INTEGRATING A GENDER PERSPECTIVE INTO HUMAN RIGHTS INVESTIGATIONS

Guidance and Practice



Preparation Investigation Evaluation Report Presentation Remarks



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Abbreviations and acronyms

CAT Committee against Torture

CEDAW Convention on the Elimination of All Forms of Discrimination against Women

CESCR Committee on Economic, Social and Cultural Rights

COI Commissions of Inquiry

CRC Committee on the Rights of the Child

IDPs Internally displaced persons
IHL International humanitarian law
IHRL International human rights law

FFM Fact-finding Missions

GBV Gender-based violence

HIV Human Immunodeficiency Virus

HRC Human Rights Council

Lesbian, gay, bisexual, transgender, and intersex persons

OHCHR Office of the United Nations High Commissioner for Human Rights

SC Security Council

SCR Security Council Resolutions

SGBV Sexual and gender-based violence

UN United Nations

WGDAW Working Group on Discrimination against Women in Law and in Practice

Key terminology

Gender refers to the socially constructed identities, attributes and roles of persons in relation to their sex and the social and cultural meanings attached to biological differences based on sex. The meaning of such socially constructed identities, attributes and roles varies across societies, communities and groups and over time. This often results in hierarchical relationships between women and men and an unequal distribution of power and rights, favouring men and disadvantaging women and affecting all members of society. The social positioning of women and men is affected by political, economic, cultural, social, religious, ideological and environmental factors.

Gender analysis is a key tool to help recognize, understand and make visible the gendered nature of human rights violations, including their specific and differential impact on women, men and others, as well as human rights violations based on gender that specifically target LGBTI. It can help to identify differences in the enjoyment of all human rights and fundamental freedoms in all spheres of life. It also seeks to analyse power relations within the larger socio-cultural, economic, political and environmental contexts to understand the root causes of discrimination and inequality. Gender analysis is an integral part of a human rights-based approach¹, allowing one to see the many ways that gender affects human rights. As a starting point for gender integration, it can propose measures that will close the gender gap between international human rights standards and the everyday human rights situation on the ground.

Gender-based discrimination includes any distinction, exclusion, or restriction due to gender that has the effect or purpose of impairing or nullifying the recognition, enjoyment, or exercise of human rights and fundamental freedoms. Direct discrimination occurs when a difference in treatment relies directly on distinctions based exclusively on characteristics of an individual related to the sex and gender, which cannot be justified objective and reasonable grounds (e.g., laws excluding women from serving as judges). Indirect discrimination occurs when a law, policy, programme or practice appears to be neutral, but has a disproportionate negative effect on women or men when implemented (e.g., pension schemes that exclude, for instance, part-time workers, most of whom are women).

Gender-based violence (GBV) is violence directed toward, or disproportionately affecting someone because of their gender or sex. Such violence takes multiple forms, including acts or omissions intended or likely to cause or result in death or physical, sexual, psychological or economic harm or suffering, threats of such acts, harassment, coercion and arbitrary deprivation of liberty². Examples include, sexual violence, trafficking, domestic violence, battery, dowry-related violence, coerced or forced use of contraceptives, violence against LGBTI people, femicide, female infanticide, harmful practices and certain forms of slavery and servitude.

Gender equality refers to the equal rights, responsibilities and opportunities for people of all sexes and gender identities. Equality does not mean that women and men will become the same but that their rights, responsibilities and opportunities will not depend on whether they are born male, female or outside those binary categories. Substantive or de facto equality, as required by CEDAW, does not mean guaranteeing women treatment that is identical

A human rights-based approach integrates international human rights standards and the principles of participation, accountability and non-discrimination to all development programming. For further information see: http://hrbaportal.org and UN OHCHR, Frequently Asked Questions on the Right to Development, Fact Sheet No. 37, p.10.

² CEDAW General recommendation No. 35 on gender-based violence against women, updating general recommendation No. 19, CEDAW/C/GC/35, 26 July 2017.

to that of men in all circumstances. Rather, it recognizes that non-identical treatment of women and men, based on biological as well as socially and culturally constructed differences between women and men, is required in certain circumstances to achieve equality of opportunities and results. This is sometimes referred to as affirmative action or temporary measures.³

Gender identity refers to a person's deeply felt and experienced sense of their own gender, which may or may not correspond with the sex they were assigned at birth. It includes the personal sense of the body and other expressions of gender, such as clothing, speech and mannerisms. Everyone has a gender identity. Transgender or trans are umbrella terms for people with a wide range of gender identities and expressions who do not identify with the sex they were assigned at birth. A transgender person may identify with different gender identities including man, woman, transman, transwoman, and with specific terms, including non-binary identities such as hijra, fa'afafine, two-spirit, among other terms. Cisgender is a term for people who identify with the sex that they were assigned at birth.4

Gender integration (also commonly referred to as "gender mainstreaming") is the process of assessing the implications for women, men and other gender identities of any planned action, including legislation, policies or programmes, in all areas and at all levels. It is often misunderstood as only referring to the monitoring of women's human rights or genderbased violence. The integration of a gender perspective in human rights investigations

Gender sensitivity. Being gender-sensitive or acting in a gender-sensitive manner means using respectful and non-discriminatory language and taking into account the different situations, needs and attributes of women, men and others, in order to make sure behaviours, mindsets or programmes respect human rights of all persons.

Gender stereotype is a generalized view or preconception about attributes or characteristics of what ought to be possessed by women and men, or the roles that are or should be performed by men and women.⁵

Harmful practices are persistent behaviours, attitudes and practices that are based on discrimination and are typically justified by invoking socio-cultural or religious customs, values, practices and traditions. Essentially, they tend to disproportionately affect women and girls and are often manifested in forms of gender-based violence. Harmful practices impair the recognition, enjoyment and exercise of human rights and are mostly perpetrated by private individuals.⁶

Sex is the sum of biological and physiological characteristics that typically define men and women, such as reproductive organs, hormonal

implies an analysis of the gender dimensions of the violations that includes the perspectives of everyone, including LGBTI, and the impacts of the human rights violations on all individuals and groups, which can differ depending on their sex and gender. Focus is often placed on making sure that women's perspectives are reflected, as women and girls are generally among the more marginalized population and their perspectives can be made invisible by non-gendered analysis. Gender integration is part of the global strategy of the United Nations for promoting gender equality.

³ CEDAW General recommendation No. 28 – The Core Obligations of States Parties under Article 2 of the Convention on the Elimination of All Forms of Discrimination against Women, para 22, CEDAW/C/GC/28, 16 December 2010.

See UN, Living free & equal, What states are doing to tackle violence and discrimination against lesbian, gay, bisexual, transgender and intersex people (2016), available at: http://www.ohchr.org/Documents/Publications/ LivingFreeAndEqual.pdf.

See http://www.ohchr.org/EN/Issues/Women/WRGS/Pages/GenderStereotypes.aspx.

Joint general recommendation No. 31 of CEDAW and general comment No. 18 of the CRC on harmful practices, CEDAW/C/GC/31-CRC/C/GC/18, 14 November 2014.

makeup, chromosomal patterns, hair-growth patterns, distribution of muscle and fat, body shape, and skeletal structure. This publication will often refer to women, men and others to include binary and non-binary self-identifications of sexual identity.

Sex-disaggregated data is data that is collected and presented separately on women and girls, men and boys. It contributes to reflect a more accurate picture of the roles, real situations and general conditions of women and men in every aspect of society, for instance literacy rates, education levels, business ownership, employment, wages, dependents, house and land ownership, loans, credit and debts. It is also important for data to be presented on the human rights situation of other individuals that do not use or feel represented in binary sex/gender categories.

Sexual orientation refers to a person's physical, romantic and/or emotional attraction towards other people. Everyone has a sexual orientation. Heterosexual people tend to be attracted to individuals of a different sex from themselves. Gay men and lesbian women tend to be attracted to individuals who are of the same sex as themselves. Bisexual people may be attracted to individuals who are of the same or a different sex from themselves. There are also other terms and concepts related to sexual orientation not included in this list.⁷

Sexual violence is a form of gender-based violence. It encompasses acts of a sexual nature against one or more persons or that cause such person or persons to engage in an act of a sexual nature by force, or by threat of force or coercion, such as that caused by fear of violence, duress, detention, psychological oppression or abuse of power, against such person or persons or another person, or by

taking advantage of a coercive environment or such person's or persons' incapacity to give genuine consent.⁸ Forms of sexual violence include rape, attempted rape, sexual mutilation, forced sterilization, forced abortion, forced prostitution, trafficking for the purpose of sexual exploitation, child pornography, child prostitution, sexual slavery, forced marriage, forced pregnancy, forced nudity and forced virginity testing. The term "sexual and gender-based violence" (SGBV) is used to emphasize sexual violence from acts that are not of sexual nature, which are also included in the broader term gender-based violence (see GBV above).

See also UN, Living free & equal, What states are doing to tackle violence and discrimination against lesbian, gay, bisexual, transgender and intersex people (2016), available at: http://www.ohchr.org/Documents/Publications/ LivingFreeAndEqual.pdf.

⁸ See International Criminal Court, Elements of Crimes.

Introduction

BACKGROUND

Human rights monitoring and human rights investigations are a central aspect of the response provided by the United Nations (UN) to human rights violations, identifying the causes of human rights concerns and developing possible solutions, promoting accountability and deterring further human rights violations.

Monitoring and investigative functions are a core aspect of the protection mandate of the Office of the High Commissioner for Human Rights (OHCHR) and a function of most human rights field presences established under its mandate or as part of peace operations, mandated by the Security Council and overseen, managed and supported by OHCHR.9 These functions are also carried out by International Commissions of Inquiry (Cols), Fact-finding Missions (FFMs) or other investigative bodies 10. Cols/FFMs are temporary bodies of a non-judicial nature, established by intergovernmental bodies, 11 by the Secretary-General or the High Commissioner for Human Rights with the task to investigate allegations of violations of international human rights law (IHRL), international humanitarian law (IHL) or international criminal law, as relevant, and make recommendations for corrective actions based on their factual and legal findings. UN Human Rights Mechanisms, such as certain Treaty Bodies, also carry-out inquiries as part of their mandate set in international human rights treaties if they have received reliable information containing well-founded indications of serious or systematic violations. 12 Regional human rights mechanisms of the African Commission on Human and Peoples' Rights or the Inter-American Commission on Human Rights also perform monitoring and investigative functions. Some non-governmental organizations or the civil society also carry out investigations, monitor and report on human rights violations and concerns.

OHCHR is mandated to contribute to the realization of all human rights for all people that includes non-discrimination on the basis of sex as a fundamental principle of human rights law. On that basis OHCHR has adopted in 2011 the OHCHR Gender Equality Policy which provides internal guidance on how the Office aims to integrate a gender perspective and women's human rights throughout policy formulation, programme development and activity implementation, including in the context of its monitoring and investigative work¹³. Moreover, Cols/FFMs are also requested to devote specific attention to gender issues and the gendered impacts of violations in their reports and

⁹ UN OHCHR, Manual on Human Rights Monitoring, Foreword, p. III.

This publication will refer to Cols/FFMs as a generic term, while it should be noted that some investigative bodies are not necessarily strictly identified by these terms, while their mandates have generally similar investigative functions.

¹¹ These can include the Human Rights Council, the Security Council and the General Assembly.

See http://www.ohchr.org/EN/HRBodies/TBPetitions/Pages/HRTBPetitions.aspx#inquiries.

See http://www2.ohchr.org/english/issues/women/docs/GenderEqualityPolicy_September2011.pdf.

recommendations, as provided in the Human Rights Council (HRC) resolution 23/25. Cols/FFMs have increasingly sought to integrate a gender perspective into their work. In particular, since 2009 Sexual and Gender-Based Violence (SGBV) Investigators/Gender Advisers are part of Cols/FFMs secretariats with the express function to support the integration of a gender perspective into their works. ¹⁴ In 2011, the UN Secretary-General committed to ensuring "that all commissions of inquiry and related investigative bodies established by the United Nations... have dedicated gender expertise and access to specific sexual violence investigative capacity, drawing on the support of UN Women." (S/2011/598, para. 69).

WHY INTEGRATE A GENDER PERSPECTIVE?

Awareness of specific norms related to gender-based discrimination and adequate gender analysis can prevent overlooking critical human rights violations or abuses. For example, a lack of knowledge of standards applying to women in detention or male victims of sexual violence could result in overlooking specific obligations of duty bearers¹⁵ and making invisible violations suffered by segments of society.

A gender analysis can strengthen the investigations and reports by contributing to an analysis that addresses the adverse human rights impact that certain human rights situations or crises can have on different individuals or populations, including women, men, girls and boys, as well as on LGBTI or persons with non-binary gender identities. This gender sensitive analysis can therefore contribute to better tailor the recommendations and the response provided to violations.

The analysis of pre-existing gender-based discrimination can contribute to make visible ensuing violations or the compounded impact of violations on certain groups of individuals. For example, discrimination in matters related to nationality can disproportionately result into statelessness for women and their children, and discriminatory access to natural or income-generating resources can compound the effects of displacement and armed hostilities on women and girls.

A lack of gender-analysis often results into the experiences of women or LGBTI persons being made invisible or reduced to instances of victimization, perpetuating a narrative that downplays the agency of women and girls or LGBTI persons.

Since 2009, OHCHR has been working closely with UN Women to provide most Cols/FFMs with at least one SGBV investigator or gender adviser, deployed from a joint Justice Rapid Response-UN Women experts' roster.

¹⁵ The term "duty bearer" refers to those actors who have international human rights obligations or have the responsibility to respect, protect, and fulfil human rights. It usually refers to State actors, but non-State actors can also be considered duty bearers.

WHAT IS THIS PUBLICATION ABOUT?

This publication provides practical guidance on the integration of a gender perspective throughout the work of investigative bodies or entities, from the planning phase, to the investigations to writing the report and presenting its findings. It specifically aims to strengthen the content of human rights reports in order to accurately depict the different experiences of women, men, girls and boys. While it points to ways to analyse sexual and gender based-violence, it does not intend to provide detailed guidance on applicable methodologies to investigate SGBV.¹⁶

WHO IS THE TARGET AUDIENCE?

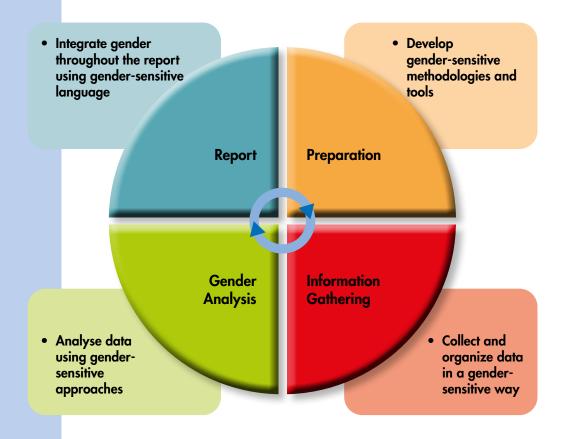
The publication is mainly intended to be used by UN Human Rights Officers, especially those performing investigative functions, including with Cols/FFMs. It can also be relevant more generally as a reference source for human rights monitoring, analysis and reporting performed by OHCHR field presences or as part of peace operations, mandated by the Security Council and overseen, managed and supported by OHCHR. States entities, regional mechanisms, national human rights institutions, national commissions of inquiry, civil society organizations and others could also benefit from the guidance provided in this publication on how to integrate a gender perspective in monitoring and investigating human rights violations and abuses.

