a) Na					
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held	
	Transferee's name, address, ar	(e) Transf	-	nship of transferor to transferee	

ATTACHMENT	1
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	FORM	990PF,	PART	I -	LEGAL	FEES
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DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT <u>INCOME</u>	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
LEGAL FEES		2,578,537.			2,450,392.
	TOTALS	2,578,537.			2,450,392.

ATTACHMENT 2	2
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# FORM 990PF, PART I - ACCOUNTING FEES

		REVENUE AND	NET	ADJUSTED	
DESCRIPTION		EXPENSES PER BOOKS	INVESTMENT _INCOME_	NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES		305,066.			255,066.
	TOTALS	305,066.			255,066.

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# FORM 990PF, PART I - OTHER PROFESSIONAL FEES

	REVENUE AND	
	EXPENSES	CHARITABLE
DESCRIPTION	PER BOOKS	PURPOSES
PROFESSIONAL SERVICES	2,647,413.	2,256,137.
COMMUNICATIONS	7,593,097.	6,587,437.
CONSULTING FEES	1,832,240.	1,439,985.
RECRUITING CONSULTANTS	74,216.	73,514.
OTHERS	2,642.	2,641.
TOTALS	12,149,608.	10,359,714.

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FORM 990PF, PART I - TAXES

REVENUE

AND

EXPENSES

PER BOOKS

DESCRIPTION

14.

2017 FED EXCISE TAX PAYMENT 2018 EST FED EXCISE TAX PYMT

7,000.

TOTALS

7,014.

TOKE JOUR , FAKT I OTHER EXFERNEL	FORM	990PF,	PART	I	_	OTHER	EXPENSES
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		REVENUE	
		AND	
		EXPENSES	CHARITABLE
DESCRIPTION		PER BOOKS	PURPOSES
GENERAL OFFICE EXPENSES		693,811.	767,129.
COMPUTER & EQUIPMENT		31,744.	31,744.
MARKETING			17,063.
MISCELLANEOUS EXPENSES			5,206.
	TOTALS	725,555.	821,142.

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ATTACHMENT	6	
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### FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
PREPAID GRANT EXPENSES PREPAID RENT	43,417. 20,920.	43,417. 20,920.
TOTALS	64,337.	64,337.

PAGE 26

ATTA	CHMENT	7

# FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
FIDELITY GOVT MONEY MARKET CAP	45,426,152.	45,426,152.
TOTALS	45,426,152.	45,426,152.

$\Delta TT\Delta$	CHMENT	Ω
AIIA		0

# FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
SECURITY DEPOSITS OTHER RECEIVABLES	152,012. 57,760.	152,012. 57,760.
TOTALS	209,772.	209,772.

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### FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION AMOUNT

2017 ACCRUED EXPENSES PREPAID GRANT FOR 2018 432,398. -50,000.

TOTAL

382,398.

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ABF GMBH

GRANTEE'S ADDRESS: SEMMELWEISSTRASSE 5

CITY, STATE & ZIP: PLANEGG

FOREIGN COUNTRY: GERMANY 82152 GRANT DATE: 10/08/2018 GRANT AMOUNT:

GRANT AMOUNT: 15,000.
GRANT PURPOSE: PHASE 1 BIOMARKER RESEARCH

AMOUNT EXPENDED: 15,000.

ANY DIVERSION? NO

2/11/2019 DATES OF REPORTS: VERIFICATION DATE: 02/12/2019

RESULTS OF VERIFICATION:

THE TOTAL SPENDING OF \$15,000 AS PER REPORT WHICH IS 100% UTILIZATION

OF THE GRANT VALUE.

ACK CONSULTANTS GRANTEE'S NAME:

GRANTEE'S ADDRESS: 121-123 ATHELSTAN ROAD

CITY, STATE & ZIP: FAVERSHAM

FOREIGN COUNTRY: UNITED KINGDOM ME13 8QW

05/31/2018

GRANT AMOUNT: 100,000.

ALTERNATIVE LIVELIHOODS FOR TOBACCO FARMERS IN

DEVELOPING COUNTRIES

49,753. AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: 11/28/2018 VERIFICATION DATE: 11/28/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$49,753 THAT IS 50% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$100,000.

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.

CITY, STATE & ZIP: ISLAMABAD

FOREIGN COUNTRY: PAKISTAN G-11 MARKAZ GRANT DATE: 05/30/2018

GRANT AMOUNT: 89,400.

GRANT PURPOSE: MAPPING STATUS OF HARM REDUCTION PRODUCTS FRAMEWORK

IN PAKISTAN.

AMOUNT EXPENDED: 89,400.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$89,425 WHICH IS 100% UTILIZATION OF THE GRANT AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL

DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.

CITY, STATE & ZIP: ISLAMABAD

PAKISTAN G-11 MARKAZ FOREIGN COUNTRY:

GRANT DATE: 10/26/2018

GRANT AMOUNT: 31,240. GRANT PURPOSE: BRIDGING GRANT

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.

CITY, STATE & ZIP: ISLAMABAD FOREIGN COUNTRY: PAKISTAN G-11 MARKAZ

GRANT DATE: 12/12/2018

GRANT AMOUNT: 164,560.

GRANT AMOUNT: 164,560.

GRANT PURPOSE: KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING

CESSATION & HRP USE IN MARGINALIZED COMM.

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: BEHAVIORAL DIAGNOSTICS LLC

GRANTEE'S ADDRESS: 2500 CROSSPARK ROAD CITY, STATE & ZIP: CORALVILLE, IA 52241

GRANT DATE: 10/08/2018

GRANT AMOUNT: 78,533.

GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM

AMOUNT EXPENDED: 78,533.

ANY DIVERSION? NO

DATES OF REPORTS: 2/10/2019 VERIFICATION DATE: 02/12/2019

RESULTS OF VERIFICATION:

TOTAL SPENDING OF \$78,533 WHICH IS 100% UTILIZATION OF THE GRANT

VALUE.

GRANTEE'S NAME: BRAC

GRANTEE'S ADDRESS: BRAC CENTRE CITY, STATE & ZIP: 75 MOHAKHALI

BANGLADESH DHAKA 1212 FOREIGN COUNTRY:

GRANT DATE: 05/31/2018 GRANT AMOUNT: 64,151.

DOC. BEHAVIOR PATTERNS, RISK PERCEPTION & SOCIAL FACTOR GRANT PURPOSE:

THAT DISCOURAGE TB PATIENTS TO QUIT SMOKING

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

AMOUNT EXPENDED: 32,075.

ANY DIVERSION? NO

DATES OF REPORTS: 10/25/2018 VERIFICATION DATE: 11/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$32,075 WHICH IS 50% UTILIZATION OF

THE GRANT.

GRANTEE'S NAME: CAMBRIDGE DESIGN PARTNERSHIP LTD

GRANTEE'S ADDRESS: THE LONG BARN CHURCH ROAD,

CITY, STATE & ZIP: TOFT

FOREIGN PROVINCE: CAMBRIDGE FOREIGN COUNTRY: UNITED KI

FOREIGN COUNTRY: UNITED KINGDOM CB23 2RF

GRANT DATE: 05/21/2018

GRANT AMOUNT: 104,320.

GRANT PURPOSE: EMERGING TECHNOLOGIES TO DRIVE MASS ADOPTION OF

REDUCED-RISK PRODUCTS

AMOUNT EXPENDED: 104,320.

ANY DIVERSION? NO

DATES OF REPORTS: 10/5/2018 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$104,320 WHICH IS 100% UTILIZATION OF THE GRANT AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL

DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: CARLYLE GLOBAL PARTNERSHIP
GRANTEE'S ADDRESS: ONE CHASE ROAD PENTHOUSE SCARSDALE

CITY, STATE & ZIP: NEW YORK, NY 10583 GRANT DATE: 11/12/2017

GRANT AMOUNT: 200,000.

GRANT PURPOSE: CO-HOST SUMMIT CELEBRATION OF KNOWLEDGE & INNOVATION

AT THE NEXUS OF WELLNESS & SMOKE FREE WORLD

AMOUNT EXPENDED: 200,000.

NO ANY DIVERSION?

DATES OF REPORTS: 07/10/2018 VERIFICATION DATE: 01/02/2018

RESULTS OF VERIFICATION:

EVENT COMPLETED. MOUNT VERNON-WASHINGTON DC SUMMIT MARCH 20-22,

2018.

GRANTEE'S NAME: CARLYLE GLOBAL PARTNERSHIP

GRANTEE'S ADDRESS: ONE CHASE ROAD PENTHOUSE SCARSDALE

CITY, STATE & ZIP: NEW YORK, NY 10583

GRANT DATE: 07/10/2018

GRANT AMOUNT: 125,000.

