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RESEARCH RECOMMENDATIONS
FOR THE BROADBAND TASKFORCE

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1 P R O C E E D I N G S

2 MR. SICKER: Good morning. Okay, let's
3 get started. I'm very pleased today to be able to
4 convene this meeting and to be able to bring the
5 folks who I was able to get to join us today.

6 We're going to have two sessions, a
7 morning session and an afternoon session. This
8 morning we have Dan Atkins; Charles Bostian; Vint
9 Cerf, who's joining us via an ISDN connection --
10 there's Vint -- hi, Vint -- David Clark; Chip
11 Elliot; and Ty Znati. From the FCC we have Rashmi
12 Doshi; Erik Garr; Stagg Newman; and I'm Doug
13 Sicker.

14 I'm going to keep my comments short for
15 a number of reasons. I've asked each of the
16 participants to spend about 10 minutes, which is
17 quite a long time, given the short duration -- and
18 I think we have two hours this morning -- but I
19 want to hear from them. These are the experts, so
20 I really didn't say an order, but I would like to
21 just suggest that we start with Dan and go down
22 the line.

1 Okay, thank you.

2 MR. CERF: Excuse me, could I interrupt?
3 It's Vint.

4 MR. SICKER: Yes, Vint.

5 MR. CERF: Just one point. I have to be
6 out of here at about 10:30 your time, 7:30 my
7 time. So, just FYI in term of scheduling.

8 MR. SICKER: Well, Vint, would you like
9 to kick it off?

10 MR. ATKINS: I'll yield to you, Vint,
11 and then I'll go next.

12 MR. CERF: I don't -- wasn't trying to
13 force myself on you. I just wanted you to know
14 what my schedule was.

15 MR. SICKER: I'm more than willing to
16 have you start it off.

17 So, we'll begin with Vint Cerf.

18 MR. CERF: I'm happy to do that, Doug.
19 So, good morning, everybody. I'm not going to
20 take 10 minutes. I don't want to. What I really
21 want is to get some discussion going here. But I
22 am going to put a few things on the table that I

1 hope will trigger arguments.

2 The first observation I'd like to make
3 about broadband research is that we are not doing
4 terribly well with regard to the kind of wireless
5 opportunities that might lie before us. The FCC
6 Technology Advisory Committee has from time to
7 time explored ultra wideband possibilities, but I
8 don't think we've ever had much of an opportunity
9 to pursue that, because there hasn't been a lot of
10 available spectrum in which to try ideas out --
11 things like combinations of OFDM and CDMA and a
12 variety of other sharing techniques -- but I'm
13 personally persuaded by two things, first, that
14 sharing the broadband resources can lead to some
15 substantial efficiencies -- parties cohabiting in
16 the same band; and, second, it's my impression
17 that when you do sort of a general radiometric
18 measure of our use of the spectrum that on average
19 it's very, very low. We allocate capacity in
20 narrowband channels and maybe we're using 2
21 percent of the spectrum at any one time. So, I
22 think that opportunities to experiment with

1 broadband wireless sharing would be very
2 beneficial, and I'm not aware of much opportunity
3 to pursue that from an implementation and
4 experimentation point of view. So, that's one
5 point.

6 Second, I think we can learn something
7 from the 80211 experience, which is the unlicensed
8 sharing of bandwidth. Despite, you know, the
9 occasional collisions and the like, it's been
10 remarkably interesting to see how many people have
11 found ways to use that unlicensed bandwidth, you
12 know, given radiation-level restrictions, and the
13 like, in order to permit better sharing. So, I'd
14 like to see more experimentation with unlicensed
15 capacity -- white spaces being a good example of
16 that.

17 A third thing which I'd like to suggest
18 -- this I think still exists but I don't know how
19 effective it is. This is what we sometimes call
20 the NITRD Program, which is the National ITR&D
21 effort. During the time of the Clinton
22 administration, there was something called the

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1 President's Information Technology Advisory
2 Committee. Some of us served on that committee
3 for several years. We had what I considered to be
4 a very important, powerful, effective, crosscut
5 relationship between the committee and the R&D
6 agencies -- NASA, NSA -- well, NSA was there, but
7 NASA, DARPA, NSF, and DOE among others would come
8 to report what they were doing. They described it
9 in a crosscut way so we could see the amount of
10 research money that was being spent and we could
11 see on what it was being spent. And I'd like to
12 suggest that the participating program managers
13 were, I thought, stunningly cooperative in their
14 work to coalesce and to make more coherent the
15 aggregate research program. I'd love to see the
16 reconstitution of that committee, possibly under
17 the PCAS, which is where the previous
18 administration lodged the responsibility after
19 PCAC was disbanded.

20 Finally, I'd like to suggest that there
21 are some significant opportunities which appear to
22 be in part underway. The program at NSF, the

1 funding program, the future internet design sort
2 of clean-sheet effort, which I hope Dave Clark may
3 talk about, and the related GENI effort, which I'm
4 sure Chip Elliot will tell us about, represent a
5 foray into exploring what's possible, given the 30
6 or so years of experience we've had with the
7 internet. Many of us -- some of you sitting
8 around the table now -- are well aware of the
9 shortcomings of the system as it is today, the
10 lack of security, the failure to make heavy use of
11 broadcasts, the poor quality of mobile tracking,
12 and the like, suggest that there's lots of room
13 for improvement.

14 You asked a whole series of questions --
15 nine of them. You could take days exploring
16 these, and I'm not going to take more than a few
17 more minutes of your time to do that. You asked
18 about the kind of research that's going on today,
19 and I think a lot of it tends to be very short
20 term. I'm not seeing the kind of long-term
21 willingness to put funding in for possibly years,
22 even decades. If you look at the Arbinet program

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1 and the successor internet program, the funding
2 profile went on for nearly 20 years. It involved
3 multiple agencies after DARPA began the program.
4 So, I don't see that kind of consistent funding,
5 and I think in its absence that you'll find people
6 not proposing high-risk ideas because it isn't
7 clear whether will they will have time to explore
8 them successfully.

9 I think that there is also a question
10 about -- skip down to question 6 with regard to
11 venture capital. The venture capital community
12 got burned in the dot boom. Whether it learned
13 its lesson or not is still to be seen, but they
14 became a lot less adventurous, I think, in the
15 aftermath.

16 I'm not suggesting that venture capital
17 community should become as silly as I think they
18 were during the dot boom, but they are being more
19 careful about the proposals that they are funding.

20 The reason I raise this as an issue is
21 that I think we tend to stop short in our research
22 work at sort of working in the laboratory and not

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1 necessarily going far enough to get things to the
2 point where a venture capital company would see an
3 opportunity to pursue further. Let me use
4 internet as an example. When it became clear that
5 wrapping a graduate student around a computer to
6 turn it into a router wasn't going to be scalable,
7 companies like Proteon and Cisco were formed to
8 build equipment and sell it to the university
9 research community, and only after that community
10 showed that there was a viable market for this
11 kind of equipment and only after permission was
12 given to carry commercial traffic on the
13 government-sponsored internet (inaudible) phones
14 did we see, around 1989, the beginnings of
15 commercial internet service and once again
16 interest in the venture capital community.

17 So, what I'd like to suggest is we
18 examine our research programs. We ask do we have
19 paths in place that will allow the R&D side to
20 push further towards commercial viability enough
21 to relieve risk in the -- enough risk -- venture
22 capital world in order to spawn new companies and

1 we hope therefore new jobs.

2 Finally, you raised a question about
3 technology transfer. I often believe that
4 technology transfer is an oxymoron, and what
5 transfers are either people who understand things
6 and go into companies who make products and
7 services or products which transfer because
8 they're usable.

9 To give you an example in a case -- Chip
10 Elliott, he might want to respond to this later --
11 GENI will be effective if the consequences of what
12 goes on there are transferable into
13 commercialization.

14 I have to say that, with regard to
15 impediments, one of the biggest ones, in my view,
16 is software patents. Somehow we've gotten tangled
17 up in our underwear -- that's a technical term --
18 and we are somehow inhibiting creativity by
19 interfering with people's ability to use what
20 they've learned in the software sphere. One of
21 the anecdotes that seems to be open source -- and
22 I can certainly tell you that Google has been

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1 regularly trying to provide open source platforms
2 in the form of Android and Chrome and now Chrome
3 OS coming next year. I believe that we should
4 pursue that as an important theme, that open
5 source opens up many opportunities for the R&D
6 community to be successful and to build on other
7 people's expertise.

8 So, I'm going to stop there and thank
9 you for allowing me to blather on for however long
10 I went. I'm going to be able to stay until 10:30
11 your time, and I'm eager, of course, to hear what
12 others have to say. So, thank you very much for
13 letting me join you this morning.

14 MR. SICKER: Thank you, Vint. And
15 you're about nine minutes, so you almost used your
16 entire time.

17 MR. CERF: Well, so much for that.

18 MR. SICKER: So, the one thing I didn't
19 do -- I actually thought there was another
20 moderator joining me, and I had prepared notes for
21 that person. So, I left out to mention what we're
22 even here for today, and I will borrow from what I

1 had prepared for that speaker.

2 Well, as you all know, we're here to
3 talk about research recommendations, and the goal
4 is to provide these recommendations as part of the
5 broadband plan, which is going to be going to
6 Congress and out to the public and to other
7 government agencies, and our hope is to articulate
8 some directions and research recommendations as it
9 relates to the process of research, as it relates
10 to areas of funding and some other areas that are
11 being examined and will be specified in more
12 detail in a public notice, which will be coming
13 out I think this week possibly? Yes, sometime
14 this week.

15 I do want to make it clear that the FCC
16 recognizes that there's a role for it in
17 encouraging broadband and thinking about these
18 research recommendations and research agendas.
19 But we also recognize that there are many other
20 government agencies whose primary job is just
21 this, and our hope is rather to help them and
22 augment a lot of the work that they're doing

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1 through this report rather than step on their toes
2 sort to speak.

3 So, let's turn now to Dan Atkins. Dan's
4 a professor in computer science at the University
5 of Michigan. I would have gone into a more
6 detailed presentation -- or rather a description
7 of who Vint Cerf is but I hope you all know who
8 that is.

9 MR. ATKINS: Thank you. So, good
10 morning. I'm speaking to you from the vantage
11 point of someone who has conducted combined
12 technical-social work and what I would broadly
13 call cyber infrastructure-enabled distributed
14 knowledge communities -- or CI-enabled, for short.
15 This work includes the concept of science
16 collaboratories and digital libraries, and all of
17 this of course depends critically on broadband
18 digital networks.

19 I've served as the dean of Engineering
20 and the dean of the Information School at Michigan
21 and recently did a tour as the inaugural director
22 of the Office of Cyber Infrastructure of DNSF. I

1 currently hold the title of Associate V.P. for
2 Research Cyber Infrastructure at U of M and
3 consulting with consulting with many foundations
4 and agencies involved in innovation and learning
5 based upon network technologies.

6 The focus of my remarks today is that
7 research development and provisioning of broadband
8 networks of adequate performance and reach in both
9 wired and wireless forms is absolutely critical to
10 the nation's future leadership in a globally
11 competitive world based upon knowledge and
12 innovation. It is critical to both research and
13 education. And by "adequate reach" I mean
14 coverage to all inhabitants of our country, as
15 well as high performance appearing with broadband
16 networks in other countries, especially with their
17 national research and education networks for
18 sciences intrinsically global, and our strategy
19 for innovation must include a nuanced mix of
20 competitive and cooperative relationships with
21 research communities in other countries, much of
22 it facilitated by digital networks supporting what

1 are sometimes called scientific collaboratories.

2 Education and learning, likewise, can
3 benefit from networked-enabled international
4 cross-cultural experiences and socially based
5 learning. How might world understanding and even
6 world peace be nurtured through new forms of
7 digital diplomacy.

8 Research in broadband networking must
9 itself be broad, involving carefully selected
10 large-scale pilot projects well instrumented and
11 of long-decade duration, as Vint said. We need
12 investments that enable networking researchers to
13 test out their ideas at scale. Despite the heroic
14 efforts of the GENI communities and others, I
15 would argue that this is still difficult to
16 achieve within the current NSF funding models.

17 We need more investment in research in
18 which networking is both the object of research
19 and learning, as well as a platform for research
20 and learning, to work in the Pasteur's Quadrant
21 for those of you that know that metaphor. We need
22 to pursue basic research together with potential

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1 applications for next-generation networking.

2 It's documented in dozens of reports
3 sponsored by the NSF and similar funding agencies
4 around the world. The conduct of scientific and
5 engineering research is being revolutionized as we
6 move into a platform of information technology or
7 cyber infrastructure. This movement is also
8 called eScience and, more generally, eResearch and
9 is being pursued through investment in most all
10 developed countries and increasingly developing
11 countries.

12 Cyber infrastructure -- this kind of
13 awkward-to- say term -- was recently adopted by
14 the NSF and intended to connote two important
15 things. The cyber part connotes augmentation of
16 the physical world of atoms with the reduced
17 barriers of time and distance afforded by the
18 virtual world of bits. We mean here -- by
19 distance we mean distance in three senses:
20 geographic, organizational, and disciplinary. The
21 infrastructure part is a reminder that IT must be
22 afforded the high status of infrastructure, one of

1 the most complex and expensive things undertaken
2 by society. It's of course a lot more than
3 technology, more than boxes and wires and
4 software; it's a lot more than occasional discreet
5 purchases of stuff. It's both physical facilities
6 and supporting organizations, people, and policy;
7 sustainable models of continuous improvement. It
8 is reliable, supports broad connectedness, and
9 provides a platform on which others can
10 effectively build and tailor applications critical
11 to their missions.

12 The framework of CI-enabled research
13 includes high-performance computing for modeling
14 simulation, prediction, and increasingly data
15 mining, data, and information creation and
16 stewardship services and online instruments and
17 observatories. These services, tailored to
18 specific projects, are linked by networks,
19 middleware, workflow, visualization, and
20 collaboration services to create what I'm calling
21 today collaboratories -- laboratories without
22 walls -- in which scientists work together

1 globally with their colleagues, their tools, their
2 data, and their digital libraries in a workflow
3 through all four variations of same and different
4 time and place. People, information, and tools
5 can be linked in all four quadrants of this 2 x 2
6 matrix of same and different time and place, and
7 so we can say that these teams are working
8 together in four-quadrant organizations.

9 Some of these collaboratories are
10 becoming functionally complete in the sense that
11 they include all the relevant people, tools,
12 information and facilities for a project, and
13 therefore the collaboratory becomes both necessary
14 and sufficient for participation in the project.
15 They also have the potential to support groups
16 working with not only implicit knowledge,
17 knowledge that you can write down, but also
18 increasingly with the tacit knowledge that can
19 only be created and conveyed through social
20 interaction and practice. It can support not just
21 learning about but starting to support learning to
22 do as well as learning to be -- to be a scientist,

1 to be a physician, and so forth.

2 Dr. Arden Bement, the director of NSF,
3 has said in many public talks that our nation's
4 leadership depends critically on provisioning and
5 applying cyber infrastructure and maybe a real --
6 maybe it'll be a determinant in America's
7 continued ability to enervate and compete
8 successfully in the global arena.

9 Although great progress has been in
10 understanding and applying the technical and
11 social behavioral factors necessary for a
12 successful collaboratory, there's still much to be
13 done. One of the barriers is the general lack of
14 adequate end-to-end networks spanning global
15 campus and residential venues. It's particularly
16 challenging as science becomes more and more
17 computationally and data intensive. The needs for
18 wide area transfer of terabytes is becoming
19 commonplace, with some communities moving to
20 petascale requirements. We need enhanced
21 networking infrastructures to support the science
22 community in increasingly data-intensive

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1 distributed science. And the challenges are not
2 just about size and ubiquity of the pipes. They
3 include use-driven research spanning the entire
4 stack of transport through the collaborative
5 applications.

6 And now although CI-enable research has
7 been the priority to date, CI-enabled learning is
8 now emerging under a variety of names as the
9 priority for private as well as federal funding
10 agency. There is much in common between a
11 collaboratory to support research and one to
12 support education and learning.

13 A perfect storm may be brewing for our
14 nation to revolutionize the way we learn, the way
15 we teach, and the way we assess both, especially
16 within the K-12 system. Department of Ed is
17 currently developing a national education
18 technology policy document, which reportedly will
19 advocate a vision of a so-called culture of
20 learning resting upon a learning eco system that
21 is always on, life- long and life-wide, lending
22 both formal and informal education. Network-based

1 infrastructure would be required to support not
2 only access to information but access to
3 participation in learning networks.

4 It is a platform for seamless
5 integration between in-school and out-of-school
6 activities, including mobile learning and learning
7 on demand. In such a world, learning need not be
8 dominated by the traditional transfer model but
9 more by a participatory socially based learning
10 model that enables much more learning to be
11 intertwined and made more relevant. The National
12 Academies has likewise suggested that there is a
13 crisis in laboratories in the school system, and
14 cyber infrastructure could obviously provide
15 access to research-quality telescopes, electron
16 microscopes, and so forth for that community.

17 And, finally, I note in closing that the
18 economic as well as the green and ecological
19 pursuit of the movements and opportunities I have
20 tried to briefly describe will likely rest on our
21 continued adoption of the emerging models of cloud
22 computing, the long looked-for goal of

1 utility-serviced computing that is finally
2 becoming more real.

3 Cloud computing involves massive
4 consolidations and economies of scale on the
5 server side but massive diversification on the
6 client side and devices, desktops, laptops, web
7 books, Smartphones, eBooks, wearable computers,
8 media players, and so forth, and more diversity
9 and location and in application. Realizing the
10 full potentials and benefits of cloud computing
11 depends critically on research development and
12 provisioning of the next generation broadband
13 infrastructure.

14 MR. SICKER: Thank you, Dan. Next we
15 have Charles Bostian. Charles is a professor in
16 electrical engineering at Virginia Tech.

17 MR. BOSTIAN: Thank you. I'm Charles
18 Bostian. I'm pleased to be here.

19 Doug said he needed an old radio guy for
20 the panel, so I qualify at both.

21 I am going to focus on some ideas about
22 radio, how it can help broadband, and I want to

1 acknowledge the contributions of several
2 colleagues to this, particularly Preston Marshall,
3 formerly of DARPA, who many of you know, who has
4 recently completed a doctoral dissertation, which
5 really quantifies the benefits that cognitive
6 radio and related technology can offer to
7 broadband.

8 In looking at all the questions that
9 Doug sent out, I chose to -- concentrated on the
10 ones in the box, and I think the biggest
11 shortcoming is lack of integration, and this is
12 related to what Vint said about lack of long-term
13 efforts. I think there are few research efforts
14 looking at a complete picture, say, dynamic
15 spectrum access to robust wireless networking to
16 mobile applications. And there are very few paths
17 from small-scale basic research to large- scale
18 deployment and experimentation and testing. Most
19 university researchers are either working in up to
20 about 10 nodes of intelligent wireless networks or
21 they have a lot of nodes but they're in about
22 three rooms.

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1 So, these are two things that I would
2 like to advocate. In terms of what could we do to
3 have a substantial impact, I think this is taking
4 advantage of the inherent capabilities that wire
5 networks don't have, and I'm going to address one
6 of these in probably too much detail about
7 spectrum sharing and spectrum reuse and then touch
8 on some other topics.

9 Everybody knows we need more spectrum in
10 the right -- we need more spectrum in the right
11 part of the spectrum, the attractive frequency
12 ranges. So, we talk about spectrum reuse. We
13 talk about white space. We talk about how we're
14 using spectrum inefficiently. But I think the
15 academic approach to this has been less helpful
16 than it could be, because we've really --

17 I think the white space idea of finding
18 and using vacant spectrum is impossible -- what is
19 really vacant spectrum, and can you build a
20 business on it? One person's vacant spectrum is
21 something somebody else paid a lot of money for.
22 I think the whole focus needs to be on how we can

1 develop technologies that share spectrum easily
2 and efficiently. One example, of course, is WiFi
3 where it's developed to be collision tolerant and
4 no one user has it but we're able to share it.

5 If we look at making spectrum reusable,
6 I think we need to change from the idea of having
7 no interference to the idea of managing
8 interference. Having no interference makes sense
9 of course for some applications, like public
10 safety TV bands, but I think if future research is
11 going to help, it's really going to need to focus
12 on how we make spectrum more reusable, how we
13 encourage sharing. And our traditional approach
14 to efficient use of spectrum, I think, has been
15 counterproductive to this, because my radio
16 colleagues and I have been working for years on
17 getting more bits per hertz through a channel.

18 But the way you get more bits per hertz
19 through a channel is really that you raise the
20 transmitter power, because you have to increase
21 the energy per bit divided by the noise power
22 density. So, you raise the transmitter power

1 exponentially when you're increasing energy per
2 bit, and once you develop, it is a system that
3 will go a long distance and will interfere with
4 stuff at a long distance.

5 So, I have a graph stolen from Preston
6 to illustrate that. You can imagine that
7 transmitter power is the vertical axis and areas
8 of horizontal axis, and for given transmitter
9 power, for a given required E_b/N_0 , there is an
10 area where you can communicate effectively, and
11 then there's a much larger area where you can't
12 share the spectrum, because if another transmitter
13 like you is in that area, you will interfere with
14 it. So, the key idea I have stolen from Preston
15 is that we should be looking at spectrum reuse
16 efficiency with a metric which takes the number of
17 bits per hertz that we can transmit and divides
18 that by the area over which the user has to have
19 exclusive access to the spectrum, and that makes
20 things different, because if you lower the E_b/N_0 ,
21 if you lower the effective number of bits, you
22 actually get a smaller interference region and you

1 can get more efficient use of the spectrum that
2 way as I'll show you.

3 Now, how big this interference region is
4 depends of course on propagation conditions, and
5 that can be modeled fairly simply by an
6 exponential path loss, which is about -- exponent
7 -- which is about 2 in line of sight and can go up
8 to about 4 when you're over the curvature of the
9 earth and behind buildings. If you put some
10 numbers in this, you can see that the most
11 efficient modulations for sharing spectrum
12 actually use between 1, 2, maybe 3 bits per hertz,
13 and we could do a lot more with using spectrum
14 efficiently if instead of putting a small number
15 of users with a large number of bits per hertz in
16 an area if instead we used a large number of users
17 with relatively simple, relatively power
18 transmitters. And you can play games with that
19 and do things like a head on the right where you
20 can find ways to get a huge number of users and a
21 small number of frequencies very efficiently and
22 allow the thing to scale and allow all of the

1 users to have pretty good access.

2 These techniques have been known to the
3 cell phone people of course for a long time, and
4 they have to do frequency planning, but they
5 haven't really been taken into account in the part
6 of the community looking at dynamic spectrum
7 access and spectrum sharing.

8 If we go beyond this, the long-term
9 recommendations, it's really to look at the
10 inherent things that wireless networks can do that
11 wired networks cannot. If you have a wireless
12 network with dynamic frequency access, you can
13 control the topology by assigning frequencies.
14 Instead of answering the question how can I route
15 this with the topology I have, you can ask the
16 question what's the most effective topology to
17 route this information.

18 There is a lot of technology out there
19 that does these things -- disruption tolerant
20 networking, for example. One of the big
21 differences is that these techniques apply to
22 wireless networks and not wired, that they really

1 require communication across all of the layers,
2 which I think is something that we should be
3 encouraging as we go forward in wireless
4 technology for broadband applications. And I
5 think if we can promote research in these areas
6 that some of these ideas can really lead to order
7 of magnitude improvement and network performance.
8 And these things are already out there in military
9 networks. It's a question of getting them into
10 the civilian research community.

11 Thank you.

12 MR. SICKER: Thank you, Charles. So, I
13 do want to point out that I did not say an old
14 radio guy; I said a radio guy. Next we have David
15 Clark, who's a senior research scientist at
16 Massachusetts Institute of Technology, and when I
17 think of the internet I think of Vint and David
18 and a few others, and I'm honored again to turn
19 the mike over to David.

20 MR. CLARK: Well, thank you for giving
21 me the opportunity to participate here.

22 You posed a number of questions, and I

1 thought I would organize my comments around those
2 questions. I wanted to start with your last
3 question. You asked about the breadth of what
4 should be in the research recommendation, and
5 narrowly we could be talking about the deployment
6 of broadband in our country, and more broadly we
7 could be talking about not only the technology but
8 the innovations that define the use of the access
9 -- the cyber experience, if you will -- and I
10 would argue for a broader approach. I would argue
11 that if we care enough about broadband to make its
12 deployment a national priority, we should care
13 about the range of issues that make it valuable.

14 You asked about the state of research
15 funding for broadband-related research. I don't
16 have quantitative answers to this question. My
17 answer is more on the form of impressions.
18 Overall, I believe that the level of funding for
19 network research, which I take is a proxy for
20 broadband-related, has been inadequate to meet the
21 needs of the nation and certainly the research
22 community. I see bright students receiving PhDs

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1 in the field and choosing not to go into academia,
2 not go into research at all, because they see the
3 job of a junior faculty member, even at a
4 prestigious university, as difficult and
5 unrewarding and giving them little opportunity to
6 accomplish anything meaningful.

7 I talked to faculty that have left the
8 United States for universities overseas, and they
9 comment on the much more supportive and productive
10 environment they find there and the high quality
11 of the students they have to work with.

12 We see some changes in the strategies
13 for funding right now. Vint alluded to the FIND
14 program or the junior program at NSF. NSF is
15 trying to expand the sorts of research it supports
16 to include projects that are larger, more
17 integrated; and I applaud that. DARPA has been
18 largely absent from this sector for a while, but
19 it may come back.