HOW TO USE THIS PUBLICATION?

The publication follows the structure of the four main phases of human rights investigations: preparation; investigation, documentation and information gathering; evaluation and analysis of information; and report writing. Finally, it includes a chapter on the presentation of the report. Throughout the publication, boxes are included containing examples drawn from UN reports, especially Cols/FFMs, practical tools for gender analysis, and particular issues to pay attention to. Each chapter concludes with a 'checklist' to facilitate gender integration through the various stages of investigation. Suggestions provided in the publication do not intend to be exhaustive or applicable to all situations.

For guidance on international criminal investigations on sexual violence please refer to: the International Protocol on the Documentation and Investigation of Sexual Violence in Conflict, UK Foreign & Commonwealth Office, Second Edition: March 2017, available at: https://www.gov.uk/government/publications/international-protocol-on-the-documentation-and-investigation-of-sexual-violence-in-conflict. For guidance on sexual and gender-based crimes, please refer to the International Criminal Court Policy paper on sexual and gender-based crimes (June 2014) available at: https://www.icc-cpi.int/iccdocs/otp/OTP-Policy-Paper-on-Sexual-and-Gender-Based-Crimes-June-2014.pdf. For guidance on the investigation of sexual violence against men and boys see the "Guidelines for Investigating Conflict-Related Sexual Violence against Men and Boys", "published by the Institute for International Criminal Investigations, February 2016, available at: https://iici.global/0.5/wp-content/uploads/2017/03/160229_IICI_InvestigationGuidelines_ConflictRelatedSGBVagainstMenBoys.pdf. See also OHCHR Guidance Note on documentation, analysis and reporting of sexual and gender-based violence (forthcoming).

This publication is complementary to existing OHCHR guidance on human rights monitoring and investigations and should be read in conjunction with Chapter 15 (Integrating Gender into Human Rights Monitoring) and Chapter 28 (Monitoring and Protecting the Human Rights of Women) of the OHCHR Manual on Human Rights Monitoring, which elaborates on the integration of gender and women's human rights in the monitoring cycle, ¹⁷ as well as with the Commissions of Inquiry and Fact-finding Missions' Guidance and Practice developed by OHCHR. ¹⁸



UN OHCHR, Manual on Human Rights Monitoring, available at: https://www.ohchr.org/EN/ PublicationsResources/Pages/MethodologicalMaterials.aspx.

See the UN OHCHR, Commissions of Inquiry and Fact-finding Missions on International Human Rights and Humanitarian Law: Guidance and Practice, available at: http://www.ohchr.org/Documents/ Publications/Col_Guidance_and_Practice.pdf.

Preparation



The following sections will consider some key gender aspects during this critical phase, ranging from the selection of a gender balanced team, to appropriate strategies to enhance the team's knowledge about gender integration.

A SELECTION OF THE TEAM

The selection of Commissioners and Experts of Cols/FFMs is normally the prerogative of the HRC President, the High Commissioner for Human Rights or the Secretary-General, who have, since 2009, systematically appointed at least one female Commissioner/Expert to each Cols/FFMs. UN Human Rights Officers, including those constituting the Secretariats of Cols and FFMs are selected by the UN High Commissioner for Human Rights or the Secretary General.

Gender balance and expertise on gender issues are among the several criteria taken into account for the selection of Human Rights Officers. In addition to the selection of staff, the composition of (smaller) teams deployed to the field for investigative missions should include, to the extent possible, both female and male Human Rights Officers. Moreover, when deciding on who will conduct which interview(s) it is important to understand the possible different impact on the victim, source or witness if interviewed by a man or a woman. Gender dynamics and cultural sensitivities should be taken into account when making such decisions.

When SGBV Investigators/Gender Advisers (hereinafter referred to as the Gender Advisers) are part of the team to support monitoring or investigative efforts, their timely integration to the team is key for them to effectively contribute to the integration of a gender perspective from the

Description of gender advisers' functions

The functions of the Gender Advisers in Cols/FFMs include at least the following:

- Contribute to the analysis of the mandate of the CoI/FFM from a gender perspective, and
 provide inputs to the investigation plan, including gender-sensitive investigation methods,
 ensuring that all the team is aware of the gender dimensions of human rights violations at stake,
 as well as identifying specific issues that affect different groups of women and girls and suggest
 methodologies for their investigation;
- Research and collect information pertaining to women's human rights and gender issues directly related to the mandate of the Col/FFM, including SGBV and violations of women's civil, economic, political and social rights;
- Conduct and/or assist in conducting interviews and information gathering activities, to
 adequately document and analyse sexual and gender-based violence against women and girls,
 as well as men and boys, violence against women in both private and public settings, among
 others, and ensure that necessary measures are implemented for the protection of sources,
 witnesses and victims of violations, including survivors of SGBV;
- Provide advice and build the capacity of the Col/FFM to ensure that all members of the Secretariat
 are aware of how best to research, investigate, analyse and report on human rights violations
 against women and girls, including SGBV, as well as SGBV against men and boys, and understand
 the gendered impacts of international human rights and humanitarian law violations;
- Provide advice and build the capacity of the Col/FFM to ensure that security arrangements are gender-sensitive and take into account cultural and contextual gender issues;
- Support the establishment of referral pathways for victims, especially for SGBV victims, ensuring the
 team is aware of it and adequately refers victims to service providers. Follow-up and monitoring of
 access and quality of services would allow to revise the referral pathway accordingly;
- Contribute to the drafting of the investigation outcome document and final report, incorporating, and supporting the incorporation of a gender perspective and gender sensitive language. This includes the drafting of specific sections (e.g. on SGBV or women's human rights) and ensuring that the analysis of facts to establish human rights violations reflects the gender dimension.



beginning of the preparation phase, and they should remain for the duration of the monitoring and investigation work to continue performing their functions throughout the process.

Working with male and female interpreters is also critical to ensure that victims, sources and witnesses will feel comfortable to disclose information to a male or female interpreter. Interpreters can play a key role in the information gathering phase and supporting the development of gender-sensitive glossary of terminology that would help during the interviews. The need to brief them on substantive

aspects, including in relation to GBV, should not overlooked. It is also important to assess whether the interpreter can handle sensitive/disturbing information and/or is comfortable to explain/translate all details conveyed by the victim to the investigator and to avoid the reproduction of gender bias.¹⁹

See also UN OHCHR, Manual on Human Rights Monitoring, Chapter on Interviewing, pps. 11 and 22, as well as the chapter 28 on Monitoring and Protecting the Human Rights of Women.

PLANNING THE INVESTIGATION

A number of key issues should be addressed at the beginning of the investigations:

INTERPRETATION OF THE MANDATE AND SCOPE OF THE **INVESTIGATIONS**

Firstly, investigative teams and Cols/FFMs should discuss how to integrate gender in their work in the light of their mandate and mission. The mandates are usually broad and defined from a geographical and/or temporal point of view, and/or based on subject matter and/or actors. Mandates should be interpreted as extending to all relevant gender-related concerns, including GBV. This follows from the general principle of non-discrimination in international law and, for HRC mandates, from the commitment made in HRC resolution 23/25, para 17.

This is the time to dispel concerns about limitations potentially posed to a gender sensitive investigation by the wording of the mandate and interpretation of the scope of the human rights analysis or the time-period and geographical limits of the investigations. A gender analysis should be integrated in the interpretation of the mandate, and strategies in this regard should be discussed upfront.

All human rights investigations suffer constrains, challenges and limitations, including in relation to limited time and resources, protection issues for victims, witness and staff, as well as the challenges presented by the cultural and political settings. For many of these reasons, investigation teams might not be able to cover all areas of concern that could have fit within the mandate and must perform a prioritization exercise. A gender-sensitive interpretation of the mandate and the definition of the scope of the investigation is critical at this early stage to ensure that the outcome of the investigations fully considers the gender dimension of the

human rights situation and violations, and does not exclude key concerns that particularly or differently affect one sex or gender.

Narrowing the scope of the investigations to one particular type of human rights violations can make invisible the experience of women and girls, in particular in contexts where some particular rights seem to be mainly exercised by men. For example, in some contexts it is mostly men who exercise their right to freedom of expression and assembly and may be more likely to participate in demonstrations. As a result, if investigations focus only on the documentation of the repression of peaceful demonstrations, this prioritization would make invisible the role played by women in this context and their experiences. This could include other forms of women's expression or participation, the consequences that the repression or detention of their male relatives may have on them or the gender dimension of the human rights concerns that may have triggered the demonstrations in the first place. Similarly, geographic prioritization can also impact the gender analysis. For example, women and girls, who are often the majority of displaced populations, experience differently and disproportionately the consequences of the displacement on the enjoyment of their human rights. This can include lack of access to sexual and reproductive health and rights, to food or education, and violence in displacement/refugee settings, including sexual violence. In such contexts, limiting the scope of the investigations to the events that have taken place in one particular area or country may fail to document the situation of those living in IDP or refugee settings. This runs the risk of keeping invisible the differentiated consequences on women and girls of the human rights violations that have caused their displacement. When possible, mandates that appear to be geographically or thematically limited should be interpreted broadly to allow the documentation of all violations, including the resulting consequences on women, girls, men and boys.

Example

A literal interpretation of a Cols' mandate would have, for example, excluded consideration of the trafficking into forced marriage and forced prostitution experienced by refugee women. However, the Col interpreted its mandate to reach such violations, even where the violations took place outside the subject country, as they were the direct consequence of human rights violations which women have suffered in their country of origin, namely that they cannot leave their country legally and face torture if they are deported.

(A/HRC/25/CRP.1)

APPLICABLE LEGAL FRAMEWORK

Investigations should refer to all applicable legal standards, including the three bodies of international law – international human rights law, international humanitarian law, where the legal threshold for armed conflict has been met, and international criminal law, when applicable to the situation under investigation.²⁰ As established practice, Cols/FFMs interpret whether their mandate requires to investigate violations of only international human rights law or violations of both international human rights law and international humanitarian law. They may also be expressly requested to refer to

Other bodies of law, such as international refugee law, may also be applicable. In General Recommendation No. 30 (2013), the CEDAW Committee stresses that "In all crisis situations, whether non-international or international armed conflict, public emergencies, foreign occupation or other situations of concern such as political strife, women's rights are guaranteed by an international law regime that consists of complementary protections under the Convention and international humanitarian, refugee and criminal law", CEDAW/C/GC/30, para. 19. See also UN OHCHR, International Legal Protection of Human Rights in Armed Conflict, United Nations, 2011, available at: http://www.ohchr.org/EN/PublicationsResources/Pages/SpecialIssues.aspx, p.8.

international criminal law when they establish the existence of international crimes perpetrated in the situation under investigation.

The work of the investigation teams should encompass both the general and the specific protection of women and girls under the applicable international legal regimes. The protections to which women and girls are entitled are included, inter alia, in the core human rights treaties, and specifically in the Convention on the Elimination of All Forms of Discrimination against Women (CEDAW)²¹ as well as in provisions under international humanitarian law.²² Non-discrimination and equality between women and men are central principles of human rights law. Both the International Covenant on Civil and Political Rights (ICCPR) and the International Covenant on Economic, Social and Cultural Rights (ICESCR) prohibit discrimination on the basis of sex and guarantee women and men equality in the enjoyment of the rights covered by the Covenants. International frameworks such as Security Council Resolutions particularly related to women, peace and security,²³ and recommendations of the international human rights mechanisms (Human Rights Council, Special Procedures and Treaty Bodies) and regional mechanisms, where applicable, should also be considered when carrying out the

- See UN OHCHR, Women's Rights are Human Rights, in particular Chapter V, sections D and F. See also http:// www.unwomen.org/en/what-we-do/ending-violence-againstwomen/global-norms-and-standards. See also footnotes in section I, B.
- For more information see ICRC, General and Specific Protection of women under International Humanitarian Law, available at https://www.icrc.org/eng/resources/documents/article/other/66uh37.htm. For an introduction to the sources of international humanitarian law and references see for example: UN OHCHR, International Legal Protection of Human Rights in Armed Conflict, United Nations, 2011, available at: http://www.ohchr.org/EN/PublicationsResources/Pages/Speciallssues.aspx.
- See Security Council resolutions on women, peace and security: 1325 (2000), 13271327 (2000), 1820 (2008), 1888 (2009), 1889 (2009), 1960 (2010), 2106 (2013), 2122 (2013), 2242 (2015), 2331 (2016). For Security Council resolutions and documents relevant to women, peace and security, see http://www.securitycouncilreport.org/undocuments/women-peace-and-security.

investigation and analysing data. Investigation teams need also to be aware of specific standards, rules and guidelines applying to women and girls, such as the United Nations Rules for the Treatment of Women Prisoners and Non-custodial Measures for Women Offenders (the Bangkok Rules).²⁴

SETTING THE BASIS FOR A GENDER-SENSITIVE METHODOLOGY

Investigators should aim at corroborating any given piece of information by obtaining concurring information from two other independent and reliable sources.²⁵ However, this may not always be possible, particularly when stigma is attached to certain violations and victims risk being marginalized by their communities for exposing what they suffered, or when certain forms of violations are committed out of sight of eye witnesses. In such cases, applying a unified method of verification for all violations without gender sensitive approach may disregard or consider as unverified certain forms of violations. Some Cols/FFMs have taken into consideration differentiated factors, including gender, when defining verification requirements, especially for cases of torture and sexual violence. Thus the verification of a case may occur where a coherent, consistent testimony from a single primary source (e.g., the survivor or a witness) corresponds to a pattern of similar cases.

Example

Some Cols/FFMs explain in detail the methods and standards used as verification (A/HRC/25/CRP.1, paras. 63-78), including some providing specific analysis on how standards of proof were met in relation to sexual violence. "For instance, for acts of sexual violence or torture, it can be very difficult to corroborate the allegations of the victims with another independent source, especially if the victim had no access to medical assistance. In such cases, corroboration could be obtained by evaluating the details of the victim's statement, its credibility, trying to determine if it is consistent with information available in the public domain and establishing if the acts reveal patterns that correspond to other similar cases" [non-official translation] (A/HRC/36/CRP.1, para. 28).26

Available guidance and good practices in interviewing and establishing trust with victims, including of GBV, both male and female, should be considered and discussed at the outset of the investigation. While all possible efforts should be deployed to obtain relevant information, 'do no harm' principles and the avoidance of re-traumatization should also receive due attention.²⁷ Where the interviews are likely to be with traumatized individuals, investigation teams should discuss how best assess and manage trauma in interviews. An important part of this includes the identification of viable referral pathways and ensuring all Human

United Nations Rules for the Treatment of Women Prisoners and Non-custodial Measures for Women Offenders (the Bangkok Rules), available at: http://www.ohchr.org/ Documents/ProfessionalInterest/BangkokRules.pdf.

For more information, see: the UN OHCHR, Commissions of Inquiry and Fact-finding Missions on International Human Rights and Humanitarian Law: Guidance and Practice, pp. 59-60.

See also Navanethem Pillay, U.N. Commissioner for Human Rights, Lecture: Human Rights Investigations and their Methodology (Feb. 24, 2010) and Emily Kenney, Developing a Gender Methodology for U.N. Commissions of Inquiry, Vol. 46, No. 2, NYU J Int'l L & Pol, (2013), p. 631.