GRANT PURPOSE: CO-HOST SUMMIT CELEBRATION OF KNOWLEDGE & INNOVATION

AT THE NEXUS OF WELLNESS & SMOKE FREE WORLD

125,000. AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: 07/10/2018 VERIFICATION DATE: 07/10/2018

CONT'D ON NEXT PAGE

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

EVENT COMPLETED. MONACO SUMMIT SEPTEMBER 22-25, 2018.

GRANTEE'S NAME: CENTRAL REGION MILK PRODUCERS ASSOCIAT.

GRANTEE'S ADDRESS: P.O. BOX 376 CITY, STATE & ZIP: LILONGWE

FOREIGN COUNTRY: MALAWI
GRANT DATE: 11/08/2018
GRANT AMOUNT: 49,857.

GRANT PURPOSE: CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY

AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: CENTRE FOR SUBSTANCE USE RESEARCH GRANTEE'S ADDRESS: BLOCK 3/2, WEST OF SCOTLAND SCIENCE

CITY, STATE & ZIP: MARYHILL ROAD

FOREIGN PROVINCE: GLASGOW

FOREIGN COUNTRY: UNITED KINGDOM G20 OSP

GRANT DATE: 05/31/2018

GRANT AMOUNT: 96,063.

GRANT PURPOSE: PROPOSAL TO DEVELOP A CENTRE OF EXCELLENCE IN BEHAVIOUR RESEARCH RELATED SMOKING CESSATION

AMOUNT EXPENDED: 94,682.

ANY DIVERSION? NO

DATES OF REPORTS: 10/14/2018 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$94,682 WHICH IS 98% UTILIZATION OF THE

GRANT.

GRANTEE'S NAME: CENTRE FOR SUBSTANCE USE RESEARCH GRANTEE'S ADDRESS: BLOCK 3/2, WEST OF SCOTLAND SCIENCE

CITY, STATE & ZIP: MARYHILL ROAD

FOREIGN PROVINCE: GLASGOW

FOREIGN COUNTRY: UNITED KINGDOM G20 OSP

GRANT DATE: 05/31/2018
GRANT AMOUNT: 92,941.

GRANT PURPOSE: DETERMINANTS AND IMPACT OF SWITCHING TO REDUCED RISK

PRODUCTS

AMOUNT EXPENDED: 92,839.

ANY DIVERSION? NO

DATES OF REPORTS: 10/14/2018 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$92,839 WHICH IS 100% UTILIZATION OF THE GRANT.

CONT'D ON NEXT PAGE

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: CHILD SIGHT FOUNDATION

GRANTEE'S ADDRESS: APARTMENT A1 & B3, HOUSE 09, RD. 2/1

CITY, STATE & ZIP: BANANI R/A

FOREIGN COUNTRY: BANGLADESH DHAKA 1213

GRANT DATE: 05/31/2018

GRANT AMOUNT: 92,620.

GRANT PURPOSE: SYSTEMATIC REVIEW & SITUATION ANALY. OF SMOKING & PERC.

ABOUT HARM REDUCTION AMONGST SLUM DWELLERS

AMOUNT EXPENDED: 24,217.

NO ANY DIVERSION?

DATES OF REPORTS: 08/05/2018 VERIFICATION DATE: 08/07/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$24,217 THAT IS 26% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$92,620.

GRANTEE'S NAME: CLINIVANTAGE HEALTHCARE TECHNOLOGIES INC

GRANTEE'S ADDRESS: 505 CENTO CT

CITY, STATE & ZIP: PLEASANTON, CA 94566-6329

GRANT DATE: 05/31/2018

GRANT AMOUNT: 36,000.

GRANT PURPOSE: PROPOSAL DEVELOP. FOR RURAL MARKET STUDY & UNDERSTAND

DEPLOYMENT OF HARM REDUCTION ALTERNATIVES

AMOUNT EXPENDED: 39,316.

ANY DIVERSION? NO

DATES OF REPORTS: DATES OF REPORTS: 10/15/2018 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$39,316 WHICH IS 100% UTILIZATION OF THE GRANT AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL

DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: ECLAT SRL

GRANTEE'S ADDRESS: UNIVERSITY OF CATANIA

CITY, STATE & ZIP: TORRE BIOLOGICA FOREIGN PROVINCE: CATANIA, SICILY FOREIGN COUNTRY: ITALY

GRANT DATE: 05/30/2018

GRANT AMOUNT: 130,559.

CENTER OF EXCELLENCE FOR THE ACCELERATION OF HARM GRANT PURPOSE:

REDUCTION (COEHAR)

AMOUNT EXPENDED: 124,543.

ANY DIVERSION? NO

VARIOUS DATES OF REPORTS:

VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$124,543 AGAINST GRANT VALUE OF \$130,559 THAT

IS 95% UTILIZATION OF THE GRANT.

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ECLAT SRL

UNIVERSITY OF CATANIA

GRANTEE'S ADDRESS: UNIVERSITY OF CARCITY, STATE & ZIP: TORRE BIOLOGICA FOREIGN PROVINCE: CATANIA, SICILY FOREIGN COUNTRY: ITALY

GRANT DATE: 09/28/2018

GRANT AMOUNT: 75,246.

ACCELERATE AND IMPROVE SMOKING PRECISION AND DETECTION GRANT PURPOSE:

RATE OF MONITORING THE SMOKING EPISODES

AMOUNT EXPENDED: 58,452.

ANY DIVERSION? NO

DATES OF REPORTS: 01/30/2019

VERIFICATION DATE: 02/01/2019

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$58,452 WHICH IS 78% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$75,245.

GRANTEE'S NAME: FILTER MAGAZINE

GRANTEE'S ADDRESS: 447 BROADWAY, 2ND FLOOR

CITY, STATE & ZIP: NY, NY 10013 GRANT DATE: 12/04/2018

GRANT AMOUNT: 190,000.

GRANT PURPOSE: GENERAL SUPPORT. DELIVERABLES LISTED IN PROPOSAL.

PLUS TO COVER COSTS FOR HOSTING CONSULTATION

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: FOX FOUNDATION

GRANTEE'S ADDRESS: 1002 S. CHURCH AVENUE, SUITE 10822

CITY, STATE & ZIP: TAMPA, FL 33629 GRANT DATE: 12/18/2018

GRANT AMOUNT: 59,910.

GRANT PURPOSE: SCOPING FOR BEHAVIORAL PSYCHOLOGY CENTRE OF EXCELLENCE

AMOUNT EXPENDED:

ANY DIVERSION? NΟ DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: INTL. NET. OF NICOTINE CONSUMER ORGAN.

GRANTEE'S ADDRESS: QUAI DE L'ILE 13

CITY, STATE & ZIP: GENEVA

SWITZERLAND CH-1204 FOREIGN COUNTRY:

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT DATE: 11/26/2018

GRANT AMOUNT: 100,300.

GRANT PURPOSE: STRENGTHENING NICOTINE CONSUMER ORGANIZATIONS

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: INTL FCSTONE FINANCIAL INC.

GRANTEE'S ADDRESS: 1251 NW BRIARCLIFF PKWY SUITE 800

CITY, STATE & ZIP: KANSAS CITY, MO 64116

GRANT DATE: 05/31/2018

GRANT AMOUNT: 10,500.

GRANT PURPOSE: EVENT: SOUTHERN AFRICA STRUCTURED TRADE SEMINAR

26-29 JUNE 2018

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY

GRANT DATE: 09/19/2018 GRANT AMOUNT: 69,000.

GRANT PURPOSE: PRODUCTION OF A COMBINATION OF ONLINE JOURNALS

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY

GRANT DATE: 10/01/2018

GRANT AMOUNT: 176,700.

GRANT PURPOSE: TO PRODUCE THE FIRST EDITION OF THE GLOBAL STATE OF

TOBACCO HARM REDUCTION (GSTHR)

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

CONT'D ON NEXT PAGE

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY

GRANT DATE: 10/01/2018

GRANT AMOUNT: 306,373.

GRANT PURPOSE: INCREASE CAPACITY FOR CONDUCTING AND UNDERSTANDING

RESEARCH RELATED TO TOBACCO HARM REDUCTION

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY

GRANT DATE: 10/23/2018

GRANT AMOUNT: 32,000.

GRANT PURPOSE: TRANSLATION OF EXECUTIVE SUMMARY OF GSTH REPORT FROM

ENGLISH TO ARABIC, CHINESE, FRENCH, RUSSIAN AND

SPANISH

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY

GRANT DATE: 10/26/2018

GRANT AMOUNT: 467,291.