20 I think a relevant question is whether
21 the research community would be able to use a high
22 level of research funding while doing research

1 only of the highest quality, and the answer to
2 this question is unambiguously yes. The
3 consequence of increased funding would be to
4 change the sort of research being done, and you'll
5 hear an echo of what Vince said and of several
6 other things.

7 Instead of projects that involve funding
8 primarily for graduate students, projects can be
9 undertaken that also involve professional staff,
10 including programmers, hardware designers. The
11 ability to do larger projects that reduce ideas to
12 practice and demonstration would make federally
13 funded research much more compelling and relevant.

14 You asked about shortcomings of the
15 current process. Aside from the overall funding
16 levels, which I just mentioned, I had to identify
17 the following points. First up, the merit review
18 process used by NSF sometimes tends to produced
19 what I would call conservative outcomes, and I
20 think a diversity of evaluation methods for
21 proposals can help ensure that ideas of all
22 sorts -- incremental ideas, long-ideas, high-risk

1 ideas, contrarian ideas, multi-disciplinary ideas
2 -- all have a good chance of funding. I think
3 it's important particularly to encourage the
4 longer-range, high-payoff but risky research,
5 research where it may be hardest to make a clear
6 assessment of quality up front.

7 Secondly, projects funded over -- larger
8 projected funded over a longer period allowed
9 qualified research teams to focus on the research
10 rather than focusing on grant writing, and I think
11 this single change might be the most significant
12 in increasing the research productivity of our
13 best contributors.

14 You asked about industry research and
15 how such research should influence federal
16 funding. I think the important consideration here
17 is not the topic area but the nature of the
18 outcome. It makes more sense for industry to
19 invest in research when it can appropriate the
20 results of that work. Enhancements that might
21 advance the state of the world as a whole but not
22 the players that funded the research are hard to

1 justify in an industrial lab. Federally funded
2 research is more likely to result in open
3 standards, industrywide architecture, socially
4 beneficial outcomes. Data in itself is an example
5 of open interfaces and license-free standards that
6 resulted from federal funding.

7 Industry research and development is
8 more likely to lead to innovations that
9 preferentially benefit the owner of the research
10 results. Today the interesting work that is
11 defining the cyber experience is moving up -- and
12 remember, I'm taking a broader definition of the
13 research scope here. So, we're moving up through
14 the layers away from the technology that
15 physically transport bits and towards standards
16 that define high-level abstractions, social
17 networks, physical location identity; and I
18 believe implementing these concepts using open
19 standards and industrywide architectures is
20 critical to the future of the internet. So, I
21 would argue that public sector funding of work in
22 these areas is critical even if we see industry

1 (inaudible) already. You asked about
2 commercialization. In general, I see my
3 colleagues being quite creative and effective at
4 commercializing their ideas. I would observe that
5 one should not look at the commercialization,
6 assuming that the only success model is small
7 business venturing and entrepreneurship. Some
8 ideas, like open standards, can transform an
9 industry and create new growth opportunities
10 without spinning out a new company.

11 You asked about broad research funding
12 where we enable the unexpected or major
13 discoveries. All of the subpoints you listed
14 under that question are indeed very important.
15 That's a great checklist. Unfortunately, the most
16 direct path to a broad agenda is the more liberal
17 availability of funds. When funds are scarce,
18 there's a natural tendency to focus very hard on
19 arguments about best use, which tend to narrow the
20 target's objectives where success can more easily
21 be measured and assessed, and these are often the
22 short-term, low-risk projects.

1 As I said above, the second means to
2 ensure a broad range of outcomes is to have
3 different sources of money allocated using
4 different methods. If you do collective review,
5 combined with career grants, which focus on the
6 person as well as the specific topics, funds at
7 the discretion of a single program manager so they

8 can make bets -- DARPA's done contests; there are
9 creativity awards, which I would describe as funds
10 that allow someone to go and think for a while --
11 all of these produce different sorts of results.

12 You asked about the role of venture --
13 we -- none of us get to think. You asked about
14 the role of venture capital. I'm not an expert on
15 the rules that govern venture capital funds, but
16 most funds can only use their money for the
17 specific purpose of funding a company at some
18 stage of its birth and growth. Most fund rules
19 preclude using the money for prelaunch research
20 funding. The venture capitalists I've spoken to
21 tell me that they're dependent on activities
22 funded from other sources, including federal

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1 funding, to seed the innovations that they in turn
2 can fund. I believe that federally funded
3 research is critical as a source of ideas that
4 spin out into venture-fundable innovations.

5 You asked about technology transfer, the
6 specific issues of intellectual property and
7 institutional barriers. I'm going to sound like
8 Vint. The appropriate approach to intellectual
9 property is a longstanding debate. I would
10 suggest you either delve very deeply or do no more
11 than acknowledge the debate. I think the
12 proponents and opponents of open software,
13 license-free standards, etc., and the like, have
14 put their cases clearly. Many institutions,
15 including my own -- MIT -- have made clear they
16 give the researcher the choice as to how best to
17 exploit the results whether by licensing or by
18 open release. I think this is the best outcome we
19 can have. An institution that takes that control
20 away from an inventor and demands that an idea be
21 patented even, if the inventor doesn't think it's
22 the best path to exploitation, is probably not

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1 acting in the spirit of the intention behind the
2 funding.

3 You asked about priorities. I could
4 have given a talk here about my own choice of
5 topics. We can certainly identify topics for the
6 future of the internet -- better security,
7 continue economic viability. If it's necessary to
8 demonstrate that there are pressing issues in
9 order to make the case for funding, that can be
10 done. But I hesitate to embed lists of priorities
11 in a document that sets a national agenda. Might
12 have the consequence of narrowing the funding
13 agenda of one or another agency. However, it
14 might be helpful to make the case that cyber space
15 is not done. There are new opportunities to
16 exploit, new innovations to make continued benefit
17 to the nation both to the economy and the
18 citizenry from continuing to invest in the field.

19 Many of the issues we might raise here
20 -- many of the issues I am raising -- are broader
21 than those that relate to broadband specifically.
22 They have to do with comparative policies across

1 nations as to the most appropriate level and
2 structure of research investment in order to
3 sustain the competitive advantage of those
4 nations. As such, these decisions are part of the
5 nation's overall economic policy and the role of
6 public funding for research within that policy:
7 priorities for training, long- term investment to
8 stock the intellectual shelves for tomorrow's
9 innovations, or short-term academic industry
10 collaboration to take the ideas off the shelf, and
11 so forth. This landscape and the place of the
12 United States in this landscape is changing
13 substantially right now. I personally feel that
14 we as a nation do not have a coherent way to
15 analyze, model, or take control of our future in
16 these shifts.

17 There are issues as diverse as
18 immigration policy, primary and secondary
19 education, our response to the current economic
20 downturn. All of these shape how we support
21 research and how we benefit from it. Perhaps our
22 response to these changes is the best we can do,

1 but whether or not these are bigger issues within
2 which a discussion of a single priority like
3 broadband must sit, there's no doubt in my mind
4 that if today we doubled the national investment
5 in IT research, the nation would be better off in
6 10 years.

7 In a narrow sense I think -- again, I'm
8 speculating -- the return to our national coffers
9 from the tax revenues on the resulting
10 commercialization would pay back the investment.
11 I've had other countries tell me the exact same
12 thing. Taxation is much better than holding
13 equity share in a company. You get a much higher
14 percentage of the profits.

15 But I can't substantiate what I just
16 said. I can't prove what tomorrow might bring.
17 I'm obviously an advocate for investment in
18 tomorrow. Right now I see other nations trying to
19 out-invest us, and this makes me a little sad, but
20 I can't quantify this. All I can say is if my
21 point of view were to have an impact, it would be
22 to empower people of vision to use that vision to

1 invest in the future and not to defend the
2 spreadsheet of numbers.

3 Eleven seconds to go.

4 MR. SICKER: Thank you, David. I have
5 to say I'm sure the academics here resonated very
6 strongly with your comments on funding and
7 particularly the process side of the difficulty in
8 getting research monies.

9 We now go to Chip Elliot. Chip is the
10 chief engineer at BBN, and also he's the lead on
11 the GENI initiative, which I'm assuming you're
12 going to focus your talk on today.

13 MR. ELLIOT: Well, thank you, Doug, and
14 thank you Stagg, for inviting me. Yeah, I'm going
15 to give a couple minutes' background on GENI and
16 then go into a specific recommendation for this
17 task force.

18 Let's go to slide 3 if we can.

19 MR. BOSTIAN: If you could -- you could
20 take the clicker.

21 MR. ELLIOT: Is there is a clicker? Oh.
22 Thank you. How very modern.

1 I think it's undeniable that global
2 networks are creating extremely important new
3 challenges both for research and for all of us.
4 There are, loosely speaking, science issues where
5 we, the people who design and build these
6 networks, can't understand or predict their
7 behavior, and that's kind of a bad situation to be
8 in. There, I believe, are substantial innovation
9 issues. Many people believe that the network
10 itself is becoming harder and harder to innovate
11 within. You have to innovate kind of at the edges
12 or above the network.

13 And, finally, there are society issues
14 that all of us increasingly rely on the internet
15 but we're unsure we can trust its security,
16 privacy, or resilience. So, I think these are
17 very important issues.

18 In response to this, the National
19 Science Foundation has set up an interesting and
20 comprehensive research program called Network
21 Science and Engineering, and Ty Znati is here, so
22 I won't go into this research program, but it is

1 directly addressing the challenges I previously
2 mentioned.

3 In parallel, the National Science
4 Foundation has started up a project to build a
5 large-scale suite of infrastructure in which these
6 ideas can be tried out. It can be distinguished
7 from the internet as such by being very deeply
8 programmable so people can program all the way
9 into the network and run experiments throughout
10 it. It is envisioned as being virtualized, so
11 different experimental services run, in essence,
12 in parallel planes that are called slices within
13 the infrastructure, and it is viewed as being
14 fundamentally federated so that it is owned and
15 operated by a lot of different organizations and
16 different people.

17 This project has been underway now for
18 about a year of active prototyping. We've just
19 finished the first year and are starting the
20 second year.

21 Let me tell you our basic strategy for
22 creating prototypes of GENI. One of the things

1 that's most important for these new forms of
2 research is to get large numbers of human beings
3 -- real people -- into these experiments. The
4 internet nowadays is not a collection of machines;
5 it's a collection of people who use services.

6 Now, it's clearly infeasible to build
7 research infrastructure as big as the internet.
8 So, that is not a path to do to make kind of a
9 parallel set of research infrastructure that's
10 just as big as the internet that people can
11 explore futures in. So, we've adopted the
12 strategy of what we call GENI-enabling commercial
13 equipment, and we would like to be able to go to
14 absolutely standard vendors and simply buy
15 equipment and have it ready to do research on.
16 And then we want to use this in the production
17 infrastructure -- for example, in campuses, in the
18 national research backbones, and so forth. So,
19 we'd like to run production traffic in parallel
20 with a variety of large-scale research
21 experiments.

22 This is kind of an eye chart, but these

1 are the teams that are currently doing prototyping
2 in GENI. The fine print lists a number of
3 academic industrial teams, many of them composed
4 of several different institutions, and the kind of
5 the wall of logos on the right shows the companies
6 that are currently involved in prototyping GENI.

7 It's very important to us to have
8 companies deeply involved at this stage, because,
9 again, we would like to have commercial equipment
10 that is what we think of as GENI enabled.

11 We've just started this October building
12 out what we call a mezoscale prototype, that is, a
13 prototype across more than a dozen campuses in the
14 United States and two of the national research
15 backbones -- Internet2 and National Lambda Rail.
16 Our goal is to get into and through these
17 campuses. We would like to get to students in
18 their dorm rooms, through their WiFi, through
19 WiMAX, because these are people who can
20 participate in these very large-scale experiments.
21 So getting and through campuses is a key
22 importance to us.

1 At the bottom I've shown some of the
2 commercial equipment that is being GENI enabled
3 and we hope will be deployed into these campuses
4 and into these backbones. It's a key goal of ours
5 to have more different kinds of commercial
6 equipment GENI enabled.

7 Okay, now let me switch to a very
8 specific recommendation on broadband research.
9 So, I propose that the FCC require that all
10 broadband infrastructure that receives federal
11 subsidies must be research enabled. So, if you
12 are going to build out infrastructure using
13 federal funds, you must open it up for research in
14 parallel with production traffic.

15 Well, what does this mean? We don't
16 really have enough time to get into all the
17 technical details, but the data plane must be
18 capable of carrying experimental services
19 designed, say, by academic researchers in parallel
20 with commercial production services or other forms
21 of production services.

22 The control plane must be compatible

1 with control software that permits on-demand
2 allocation of this infrastructure resources
3 whether for production or experiments. I would
4 recommend that both wireline and wireless
5 broadband be covered by this. As a technical
6 note, in some technologies quality of service,
7 good isolation will be easy; in others it will be
8 hard. I think this is an area that probably you
9 should just do what's easy.

10 And I note that many, many different
11 kinds of technology are already compatible with
12 this kind of recommendation.

13 Let me give some specific examples. In
14 broadband optical networks, such as national
15 backbones or regional networks that might be
16 federally subsidized, you can satisfy such a
17 mandate by allocating either entire wavelengths or
18 packet-level traffic engineering or so forth. So,
19 there are many different ways that you could open
20 up optical networks to run experiments in parallel
21 with production traffic.

22 Campus networks are already beginning to

1 do this with funding from the National Science
2 Foundation. You can satisfy such a mandate with
3 Ethernet V-lens, Wifi, and so forth. There are
4 many ways to do it.

5 Radio and cellular systems is a
6 particularly interesting area as various people
7 have mentioned. Here you can do it either kind of
8 classically by, say, setting aside spectrum
9 allocations for research, but you could also do it
10 in other ways -- for example, setting up mobile
11 virtual network operators dedicated to research
12 purposes. So, I think there's a lot of ways you
13 could try to do that.

14 I would argue that such an approach has
15 relatively few downsides. I believe it add little
16 or nothing to the cost of broadband builds since
17 most of the equipment you buy already has this
18 kind of capability. And it does not require
19 additional infrastructure to be built. It's a
20 relative neutral proposal. It doesn't favor one
21 vendor over other vendors. Doesn't favor one type
22 of operator over other operators. Does not favor

1 one kind of research over other kinds of research.
2 And if it's a bad idea, it can very easily be
3 undone. You simply stop running experiments in
4 parallel with production traffic.

5 Finally, I argue that such an approach
6 would bring widespread benefits. It opens up
7 broadband structure -- infrastructure -- for
8 research experiments and innovation. It gives
9 many, many people in their dorm rooms or, ideally,
10 in their homes or through their cell phones ready
11 access to experimental services.

12 A researcher tends to think of what
13 they're innovating in as an experiment. But an
14 end user will think of it as a novel service,
15 which they should be able to get in their house or
16 through their phone or what have you. If you
17 follow this path, it removes the barriers between
18 a successful experiment, an academic research
19 experiment and a real service, because it's an
20 imperceptible shift from one to the other. It's
21 useful for a very broad range of research. I gave
22 a GENI example. Dan mentioned many forms of cyber

1 infrastructure that could use this in fields
2 ranging from physics through biology, and so
3 forth. And, most importantly, it's quite cost
4 effective, because specific research projects will
5 no longer need to build their own infrastructure.
6 You can simply use the research portions of the
7 broadband.

8 Thank you very much.

9 MR. SICKER: Thank you, Chip. That's a
10 very interesting idea. I would like to discuss
11 that more with you maybe after -- during lunch.

12 So, now I'd like to introduce Ty Znati.
13 Ty is the division director at NSF for Computer
14 and Network Systems, and, more importantly -- for
15 me -- he was the person who introduced me to
16 networks long, long ago. I've known Ty for about
17 20 years now, and he taught me my first network
18 protocols class.

19 Please, Ty.

20 MR. ZNATI: Thank you, Doug. Thank so
21 much for inviting me and allowing me to share some
22 of my thoughts with you and with this committee.

1 Again, the curse of having a name that
2 ends with z. By the time you get your turn to say
3 something, practically everything you wanted to
4 say has been said, so I'm going to just kind of
5 elaborate on a couple of points that NSF is trying
6 to do but also try to share some thoughts with you
7 with regard to what we should be doing in
8 broadband.

9 So, I know that the mission of NSF is
10 really to support basic research and that the
11 approach then is a (inaudible) is really a
12 bottom-up approach, a distance to the ideas that
13 come from the people, and then basically fund
14 those that are meritorious and hopefully fund them
15 at the right level of funding, which we cannot
16 really do in many cases, but trying to fund that
17 transformative research and then fund the people
18 who are the most promising people to be able to do
19 that work and conduct that research.

20 Okay, NSF is one of 13 what we call
21 NITRD (character for long i added) or NITRD
22 (character for short i added), depending on what

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1 group you really are, and NITRD agencies support
2 research and development in networking and
3 infrastructure. That is really what we define as
4 broadband, and within NSF, CISE plays an important
5 role and contributes greatly to funding research
6 and broadband. So the projects that Vint
7 mentioned, which is FIND -- Future Internet
8 Design, or the GENI project is actually being
9 funded from within CISE and particularly from my
10 division.

11 Okay, so the type of project that we
12 focus on in broadband are really not specifically
13 tailored toward either access network or any
14 specific aspect of the (inaudible) network that we
15 tried to develop and then to support, but we more
16 fund -- fundamental research that actually enables
17 the evolution of global-scale networks, and the
18 services that depend on these networks enable them
19 to evolve and to achieve the level of
20 trustworthiness, the level of reliability, of
21 robustness, and so on so forth that these networks
22 are supposed to achieve. So, most of our research

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1 is focused on end-to-end issues challenging
2 research issues, such as scalability, performance,
3 trustworthiness, manageability, usability, and so
4 on so forth. So, evolved (inaudible) looking at a
5 network as, let's say, a technology but as more
6 like a social technical network whereby it is
7 technology but also it's being used by humans and
8 therefore it should actually involve or network
9 the human within that technology itself.

10 When we look at what's going on despite
11 the, you know, large investments in networking and
12 research and networking, we're still really facing
13 extremely difficult challenges to be able to
14 achieve the strategic plan in terms of social and
15 economic benefits that we can harness out of this
16 -- out of information technology and networking.
17 As a matter of fact, a couple of reports, one by
18 OECD, ranks the United States as the 30th nation
19 in terms of broadband penetration. Even some
20 other studies that focus on metrics beyond
21 adoption growth rate also point out that we have
22 -- we're falling behind in terms of funding basic

1 research.

2 So, let me briefly define what we mean
3 by (inaudible) what we fund in terms of broadband.
4 We look at broadband as an end-to-end issue. It's
5 about the last mile, the first mile, and
6 everything in between, including the humans that
7 use this technology and the humans that develop
8 services and applications on top of these
9 technologies.

10 Okay, so one of the foci that NSF has
11 invested funds in is this understanding of the
12 complexity of our system, and the GENI effort, for
13 example, is part of that agenda. We built
14 systems; we have built the internet, which is an
15 incredible success story; and we did really have
16 fundamental understanding, you know, that goes
17 beyond the type -- to enable us to understand the
18 type of behavior that the system exhibits so we
19 can actually build them and engineer them to be at
20 least adaptable so when things happen we can
21 always respond to those emergent behaviors as they
22 occur.

1 So, I think there is a need for
2 investing into that type of science and
3 engineering and a need to invest into the
4 infrastructure that will allow the scientist and
5 research to gain that understanding. And, again,
6 GENI is one example, but I think there is a need
7 to actually fund more of this type of
8 infrastructures to enable the science and to
9 advance the state-of-the-art in terms of building
10 complex systems.

11 There's the other aspect of broadband,
12 which is networking at the edges. I think we have
13 made significant progress in understanding access
14 network from the fiber to the wireless, and I
15 think Charles has spoken about a few of the
16 challenges that we're still facing, but
17 nevertheless I think the full potential of
18 broadband technology has not been realized yet
19 specifically with respect to the emerging
20 applications and the fact that they're allowing
21 users actually to create contact. It's no longer
22 about contact, you know by companies and by

1 industrial organizations but also by the users
2 themselves.

3 So, we really need to invest in research
4 to understand these emerging applications, because
5 they have a potential role to revitalize the
6 economy and revitalize civil sectors of our
7 society, and I list a few of them here: health
8 care, education, commerce, and entertainment, and
9 so on so forth. I think it's important to
10 increase the level of funding to enable this
11 radically, innovative way of thinking about this
12 technology and these applications.

13 But we also have to understand as this
14 technology becomes more and more symmetric in this
15 sense, it's no longer about downloading issues;
16 also by uploading and by creating contact at the
17 edges and so on so forth. I think the power of
18 this technology can also create all sorts of
19 issues in terms of security and vulnerability. I
20 think we need to invest into new frameworks that
21 goes beyond the perimeter model to understand how
22 we can secure our system so we can harness the

1 benefit without having to pay heavy penalty in
2 terms of security and privacy.

3 And I want to mention a couple of other
4 issues. I think there's a lot of other
5 understanding to be done in terms of the
6 structural changes and maybe investment incentives
7 that have to be in place in order to enable the
8 ubiquitous penetration of broadband in the U.S.
9 and as I said in one of the studies we don't like
10 very well with respect to other countries. I
11 think that's an issue that FCC is well positioned
12 to be able to address. And here we may
13 specifically pay attention to the complexity of
14 the need for quality of services requirement that
15 will allow people to see value in these
16 broadbands.

17 I'm going to say a couple of things here
18 on some of the factors that have influenced or
19 influence currently the way the adoption and use
20 of broadband technology are affordability,
21 usability, and the value that the users perceive
22 on there. Those are difficult questions. Yes, we

1 may proceed with the development of the
2 infrastructure, but I think it's equally important
3 to have people understand how can this
4 infrastructure be developed so it is usable and
5 it's affordable by the people who are supposed to
6 be using it. So, there's a lot of understanding
7 there to be done.

8 And, finally, my last point is
9 collaboration of partnership. I think the problem
10 is bigger than what NSF can do by itself or maybe
11 any other agency. If you remember, until very
12 recently there was a lot of partnership that were
13 -- that used to be commonplace between industry
14 researchers and academic researchers. In many
15 cases they have propelled technology and allowed
16 this -- maybe I'm going to use a term that Vint
17 does not agree with -- this transfer of technology
18 thing to happen a lot sooner than otherwise would
19 have been possible. And I think one case of that
20 is the Gigabit Project of the 1980s that allowed
21 the penetration of optical fibers in development
22 of this technology at a much more broader and

1 deeper scale than what could have happened if the
2 research only was done by industry or by academia.

3 I think the research in the future
4 generation of networks has to follow some model
5 that such a -- a model like that one, and
6 definitely the renewal of this partnership is very
7 well needed. Maybe the government, industry, and
8 academia can look at this type of partnerships and
9 collaborations and look at ways where the
10 intellectual interests tinge between the
11 stakeholders can happen in a much more flexible
12 and efficient way.

13 MR. SICKER: Thank you, Ty. Since Vint
14 has to take off soon, I'm going to ask first if he
15 has any comments. I know we have some questions
16 for him, at least one, but I wanted to turn it
17 back over to Vint and see if he wanted to respond
18 to any of the other speakers.

19 MR. CERF: Thanks very much, Doug.
20 Well, first of all, I found this extraordinarily
21 thought provoking, and so I appreciate
22 the group that you've assembled to raise a lot of

1 these issues and to respond to your questions.

2 Just to go back to Ty's comment about my
3 disbelief in technology transfer, it's not that I
4 don't believe that technology can be transferred;
5 it's just that I don't think it transfers on its
6 own. I think that it comes about by moving people
7 from the research world into industry to take
8 their ideas and actually implement them or create
9 products that can be propagated and used as
10 opposed to simply the technology behind them. But
11 this is not a good time, I think, to have a big
12 arm wrestling match about that.

13 I think the one thing I'd like to
14 emphasize is the importance of being able to do
15 experimentation and in some cases to take
16 advantage of infrastructure that might not be
17 naturally accessible to the research community.

18 To give you an example of that, I want
19 you to think a little bit about cable and
20 satellite television for just a moment. Right now
21 we have huge amounts of capacity dedicated to
22 transmitting digital content in a broadcast

1 fashion either over cable plant or, in the case of
2 Verizon, over their FIOS optical system or from
3 satellites that are, you know, raining digital
4 bits down on hundreds of millions of receivers.

5 The thing I'd like to suggest to you is
6 that if we could repurpose some of that capacity
7 to rain internet packets down on people, and if we
8 were to develop protocols that took advantage of
9 knowing that this is a broadcast medium and this
10 packet will be received by multiple parties, we
11 could be doing some very interesting experiments,
12 possibly creating new businesses for companies
13 which up until now have used these large amounts
14 of capacity simply to deliver decrease in quality
15 video material, so I think that they don't
16 recognize the possibilities inherent in this
17 broadcast medium. That's an example of an
18 experiment that I think would require a
19 partnership between the research community and
20 industry, which has access to the capacity.

21 Let's get to your questions, Doug,
22 because, you're quite right, I need to escape in

1 about ten minutes or so.

2 MR. SICKER: Okay, turn it to Stagg
3 Newman.

4 MR. NEWMAN: Okay, I have a question.
5 First for Vint and the other speakers, but you get
6 first crack, Vint.

7 A friend of mine who went from academic
8 research to large-company research to now CO of a
9 VC-funded biotech company suggested a 10-year
10 program for research. The first four to five
11 years would be funded federally with basically no
12 strings attached of fundamental research, and then
13 to go beyond that would require an industrial
14 sponsor or sponsors kicking in some of the money
15 but still primarily government funded, and then
16 the last three years they'd be on their own to get
17 industrial or VC funded.