UN OHCHR, Manual on Human Rights Monitoring, Integrating Gender into Human Rights Monitoring, Chapter 15, available at: http://www.ohchr.org/ Documents/Publications/Chapter15-20pp.pdf.

Rights Officers conducting interviews have this information handy before being deployed to the field to conduct interviews with potential victims.

The methodology should be adapted to the circumstances of the investigation and include strategies on how to overcome possible challenges in the information gathering phase.²⁸ The team might, for example, consider if it is advisable to directly approach female or male victims or witnesses or if they might suffer reprisals or stigmatization within their community as a result of their interaction with the investigators. Possible solutions should be explored, such as contacting trusted local organizations, including organizations working with women, or on women's rights or gender issues that would be willing to assist in facilitating access to victims, witnesses and sources.

A gender analysis is also critical as to the selection of the persons to be interviewed, including victims, witnesses, and other sources such as service providers, government representatives, representatives of civil society organizations, which should include, as much as possible, an equal representation of sexes and gender.

The media and outreach strategy developed at the outset of the investigation should integrate gender in its content and presentation. This can be achieved by, for example, ensuring that female and male members of the investigation teams, including commissioners or experts, are equally visible and active in their interaction with media and also in high-level talks, that gender-related findings are integrated in the presentations among the core priorities and findings and that not only the female commissioner(s)/expert(s) or staff address questions related to gender issues. Public

dissemination of information on the mandate of an investigative body, including providing explanation on the scope of the investigations would also contribute to clarify from the outset the type of gender considerations that the investigation will consider.

C

UNDERSTANDING THE CONTEXT AND DEVELOPING AN INVESTIGATION PLAN

As set out in the OHCHR Manual on Human Rights Monitoring, in order to establish an appropriately sensitive methodology, investigation teams will need to have a functioning understanding of how the legal, civil, economic, political, cultural and social issues impact various people in the country, region or situation where the alleged human rights violations have occurred.²⁹ Attention should be paid to pre-existing human rights landscape of marginalized people in the society, including women and girls and those marginalized on the basis of sexual orientation, religious, ethnic, racial, and gender identities, class, national origin, disability, and status, such as refugees or IDPs, as well as human rights defenders or journalists. Investigation teams should bear in mind that identities can and often do intersect, with the consequence that some individuals and populations are particularly and differentially targeted for violence.

An effective gender-sensitive methodology and investigation plan will take into account the need to assess how – in the country or situation concerned – legal, civil, economic, political, cultural and social issues affect women and men differently, resulting in different experiences. As discussed in more detail in section III of this publication, gender-based discrimination and violence should be seen within the larger context of any pre-existing inequalities.

See, e.g., A/HRC/32/CRP.1, paras 19-30. See also below, Investigation and Information Gathering, subsection D Overcoming challenges during information gathering.

OHCHR Manual on Human Rights Monitoring, Chapter 7: Gathering Contextual Information.

Example

"Transactional sex and prostitution are also rife..., as women voluntarily submit to men for food, money, travel, or to avoid a fine or other punishment. These activities, driven by the need for survival by vulnerable persons, are the consequence of the structural problem of food shortage and gender discrimination. Such structural problems are also major contributing factors to the high levels of trafficking in women and girls." (A/HRC/25/CRP.1, para. 320)

In order to understand the context, the team might choose to:

- Map the human rights situation of women, men and others in the country by surveying open source materials and get an overview of the legal, economic, political, cultural and social challenges women, men and others face. Pay attention to intersecting forms of discrimination based on ethnicity, national origin, sexual orientation, gender identity, class and other status. Map the relevant actors/perpetrators and their roles, power/ influence, needs and interests.
- Map any explicit or implicit, direct or indirect discriminatory norms or provisions contained in the national constitution or legislative and customary laws and policies. Protection gaps, as well as legal, social, and economic barriers to access justice have in fact a direct bearing on women and men's experiences to be analysed.³⁰ The findings of the mapping should inform the legal analysis on the legal

- framework and ensure that deficiencies in the laws are discussed in the report and its recommendations.
- Identification of protection gaps that might create obstacles for the reporting of some violations. For instance, the fear of prosecution due to the criminalization of homosexuality or same-sex sexual relationships or the criminalization of adultery might inhibit the reporting of rape by male and female survivors, respectively;
- Consult recommendations and country reports by relevant human rights mechanisms such as: the Committee on the Elimination of Discrimination against Women (CEDAW Committee);31 the Committee on Economic, Social and Cultural Rights (CESCR); the Committee against Torture (CAT); the Human Rights Committee; the Committee on the Rights of the Child (CRC); the Special Procedures, for example, the Special Rapporteurs on violence against women, its causes and consequences, the Special Rapporteur on trafficking in persons, especially women and children and the Special Rapporteur on the human rights of internally displaced persons; the Working Group on Discrimination against Women in Law and in Practice (WGDAW); as well as information provided by the OHCHR's field presences, Gender Advisers, UN agencies and entities, non-governmental organizations and other sources.

For additional material on women's access to justice and SGBV in the context of transitional justice, see UN OHCHR at: http://www.ohchr.org/EN/HRBodies/HRC/RegularSessions/Session27/Documents/A_HRC_27_21_ENG.doc; http://www.ohchr.org/Documents/Issues/Women/WRGS/OnePagers/Sexual_and_gender-based_violence.pdf and CEDAW General recommendation No. 33 on women's access to justice.

³¹ Including country reports, available at http://www.ohchr. org/EN/HRBodies/CEDAW/pages/cedawindex.aspx.



Practical Tool No. 1: Gender Mapping Exercise (example)

Alleged Violations:

Indiscriminate attacks on civilians and civilian objects

Time:

3 August -15 September 2011

Location:

Area X

Perpetrator:

Unknown

Gendered Impacts

- Disproportionate number of women and children killed/injured during attacks on residential buildings due to existing gender roles whereby women are mainly restricted to the domestic sphere and bear the responsibility of looking after the children and elders
- Displacement ⊃ crowded conditions, lack of order ⊃ vulnerability to sexual violence
- Lack of domestic fuels increased responsibilities and psychological pressure on women during conflict/displacement in view of typically female chores that are affected (cooking, etc.)
- Unequal access to humanitarian assistance; distribution of food and services to the "heads of households"
- Restrictions on registration/access to property and other assets (including "widows salaries")

 financial dependency
- Vulnerability to harmful practices and other violations

 forced by poverty to marry for financial security

IHL applicable framework

- Geneva Convention (IV) Relative to the Protection of Civilian Persons in Time of War
- Additional Protocol I to the Geneva Conventions, article 51(4) on indiscriminate attacks

IHRL applicable framework

- CEDAW, article 1 on discrimination against women, articles 11 and 13 on the right to work;
- CEDAW, article 15 on the right of women to equality with men before the law and the legal
 capacity identical to that of men; articles 15 (2) and 16 (1) (h) in relation to equal rights to
 administer property;
- CEDAW, article 15 (4) on the right to freedom of movement;
- CEDAW, article 16 (1) (a) and (b) on the right to freely choose a spouse and to enter into marriage only with their free and full consent;
- The International Covenant on Economic, Social and Cultural Rights (ICESCR), articles 2 and 12 on the right to food and health care.

D

TRAINING/BRIEFING THE TEAM ON GENDER INTEGRATION

All members of an investigation team have a responsibility to integrate a gender perspective in their work. It is therefore imperative to train all staff members to detect gender concerns and impacts within their area of expertise and assigned functions. If the team is trained in applying gender-sensitive practices and documentation techniques for the collection of information and data, the quality of the gender analysis will be enhanced and the chance that the investigators disregard valuable information decreased. The training should include how to identify the gendered impact of human rights violations, how to interview survivors of GBV, including sexual violence, and how to write a report that mainstreams gender. All Human Rights Officers with investigative functions should be in the position and trained to undertake interviews with GBV survivors, even when the team counts on the support of a Gender Advisor. This is particularly important as it may not be always possible to know in advance that genderbased violence, including sexual violence, may come up in the contexts of interviews.

Training sessions should also include a session on the referral of victims or witnesses to service providers, especially medical and psychosocial, as part of the protection functions of the team.

Gender Advisers supporting investigative bodies have developed different methods to enhance the capacities of the entire investigation team, including Commissioners/experts, staff and interpreters. Gender Advisers, when part of the team, might develop summaries/briefs on different aspects of gender integration, including key gender concepts (such as indirect discrimination and GBV), a situational overview for women and men in the country/region under investigation, and an introduction to gender analysis. Another method to promote gender integration into the work of the team is to organize training sessions. Some Cols/FFMs have developed thematic briefings or training sessions for the staff of the Secretariat, with the support of OHCHR Women's Human Rights and Gender Section. Training session can combine theoretical information about GBV with practical exercises. These aim at identifying violations of women's rights and gendered impacts in fictional scenarios while applying the relevant international framework.



CHECKLIST FOR THE PREPARATION PHASE

- ☑ Ensure gender balance and gender expertise in the selection of the members of the team, including, when relevant, Commissioners/Experts and Human Rights Officers performing investigative functions, legal analysis and reporting officers. Make certain that Gender Advisers join the investigation from the outset.
- Map the differentiated human rights situation of women, men, girls and boys in the country and develop an overview of the legal, economic, political, cultural and social challenges. Pay attention to intersecting forms of discrimination based on ethnicity, national origin, sexual orientation, gender identity, class and other status. Map the relevant actors/perpetrators and their roles, power/influence, needs and interests. Identify protection gaps and any risks or barriers that can be different for women, men, children or LGBTI persons to report specific violations.
- ☑ When developing a methodology, account for possible obstacles that might surface when investigating violations of women's human rights or violations against LGBTI persons and their gendered impacts and discuss within the team possible strategies to overcome these.
- ☑ Ensure that gender is integrated in the investigation plan and field missions. Depending on the circumstances, a separate investigation plan for gender integration can be developed. Identify the key gender-related issues to be investigated and the methodology for gathering such information.
- ☑ Identify potential sources of information such as witnesses/victims, human rights activists, civil society groups and networks working with women and on women's human rights, LGBTI and/or gender issues, as well as national human rights institutions, field workers and service providers, community leaders and authorities who could provide relevant information with a gender sensitive approach. Identify potential intermediaries who could assist in the identification of sources, witnesses and victims. Establish contact at an early stage in order for them to organize and assist in the most efficient manner possible.
- ☑ Include a gender analysis in the prioritization exercise and identify gender integration priorities for the investigation. Inputs from local women's human rights groups and other relevant partners can also be valuable in terms of identifying priorities.
- ☑ Prepare briefing notes with the objective of assisting all members of the team in successfully integrating gender throughout the investigation, highlighting possible issues to be investigated. Parts of the briefing notes and the abovementioned background material could be used in the report at a later stage.
- ☑ Ensure that a training session on gender-sensitive investigations is provided to all staff members, including on identification and documentation of the gendered impact of human rights violations, on conducting interviews with survivors of GBV, use of referral pathways and gender integration in reporting.

Investigation



Investigation and information gathering

During the documentation and investigation phase, information should be gathered from a wide range of sources to allow for a comprehensive analysis of violations or abuses and possible gendered impacts suffered by women, men and others, and reveal preexisting forms of violence and discrimination. In addition to considering various useful sources of information to an investigation, this chapter will provide guidance in terms of collecting and organizing gender-related data, as well as strategies to overcome some of the challenges that may present themselves during the information gathering process.



INFORMATION GATHERING AND SOURCES OF INFORMATION

Depending on the mandate and the context, main sources of information may include

primary sources (such as victims, witnesses, Human Rights Officers' direct observations at the locations where facts occurred and declarations by alleged perpetrators) and secondary sources (such as second-hand testimonies, the media, information provided by UN entities, humanitarian actors, civil society actors, medical or forensic reports and certificates). Information comes in various forms: oral testimony, documents, video material, photographs, personal observations and satellite images.³² In particular, Human Rights Officers should map out the most relevant sources of information that would contribute to a gender analysis. These may include for instance:

 Victims and witnesses of any practices of GBV and other gender-related violations. In addition, Human Rights Officers should be mindful to ask the relevant question in all interviews (not only to GBV victims/witnesses)

See OHCHR, Commissions of Inquiry and Fact-finding Missions on International Human Rights and Humanitarian Law: Guidance and Practice, p. 42.

and not only to women) permitting to gather information on the differentiated impact of the human rights violations on women, girls, men and boys.

- Experts and local or international women and human rights representatives and activists in the field, including representatives of civil society organizations working with and on women and girls' human rights and LGBTI rights, as well as service providers, such as medical care providers targeting women and girls and midwifes, and humanitarian and human rights personnel and social workers, including those working with women and girls, among others. Besides giving their own account of the events under investigation, these actors might be willing to assist the investigation by providing relevant documentation, reports and information gathered on the ground and serve as intermediaries to contact other sources, witnesses or victims.
- Official reports and statistics; reports and data produced by UN entities, humanitarian actors and civil society; medical and forensic reports; videos may all be valuable sources of information for the documentation of human rights violations, including violations of women's human rights and GBV, and of the differentiated impact of the human rights violations on women, girls, men and boys. While many of these documents may have been gathered in the preparation phase, additional documentation may surface or become known through the interaction with different actors at local level when gender sensitive questions are asked.
- State officials and members of armed groups, or former officials and former members of armed groups, if possible and where security risks can be adequately mitigated, might also be willing to be interviewed.

"Do No Harm"

Respect of the "Do No Harm" principle requires a proper assessment of gender dynamics and cultural norms that may result in victims and witnesses being exposed to further harm, including re-traumatization or stigma, violence and marginalization at the hands of alleged perpetrators or the victims' families and communities. At the same time, it is important not to make assumptions about victims' needs or condition based on stereotypical views. For example it should not be assumed that all victims of sexual violence would find the process of recounting their experience traumatizing. If well conducted, such process could in fact be healing and empowering.

Prior to arranging an interview with a victim/witness, especially with potentially vulnerable victims, the Human Rights Officers should consider for example:

- whether the victim may experience reprisals, marginalization, stigma, violence including at the hands of the family or the community for contacting and/or informing the investigation team;
- what facts are critical to the case and whether the victim/witness can provide the necessary information;
- whether the victim/witness has already given a statement, including to other UN agencies, in order to assess whether it is necessary to ask her/him to recount again traumatic events;
- if the investigator knows how to respond appropriately to the victim or witness if she/he becomes distressed, and if the investigator is knowledgeable about referrals to counselling and other services.³³



For more information, see the OHCHR, Commissions of Inquiry and Fact-finding Missions on International Human Rights and Humanitarian Law: Guidance and Practice, p. 33.



COLLECTING SEX AND AGE-DISAGGREGATED DATA

While respecting the principles of selfidentification,³⁴ investigators should seek to obtain disaggregated data. At a minimum, information on violations (e.g. extra-judicial killings, enforced disappearances, torture, deprivation of liberty, GBV etc.) should be broken down by sex and age and, where possible, on the basis of other status. This is key to determining the gender-specificities of human rights violations as well as to giving an accurate account of the experience of women, men, girls and boys and to identifying potentially discriminatory practices or targeting (based for example on ethnicity, religion, political affiliation, sexual orientation, disability, etc.). Disaggregated data is not always available and, if not, this should be reflected in the report. In such instances, the data gathered in the OHCHR database or estimates provided by local human rights organizations might be used as indicators, provided they are properly referenced in the report.