GRANT PURPOSE: GLOBAL STATE OF TOBACCO HARM REDUCTION ROAD SHOWS

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: LUMOS DIAGNOSTICS PTY LTD

GRANTEE'S ADDRESS: 2724 LOKER AVE WEST

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

CITY, STATE & ZIP: CARLSBAD, CA 92010

GRANT DATE: 10/08/2018

GRANT AMOUNT: 92,210.

GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM

AMOUNT EXPENDED: 92,210.

ANY DIVERSION? NO

DATES OF REPORTS: 2/13/2019 VERIFICATION DATE: 02/20/2019

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$92,210 WHICH IS 100% OF UTILIZATION

GRANTEE'S NAME: METAFORM VENTURES LLC

GRANTEE'S ADDRESS: 505 CENTO CT

CITY, STATE & ZIP: PLEASANTON, CA 94566-6329

GRANT DATE: 05/31/2018

GRANT AMOUNT: 100,000.

GRANT PURPOSE: PROPOSAL DEVELOPMENT FOR ESTABLISHING CENTER OF

EXCELLENCE IN INDIA

AMOUNT EXPENDED: 100,000.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS
VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$107,068 WHICH IS 100% UTILIZATION OF THE GRANT

WITH EXCESS BALANCE FUNDED BY THE GRANTEE.

GRANTEE'S NAME: METAFORM VENTURES LLC

GRANTEE'S ADDRESS: 505 CENTO CT

CITY, STATE & ZIP: PLEASANTON, CA 94566-6329

GRANT DATE: 12/07/2018

GRANT AMOUNT: 17,282.
GRANT PURPOSE: BRIDGING GRANT

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: NANOCOMPOSIX

GRANTEE'S ADDRESS: 4878 RONSON COURT SUITE J

CITY, STATE & ZIP: SAN DIEGO, CA 92111

GRANT DATE: 10/08/2018

GRANT AMOUNT: 67,840.

GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM

AMOUNT EXPENDED: 67,840.

ANY DIVERSION? NO

DATES OF REPORTS: 1/8/2019 VERIFICATION DATE: 01/28/2019

CONT'D ON NEXT PAGE

#### FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

TOTAL UTILIZATION OF 100% IN SCOPING GRANT

GRANTEE'S NAME: P.N.SRIVASTAVA FND. FOR SCIENTIFIC EDUCATION & RESEARCH

GRANTEE'S ADDRESS: NORTH-EASTERN HILL UNIVERSITY

CITY, STATE & ZIP: UMSHING FOREIGN PROVINCE: SHILLONG

FOREIGN COUNTRY: INDIA 793 022
GRANT DATE: 05/31/2018
GRANT AMOUNT: 67,600.

GRANT PURPOSE: DETAILED PROJECT PROPOSAL DEVELOP. FOR ESTABLISH. OF A

CENTER OF EXCELL. ON HARM REDUCTION SCIENCE

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: PATRAS SCIENCE PARK
GRANTEE'S ADDRESS: UNIVERSITY CAMPUS

CITY, STATE & ZIP: RIO ACHAIA FOREIGN COUNTRY: GREECE 26504 GRANT DATE: 05/30/2018

GRANT AMOUNT: 91,850.

GRANT PURPOSE: DEVELOP. OF INSTI. FOR RES. & INNO. ON THR - PERCEIVED

RISK STUDY & INTERVENTION OF SMOKE FREE PROD.

AMOUNT EXPENDED: 82,657.

ANY DIVERSION? NO
DATES OF REPORTS: VARIOUS
VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$82,657 WHICH IS 90% UTILIZATION OF THE GRANT. THE GRANTEE HAS CONFIRMED THAT BALANCE MONEY WILL BE UTILIZED DURING

THE EXTENSION PERIOD.

GRANTEE'S NAME: PATRAS SCIENCE PARK
GRANTEE'S ADDRESS: UNIVERSITY CAMPUS

CITY, STATE & ZIP: RIO ACHAIA
FOREIGN COUNTRY: GREECE 26504
GRANT DATE: 11/16/2018

GRANT AMOUNT: 14,135.
GRANT PURPOSE: BRIDGING GRANT

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: QBAL (QUALITY BELLIGERENCE)

GRANTEE'S ADDRESS: OFFICE NO 1, 2ND FLOOR, PLOT NO 5,

CITY, STATE & ZIP: JASPAL ARCADE FOREIGN PROVINCE: ISLAMARAD

FOREIGN PROVINCE: ISLAMABAD
FOREIGN COUNTRY: PAKISTAN G-8/4
GRANT DATE: 05/31/2018

GRANT AMOUNT: 100,000.

GRANT PURPOSE: WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR FARMERS TOO

AMOUNT EXPENDED: 100,000.

ANY DIVERSION? NO
DATES OF REPORTS: VARIOUS
VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$100,000 WHICH IS 100% UTILIZATION OF THE GRANT.

RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL

DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: QBAL (QUALITY BELLIGERENCE)

GRANTEE'S ADDRESS: OFFICE NO 1, 2ND FLOOR, PLOT NO 5,

CITY, STATE & ZIP: JASPAL ARCADE FOREIGN PROVINCE: ISLAMABAD FOREIGN COUNTRY: PAKISTAN G-8/4 GRANT DATE: 11/06/2018

GRANT AMOUNT: 15,000.

GRANT PURPOSE: WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR

FARMERS TOO - BRIDGING GRANT

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: SOUTHERN AFRICAN FND. FOR AGRICULTURE & CLIMATE CHANGE

GRANTEE'S ADDRESS: ELINA & EVELYN CENTRE, P.O. BOX 379

CITY, STATE & ZIP: LILONGWE FOREIGN COUNTRY: MALAWI GRANT DATE: 05/31/2018

GRANT AMOUNT: 80,100.

GRANT PURPOSE: TRANSITIONING TOBACCO FARMERS TO ALTER. LIVELIHOODS

GRANT PURPOSE: TRANSITIONING TAMOUNT EXPENDED: 40,127.

ANY DIVERSION? NO

DATES OF REPORTS: 9/10/2018 VERIFICATION DATE: 09/17/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$40,127 WHICH IS 50% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$80,100.

GRANTEE'S NAME: UNIVERSITY OF CAPE TOWN

CONT'D ON NEXT PAGE

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ATTACHMENT 10 (CONT'D)

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S ADDRESS: UNIVERSITY OF CAPE TOWN RM6 N. WING

CITY, STATE & ZIP: CAPE TOWN

FOREIGN COUNTRY: SOUTH AFRICA 7925

GRANT DATE: 05/31/2018

GRANT AMOUNT: 70,800.

GRANT PURPOSE: AFRICAN CENTRE OF EXCELLENCE FOR SMOKING AND

MENTAL HEALTH

AMOUNT EXPENDED: 24,517.

ANY DIVERSION? NO

DATES OF REPORTS: 11/8/2018 VERIFICATION DATE: 11/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$24,517 WHICH IS 35% UTILIZATION OF THE GRANT.

GRANTEE'S NAME: UNIVERSITY OF STELLENBOSCH

GRANTEE'S ADDRESS: P O BOX 610 CITY, STATE & ZIP: BELLVILLE

FOREIGN COUNTRY: SOUTH AFRICA 7535

GRANT DATE: 06/01/2018

GRANT AMOUNT: 85,000.

GRANT PURPOSE: AN ETHICAL FRAMEWORK FOR A SMOKE-FREE WORLD

AMOUNT EXPENDED: 82,549.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$82,549 THAT IS 97% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$85,000.

GRANTEE'S NAME: YOUTH BRIDGE, INC. GRANTEE'S ADDRESS: LCUNA BUILDING

CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE

FOREIGN PROVINCE: LIBERIA, WEST AFRICA

FOREIGN COUNTRY: LIBERIA
GRANT DATE: 05/31/2018

GRANT AMOUNT: 55,458.

GRANT PURPOSE: IN-DEPTH ASSESSMENT ON THE CULTURE OF SMOKING IN

LIBERIA

AMOUNT EXPENDED: 55,458.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$55,458 WHICH IS 100% UTILIZATION OF THE GRANT.

RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL

DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

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ATTACHMENT 10 (CONT'D)

# FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: YOUTH BRIDGE, INC. GRANTEE'S ADDRESS: LCUNA BUILDING

CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE

FOREIGN PROVINCE: LIBERIA, WEST AFRICA FOREIGN COUNTRY: LIBERIA GRANT DATE: 10/26/2018

GRANT AMOUNT: 10,050. GRANT PURPOSE: BRIDGING GRANT AMOUNT EXPENDED: 10,050.

NO ANY DIVERSION?

DATES OF REPORTS: 1/2/2019 VERIFICATION DATE: 01/28/2019

RESULTS OF VERIFICATION:

THE TOTAL UTILIZATION OF 100% IN BRIDGE GRANT.