18 Is such a model practical? Does it
19 address the concerns? Is it implementable?

20 MR. CERF: First of all, Stagg, it's a
21 strikingly interesting proposition. It reminds me
22 a little bit of the engineering research centers

1 or centers of excellence that NSF sponsored for
2 some time. Let me use an example. Deborah
3 Estrin's work at UCLA, the Center for Embedded
4 Network Sensing, had something like two four-year
5 funding tranches of substantial quantity. If I'm
6 remembering correctly, it's about \$20 million per
7 tranche over that eight-year period. She's now
8 coming on sort of towards the end of that
9 eight-year program and looking to reconstitute the
10 effort with potential industry participation.

11 It's not easy to make that transition,
12 but that formula that you offer reminds me very
13 much of NSF's other innovative approach. You'll
14 recall the shutting down of the NSFnet and the
15 creation of Network Access Points and their
16 declining funding profile, which basically said
17 we'll fund you for a while but you need to become
18 self-sufficient. I really like that tactic a
19 lot, because it would, in my opinion, allow the
20 entity that's doing the research to deliberately
21 push the potential for commercialization in the
22 direction of industry, whether it's through

1 venture capital or through established businesses
2 that want to engage in perhaps a new line of
3 business that's enabled by the research. So, I
4 like the formula a lot but very interested to hear
5 what some of the other participants have to say
6 about it.

7 MR. SICKER: So, I wonder if before we
8 -- I wonder if we should try to take other
9 questions and circle back around. If there are
10 any other questions for Vint while we still have
11 him on?

12 MR. CERF: Yeah, why don't you do that,
13 yeah.

14 MR. SICKER: Rashmi?

15 MR. DOSHI: Yeah, I guess I would add
16 one more question for Vint only in terms of
17 experimentation. The FCC has put out their
18 experimental testbed with NTIA and seen some of
19 the use for spectrum in terms of experimenting.
20 There hasn't been that much of a taker. Is there
21 a reason why some of that may not necessarily be
22 useful or whatever when Vint said that we should

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1 put out some testbeds to others?

2 MR. CERF: Okay, so, Rashmi, it's -- my
3 first reactions are that if there wasn't a great
4 deal of uptake, could it be that people didn't
5 know about the existence of the program? Is there
6 a problem with, literally, advertising? Was there
7 any constraint in access to the facilities or the
8 way in which the program was structured that might
9 have limited interest? I'm disappointed to hear
10 you say that, because I had hoped that things like
11 this would trigger some serious work. What about
12 collaboration with some of the research agencies,
13 whether it's NSF or DARPA or some of the others?
14 Was there an opportunity for that kind of synergy
15 to be applied? Maybe you could elaborate a little
16 bit more?

17 MR. DOSHI: I guess the list -- I don't
18 know if the constraints -- I guess constraints
19 were that perhaps there were no independent
20 funding associated with it, and that may be the
21 issue why people -- at least academic institutions
22 and others didn't participate. We had a smaller

1 number of venture-funded companies participate,
2 too, because they thought some short-term
3 commercial opportunities, but I'd be interested to
4 hear, I guess, maybe now or later, eventually,
5 whether FCC should do something more or different
6 associated with that.

7 MR. CERF: Well, if I could just jump
8 in, Doug, and say that this is a perfect example
9 of an opportunity for coherent and collaborative
10 planning of research across agencies. We have
11 enormous opportunities for the program managers in
12 these various agencies to work together on a
13 larger-scale program, each of them bringing
14 particular capabilities to the table. In fact,
15 what I would suggest is a conversation between the
16 FCC and at least Chip Elliott, NSF, and the GENI
17 program to ask whether the facilities that might
18 have been made available under the FCC program
19 could be fitted into the GENI infrastructure and
20 allow for some research in that dimension.

21 MR. SICKER: That's personally why
22 Colorado didn't go after it. We had all the

1 interest but none of the funding to do it. I
2 mean, it was a great opportunity. We talked to
3 some companies. But it would have been great if
4 NSF or DARPA would have stepped in with the
5 funding.

6 Any other questions for Vint before --?
7 I do want to add one thing. My most valued
8 publication that I have -- I don't know
9 if Vint will remember this from some years ago.
10 There's a 1974 -- the original copy of a packet --
11 no, a protocol for internet packet
12 interconnection. Do you remember signing that
13 copy for me, Vint?

14 MR. CERF: Yes, yes I do. And, by the
15 way, do you know that Softees has just auctioned
16 off a copy of that publication for \$25,000.

17 MR. SICKER: Do they have -- I have
18 Bob's signature on that, too. Is it signed by
19 both of you?

20 MR. CERF: Yes, it was, so you now have
21 at least a \$25,000 property. Hang on to that and
22 it'll probably be worth more when one or the other

1 of us expires.

2 MR. SICKER: Oh, my goodness. I just
3 want -- I hope that we get to see more of these
4 kind of publications. That's what we need, right?

5 MR. CERF: Amen.

6 MR. SICKER: I'm sorry?

7 MR. CERF: The chief internet evangelist
8 was saying amen.

9 MR. SICKER: Amen. Thank you for
10 joining us, Vint.

11 MR. CERF: Thank you so much for
12 allowing me to participate this way. I have to
13 tell you, it was fabulous. The audio was
14 terrific. Video was very good. We have to do
15 more of this. You can do a lot with this kind of
16 technology I think. So, anyway, good luck with
17 the rest of the meeting. Thanks so much for
18 inviting me to join you.

19 MR. SICKER: Can I add that this is
20 actually over ISDN and I thought that was kind of
21 ironic, given that -- something broken about that,
22 I'm sorry.

1 So, Vint, I will ask that you allow me
2 to follow up, because we're getting this process
3 started, and I'm sure I'm going to have follow-up
4 questions for the chapter that I need to write.

5 MR. CERF: Absolutely. No problem.
6 Good luck, everyone.

7 MR. SICKER: Thank you. So, can we
8 follow on, on Stagg's question, then, with the
9 rest of the panel?

10 MR. NEWMAN: David had his hand up.

11 MR. CLARK: I did. I think the
12 suggestion is pointed in the right direction, but
13 I want to be a little careful about casting it in
14 too formulaic a way. I would - - there's a report
15 that the CSTB at the National Academies has now
16 revised twice, I think, which has got a picture in
17 it which is colloquially called the tire tracks
18 picture which shows timelines for a whole bunch of
19 innovations within the IT space in the emergence
20 of the internet or the Web or computer graphics
21 and games and things like that and risk processor,
22 databases; and what you see, if you look at the

1 picture, is that the pattern of evolution from
2 initial research funding and eventual
3 commercialization is often not a straight line.
4 It bounces around. Sometimes industry starts
5 funding something and then they drop it, and
6 academia picks it up, and then an industry
7 research lab will pick it up and then it goes
8 fiddle for a little while and then it pops up
9 again. So I would really say that industry ought
10 to understand its place here, but we shouldn't be
11 formulaic about it.

12 And with that as sort of a precautionary
13 -- and, by the way, 10 years may not be a lot --
14 enough for some of these ideas. When I was a
15 budding grad student, I was told by my mentor that
16 if I wanted to understand the world

17 years from now, I should look in the
18 labs, because if it wasn't in the lab now it
19 wouldn't be in the market in

20 years. I think that horizon has been
21 compressing. I think Nabster managed to do it in
22 a month, but that's a special case. I think that

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1 with flexibility, he's getting at an important
2 idea there.

3 MR. ATKINS: I'd like to build on that.

4 MR. NEWMAN: Actually, it's a she.

5 MR. ATKINS: Oh, excuse me.

6 MR. SICKER: This is that picture. I
7 mean, it's a very fascinating picture of you. If
8 you walk through it and look at how these
9 technologies have interacted with one another over
10 the timeline, it's a great depiction.

11 MR. CLARK: Well, I think they're going
12 to revise it again.

13 MR. ATKINS: I wanted to just build on
14 Dave's remarks from a slightly different
15 perspective. So, I threw out this term "Pasteur's
16 Quadrant" in my talk. Let me elaborate on that a
17 littler bit, because, again, I agree with the
18 spirit of what you're saying; and I also agree
19 with what Vint said, that that's very much
20 consistent with the spirit of the ERC programs
21 that Eric Bloch pushed very strongly at NSF in
22 which many of us were beneficiaries and believed

1 in quite firmly.

2 When Venivere Bush kind of set up the
3 framework for the National Science Foundation
4 50-some years ago, he was influenced by the pretty
5 traditional linear model of having pure,
6 curiosity-driven research over on one side and
7 then having application and product development on
8 the other and kind of a linear flow over quite a
9 period of time sometimes through fairly arm's
10 distance mechanisms, and somewhere even advocating
11 you have a big diode in the middle of that so that
12 the application and near-term issues don't get
13 back and pollute the curiosity-driven thinking of
14 the great minds.

15 A few years ago, a guy named Donald
16 Stokes wrote a book called Pasteur's Quadrant in
17 which he asserts that we really need to think
18 about that not as a one-dimensional process but as
19 a two-dimensional process where the one axis is
20 the extent to which an activity is focusing on
21 curiosity-driven research and the other axis
22 focusing on the application and product

1 development and that we have, for example, Niels
2 Bohr appear purely curiosity driven, and Edison,
3 the inventor, out here with -- and then he used
4 Louis Pasteur as the kind of prototype of the
5 person who not only contributed in both dimensions
6 but built synergy by grounding and informing what
7 they did in the theoretical and the applied side.

8 So, many people have alluded to the fact
9 that the challenges and opportunities we have
10 before us in this areas need to be placed in a
11 much broader context. They must be placed in the
12 context with a value proposition. They need to be
13 viewed as emergent systems, not deterministic
14 work. They need to engage the social, technical,
15 economic, legal, policy issues together with the
16 technological issues, and so I would claim that,
17 you know, and consistent with what I said and Dave
18 and some others reinforce, is that we need these
19 large-scale broadband but broad goal kinds of
20 pilot projects to drive that, and so a pure,
21 curiosity driven at the front end and the pure
22 tech transfer at the second end -- although that

1 could be part of the program you're advocating, I
2 think we need these long-term Pasteur
3 Quadrant-type projects as well.

4 MR. SICKER: Very good point. I did
5 want to add one thing, and we keep touching on
6 this issue and I think it's important. I think Ty
7 and some others mentioned it as well, which is
8 we're talking about research recommendations for
9 broadband, and that needs to be kind of unpacked.
10 What does that even mean? Because it's easy to
11 say networking. It's easy to say HCI and these
12 different areas. Where does that -- you know,
13 where do we get the most bang for the buck? What
14 are we trying to do in this -- with these research
15 recommendations? And I agree with what I think
16 most people are saying, that it's more than just
17 the specific technology, and we need to think more
18 broadly, and we also need to think also about
19 computing in general as part of that.

20 I think Erik actually had his hand up.

21 MR. ZNATI: If you have --

22 MR. GARR: I have some more questions,

1 so if you want to stay on this topic, that's fine.

2 MR. ZNATI: Actually, I just want to
3 mention that I agree in essence with what Stagg
4 was saying in terms of enabling a longer-term
5 research with sustainability in terms of funding,
6 in terms of pursuing these ideas. And to a
7 certain extent I think the FIND that David Clark
8 has actually a lot to do with in terms of
9 structuring it and organizing it has -- is pursuing
10 that. But I think I'd be leery in terms of
11 putting a time on when research is going to be
12 done. I mean, that's really something that will
13 be difficult to achieve. If you look at -- just
14 look at what we did in the local access networks,
15 and this research starts back in the '80s, and
16 we're still trying to find out how best to share,
17 you know, media among, you know, different users
18 and so on so forth. And keep changing from
19 avoiding interference to actually dealing with it
20 and basically harnessing what interference can
21 allow you to do and so on so forth. And that
22 thinking kind of starts up at the individual level

1 and then emerges into bigger and better, you know,
2 kind of research agenda. That's what we should
3 do. We should basically think about phases of
4 research as opposed to just some sort of a rigid
5 structure to how we do research.

6 And the other point is really -- the
7 issue is not about what research should be doing
8 but what -- how can we enable collaboration that
9 used to be a strong one back in the, I would say,
10 '70s and even late '80s that is really diminishing
11 right now, and that's the collaboration between
12 researchers in industry and research in academia,
13 and find modalities whereby we can -- we enable
14 that collaboration in a very easy way, which is
15 really not happening right now.

16 MR. SICKER: I hope we can bring that
17 point back up this afternoon on part of the
18 Industry panel. We have Victor and some others
19 here who I think would have some points.

20 MR. NEWMAN: Why is that not occurring
21 now?

22 MR. ZNATI: Well, because the industry

1 -- they're not really investing a lot in basic
2 research. The majority of industrial people are
3 not doing that. And, further more is some of the
4 federal agencies -- they don't fund industry in
5 most of the projects actually they support, and
6 that should be looked at.

7 MR. SICKER: David.

8 MR. CLARK: I wanted very briefly to
9 come back to the question about spectrum use. And
10 I'm not a wireless guy, so I would instantly defer
11 to you, but I was going to pass on a comment from
12 a couple of my colleagues that do work in
13 wireless, which is that building a flexible piece
14 of experimental apparatus in a given piece of
15 spectrum is actually a big project, and, you know,
16 you can say well, we have softer radio and I'll
17 just mess around with the head end and so forth,
18 but there actually aren't that many experimental
19 platforms out there, and to move into a new piece
20 of spectrum with a new characteristic involves a
21 project that I think, again, is an excellent
22 example of collaboration here, because a number of

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1 people could share a radio if it were done. But
2 there's an awful lot of papers today that are
3 being published using some twisted version -- and
4 I don't mean that in a hostile sense but some
5 twisted version of a WiFi radio. Why aren't they
6 doing it there? And the answer is because that's
7 the experiment apparatus they could afford. And
8 if you have spectrum, this is a beautiful example
9 of the place where collaboration to develop the
10 other part of the infrastructure, which is the
11 experimental radio -- you know, power aware or
12 whatever you want to do, power control -- and
13 there has to be some debate within the wireless
14 community. What should the features of that
15 experimental radio be? But that kind of
16 collaboration is probably what it takes to make a
17 piece of spectrum with experimental -- a piece of
18 -- a healthy experimental platform.

19 MR. BOSTIAN: I couldn't agree more.
20 And the development that is going on like that is
21 military, and the platforms are not available to
22 the civilian research community. I wish there was

1 a way to develop those and had something like
2 GENI-enabled in the radios.

3 MR. SICKER: I'd like to follow on, but
4 Charles and I have a proposal in front of somebody
5 here who probably will put us in conflict, so I'll
6 just --

7 Erik?

8 MR. GARR: So, it's a great point, and
9 I'd like to tell a quick story and then ask kind
10 of a high-level question related and then a very
11 specific question.

12 I've had a -- I'm not a researcher. I
13 had the great pleasure of working with Alan Kay on
14 some projects once in a while, who told me great
15 stories about the early days of Xerox PARC and how
16 the researchers would talk to the "suits." Alan
17 very quickly called me a "suit." So, I say that,
18 because I think that's kind of what's going on
19 here. It's really great to talk about how do we
20 collaborate between the great research that you
21 all do and the interests of the American
22 Corporations. We've kind of got a language

1 problem that often shows up.

2 Alan's view -- and I -- you know --
3 Alan, if you're listening, I apologize in advance
4 -- was we just kind of did our thing. We didn't
5 really -- you know, we didn't really worry too
6 much about what the folks from corporate said. We
7 did our thing, and history has shown that, you
8 know, the choices that they made were probably
9 pretty good choices and they did some really
10 important work. So, the kind of high-level
11 question is how do we form this relationship or
12 re-form it or reconstitute it in a way that we
13 really get private industry in the right way and
14 government in the right way and the research in
15 the community in the right way to form around
16 these topics? Because I think we fundamentally
17 have a scale problem. Most of the things I'm
18 hearing from all of you is that, you know, a
19 little project here and a little project there is
20 good, clean fun but it's not really going to move
21 the ball forward, and the type of infrastructure
22 challenges we face demand really big things, which

1 means we have to figure out how to form capital in
2 a very big way around these things, and that's
3 going to cost government and industry. So, that's
4 kind of the first kind of high-level question.

5 The second, more specific question is as
6 we make the case to the suits, again of which I'll
7 ascribe myself to be one, one thing that really I
8 think would make a powerful case is something that
9 I've heard from some of you and that I read
10 anecdotally as I try to follow this issue is where
11 are we competitively with other countries at a
12 detailed level? So, are we really spending more
13 or less? Is someone else spending more
14 effectively, etc.? I think the more that we can
15 understand that in some specifics -- that helps us
16 make the case that, hey, this is really important
17 and as a country we need to get organized around
18 that.

19 Up for grabs.

20 MR. CLARK: The question about industry
21 funding and industry cooperation I think is a
22 tricky one. Industry today feels very, very

1 pressed to use money in ways that's responsive to
2 Wall Street pressures and so forth, and they're
3 just not going to go out on a limb. You know, the
4 margins that were driven out of the telephone
5 industry basically destroyed Bell Labs. I'm now
6 watching BT severely downsize their lab in
7 England. Even though they are a much more
8 dominant carrier, the margins are still chasing
9 them out of the business.

10 It's clear if you look at some of the
11 countries like the Asian countries that are really
12 pushing here, they are using federal funds much
13 further down the R&D path, and as I said these
14 countries have a very indifferent industrial
15 policy than we do here in the United States, which
16 is that the use of money for -- the use of
17 government money for the D part of R&D pays off
18 for them in terms of competitiveness and, as I
19 said, a return on investment through taxation.
20 It's really hard to figure out where are
21 competitive, and I think that, for example, some
22 of these OECD numbers -- we should identify where

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1 we're weak, but I don't think we should flagellate
2 ourselves over these OECD numbers, because, you
3 know, they have weirdness in them. And I don't
4 mean to criticize the OECD. I know those people.
5 They're good people. But I like to point out we
6 have -- the latest Pew survey we have 63 percent
7 of the households on broadband, and we have about
8 107 percent on dial-up. That gets you to 70
9 percent. Twenty-two percent of the people that
10 they query say they don't use the internet. You
11 know, it's passing the House. We don't have a
12 deployment problem; we have an update problem.
13 And, you know, when Ty talks about usability and
14 demonstrating value, that's why I was saying
15 define this problem broadly. Broadly. You may --
16 you when we finish the plan to push broadband into
17 the parts of America that are not properly served
18 today, I think that 63 percent may tick up by a
19 couple of percent, and I think that's a good
20 social buy. I don't think there's anything wrong
21 with that at all. But that's not nearly as big a
22 payoff as understanding why do these 22 percent

1 think the internet is not worth taking. "Couldn't
2 make me" -- they get answers like that. You know,
3 "couldn't --" what's going on?

4 And you might ask well, what percentage
5 of homes in the United States and what percentage
6 of homes in, say, Korea or Singapore have PCs? I
7 don't think the OEC gives you that answer gives
8 you that answer right off the bat. Maybe the do.

9 So, I think we have to ask these
10 questions very craftily. But when it comes to
11 certain areas like wireless -- and I've talked to
12 people from some of the Asian countries -- again,
13 they may be posturing; it's hard to get the data
14 -- but they say yeah, we see the United States
15 faltering and it's a good time to trample it from
16 behind and take the lead, and generally once we
17 take the lead they never get it back, and they
18 point to semiconductor memory and things like
19 that. So, that's a lot of data gathering to
20 really understanding what's going on. And the
21 cross- cuts are really tricky, because, you know,
22 you go to Europe, a lot of the money coming out of

1 the European Commission is part of the seventh
2 framework, the frameworks and so forth. It looks
3 like a lot of money compared to us. The part of
4 the European Commission that deals with
5 telecommunications has about a billion euros a
6 year, but of course that's not all research; some
7 of that's the equivalent of the European-level
8 FCC. I mean -- but, that's all academic industry
9 partnership money, and my colleagues who take it
10 say, yeah, there's really great, large quantities
11 of money. But industry, because of their
12 involvement is always pulling the horizon, and we
13 can't do long-range research. We're doing the
14 short-range stuff, and they hate that even though
15 they like the money, so, you know, let's recognize
16 our strengths, too. We have a very powerful
17 vehicle in the relative independence of the
18 National Science Foundation to go fund things that
19 doesn't have to make sense to industry on day one.

20 MR. DOSHI: Let me just build on that a
21 second. So, I agree that we -- that different
22 countries have different ways of doing things, and

1 many have this -- more of a centralized policy in
2 management. I've worked extensively some years
3 ago with the digital library community within the
4 European Union, for example, and there I think
5 they were initially stymied and actually doing
6 what academics would think of as true basic
7 research because of the economic interest of the
8 publishing communities, and so there were real
9 constraints on the horizons or the boldness that
10 could be pursued under those programs.

11 I work extensively with eScience
12 community in the UK, and there at first blush you
13 could say that they're getting more bang for their
14 buck in their investments in cyber infrastructure
15 within higher ed, because they have this thing
16 called Jisk that kind of defines kind of a common
17 infrastructure and has a lot of financial clout
18 that can lead to more coherence and
19 interoperability and economies of scale and
20 digital libraries and eScience infrastructure
21 within higher ed, and so in some ways they're
22 further along, but when I talk to these people

1 over some beer in the pubs they all are envious of
2 what they think is a better balance between
3 autonomy and policy that we enjoy over here in the
4 NSF kind of a culture. I would be very reluctant
5 to try to tweak that too boldly.

6 MR. GARR: Yeah, no, I -- I mean, I'm
7 glad to hear that, because I think there's a --
8 you can be seduced by why don't we just get away
9 together and we'll figure it all out and throw a
10 bunch of money at it and it'll work, and I think
11 there's certain -- there clearly is value, and
12 this is what Alan taught me when I worked with
13 him. You know, the value he ascribed in the early
14 days of PARC was that they were left alone and
15 they could really -- you know, great minds
16 thinking about problems and do their work. So,
17 there's a tension here that I think we need to
18 figure out.

19 MR. ATKINS: There's actually an NSF
20 report funded a couple years ago on the history of
21 infrastructure with the notion of learning lessons
22 vis-à-vis broadband and cyber infrastructure, and

1 one of the things this points out is that if you
2 look historically at the evolution of any kind of
3 infrastructure, it's a very long-term Darwinian
4 organic process. It's not one where someone sits
5 down and prescribes it and it gets built. You
6 nurture infrastructure, and it's very complex
7 competition and cooperation. So, we have to be
8 careful of not being unrealistic that we can sit
9 down and deterministically determine what would
10 happen and exactly how it should happen and so
11 forth. I can give you a pointer to that report.

12 MR. GARR: I agree.

13 MR. SICKER: We circle around on this
14 issue of infrastructure and education and
15 broadband and I -- this is a key point and we've
16 talked to NSF about this recently, which is we
17 really need to think about what broadband means
18 for academia, and it's an order or better
19 magnitude, you know, bigger pipe we're talking
20 about. It's not enough (inaudible) to have a
21 10-megabit-per-second connection; they need a
22 hundred. They need a gigea, and that's going to

1 be a part, I think, of this path going forward,
2 that we really actually need to think broadband
3 deployment for academia and to get to those data
4 rates that are needed to ensure that we can do
5 what we need to do -- the scientists need to do.

6 MR. ATKINS: And from lab to lab and
7 home to home, and full end to end.

8 MR. SICKER: Right. Other question on
9 that end?

10 MR. ZNATI: Let me add a data point
11 here. There is also an important report that was
12 -- it's called The Size and Engineering
13 Indicators. It was published by the National
14 Science Board. Actually it provides a broad base.
15 Have you seen that one?

16 MR. GARR: Yes. It's really big.

17 MR. ZNATI: Well, actually -- yeah,
18 that's -- the report itself provides this broad
19 base of quantitative information of the U.S. and
20 also international -- of an international science
21 and engineering enterprise. But the chapter 4
22 specifically provides a comparative analysis in

1 terms of investment of the U.S. in comparison to
2 other countries like China, Korea, and the G7 in
3 general, and I think that report points out that
4 we're falling behind in terms of investing in
5 basic research, and that raises the question that
6 the issue has to be addressed if you are to remain
7 competitive, and then I think if you focus just on
8 chapter 4, there's a bunch of bullets there that
9 provide different types of comparisons and
10 different types of sectors and research foci.

11 MR. SICKER: Thanks, Ty.

12 MR. ZNATI: You're welcome.

13 MR. SICKER: And so, Rashmi, do you have
14 more questions on your end?

15 MR. DOSHI: Yeah, let me go back to some
16 of the points that Dave and maybe Charles made,
17 again going back to the issue -- I'm a little bit
18 unsure as to what are some of the problems that
19 are faced in academia to try and -- what should
20 FCC do? There was a proposal under GENI that
21 perhaps we should somehow require the creation of
22 an overlay or at least set aside in terms of

1 spectrum or perhaps -- I'm still looking to see
2 what are some of the actionable things that
3 perhaps FCC or the Commission, in terms of the
4 planning, should do in the broadband plan with
5 respect to easing or making aware of it. On one
6 hand we have the issue of, say, we put aside a
7 spectrum we thought was potentially experimental,
8 but the difficulty that the people needed to build
9 infrastructure that would compliment that was
10 perhaps holding back doing that. What are -- how
11 do we do things going forward, and what are the
12 actions of some of the concrete things beyond just
13 throwing money kind of to NSF and say here, do it?
14 Are there some ideas that could be explored?