In order to document the differentiated impact of violations or of the human rights situation on women and girls, investigation teams should also seek and gather information and disaggregated data, including by year, to allow comparative analyse of the possible impact or exacerbation of pre-existing forms of violence and discrimination.

The principle of self-identification prescribes that individuals belonging to minority groups have the right to self-identify as a minority, or not to self-identify as a minority. For more information see article 3 in the Declaration on the Rights of Persons Belonging to National or Ethnic, Religious and Linguistic Minorities.

Example

The importance of the collection of sexdisaggregated data was illustrated in the Ebola disease outbreak which showed a disproportionate effect on women and children, with up to 75 percent of reported cases affecting women. A gender analysis shed light on the causes of transmission: women and girls are more often in contact with bodily fluids of infected persons as they are usually caretakers for ill family members, more likely to be front-line health workers (nurses, midwives) or health facility servicestaff (e.g. cleaners, laundry etc.). Women are often traditionally tasked with preparing dead-bodies for burial, exposing them to direct contact with the disease. Also, women can contract the disease through sexual intercourse with men who have recovered from Ebola – as the virus stays in the semen for up to seven weeks after recovery. In this regard it is important to consider that women are often not in a position to refuse sex including within marriage - and to negotiate the use of condoms. It is not that Ebola targets women; however, a simple analysis uncovers the effect that gender roles can have on the rights of women, starting with the basic right to life.



OVERCOMING CHALLENGES DURING INFORMATION GATHERING

Collecting information on violations or abuses directed toward, or disproportionately affecting, someone because of their gender or sex is particularly challenging. The on-going discrimination that the victims may face, and the impunity that typically prevails, requires that the investigators and interpreters win the trust of the victims. In most societies, it is often not socially acceptable to discuss the systematic oppression of women and LGBTI persons or certain prevalent

violations, such as sexual violence. Consequently, besides the absence of reliable information, the team may struggle to identify where such violations have occurred, as well as to find witnesses/victims who are willing to provide testimony.

To overcome these challenges, investigation teams should conduct a thorough mapping exercise on potential sources that can provide gender specific information. It is often critical to rely on intermediaries who could help to identify these individuals, including victims, or organizations that may be good sources of information. Intermediaries can also play a critical role to reach out to victims and make the first contact with them to assess their disposition to be interviewed. Investigation teams should be proactive in reaching out to different type of sources and intermediaries, making sure the selection of sources and intermediaries is conducive to gathering information on women's human rights and gender issues. It is important to remember, when identifying sources, not to rely too heavy on entities or service-providers who target only one segment of the population. For example psychosocial services that only target women and girls, may not be good sources of information on trauma suffered by men or boys.

In some contexts and for a variety of reasons (e.g. security, remoteness, trauma or stigma) it may be very difficult, impossible or unadvisable to interview certain victims or witnesses. While the documentation of human rights violations will often require interviews with surviving victims and direct witnesses, care should be taken to contemplate and reach out to other available sources of information. This could also contribute to prevent to conduct unnecessary interviews, particularly important when dealing with traumatized individuals.

Women and girls are often disproportionately assigned domestic tasks, including the work of cooking, gathering water and wood, the care of children and elders, which often restrain their availability to participate in meetings and their

freedom of movement. Additional obstacles may prevent women and girls' participation and their ability or disposition to meet and be interviewed. Investigation teams should be aware of the situation of women and girls in the particular context and take the necessary measures to maximize their participation by mitigating the obstacles. This may include organising interviews in special schedules that facilitate women and girls participation; put in place child-care alternatives; consider the possibility to conduct remote interviews through secure communication methods, or to receive – when relevant – written testimonies. Outreach initiatives should also be gender sensitive to ensure women and girls are aware, in a timely manner, of their possibility and facilities provided for them to give their testimony.

Experience has revealed that, in communities with a strong patriarchal culture, women and girls often perceive themselves and their experiences as secondary. As a result, their testimonies often focus primarily on the experience of their male relatives and/or children. Human Rights Officers conducting interviews should be aware of this and must ensure that follow up questions focus on the women's experience. Dedicating "special days" for women may also contribute to facilitate their participation and share information on their own experience.

Some Cols/FFMs have organized public hearings to increase the outreach and visibility of their work, supplement information that requires further verification, identify new sources of information and/or to bring forward or prioritize certain topics, such as violations of women's rights. Gender Advisers can play an essential role in preparing victims and witnesses to speak in the public hearings and to sensitize commissioners, experts and Human Rights Officers on how best to ask questions on gender issues. Consideration should be given to whether public hearing could expose victims to further stigmatization or violence.

Yet another challenge is that patriarchy and gender-based discrimination might be so ingrained that even the investigators themselves fail to recognize human rights concerns. For example, if maternal mortality increases, the team might consider this a sad fact of life rather than a direct consequence of a conflict or a possible matter of discrimination – where duty bearers may have failed to provide access to required reproductive health care services. As a consequence, investigations and documentation of violations might fail to include all the relevant elements needed for a gender analysis. Thus the importance of training the investigation teams to undertake gender sensitive information gathering and analysis.

Example

"The Commission found that in general, women were more reluctant to come forward and speak for fear of their own safety as well as that of their families, lack of time, perceived inability to access interview locations, perceived lack of interest in their experiences and a general hesitation to disclose these... By far, however, the biggest challenge to interview women was the fear for the safety of their families as they believed that their conduct was being clandestinely monitored by [the] authorities and were terrified for any person to know they had spoken with the Commission."

(A/HRC/29/CRP.1, para. 46)

"The Commission understood that in the cultural setting in which women perceived themselves and their experiences to be of lesser significance to men, it would be important to have special days for women. The Commission noted that towards the end of the Commission's investigation phase, as trust and confidence in the Commission's work had grown, many more women indicated their willingness to be interviewed, but due to the Commission's compressed timeline this was no longer possible."

(A/HRC/29/CRP.1, para. 47)

Gathering information about human rights violations against LGBTI persons might also entail specific challenges. UN and other stakeholders have documented how persons have been specifically targeted as a result of their actual or perceived sexual orientation or gender identity, by State and non-State actors in conflict settings, including for being seen to transgress entrenched gender norms. Still, LGBTI persons in contexts of conflict are often neglected and violations against them underreported. Efforts should be made to actively seek information on the situation of LGBTI persons, including through organizations that protect their rights, while ensuring confidentiality and the safety of the concerned persons. Limited information currently exists on the situation of intersex persons in conflict settings, who may also face additional risks of vulnerabilities.35

Example

Men were tortured and raped on the grounds of their sexual orientation at government checkpoints. In 2011, six homosexual men were beaten viciously with electric cables by security agents and threatened with rape. [...] In October 2013, a 26-year-old man was detained on the grounds of his sexual orientation. He was beaten and hung by his arms from a ceiling..." (A/HRC/25/65, para. 67)

³⁵ See UNHCR, Protecting Persons with Diverse Sexual Orientations and Gender Identities: A Global Report on UNHCR's Efforts to Protect Lesbian, Gay, Bisexual, Transgender, and Intersex Asylum-Seekers and Refugees, December 2015



Practical Tool No. 2: Information Gathering Exercise

order to gather information, as indicated in the examples below:

While the appropriate methods used to gather information varies depending on the circumstances, there are common challenges that arise concerning identifying/contacting victims/witnesses, obtaining statements and planning field missions. The team might need to consider different approaches in order to ensure that women and men's voices are equally represented. The team might choose to map out possible challenges and develop appropriate strategies in

Challenges

- Building trust and rapport with the communities and potential victims/ witnesses due to inadequate time on the ground
- Obtaining statements from female or male victims/witnesses due to fear of retaliation, security concerns, perceived lack of time due to household responsibilities and/or lack of self-agency
- Identifying and contacting potential witnesses/ victims due to existing gender dynamics

Suggested Strategies

- ➡ Establish contact with intermediaries as early as possible and communicate the wish to speak with women as well as men (intermediaries might, for example, include social workers, activists, hospital staff and journalists)
- □ Gather inputs from local organisations and networks of women who are familiar with cultural aspects and protection issues that might be relevant for the information gathering process
- ➡ Establish contact with victims/witnesses through local organisations and networks of women who have established trust within the communities
- Consider alternatives to interviews done in person, such as telephone interviews and written statements
- Adapt the team's information gathering strategies if necessary. In some instances it might, for example, be appropriate to first speak with a women's husband/father or other male member of the family in order to explain the reasons for the interview

Previously Successful Strategies to Consider

Introduce a "woman's only day" during the field mission, which consisted of a day specifically dedicated to women to express their views to better document their experiences. (A/HRC/29/CRP.1, para. 47)

Contact women's networks and groups to receive assistance to collect written testimonies from women from various regions. (A/HRC/29/CRP.4, para. 12)

Promote women's participation by facilitating them to bring their children along. Other women who were also to be interviewed could look after the children when their mothers were interviewed. (A/HRC/36/CRP.1)

Adjust the methodology to accommodate female victims and witnesses' needs and constraints, for example by carrying out telephone interviews with women after working hours. (A/HRC/29/CRP.1, para. 47)



D

IINVESTIGATING GENDER-BASED VIOLENCE, INCLUDING SEXUAL VIOLENCE

Gender-based violence, including sexual violence, is a human rights violation (e.g. violation of the right to physical integrity, the right to be free from torture, cruel, inhuman or degrading treatment or punishment, the right to be free from slavery; the right to equality and non-discrimination) that can be committed by a variety of perpetrators, including State and non-State actors and/or individuals. Moreover, the State is in breach of its human rights obligations if it fails to respond appropriately to GBV, i.e. act with due diligence to prevent gender based violence, bring perpetrators to justice and ensure that victims have access to an adequate and effective remedy and full redress, including for acts or omissions by State and non-State actors and/or individuals. Additionally, it is now recognized that in certain circumstances, non-State actors (e.g., armed groups) can also be bound by international human rights law and can assume, voluntarily or not, obligations to respect, protect and fulfil human rights.³⁶ Acts of gender-based violence takes multiple forms and include sexual violence, trafficking, domestic violence, battery, forced marriage, dowry-related violence, coerced or forced use of contraceptives, femicide, female infanticide, harmful practices and certain forms of slavery and servitude. In addition to being crimes in many national legal systems, and a human rights violation, depending on the context in which these acts take place, they can also be a violation of international humanitarian law and/ or a war crime, a crime against humanity, or a

constitutive act of genocide under international criminal law.

Already during peacetime there may be deficiencies in States' responses to GBV. These can be further aggravated in conflict and post-conflict situations, when GBV tends to increase, both at the hands of States' officials, armed groups and private individuals, due to the general breakdown of law and order, the generalized climate of violence, the increased availability of arms, among others.

Investigation teams should gather and analyse information on the broad spectrum of states obligations concerning GBV, in particular in conflict and post conflict situation.³⁷ This includes:

- Examine if there is a gender-responsive, human-rights compliant legal and policy framework in place.
- Any early warning systems in place to identify potential, impending and/or ongoing violations.³⁸
- Measures the State has taken to prevent or combat all forms of GBV by State and non-State actors, such as training for national troops, border-police, immigration officials and national humanitarian actors on how to identify cases of GBV and on how to protect individuals from GBV.

UN OHCHR, International Legal Protection of Human Rights in Armed Conflict, 2011, p. 23-27, available at: http://www.ohchr.org/EN/PublicationsResources/Pages/Speciallssues.aspx. See also: CEDAW, General recommendation No. 35 on gender-based violence against women, updating general recommendation No. 19, CEDAW/C/GC/35 (2017), available at: http://www.ohchr.org/EN/HRBodies/CEDAW/Pages/Recommendations.aspx.

³⁷ CEDAW General recommendation No. 30 on women in conflict prevention, conflict and post-conflict situations provides more detailed guidance on what those obligations are. It is available at: http://www.ohchr.org/Documents/ HRBodies/CEDAW/GComments/CEDAW.C.CG.30. pdf Also, general recommendation 19 and its update contains extensive guidance in this regard. The draft update is available at: http://tbinternet.ohchr.org/_layouts/ treatybodyexternal/Download.aspx?symbolno=CEDAW/C/ GC/19/Add.1&Lang=en.

For examples on early warning indicators of conflict-related sexual violence see the Matrix elaborated by the UN Action Network to stop Sexual Violence in conflict, available at: http://peacemaker.un.org/sites/peacemaker.un.org/files/ MatrixEarlyWarningIndicatorsCSV_UNAction2011.pdf.

- Measures that have been taken to prevent, investigate and punish all forms of GVB, including sexual violence, at the hands of States officials (ex. army, police), armed groups, etc. including orders, code of conducts, reporting mechanisms, implementation of disciplinary measures. Review public statements of leaders in order to determine if they comply with the obligation to condemn all kinds of GBV and do not use a derogatory narrative which perpetuates harmful gender stereotypes that condone or even incite violence.
- Analyse whether reported crimes and allegations of violations and abuses are investigated, prosecuted, punished and that reparations were effectively granted and provided to victims.
- Examine whether there is impunity for GBV and try to understand the causes of impunity. This may include lack of will, lack of resources and human capacity in the judicial system to handle claims, lack of independence of the judiciary or protection of perpetrators by State institutions, lack of understanding of duty-bearers, and prevalence of gender stereotypes and patriarchal societal norms that minimize the importance of crimes against women and GBV more generally. Underreporting may also be explained by victims' fear and reluctance to engage with the justice system, lack of protection measures or psychosocial support, accessibility barriers or limited awareness of available complaint mechanisms.
- Consider whether the officials involved in the administration of justice, including prosecutors, lawyers, police and judges understand the sensitivities surrounding violations of women's rights or of LGBTI rights. For example, gender-sensitive practices (e.g. the use of female police officers, choice of the time and location of interview that does not expose women, etc.)

- should be employed in the investigations of GBV. Legal aid should be available and accessible to survivors of GBV, particularly those belonging to disadvantaged populations. The victims/witnesses should be able to access safeguards in order to ensure that they are protected when engaging with justice mechanisms including protective orders, injunctions, guarantees of confidentiality, etc. They should also have access to adequate information and comprehensive medical treatment, mental health care and psychosocial support.
- Examine whether there have been any efforts to raise awareness among victims about their right to reparations.³⁹ A State could, for example, establish programmes for reparations and assistance to survivors of GBV. Consider whether the State adequately and widely consulted with victims when establishing reparation programmes.⁴⁰
- Investigate whether there are alternative dispute resolution processes that discriminate against women, established by law or by local customary practices. For example, informal justice systems such as traditional, customary, tribal or religious systems might not comply with international human rights standards, and may prove to be an obstacle to ensuring protection and redress for survivors of GBV. Additionally, survivors might face pressure from their families and community to accept out-of-court settlements with the perpetrator.

For more extensive guidance on redress and reparations, please refer to CEDAW general recommendation 33 on women's access to justice, available at: http://tbinternet. ohchr.org/Treaties/CEDAW/Shared%20Documents/1_ Global/CEDAW_C_GC_33_7767_E.pdf.

For guidance on policy and operational guidance to engage/support reparations initiatives please see: Guidance Note of the Secretary General – Reparations for Conflict-Related Sexual Violence, June 2014.