GRANTEE'S NAME: YOUTH BRIDGE, INC. GRANTEE'S ADDRESS: LCUNA BUILDING

CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE

FOREIGN PROVINCE: LIBERIA, WEST AFRICA FOREIGN COUNTRY: LIBERIA

GRANT DATE: 12/12/2018

GRANT AMOUNT: 85,575.

FRAMING PRACTICAL SOLUTIONS TO ENDING SMOKING IN GRANT PURPOSE:

LIBERIA

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV

GRANTEE'S ADDRESS: 109 CARLISLE ROAD CITY, STATE & ZIP: TORBAY FOREIGN PROVINCE: AUCKLAND

FOREIGN COUNTRY: NEW ZEALAND 0632

GRANT DATE: 05/30/2018

GRANT AMOUNT: 978,449.

GRANT PURPOSE: PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING CESSATION

AND HARM REDUCTION IN INDIGENOUS PEOPLE

AMOUNT EXPENDED: 489,225.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$489,225 WHICH IS 50% OF UTILIZATION AGAINST

APPROVED GRANT BUDGET OF \$978,449.

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ATTACHMENT 11

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DEREK YACH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	PRESIDENT/DIRECTOR 40.00	795,744.	17,956.	0.
MICHAEL SAGNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	19,028.	0.	0.
LISA GABLE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHAIR/DIRECTOR 10.00	88,655.	0.	0.
ZOE FELDMAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	37,500.	0.	0.
DYBORN CHIBONGA 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	37,500.	0.	0.

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT	11	( CONTELL D )
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NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
THOMAS HARDING 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHIEF OPERATING OFFICER 40.00	494,686.	17,654.	0.
PAMELA PARIZEK 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 03/22/18 10.00	28,833.	0.	0.
MARTIN SKANCKE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 01/23/2018 10.00	34,410.	0.	0.
ANDREW MACLEOD 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 03/22/2018 10.00	44,262.	0.	0.
DARSHITA GILLIES 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUS
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ATTACHMENT 11 (CONT'D)	
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NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL GARDNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.
CORINNA LATHAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.
NORIKO TOJO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 11/12/2018 10.00	0.	0.	0.
ELIZABETH A. SMITH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	SECRETARY 10.00	0.	0.	0.
	GRAND TOTALS	1,590,809.	35,610.	0.

# 990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

JOHN TAKE VIII COM BROATION	OF THE FIVE HIGHEST TAID I		ATTACHMENT 12
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS
FARHAD RIAHI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	CHIEF-HEALTH&SCIENCE 40.00	442,795.	9,494.
JAMES LUTZWEILER 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-AGRICULTURE 40.00	350,711.	24,391.
HEATHER MAJEWSKI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-SHARED VALUE INIT 40.00	344,308.	23,493.
MICA WILSON 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-MKT&COMM. 40.00	225,778.	13,292.
BRIAN ERKKILA 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-HEALTH,SCI&TECH 40.00	170,111.	12,617.
	TOTAL COMPENSATION	1,533,703.	83,287.

# 990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

	ATTACHM	ENT 13
NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
OGILVY PUBLIC RELATIONS WORLDWIDE 245 1ST STREET, 10TH FLOOR CAMBRIDGE, MA 02124	PUBLIC RELATIONS	5,217,959.
BAKER & HOSTETLER LLP 45 ROCKEFELLER PLAZA NEW YORK, NY 10111	LEGAL FEES	2,114,296.
CROSS MY HEART PRODUCTIONS LLC 4347 W. NORTHWEST HWY. 120-346 DALLAS, TX 75220	MEDIA & PRODUCTION	770,073.
MERCURY PUBLIC AFFAIRS 1 ELM ST WESTFIELD, NJ 07090	PUBLIC RELATIONS	664,616.
A-CONNECT (US) INC. 565 5TH AVE #501 NEW YORK, NY 10017	CONSULTING	610,508.
TOTAL COMPENSA	ΓΙΟΝ	9,377,452.

## ATTACHMENT 14

## FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

APPLICATION SHOULD BE ADDRESSED TO:

URL: SMOKEFREEWORLD. ORG SUPPORT@SMOKEFREEWORLD.ORG

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

#### SUBMISSION DEADLINES:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

#### RESTRICTIONS OR LIMITATIONS ON AWARDS:

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

CORALVILLE, IA 52241

#### FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			454 440
THE CONRAD FOUNDATION	N/A	SPONSORSHIP OF THE 2018-2019 CONRAD SPIRIT OF	174,113.
1120 NASA PARKWAY SUITE 108	PC	INNOVATION CHALLENGE.	
HOUSTON, TX 77058			
CARLYLE GLOBAL PARTNERSHIP	N/A	CO-HOST THE SUMMIT 'A CELEBRATION OF KNOWLEDGE	275,000.
ONE CHASE ROAD PENTHOUSE	NC	AND INNOVATION AT THE NEXUS OF WELLNESS AND A	
SCARSDALE, NY 10583		SMOKE-FREE WORLD'.	
55.11.651.11.27 T. 10505		STORE TREE NORTH	
ABF GMBH	N/A	PHASE 1 BIOMARKER RESEARCH	15,000.
SEMMELWEISSTRASSE 5	NC		
PLANEGG			
GERMANY 82152			
ACK CONSULTANTS	N/A	ALTERNATIVE LIVELIHOODS FOR TOBACCO FARMERS IN	100,000.
BURFIELD HOUSE 121-123 ATHELSTAN RD	NC	DEVELOPING COUNTRIES	
FAVERSHAM			
UNITED KINGDOM ME13 8QW			
ALTERNATIVE RESEARCH INITIATIVE	N/A	KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING	161,780.
OFFICE #31, 1ST FL. AL-ANAYAT MALL.	NC	CESSATION AND HRP USE IN MARGINALIZED	
ISLAMABAD		COMMUNITIES; FRAMEWORK IN PAKISTAN; BRIDGING	
PAKISTAN G-11 MARKAZ		GRANT	
BEHAVIORAL DIAGNOSTICS LLC	N/A	BIOMARKER RESEARCH PROGRAM	78,533.
2500 CROSSPARK ROAD	NC		

BANGLADESH

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BRAC	N/A	DOCUMENTING BEHAVIOR PATTERNS, RISK PERCEPTION	32,075.
RESEARCH AND EVALUATION DIVISION	NC	AND SOCIAL FACTORS THAT DISCOURAGE TB PATIENTS TO	
BRAC CENTRE 75 MOHAKHALI		QUIT SMOKING	
DHAKA			
BANGLADESH 1212			
CAMBRIDGE DESIGN PARTNERSHIP LTD	N/A	EMERGING TECHNOLOGIES TO DRIVE MASS ADOPTION OF	104,320.
THE LONG BARN, CHURCH ROAD, TOFT	NC	REDUCED-RISK PRODUCTS	104,320.
CAMBRIDGE	140	REDUCED RISK PRODUCTS	
UNITED KINGDOM CB23 2RF			
UNITED KINGDOM CB23 ZKr			
CENTRAL REGION MILK PRODUCERS ASSOCIATION	N/A	CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY	24,929.
P.O. BOX 376	NC	AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO	
LILONGWE			
MALAWI			
CENTRE FOR SUBSTANCE USE RESEARCH	N/A	PROPOSAL TO DEVELOP A CENTRE OF EXCELLENCE IN	189,004.
BLOCK 3/2, W.OF SCOTLAND SCIENCE PK	NC	BEHAVIOURAL RESEARCH RELATED TO ANDS AND SMOKING	
MARYHILL ROAD		CESSATION; WHY DO SMOKERS SWITCH TO USING	
GLASGOW		ALTERNATIVE NICOTINE DELIVERY SYSTEMS TECHNOLOGY,	
UNITED KINGDOM G20 OSP		AND WHAT HAPPENS WHEN THEY DO?	
CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV	N/A	PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING	489,225.
109 CARLISLE ROAD	NC	CESSATION AND HARM REDUCTION IN INDIGENOUS PEOPLE	
TORBAY			
AUCKLAND			
NEW ZEALAND 0632			
CHILD SIGHT FOUNDATION	N/A	SYSTEMATIC REVIEW AND SITUATION ANALYSIS OF	92,620.
APARTMENT A1 & B3, HOUSE 09,	NC	SMOKING AND PERCEPTIONS ABOUT HARM REDUCTION	
ROAD 2/1 BANANI R/A		AMONGST SLUM DWELLERS IN DHAKA CITY	
DHAKA1213			