15 MR. SICKER: And, again, and beyond even
16 just the issue of what areas, David had talked a
17 good bit about the process, and that's the thing
18 that in the last eight of my life as an academic
19 that I found was difficult. By the time you get
20 to the third year of funding, you got some really
21 great stuff going on, really great ideas -- that's
22 when it really happens, and then all of a sudden

1 the funding's gone, you know, so.

2 David.

3 MR. CLARK: Actionable for the FCC -- I
4 mean, that's what --

5 MR. DOSHI: Well, again, within the
6 broadband plan.

7 MR. CLARK: No, I understand, I
8 understand. I mean, the FCC itself does not have
9 as part of its charter any kind of grant making.

10 MR. DOSHI: Should that be part of the
11 proposal?

12 MR. CLARK: Well, that would be radical.
13 As I've said, I've argued for diversity of
14 grant-making mechanisms, and I have to say that
15 various studies that the CSTB has done around IT
16 -- we repeatedly struggled with the fact that
17 within the IT space, there is no department of IT.
18 We have a Department of Energy. We have -- you
19 know, we have a national -- we have Health, we
20 have Energy, but nobody that we ever can lean on,
21 a CSTB committee had the courage to say the
22 government should start a new agency. That's sort

1 of a high-overhead, high-risk activity to get
2 something that takes several years just to figure
3 out what it's doing, like the, you know, DHS, and
4 --

5 MR. GARR: Yikes.

6 MR. CLARK: If the FCC wanted to be in
7 the grant- making business, the question is would
8 Congress go along with that. I see no problem
9 with saying well, that's an interesting source of
10 diversity, you know. You can call for
11 collaboration. Does the FCC participate in the
12 NITRD convenings?

13 MR. DOSHI: Not directly. At least not
14 -- I mean, we occasionally participate in some
15 NSF-specific grand version program evaluations,
16 but nothing more formal or substantive as far as I
17 know. And again I'm looking to see are there
18 things that are new, something that allows us to
19 bring forth or at least break some of the barriers
20 that we're talking about here.

21 MR. CLARK: I mean, I have to say
22 setting aside spectrum for experimentation is just

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1 a really, really, really important thing to do --

2 MR. DOSHI: And, you know, having done
3 that, now the question is how do we allow people
4 to participate in it to do that? Should we do
5 different type of --

6 MR. SICKER: It could be that the FCC
7 reaches out to NSF and says hey, you know, we're
8 making this available; we need to coordinate our
9 efforts. We're making these airwaves available;
10 now can funding be provided or something like
11 that. I mean, that's a recommendation that I
12 could -- I can see NSF -- I mean FCC making.

13 MR. CLARK: Has anybody ever had a
14 workshop around the question of how to use that
15 spectrum?

16 MR. DOSHI: Again, I guess this is going
17 back to the presidential task force that was set.
18 There was a plan that the NTIA would put aside a
19 certain portion of the spectrum and the FCC put
20 forth another -- now, as you argued, I think that
21 one of the issues became the fact that it's not
22 well, you can get equipment off the shelf that you

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1 can modify correctly because it's in the 400 MHz
2 range; it's much lower in the spectrum than the
3 current unlicensed spectrum, and that may be a
4 hindrance in terms of experimentation. Stagg -- I
5 guess --

6 MR. NEWMAN: I wonder, would it be more
7 helpful to enable you to use what's a commercially
8 available spectrum but in some God-forsaken place.
9 In other words, there's a missile test range out
10 in the middle of Nevada; there are certainly areas
11 in Nevada and Alaska and Montana where there is
12 not much use of the commercial spectrum. Would
13 that -- it wouldn't be a nice place necessarily
14 for researchers to go, or maybe it might be nice
15 but expensive. Would that -- you know, is that
16 the type of thing that would work if you had a
17 geographic area where there's not much commercial
18 activity?

19 MR. SICKER: But that also -- yeah, I
20 mean, that also goes to the point of is that as
21 interesting as an area where there is a lot of
22 congestion but totally for --

1 MR. NEWMAN: It should be easy to
2 simulate interference, right, and so it's --

3 MR. SICKER: So, it's funny. It's just
4 funny when you gear.

5 MR. BOSTIAN: Yeah, I think that making
6 spectrum available would be very useful. Having
7 workshops, encouraging people to do it would be
8 more so.

9 From my perspective, when I write a
10 proposal, what spectrum I'm going to use is almost
11 down in the noise, because I have to sell the
12 innovative ideas for these radio ideas to a
13 network research community that kind of assumes
14 that well, yeah, I can build a radio and I can get
15 spectrum, but that's so far down in the mud it
16 didn't mean I'd get there. And as Doug said, it's
17 at the end of the three- or four-year process.

18 I think the FCC has done exactly the
19 right thing in making the spectrum available, but
20 there's no -- nothing in the rest of the
21 government to motivate us to do that. I'm not
22 sure how you do it. Maybe have a contest.

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1 Somebody mentioned DARPA.

2 A really neat thing that the Irish
3 counterpart to the FCC did back in 2007 was to
4 make some very nice spectrum available for the
5 IEEE Dynamic Spectrum Access conference, DySPAN,
6 and allow people to bring their DA systems there
7 and compete in that spectrum. You did a little
8 bit of that in Chicago but you put us looking up
9 at the most powerful TV transmitter in Chicago, so
10 it was a little bit more challenging. But I think
11 perhaps there are some things you can do to
12 motivate researchers to think about using that
13 frequency.

14 MR. ATKINS: Let me just add to this,
15 wearing a hat as a former dean and a former NSF
16 official. First, offering resources to faculty
17 members like spectrum or access to laboratory
18 equipment or something without the requisite
19 support for release time and graduate student
20 support and so forth, you know, it usually gets
21 second-rate attention. It's just not practical
22 for them to pursue it.

1 Secondly, Vint used the term
2 "advertising." I would use the term "community
3 building." In other words, a lot of -- even at
4 the NSF, if you offer something, it usually --
5 well, even specifying what to offer and the terms
6 and conditions for offering it usually involve
7 some community involvement, workshop, and so forth
8 to articulate it and then you build a community
9 around the opportunity, the idea, and build
10 brokering channels for people to work together.
11 So, that needs to be done proactively.

12 And then, thirdly, there is quite a bit
13 of history of NSF and other federal agencies
14 working together where if you could bring money to
15 the table, NSF could work with the processes for
16 allocating and reviewing and so forth, and that's
17 fairly commonplace, and so you wouldn't have to
18 establish your whole department of research,
19 review, and infrastructure. You might want to
20 just try that out in some pilot way if you could
21 pull it off.

22 MR. ZNATI: Of course I need the money

1 before --

2 MR. ATKINS: Yeah, I understand -- I
3 mean, one of the outcomes of this process would be
4 creating at least any -- you know, again, it could
5 be a limited term kind of experiment.

6 MR. ZNATI: Actually I want to add a
7 point from a research perspective here. I think
8 in the -- when I talk to the community I try to
9 see what their needs are and so on so forth in
10 term of do their research, and we get to
11 discussing what FCC enables, and many minds of the
12 research, which is -- I'm not agreeing that that's
13 a right state of mind -- they think of FCC as a
14 constraining body whereby the regulations are
15 rules constraining what they can do. So, they
16 take that. This is basically where we can play,
17 and now let's try to innovate it in that space.
18 But when I listen to a good friend of mine, John
19 Peha, talk about that's not really the perception
20 that the FCC wants to convey to the researcher,
21 but that the idea is really actually to try to
22 innovate and that FCC will help you articulate the

1 policies and mechanisms that will allow this
2 technology to actually foster and be promoted so
3 on, so forth.

4 I think along with -- promoted the use
5 of spectrum, I think it's also a good idea to talk
6 to the researcher and then tell them kind of
7 change that their perception a little bit and then
8 allow them to think FCC rules and regulations as a
9 framework but not necessarily constraining one.

10 MR. GARR: That's a really good point,
11 and, you know, there's been a lot of discussion in
12 the public over the last couple months about
13 spectrum, and I think that the chairman's been
14 pretty clear that, you know, this is a critical
15 national asset that is managed by this body and
16 that we need to be better at how we do it. And I
17 think it's really -- one of the other reasons we
18 want to have this workshop, and we expected we'd
19 hear some of these things from you, is lots of
20 people around the country need spectrum, and we
21 can't forget places like the research community,
22 because we have lots of other people coming in

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1 here asking for spectrum who want it for corporate
2 purposes, which we need to do as well. And part
3 of the issue with the research community may just
4 be us being more active and more flexible in
5 saying that look, for a research interest we
6 should be willing to, you know, be more flexible
7 on rules and be more flexible on how we manage the
8 spectrum. My only comment is we sort of get that
9 we need to do better on that and that's our
10 intent.

11 Doug, I can make up a question if you
12 want, but --

13 MR. SICKER: Hold on, we have questions.

14 MR. GARR: Yeah, I figured.

15 MR. SICKER: I just want to make sure we
16 have enough time here for the panel, then turn it
17 to the audience.

18 MR. GARR: Sure.

19 MR. ELLIOT: Let me, if I can, briefly
20 advocate a nationwide spectrum as opposed to or in
21 addition to something in a remote area, as in my
22 experience people often make devices in their lab

1 and would like to take it outdoors and would like
2 to send five or six to some friends and so forth,
3 and that is a very low barrier to entry if there
4 is legal spectrum wherever those labs happen to be
5 or wherever the outdoor experiments they wish to
6 run. Now, you know, also having kind of unusual
7 or interesting spectrum in a remote place is good,
8 but in my experience having some reasonable
9 nationwide research spectrum would be very
10 helpful.

11 MR. SICKER: So, would it be possible
12 that it might not be nationwide research spectrum
13 but streamlining of experimental licenses -- I
14 mean, turn this to Rashmi or --

15 MR. DOSHI: I mean, obviously
16 everything's on the table in terms of
17 understanding -- first to understand what the
18 constraints are and what are the needs to try and
19 then see. In fact, the rules actually allow a lot
20 more flexibility, so it seems partly just to kind
21 of educate what flexibilities current rules allow.
22 I am not sure if that kind of outreach has been

1 done through the FCC or even through some other
2 opportunity. So, that's probably an immediate
3 thing you could do -- just education and say the
4 current rules are a framework and there are things
5 you could do within the framework. And the next
6 would be, then, finding opportunities where you
7 really need to do some experimentation and review
8 what things can be done.

9 MR. SICKER: I mean, this was a very
10 good point. I mean, Jon and I were at a meeting
11 with a number of the folks that were on this panel
12 here six, seven months ago, and a lot of the
13 researchers weren't even aware that there were
14 experimental licenses, so, I mean, just being
15 aware of these things could help a lot.

16 MR. NEWMAN: Yeah, let me suggest maybe
17 if you all could get back to us with concrete
18 suggestions in four dimensions. My thesis was
19 actually on infinite dimensions, but I'm only able
20 to come up with four today. One is geography,
21 okay; second would be the time -- in other words,
22 I don't know, you know, maybe, you know the

1 commercial carriers probably wouldn't care if you
2 were doing research between 2 a.m. and 4 a.m. --
3 that wouldn't affect their network load. There's
4 a hypothesis. The frequency domain; and then the
5 other is the interference- level domain, you know.

6 MR. CLARK: Can I just very quickly
7 point out this question of nationwide spectrum or
8 something like that? Remember what I was saying
9 earlier that you really need a consortium of
10 people from different institutions to get together
11 to build a sufficiently flexible, powerful,
12 cost-reduced piece of experimental apparatus that
13 you can have more than two of them. And if
14 everybody has his own different chunk of spectrum,
15 or maybe if it's within a very narrow band you can
16 move the head in around. But fundamental, I'd
17 like to be able to make a radio and share it with
18 somebody at Berkley, and that's why you like
19 consistency in the spectrum allocation so you can
20 share the apparatus.

21 MR. SICKER: So, I'd like to kind of
22 change direction a little bit with a question, and

1 there are some other folks in the research
2 community who would I think jump all over this
3 issue, and I wonder if there's a rule for the FCC,
4 particularly as it relates to data about the
5 internet and the difficulty that a lot of
6 researchers have in getting information on how the
7 network operates, how peering is done, how routing
8 is done, stability of the network, instability
9 issues. There's a lot of folks who have made a
10 career of this, and they all point out how -- just
11 how difficult it is.

12 I know when I was here back in the '90s,
13 Stagg and I worked on getting the carriers to
14 report outages on the internet side. They were
15 used to reporting outages on the telco side, and
16 that was an uphill battle, and we actually ended
17 up doing it through part of the Department of
18 Defense to get that scrubbed so that we could put
19 together some information on it. I think it's a
20 little more accessible now, but I'm wondering in
21 that space is there something, in terms of
22 understanding how the network operates, whether

1 that's routing or higher up, that could be useful,
2 and I'd like to hear particularly Chip and David,
3 but I open it to everyone of course.

4 MR. CLARK: Yeah, so I could try to
5 channel, say --

6 MR. SICKER: Casey.

7 MR. CLARK: Casey. Yeah, Casey
8 (inaudible). You bring up a -- it's very specific
9 issue, but I think it's a very important one.
10 Most people in the academic community -- I could
11 just say yes, I mean, to your comment. I mean,
12 yeah. Most people in the academic community
13 actually may not really have a good idea about
14 what's going inside the internet. They have
15 little cartoon-like versions of the story. We
16 certainly don't know all of the diversity about
17 things like peering and what kind of routing
18 constraints are imposed, and it's much more
19 complicated in a richer space than you think or
20 that many academics think. So, when people work
21 on routing protocols and so forth, there's a
22 question of whether they're actually solving the

1 right problem. The problem is the range of
2 information you need to gather is rather
3 complicated. I think that if academic research
4 was seen as being more -- having higher potential
5 to be relevant to what the operators are doing,
6 the operators would be more willing to partner
7 with academics in order to help reveal the
8 information, to help them do the right work. So,
9 I don't know that the right approach is to have
10 this vast public repository that everybody dumps
11 information into, which is a very hard thing to
12 negotiate, because a lot of this stuff is viewed
13 as sensitive, and -- or whether the right approach
14 is to allow academics to be able to play inside
15 networks for a while. Maybe we should make every
16 academic go do a summer sabbatical in a NOC and
17 learn how it's really done, and they'd probably
18 come back different people.

19 MR. ELLIOT: I think it's a
20 fantastically good idea. You know, my impression
21 is that people have a very poor understanding of
22 how today's networks work because of the total

1 lack of transparency. Even the operators don't
2 really get the whole picture of what's going on,
3 let alone academic researchers, and I think any
4 steps in that direction will have a very high
5 payoff because that lack of understanding is --
6 you know, this is a very important system and not
7 to understand it is really dreadful.

8 MR. CLARK: I've had several occasions
9 when I have discovered that a network operator
10 didn't quite know how the network was working.

11 MR. ATKINS: Likewise. I've experienced
12 that, too.

13 MR. SICKER: So, I'd like to open it up
14 to the audience. We have nine minutes left.

15 Mike.

16 MR. NELSON: I'm Mike Nelson with the
17 Communications Culture and Technology Program at
18 Georgetown, and I'm very glad that David started
19 his comments by saying we really needed to have a
20 broad look at what is involved in network
21 research, and yet I note that when you look across
22 the panel here we have a lot of network engineers

1 and we don't have a lot of people looking at some
2 of the issues that you flagged as being really
3 important, like why don't people trust the
4 internet and why are some of our policies actually
5 getting in the way of more rapid investment. So,
6 I hope in your chapter you will look at some of
7 these issues. I can give my four or five obvious
8 questions where we need to have some more
9 research. One of them is one you touched on,
10 which was why can't we get engineers in different
11 companies to work with academia? Well one answer
12 is intellectual property laws that require two
13 lawyers to be in the room any time two engineers
14 talk to each other.

15 Another issue that's related to network
16 development is copyright, and we have some
17 copyright policies that are making it harder for
18 the remix culture to take off and drive demand for
19 more networking capacity. We have policy
20 questions, like what happened when the FCC decided
21 that voice over IP companies have to provide 911
22 service. Well, that's an economics question;

1 that's not a network engineering question, but it
2 had a huge impact on that sector. So, maybe
3 you're going to have another panel. Maybe you're
4 going to get people who are more expert than the
5 people part of the puzzle, but I hope in this
6 discussion and the follow-up will have a chance to
7 delve into that and particularly perhaps the most
8 difficult question -- figure out how we can get
9 people who have the understanding of the network
10 like you do who also understand some of the social
11 constructs and the psychology and the sociology
12 and the policy and the economics that is actually
13 standing in the way of the technologies that
14 you're developing.

15 MR. SICKER: So, I can tell you we had a
16 list of probably 40 potential participants, and we
17 couldn't invite them all. That was the one issue.
18 The other thing I'd say is I'll turn to you, Mike,
19 and say submit us comments. There's going to be a
20 public notice and I would love to have input on
21 these issues. I think they're critical, and they
22 have been brought up here and we do have some

1 people who focus on that in their research. Dan,
2 for example.

3 MR. ATKINS: Yeah, I wanted to say -- I
4 tried to say some of that in my remarks. If I
5 failed, I apologize, and I'm not a network
6 engineer, so if that makes you feel better. And
7 of course the School of Information, which you
8 know a little bit about, was founded exactly on
9 the premise that you've just asserted. So, I'll
10 do my best to do better to get this into the
11 report.

12 MR. NELSON: I don't know if you are
13 multidisciplinary and multicultural, but there
14 still is definitely a bias here towards the lower
15 part of the stack.

16 MR. SICKER: I'll take you're your word
17 for that.

18 MR. NELSON: Well, again, I'm happy that
19 the record is --

20 MR. ATKINS: No, seriously, I was quite
21 encouraged. There are people here who are well
22 known at the lower end of the stack who are

1 agreeing with you I think.

2 MR. NELSON: I'm very encouraged by
3 that. I just think at the end of the day we need
4 to make very sure that we understand that
5 technology races ahead, people struggle to catch
6 up, and policy is somewhere back here. And if we
7 have the world's best technology and we don't have
8 any understanding of how the policy and the
9 psychology and the economics affect the
10 deployment, we haven't done our job.

11 MR. ZNATI: Actually --

12 MR. NELSON: I will write that part of
13 the report.

14 MR. ZNATI: I really want to emphasize
15 how right you are in your thinking in what you're
16 proposing right now and tell you what NSF is
17 trying to do. I think that that's really what I
18 refer to in my brief comment about socio-
19 technical systems where you don't look at the
20 technology in isolations anymore, and you probably
21 heard by now that this FIND initiative -- the
22 Future Internet Design -- and the program was

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1 structured to have multi-phases before --
2 actually, we tried to think about experimenting
3 with one architecture that will embed not only the
4 technical -- to address not only the technical
5 challenges but also address the economical issues,
6 the policy, and so on so forth. And we are -- we
7 have held a summit recently about how many --
8 about a month ago, David, or so?

9 MR. CLARK: Yeah.

10 MR. ZNATI: About a month ago, and the
11 summit was really open, and we sent an open
12 invitation to everyone, not only to the people who
13 are really focused on the technical aspect of the
14 network, to come together and be able to talk to
15 each other. So, we are looking for lawyers to be
16 there, and we had at least one, if I remember,
17 lawyer that was there. We were looking for people
18 from -- economists, so on so forth, to come
19 together, and in many cases not necessarily forget
20 about what they have done in the past but be
21 open-minded in the sense that they -- how, what
22 type of architecture has to be in place in order

1 for us to build the type of system that you were
2 just talking about, whereby policy is not going to
3 be lagging behind technology or technology is
4 going to be impediment to be able to enable the
5 type of economic and social issues and benefits
6 that we're looking forward to. And fortunately,
7 most of -- the majority of the audience was
8 networking and technology people. We had few from
9 -- economists and policymakers and so on to
10 attend, and the DC -- Dear Colleague -- letter
11 will be released shortly by NSF, and the DC --
12 Dear Colleague -- letter will ask -- will actually
13 encourage people from -- that go beyond, you know,
14 designing the technology to actually participate
15 and team up with other researchers in order for
16 this architecture to be built on sound ground.
17 So, just keep that in --

18 MR. NELSON: And my last comment is I
19 was here at the FCC about 15 years ago with Stagg
20 and others, and it was almost that long ago, Stagg
21 -- and at the time it seemed that the
22 technologists like me and Stagg were outnumbered

1 10 to 1 by the economists, and the economists were
2 outnumbered 10 to 1 by the lawyers, and so it
3 seems that FCC strength would be in determining
4 what kind of legal policy and economic research is
5 needed rather than what kind of fundamental --

6 MR. CLARK: FCC staffers should apply
7 for NSF grants.

8 MR. NELSON: That works. Let me add
9 that in addition to the research program
10 recommendations that Doug's leading, we also have
11 an effort on future architectures and policy
12 issues related to those architectures that Dave
13 Eisenberg is leading, and so we'd welcome input
14 there. We think the adoption effort, which is
15 clearly a major problem -- we have a solution, but
16 then the policy to keep up with that we don't know
17 the -- the solution to the adoption effort is
18 we're going to require a teenager in every home.

19 MR. SICKER: Are there more questions
20 from the audience?

21 MR. GARR: Just underline one thing on
22 adoption. We have commissioned the first, we

1 think, field survey of nonadopters, so when most
2 market research has been done on use of internet,
3 you know, all the Pew work, you know, they ask a
4 hundred questions, 80 are targeted towards all of
5 us that use it and there's a few questions for
6 folks who aren't. We're actually doing an entire
7 survey only on the people not using it. So,
8 that's one thing that we need to do, and recognize
9 that those are very -- there's a lot of tricky
10 questions with the rest of the population that
11 isn't using it. It's not simple, and we need to
12 treat it seriously. I think that's the -- you
13 know, we agree the royal suggestion here, and the
14 data's actually coming back.

15 Ellen, sitting over here, could probably
16 tell us more about it, but we won't put her on the
17 spot yet.

18 MS. SATTERWHITE: Wednesday afternoon.

19 MR. GARR: Wednesday afternoon, all
20 right. Still on her computer, so.

21 MR. ATKINS: (Inaudible)

22 MR. GARR: No, you know, Dan, it's

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1 funny, it's exactly right. We're actually also
2 doing field focus groups, because the -- there are
3 serious methodological problems in trying to do
4 this work, and we're absolutely giving our best
5 effort, and that joke's been floating the FCC a
6 little bit, and I appreciate you bringing it up.

7 MR. SICKER: So, rather than going
8 around, if there are closing comments by anyone,
9 great, and if not it's time for us to have our
10 break, okay? So we will be coming back at 1
11 o'clock.

12 MR. DOSHI: Can I just mention --

13 MR. SICKER: Oh, please.

14 MR. DOSHI: -- a couple of times today
15 there's a public notice coming out on -- actually,
16 it's out. It was out on the 18th.

17 MR. SICKER: Okay, great.

18 MS. BAKER: All right, so as Victor
19 takes his seat, I think we're going to get
20 started. We're not quite sure what the protocol
21 is, so I'm going to start.

22 I have a couple of opening thoughts that

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1 I kind of want to go over just to kind of color
2 what we're going to talk about this afternoon.
3 First of all, thank you guys so much for being
4 here. I just -- I'm so deeply grateful to you.
5 You're all such well-regarded participants, and
6 really you're responsible in large part for the
7 creation of the networking technologies that we
8 now enjoy and rely upon, so thank you all for what
9 you've done and what you're going to be doing, and
10 we're going to have a really interesting
11 discussion this afternoon.

12 We often talk about investment and
13 innovation, and really as a practical matter, what
14 we're talking about is your work and your efforts,
15 so whether it's from PCS to LTE or DSL to DOCSIS
16 3.0 I thank you again for what you've brought us
17 and what you're working on. Our mission is really
18 to develop what you've created for the benefit of
19 consumers around the country.

20 So, this workshop is just a really
21 important piece of the puzzle as we move forward
22 with a national broadband plan. The ability of

1 the U.S. consumers to benefit from a world-class
2 broadband echo system in 2020 and beyond is
3 dependent upon a strong research foundation. This
4 afternoon I hope we can all walk away with a
5 better understand of where we are succeeding as a
6 nation and what we can do better to improve R&D in
7 deployment.

8 At its core, what we are asking you to
9 discuss today: What do you need to create a better
10 broadband network for consumers? Are the
11 commercial and investment sectors providing the
12 resources that are necessary to innovate? What is
13 the proper governmental role to foster your
14 research? Is it direct funding, providing
15 incentives to innovate, or just getting out if our
16 policies or approach undermined your efforts to
17 develop new networks, radios, and technologies.
18 Are there particular areas of research that
19 require a greater governmental role? Scary.

20 We also need to understand that research
21 is multi-dimensional, and the next big innovation
22 for broadband may come from someone's garage, or

1 it may come from a major conglomerate. So, how do
2 the many forms of the ongoing broadband research
3 inform this discussion really -- in other words,
4 how do we set up a national policy to make sure
5 seed money and the venture capital gets to the guy
6 in the garage while encouraging and facilitating a
7 more centralized and focused research effectively
8 at Bell Labs 2.0?

9 So, there are no correct answers, and we
10 want your ideas and your thoughts, and we want
11 your -- we want to really give you the opportunity
12 to be bold here.

13 As part of this discussion, we would
14 also like your perspectives on what is happening
15 globally. We have long been a worldwide leader in
16 networking and new technologies, but as you watch
17 the trends in international government and
18 commercial investment, what do we need to do to
19 stay ahead? Are there recommendations that we can
20 make to better position our industry partners to
21 continue to succeed in the global marketplace?