Practical Tool No. 3:

Things to consider prior to/during interviews

- Reflect on any possible prejudices and gender stereotypes, including one's own biases, that
 might interfere with or influence the investigative process and that may increase the chances of
 overlooking important information. A common wrongful assumption is, for example, that men
 or elderly women cannot be victims of sexual violence. Another common assumption is that
 victims of sexual violence have only suffered this form of violence, and may not be regarded
 as good sources of information for other types of violations.
- Provide victims/witnesses with the choice of speaking to a woman or a man investigator and/ or interpreter; assumptions regarding the victim's preference should be avoided.
- Allow the story to unfold naturally and for the victim to use his/her own words. At a later stage of the interview, order the interview chronologically and return to points that need clarification. Be aware that, if the person being interviewed experienced trauma, it may affect their ability to coherently or fully recount an event. If appropriate, ask about GBV.
- Remain attentive to the narrative of the victim/witness. Other violations/experiences might
 be relevant in addition to those that initially were the basis for the interview. For example, it
 might emerge during an interview that a witness/victim is a survivor of GBV.
- Be aware of challenges in an interview context, such as vague language and expressions
 used to indicate gender-based violence, including sexual violence, as well as cultural taboos
 that may affect both the witness/victim and the interpreter. Be sensitive to any information
 provided during the interview that indicates this, such as gaps in the narrative provided by the
 witness/victim and euphemisms used during the interview to refer to the experience.

Particular challenges present themselves when gathering information on sexual violence. The consequences of the sexual violence have long-lasting effects on survivors, who often continue to suffer from the medical, physical, psychological trauma and socioeconomic consequences of the violence. In addition, stigma associated to sexual violence creates further barriers for survivors to talk about the harm they experienced, preventing them to receive medical and psychological support and claim their rights, including redress. Moreover, while women and girls continue to be those primarily affected by sexual violence due to

structural power imbalances in society, it has become increasingly visible that sexual violence affects as well men and boys, with gender stereotypes also underlying their victimization. In communities with a strong patriarchal culture, women and girl's experiences might be considered less significant and sexual violence against male victims may be highly stigmatized. Many victims who choose not to come forward do so in fear of shame, stigma, retaliation against themselves and their families, loss of privacy, and/or of possible prosecution, for instance when adultery or same-sex relations are criminalized.

Stigma

Stigma associated with the sexual violence is socially and culturally constructed around dominance and inequality. It leads to the creation, condoning or compounding of social exclusion for those that are (or are perceived to be) victims and survivors of sexual violence. Stigma involves penalising or placing blame on individuals, groups or communities for bringing shame or 'transgressing' from the standards of their community or society.⁴¹



Example

Several Cols/FFMs reported the difficulties for survivors to come forward, including the stigma associated with the sexual violence, as well as the fear of reprisals and of being rejected by their partners, families or communities, that can prevail regardless of the sex of the victim.

Expressions of support of the community, including of religious leaders, who have embraced the women and girls who have survived sexual violence, sending a message that they belong to and are accepted in the community, were critical for survivors to start a process of healing and to accept talking and disclosing what they have endured. "Whereas previously they may have been ostracized, this religious-backed embrace of female survivors has provided a space in which those who were unmarried at the time of capture can still marry within the faith, and in which those who are married are more likely to be accepted and

Another Commission reported on the particular stigma associated with the sexual violence against men and boys, how the violations affected their lives, their physical and mental health, and inhibited them in reporting the violations they suffered, including to medical services, which often were not adapted to men and boys. "The victims met by the Commission had rarely had access to medical care in exile, due to lack of information, fear of stigmatization or because the free services provided to refugees were not adapted, especially to men victims of sexual violence. These later often felt shame to seek and obtain medical care that is generally provided to women. A man testified that his trauma resulting from the rape was such that he could not talk about it to the doctor who was treating him for another medical condition" [nonofficial translation] (A/HRC/36/CRP.1, para. 498).

Male survivors of sexual violence are often reluctant to step forward due to the risk of stigmatization and prosecution. Domestic legislation might lack legal protections for male victims of sexual violence due to gendered definitions of rape that exclude male rape. The legislation may criminalize sexual intercourse between men without distinguishing between consensual and nonconsensual sexual intercourse. In addition, the types of sexual violence to which men are exposed differ from those against women and girls, and are often underreported and

supported by their husbands and extended families".(A/HRC/32/CRP.2, para. 79)

See also Principles for Global Action, preventing and addressing stigma associated with conflict-related sexual violence, Foreign & Commonwealth Office and Preventing Sexual Violence Initiative, 19 September 2017.

less commonly acknowledged. 42 Investigators may not be familiar with the stigma around sexual violence against men and boys and consequently, they might overlook the gathering of such information from sources and/or fail to look for possible signals that sexual violence has taken place. For instance, sexual violence can be used as a form of torture and illtreatment in detention, but may not be reported as such by survivors themselves and by the investigators. Thus the importance of training and of following specific methodologies (e.g. on interviews technique, selection of intermediaries/sources and information gathering) to be conducive to the disclosure of this information by survivors and the adequate documentation of these forms of violence.43

See the report of the Workshop on Sexual Violence against Men and Boys in Conflict Situations, organized by the Office of the SRSG on Sexual Violence in Conflict in July 2013, available at: http://www.un.org/sexualviolenceinconflict/ key-documents/reports. Sexual violence against men and boys includes, inter alia: rape, such as anal or oral rape, including gang rape, forcing men to rape others or rape using objects; different forms of torture and ill-treatment on the genitalia; to be forced to assist the rape or other forms of sexual violence against their family members; sexual slavery; forced circumcision, castration, and other forms of genital mutilation.

Investigating sexual violence against men and boys

The Institute for International Criminal Investigations (IICI) has published a set of guidelines for investigating conflict-related sexual and gender-based violence against men and boys. 44 The guidelines are designed to assist criminal-justice and human-rights investigators, reporters and monitors to fully and properly monitor, document and investigate sexual violence against men and boys that may amount to war crimes, crimes against humanity and genocide and other egregious violations of international humanitarian, criminal and human-rights

They cover the following fields:

- overall legal, institutional, strategic, policy, procedural and financial frameworks
- investigation policy
- composition and functioning of relevant institutions
- capacity-building and awareness-raising of staff
- investigation: preliminary research
- investigation planning: general considerations
- in-depth pre-deployment analysis
- information-and evidence-collection plan
- investigation team
- threat and risk assessments, and referral avenues
- interview strategies, techniques and practices
- medical and forensic investigations
- capture, storage, management, confidentiality and disclosure of information and evidence
- analysis of information and evidence
- reporting, public relations, awarenessraising and advocacy
- budgeting
- redress



Guidelines for investigating conflict-related sexual and gender-based violence against men and boys by the Institute for International Criminal Investigations (IICI), February 2016, available at: http://www.iici.info/ uploads/docs/160229_IICI_InvestigationGuidelines_ ConflictRelatedSGBVagainstMenBoys.pdf.

See specific section in UN OHCHR, Guidance Note on documentation, analysis and reporting of sexual and genderbased violence (forthcoming).

Example

"Several testimonies reported... sexual torture used on male detainees. Men were routinely made to undress and remain naked. Several former detainees reported beatings of genitals, forced oral sex, electroshocks and cigarette burns to the anus in detention facilities... Several of the detainees were repeatedly threatened that they would be raped in front of their family and that their wives and daughters would also be raped."

(A/HRC/S-17/2/Add.1, para. 66)

"The Mission was informed that some soldiers held at the military camp... were apparently forced by the camp leaders to have sex with each other while their captors filmed the scene with amusement. The same soldiers stubbed cigarettes on different parts of their victims' bodies."

(A/HRC/22/33, para. 51)

E

ORGANIZING INFORMATION

Investigation teams should keep record of their interviews and other documents and information gathered in a database that allows and helps the categorization of cases and the searches, including by type of violations, such as gender-specific violations, as well as by sex and age. Databases that allow to account the gendered nature of violations under investigation improve the ability to undertake gender analysis and to do so efficiently. This is particularly important in the case of

Cols/FFMs, considering the usually short time frames in which they operate and the need of tools that facilitate the revision of large amount of interviews, information and data, by all relevant members of the teams, especially those in charge of the gender and legal analysis and reporting. If the information is entered correctly and diligently in the database, for instance systematically including all relevant gendersensitive aspects that arise from the interviews or information and using specific gendersensitive categories to organize the information, this can provide the team with straightforward and timely access to information which is relevant to conduct a gender analysis; and also to get both an overview and a detailed narrative of the events. This may also facilitate the gathering of disaggregated data concerning each violation/incident/pattern allowing for a clearer understanding of the nature and scope of the violations/abuses and their impact on women, girls, men or boys.

Database functionalities should be designed in order to facilitate a gender sensitive analysis, including for instance gender specific categories and tagging options, to be able to extract sex and age-disaggregated data, use key word searches and highlight gender related events/patterns and incidents. All members of investigation teams should be trained in the use of the data base, including on how to enter and extract information with a gender perspective. Where Gender Advisors support the team, coordination with them is critical, as they can facilitate the revision of cases and the follow up to specific incidents, as well as the analysis advising the other members of the team when gender-related concerns emerge, as these may not arise in the initial analysis and/or if the methodology has to be adapted to obtain the relevant information.

CHECKLIST

CHECKLIST FOR INVESTIGATION AND INFORMATION GATHERING

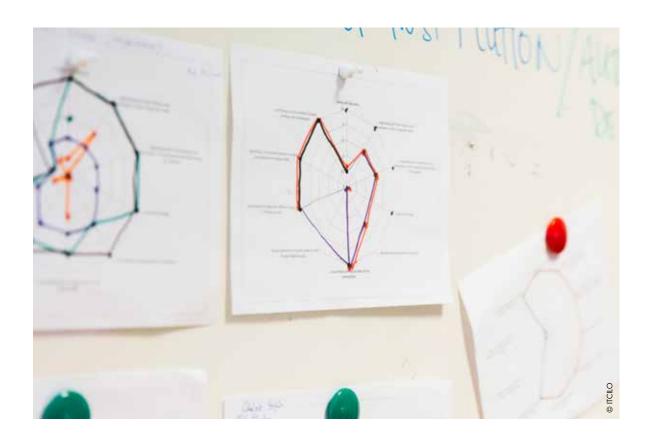
- ☑ Identify a wide range of potential sources of information, including victims and direct witnesses, as well as secondary sources or intermediaries, such as UN entities, civil society groups, experts and activists, paying particular attention to the gender diversity of the sample of sources selected. These should include as much as possible an equal representation of men and women.
- ☑ Ensure the investigation co-ordinates and collaborates with relevant UN entities as well as international and local human rights, women's rights and LGBTI rights organizations, networks and groups, human rights organizations representing victims, health care providers and humanitarian actors in order to obtain information on women's human rights, including on SGBV (but not only), and, as necessary, establish contact with victims/witnesses. Be particularly attentive not to overlook intermediaries, sources including victims and witnesses and secondary sources that would be best placed to inform on women's human rights or gender-specific aspects of the violations, LGBTI rights, as well as on gender equality and women and girls' status in the society.
- ☑ Identify the prevailing challenges that might prevent or inhibit women's participation and their voices to be heard (as men's voices generally tend to be overrepresented). Set specific strategies to address these challenges to enable and facilitate the disclosure of information on the violations women may have suffered or on the differentiated impact that the particular events, conflict or crisis has/had on women and girls. Specific strategies may include organising public hearings, including women's only days, and other measures that encourage women's participation, such as adjusted schedules facilitating their interaction (e.g. after working/active hours); considering child care options; suggesting possibility of remote interviewing, etc. Particular attention should be paid in relation to the outreach and dissemination of information in order to reach out to a wide variety of the targeted population, including women, LGBTI persons and other marginalized populations.
- ☑ Ensure that interviews are conducted in a way that gender-specific questions are asked and can illustrate and inform on the differentiated impact of the human rights violations on women, girls, men and boys. Ensure that the information gathered also aims at documenting the violation on women and girls, beyond sexual violence, and documenting the differentiated impact of the violations on them.
- ☑ Take measures to ensure that all Human Rights Officers have the competencies and the skills to conduct investigations on GBV. Ensure that support/training is regularly provided to the team on how to do so and how best to record the interviews and gather documentation. Investigate different forms of GBV by State, non-State armed groups and non-state actors. If relevant, address all prevalent forms of sexual violence and not only rape, including for example trafficking; transactional sex (being forced to exchange sexual favours for money,



shelter, food or goods in humanitarian or displacement settings); and harmful practices such as child and forced marriages. Pay particular attention to certain populations who are at higher risk of GBV during and after conflict, including internally displaced persons, refugees, LGBTI persons, human rights defenders, females/males directly participating in the conflict and military personnel, persons deprived of liberty by State or non-State actors, persons with disabilities, especially women and girls with disabilities, female-headed households and indigenous women.

- ☑ Systematically collect disaggregated data of victims, witnesses, and alleged perpetrators. This includes information about sex and age, as well as detailed information about cast, ethnicity, national religious or other minorities or identities of victims and those believed to be responsible. This will be helpful in documenting whether a particular population has been the target of SGBV or other violations.
- Adjust the methodology and the database to allow the collection and analysis of disaggregated data, especially by sex and age, as well as by other criteria that could inform of intersecting forms of discrimination. Ensure that the Human Rights Officers conducting the investigations are trained to organize and enter information into the database that is gender-sensitive, making relevant gender considerations and disaggregating data by all relevant parameters.
- Acknowledge women and girls and GBV victims' heightened or different risks of reprisals. Conduct a risk assessment and develop strategies so that victims/witnesses/sources can report freely and safely about women and girls' rights, including GBV, and are given adequate time to do so. Pay particular attention to gender-sensitive security and witness protection measures when applying OHCHR methodology including recommended measures to preserve the confidentiality of victims and sources' identity and to prevent reprisals against them.
- ☑ Be aware of the team members' own biases, prejudices and stereotypes that may lead to overlooking important gender sensitive information, for instance by not asking the relevant questions, affecting the information gathering and documentation. These biases may include the erroneous idea that victims of sexual violence will not have information to share about other types of violations.
- ☑ Investigation should gather information and document violations perpetrated by State and non-State actors, as well as on the fulfilment of State's obligations to investigate, prosecute, sentence and to provide reparations to the victims. When relevant, a gender-sensitive analysis should also apply to the measures taken in the context of transitional justice initiatives.

III Analysis



A

Evaluation and analysis of information

A gender analysis of the data and of the information gathered should include at minimum an analysis of the various forms of gender-based discrimination and violence and of the exacerbation of pre-existing forms of gender-based discrimination, including of possible intersecting forms of discrimination; the differentiated impact of the human rights violations on women, girls, men, boys and others; and the connection between gender-based violence and other human rights violations or abuses.

DISCRIMINATION AND VIOLENCE IN A CONTINUUM: HOW PRE-EXISTING GENDER-BASED DISCRIMINATION CAN EXPOSE WOMEN, GIRLS AND LGBTI PERSONS TO SPECIFIC VIOLATIONS

Pre-existing gender-based discrimination and gender inequalities can influence the economic, social, cultural, civil, and political dimensions of women and men's experiences of a conflict/crisis and the differentiated impact that the human rights violations can have on women, girls, men and boys. The contextual information gathered in the preparation phase to understand the underlying causes and manifestations of discrimination against women and LGBTI people will be instrumental to understand and analyse the repercussions of these on the human rights violations to be documented and analysed.