ATTACHMENT 15

#### FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

RELATIONSHIP	TO	SUBSTANTIAL	CONTRIBUTOR
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AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CLINIVANTAGE HEALTHCARE TECHNOLOGIES, INC	N/A	PROPOSAL DEVELOPMENT FOR RURAL MARKET STUDY AND	36,000.
505 CENTO CT,	NC	UNDERSTAND DEPLOYMENT OF HARM REDUCTION	
PLEASANTON, CA 94566-6329		ALTERNATIVES	
ECLAT SRL	N/A	CENTER OF EXCELLENCE FOR THE ACCELERATION OF HARM	205,805.
UNIVERSITY OF CATANIA	NC	REDUCTION (COEHAR); ACCELERATE AND IMPROVE	203,003.
TORRE BIOLOGICA		SMOKING PRECISION AND DETECTION RATE OF	
CATANIA, SICILY		MONITORING THE SMOKING EPISODES BY USING THE	
ITALY		SMOKEBEAT TECHNOLOGY	
TIME		SHOREDENT THOMASSOCI	
FOX FOUNDATION	N/A	SCOPING FOR BEHAVIORAL PSYCHOLOGY CENTRE OF	59,910.
1002 S. CHURCH AVENUE, SUITE 10822	PF	EXCELLENCE	
TAMPA, FL 33629			
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS	N/A	STRENGTHENING NICOTINE CONSUMER ORGANIZATIONS.	50,150.
QUAI DE L'ILE 13	NC	THE ASSOCIATION AIMS TO MINIMISE THE GLOBAL HARM	
GENEVA		POSED BY COMBUSTIBLE TOBACCO AND UNSAFE FORMS OF	
SWITZERLAND CH-1204		ORAL TOBACCO BY MONITORING, SUPPORTING AND	
		PROMOTING THE NEEDS AND RIGHTS OF NICOTINE USERS	
		TO REDUCE THEIR HEALTH RISK BY CHOOSING	
		SIGNIFICANTLY SAFER FORMS OF USE.	
KNOWLEDGE ACTION CHANGE	N/A	PRODUCTION OF A COMBINATION OF ONLINE BROADCASTS,	787,082.
8 NORTHUMBERLAND AVENUE	NC	SPECIAL REPORTS, INTERVIEWS, AND PHOTOJOURNALISM	
LONDON		PIECES TO INCREASE THE PROFILE AND EXTEND THE	
UNITED KINGDOM WC2N 5BY		DISSEMINATION OF THE GTHR REPORT WORLDWIDE AND	
		SPECIALLY AT COP8; TO PRODUCE THE FIRST EDITION	
		OF THE GLOBAL STATE OF TOBACCO HARM REDUCTION	
		(GSTHR); INCREASE CAPACITY FOR CONDUCTING AND	
		UNDERSTANDING RESEARCH RELATED TO TOBACCO HARM	
		REDUCTION; TRANSLATION OF THE EXECUTIVE SUMMARY	

ATTACHMENT 15

OF GSTHR REPORT FROM ENGLISH TO ARABIC, CHINESE,

FRENCH, RUSSIAN AND SPANISH.

PAKISTAN

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LUMOS DIAGNOSTICS PTY LTD	N/A	BIOMARKER RESEARCH PROGRAM	92,210.
2724 LOKER AVE WEST	NC		
CARLSBAD, CA 92010			
METAFORM VENTURES LLC	N/A	PROPOSAL DEVELOPMENT FOR ESTABLISHING CENTER OF	117,282.
505 CENTO CT	NC	EXCELLENCE IN INDIA; BRIDGING GRANT	
PLEASANTON, CA 94566-6329			
NANOCOMPOSIX	N/A	BIOMARKER RESEARCH PROGRAM	67,840.
4878 RONSON COURT SUITE J	NC		
SAN DIEGO, CA 92111			
P. N. SRIVASTAVA FOUN. FOR SCIENTIFIC EDU. & RES	N/A	DETAILED PROJECT PROPOSAL DEVELOPMENT FOR	33,800.
RADIATION & MOLECULAR BIOLOGY UNIT	NC	ESTABLISHMENT OF A CENTER OF EXCELLENCE ON HARM	
UMSHING		REDUCTION SCIENCE	
SHILLONG			
INDIA 793 022			
PATRAS SCIENCE PARK	N/A	DEVELOPMENT OF AN INSTITUTE FOR RESEARCH AND	105,985.
UNIVERSITY CAMPUS	NC	INNOVATION ON THE PERCEIVED RISK STUDY AND	
RIO ACHAIA		INTERVENTION OF SMOKE-FREE PRODUCTS IN GREECE;	
GREECE 26504		BRIDGING GRANT	
QBAL (QUALITY BELLIGERENCE)	N/A	WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR	115,000.
OFFICE NO 1, 2ND FL, PLOT NO5	NC	FARMERS TOO - BRIDGING GRANT	
ISLAMABAD			

LIBERIA

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

2018 FORM 990-PF

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	27/2		00 100
SOUTHERN AFRICAN FND. FOR AGRI. & CLIMATE CHANGE	N/A	TRANSITIONING TOBACCO FARMERS TO ALTERNATIVE	80,100.
ELINA & EVELYN CENTRE,	NC	LIVELIHOODS	
P.O. BOX 379			
LILONGWE			
MALAWI			
INTUIDIGIES OF GALLEONIA GAN EDANGIGO	W(2	COMPARATURE TODA CCC. INTERPRETATION OF TOD INTERPRETATION	70,521.
UNIVERSITY OF CALIFORNIA SAN FRANCISCO	N/A	COMPARATIVE TOBACCO INTERVENTIONS FOR INDIVIDUALS	70,521.
2 KORET WAY	GOV	WITH SEVERE AND PERSISTING BEHAVIORAL HEALTH	
SAN FRANCISCO, CA 94143		DISORDERS	
UNIVERSITY OF CAPE TOWN, DEPARTMENT OF PSYCHIATRY	N/A	TOWARDS AN AFRICAN CENTRE OF EXCELLENCE FOR	70,800.
DEPART. OF PSYCHIATRY & MENTAL HEAL	NC	SMOKING AND MENTAL HEALTH	70,800.
	NC	SMOKING AND MENIAL REALIR	
CAPE TOWN SOUTH AFRICA			
SOUTH AFRICA			
UNIVERSITY OF STELLENBOSCH, BUSINESS SCHOOL	N/A	AN ETHICAL FRAMEWORK FOR A SMOKE-FREE WORLD	85,000.
PO BOX 610 BELLVILLE 7535	NC		,
SOUTH AFRICA			
MICHIGAN STATE UNIVERSITY (MSU)	N/A	TO CREATE AN ENABLING POLICY ENVIRONMENT FOR	2,600,000.
ALLIANCE FOR AFRICAN PARTNERSHIP	GOV	ECONOMIC AND AGRICULTURAL TRANSFORMATION IN	
427 NORTH SHAW LANE RM 100		MALAWI.	
EAST LANSING, MI 48824			
YOUTH BRIDGE, INC.	N/A	IN-DEPTH ASSESSMENT ON THE CULTURE OF SMOKING IN	86,902.
LCUNA BUILDING	NC	LIBERIA; BRIDGING GRANT; FRAMING PRACTICAL	
BOB TAYLOR'S JUNCTION-PAYNESVILLE		SOLUTIONS TO ENDING SMOKING IN LIBERIA	

#### FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15 (CONT'D)

6,456,486.

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF VIRGINIA, INST.FOR ENVIRON. DIALOGUE	N/A	DIALOGUE ENTITLED "BREAKING THE CIGARETTE HABIT	45,000.
P.O. BOX 400179	GOV	IN AN EVOLVING TOBACCO, NICOTINE AND ALTERNATIVE	
CHARLOTTESVILLE, VA 22904-4179		PRODUCTS LANDSCAPE" HELD IN OCT 2018.	
INTL FCSTONE FINANCIAL INC.	N/A	GOLD SPONSORSHIP AND FIVE PARTICIPANTS. SOUTHERN	10,500.
1251 NW BRIARCLIFF PKWY	NC	AFRICA STRUCTURED TRADE SEMINAR 26-29 JUNE 2018.	
SUITE 800		KEY SET OF MEETINGS THAT INITIATED CONVERSATIONS	
KANSAS CITY, MO 64116		WITH USAID, AFRICAN DEVELOPMENT BANK, AND OTHER	
		KEY STAKEHOLDERS.	