22 And, lastly, we want to explore how we

1 can ensure you remain researchers and not
2 full-time fundraisers. We hope to understand the
3 limitations of the current funding processes, both
4 commercial and government, and to seek input on
5 how changes in these processes may help
6 researchers be better able to succeed in creating
7 the breakthroughs that have led to the current
8 internet.

9 So, we have a lot to discuss, and I look
10 forward to engaging in discussion of these
11 important issues.

12 Should I introduce the -- would you like
13 to introduce?

14 MR. SICKER: I'd be happy to. First I'm
15 going to check to see if --

16 MS. BAKER: May I introduce Doug Sicker.
17 He's the senior advisor with the National
18 Broadband Plan.

19 MR. SICKER: Thank you. So, I want to
20 first check to see if David Farber's on. He might
21 not be on yet. We're going to try to have Dave
22 join us through a dial-in.

1 Going down the line, we have Victor
2 Bahl, who runs a networking research at Microsoft
3 Research; David Borth, who's one of the CTOs at
4 Motorola; Adam Drobot from -- a CTO at Telcordia;
5 Dick Green, who we have mistakenly down here as
6 the current president and CEO of Cablelabs, but
7 it's actually the former. Dick lives in my
8 neighborhood, and I see him regularly, and he's
9 now involved with the university through the
10 Silicon Flatirons Program, which I'm also involved
11 with. Next we have Mark Levine from Core Capital,
12 which is a venture capital group, and
13 unfortunately also not on here is -- Marcus is not
14 on the --

15 MR. NEWMAN: Should have been corrected.

16 MR. SICKER: Okay, and Marcus is joining
17 us from Alcatel, Bel Labs, right?

18 MR. WELDON: Yup.

19 MR. SICKER: Great. So, why don't we
20 just start in the same order as we have done
21 before.

22 Victor, do you want to start things off?

1 MR. BAHL: Sure.

2 MR. SICKER: And as the same format,
3 we'll go about 10 minutes with everybody and then
4 open it up to a discussion.

5 MR. BAHL: That's me.

6 MR. BORTH: Do you have the clicker?

7 MR. BAHL: Thanks (inaudible) down here.

8 MR. BORTH: Oh, okay.

9 MR. BAHL: I got it. Okay, thank you
10 very much for inviting me and for having us over
11 here. I'm quite impressed by the panel here and
12 also the previous panel that I had the privilege
13 of listening to, or at least had half of it I was
14 listening to.

15 So, let me just sort of do a level set
16 here and sort of to say some of the obvious things
17 that people already know about, and the obvious
18 things are, of course, that, you know, this is --
19 the internet penetration is really important, and
20 the amount of applications and the data that is
21 flowing is getting to be very, very -- yeah, we
22 have the right slide, okay. So, there are a lot

1 of applications that you already know about, like
2 social networking, multimedia downloads, gaming,
3 2D conferencing, etc., that you see today, but we
4 sort of have to sort of see well today to see can
5 we come up with things that can make sure that,
6 you know, we have future proof of policies and
7 funding and network, because one of the objectives
8 is to stay ahead.

9 And so I wanted to give you a little bit
10 of preview of some of the stuff that we are
11 working on at Mike's research, and that has to do
12 with, for example, and mostly we do conferencing,
13 with requires multiple cameras (inaudible) here.
14 And if we were to succeed, what would that look
15 like would be essentially that you would be here
16 but not really here, right? Right? People have
17 -- you'll have that sort of experience. 3D
18 telemedicine, natural gesture computing, and
19 collaborative development, remote health
20 monitoring, virtual immersive classrooms,
21 augmented reality -- these are all applications
22 that require more and more data, right, and so

1 more and more data between machines, more and more
2 data machines and humans, which are geographically
3 separated. So, we definitely need a lot more
4 bandwidth than we have today. Today's networks
5 cannot handle any of this stuff that I mentioned
6 here, and this is definitely the way to move
7 forward.

8 Now, in terms of wireless use, many of
9 you already know these numbers have been cited
10 again and again, but it's on the upswing, right?
11 There's lots and lots of people using their
12 Smartphones now. Lots and lots of people
13 accessing their networks or laptop. I was sitting
14 there using WiFi and connected to my corporate
15 network and doing some work as well. And the 3G
16 latencies and the bandwidths that we have today
17 are just not going to cut it again. We already
18 had anecdotal evidence that recently there was
19 this meeting where the iPhone users completely
20 brought down a 3G network and it just became
21 nonusable. And this is today in 2009. Imagine
22 what we need in 2020 going forward.

1 So, may I say a couple things about what
2 is broadband definition? What is on the table
3 these days? What have people have suggested. So,
4 there are many proposals. A few that I know of,
5 you know, for example, are listed above, and you
6 can see that people have done their own analysis
7 and sort of come up with recommendations for the
8 FCC to consider in terms of what makes sense to
9 them. Example -- the IEEE USA. You know, the
10 announcers that (inaudible) suggested that at
11 least 20 megabits per second for 90 percent of the
12 people should be there within five years.

13 Mike also has proposal. The baseline
14 proposal is that about a hundred megabits per
15 second should go to the Anchorage Institutes. The
16 Anchorage Institutes are defined as schools,
17 libraries, hospitals, community places, which is
18 sort of the crux of where, you know, a lot of
19 vulnerability is there. And from these Anchorage
20 Institutes you can actually build out your network
21 more. So, I believe you would like a lot more,
22 but we believe that this is quite durable and is

1 useful. But everybody in the university agrees
2 that these definitions have to be revisited again
3 and again.

4 So, just quickly, you know, what have we
5 been doing from a research side to enable
6 broadband access? We had a pretty extensive mesh
7 networking program, and I believe a lot of the
8 stuff that happened in community networking or
9 blanket citywide coverages sort of came about
10 because of that work, and what we did there was we
11 not only did the research but built up these
12 academic kits which we passed on to universities.
13 There were about -- I believe I list here about
14 700-plus universities using those academic kits.
15 We also funded a lot of this work, which then
16 ended up in (inaudible) connectivity. We also
17 have been supporting Internet2. We now have a big
18 program around software-defined radios, because we
19 definitely believe that's the future the way
20 everything is moving and there we're going to get
21 rid of the hardware as well as the software. We
22 have the white space networking project called

1 KNOWS. We built out one of the first white space
2 networks, which is now operational under Ed
3 McCampus. With us is his blessing by getting
4 their license, etc., and we regularly support a
5 lot of conferences and workshops and academic
6 summits around this area, so the point being that
7 we've sort of been thinking about this a lot and
8 have quite a bit (inaudible).

9 Let me put on my researcher hat and tell
10 you what I think from my own experiences -- I'm
11 going to different, you know, conferences as well
12 as talking to researchers as well as publishing
13 papers one of the ways it pinpoints. So, I think
14 we -- in research we lack -- such as lack data,
15 and data is really important. Let me give you an
16 idea of hat I mean by that. There was a feel of
17 cooperative caching where people thought about
18 caching being very, very good for networking, and
19 it turned out that this one person who now
20 actually works in my group, the (inaudible) thesis
21 and demonstrated that caching after a certain
22 limit doesn't actually help. So, building these

1 large caches doesn't actually help at all, and he
2 did it to all these -- by looking at the data from
3 different organizations. And that piece of work
4 pretty much killed the field in the sense that a
5 lot of the dollars were no longer spent, and we
6 didn't go down the wrong path. So, in order to do
7 the right kind of research, we definitely need a
8 lot of data.

9 The other thing we need is access to
10 network stacks. Now, network stacks access --
11 for example, in case of these production networks
12 that you have in 3G and 4G -- we don't have access
13 to those network stacks, and we don't have so we
14 can't be creative. One of the greatest things
15 that WiFi did for us or unlicensed networking did
16 for us was made these stacks available to the
17 research community, and from there they were able
18 to do things like OFDM and MIMO, and they were
19 able to build these things into the system and
20 they took off from there.

21 Similarly, this lack of access to the
22 (inaudible) things, like network crowding,

1 routers, etc. Similarly, other pinpoints include
2 very limited testbeds. The experimental work is
3 just not there. There's a lot of good work going
4 on, but the point solutions that come out of
5 academia are not completely transferable into real
6 products, so -- because there's not enough of that
7 going on. And there's a heavy dependence on
8 hardware for industry. I mean, I think about what
9 has happened in the software-defined radios or
10 cognitive radios, and this is really limited by
11 what has been produced by industry, and I think we
12 need to think about how do we make sure that the
13 academics are not encumbered by that.

14 The other issue is that how many jobs --
15 the networking jobs or the number of jobs that are
16 now available in networking are also going down.
17 That is also something that we have to be worried
18 about going up in the future, because a lot of the
19 -- our emphasis now is on bicomputing,
20 bioinformatics, and things like that, which are
21 really important but networking community has done
22 a very poor job of actually marketing them so

1 doesn't say how much impact they've had on the
2 community.

3 And then there are fewer and fewer Grand
4 Vision projects that I see off (inaudible) the CMU
5 project, the 100x100, which was a hundred million
6 homes, a hundred megabits per second, with one
7 instance of a good project that was funded but not
8 happening anymore.

9 Now, moving forward, so what
10 recommendations in the little time that I have.
11 So, I think that federal agencies and FCC have to
12 work together. I see this that researchers are
13 not necessarily very cognizant of the policy
14 implications on their work and vice versa, and I
15 think so. There has to be better synergy between
16 the two organizations.

17 Now, we need to sort of foster the entry
18 of new broadband providers. One of the things I
19 talked about earlier was lack of data. I think
20 with more competition, there's going to be more
21 innovation, and if we can allow the researchers to
22 experiment on some of these production networks,

1 then that is great, and during that monitoring and
2 trace gathering, etc., and stack, etc.

3 We must finalize these rules white
4 spaces. This is an amazing area for research,
5 because it allows us to do opportunistic
6 networking, and it allows us to sort of think
7 about, you know, how we can take and better use
8 the spectrum, which is essential for all the
9 applications we are building.

10 And along the same line, I have a
11 suggestion, which is that we should probably
12 consider the FCC working with (inaudible) should
13 probably consider creating a national spectrum
14 telescope. Imagine a sort of a table lookup or
15 something which tells you all the spectrum uses in
16 the entire country at all given times. And this
17 would then help sort of said policies and rules
18 around what more spectrum to go after because
19 we're suddenly are going to need it. No matter
20 how much innovations we do, (inaudible) limits is
21 going to kill us otherwise.

22 The other recommendations -- and quickly

1 because of lack of time here -- is essentially we
2 must encourage researchers to build reusable
3 platforms, and that should sort of take care of
4 not having to worry so much about industry with
5 the hardware, etc. The MSR Mesh Kit was one
6 example of that, and so our platform (inaudible)
7 is another example of that. Rice has a thing
8 called WRAP. (Inaudible) research in DSA and
9 cognitive wireless networking. This cognitive
10 wireless networking can be disruptive technology,
11 and this can really completely push us into the
12 leading position over all the other European
13 colleagues and Asian colleagues as well.

14 Another idea is to consider a national
15 -- a network (inaudible) data repository. The
16 government, for example, is a large organization,
17 has got lots of networks, lots of data. You can
18 consider anonymizing some of the data and providing
19 it to the networking researchers who can then look
20 at it. To give you an example, if you think of
21 the data and you see, you know, whether it's --
22 what -- in a recent conference one of the

1 researchers said the dominant data is SP2P. The
2 other guy got up and said no, it's SR2P. And they
3 were debating that, and they were both going after
4 different sources of data, but the interesting
5 part is that the network design truly depends on
6 what sort of way the network has been used, and so
7 if you want to do a real good broadband
8 connectivity you must think about that.

9 And then keep in mind that good research
10 takes time, and so we have to somehow figure out
11 ways to do longer-term more Blue Skin type of
12 research, and it's not going to just happen in the
13 next year or two years but we have to put all the
14 things in place so that we have that.

15 And at that point, I'll hand it over to
16 you.

17 MR. SICKER: Thank you. David Borth.

18 MR. BORTH: Thank you very much. I'd
19 like to first begin by thanking the FCC and Doug
20 for organizing this session, as well as Meredith
21 for participating in this session.

22 I'm going to talk about a number of

1 topics this afternoon. Some of these will
2 reinforce what Victor just said about long-term
3 research, but I'm going to begin with my first
4 slide, which is some trends that we've observed
5 over a period of time, and these are not
6 predictive of what the future will be, but they do
7 indicate what we've observed and what possibly you
8 can make of this. But I want to also use these
9 slides to emphasize what Motorola Research's role
10 has been in developing these technologies. And
11 the answer is it's taken a long time to get here.

12 So, the first slide it talks about 25
13 years of wire band with trends, and this shows the
14 rise in data rates over wired and now cable
15 networks and fiber networks starting in 1982 and
16 going from, really, dial-up 300 bit- per-second
17 modems up to -- we got to 56 kilobit-per-second
18 modems and stopped there with that technology,
19 then moved into the era of cable and DSL and XDSL
20 modems, and we gradually brought up the speed
21 considerably there. If you follow the trend line,
22 it says by 2016 we should have around 288 megabits

1 per second. That's just based on the trend line.
2 There's no real data to support that we will
3 actually get there. But the next slide will show
4 that there's other ways of approaching this
5 through fiber networks.

6 The point to be made here is there's
7 lots of technology that we're involved in creating
8 this type of future for the wired network, and it
9 involves things like integrated circuit design,
10 combinations of coding and modulation, and then
11 full-sale deployment of these types of systems at
12 scale, because that was one of the issues that was
13 raised earlier this morning. It's important that
14 these systems are brought out in these time
15 frames.

16 Let me go to a next example, which is
17 one for a wireless broadband, so wireless
18 broadband has observed a similar sort of trend.
19 It's gone from fairly low data rates when wireless
20 began in 1983 with the AM System in the U.S.
21 Essentially, there was no data network at that
22 point in time. And then it progressed from that

1 point on. And what this shows is an overlay of
2 cable plus wireless networks. The cable line is
3 on the bottom, and it -- what it tends to indicate
4 is -- and in fact cable -- excuse me, wireless
5 networks have grown at fairly high data rates
6 also. In the upper right-hand corner are some
7 penetration rates of wireless broad and wire line
8 broadband. In 2006, we figured that about 2
9 percent of the population was a team of broadband
10 through the wireless. We think that will grow to
11 about 18 percent by 2010. So, this is a
12 significant growth potential in the wireless
13 world. We see this as anecdotal information today
14 that's presented to Motorola from various points
15 in time where users come up to us and indicate
16 they actually use wireless methods to access
17 broadband.

18 Again, a significant amount of effort
19 was required to get here. And I want to talk
20 about that just in a moment.

21 So, I'm going to look particularly at
22 Motorola's fourth-generation wireless journey.

1 It's a long path. It starts in 1995 when we first
2 started asking the question what is 4G? And in
3 1995, 3G was being discussed. It didn't come out
4 until several years later. But we started looking
5 at what were the elements necessary to bring
6 fourth-generation wireless to the public. And
7 there was lots of technology required. But there
8 was also spectrum required in this process, and
9 even back then we were looking at the MMDS band at
10 2.5 gigahertz, which was a convoluted way of
11 getting there, because it was mixed up with the
12 ITFS spectrum at the time.

13 So, we started looking at 2.5 gigahertz
14 as a way of getting there. We were looking at
15 propagation along the way. We also developed all
16 sorts of new modulation methods or FDM technology,
17 new coding methods -- well, if turbo coding and
18 low-density parity-check coding methods -- and
19 putting it all together into a system.

20 If you follow this timeline shown at the
21 bottom of the chart here, in 2000 and 2001 we're
22 conducting field tests, and finally around 2005

1 802.16e, which has become WiMAX, came out and
2 Motorola announced products in 2007 for WiMAX in
3 2009 for LTE. This is a long time frame. It's
4 some 14 years required to progress along this
5 timeline, so the issue that Victor just brought up
6 is -- really long- range research is required to
7 get to some of these end goals.

8 Same sort of thing happened in the --
9 our efforts in Passive Optical Networking. We
10 started the efforts in PON, or Passive Optical
11 Networks, in 2002 and 2001, looking at deployments
12 for providing high-speed data to the home user.
13 For those that aren't familiar with the
14 technology, GPON provides 2.4 gigabits per second,
15 a dial link path at 1.2 gigabits per second, the
16 uplink path to multiple users, so the average user
17 gets around the order of 75 megabits dial link and
18 37.5 megabits per second uplink. Again, there was
19 a long effort required. Along the way we had some
20 initial deployments as terms of trial networks
21 combined with a number of our partners.

22 Verizon announced the FIOS system

www.andersonreporting.net

1 deployments in 2005, and by 2009 there's some
2 million users are using optical network terminals
3 in their homes today -- again, not as long as 14
4 years but still a considerable amount of time
5 prior to past year.

6 So, what's the issue here? Well, the
7 issue that we have is that there are some
8 troubling trends in the technology and innovation
9 with the U.S., and this is not all doomsday, but
10 it is an indication of what has happened,
11 especially in recent times.

12 This chart comes from the Council on
13 Competitiveness, and it actually appeared in a
14 report from the Brookings Institution from last
15 year, and it indicates some trends that observed
16 in terms of United States share of worldwide
17 innovation indicators. On the far left side it
18 shows two bar charts. Both of these are based on
19 data taken from 1986 -- or '85 in some cases --
20 and 2002 or 2003, depending on when the data
21 actually became available, and it shows that --
22 and in many cases we've fallen behind in terms of

1 domestic R&D investment, in terms of new U.S.
2 Patents, scientific publications, scientific
3 researchers, and so on.

4 I want to underscore one of the items
5 that are shown here, and that's Bachelor's Degree
6 in Science and Engineering, because this is one
7 that was brought home last month at the National
8 Academy of Engineering meeting. At that point in
9 time, Dr. Charles Vest underscored the trends that
10 are observed here. In the early 1980s -- Dr.
11 Charles Vest is the president of NAE right now --
12 he observed that in the 1980s Japan, China, and
13 the U.S. all had about the same number of degrees
14 that are granted for Bachelor-level degrees in
15 engineering -- about 75,000 altogether. In 2002,
16 the U.S. production of degrees drops to about
17 60,000 from 75,000 per year while Japan grew to
18 100,000 and China grew to about 250,000 per year.
19 In terms of percentages, that meant that there
20 were about 20 percent of the first degrees were
21 granted in the engineering fields in Asia, about
22 12 percent in Europe, and only 4 1/2 percent in the

1 U.S. So, this is perhaps a troubling trend that
2 may, as we've found over the years, attract
3 somewhat the growth of engineering fields, and it
4 may come back again, but it is an indication of
5 what has happened recently.

6 Another point that I'd like to make is
7 that investment in fundamental and applied
8 research is critical. This, again, comes from the
9 Brookings report that I just referenced, and the
10 reference is given at the bottom of the chart. It
11 shows that private finance of R&D is shifting away
12 from risky or early-stage activities. This shows
13 the change from 1991 to 2003 and shows that basic
14 research fell about 2 percent; applied research
15 fell about 4 percent; whereas development actually
16 increased from the baseline up to about 7 1/2
17 percent over this period of time. It tends to
18 indicate that research is going away from the
19 riskier elements and taking more of a line into
20 the development aspects, so shifting from R&D to
21 small r/big D type of things.

22 Now just to also bring this out, the

1 National Academies' broad report that came out in
2 2006 -- it was co- edited by Bob Lucky and John
3 Eisenberg. Bob Lucky was formerly head of the TAC
4 for the FCC, so I bring this forward. In this
5 report, they indicate that long-term fundamental
6 research aimed at breakthroughs is declined in
7 favor of short-term, incremental, and evolutionary
8 projects who purpose is enable improvements in
9 existing products and services.

10 So, that concludes my formal remarks.
11 I'm open to questions during the Q&A. I can
12 comment on a number of areas also with respect to
13 our own involvement in the European framework
14 programs that we've participated in for the last
15 10 years.

16 MR. SICKER: I particularly would like
17 to hear a little bit more about that latter, but
18 we'll wait.

19 MR. BORTH: Sure.

20 MR. SICKER: Adam Drobot?

21 MR. DROBOT: Okay, so first of all let
22 me thank you for the invitation to speak today.

1 What I'd like to do is say a few things
2 in my role at Telecordia, which is a research
3 organization that has its heritage back -- it goes
4 back to the Bell Labs system and then I think in
5 closing say a little bit about my role at TIA,
6 where I run the Research Division that in fact,
7 you know, took a look at this issue of the last
8 three to four years. I think we have put together
9 a number of white papers, and I think those are
10 available essentially, okay?

11 So, to go through the prepared remarks,
12 what I'd like to do is first of all set the stage
13 -- why I think what we're doing here today is
14 important; talk about some of the areas of
15 research; talk about something I've labeled
16 refactoring, because I think if I look towards the
17 future, I think the way broadband is being used
18 will change very dramatically essentially -- I
19 think it will change our lives in some fundamental
20 ways; and then do a little bit of a summary
21 essentially.

22 So, let me sort of start by setting the

1 stage, and, yeah, the big picture, not all
2 inclusive but (inaudible) chunk things. I would
3 say if you are running a large organization,
4 whether it's a Google, whether it's a service
5 provider, whether it's a cable operator, wireless
6 operator, you can look at your capital deployment,
7 and that involves the goods that actually got into
8 the field; the labor that goes along with them;
9 then the cost of operations, the applications and
10 services that you build on top of that and then
11 sort of the hard work in the marketplace, and how
12 do you get adoption penetration; and, as I'll talk
13 later about refactoring, how do you get some deep
14 usage of all of this infrastructure that really
15 makes a difference go the nation.

16 The second point I'd like to make as
17 part of this big picture is that the issues are
18 complex and, you know, sometimes the processes we
19 try to go through, try to reduce things to a very
20 simple sound bite -- to be a little inflammatory,
21 I might say neutrality is one of those sound
22 bites. It means a lot of things to many different

1 people. But, you know, I have a feeling this
2 dialog really has to look at the basics. Again
3 that is what's coming from technology? What are
4 we doing new in business models? What are the
5 demographics of this country in the world? Is our
6 geography that different from the rest of the
7 world? What clusters have we actually formed
8 around telecommunications in broadband? Are those
9 clusters whole, or do they need some repair at
10 this point? How do we deal with legacy? I'd say
11 the accelerating time scales in which technology
12 is deployed, the investment climate -- you know,
13 all of those things matter and, you know, somehow
14 have to be dealt with holistically.

15 I'd say the next part of the big picture
16 is really performance, okay, and performance
17 matters, okay? Whether it's speed, the amount of
18 computing I can do, the amount of storage I can
19 have, the quality of my interfaces, the software
20 that I'm using, the experience that I have as a
21 user -- all of this matters, I would say, in terms
22 of two things, you know, sort of the raw numbers

1 -- but it's not a raw number that is going to stay
2 the same; it's going to change over time, and it's
3 very important to take that into consideration.
4 And the next thing is not only is it going to
5 change over time, we have an expectation that the
6 basic ingredients with which we grow the broadband
7 world, okay, will actually continue to come down
8 in cost. So, there is, you know, for a user, how
9 much of a bang for the buck am I actually going to
10 get out of this? How many new things can I do?
11 How can I get them at a reasonable cost
12 essentially? And I think the underlying research
13 and a lot that we do, well, again, has a lot to do
14 with that.

15 Let's say the next item is that the
16 economic impact we've seen from information
17 technology over the last two decades has been
18 really profound. It's sort of -- you know, I
19 would say something like 40 percent of the
20 improvement in productivity is attributed to IT.
21 As a technologist, I would say when you start
22 looking at broadband what it means for everything

1 from consumers, small and medium businesses
2 enterprises and then the addition of mobility to
3 that, I think we will actually see the next
4 revolution in what productivity actually means,
5 okay? And so for -- you know, as a motivation,
6 again for the nation, you know, leading in this
7 area is not just inventing those things, but it's
8 being fast in deploying them, making sure they're
9 part of our economic systems and that we are part
10 of that next revolution productivity. Again, the
11 fast pace of change, accommodation of our business
12 and operational processes, the regulatory approach
13 so that we can in fact accommodate the future has
14 to be part of this, and, you know, long-term
15 research and sort of looking at what the options
16 are I believe is an important part of it.

17 Lastly, we have an explosion of options.
18 You know, the number of new things, new
19 combinations of what you can do better and faster
20 are sort of incredible. Again, how do you harness
21 all of that, and, you know, sort of the goals of
22 leadership and high value, not just for this

1 nation but for the world.

2 So, I think in terms of, you know,
3 setting the stage is if we really don't think of
4 broadband as something that we start deploying and
5 continue to improve over time, then we will have
6 missed the mark essentially, okay?

7 So, let me take a look at, you know, the
8 research part of this and its importance.

9 And the first thing I'd like to do is
10 deal with physical systems. I think Norm
11 Augustine wrote a report called Above the Rising
12 Storm essentially. Came out of the National
13 Academy, and what he pointed out is that the
14 investment in physical systems is considerably
15 down over time essentially, okay? And, you know,
16 if I look at one aspect of broadband -- the fiber
17 world -- you know, what I hear from my friends in
18 the service companies is that their core network
19 traffic is still rising around 30 to 40 percent
20 year over year per subscriber. When we've gone
21 through an economic lull, you can sort of afford
22 to get away with deploying what's on the shelf,

1 okay. If we come out of the recession, which I
2 expect we will, okay, then you start running into
3 a real problem, because my belief is the man will
4 rise sharply when that happens, okay? And if the
5 cost of the goods that go into the core doesn't
6 come down in cost, okay, and stay on its
7 exponential curve, we actually will have a
8 problem, okay? And, you know, that doesn't happen
9 without investment. In this particular case I
10 would say, with the bubble around 2000 or so, a
11 lot of the companies that were in the marketplace
12 I would say cut their basic research, their
13 long-term investments, to a considerable degree,
14 okay?