Example

"Entrenched traditional patriarchal attitudes and violence against women ... persist. The State has imposed blatantly discriminatory restrictions on women in an attempt to maintain the gender stereotype of the pure and innocent... woman. Sexual and genderbased violence against women is prevalent throughout all areas of society" (A/HRC/25/CRP.1, para. 351)

"[The] society remains male-dominated, with gender-based discrimination widespread. In addition to entrenched discriminatory norms within [the] culture and stereotypes on women's role in family and society [...], the enforcement of laws itself displays discrimination."

(A/HRC/17/44, para. 23).

"[The] daily existence of women and girls is governed by clan and family dictates, duties and responsibilities as a wife, mother and daughter. A woman's status in this patriarchal society is largely determined by marriage, the "bride wealth" her family obtains upon her marriage and her ability to reproduce within that union. [...]Women are vulnerable when a husband dies. Inheritance and distribution of property is determined by the husband's relatives and in many instances, especially where a woman does not have sons, she will not inherit from her deceased husband's estate. [...]Women in polygamous unions face further difficulties when a husband dies, more so if they are from a different ethnic community to their husband and extended family. Not only do they run the risk of losing property and being disinherited, but also losing custody and/or access to their children should they choose to leave the husband's family. [...] In cases involving rape, tradition and custom often dictate that the perpetrator, if known, marry the victim as compensation to the family or provide compensation if the victim

is married. Traditional and cultural norms place enormous value and importance on virginity and purity of women. Women and girls who are raped face stigmatization once it becomes known".

(A/HRC/37/CRP.2, paras. 164-177)

CEDAW General Recommendation No. 30 notes that: "conflicts exacerbate existing gender inequalities, placing women at a heightened risk of various forms of gender-based violence by both State and non-State actors." ⁴⁵ It also states that the barriers women face in accessing justice prior to the conflict are exacerbated during the conflict, and often persist during the post-conflict period. ⁴⁶ Experience has shown that this is particularly the case when States have neglected to take measures during peacetime, to:

- a) overcome power imbalances and inequalities based on gender roles and discrimination against women and girls;
- b) ensure a conducive environment where women and girls have access and non-discriminatory exercise of their human rights, including in relation to employment, education, health (e.g. sexual and reproductive health and rights), access to land and natural resources, freedom of expression, association and assembly, and can freely develop their personal abilities, pursue their professional careers and make choices without the limitations set by stereotypes, rigid gender roles and prejudices.
- c) ensure accountability for violations of women's human rights, including GBV.

⁴⁵ CEDAW General recommendation No. 30, para. 34. For further guidance see UN Women's guidance note available at http://www.unwomen.org/~/media/headquarters/ attachments/sections/library/publications/2015/guidebookcedawgeneralrecommendation30-womenpeacesecurity-en.pdf.

⁴⁶ CEDAW Committee General Recommendation 30, para. 74.



Accordingly, attention should be given to:

- Any changes in the legislation and/or State policies or practice during the conflict/crisis, which may have led to further violations of women's human rights, including an increase in GBV. For example, changes in legislation reinforcing tribal and religious practices with the aim to gain the support of certain populations.
- The role of the authorities, armed groups and/or religious and community leaders in exacerbating gender stereotypes and the subordination of women in society. For example, by imposing strict (or stricter) codes of conduct on women or a dress code, including with the supposed intention to "protect" them.
- LGBTI persons can also face increased vulnerability and exacerbated forms of violence and discrimination in conflict or humanitarian contexts, such as IDP settlements, as protection measures and services often fail to address their situation.⁴⁷

Example

The conflict has led to increased discrimination against women and more control over their lives - women's movement is restricted in the north of the country "[a] strict dress code has been imposed, requiring girls (from the age of 5) and women to be covered from head to toe. On buses connecting major cities, men and women are now segregated, sometimes by a curtain. Boys and girls and men and women no longer have the right to appear in public together unless they are married or are members of the same family. Girls and women can no longer ride with men on motorcycles. Islamic police monitor the application of these strict rules and the punishment for non-compliance is flogging.

OHCHR investigations assessed how the armed conflict has increased violence and discrimination against women in the country. "Women and girls face multiple layers of discrimination and continue to suffer violations and abuses in addition to those encountered by the rest of the population. The assassinations, assaults, harassment and threats against women carried out by armed groups appear designed to send a broader message that women should not be vocal in the public sphere. Women and girls remain at risk of sexual and gender-based violence, particularly by armed groups. In several parts [...], the ability of women to exercise their fundamental rights and freedoms such as freedom of movement or the right to work, has been restricted not only as a result of the conflict, but as a result of requirements for accompaniment by "male guardians". Women also continue to face specific barriers in relation to access to justice." (A/HRC/31/CRP.3, para. 172)

"The ongoing conflict has added to the

burden of womanhood, especially for survivors of sexual violence. [...] Even before the current conflict began, early marriage was a means for families to escape poverty and destitution. The ongoing conflict [...], coupled with families facing severe economic hardships, have resulted in young girls being forced into marriage". "[Sexual and genderbased violence] is not new and predates the current conflict. Crafting responses to address sexual and gender based violence in the ongoing conflict, must take into account the continuum of violence throughout the history of [the country] as well as the current conflict-related violence. In the current context women's lives have little value resulting in extremely high levels of sexual and genderbased violence".

(A/HRC/37/CRP.2, paras. 164-177)

Faced with this situation, many women prefer not to go out." (A/HRC/22/33, para. 44)

 $^{^{\}rm 47}$ See for instance A/HRC/29/34/Add.2.



Practical Tool No. 4:

Women's human rights concerns during peacetime and conflict

The chart below shows some illustrative examples of women's human rights concerns in peacetime and their dimensions during conflict/crisis. It can be used as a tool to analyse how pre-existing discrimination can be aggravated by conflict or crisis:⁴⁸

Forms of pre-existing discrimination Possible concerns during conflict/crisis in law and practice Underrepresentation of women and lack of Marginalization from participating in peace participation in public life and in decisionefforts, transitional justice mechanisms and making processes (e.g. lack of participation reconstruction processes in the formulation of government policies In contexts of displacement, exclusion from and programmes) participating in or leading camp setting decision-making processes Discrimination and restrictions in the Statelessness due to the inability to prove exercise of the right to nationality (e.g. nationality, lack of legal identify, because inability to claim citizenship and nationalityidentity documents are not issued in related rights due to discriminatory women's names, are lost or destroyed, or nationality laws) and restriction in passing birth registration are not accessible, failing on nationality to children. or discriminatory Discrimination and restrictions in the Child and forced marriages as a result exercise of the rights related to equality of poverty and/or desire or pressure in marriage and family relations (e.g. supposedly intended "to protect the girls' violations of right to enter freely into a honour" marriage and to freely choose a spouse • Destitution following the death of male and inheritance; lack of prohibition of child family member marriage or different minimum legal ages for marriage for boys and girls) Discrimination related to right to housing, Loss of home and income following the right to inheritance, right to property. death or disappearance of spouse, parents, parental guardians, as a result of the human rights situation or conflict: difficulties to take on the role as the "head of the household" Increased risk of exploitation and GBV, particularly forced marriages, prostitution, and trafficking (exacerbated by displacement)

For more detailed information on the implementation of human rights standards and examples of discriminatory laws and practices against women, please refer to CEDAW General Recommendations Nos. 21 and 29 (marriage and family relations), 24 (health), 25 (temporary special measures), 28 (general obligations of State parties), 30 (women in conflict) and 32 (gender-related dimensions of refugee); Committee on Economic, Social and Cultural Rights General Comments Nos. 16 (equality between women and men) and 20 (non-discrimination); Human Rights Committee General Comments Nos. 18 (non-discrimination) and 28 (equality between women and men). See also thematic reports of the Working Group on the issue of discrimination against women in law and in practice, http://www.ohchr.org/EN/Issues/Women/WGWomen/Pages/Annualreports.aspx.



Forms of pre-existing discrimination Possible concerns during conflict/crisis in law and practice Discrimination and restrictions in the Further restrictions to the freedom of exercise of the freedom of movement (e.g., movement based on additional movement the exercise of marital power over the wife restrictions or regulations imposed with or parental power over adult daughters respect to clothing and modesty norms, including when supposedly intended to and legal or de facto requirements which prevent women from travelling) "better protect women and girls from violence." Discrimination and restrictions on women's rights to freedom of expression, assembly Limitations on women's access to healthcare, and association education and employment/incomegenerating activities Reprisal against certain groups of women, such as on women's human rights defenders, political activists and journalists - or their female partners (with further implications to other rights such as freedom of expression, assembly, and association) exacerbated by dynamics of the conflict or the human rights situation • Discrimination and restrictions in the • Attacks or threats against girls and/or their exercise of the right to education (e.g., teachers; dropping out of school due to insecurity or due to their increased household preference to send boys to school, drop-out of school due to poverty, child marriage responsibilities and early pregnancies) Discrimination and restrictions in the Destruction or breakdown of health facilities exercise of the right to health, including and the consequent health implications for sexual and reproductive health and rights women due to shortage of medical and (e.g., denial to access services, access to human resources and greater exposure to contraception, poor quality of obstetric care, Sexually Transmitted Diseases (STDs) and HIV. unsafe abortions) Deprioritizing women's reproductive health in times of conflict resulting in increased rates of delivery-related complications and preventable maternal mortality and morbidity. Lack of services for women survivors of sexual violence, including emergency contraception and termination of pregnancy, HIV prevention, and psychological support, including in humanitarian settings.

В

GENDERED IMPACTS OF VIOLATIONS OR ABUSES

A gender analysis should reveal the gendered and differentiated impacts on women, girls, men and boys of the violations of civil, economic, political, cultural and social rights during and as a result of the conflict/crisis, as well as the differentiated impact of violations and breaches of international humanitarian law provisions. To do so, it is important to understand how the socially constructed power relations between women and men affect the enjoyment of their human rights.

Example

Men and women experience detention in unique ways. A careful assessment of how a human rights concern affects women and men differently will reveal specific consequences as to how the same treatment in detention has a different impact on women. Investigations reported for example, that the limited access to toilet facilities while in detention was disproportionally affecting women. While "[t]he men had to wait for two to three hours after asking before they were allowed to leave the pits to relieve themselves [...] it was [however] culturally too difficult for the women to seek permission to relieve themselves and they did not ask." (A/HRC/12/48, para. 1116)

OHCHR investigations specifically documented, in addition to security threats, the particular challenges and difficulties faced by girls in relation to the enjoyment of their human rights, including on the right to access to education. "Children's access to education has been severely compromised by the conflict [...]. Numerous schools have been destroyed or damaged as a result of shelling and airstrikes. In many areas where schools remain standing and are still operating, parents report that they refrain from sending their children to school out of fear of injury to them during attacks. In some areas [...] parents described being afraid to send their girls to school out of fear of abductions. Reports [...] indicate that girls are no longer allowed to go to schools or are only permitted if wearing a full face veil. OHCHR has also received accounts of girls who were attacked and harassed by armed groups on their way to school". (A/HRC/31/CRP.3, para. 281)

"Many [women and girls], particularly those from the more rural parts [...] have limited education, and married and had children early. Their communication with the world beyond their extended families was through their husbands or male relatives. With so many [men relatives] killed or missing, these women's ability to survive and thrive is limited by their lack of personal and financial independence, an issue that must be addressed. Further, discussions around accountability and reconciliation, as well as what is best for [the community], must take the views and experiences of these women and girls more clearly into account". (A/HRC/32/CRP.2, para. 80)



Violations or abuses that appear to affect mainly men, such as enforced disappearances, torture or extra-judicial killing, can also significantly affect women and have genderspecific impacts.⁴⁹ The Working Group on Enforced or Involuntary Disappearances and the Special Rapporteur on Torture have recognized several gender-specific aspects of disappearances and torture.50 For instance, looking at systemic genderbased crimes of violence against women through the lens of torture, the Special Rapporteur on Torture qualified the failure of States to eliminate persistent practices such as intimate partner violence, child and forced marriage, female genital mutilation and so-called 'honour crimes', as well as the failure to criminalize martial rape and repeal legislation including laws that exculpate rapists who marry their victims, as violations of the obligation to prevent and prosecute torture and cruel, inhuman and degrading treatment or punishment. Similarly, in its 2015 report on enforced disappearances

and economic, social and cultural rights, the Working Group on Enforced or Involuntary Disappearances emphasizes that: "Although women and children represent a minority of the disappeared, their rights are disproportionately affected when this violation takes place."51 Women whose husbands have disappeared often are ostracized in the community because their husbands are accused (sometimes falsely) of crimes, or because people fear associating with someone who has been the target of an enforced disappearance. Women who are forcibly disappeared can also face specific health concerns, including in relation to their sexual and reproductive health and rights, if they are pregnant or may become pregnant while in detention and disappeared. When women held in detention facilities that do not provide the required care, including in relation to their sexual and reproductive health and rights, their right to health is also violated. In these circumstances, States have the obligation to establish special protection measures for women who are detained.52

⁴⁹ See United Nations, In-depth study on all forms of violence against women, A/61/122/Add.1, para. 143.

For a detailed analysis on women and enforced disappearances, see Working Group on Enforced Disappearances, General Comment on women affected by enforced disappearances, A/HRC/WGEID/98/2/2013, available at: http://ap.ohchr.org/documents/dpage_e.aspx?m=119. For a detailed analysis on women and torture, see Report of the Special Rapporteur on torture and other cruel, inhuman or degrading treatment or punishment on the applicability of the prohibition of torture and other cruel, inhuman or degrading treatment or punishment in international law to the unique experiences of women, girls, and lesbian, gay, bisexual, transgender and intersex persons, A/HRC/31/57, available at: http://ap.ohchr.org/documents/dpage_e.aspx?si=A/HRC/31/57.

Report of the Working Group on Enforced or Involuntary Disappearances: Study on enforced or involuntary disappearances and economic, social and cultural rights (A/HRC/30/38/Add.5, para. 20.), available at https://documents-dds-ny.un.org/doc/UNDOC/GEN/G15/152/87/PDF/G1515287.pdf?OpenElement

⁵² Ibid. para. 21.

Example

During raids, women who were related to men that were wanted by opposition groups have also been targeted for arbitrary arrest and detention in order to coerce male relatives to turn themselves in.

(A/HRC/19/69, para. 60)

Due to unequal income opportunities, women were severely affected when male relatives had died, been injured or imprisoned in relation to the conflict, often making it impossible for them to care for their children without the support of male family members... "The detention of male family members negatively affected the well-being of the suspects' families, particularly the women and girls who were unprepared to take on the role as the head of households. As a result of cultural, economic and legal inequalities, women remain dependent on male family members." (A/HRC/29/CPR.4, para. 512)

The Commission documented and reported the consequences, including the anguish, trauma, as well as the subsequent violations inflicted on women, which followed the arbitrary detention or disappearance of their partners or male relatives accused of supporting opposition groups that included acts of intimidation and sexual violence. "In addition to the threats received by the relatives of those disappeared, the phenomenon of the enforced disappearances has harmful consequences on the lives of the victims' relatives. Indeed, the Commission observed the particular vulnerability of women, the partners of the victims, after the enforced disappearance of male partners or relatives. "The Commission [also] observed the particular vulnerability of women to sexual violence during or following the detention, disappearance, or death of their male partners or relatives. Women were targeted, once alone and without protection, with the aim to further dishonour their male relatives, as well as their family". Women have reported to the Commission having been raped by the same groups who would have killed their husbands or relatives. [non-official translation].