TOTAL CONTRIBUTIONS PAID

## FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 16

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CONRAD FOUNDATION	N/A	SPONSORSHIP OF THE 2018-2019 CONRAD SPIRIT OF	125,000.
1120 NASA PARKWAY SUITE 108	PC	INNOVATION CHALLENGE.	
HOUSTON, TX 77058			
KNOWLEDGE ACTION CHANGE	N/A	INCREASE CAPACITY FOR CONDUCTING AND	264,283.
8 NORTHUMBERLAND AVENUE LIMITED	NC	UNDERSTANDING RESEARCH RELATED TO TOBACCO HARM	
LONDON		REDUCTION; GLOBAL STATE OF TOBACCO HARM REDUCTION	
WC2N 5BY		ROAD SHOWS	
UNITED KINGDOM			
ALTERNATIVE RESEARCH INITIATIVE	N/A	KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING	123,420.
OFFICE #31, 1ST FL. AL-ANAYAT MALL	NC	CESSATION AND HRP USE IN MARGINALIZED COMMUNITIES	
PAKISTAN G-11 MARKAZ			
ASIA PUBLIC HISTORY FOUNDATION INC	N/A	SMOKY HORIZONS: TOBACCO AND EMPIRE IN ASIA	50,000.
26 EVERETT ST CHILD HALL 115	PC	(1850-1950)	
CAMBRIDGE, MA 02138			
CENTRAL REGION MILK PRODUCERS ASSOCIATION	N/A	CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY	24,928.
P.O. BOX 376	NC	AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO	
LILONGWE			
MALAWI			
DREXEL UNIVERSITY	N/A	DEVELOPMENT OF A PROPOSAL TO STUDY THE IMPACTS OF	69,711.
3141 CHESTNUT ST	PC	RESIDUAL E-CIGARETTE EFFLUENT AND TOBACCO SMOKE	
PHILADELPHIA, PA 19104		RESIDUE TO INDOOR PARTICULATE AND ASSOCIATED	
		HEALTH IMPACTS	

## FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 16 (CONT'D)

#### RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FILTER MARKEINE THE INVIDENCE POUNDATION, INC. 477 BROADWAY, 28TO FLOOR HYN, NY 10033  STRENGTHENING NICOTINE CONSUMER ORGANISATIONS INTL. NETWORK OF NICOTINE CONSUMER ORGANISATIONS QUAL DE 1'11E 13 GENEVA SHITZARIAND CH-1204  LAND O'LAMES INTERNATIONAL DEVELOPMENT NASHINGTON, DC 20036  MASHINGTON, DC 20036	RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE INFLUENCE FOUNDATION, INC. 447 MACADHAY, ZHO PLOOR HY, NY 1003  N/A  STRINGTHERING NICOTINE CONSUMER ORGANISATIONS N/A  STRINGTHERING NICOTINE CONSUMER ORGANISATIONS N/A  GENEVA  GENEVA  SMITZERLAND CH-1204  LAND O'LAKES INTERNATIONAL DEVELOPMENT NACH  N/A  NILL PACILITATE THE DEVELOPMENT OF NEW  TECHNOLOGIES, PARTMERBHIPS, AND INCOME  OFFICENTIALITY IN THE CONSIDER ACCIDENT FOR  ACCOUNTIES TO HELF FARMERS ACHIEVE GENERATE  ECONOMIC CRETALITY IN THE CONSIDER ACCOUNTING TO HELP  ACCOUNTIES IN THE CONSIDER OF A PRICAN PARTMERSHIP  OUT  ALLIANCE POR AFRICAN PARTMERSHIP OFFORTMATIC HELP  N/A  TO CERATE AN ENABLING POLICY ENVIRONMENT FOR  S. 200, 000.  ALLIANCE POR AFRICAN PARTMERSHIP  OUT  ACT  OFFORTMATIC HERMAL LAND HE IN 100  EAST LANSING, MI 48884  TECHNOLOGY-ENHANCE OF INANCE AND TRAINING  SOUTH SEAR LAND HE 100  EXTERNION A PILOT TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607				
447 BROADMAY, AND FLOOR NY, NY 10013  INTL. NETWORK OF NICOTINE CONSUMER ORGANISATIONS N/A  INTL. NETWORK OF NICOTINE CONSUMER ORGANISATIONS N/A  STRENGTHENING NICOTINE CONSUMER ORGANISATIONS. N/A  SHIZERLAND CH-1204  SMITZERLAND CH-1204  SMITZERLAND CH-1204  CORAL TORACCO BY MONITORING, SUPPORTING AND PROMOTINE THE MEBOS AND RIGHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH SIZE NE CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  CONTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI  123.000,000.  1235 DEBALES STREET, NW  FC  WILL FACILITATE THE DEVELOPMENT OF NW  NASHINOTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU)  N/A  TO CREATE AN ENABLING POLICY ENVIRONMENT FOR SOUND CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  NALAWI.  SECONOMIC AND AGRICULTURAL TRANSFORMATION IN  ALLIANDE FOR ARTICAN PARTHEESHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  ALLIANDE FOR ARTICAN PARTHEESHIP  ACT NORTH SHAH LAND BY 100  EAST LANSING, NI 48824  OPPOSTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY - ENTENDED FINANCE AND TRANSFORMATION IN  ALLIANDE FOR ARTICAN PARTHEESHIP  ACT NORTH SHAH LAND BY 100  EAST LANSING, NI 48824  OPPOSTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY - ENTENDED FINANCE AND TRANSFORMATION IN  ALLIANDE FOR ARTICAN PARTHEESHIP  ACT NORTH SHAH LAND BY 100  EXTENSION: A PILOT TO TRANSFORM SMALLBOLDER  SUITE 200  CHICAGO, IL 68807	FILTER MAGAZINE	N/A	FILTER WILL PUBLISH A MINIMUM AVERAGE OF	190,000.
INIL NETWORK OF NICOTINE CONSUMER ORGANISATIONS N/A STRENGTHENING NICOTINE CONSUMER ORGANISATIONS. \$0,150.  QUAI DE L'ILE 13 NC THE ASSOCIATION AIMS TO MINIMISE THE GLOBAL HARM POSED BY COMBUSTIBLE TOBACCO AND UNSAFE FORKS OF ORAL TOBACCO BY MONITORING, SUPPORTING AND PROMOTING THE NEEDS AND RICHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT N/A CENTE FOR AGRICULTURAL TRANSFORMATION IN MALAWI 1725 DESALES STREET, NN PC NILL FACILITATE THE DEVELOPMENT OF NEW TECHNOLOGISS, PARTMERSHIPS, AND INCOME OPPORTUNITIES TO HELP FARMERS ACHIEVE GREATER ECONOMIC CENTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU) N/A TO CREATE AN ENABLING POLICY ENVIRONMENT FOR S,200,000.  ALLIANCE FOR AGRICULTURAL TRANSFORMATION IN MALAWI 100 EAST LANGING, NI 48824  OPPORTUNITY INTERNATIONAL INC N/A TECHNOLOGY-ENHANCED FINANCE AND TRAINING 541,383.  550 N VAN BUREN ST PC EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200 CHICAGO, IL 60607	THE INFLUENCE FOUNDATION, INC.	POF	FEATURE-LENGTH PIECES, SHORT PIECES, AND ORIGINAL	
UNIT. NETWORK OF NICOTINE CONSUMER ORGANISATIONS N/A  QUAL DE L'ILE 13  NC  THE ASSOCIATION AIMS TO MINIMISE THE GLOBAL HARM FOSED BY COMBUSTIBLE TORACCO AND UNSAFE FORMS OF GRAIL TORACLE BY MONITORING, SUPPORTING AND PROMOTING THE REBS AND RICHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI  1735 DESALES STREET, NN  PC  MILL FACILITATE THE DEVELOPMENT OF NEW  TECHNOLOGISES, PARTMENSHIPE, AND INCOME  OPPORTUNITIES TO HELP FARMERS ACHIEVE GREATER  ECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  N/A  TO CREATE AN ENABLING POLICY ENVIRONMENT FOR 5,200,000.  ALLIANCE FOR AFRICAN PARTMENSHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCE FINANCE AND TRAINING  541,383.  550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  SULTE 200  CHICAGO, IL 60607	447 BROADWAY, 2ND FLOOR		VIDEOS AS SPECIFIED BY CONTRACT.	
QUAI DE L'ILE 13  GENEVA  GENEVA  SWITZERLAND CH-1204  LAND O'LAKES INTERNATIONAL DEVELOPMENT  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI  SUITE 800  WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  BECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  BECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  DEPORTUNITY INTERNATIONAL INC  MALAWI.  DEPORTUNITY INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION IN	NY, NY 10013			
QUAI DE L'ILE 13  GENEVA  GENEVA  SWITZERLAND CH-1204  LAND O'LAKES INTERNATIONAL DEVELOPMENT  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI  SUITE 800  WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  BECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTMENHIP  GOV  BECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  DEPORTUNITY INTERNATIONAL INC  MALAWI.  DEPORTUNITY INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION INTERNATION IN				
GENEVA SWITZERLAND CH-1204				50,150.
SNITZERLAND CH-1204  BY CREAT TOBACCO BY MONITORING, SUPPORTING AND PROMOTING THE NEEDS AND RIGHTS OF NICCOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT  N/A  CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALANI  12,000,000.  1725 DESALES STREET, NW  PC  MILL PACILITATE THE DEVELOPMENT OP NEW SUITE 800  WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  FOR COMMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALANI.  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  541,383.  550 WAN BUREN ST  GUITE 200  CHICAGO, IL 60607	QUAI DE L'ILE 13	NC		
PROMOTING THE NEEDS AND RIGHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT N/A CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI 12,000,000. 1725 DESALES STREET, NW PC WILL FACILITATE THE DEVELOPMENT OF NEW SUITE 800 WASHINGTON, DC 20036 TECHNOLOGIES, PARTHERSHIPS, AND INCOME OPPOSITURITIES TO HELP FARMERS ACHIEVE GREATER ECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU) N/A TO CREATE AN ENABLING FOLICY ENVIRONMENT FOR 5,200,000. ALLIANCE FOR AFRICAN PARTNERSHIP GOV ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.  MALAWI.  DEAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A TECHNOLOGY-ENHANCED FINANCE AND TRAINING 541,383.  550 W VAN BUREN ST PC EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200 CHICAGO, IL 60607	GENEVA		POSED BY COMBUSTIBLE TOBACCO AND UNSAFE FORMS OF	
TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.  LAND O'LAKES INTERNATIONAL DEVELOPMENT N/A CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI 12,000,000.  1725 DESALES STREET, NW PC MILL FACILITATE THE DEVELOPMENT OF NEW SUITE 800 TECHNOLOGIES, PARTNERSHIFS, AND INCOME OPPORTUNITIES TO HELP FARMERS ACHIEVE GREATER ECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU) N/A TO CREATE AN ENABLING POLICY ENVIRONMENT FOR 5,200,000.  ALLIANCE FOR AFFICAM PARTNERSHIP GOV ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.  PAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A TECHNOLOGY-ENHANCED FINANCE AND TRAINING 541,383.  550 W VAN BUREN ST PC EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER AGRICULTURAL LIVELIHOODS IN MALAWI.  CHICAGO, IL 60607	SWITZERLAND CH-1204		ORAL TOBACCO BY MONITORING, SUPPORTING AND	
LAND O'LAKES INTERNATIONAL DEVELOPMENT N/A CENTRE FOR AGRICULTURAL TRANSPORMATION IN MALAWI 12,000,000.  1725 DESALES STREET, NW PC WILL FACILITATE THE DEVELOPMENT OF NEW SUITE 800 WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU) N/A TO CREATE AN ENABLING POLICY ENVIRONMENT FOR 5,200,000.  ALLIANCE FOR AFRICAN PARTNERSHIP GOV ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A TECHNOLOGY-ENHANCED FINANCE AND TRAINING 541,383.  550 W VAN BUREN ST PC EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200 CHICAGO, IL 60607			PROMOTING THE NEEDS AND RIGHTS OF NICOTINE USERS	
LAND O'LAKES INTERNATIONAL DEVELOPMENT N/A  CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI 12,000,000.  1725 DESALES STREET, NW  SUITE 800  WASHINGTON, DC 20036  WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN FIRSH 100  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  FOR YEAR AND THE STATE AND TRAINING TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607			TO REDUCE THEIR HEALTH RISK BY CHOOSING	
1725 DESALES STREET, NW SUITE 800 SUITE 800 WASHINGTON, DC 20036 WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP AND TO CREATE AN ENABLING POLICY ENVIRONMENT FOR 5,200,000. ALLIANCE FOR AFRICAN PARTNERSHIP GOV ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A  DECENDACY-ENHANCED FINANCE AND TRAINING STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP GOV ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.  DEST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING STATE SUITE 200 CHICAGO, IL 60607			SIGNIFICANTLY SAFER FORMS OF USE.	
1725 DESALES STREET, NW SUITE 800  WILL FACILITATE THE DEVELOPMENT OF NEW SUITE 800  WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP 427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A  OPPORTUNITY INTERNATIONAL INC N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING FOR EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200 CHICAGO, IL 60607	LAND O'LAKES INTERNATIONAL DEVELOPMENT	N/A	CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI	12.000.000.
SUITE 800 WASHINGTON, DC 20036  MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP ACT ANORTH SHAW LANE RM 100 EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC OPPORTUNITY INTERNATION IN OPPORTUNITY IN OPPORTUNITY INTERNATION IN OPPORTUNITY IN OPPORTUNITY IN OPPORTUNITY IN OPPORTUNITY IN OPPORTUNITY I				,,
MICHIGAN STATE UNIVERSITY (MSU)  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC CERTAINTY IN THE COMING YEARS.  MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  541,383.  550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  AGRICULTURAL LIVELIHOODS IN MALAWI  CHICAGO, IL 60607	·			
MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  427 NORTH SHAW LANE RM 100  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  550 W VAN BUREN ST  SUITE 200  CHICAGO, IL 60607				
MICHIGAN STATE UNIVERSITY (MSU)  ALLIANCE FOR AFRICAN PARTNERSHIP  GOV  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  427 NORTH SHAW LANE RM 100  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607	WASHINGTON, DC 20030			
ALLIANCE FOR AFRICAN PARTNERSHIP  427 NORTH SHAW LANE RM 100  EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  550 W VAN BUREN ST  SUITE 200  CHICAGO, IL 60607  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  541,383.  ECONOMIC AND AGRICULTURAL TRANSFORMATION IN  MALAWI.  SUITE 200  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  AGRICULTURAL LIVELIHOODS IN MALAWI  CHICAGO, IL 60607			ECONOMIC CERTAINTI IN THE COMING IBARD.	
427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC N/A 550 W VAN BUREN ST PC EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200 CHICAGO, IL 60607  MALAWI.  MALAWI.  MECHNOLOGY-ENHANCED FINANCE AND TRAINING S41,383.  AGRICULTURAL LIVELIHOODS IN MALAWI	MICHIGAN STATE UNIVERSITY (MSU)	N/A	TO CREATE AN ENABLING POLICY ENVIRONMENT FOR	5,200,000.
EAST LANSING, MI 48824  OPPORTUNITY INTERNATIONAL INC  N/A  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  541,383.  550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607	ALLIANCE FOR AFRICAN PARTNERSHIP	GOV	ECONOMIC AND AGRICULTURAL TRANSFORMATION IN	
OPPORTUNITY INTERNATIONAL INC  N/A  550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER SUITE 200  CHICAGO, IL 60607  TECHNOLOGY-ENHANCED FINANCE AND TRAINING  541,383.  AGRICULTURAL LIVELIHOODS IN MALAWI	427 NORTH SHAW LANE RM 100		MALAWI.	
550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607	EAST LANSING, MI 48824			
550 W VAN BUREN ST  PC  EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER  SUITE 200  CHICAGO, IL 60607				
SUITE 200  AGRICULTURAL LIVELIHOODS IN MALAWI CHICAGO, IL 60607				541,383.
CHICAGO, IL 60607		PC		
	SUITE 200		AGRICULTURAL LIVELIHOODS IN MALAWI	
CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV N/A PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING 489,224.	CHICAGO, IL 60607			
	CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV	N/A	PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING	489,224.
109 CARLISLE ROAD CESSATION AND HARM REDUCTION IN INDIGENOUS PEOPLE				

ATTACHMENT 16

82-2800838

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS FOUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION AMOUNT

TORBAY NC

AUCKLAND

NEW ZEALAND

YOUTH BRIDGE, INC. FRAMING PRACTICAL SOLUTIONS TO ENDING SMOKING IN 64,181. N/A LIBERIA

LCUNA BUILDING NC

BOB TAYLOR'S JUNCTION-PAYNESVILLE LIBERIA

> 19,192,280. TOTAL CONTRIBUTIONS APPROVED

> > ATTACHMENT 16

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## 990PF, PART IX - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

## ATTACHMENT 17

THE FOUNDATION FOR A SMOKE-FREE WORLD ("FOUNDATION") WAS CREATED IN SEPTEMBER 2017 WITH THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING ITS FIRST FULL YEAR OF OPERATION, 2018, THE FOUNDATION FOCUSED ON EARLY ACHIEVEMENTS AS WELL AS BUILDING THE TYPE OF INTERNAL AND EXTERNAL INFRASTRUCTURE NEEDED TO REACH AND SUSTAIN ITS AMBITIOUS GOAL. THOSE EARLY PROJECTS ADDRESSED EACH OF THE FOUNDATION'S THREE CORE PILLARS:

- HEALTH, SCIENCE, AND TECHNOLOGY: THE FOUNDATION SEEKS TO COMPLEMENT ONGOING TOBACCO CONTROL EFFORTS BY THE WORLD HEALTH ORGANIZATION WHILE DEVELOPING AN UNDERSTANDING OF THE INDIVIDUAL SMOKER. OVER THE NEXT SEVERAL YEARS, THE FOUNDATION PLANS TO LEVERAGE DATA, RESEARCH, AND INPUT FROM STAKEHOLDERS AND EXPERTS TO ACCELERATE THE DEVELOPMENT OF MORE EFFECTIVE TOOLS TO HELP SMOKERS OUIT OR REDUCE THEIR RISKS WITH A FOCUS ON BUILDING CAPACITY FOR RESEARCH TARGETING THE LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MANY SMOKERS LIVE.
- AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): THE FOUNDATION'S AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) IS PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. ITS GOAL IS TO MAKE THE AGRICULTURE SECTOR GLOBALLY MORE COMPETITIVE BY WORKING WITH SMALLHOLDER TOBACCO FARMERS TO HELP DEVELOP NEW BUSINESS MODELS THAT CREATE VALUE FOR PARTICIPANTS CURRENTLY DEPENDENT ON THE TOBACCO SUPPLY CHAIN. THIS WORK HAS STARTED IN MALAWI. TO ACHIEVE ITS MISSION, THE ATI IS PARTNERING WITH THE PRIVATE SECTOR, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT AND FINANCIAL STAKEHOLDERS TO CATALYZE INVESTMENT, DEVELOP AND STRENGTHEN EXTENSION SERVICES, AND PROMOTE ENTREPRENEURSHIP TO SUPPORT TOBACCO FARMERS IN THEIR EFFORTS TO TRANSITION TO ALTERNATIVE CROPS AND LIVELIHOODS.
- INDUSTRY TRANSFORMATION: THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS FOCUS ON ATTAINING CHANGE WITHIN THE GLOBAL TOBACCO INDUSTRY AND NICOTINE ECOSYSTEM. ESTABLISHING A COMPLETE DATA SET THAT ENCOMPASSES THE COMPANIES THAT PRODUCE NICOTINE-BASED PRODUCTS IS A NECESSARY PRECURSOR TO DEVELOPING EFFECTIVE POLICIES. THE FOUNDATION'S GLOBAL TRENDS IN NICOTINE REPORT IS AN IMPORTANT PART OF ITS OVERALL RESEARCH EFFORTS AND LAYS THE

GROUNDWORK FOR ITS WORK. THE SMOKE-FREE INDEX™, WHICH IS THE FIRST ACTION OF THE FOUNDATION'S INDUSTRY TRANSFORMATION INITIATIVE, WILL PROVIDE QUANTIFIABLE EVIDENCE OVER TIME OF WHAT STEPS THE LARGEST TOBACCO COMPANIES ARE TAKING TOWARD ACHIEVING A SMOKE-FREE WORLD AS WELL AS ANY ACTIONS THEY TAKE TO UNDERMINE THAT PROGRESS.

EMBEDDED IN ALL OF THESE PRINCIPLES AND PROJECTS IS THE FOUNDATION'S COMMITMENT TO GENDER EQUALITY AND THE APPLICATION OF A COMPREHENSIVE GENDER PERSPECTIVE.

DURING 2018, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$6.5 MILLION IN SUPPORT OF ITS THREE CORE PILLARS. BUILDING ON THE BASE CREATED IN 2018, THE FOUNDATION HAS ALREADY COMMITTED TO OVER \$100 MILLION IN CONDITIONAL GRANTS OVER THE PERIOD 2019-2024 AND WILL CONTINUE TO ADD TO THOSE COMMITMENTS OVER TIME. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2018, PLEASE GO TO ITS ANNUAL REPORT WHICH CAN BE FOUND AT HTTPS://WWW.SMOKEFREEWORLD.ORG/ANNUAL-REPORT.

DIRECT CHARITABLE ACTIVITIES IN 2018 SUPPORTED EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S STRATEGIC PLAN. EACH STRATEGY MAY FUND GRANTS AS WELL AS INCUR EXPENSES:

- HEALTH, SCIENCE, AND TECHNOLOGY (HST): (EXPENSES OF \$6,277,847, INCLUDING GRANT EXPENDITURES OF \$2,412,236)
- AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): (EXPENSES OF \$6,518,464, INCLUDING GRANT EXPENDITURES OF \$2,997,526)
- INDUSTRY TRANSFORMATION: (EXPENSES OF \$229,537)

AS OUTLINED IN THE FOUNDATION'S STRATEGIC PLAN, ONE OF THE GAPS IDENTIFIED WITHIN THE FRAMEWORK CONVENTION OF TOBACCO CONTROL (FCTC) THAT THE FOUNDATION IS ADDRESSING IS TO IMPROVE PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION IMPLEMENTED AN INTEGRATED COMMUNICATIONS PROGRAM TO INCREASE THE PUBLIC'S KNOWLEDGE OF THE GLOBAL HEALTH CRISIS ASSOCIATED WITH THE SMOKING OF COMBUSTIBLE CIGARETTES AND THE ROLE THAT ALTERNATIVE PRODUCTS COULD PLAY IN HELPING SMOKERS TO QUIT OR REDUCE THEIR RISK. THIS INCLUDED THE DEVELOPMENT OF COMMUNICATIONS MATERIALS THAT WERE SHARED WITH THE PUBLIC ON DIGITAL AND SOCIAL MEDIA PLATFORMS. (EXPENSES OF \$8,679,663)

# EXAMPLES OF PARTICULAR PROJECTS WITHIN THE DIRECT CHARITABLE ACTIVITIES CLASSIFICATION FOR 2018 INCLUDE:

THE FOUNDATION CREATED VIDEO CONTENT TO EDUCATE STAKEHOLDERS REGARDING THE FOUNDATION'S MISSION AND WORK. THIS VIDEO CONTENT

ALLOWED THE FOUNDATION TO SHARE ITS MESSAGES ON THE FOUNDATION'S WEBSITE AND VIA SOCIAL CHANNELS. SEE HTTPS://WWW.SMOKEFREEWORLD.ORG/VIDEOS. (EXPENSES: \$1,254,030)

## THE VIDEOS INCLUDE:

- THE TRUE COST OF THE CIGARETTE; PROFILE OF FARMERS IN MALAWI; CONCEPT DEVELOPMENT OF THE CENTER FOR AGRICULTURAL TRANSFORMATION IN MALAWI; LUANAR SCHOLARSHIP WITH THE ROTARY CLUB OF LILONGWE; AND FOUR YOUTH PITCH COMPETITION VIDEOS IN MALAWI.
- EIGHT STATE OF SMOKING COUNTRY VIDEOS FOR THE FOLLOWING COUNTRIES: UNITED KINGDOM; SOUTH AFRICA, NEW ZEALAND; JAPAN; ISRAEL; INDIA; GREECE; AND FRANCE; GLOBAL CONFUSION: A LOOK AT THE CHALLENGES AND SOLUTIONS FOR ENDING SMOKING WORLDWIDE.

THE FOUNDATION CONDUCTED THE BASELINE MALAWI LANDSCAPE ANALYSIS, WHICH WILL BE USED TO INFORM AND GUIDE THE FOUNDATION'S WORK IN THE ATI WITH THE OBJECTIVE OF DEVELOPING SUSTAINABLE LIVELIHOOD ALTERNATIVES FOR CURRENT SMALLHOLDER FARMERS, STARTING IN (EXPENSES OF \$806,807) MALAWI.

GLOBAL POLL - A FIRM WAS COMMISSIONED BY THE FOUNDATION TO CONDUCT QUALITATIVE AND QUANTITATIVE STUDIES IN 13 COUNTRIES TO DETERMINE PERCEPTIONS OF SMOKING HARMFULNESS AND ADDICTIVENESS, SMOKING BEHAVIOR PATTERNS, PERCEPTIONS OF RISK, AND BARRIERS TO THE PURPOSE OF THE SURVEYS IS TO HELP GAIN A CLEAR UNDERSTANDING OF THE CURRENT LANDSCAPE OF SMOKING HABITS ACROSS THE WORLD AND TO CHART A PATH FORWARD IN THE AREA OF HEALTH, SCIENCE, AND TECHNOLOGY RESEARCH.

HTTPS://WWW.SMOKEFREEWORLD.ORG/GLOBAL-SMOKING-LANDSCAPE. (EXPENSES OF \$506,638)

THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S AGENDA IN THE AREAS OF HEALTH, SCIENCE, AND TECHNOLOGY AND INDUSTRY TRANSFORMATION, INCLUDING SMOKING CESSATION REPORT, BIOMARKERS

REVIEW AND BUSINESS TRANSFORMATION CASES STUDIES. (EXPENSES OF \$436,299)