15 If you ask for a comparison with what is
16 happening in Japan, what is happening in China and
17 in Europe, okay, I would say those investments
18 continued maybe at a somewhat slower pace but a
19 much greater than what we do at this point in
20 time, okay?

21 And that has a lot to do with leadership
22 in the future essentially. If I were to look at

1 wireless systems, okay, whether it is
2 software-defined radios, cognitive radios, the use
3 of MIMO technology, which shows the promise of
4 extracting a lot more in terms of bits per hertz
5 out of the spectrum -- I think in laboratory
6 experiments people have achieved numbers over 20
7 bits per hertz as opposed to the 2 or 3 we find in
8 commonly deployed systems today, okay? Those
9 things become possible if the research is done, if
10 the components are built. And these are really
11 long-term issues, which today find it very hard to
12 attract funding essentially, okay?

13 If I were to look outside the physical
14 systems -- you know, we have software; we have
15 operating systems. We have had many stabs at
16 this, whether it's systems for routers, whether
17 it's for general-purpose software and PCs, which
18 is on the use end, I would say those are broke in
19 today's world. We're patching them. It costs us
20 a lot to do that. I don't see a concerted
21 investment being made in those areas that we
22 should be doing today essentially, okay?

1 Security, assurance, privacy, trust --
2 sort of as a clump, okay? All of those things are
3 very related to each other. Again, lots of
4 promise in that space, but the critical level of
5 investment, okay, that'll actually translate into
6 something where what we build as an infrastructure
7 is usable again I would say begs for investment in
8 today's world. The things that I see being done
9 is rehashing of a lot of stuff, a lot of
10 investment in product at this point, okay, but the
11 flow of new investments and new ideas in those
12 areas is very hard to come by essentially.

13 You know, we invented something called
14 the internet IP protocol, and whether it was
15 something we stumbled, there was a piece of magic
16 that happens there, and that piece of magic -- you
17 know, if you sort of parse it, you can get very
18 technical about it, but there's one very simple
19 aspect to it. It delivered so much that it became
20 probably one of the longest lived protocols that
21 we have in some sense, okay? And when you have
22 long-lived protocols, okay, you can put investment

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1 on top of them. You can build things with them,
2 okay? I don't believe that what we built was the
3 last word in that area, okay? It's worthwhile
4 having an investment, and there are many aspects,
5 okay, of, you know IPV4, IPV6 in that succession,
6 okay, that need to be done. An example of that
7 would be how do I have a fabric underneath that
8 with switching, okay, that promotes efficiency,
9 allows you to do a lot of things that we can't do
10 today and really works a lot better with mobility
11 than even IPV6 does at that point, okay?

12 So, I can go through a whole list of
13 these things.

14 Let me very quickly say you can add
15 interoperability, managed services, all the
16 -ilities from scalability to robustness, I would
17 say all of those again begging for resources at
18 this point in time, okay?

19 Now, if I look at refactoring, what I
20 would say is before the national 4G networks, 3G
21 networks, things of that sort, every application
22 had its own, okay? Had its own network. Today, I

1 think we can do things in common as systems and
2 common networks, okay, and going in that
3 direction, though, again would have a lot of
4 value. Building core systems so you can support
5 every application from health care to telematics
6 to banking, finance, all of that, everything
7 running of the core in a secure way, again lots of
8 value.

9 So, I think I'm, if I notice, out of
10 time at this point, okay? I think what I'll --
11 was going to cover is how can one do this
12 institutionally, but that's for later.

13 MR. SICKER: Can I ask you to maybe when
14 we have questions --

15 MR. DROBOT: Yep.

16 MR. SICKER: -- bring that in and at
17 least put it on the record --

18 MR. DROBOT: Will do.

19 MR. SICKER: -- so that we will have
20 your notes.

21 MR. DROBOT: Thank you.

22 MR. SICKER: Thank you. Unfortunately,

1 Dr. Farber can't join us today.

2 His wife is ill, and he's in the middle
3 of transporting her from hospital to another, so
4 our best go to Dave and to Gigi.

5 We'll now turn to Dick Green from
6 Cablelabs and the University of Colorado.

7 MR. GREEN: Thank you very much, Doug.
8 And thank you, Commissioner Baker for putting this
9 panel together. This is one of the topics of
10 course near and dear to my heart.

11 For 21 years I was president and CEO of
12 Cablelabs, the cable industry's research and
13 development consortium, and before that I was the
14 CTO for PBS and earlier served as the director of
15 the CBS advanced TV laboratory. For the majority
16 of my career I've been involved in research and
17 development activities. Those activities have
18 included experience in government, academic and
19 industry laboratories. My comments today are
20 based on my experience in several industry
21 laboratories and do not necessarily represent the
22 specific views of any one of those industries that

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1 I've been a part of. And having good fortune to
2 participate in several major developments in
3 progressive technology, these include the
4 development of digital and high-definition
5 television, the telcom revolution, which resulted
6 in facilities-based competition in data and
7 telephone service, as well as the emergence of the
8 internet.

9 However, like many of my colleagues,
10 I've been concerned about the general decline of
11 research activities in the United States. Also,
12 like many of my colleagues, I'm concerned about
13 the level of sponsored research in advanced
14 internet topics.

15 I want to use my time today to offer two
16 possible suggestions on indirect measures the
17 government can take to influence innovation and to
18 create more incentive for industry to venture into
19 new research. I hope that these suggestions can
20 be useful as recommendations to Congress, and I
21 hope that these ideas can enable improved models
22 for the U.S. to assert leadership in broadband

1 networking research and development.

2 Neither of these concepts is new.
3 They've been around for a long time and are
4 presently being implemented in limited ways.
5 There's ample evidence that they work. What I'm
6 suggesting is that these ideas could be expanded
7 and utilized in broader ways. The concepts may
8 serve as proven policy which could be carried out
9 on an expanded scale.

10 For the last 21 years, I've had the good
11 fortune to be part of a research laboratory that
12 has had some success in advancing the capability
13 of the internet, specifically, the delivery of
14 data over the last mile into people's homes.
15 Although the achievements of the lab could be
16 attributed to numerous factors, an important
17 precursor was the National Cooperative Research
18 Act of 1984.

19 Before I proceed with that thought, I
20 hope you'll me a moment to outline the
21 contribution that I believe has resulted from the
22 work of this laboratory. The specific example

1 that I'm referring to is the development of the
2 data transmission over a cable system.

3 The basic technology building blocks for
4 cable internet access service are contained in the
5 Data Over Cable Serviced Interface Specification
6 -- DOCSIS. DOCSIS is a unified standard developed
7 by Cablelabs and its partners beginning in 1995.
8 The most version is DOCSIS 3.0, which was designed
9 to significantly increase transmission speeds.
10 Increased speeds are needed to move growing
11 consumer demand for all kinds of applications,
12 including internet video, teleconferencing, and
13 new applications in health, education, and other
14 fields. DOCSIS 3 can support cutting edge speeds
15 today and even faster speeds in the future.
16 Currently, for instance, DOCSIS 3 delivers up to
17 160 megabits downstream. Upstream channels
18 deliver a maximum of 120 megabits. New modems and
19 head-ends are now being developed for commercial
20 release next year in 2010 and (inaudible) maximum
21 download throughput at more than 300 megabits.

22 I hope you will agree that this

1 development has been important in improving
2 last-mile capability as well increasing internet
3 access to a large part of the population. The
4 capability of delivering data over cabled networks
5 was a development of a collaborative, nonprofit
6 research consortium. As I have mentioned,
7 Cablelabs was incorporated under the National
8 Cooperative Research Act. The Act reduces the
9 potential antitrust liabilities for various types
10 of joint ventures involved in research and
11 development. It has the effect of encouraging the
12 formation and operation of this kind of joint
13 venture.

14 The NCRA was modified in 1993 and again
15 in 2004 to include standards activities and
16 currently has two major technology policy goals:
17 first, to increase the number of joint R&D and
18 production ventures entered into by U.S. Firms
19 and, second, to increase competitiveness of the
20 United States in key technology areas of research
21 and development and production.

22 Collaborative R&D agreements have often

1 been considered an important policy tool to
2 stimulate innovation. They can reduce costs by
3 achieving economies of scale, eliminating
4 duplicate R&D efforts, or by encouraging
5 synergies.

6 In the case of the cable modem, it was
7 possible to develop a common specification that
8 provided a uniform approach to transmission of
9 data over cable systems. The specification was
10 submitted to the ITU and was approved as a
11 worldwide standard. This created worldwide scale
12 economics for manufacturers and cable operator
13 alike. It also led to private investment in the
14 nation's infrastructure, and it increased
15 competition.

16 To bring services to Americans using the
17 DOCSIS technology, cable companies have invested
18 over \$145 billion in private capital since 1996.
19 The investment build fiber- rich, two-way
20 interactive networks throughout the country.
21 Although cable led the way into broadband in
22 America, there are now multiple broadband

1 platforms as a result of hundreds of billions of
2 dollars of investment by competing providers like
3 telephone companies and wireless and satellite
4 providers. Content and application providers in
5 turn have been able to utilize these platforms to
6 create multibillion dollar American businesses. I
7 believe that this is an example that may
8 illustrate how from one simple collaborative idea
9 it involved into creating a significant social
10 good. So, I would submit for the purposes of this
11 discussion that congressional encouraging
12 collaborative research is certainly a very useful
13 tool to foster development and create efforts that
14 can strengthen U.S. Leadership and internet
15 technology. I believe that the Cooperative
16 Research Act have shown that these advantages are
17 real and increased emphasis on collaborative
18 research is a worthwhile policy objective.

19 I'd like to offer a second suggestion
20 based on a concept exemplified by a program in the
21 Department of Defense. I'm thinking about the
22 IR&D program. IR&D is shorthand for Independent

1 Research and Development. It's a way for the
2 government to stimulate R&D by offsetting some of
3 the costs of industry research projects. In this
4 model, IR&D costs are incurred by a company on its
5 own in conducting basic research, applied research
6 and development. In order to be charged to a
7 government contract, IR&D must be a potential
8 interest to the government and must fall within
9 certain defined areas. Contractors can recover a
10 significant percentage of their approved research
11 costs as indirect expenses under the government
12 contract. Therefore, the government pays its
13 share of the company's IR&D and the price it pays
14 for products and services. This augments the
15 company's expenditures for R&D, and it allows
16 additional company spending to explore advanced
17 concepts and create new ideas. It permits the
18 company to pursue technology advances in areas
19 where the firm's capabilities are the strongest.
20 IR&D benefits the country by providing new
21 products and technologies and contributes to
22 industry competitiveness and a stronger

1 infrastructure. I'm suggesting that whenever the
2 government purchases information technology,
3 service, or equipment it may be useful to attach
4 an IR&D incentive program to these contracts.
5 Companies with expertise and capability in the IT
6 space could then pursue independent research on
7 topics deemed important to network development.
8 The cost of such research could then be indirect
9 expense to contracts. This mechanisms would
10 encourage private company spending to explore
11 advance concepts and pursue IT technology projects
12 that are inherently high risk.

13 Briefly, two other DoD efforts are worth
14 mentioning. The federal funding in these cases is
15 provided directly to the research entity. The
16 Small Business Research Program, SBIR, provides up
17 to \$850,000 in early- stage R&D funding directly
18 to small technology companies or individual
19 entrepreneurs.

20 The second program, the Small Business
21 Technology Program, STTR, provides up to \$650,000
22 in early R&D funding directly to small companies

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1 working cooperatively at Universities and other
2 institutions.

3 The funding levels that I've quoted here
4 are just from the DoD program. Ten other federal
5 research agencies also participate in these small
6 business research efforts.

7 To summarize, the principal suggestions
8 are (1) an increased emphasis on support for
9 collaborative research in industry and (2) the use
10 of government contracting mechanisms to support
11 independent IT research. I hope that these two
12 suggestions will assist the Commission in
13 formulating and implementing the national
14 broadband plan. Those of us involved in the R&D
15 community look forward to working with you to
16 bring improved broadband to more Americans so that
17 Americans can be informed, connected, and
18 benefited by everything broadband has to offer.

19 MR. SICKER: Thank you, Dick.

20 MR. GREEN: Thanks.

21 MR. SICKER: And we'll continue on. I
22 did want to mention I think we're going to have

1 some interesting additions here that we haven't
2 really talked about in the earlier part of the
3 panel. I mean, we -- you know, the earlier
4 session was really focused on the academic
5 interests, and I think really understanding how
6 industry funds research is going to be an
7 important part of this chapter and something that
8 I personally am going to need a lot of input from
9 you all to be able to write properly, so I again
10 will put out the notion that please let me come
11 and bother you over the next few weeks for
12 additional input.

13 Okay, Mark Levine.

14 MR. LEVINE: Commissioner, thank you for
15 your leadership here today.

16 Doug, Stagg, and Rashmi, I look forward
17 to working with you as you pull the report
18 together.

19 Thank you for the opportunity to speak
20 to you this afternoon. Like the others, I laud
21 the goals and objectives of the task force and the
22 open spirit in which you are approaching this

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1 whole process.

2 My name is Mark Levine, and I'm a
3 partner with Core Capital Partners. We're a
4 venture capital firm located in Washington, D.C.
5 We have \$350 million under management, and in the
6 past 10 years we've invested in 45 companies, and
7 I dare say that there isn't one of our companies
8 that would be existing today if we were in a 1200
9 baud world. So, that's one thing we can just put
10 right on the table (inaudible) happen.

11 But, most importantly, we're very
12 bullish about the future of the mobile internet.
13 Our investment pieces are built around the future
14 of the mobile internet, and the investment
15 opportunities we see in the next 10 years as a
16 result of the growth and adoption of the mobile
17 internet by consumers and enterprises. And we
18 feel that federal broadband policy will be one of
19 the catalysts for economic growth in the entire
20 United States. Fourteen percent of the new jobs
21 in the economy are created by startup businesses,
22 and VC investment plays a major role in not only a

1 majority of those jobs but also the highest scale
2 jobs, the jobs they create more, and that's one of
3 the ways we're leveraging below graduation rate in
4 engineers today hopefully with our dollars to put
5 it out there in airways.

6 The state of the broadband industry
7 generally affects the engine of VC-backed economic
8 growth, and intuitively this seems applicable to
9 biotech and other areas of investment. As for IP,
10 the ability to move data is integral to all areas
11 of innovation. We believe that broadband IP,
12 however, represents the greatest growth
13 opportunity because by its very nature it is
14 forever creating new investment opportunities and
15 thus driving economic growth. This IP growth is
16 driven by the fact that IP is iterative and
17 compounding. It is iterative in that it builds
18 refinements on the previous application, and it is
19 compounding in that one application leads to
20 another. Yet for this iterative and compounding
21 capability to exist is a derivative of the ability
22 to move the data in the first place, the area over

1 which it can be moved, and the speed of the
2 movement.

3 We invest in new companies that move
4 iterative and compounding applications around.
5 While some of this can be done inside a computing
6 center, the broader economic success is going to
7 come from the broader application of the new idea,
8 and that requires broadband distribution.

9 So, I want to give you a very short
10 overview of some of our investments -- I won't go
11 through all 45 -- that operate on both policies we
12 have today and the landscape that will be affected
13 by the work of this task force.

14 Swat drive is one of our investments,
15 and it uses Cloud Storage Computing to store over
16 wireless -- for wireless carriers and their
17 customers who want remote access to their data
18 backup, their video, their music, their picture,
19 and their data files. In one of our investments
20 in our first fund, if you just understand what the
21 effect of policy that starts here in this building
22 -- number portability alone was responsible for

1 driving over \$300 million in sales by Infonic as
2 consumer -- and it was orderly growth, because it
3 was -- as consumers came off their contracts, the
4 ability to switch in turn -- that drove \$300
5 million worth of revenue and probably 400 jobs in
6 a venture-backed startup.

7 Trusted (inaudible) is one of our
8 investments, and they're a leader in securing
9 wireless devices.

10 One of our investments in our second
11 fund is Round Box, and they're a leading provider
12 of one too many broadcast services for wireless
13 networks. Their multi-bearer technology includes
14 exclusive availability of the TV guide --
15 electronic service guide. And Round Box
16 technology is specially suited for wireless
17 broadcast of content across the ATSC and 700 MHz
18 spectrum, and I know that's a big area of looking
19 at where the broadcasters will come out with that.

20 ULI -- Update Logic -- and we've got a
21 partnership with Cablelabs that's been very, very
22 successful, and it's one of the first times you

1 brought in an outside party like ULI. They
2 provide over the air and through the cable system
3 updates to high definition TVs, which are nothing
4 more than computers and how else can we access
5 them for software patches and for updates. In
6 fact, one leading consumer electronic manufacturer
7 said nah, we don't need to deliver upgrades, and
8 within months of launching a product found they
9 had 10,000 TVs that wouldn't turn off, so they
10 needed to get to them and now all six major
11 consumer electronic manufacturers are working with
12 ULI to reach their HD TVs.

13 Inlet is one of our investments, and
14 they have a HD encoding and decoding technology to
15 stream video over the air and over the internet
16 from any source to any device, and it's the first
17 of its kind.

18 Twisted Pair provides a crucial element
19 in our national security and homeland
20 preparedness. They have an IP bridge that allows
21 radios operating on different frequencies to
22 communicate with each other in a controlled manner

1 and think about 9/11 and what happened when police
2 and fire could not communicate. Twisted Pair
3 systems are aimed at that problem.

4 Bridgewave is a point-to-point wireless
5 broadband technology that's being used by
6 enterprises, campuses, and service providers to
7 deal with the volume of bandwidth that's needed
8 just to backhaul the increased data usage and the
9 explosion of data across networks and also for the
10 rapid deployment of new networks and extensions of
11 existing services. And this is a small startup
12 company that just received a \$14 million contract
13 last year from a major waterway wireless provider
14 so that they can use the technology to enter new
15 markets.

16 So, this is just a short list of
17 companies in our portfolio affected by our
18 national broadband policy. There are thousands
19 more companies in portfolios at other VC firms and
20 hundreds of thousands of jobs created by our
21 investments. We are deeply invested in the future
22 of broadband and we feel the United States is on

1 the cusp of an explosion of new services and
2 applications that will not only serve consumers
3 but help our economy into a new area of
4 competition, job creation, innovation, and world
5 leadership. And unlike in other economic debates,
6 this one is uniquely American. For example, in
7 the health care debate, many multinational
8 corporations are sitting on the sidelines, because
9 their excuse is they're looking at the global
10 landscape and they're looking at global
11 competitiveness. But here in the United States,
12 we're focused solely on how we make American
13 industry more competitive. So, among the issues
14 we'll be considering on the task force -- and we
15 can now direct our concerns and comments to two
16 prime issues, and that's spectrum and
17 competitiveness.

18 Spectrum must be made available in a
19 manner that fosters innovation and competitiveness
20 for new services and application, and competition
21 is the key as it is a bedrock component for
22 investment and risk taking. The policies must

1 have provide for consistent availability of high-
2 quality, high-bandwidth spectrum. Network
3 operators must be able to reach all potential
4 subscribers in order to roll out new services and
5 applications. As an example of this, rural
6 hospitals and small business in rural areas need
7 to have the same access to broadband as their
8 urban counterparts before we can really take
9 advantage of the potential in electronic health
10 records, disease management technologies, and all
11 the derivatives that come from that, and wireless
12 business applications before they become
13 ubiquitous.

14 And the need for spectrum is acute. In
15 the past year alone, AT&T has seen a 5000 percent
16 increase in the demand for data usage due to the
17 iPhone, and imagine the widespread adoption of the
18 mobile internet by Enterprise will have over the
19 next 10 years. I'm sure a sure a similar effect.

20 In the area of public safety and
21 communications, the task force should emphasize
22 the use of technologies that promote spectrum

1 sharing rather than setting aside valuable
2 spectrum for single-purpose use, and in all areas
3 of consideration, first consideration should be
4 given to new technologies to help us maximize the
5 use of available spectrum, including broadcast
6 technologies that extend the reach of today's
7 networks.

8 And I want to echo a comment you made on
9 the SBIR, Dick. Many years ago -- in fact, in
10 1982 -- I was staff director of the subcommittee
11 on Capital Hill where the SBIR bill originated,
12 and it would be great if the recommendations
13 brought some of the collaborative discussion that
14 you brought up earlier and maybe the FCC could
15 take a roll in working with other agencies --
16 primarily the Department of Defense -- in funding
17 small businesses for spectrum sharing, spectrum
18 extending technologies.

19 So, thank you very much.

20 MR. SICKER: Thank you. The whole issue
21 of SBIR is quite interesting.

22 I've had some conversations with a

1 number of different funding agencies, and the
2 great success early on that it met with kind of
3 allowed it to continue kind of unchanged for
4 years. Now the question is, is SBIR an effective
5 funding tool. And there's a lot of people who
6 aren't so sure about that, and probably it's worth
7 visiting how that might change and evolve to meet
8 the needs. So our next speaker is Marcus Weldon,
9 CTO of Bell Labs.

10 MR. WELDON: Thank you very much. So
11 I'm going to have unprepared comments. Seriously
12 though, I have the corporate CTO role for
13 Alcatel-Lucent, which makes me inclined to sell
14 products. But then I have the Bell Labs role,
15 which makes me disinclined to sell products. And
16 so I'm going to let you talk from the Bell Labs
17 side of my mouth, which will mean that I'm not
18 going to push any particular agenda but try and
19 talk openly about what Bell Labs is and has been.

20 Bell Labs keeps coming up as this -- of
21 virtue and indeed it's a very virtuous place. I
22 joined it in '95, which is an interesting period

1 of time because I was actually AT&T in '95 for one
2 year and '97 was lucent and '99 was the bubble and
3 things have been different since then. But I've
4 got a little bit of what I've seen there.

5 When I joined Bell Labs I joined from
6 Harvard and it was the only place in the world I
7 wanted to work. It was absolutely clear to me
8 when I read the papers coming out of Bell Labs
9 this was the only place that was worthy of doing
10 the research I wanted to do. I had an inflated
11 self opinion at the time because -- but it clearly
12 was -- and when I got there it really was that
13 place.

14 So '95; it was a remarkable place full
15 of incredibly bright people and an incredibly
16 competitive intellectual culture. And just to
17 calibrate you, in '95 there was still 150 people
18 working in physical science, which meant basically
19 physics. These people generally did not produce
20 devices; they discovered phenomena that lead to
21 devices.

22 And just to remind you of what those

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1 things were, they were the transistor, the laser,
2 cellular communications, the latest Nobel Prize in
3 CCD, which was the seventh Nobel Prize for Bell
4 Labs in the last 50 years; 11 Nobel Prize winners,
5 quantum hall effect, fractional quantum hall
6 effect.

7 All of these things happened in Bell
8 Labs. It was a remarkable, remarkable place. So
9 -- and that continued, by the way, through '97,
10 '99, and the bubble. There was in fact an
11 increase in the physics department and it still
12 was a hot bed of places for new researchers to
13 come.

14 Around '99, 2,000, when the bubble
15 happened, of course there was a reassessment of
16 all of that and as a result there was that
17 diminution of fundamental research that everyone
18 has been talking about. So though Bell Labs is
19 still funded at a very high level, it's around
20 about a percent of Alcatel-Lucent's funding, which
21 is still on the high side for fundamental
22 research.

1 It is more applied research than it used
2 to be. That physics division now has been
3 renamed, enabling physical technologies. But
4 notice, physical technology is not physics. And
5 so that is part of the transformation that has
6 happened and it is a more applied place than it
7 was even 10 years ago and even, I would argue,
8 seven or eight years ago.

9 So why? Well fundamentally, the first
10 thing to go when economic times are hard and
11 clearly lucent in Alcatel- Lucent -- had some
12 financial difficulties in terms of it's in an
13 increasingly competitive marketplace. Why is that
14 increasingly competitive? Well, to be frank, the
15 Chinese vendors are very hard to compete with in
16 terms of the cost points they set in the market
17 and they increasingly are penetrating all markets,
18 particularly in Asia but Europe and now, North
19 America.

20 So that really does have an impact in
21 the profitability of Western companies. And as a
22 result of that, there's a -- in the funding level.

1 If your top line goes down in Europe, percent of
2 the top line, then your revenue base that you fund
3 corporate research with goes down. And so over
4 time it made sense to move away from fundamental
5 research and it frankly was because of market
6 pressures and market share decreasing.

7 So that's what happened. And as a
8 result Bell Labs now is a more applied place. And
9 the way we approach innovation is by funding small
10 internal ventures so we actually borrow from the
11 venture model, to have Alcatel- Lucent ventures,
12 which come out of Bell Labs, as well as larger
13 what we call grand challenges, which are where we
14 really take a bet on something. So it's a
15 different culture now.

16 Grand challenges and venture, internal
17 ventures are the ways that Bell Labs actually puts
18 a bigger bet on things in order to make better use
19 of that small chunk of money. So -- so that's
20 where I came from.

21 It's still an incredibly innovative
22 place. But the mention was made of how do we do

1 Bell Labs 2.0. I think we need to look at many of
2 the comments made around how an increasingly
3 competitive marketplace, where the revenue base is
4 diminishing, we can still do that sort or level of
5 fundamental breakthrough research, competing with
6 the Asia Pac companies who are doing it with a
7 fraction of the -- count cost. So that -- makes
8 it very difficult to sustain all of the innovation
9 that we used to be able to sustain given that our
10 -- count costs are necessarily high.