(A/HRC/36/CRP.1, paras. 354, 355 and 460).

Compilation and gender analysis of disaggregated statistics as well as tailored interviews to the direct victims and their relatives can be instrumental to assess the impact of any given violation on the direct victims and on others, including relatives, who may be affected, in different ways. Violations that initially appear to be male specific in fact may be affecting women as extensively as men.

Example

A change in tactics and an increase in aerial attacks on residential buildings was found to make women and girls "particularly vulnerable to death and injury." While the number of male casualties was higher, a more thorough gender analysis indicated that 82 percent of the 2014 female fatalities occurred in homes, compared to 46 percent of the male fatalities.

(A/HRC/29/CRP.4, para. 244)



In humanitarian contexts and fragile settings, the risk of dying in pregnancy or childbirth is twice as high as the maternal death rate in non-fragile settings. Services available in humanitarian contexts, including IDP settlements, may fall short of meeting the needs of women and adolescent girls, including life-saving emergency obstetric care. Protection mechanisms for survivors of sexual violence, especially access to psychosocial support and sexual and reproductive health services may not be available. This can be due to limited funding as well as lack of access or restrictions imposed on humanitarian actors that prevent these service delivery. In some contexts, policy barriers also prevent health personnel from providing a complete range of contraceptives. Without access to comprehensive sexual and reproductive health services, including prenatal, post-natal and delivery care, access to safe abortion and contraceptive options, the lives of many women and girls living in humanitarian contexts are at considerable risk. It is critical that investigation teams effectively reflect the differentiated impact and consequences that forced displacement can have on women and girls. These resulting violations of their rights would have never taken place if they had not to flee from violence or conflict and should be considered integral part of the analysis on the gender impact of the violations.

C

THE CONNECTION BETWEEN GBV AND OTHER HUMAN RIGHTS VIOLATIONS OR ABUSES

As noted in Section A, GBV may lead to additional human rights violations or abuses, including violations of the right to life and security of the person, the right to be protected from torture, the right to the highest attainable standard of physical and mental health, to education, work, housing,

and participation in public life.53 GBV in conflict/crisis situations may also result in a vast range of physical and psychological consequences for survivors, such as posttraumatic stress disorder (PTSD), injuries and disabilities, and an increased risk of Human Immunodeficiency Virus (HIV) infection as well unwanted pregnancies. The CEDAW Committee has stated that there is a strong correlation between gender-based violence and HIV, including the deliberate transmission of HIV, used as a weapon of war, through rape.⁵⁴ Reports also indicate that sexual violence has been used in conflict as a strategic tool of war to humiliate the enemy, obtain information, and pressure suspects to step forward.55 Investigation teams should document and analyse the extent to which GBV has contributed to additional violations or abuses of human rights, including by asking relevant questions to the survivors and/or relatives, as well as other sources, such as medical practitioners, humanitarian workers providing aid and support to survivors, including psychosocial support. Questions could relate to the consequences and sequels of the violations on the victims, including beyond the specific physical consequences, asking questions for example on the possible changes that have occurred after the violations in relation to their general well-being and moral, including their health, sleep, anxiety, or

For example, sexual harassment on women's rights defenders by State and non-State actors undermine women's equal and meaningful participation in political and public life. See CEDAW/C/GC/30, para. 37.

⁵⁴ CEDAW General recommendation No. 30.

Sexual violence in situations of armed conflict might be used as a tactic or weapon of war. This tactic of war refers to "acts of sexual violence that are linked with military/political objectives and that serve (or intend to serve) a strategic aim related to the conflict. This will rarely be reflected in overt orders, but may be evidenced by the fact that an armed group has a functioning chain of command and is able to restrain other offenses (like mutiny, desertion), while sexual violence is neither condemned nor punished by the military hierarchy." United Nations Action against Sexual Violence in Conflict, citing the World Health Organization, in "Analytical and conceptual framing of conflict-related sexual violence (2011). See also: Security Council resolution 1820 (2008) where the Security Council determined that when used or commissioned as a tactic of war, sexual violence may impede the restoration of international peace and security.

on the impact on family and couple relations, as well as the possible social and economic consequences that may have affected the victims and their relatives. abusive relationships to avoid homelessness.⁵⁷ Investigation teams are encouraged to be attentive to women's specific vulnerability to GBV created by the denial of human rights in the situation under investigation.

Example

Violent events have resulted in a number of victims of rape or other forms of sexual violence being infected with HIV and their precise number "will probably never be determined, since many victims did not seek medical treatment and therefore were not tested." (\$/2009/693, para. 96).

OHCHR investigations, addressing attacks and threats against human rights defenders and journalists, documented and made apparent in the report that women human rights defenders and women journalists were specifically targeted, not only in relation to their human rights activity, or to the messages they broadcast, but for the very fact of being women who are vocal in the public sphere, "noting that the intimidation and harassment is designed not only to deter their own activity, but to deter other women from being vocal in the public sphere".

(A/HRC/31/CRP.3, paras. 231 and 243)

Similarly, violations of civil, economic, political, cultural and social rights may lead to GBV. Inequalities and discrimination in areas such as employment and access to property and other assets, create economic dependency, which reduces women's capacities to make decisions and increases their vulnerability to violence. For example, women whose right to adequate housing is not sufficiently protected are at a greater risk of GBV as they tend to stay in

Example

"As more women assume the responsibility for feeding their families due to the dire economic and food situation, more women are traversing through and lingering in public spaces, selling and transporting their goods. The male-dominated state, agents who police the marketplace, inspectors on trains and soldiers are increasingly committing acts of sexual assault on women in public spaces."... "[D]iscrimination against women also intersects with a number of other human rights violations, placing women in positions of vulnerability. Violations to the right to food and freedom of movement have resulted in women becoming vulnerable to trafficking." (A/HRC/25/CRP.1, paras. 318 and 352.)

Cultural, economic, and legal inequalities have made women dependent on male family members for protection, income, and housing. Women have been left with little choice but to comply with the wishes of the family, which increased their risk of exploitation and SGBV. For example, "the risk of losing custody of their children on the basis of discriminatory laws allegedly forced some women widowed during last year's hostilities to marry the brother of their deceased husband."

(A/HRC/29/CRP.4, para. 596)

United Nations, In-depth study on all forms of violence against women, A/61/122/Add.1, para. 86.

⁵⁷ OHCHR, Women's Rights are Human Rights, 2014, p. 33, available at: http://www.ohchr.org/Documents/ Publications/HR-PUB-14-2.pdf.



D

INTERSECTING FORMS OF DISCRIMINATION

Women, men and others may be subjected to intersecting forms of discrimination based on, inter alia, sex, age, race, ethnicity, national origin, sexual orientation, gender identity, and class.58 For example, during and after conflict, specific groups of women and girls are at particular risk of violence, such as internally displaced and refugee women, women's human rights defenders, women belonging to diverse caste, ethnic, national, religious and other minorities that are often attacked as symbolic representatives of their community, widows, and women with disability. Female combatants and women in the military are also vulnerable to sexual assault and harassment by State and non-state armed groups. 59 As we have witnessed in the Rwandan genocide, where gender-based propaganda incited the rape of Tutsi women. The team must analyse how different grounds for discrimination can result to - or compound the impact of-specific violations.

Example

The UN Secretary General has reported on cases where conflict-related SGBV was directed against women and girls from economically and socially disadvantaged backgrounds (S/2014/181, para. 33).

According to some testimonies, rapes were committed on ethnic grounds, in particular during the offensives of some armed groups, with the victims usually being "dark-skinned" people, who are considered inferior by their "fair-skinned" assailants.

(A/HRC/22/33, para. 32)

"Repatriated women who are pregnant are regularly subjected to forced abortions, and babies born to repatriated women are often killed. These practices are driven by racist attitudes towards interracial children... and the intent to punish further women who have left the country..."

(A/HRC/25/63, para. 42)

⁵⁸ UN OHCHR, Manual on Human Rights Monitoring, Integrating Gender into Human Rights Monitoring, Chapter 15, p.6, available at: http://www.ohchr.org/EN/ PublicationsResources/Pages/MethodologicalMaterials.aspx.

⁵⁹ CEDAW/C/GC/30, para. 39.



CHECKLIST FOR EVALUATION AND ANALYSIS OF INFORMATION

- ☑ Understand and be guided by the international legal framework, as well as relevant regional instruments that prohibit discrimination and guarantee women and men equality in the enjoyment of their human rights.
- ☑ Be aware of specific standards applying to women (ex. the Bangkok Rules for the Treatment of Women Prisoners and Non-custodial Measures for Women Offenders" or standards relating to maternal mortality and morbidity)
- ☑ Pay attention to all types of violations of women's rights. Do not only give attention to sexual violence, but also to other forms of gender-based violence and other violations to which women are exposed. Acknowledge that a gender analysis includes addressing the differentiated impact of violations, including violations of civil, economic, political, cultural and social rights in the private and public sphere and in humanitarian settings.
- ☑ Use the contextual information gathered in the preparation phase to understand the underlying causes and manifestations of discrimination against women and LGBTI people and their repercussions for issues being investigated.
- ☑ Take note of discriminatory legal provisions and how they may lead to or compound different forms of SGBV and other violations of women's rights. Examples include provisions in the Criminal Code allowing for the defence of "honour" to be invoked as a mitigating circumstance in murder cases, the lack of legal provisions prohibiting child and forced marriage, regulations on clothing to be worn by women in public, restrictions to women's freedom of movement, and punishments imposed to enforce such regulations, among others.
- ☑ Analyse different types of violations with the aim to establish gender-specific patterns, including trends of SGBV; identify the gender-specific consequences of the violations and their root causes.
- ☑ Examine whether existing gender inequalities have been exacerbated and/or have placed women at a heightened risk of different forms of human rights violations, including SGBV. Keep in mind that new patterns of discrimination against women and LGBTI people may emerge due to the conflict/crisis.
- Analyse whether the denial of civil, economic, political, cultural and social rights has increased the vulnerability of women to human rights violations or abuses in the situation under investigation and whether SGBV has contributed to additional violations or abuses of human rights.
- ☑ Note intersecting forms of discrimination which place specific groups of women, girls, men and boys at a heightened risk of certain violations.

N Report



Report writing

The reports on the outcome, findings and conclusions of the human rights investigation should illustrate the experiences of women and men, as well as specific instances and larger systematic patterns of discrimination and oppression. Accordingly, reports should use a gender-sensitive approach throughout, including in the recommendations. Gender-sensitive report writing does not simply refer to the choice of language or disaggregated data, but also relates to the report's content, structure and focus. This chapter will build on the guidance provided in the previous chapters and present aspects to consider when drafting a gender-sensitive report.

INTEGRATING GENDER THROUGHOUT THE REPORT

While each investigation mandate is different, structure and emphasis would vary, gender specific concerns should be addressed both in standalone sections and throughout the report. The responsibility of integrating a gender perspective falls on the whole team. When Gender Advisers are part of the team, they have the primary responsibility to provide advice and inputs on all sections of the report in order to ensure a consistent integration of a gender perspective. Addressing women's concerns in each section, including the background/context, the methodology, the legal analysis, the findings, and the recommendations, allows for a proper representation of the experiences of the entire population. As stressed above, disaggregated data is particularly important in this regard, but only one element that allows for a gender analysis.

The report should address any possible gaps in information and/or challenges to obtaining information due to gender dynamics and gender-based discrimination, in order not to present a distorted image of the reality.⁶⁰

The inclusion of background information, and the contextualization of the situation of women, men and others, can support arguments related to violations of women's rights and gendered consequences of violations.

Example

An assessment of an investigation's approach to gender has been included in the Methodology section of its report: "In accordance with best practices, [the Commission] paid special attention to gender issues and the gendered impact of violations. Nevertheless, it faced significant difficulties in the investigation and documentation of human rights violations suffered by women. The commission therefore takes the view that its inquiry may have only partially captured the extent of sexual violence and violence against women."

(A/HRC/29/42, para. 14)

Obstacles to collect information on SGBV has also been included in the Methodology section: "When trying to collect further evidence on rape perpetrated against men and boys, the Commission faced particular difficulties in collecting first-hand accounts, although expert witnesses working with survivors of such violence confirmed the existence of sexual violence against men..." (A/HRC/32/CRP.1, para. 27)

Possible gaps of information have been addressed along with the description of the findings: "Defectors from the military and the security forces indicated that they had been present in places of detention where women were sexually assaulted; the commission, however, received limited evidence to that effect. This may be due in part to the stigma that victims would endure if they came forward."

(A/HRC/S-17/2/Add.1, para. 68)

Example

A gender perspective has been integrated in the Historical Background: "openly noted that one of its main goals was to liberate women from the inferior status conferred on them by traditional laws and customs. The land reform policy adopted during this time saw the redistribution of land to the landless and poor peasants, enabling women for the first time in history to own property ... At the second regular meeting ... polygamy was abolished and a law stipulating that marriage must be with the consent of both man and woman implemented".

(A/HRC/29/CRP.1, para. 89)

Background information on discrimination against women has been integrated in the principal findings and conclusions: "As a result of fixed gender roles and prevailing cultural norms ... women's lives are centred around the home and their presence in the public sphere is limited. The challenges women face in relation to home demolitions are exacerbated by discrimination within the society itself, including difficulties securing tenure and managing and accessing property and other assets."

(A/HRC/29/CPR.4, para. 527)

Some reports have included specific sections on the status on women and girls in society, under substantive sections presenting the findings and analysing the specific human rights violations. (A/HRC/37/CRP.2, paras. 164-174)

⁶⁰ Emily Kenney, Developing a Gender Methodology for U.N. Commissions of Inquiry, Vol. 46, No. 2, NYU J Int'l L & Pol, (2013), p. 606.

IV

Several team members are usually involved in the drafting of the report. The team should make sure that the gender analysis is coherent in the different sections of the report, avoiding inconsistencies and contradictions. For example, if information related to violations of women's human rights is presented in the background information section, it must also be addressed in the findings section. The legal analysis should include findings on the specific provisions of international law that have been breached, considering the entire applicable legal framework relevant for the investigation, including the relevant international human rights and humanitarian legal framework, international criminal law, if pertinent to the mandate. In doing so, gender equality standards should not be overlooked.61

Example

Several Cols/FFMs have included gendersensitive legal analysis of human rights violations, violations and breaches of international humanitarian law, and international crimes, which depending on the circumstances, could constitute war crimes, crimes against humanity or acts of genocide. These included for instance cases of rape against women, girls, and men, forced marriage of women and girls, sexual slavery and other forms of sexual violence, as well as the execution of homosexuals and of women, men, and children accused of adultery. (e.g. A/HRC/32/CRP.2; A/HRC/37/CRP.3)

Photographs may be used for advocacy and dissemination purposes, with due respect of consent, confidentiality and protection concerns. These should reflect both the experiences of men and of women, and should not limit women's experience to sexual violence.