11 So what could we do? I agree with the
12 proposal that having collaborative research
13 enterprises across companies is certainly one way
14 in which this process can be reversed somewhat.

15 So if you're looking at the idea of that
16 100, 150 researchers in physics that used to be in
17 Bell Labs, there's no reason why that can't be
18 reconstituted as a collection of grand challenge
19 researchers working on the big topics of
20 importance to the U.S. And so that I think is a
21 very important recommendation.

22 I think also allowing for innovative

1 differentiating technologies in the U.S.
2 marketplace. I'm not arguing for protectionism
3 but I'm arguing for technologies that allow
4 innovation. So I do agree that one troubling area
5 with the net neutrality regulation is in a sense
6 -- arguing for the lowest common denominator
7 platform, as opposed to a truly innovative
8 platform that allows differentiated services --
9 maximum degree of innovation.

10 Our argument is allow for a platform
11 that has a maximum degree of innovation. The
12 innovation changes its place, and position, and
13 time, and space and so you need to support
14 maximally differentiated innovative platforms,
15 some of which will support high speed internet
16 type connectivity as we know it today and some of
17 it will support what we call the manage services
18 aspect.

19 When we believe that at least having
20 those sorts of innovative platforms allows U.S.
21 companies to have a head start perhaps in the
22 technological realm and compete more favorably

1 perhaps against the local -- who frankly are
2 impacting our ability to then fund R&D.

3 So a couple of things; innovative
4 platform support, large scale collaborative
5 projects are good ideas to keep the U.S. more
6 competitive in the marketplace. Specifically, and
7 I'll make some comments about technologies where I
8 do think there should be investment, I think if
9 you set the bar at 100 megabits per second per
10 subscriber, which is a worthy goal and in fact one
11 has already been met by European companies. In
12 France, for example, free France Telecom both
13 offer 100 megabit per second nominal rate to
14 subscribers and yet the U.S. is far behind that.

15 So if the U.S. wants to lead, it has to
16 set a bar of 100 megabits per second to the
17 population. That's a very aggressive goal
18 requiring many different technologies and I think
19 -- we had grand challenges projects around those
20 sorts of goals. So for example, how to do it over
21 copper, how to do it over wireless technologies
22 whether it be fixed or wireless with mobility, and

1 how to do -- technologies in a way that is both
2 innovative and lowest total cost of ownership.

3 So if you have grand challenge projects
4 around those ideas, then for example, out of that
5 will come the necessary evolution and DSL 5
6 technology, cable modem technology, perhaps its
7 spectrum or its modulation schemes for cable
8 modems.

9 Out of that will come network MIMO, for
10 example, and wireless technology or enhanced self
11 optimizing networks and small cell technologies
12 that will all come out of that, as well as low
13 cost WDM optics, low cost -- optics will all come
14 out of those initiatives to make those deployments
15 more and more affordable.

16 Mobil home networking technologies will
17 also be one of the other offshoots of that. So --
18 so I think if we set a large grand challenge goal
19 around how to get 100 megabits per second
20 connectivity to every subscriber, which is
21 essentially just matching some of the European
22 countries, maybe it's collaborative enterprises

1 with support at a regulatory level for innovative
2 approaches to allowing differentiated services,
3 then I think we have the beginnings of a recovery
4 that would rival the kind of -- that Bell Labs
5 wondered of in terms of its ability to impact and
6 change the U.S. economy and culture.

7 So overall I think that's essentially my
8 summary remarks. I think that, you know, to
9 recover Bell Labs we need to reinvest at a federal
10 funding level, as well as, to encourage grand
11 challenge type collaborative projects. And we
12 need to set a goal that is clearly around the
13 Broadband yard stick and any application that
14 drives those will naturally be a by-product of
15 that.

16 So it'll lead to massive degrees of
17 innovation and regrowth in the economy, and one
18 that rivals Europe and Asia Pac and allows us to
19 compete more effectively with low cost commodity
20 type equipment vendors, which essentially drives
21 companies like Alcatel-Lucent to minimize their
22 investment in research when they compete in those

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1 markets. Okay; well, with that I'm out of time
2 and I'll be happy to entertain any questions.

3 MR. SICKER: Thank you. Actually I will
4 start with one. I'm trying to think how to even
5 put this together. So given the -- and we all
6 know that Bell Labs has lost a lot of its kind of
7 hardcore basic research over the years, how long
8 out does that take before it really hit in terms
9 of the products and the discoveries and -- have
10 you done studies like that? Can you share any of
11 the --

12 MR. WELDON: Yeah; it's a very good
13 question. I think it's decades, right. I mean we
14 just won a Nobel Prize for CCD, which was 1956,
15 '52. So we wouldn't -- it'll take 50 years to
16 know that we haven't -- that we caused a real
17 manifest corruption in that process, right, to be
18 honest.

19 One thing pointed out by that Nobel
20 Prize winner was Nobel Prize winners are being
21 awarded further -- physics are going further back
22 in time. So at some point there must -- they'll

1 run out of people who are still alive and they'll
2 come back and award Nobel Prizes in newer
3 technologies.

4 But it really is a long time frame thing
5 -- apart. You're talking about 30 to 50 years
6 before you know whether you've actually, at least
7 at the Nobel Prize recognition level, know whether
8 you've impact your degree of innovation. Of
9 course, I think technology availability in the
10 marketplace of CCD was out there probably within
11 20 years and is now in every device from digital
12 camera to a video camera, et cetera, projecting
13 screen.

14 So I think it's a 20 year perhaps until
15 you know the success the marketplace -- 50 year
16 until you know if you're still leading Nobel Prize
17 level innovation in your culture. So it's a long
18 time.

19 MR. LEVINE: My industry complains about
20 a lot --

21 MR. SICKER: Dick.

22 MR. GREEN: Well, I think it varies

1 quite a lot. I think the average time for ideas
2 -- to get to the market was on the six, seven year
3 category, but those were developments. They
4 weren't basic research. You know, some
5 developments like high definition took a very long
6 time, almost 25 to 30 years, before it really
7 became dominant in the marketplace. And so I
8 think everyone's different. I, you know, was
9 involved in research -- shortly out of graduate
10 school and these were more DOD kinds of things and
11 they were in the 15, 20 year period and they were
12 laser kind of fundamental kinds of things. So it
13 depends.

14 MS. SICKER: You can imagine my thought
15 is that -- I know that the -- and others are
16 looking at this but this is one of those eras
17 where we, you know, we're going to start feeling
18 it. We're going to -- really start understanding
19 the impact of the loss of that kind of basic
20 research funding.

21 MR. GREEN: So we're eating our --

22 MR. SICKER: Right.

1 MR. DROBOT: I think the point is while
2 you may be wondering about what Nobel Prizes one
3 gets, you know, from an organization that gave you
4 DSL, ISDN, quite a few things, Sonet, ATM, things
5 along those lines, I know longer see the kind of
6 investments that made those things possible.

7 And if I were to make a case, corporate
8 case, that years from now I'm going to have to --
9 bust your product, bring this to market, I just do
10 not see the investment -- very possible. And I
11 think most of my colleagues would share that
12 across the table.

13 MR. WELDON: A comment on that. I mean
14 I think we struggle but we do still do some of it.
15 So there's a vestige of it and DSL technology for
16 example, there is a thing called vectoring that
17 has been five to seven years worth of investment
18 that will probably come to market in two years.
19 So we can still do 10 year level research but it
20 really has to be probably tied to an existing
21 technology, otherwise it falls into the --

22 MR. DROBOT: So it's an improvement.

1 MR. WELDON: -- it's an improvement but

2 --

3 MR. DROBOT: --

4 MR. WELDON: -- it is a -- the other
5 things we call grand challenges and those are
6 whole new spaces. And it's difficult to find
7 anything in between those, which is a lot that's
8 falling through the cracks, I think, which are
9 things that are really good ideas, but they're not
10 quite big enough to be game changing. And then
11 things that are evolutions of current
12 technologies, we can bet on for five to ten years
13 still because we see the --

14 MR. SICKER: I think Stagg had a
15 comment.

16 MR. NEWMAN: Well, really trying to in
17 fact get deeper into that, David gave a lot of the
18 indications of where the inputs are down in number
19 of PhD's produced, number of funding this and that
20 -- are the canaries in the coal mine that says --
21 because the oxygen level is down, things are
22 starting to die. You know, the one example, for

1 example, Cisco I know just awarded best inventions
2 around the world in the internet space and there
3 were no Americans on that list. So maybe,
4 particularly from Mark, who is a venture
5 capitalist, Cisco is not on the panel, or
6 Microsoft, or Motorola; are you now going overseas
7 to find that next invention you're going to
8 product -- or the next company you're going to
9 fund or not?

10 MR. SICKER: I wonder if the canary in
11 the coal mine is that the FCC is asking about
12 research recommendations.

13 MS. BAKER: I just want to comment,
14 echo, that I think that's a really good question
15 that was sort of leading into the next, which is,
16 you know, what color is your choice as to where to
17 perform the research?

18 MR. DROBOT: So let me maybe give you
19 the following answer. It's not a comfortable one.
20 We did, from my organization, a survey of
21 sponsored research programs around the world and
22 compiled a little book that first of all has what

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1 I call economic development agencies.

2 You know, 25 of them in Europe, a lot of
3 them in Asia, Singapore, Malaysia, the Chinese
4 have one, Taiwan has one, and each of those
5 provides considerable subsidies for placing
6 research activities in their territories. Again,
7 if I look around the table, I know Microsoft,
8 Motorola, Lucent, you know, Bell Lab, all take
9 advantage of this today.

10 The second thing you have are national
11 programs. There is the 843 Program in China and
12 that's not just an incentive, it's direct funding
13 for research activity. Seventh Framework Program
14 in Europe, which has I mean an incredible amount
15 of dollars associated with it; it's -- I think
16 10.3 billion euros.

17 You have similar programs in Korea,
18 again, Taiwan, really around the world. And what
19 you're starting to see is that of the 5,000 PhD's
20 that we actually produce annually, nudges the
21 60,000 at the Bachelor's level. Around 70 percent
22 of those are not U.S. citizens.

1 They are now going back home, they're
2 practicing, and they can find better streams of
3 funding under home territories than they can in
4 the United States. And so to -- you know, we're
5 -- I have a laboratory in Poland, I have one in
6 Taiwan for exactly those reasons.

7 MR. SICKER: It has been my experience
8 -- two of my --

9 MR. DROBOT: And one more -- you know,
10 if you actually want to experiment with 4G
11 networks and advance services, you've got to go to
12 places where they're fully -- they also have the
13 laboratories for doing that.

14 MR. SICKER: Victor.

15 MR. BAHL: Yeah; I have a couple of
16 comments regarding Bell Labs 2.0 and -- time to
17 market, et cetera. So I think, you know, MSR is
18 much younger than Bell Labs and I used to work for
19 DEC Research -- Research, no longer here. But so
20 -- there were a lot of lessons that we learned in
21 the process of seeing -- PARC go away -- go away.

22 And part of the lessons sort of resulted

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1 in the present culture research where we
2 recognize, you know, we're not dumb people, we
3 sort of recognize the fact that there's a love
4 hate relationship between products and research.
5 So when the going is good, you know, products --
6 this is great, you know, this is going to keep the
7 future alive. When the going gets tough, it's
8 mostly like what are we paying them for, right.

9 So I think the trick there is now to
10 balance your portfolio of research projects. One
11 of the first things that I sort of tell -- is
12 don't get frustrated when your products don't get
13 transferred because it does take, as you said,
14 seven to eight years in our case, for something to
15 go and that is -- that is -- something not very
16 fundamental. You know, but something more
17 fundamental will take much longer time.

18 Now regarding sort of the where do you
19 go for research problems and things like -- or you
20 know -- things that you asked for, it is true, we
21 have labs in India, we have labs in Beijing, we
22 have labs in Cambridge, and U.K. So we have sort

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1 of good experience with that.

2 It's not that important where the sort
3 of the idea comes from. I do realize -- I mean I
4 do, just going there and talk to individuals, I do
5 think, on a positive note, that because research
6 is one of those things that requires maturity,
7 sense of maturity, sense of -- with the right
8 investment, the talent is still here, I mean in
9 terms of what the right problems are.

10 I was mentioning this earlier at lunch
11 that it was in January I was in China and one of
12 the workshops, which was called Teaching the
13 Teachers, and they were all faculty members there
14 and they were asking us questions about how do you
15 select your research problems, what do you do.
16 And we found that the money coming in to those
17 people was far more than anything that the -- in
18 the United States.

19 I mean I don't remember the numbers but
20 it was -- three times. But the problems that they
21 were going after were not as good. But the
22 interesting thing is that they will -- they're

1 also sharp and they will understand these things
2 and then they will get on to the big problems.
3 And you can see that in terms of how many papers
4 are being -- by China or India into all of these
5 conferences that we look at.

6 So it's not bad yet but definitely the
7 governments across the world are doing all of the
8 right things, putting all of the right structures
9 in place, the policies in place, to make sure that
10 they come out ahead. And if the U.S. sort of lags
11 and doesn't -- doesn't sort of internalize that at
12 this point and doesn't go back and reinvest in the
13 research community, which made them great, then
14 there is a serious problem about 10 years from
15 now, 15 years from now, that we will not be where
16 we are today.

17 MR. WELDON: I'd like to echo that.
18 Bell Labs, again, was never really America in
19 turns out, in terms of its composition. It was
20 probably more than 50 percent European in terms of
21 the researchers. The difference was they stayed;
22 so that's a very important point and as you can

1 tell, I'm not natively an American. But the idea
2 was that we stayed because it was a fantastic
3 environment, fantastic innovation engine in the
4 U.S. culture.

5 But I think the point made that now the
6 idea is to go back to native culture where the
7 funding is perhaps more secured, where there are
8 more opportunities outside of the VC system, which
9 I think is still quite healthy in the U.S, is the
10 problem.

11 So there's actually the brain drain that
12 used to come here is now more like a U turn and
13 that is a misuse of, I think, U.S. educational
14 resources and actually also compounds the problem
15 we have, that we're talking about. So I very much
16 agree with that.

17 MR. SICKER: Rashmi.

18 MR. DOSHI: I guess just expanding on
19 that point a little bit further too. I mean
20 earlier in the previous panel we heard a couple of
21 comments about how global research -- and sort of
22 -- and others are being conducted. How does one

1 balance? I mean is there sort of a -- that says
2 this is U.S. only, this is worldwide, this is
3 global -- collaboration -- across the board or
4 does it even make sense to talk about it in terms
5 of what research funding really should look like?
6 I mean -- and one of the points I haven't heard
7 anybody comment here is what's the role of
8 academic universities in the work that you do in
9 terms of funding your own research versus what you
10 would fund at universities?

11 MR. BORTH: So I'd like to start on that
12 one, the last point in particular. Since we've
13 dropped the amount -- percentage of research that
14 we used to do internally we rely much more heavily
15 on academic research going forward. And we've
16 always relied on academic research to provide
17 basic research.

18 They were the ones that could go out
19 there and work on the long range problems. But we
20 rely much more heavily on that now. Now is the
21 funding coming from the corporation to academia?
22 I'd say given the current recession, no, that's

1 not the case. So that is a problem going forward.

2 In regard to around the world do we find
3 centers that are better environments to do our
4 research; we've had centers in China, we've had
5 centers in India, we've had centers in Europe.
6 No, it's a diversity of thought that we've
7 observed.

8 In some cases they pick up certain ideas
9 faster than we would in the U.S. Just as an
10 example, short -- service was available at GSM
11 from day one and yet we didn't pick it up -- adopt
12 in the U.S. until much, much later and that's just
13 the case. You know, but it is a way of really
14 looking at certain trends around the world. But
15 overall, I haven't found any particular area
16 except for the diversity of thought.

17 MR. DROBOT: You know, so there are, you
18 know, when you start looking at research and sort
19 of peel things back, I would say that there are
20 things that have become orphaned. Okay; so let me
21 take a look at a couple of those.

22 Okay; first of all, if I take a look at

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1 building a network in India or building a network
2 in China, it is very different from the way it is
3 done in the United States. The craftwork, the
4 labor, environmental factors, you know, how do I
5 build stuff that doesn't require air conditioning
6 enclosures, things of that sort of -- very
7 different; okay.

8 What I don't see -- the way we have
9 disaggregated the industry essentially is, how do
10 you get, okay, the balance of investment in things
11 other than just that physical network itself?
12 Because a lot of the cost we put on our consumers,
13 okay, that's only a small fracture. And when I
14 look at something like fiber -- to the home, the
15 electronic cost of goods is less than 50 percent;
16 the rest of it is labor, okay.

17 Okay; who is investing and making sure
18 that we have the best labor practices, okay, the
19 best technology, in fact, for during deployment?
20 So if you look at a national bill, you know, how
21 do you slice 30, 40 percent of that national bill
22 essentially, okay? And that's unique to us

1 essentially at that point.

2 So there is a whole class of problems in
3 this. Operationally; how do you run a network?
4 Again, you know, that's a large chunk of -- cost
5 to consumer. How do you do that a lot more
6 efficiently? How do you do that in an automated
7 way?

8 MR. WELDON: And a related point to
9 that. I mean of course the other way you could
10 approach -- I agree that the U.S. is a labor
11 intensive place because of the shear physical
12 distances -- of course if you could develop
13 technologies that were uniquely applicable to the
14 U.S. in terms of long reach technologies, are
15 highly optimized to get to a higher capacity in
16 longer reach scenarios. And that would clearly be
17 a good national Broadband initiative that doesn't
18 require you to solve the labor problem in terms of
19 the cost of labor.

20 Back to the research thing; we have
21 research -- Bell Labs is about to open a Bell Labs
22 -- as well. We have China -- Singapore --

1 Antwerp, and New Jersey. The issue is really the
2 -- we see actually in India and China; is the
3 biggest problem we have because that is
4 essentially leakage of learning and it's not
5 malicious and it's not even a violation of any
6 particular -- property. It's the -- headcount
7 through those sites that is the most troubling
8 because you don't get that permanence of
9 knowledge, right.

10 And so it's great to have new research
11 come in with a radical thought but with -- and a
12 little bit of history behind that; the radical
13 thought doesn't get developed optimally. So we
14 see a lot of -- in China and India that makes it a
15 little more problematic.

16 Of course all software -- tends to move
17 quickly to India, which also means there is a
18 divide between physical sciences and software that
19 happens. And so that is a bit of a troubling
20 trend as well if we're getting a lot of -- on the
21 software side where that is -- substantial -- the
22 innovation is now in addition to the physical

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1 technologies.

2 So those are some of the issues we
3 wrestle with, I think, as opposed to the
4 difficulty of running a global project which isn't
5 the biggest problem; it's the fact that the
6 headcount is fluxing and their skill sets are very
7 different. So that's the issue we see.

8 MR. DOSHI: I mean where I was leading
9 with the question was I saw some really good
10 proposal from David -- in terms of creating
11 national cooperative research associations and
12 others. And then on the other hand, we have the
13 issue that we don't have long term fundamental
14 research. And then the third dimension is this
15 globalization of research activity.

16 How do we reconcile and -- create? Is
17 it national research cooperative -- pointed out a
18 whole bunch of global subsidiaries to try and
19 leverage that? I mean how do we get our arms
20 around some of these funding issues, management
21 issues, and --

22 MR. BAHL: Let me take a shot at the

1 earlier questions and I'll maybe go after -- more
2 elaborative question that you asked. So from an
3 earlier -- the question you asked about university
4 funding was -- sort of what research labs do. And
5 you know, we -- there was -- about a couple of
6 months ago or maybe a month ago that I was at and
7 something like this was brought up there too.

8 And so my remarks there were the
9 following, which is I think that academic research
10 should not be encumbered at all by any of the
11 constraints that research labs may potentially
12 feel. Now -- research is one of those very few
13 rarities today that allows us to do fundamental
14 research. We are not dictated at all by what the
15 product groups are doing. You know, we don't ask
16 anybody for what projects we're working on. You
17 know, we do whatever we think we want to do.

18 However, because of the sensitivities
19 that I brought up earlier, I noticed that at least
20 in the area that I had, which is around systems
21 and stuff, there is a bias towards selecting
22 projects that sort of fall in the intersection of

1 what would constitute research and get great
2 publication on Tier One conferences and also
3 impact product; perhaps not now, but in about six,
4 seven years.

5 Now -- and I think there are people in
6 the lab who are doing things which are much
7 further out, which will never see the light of
8 day. Hopefully they will, but may not see the
9 light of day. But in academia, I think that they
10 don't talk to customers, they don't have to worry
11 about operational cost, managerial -- sort of
12 thing. Although these are important subjects, but
13 they should be unencumbered and should think
14 freely.

15 And I don't see enough of that. I sit
16 on the NSF panels, and I review a lot of
17 proposals, and I go to these workshops, and listen
18 to them, and I seem to remember work being done.
19 And so there has to be some reeducation, there has
20 to be certain, sort of a goal, for example, as was
21 mentioned earlier.

22 I think presenting a really interesting

1 hard to reach challenge in the context of national
2 priorities and then funding it with the right
3 amount would allow academics to actually get into
4 that business of trying to reach that. Industrial
5 -- initially react. Let me give you a very simple
6 example of this.

7 So we talk about cognitive radios, we
8 talk about wide space network and things, and the
9 way -- the policies are written in a way, you
10 know, we think about it and we think about --
11 kinds of -- the radio device, for example.

12 Now in academia, there has been a lot of
13 work around cooperative sensing. They are sort of
14 like where -- sensing the environment and sort of
15 deciding, you know, whether there is a primary
16 user or not user. Now they don't worry about
17 certifications, they don't worry about, you know,
18 what the policies are, they just do their
19 research. And the results show that if you do
20 cooperative sensing, for example, you can actually
21 lower the threshold that, you know, the policies
22 have come up with. For example, you know, you're

1 thinking about -- you can actually do it at much
2 lower thresholds and still get the same level of
3 acceptance in terms of positive things.

4 So the way we sort of separate research,
5 I think is the stuff that we do very well at the
6 research lab -- do well because they have a lot of
7 data, we can do stuff, and we fund research that
8 we are not necessarily doing. So that's sort of a
9 separation between university and us.

10 And in terms of labs, for example, an
11 India lab, when the researchers come there, we try
12 to -- the process in a way that they try to do the
13 research that is relevant to the countries that
14 they are in. That's not necessarily always
15 happens because we don't necessarily sort of tell
16 people to do -- research, but the -- does happen.

17 I mean it was mentioned; the networks in
18 India are very different from the networks in
19 China for example. The white concept of white
20 spaces is not there really in China the way
21 they've sort of handled it. In India they're
22 still coming around. So I think we tried to sort

1 of break it up in that manner. But ideas can
2 float from anywhere and we encourage that in a big
3 way.

4 MR. WELDON: I think -- the decoupling I
5 don't think I agree with. I think academics
6 working with the research labs and industry, as a
7 collaborative enterprise -- on different aspects
8 of the problem. But as it appears, it is one of
9 the optimal ways to innovate, right. So the
10 industry should not encumber nor dictate what
11 academics do but there should not be a separation
12 between the two; they should be part of a
13 continuous collaboration.

14 And so Bell Labs does a lot of that too;
15 we have an office of the chief scientists that is
16 specifically responsible for academic
17 collaboration. We don't fund them but these are
18 collaborative projects with the universities and I
19 think that's actually a very powerful way in which
20 we extend our thinking beyond some of the more
21 encumbered thinking that perhaps we've become used
22 to. So there still is a very important avenue for

1 us and I think it should be encouraged more.

2 And I think in terms of grand challenge
3 proposals or big visions, there's no reason why
4 this can't be extended so that researchers from
5 outside of the U.S. can actually participate in
6 that project, I think, and that would be ideal so
7 you don't have to partition it to be only America
8 researchers working on that topic because I do
9 think there are different skill sets that need to
10 be brought to --

11 MR. SICKER: Adam.

12 MR. DROBOT: So you know, I actually
13 sort of wrote down -- didn't get through -- a
14 little too slow in the prepared remarks. Really
15 wrote down some characteristics of what successful
16 funding would be and how you would go about doing
17 it. And you know, I started off by actually
18 looking at Bob Lucky's report for the National
19 Academy, which proposed that we actually set up a
20 national telecommunications laboratory; okay.

21 Myself, I'm not a fan of that for lots
22 of reasons and I took a hard look at it and, you

1 know, here are some of the things that I think
2 really do matter; okay. The first one is in
3 selecting research to be done. I think having
4 access to data and access to real problems is an
5 essential.

6 If I look at the heart of my own
7 organization, which used to be called Bell Corp.
8 before it was Telecordia, and service the -- I
9 think one of the reasons it was very successful in
10 creating technologies that had wide usage, okay,
11 that were promulgated and had wide acceptance, is
12 really the trusted access to data and exposure to
13 real problems. Okay; and you know, from -- Bob
14 Frosh used to run NASA once upon a time. He had a
15 very wise saying and that is if you want
16 technology transfer, you want to do real things,
17 you have to move people around.

18 One of the things we did as an
19 institution is for a new researcher, one of the
20 first things that they did is a tour of the
21 operations in each of the Bell Lab -- in each of
22 the Bell Operating Companies. So they got, you

1 know, dirt under their fingernails to actually
2 learn what was important and what wasn't
3 essentially; okay.

4 And so when I start looking at, you
5 know, what constitutes good research, okay, it's
6 not that you can show functionally what something
7 is, okay, that is the easy step. Okay; it is all
8 of the other things that you have to build around
9 it so it becomes practical over some period of
10 time.