В

USING GENDER-SENSITIVE LANGUAGE

Using gender-sensitive language is an important step towards achieving gender equality. The use of gender-sensitive language and disaggregated data helps to avoid a style of writing that "erases" women and omits phrases that reaffirm harmful gender stereotypes. 62 This can in fact contribute to building a distorted, male-dominated narrative of fact depicting women solely as passive bystanders of conflict and agentless victims, in turn contributing to their exclusion from peace-making, peace-building and reconciliation efforts.

For example:

- Masculine pronouns are often used in situations where the gender of their subject(s) is unclear or variable. Use the plural, "he/she" or when possible rephrase and omit the pronoun.
- Always use available disaggregated data (ex. instead of "five journalists were arbitrarily detained") say "three women and two men journalists were detained). It is particularly important to avoid referring specifically to women when speaking only about certain violations (ex. sexual violence) while using gender neutral nouns when reporting on others (ex. killings, torture, etc.).
- Gendered nouns are frequently used and the writer often does not reflect on their implications.
 Words such as for example "mankind" could be replaced with "people" or "men and women".
- Women and men are often associated with certain professions and other stereotyped roles.
 Words such as "housewife" and "policeman" could be replaced with "homemaker" and "police officer".

⁶¹ For more information, please refer to General recommendation No. 30 on women in conflict prevention, conflict and post-conflict situations.

For more information on gender sensitive language, see ESCWA Gender Sensitive Language Guidelines, available at: https://www.unescwa.org/sites/www.unescwa.org/ files/page_attachments/1400199_0.pdf.

- Stereotyping attributes are also common, such as portraying men as active and women as passive, men as leaders and women as followers. Avoid the systematic categorization of women as "vulnerable", as well as lumping together "woman and children" into a homogenous group.
- Avoid terms that are derogatory towards women or reinforce harmful stereotypes.

Example

"Women were drawn to the cause of liberation from the beginning. [They] became involved resisting the occupation through activities such as cooking to nursing, weapon collection to message transmission. Although not easily welcomed..., women's ability to evade scrutiny and complete important clandestine tasks was of great value." (A/HRC/29/CRP.1, para. 79)

C

PRIORITIZING GENDER-SPECIFIC VIOLATIONS AND CONCERNS WITHIN THE REPORT

Topics or incidents connected to gender-related issues might be dropped due to time constraints, word counts and limited resources. Investigation teams will most likely need to prioritize the most pressing issues to include in their gender analysis. Gender Advisors, when part of the team, can be instrumental to provide support and advice in this exercise. All efforts should be made to include key gender issues in the report itself as well as its summary. The analysis that reveals the differential impact on men and women of the violations suffered should be considered as an integral part of the scope of the investigation and mandate, and should be reflected among the findings and conclusions of the report. Moreover, considering that the report is likely to serve as the basis for follow-up actions, this gender specific analysis is

instrumental to support efforts and tailored initiatives aimed at promoting victims' access to justice and effective remedies.

D

DRAFTING RECOMMENDATIONS

The findings of the report related to gendered violations or gender-specific impacts should be addressed in the recommendations, in order to promote equal and inclusive accountability and redress.

Some of the more relevant recommendations may relate to:

- Changes in laws, policies, and institutions that could prevent systemic and structural discrimination against persons on the basis of gender or sex. For example:
 - The repealing/revision of discriminatory provisions in the legislation;
 - The ratification of all international instruments relevant to the protection of women and girls' rights;
 - The adoption of measures to ensure the integrity of the judicial system and the handling of sexual violence cases: training and strengthening the capacity of security, medical and judicial personnel to collect and preserve forensic evidence related to sexual violence;
- Changes in legislation and practice to address and revert the differentiated impact of human rights violations on women and girl, and, when relevant, stop the continuing violations.
- The adoption of temporary special measures in order to ensure that women are provided with opportunities to participate in post-conflict reconstruction. For example, measures that promote women's equal representation in political and public life and their participation

IV

in Constitutional and legislative reform,⁶³ addressing specific barriers to women's equal participation linked to restrictions on mobility, security and technical skills.⁶⁴

- Services/protection measures provided to survivors of SGBV. This may include:
 - Establishing services for victims, such as counselling and rehabilitation, including in humanitarian settings;
 - Establishing mechanisms to enhance access to justice, including legal assistance to file complaints and seek reparations;
 - The adoption of gender-sensitive measures to avoid reprisals and stigmatization of survivors of sexual violence and other forms of SGBV and ensure access to justice. This would include the establishment of special protection units and gender desks in police stations, etc.
 - The development and implementation of programs to provide survivors with economic, social empowerment and reintegration services.
- The importance of excluding perpetrators of sexual violence from amnesty programs.
- Reparations that are gender-sensitive and transformative in impact. This means that they should strive to transform the context of inequality and oppression that enabled violations to occur.⁶⁵
- Accountability measures, such as ensuring the independent and impartial investigation of violations of women and girls' rights, free from gender stereotypes, and the prosecution and

- commensurate punishment of perpetrators in accordance with international law.
- Support to monitoring and awareness-raising mechanisms, including, for example, the allocation of adequate resources in order to address the impact of SGBV on women and girls' sexual and reproductive health, including pregnancy and the transmission of sexually transmitted diseases such as HIV.
- Women's participation in peace negotiations, transitional justice mechanisms and reconstruction processes. This includes for example, recommendations aimed at promoting adequate representation of women in relevant mechanisms to achieve reconciliation and accountability for human rights violations. It also entails equal access and participation by women and men during all stages of conflict prevention efforts, post-conflict transition and reconstruction processes.

Example

It has been recommended, for example, that the Government organize campaigns to raise awareness of human rights and violence against women and children; the International community establish a mechanism for monitoring, analysing and reporting sexual violence related to conflict and the deployment of specialists to protect women, as prescribed by Security Council 1960 (2010); and specialists be deployed to protect women and children, as prescribed by Security Council resolutions 1612 (2005). (A/HRC/22/33, para. 70)

"Ensure that national laws and policies comply with the State's international human rights obligations and are non-discriminatory by, inter alia, permitting prosecution of marital rape in all circumstances and abolishing legal provisions criminalizing consensual same-sex sexual activity." (A/HRC/32/CRP.1, para. 357 (f))

⁶³ CEDAW Committee General Recommendation 30, para. 72.

⁶⁴ CEDAW Committee General Recommendation 30, para. 44.

bit is particularly important that victims are consulted in order to hear their views on the specific nature of reparations. See Guidance Note of the United Nations Secretary-General: Reparations for Conflict-related Sexual Violence, 2014, available at: http://www.ohchr.org/EN/Issues/Women/WRGS/Pages/Documentation. See also UN OHCHR, Rule of Law Tools for Post-Conflict States: Reparations Programmes, 2008, available at: www.ohchr.org/Documents/Publications/ReparationsProgrammes.pdf.

"Adopt legal reforms to combat sexual and gender-based violence by introducing new criminal offences and increased penalties, and expand the scope of protection of and support for victims of sexual and gender-based violence;" (A/HRC/24/59, para. 95 (h))

"The Commission of Inquiry recommends that the Government: (a) Strengthen legislation protecting and promoting the equality of women ...; (b) Ratify the Protocol to the African Charter on Human and Peoples' Rights on the Rights of Women in Africa; (c) Permit the creation of independent gender-specific civil society organizations, which are necessary to increase women's involvement in public life and decision-making; (d) Ensure that women have access to productive land, agricultural training and the means required to make their farming sustainable and productive; (e) Ensure that all women and girls enjoy equal inheritance rights and are protected by the same legal standards; (f) Investigate, prosecute and sentence offenders of sexual and gender-based violence..." (A/HRC/29/CRP.1, para. 1534)

CHECKLIST

CHECKLIST FOR REPORT WRITING

- ☑ Integrate gender throughout the chapters of the report; gender analysis should not be an occasional add-on, or the subject of an occasional paragraph, but rather a core part of the report.
- ☑ Ensure that gender-sensitive language is applied consistently throughout the report.
- ☑ Use gender-disaggregated data and avoid gender-neutral terms that could hide the experiences/roles of women.
- ☑ Use the checklist provided in Chapter 15 of the OHCHR Manual on Human Rights to integrate gender into reports.⁶⁶
- Acknowledge that "gender" implies more than women's issues and sexual violence.
- ☑ Prioritize issues to be included in the report while keeping in mind the most serious gender-based violations/impacts.
- ☑ Include background information in the report and contextualize the situation of women and men.
- ☑ Include a gender perspective when analysing a pattern of human rights violations, such as multiple or intersectional and/or compounded discrimination.
- ☑ Ensure that the international human rights legal framework is used along with international humanitarian and international criminal law, when applicable, in the legal analysis of the report, fully reflecting the gender-specific impacts and human rights violations that occurred during the situation under investigation.
- ☑ Pay attention to consistency between the findings and the recommendations, making sure that relevant gender-specific findings and conclusions on gendered violations are accompanied by tailored recommendations.
- ☑ Ensure that the report includes relevant recommendations that will contribute to ensuring respect for women's human rights and the enhancement of women's status and security.

⁶⁶ Available at: http://www.ohchr.org/Documents/Publications/Chapter15-20pp.pdf.

Presentation

Presentation at the Human Rights Council or other mandating entities and sharing gender-related findings

In many instances, reports produced by investigation teams are meant to be public. In the case of Cols/FFMs these are presented to the UN Human Rights Council or other mandating entities. In order for the report to receive media attention, investigation teams should work with communications sections well in advance from the intended date of publication, for example, to tailor the messages to convey and find outlets to disseminate it, in line with the media and outreach strategy developed during the planning phase. Discussions on whether to launch the report at the country and/or global level should also be held.⁶⁷ UN Senior staff or Experts/Commissioners presenting the report should be prepared to respond to any questions related to women's rights and gender issues during the public presentation with the media or in the interactive dialogue that takes place at the Human Rights Council. A short brief including a summary of the gender findings in the report might be useful in this regard. To ensure that the gender findings of the report have adequate visibility, it could be relevant to consider preparing outreach materials, such as specific Q&A, information sheets and infographics. Gender Advisers, when part of the team, should be involved in the preparation of the presentation of the report to the HRC and, when pertinent, in the interaction with the public, audience or the media. Some Cols/FFMs have organised side events or thematic presentations

addressing specifically gender-related aspects of their findings and conclusions.

Reports will only trigger responses if they are followed-up with a range of stakeholders at different levels (e.g., government authorities at the national and local levels, non-State actors) by Human Rights Officers, who should see their role not only as investigators but also as facilitators of change. ⁶⁸

In the case of Cols/FFMs, after the launching of the report, the information collected by them will normally be handed over to the OHCHR who acts as 'custodian'. Materials will be archived according to UN policy. 69 The information should already have been entered into the database in order to ensure that it is not lost, but rather stored and available (e.g. for future judicial investigations/proceedings, if informed consent has been given by the victims and sources). Information, documents, briefing papers with analysis of gender dimensions of the violations and cases, should be stored and labelled in a way that will ease their future access and allow searches.

⁶⁷ See: OHCHR, DPA and DPKO, Public Reporting on Human Rights by United Nations Peace Operations: Good, practices, lessons learned and challenges, November 2017, p. 34-35.

⁶⁸ *Ibid,* p. 36.

Applicable Standard Operating Procedures (SOPs) include the OHCHR Standard Operating Procedures on Access to Classified Records and Declassification, OHCHR/PSMS/01/16 (forthcoming). See also Secretary-General's bulletins on record-keeping and the management of United Nations archives (ST/SGB/2007/5), and on information sensitivity, classification and handling (ST/SGB/2007/6).



VI Remarks

CONCLUDING REMARKS

The integration of a gender perspective in human rights investigations is critical to ensure a high quality analysis that accurately depicts the different human rights violations including by revealing the underlying gender-based discrimination and violence. A gender analysis is critical to understand the differentiated impact that certain human rights situations or crises have on women, men, girls and boys, as well as by LGBTI or persons with non-binary gender identities, including the possible exacerbation of pre-existing forms of gender-based violence and discrimination. A gender sensitive analysis is therefore

instrumental to tailor specific and actionoriented recommendations on the responses that are needed to address human rights violations, protect victims' rights, and contribute to the prevention and non-recurrence of such violations.

Gender sensitive methodologies and the integration of a gender perspective by investigative teams and Cols/FFMs contribute to strengthening a victims-centred approach, by acknowledging and making visible the experiences of women and girls, and other marginalized populations and individuals, including LGBTI persons, breaking down narratives that reduced them to passive victims or bystanders, while reinforcing their agency and promoting their participation in sustaining peace and democracy.

LIST OF REPORTS

A/HRC/12/48 Report of the United Nations Fact-finding Mission on the Gaza Conflict, Conclusions and recommendations, 24 September 2009

A/HRC/S-17/2/Add.1 Report of the independent international commission of inquiry on the Syrian Arab Republic, 23 November 2011

A/HRC/17/44 Report of the International Commission of Inquiry to investigate all alleged violations of international human rights law in the Libyan Arab Jamahiriya, 12 January 2012

A/HRC/19/69 Report of the independent international commission of inquiry on the Syrian Arab Republic, 22 February 2012

A/HRC/22/33 Report of the United Nations High Commissioner for Human Rights on the situation of human rights in Mali, 7 January 2012

A/HRC/24/59 Situation of human rights in the Central African Republic, Report of the United Nations High Commissioner for Human Rights, 12 September 2013

A/HRC/25/65 Report of the independent international commission of inquiry on the Syrian Arab Republic, 12 February 2014

A/HRC/25/63 Report of the commission of inquiry on human rights in the Democratic People's Republic of Korea, 7 February 2014

A/HRC/25/CRP.1 Report of the detailed findings of the commission of inquiry on human rights in the Democratic People's Republic of Korea, 7 February 2014

A/HRC/29/42 Report of the commission of inquiry on human rights in Eritrea, 4 June 2015

A/HRC/29/CRP.1 Report of the detailed findings of the Commission of Inquiry on Human Rights in Eritrea, 5 June 2015

A/HRC/29/CRP.4 Report of the detailed findings of the independent commission of inquiry established pursuant to Human Rights Council resolution S-21/1 (Human rights situation in Palestine and other occupied Arab territories), 24 June 2015

A/HRC/31/CRP.3 Investigation by the Office of the United Nations High Commissioner for Human Rights on Libya: detailed findings, 23 February 2016

A/HRC/32/CRP.1 Detailed findings of the commission of inquiry on human rights in Eritrea, 8 June 2016

A/HRC/32/CRP.2 "They came to destroy": ISIS Crimes Against the Yazidis, report of the Independent International Commission of Inquiry on the Syrian Arab Republic, 15 June 2016

A/HRC/36/CRP.1 Rapport final détaillé de la Commission d'enquête sur le Burundi, 18 septembre 2017 (French only)

A/HRC/37/CRP.2 Report of the Commission on Human Rights in South Sudan, 23 February 2018

\$\frac{5}{2009/693}\$ Report of the International Commission of Inquiry mandated to establish the facts and circumstances of the events of 28 September 2009 in Guinea, 18 December 2009

\$/2014/181 Report of the Secretary-General on Conflict Related Sexual Violence, 13 March 2014

This is a selected list of reports, whose content is illustrative for the purpose of the present publication, and does not represent an exhaustive list of all UN official reports that integrate a gender perspective. The quotes included in the present publication are meant to illustrate relevant methodological and substantive aspects, and not to present or highlight specific country situations.

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