11 Okay; that doesn't happen without
12 research. And so what I'd like to do is actually
13 offer an example of where we as a nation do this
14 very successfully, okay, and that is when I look
15 at processors, no matter where they're
16 manufactured in the world, okay, the real core of
17 that technology still comes from the United
18 States.

19 And every time we move, let's say, the
20 rules from nanometers to 32, so you stay on the
21 Moore's Law Curve, okay, there are something like
22 20 inventions, 20 curves, that have to move at the

1 same time. Okay; it's not incremental. Each of
2 those requires a breakthrough; invention of new
3 materials, new properties.

4 The same is true of telecommunications.
5 You know, we have a project today from DARPA to
6 look at one terabit per second on fiber, okay.
7 What you find is a lot of the components that you
8 need in real systems don't exist today. I can't
9 build the right buffer, I can't do my processing
10 fast enough, somebody didn't invest in the gallium
11 arsenide at the right speed; all of that has to
12 come together. You do that list, it's 30 or 40
13 things that has to happen; okay.

14 So one of the things that's successful,
15 again, in this game is the creation of roadmaps,
16 okay, and understanding how we go up the
17 improvement curve but in a very predictable way
18 because I think a lot of magic happens when you do
19 that. Okay; so I think that's important.

20 Let's see; the next thing is I don't
21 think we need to build another laboratory starting
22 from scratch essentially. A model of an

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1 organization that actually balances participation
2 from government laboratories, the commercial
3 sector, and academia, and it's really based on the
4 best ideas and the best science is really what
5 ought to be at the core of it essentially.

6 Okay; nothing happens unless it is well
7 resourced. One of the things in Bell Labs, one of
8 the things with Bell Corp., we had a monopoly that
9 essentially generated the funding that made all of
10 this research possible. Okay; that funding basis
11 in here today. Whether they used the universal
12 service fund, whether you have a research title in
13 the next telecommunications act, okay, I would say
14 some level of funding really focused on a
15 Broadband mission for the nation is something
16 that's worth while setting up.

17 Okay; but not to create another large
18 bureaucracy -- essentially with people coming in
19 rotation, more like the DARPA style possibly.
20 Okay; I think that's where we would get the best
21 bang for the buck, okay.

22 MR. SICKER: Broadband research tax.

1 MR. DROBOT: Not a tax.

2 MR. WELDON: To underscore that though,
3 I mean if we go through the Nobel Prizes again,
4 because they -- I mean maybe there's nothing more
5 definitional than a Nobel Prize to say
6 fundamental. But in fact, the transistor was
7 solving a problem which was the vacuum tube,
8 right. CCD was actually looking for a memory
9 device. It was a thing called magnetic bubble
10 memory if you remember that and that wasn't and
11 that wasn't optimal --

12 MR. DROBOT: -- practical purpose.

13 MR. WELDON: -- and so out of that came
14 a design for something that was a storage device
15 and then they found it propagated charge in
16 response to lighten -- CCD et cetera, et cetera,
17 et cetera. Nearly all of these came about by
18 solving a practical problem on the table, which is
19 again, back to this point that when there's a
20 problem on the table, put some really smart people
21 who are free thinkers around that problem and you
22 get tremendous developments --

1 SPEAKER: -- collaborative.

2 MR. WELDON: -- go ahead.

3 MR. GREEN: Yeah; I wanted to strongly
4 support that. Having the right problem to solve
5 is 90 percent of getting there and there's just
6 countless examples of looking at a problem and
7 finding a new solution. In the cable industry, the
8 problem was that all of the lasers that were
9 available were digital lasers and for linear
10 transmission of multi- channel, you needed to have
11 a linear laser, right. And so solving that
12 problem was the breakthrough that made it possible
13 to carry all of the channels on fiber, on a cable
14 system. And the heart of that, I think is
15 collaborative. If the academics are too isolated,
16 if industry is too isolated, you tend to solve
17 problems that are -- not necessary or are ill
18 defined.

19 MR. SICKER: Right.

20 MR. GREEN: But collaboration tends to
21 bring in all of the elements so that you can
22 discover really interesting problems and really

1 challenging problems and that's when you really
2 get the horsepower from --

3 MR. SICKER: Right; shortens the focus.
4 So let me --

5 MR. LEVINE: -- to that also. The
6 government belongs in that equation as well too.
7 Not necessarily as the driver, but as part of the
8 virtuous cycle and kind of what we're talking
9 about here is how --

10 MR. DROBOT: -- some unique resources.

11 MR. SICKER: And ability to do it.

12 SPEAKER: Yeah.

13 MR. SICKER: So Commissioner Baker
14 pointed out that we are accepting questions via
15 the web and I wanted to raise one or two of them
16 that I've received. So Brett Glass who's a
17 Broadband service provider up in Wyoming; I'm not
18 going to read the whole question, I mean I'll
19 paraphrase it. But this might have been a really
20 good question for the earlier panel. There are
21 some rule makings going on and Stagg kick me at a
22 distance or the Commissioner if I'm --

1 MR. NEWMAN: -- talk about --

2 MR. SICKER: Yeah; if we can't talk
3 about this. The question is, you know, there are
4 things such as other -- let me put it more
5 general. There are proceedings underway and there
6 are a number of them. And what might these mean
7 for academic and academic research if you have
8 some kind of restriction that's a policy
9 implication? I mean arguably there's a lot.

10 So HDTV, you said it took many, many
11 years. Well how much of it was adoption, how much
12 was it policy, how much was it, you know,
13 cognitive radio? I mean we have cognitive radios;
14 we're doing great things now. But how much of
15 it's being limited by, you know, policy or blocked
16 by policy?

17 MR. GREEN: Let me -- yeah. Let me
18 answer the HDTV question because I really know
19 about that one. The U.S. did take a leadership
20 role. High definition -- there were proposals in
21 Europe and Asia which were hybrids; they weren't
22 all digital. But the U.S. chose an all digital

1 approach and there was a blue ribbon committee
2 that the FCC chaired and really drove the
3 parameters of the standard. So we were very much
4 in the leadership role in high definition,
5 especially because we solved the digital problem.
6 Motorola solved the digital problem actually.

7 And so the policy was very good I think.
8 But what caused the delay was technical and it was
9 because the difference between standard television
10 and high definition didn't become apparent until
11 the screen sizes became larger and there was
12 really no good way to make a large screen for in
13 home use except projection and, you know, very few
14 people wanted to do that.

15 So it hinged on a new development, which
16 was the large screen plasma displays and LCD
17 displays. So even though we had the policy right,
18 I think, and we as a nation were in the leadership
19 role, the barrier of technology got in the way.
20 Until we solved that, it didn't become a product.
21 So sometimes, you know, you really have to wait
22 for the technology elements to be there to make it

1 -- and it was --

2 MS. SICKER: So I kind of --

3 MR. GREEN: -- we didn't know that
4 either. We kept saying why isn't this working.

5 MR. SICKER: I think Brett's question is
6 the flip of that which is can policy get in the
7 way of innovation. And you know, researchers want
8 to innovate and do interesting things. We go down
9 this path and we do inventions and what if the
10 regulatory -- what if regulation cuts us off?
11 What's a researcher to do about that?

12 MR. BAHL: So I don't know if policy
13 comes in the way of research. I want to sort of
14 make two points and then I'll make a third sort of
15 a separate -- the first point is I think that only
16 recently in the last like, I don't know, three or
17 four years, five years, since we started -- I
18 guess, that have researchers started to realize
19 what FCC does impacts them.

20 I mean, you know, whether you believe it
21 or not, I mean it's sort of a different world, you
22 know, they kind of do their own thing and with

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1 whatever they've got and they don't necessarily
2 recognize that the decisions that are being made
3 here are going to have a long lasting effect on
4 them. So -- but -- so this is good in some sense
5 for you to even bring this workshop together and
6 have academicians here, as well as, you know,
7 stuff that NSF is doing because there's been some
8 sort of knowledge -- in fluxing to the NSF and
9 they are having these meetings and they are also
10 getting them -- getting -- inviting -- John was
11 there in the last -- meeting that we were there.
12 So that's all goodness.

13 And now the other point that I sort of
14 wanted to make was that I think that in terms of
15 policy, you know, and think about spectrum,
16 there's sort of debate always about licensed and
17 unlicensed and we know the pros and cons of each
18 and we sort of, you know, we come out in the way
19 that both are very good.

20 But let me say something more good about
21 when you decide to do something unlicensed beyond
22 the economic value that people always talk about

1 and be able to -- a paper or a report, whatever,
2 that talks about the economic value of unlicensed
3 spectrum.

4 But another value for unlicensed
5 spectrum is that it allows, and I said this in my
6 presentation, it allows the networking researchers
7 to get into the stack because it's open. And
8 anybody can build a device, and then anybody can
9 build software for it, and anybody can experiment
10 on it.

11 You can't do that with licensed
12 networks. And so a lot of the innovations that
13 have happened in the last decade or so, or maybe
14 even more, happened because WiFi existed; not
15 because WiFi allowed you to connect, but because
16 I, as a researcher, could get into the stack, into
17 the Windows stack, and actually could change and
18 tweak the parameters.

19 We published a paper, for example, in --
20 that channelization is not a good idea. You can
21 have varying -- lengths. Now, you know, you have
22 to wonder like what the heck, it took so many

1 years to sort of figure that out; no, because it
2 wasn't -- it was sort of like we didn't -- nobody
3 had the option of actually getting in there, and
4 trying it out, and sort of saying that you can
5 actually build a better network. So the point
6 being that unlicensed has this side effect, which
7 is that it enables researchers to do a lot of good
8 stuff.

9 Now, the third point that I sort of
10 wanted to make, which maybe I didn't make a strong
11 one because I was going so fast in my
12 presentation, is -- the discussion has been that,
13 you know, how much capacity -- well one other
14 thought has been can we extract more capacity out
15 of the spectrum. And there's a lot of work, you
16 know, I would -- actually by training IEEE, but I
17 do work a lot with the computer science part. But
18 you know, like for example -- have gotten a lot of
19 efficiency out of -- and have reached us -- got us
20 to the -- limit.

21 Computer science protocols, et cetera,
22 are not there but we get us there. But there's

1 only a limited amount of capacity anyway. So the
2 question is you need to have more -- get more
3 pipe. You have to get more pipe; there's no
4 option to that. Getting more pipe and then you
5 have to say where am I going to get it. And so,
6 you know, there's all of these discussions about
7 try to get it below -- gigahertz pipes, right,
8 because that's where the -- is good and all of the
9 goodness happens.

10 So one of the suggestions that I had
11 made -- the recommendation that I had made was
12 that, you know, we throw out all of these results
13 about, you know, how much spectrum is being used
14 and it's kind of based on going back to one of
15 those sources of data that we have and we sort of
16 just -- I think the research community can help by
17 creating sort of what I call -- national spectrum
18 telescope, which is sort of a real -- database of
19 spectrum users across the country so that you, you
20 know, the government, or anybody at any given
21 point can actually see what's being used and is it
22 actually being used because I bet you when you

1 sort of start seeing that you will see lots of
2 areas where you can actually go in there and say
3 hey, we need to really look at these policies. We
4 need to really look at what's going on here.

5 MR. SICKER: I wanted to add -- Brett
6 followed up with another question that ties into
7 two of the points that you made asking, you know,
8 what the -- a lot of the spectrum being auctioned,
9 there's less and less available. And what does
10 this mean particularly for innovation for
11 entrepreneurs and researchers and should this be
12 considered as part of this research agenda here
13 looking at how do we make the spectrum available?

14 And Rashmi -- and we were talking about
15 that earlier, sort of looking at experimental
16 licenses or other such things, or does it need to
17 be more than that. Does it need to be bands, does
18 it need to be at a larger scale, and how can we --
19 one justify that and move it ahead?

20 A lot of these things are hard to, you
21 know, 2.4 didn't happen for the reasons that it's
22 so successfully used for now. It's a very second

1 order, right, third order. And it's been
2 wonderful, right, but it wasn't the FCC's insight
3 that -- for that. It was built around it. It was
4 available and then cool things happened.

5 MR. DOSHI: I guess just to add to that
6 just as a reminder, in fact, both in our wireless
7 innovation and -- and also in the research there
8 are proposals -- looking for inputs, concrete
9 inputs in terms of what additional things we can
10 do to create experimental test -- beyond what we
11 have right now.

12 I think it's part of -- encourage. And
13 again, we seem to be talking quite a lot about
14 wireless. I don't know if there are similar
15 concepts for wired that one ought to consider or
16 at least, I think --

17 MR. DROBOT: There ought to be.

18 MR. DOSHI: -- and the question is what
19 are they? I mean we're not clever enough to
20 figure that out, perhaps there are things that
21 perhaps could be proposed in terms of --

22 SPEAKER: --

1 MR. SICKER: One of the things I was
2 going to mention before is that, I mean, Victor's
3 concept of a telescope, actually understanding,
4 actually having a good database and really being
5 able to know what's available, you could then do
6 some more serious experimental licenses. You
7 should -- you could be able to get the bands, the
8 scope, the coverage.

9 MS. BAKER: Or secondary markets.

10 MR. SICKER: That or -- well yeah. That
11 started when I was here.

12 MR. DROBOT: You could take one other
13 view of this whole problem and that is if I look
14 at the use of spectrum and you start looking at
15 what is it that people actually use it for. A lot
16 of us will make a wireless call from our house and
17 maybe the architecture of what we do should have
18 -- things of that sort.

19 So you use the spectrum for what it
20 really ought to be used for and that's mobility.
21 Okay; there's a lot of ways of relieving the use
22 of spectrum and I think there's a lot of ways of,

1 I would say, using MIMO technology to in fact
2 increase the efficiency with which we use spectrum
3 today.

4 MR. SICKER: Marcus; had a comment.

5 MR. WELDON: Yeah; a couple of things.
6 Yes, there are things -- but I'll finish the
7 wireless topic and -- yeah; I think one of the
8 things that clearly could be part of say 100
9 megabit per second wireless or maybe it has to be
10 more than that depending on how you count LTE,
11 whether it's 100 megabit per second wireless, is
12 actually intelligent usage of hybrid or diverse
13 networks so that you're actually -- if a user has
14 a femtocell then allow for some kind of mandate to
15 drive the traffic that.

16 If they're in the presence of a WiFi
17 hotspot, allow for a mandate to drive the traffic
18 that way. So clearly spectrum is good; more
19 spectrum is good. But there should also be
20 intelligence in how to offload that traffic into
21 other networks, whether that's a previous
22 generation of wireless technology or even

1 commercial WiFi hotspots.

2 So that's a way in which spectrum gets
3 reused optimally, allowing -- devices to support
4 that sort of mode of being driven to connect to
5 the network that is the one that is optimal from
6 the spectrum usage standpoint; for the service
7 that they're looking to get at that point in time
8 would actually be a very valuable way of
9 optimizing the spectrum that is already deployed.
10 So you know, some kind of mandate in that
11 direction or research in that direction even would
12 be a good thing to do as well.

13 MR. SICKER: I do think -- I think it's
14 important to say that I -- we talked a lot about
15 spectrum and people who would look at my CV would
16 think I'm a wireless guy. I'm just a networking
17 guy. I don't want -- I don't want to get off of
18 the importance of understanding the wired network,
19 fiber, copper, and everything else. I think it's
20 a -- I mean it is hybrid. I think we're going to
21 look at a hybrid future and --

22 SPEAKER: Right; so --

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1 MR. SICKER: -- we can't assume that
2 spectrum solves all of our problems.

3 MR. DROBOT: Doug, again, you know, sort
4 of beating a dead horse maybe, when I look at this
5 whole spectrum issue, you know, we find in actual
6 operations the service provider will worry more
7 about -- a stable network than worry about
8 efficiency at times.

9 MR. SICKER: Mm-hmm; right.

10 MR. DROBOT: We find retuning you can
11 recover 20, 30 percent additional capacity out of
12 the network. Okay; if you match the backhaul
13 properly, things of that -- these areas again, not
14 well researched today. Access to that kind of
15 data, whether it's the academic community or other
16 researchers, is where the operational side of this
17 really makes a tremendous impact. And innovations
18 there can be as big as dealing with the physical
19 spectrum itself essentially.

20 MR. GREEN: I certainly agree. I think
21 the future is hybrid networks and you're certainly
22 in a position, and of course the commission has

1 done this already, set some spectrum aside for
2 research in order to get people to use it though,
3 coupling it with some kind of mandate that the
4 priorities ought to be for experimentation hybrid
5 networks.

6 Also -- helpful I think, as was talked
7 about this morning, that that be a national set
8 aside so that researchers in various parts of the
9 country can collaborate using it.

10 But it would -- it would establish a
11 platform supported by the FCC for experimentation
12 and development because probably the greatest
13 need, I would think, for development, not
14 necessarily research, but development is in that
15 area. Hybrid networks, efficient use of spectrum
16 by using the capabilities that are inherent in a
17 hybrid architecture.

18 MR. SICKER: I don't think we're going
19 to turn into pumpkins if we run a little over.
20 Are there any questions from the audience?

21 MR. GREEN: Are you getting a lot of
22 questions on the internet?

1 MR. SICKER: I have just gotten two so
2 far.

3 MR. GREEN: Just two.

4 MR. SICKER: I think.

5 MR. WELDON: I will -- I will comment on
6 the wired side.

7 MR. SICKER: Please.

8 MR. WELDON: Because we have to give
9 some credit to the wired network. Yeah; I mean to
10 answer the optimization question on the wired
11 side, you could argue that PON is such a high band
12 with technology that perhaps no further
13 optimization required and maybe that's reasonable
14 for the time being.

15 But DSL for one, and I think HFC too,
16 there's definitely optimization techniques being
17 applied to -- cancellation that mimic what is done
18 in a wireless domain. Clearly that's another area
19 where if there were more, again, grand thinking
20 big challenge stuff there might even be a new DSL
21 -- in the end or deployment rules.

22 So that's where it could even be some

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1 kind of regulation or recommendation for
2 deployment rules. There is potential to take DSL
3 from a 30 megabit per second service to 160
4 megabit per second service. So there's -- there's
5 a kind of -- you could get there that shouldn't be
6 ignored. And then back to the coupling between
7 wire line and wireless, network MIMO is enabled by
8 synchronous transmission over neighboring cells.

9 If that was backhauled through a wire
10 line element, whether it be an aggregation switch
11 where that was configured and was one of the parts
12 of the initiative that a wire line element
13 backhauling cells to do network MIMO. There are
14 things there that, again, are very experimental,
15 but could be where wired plays a significant role
16 and there's innovation there; yeah.

17 MR. DROBOT: Absolutely.

18 MR. BAHL: Let me just quickly say
19 something that's food for thought for you and
20 that's in the wired space, since that's what you
21 were sort of really wanting -- so I think the
22 issues, as Doug sort of mapped from the spectrum

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1 to the wired, is actually the correct issue which
2 is just the transparency is -- I mean for network
3 -- don't have that.

4 So in -- design, you know, sort of --
5 FCC needs to know what is really possible before
6 policies are made or whatever. Right; and in some
7 sense the more -- the access to network traces
8 actually does help design networks better. I gave
9 an example of P2P -- and these networks are
10 differently designed. And so I think whatever you
11 guys can do in thinking about -- I mean I had
12 mentioned, you know, the government being a large
13 organization, has a lot of the internet works and
14 there's a lot of traffic that can be made and can
15 be -- that can be anatomized and provided to the
16 research community to look at and carefully see
17 what are people using it for and how they are
18 using it.

19 That would actually fall back into the
20 designs of routing protocols, routers, and
21 switches, and all of the other stuff, which is
22 then going to enable a more spread of your

1 Broadband.

2 MR. DROBOT: So one thing, again, I
3 haven't heard in this discussion here is, you
4 know, IP as a protocol has been very successful.
5 The way the network actually runs today, you still
6 have two layers underneath it and there is a lot
7 of research that says what we ought to do is
8 really go to the direct IP protocol of some sort
9 for running everything; number one.

10 Number two, while we may have 4G
11 networks, some of us believe that 5G and 6G are
12 breathing down our necks and they're about a
13 totally different topic. Okay; and that is how do
14 I bring computing, storage, into the picture
15 because again, that takes capital, that takes
16 deployment, it's what gives the user an experience
17 essentially. Okay; and you can think of 5G maybe
18 as, you know, how do I get what I need when I
19 actually need it.

20 Okay; how does my information arrive
21 just in time for what I need? And maybe 6G's, how
22 does the system, and the artificial intelligence,

1 and the other things that we all spent time on,
2 okay, actually anticipate what our needs are going
3 to be.

4 And that gets into the whole world of
5 how do I look after the electric grid, how do I
6 look after automobiles, how do I, you know, sort
7 of provide a world in which it is safe to put a
8 lot of applications, okay, that really -- the
9 network, isn't just the transmission part, it's
10 the -- essentially.

11 SPEAKER: So --

12 MR. SICKER: Commission Baker has to
13 leave at some point --

14 MR. DROBOT: Yeah; sorry about that.

15 MR. SICKER: -- I don't know --

16 MS. BAKER: I am the one that does turn
17 into a pumpkin actually.

18 MR. DROBOT: Okay.

19 MR. SICKER: I don't know if she has
20 questions.

21 MS. BAKER: But let's have a -- I think
22 we should have a couple -- I want to hear a couple

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1 final comments. I think the discussion has really
2 been terrific. It's been all that we had hoped
3 for with the expertise that is, you know,
4 assembled here at the table.

5 I think some of the suggestions really
6 have given us some paths to go down, whether it's
7 more cooperative research, whether it's more
8 unanimity between government research, academia,
9 and private sector.

10 I really think some of the suggestions
11 here have been really terrific. But maybe if, at
12 least for my benefit, if we can maybe have some
13 last comments from the table and then if you want
14 to continue question answer after that then that's
15 great. How does that sound?

16 MR. SICKER: Sure.

17 MR. WELDON: I have a -- my last comment
18 would be a new comment. So one thing we didn't
19 talk about but in the spirit of white space or
20 whatever, one thing we're increasingly seeing is
21 the need to open network API's. So the point
22 about 6G, where actually that's more like a web

1 3.0 applied to the network, meaning that the
2 concepts are that the network -- the web figures
3 out what it is semantically you're looking for.

4 But in order to deliver that
5 efficiently, it actually requires you to control
6 the network probably as well; meaning that -- but
7 not in a close way, meaning the network -- an API,
8 that the application can then invoke the services
9 it needs and then terminate those services it
10 needs.

11 And that's a more organic network, which
12 I think is a very real thing, but it is something
13 again, constructive that could be done from a
14 regulatory point of view; is mandating or
15 recommending network API's be opened up, that
16 application developers can innovate on to take you
17 to the next generation. And that includes a
18 little bit of the concepts of white space. So
19 that was my last remark, which is a new one so it
20 doesn't summarize anything. But, go ahead.

21 MR. SICKER: You will be happy to hear
22 that about an hour of meeting with NSF, some --

1 about a month ago, focused right on that -- on
2 that topic.

3 MR. WELDON: Great.

4 MR. LEVINE: You know, on the macro end,
5 clearly collaborative research and not reinventing
6 the -- there's a laboratory structure in place;
7 there's other organizations within the government
8 that are -- that are looking at the same issues
9 that have mission critical problems and there
10 should be ways to leverage that over the next
11 decade, certainly as we build out our Broadband
12 system.

13 But on the micro level, as long as we
14 have a free, and open, and competitive environment
15 where tiered pricing is involved, where tiered
16 levels of service is involved, where people are
17 free to innovate and provide value, then you'll
18 see entrepreneurs step u and fill the void and
19 there will be capital for them in the market;
20 sure.

21 MR. GREEN: This is a very exciting
22 time. I mean think of all of the really

1 interesting problems that we've just covered
2 lightly here today that would be interesting to
3 work on and -- solutions. And I think the FCC is
4 in a position especially with the Broadband study
5 to help prioritize some of those to focus on the
6 ones that are -- that have the most importance in
7 terms of policy.

8 And I do think that we can do a lot
9 better job of research than we're doing and I
10 think by emphasizing, obviously collaborative
11 associations within industries between government
12 and industry and between the government labs and
13 industry and academia. There's a lot of
14 opportunity there for future development and a
15 much better way of getting our research.

16 I -- one of the issues here which I'll
17 just raise, this is not probably -- summary; it's
18 not -- used to be a glamorous job to go into
19 research and I don't think it is anymore. And I
20 don't know what you can do about that. But I
21 think it was mentioned in the earlier panel, when
22 you get -- after you get a newly admitted PhD,

1 you're first thought is -- to work for a company
2 or start a company. You don't really think about
3 spending time in research or staying in the
4 university as a junior faculty member; it's kind
5 of a -- it's kind of a rough role.

6 There's something that we could do to
7 make research a higher priority, to give it a
8 little more push. I think that would be great. I
9 have no idea what that is but I'll leave that for
10 the Broadband team to come up with a solution;
11 Doug.

12 MR. SICKER: Okay; make research
13 glamorous. John, you take that one on home.

14 MR. GREEN: Well more glamorous --

15 MR. SICKER: Adam.

16 MR. DROBOT: I think we live in very
17 exciting times. I think, you know, for this
18 country to have a leadership in telecommunications
19 and the future, I see research as one of the
20 essentials on the agenda. I don't think it's
21 possible to get there without -- in the process.
22 So I hope the FCC takes a hard look at this and,

1 you know, really ends up supporting how we go
2 forward.

3 MR. BORTH: I think it's very
4 interesting first of all that the FCC held this
5 session because it's kind of unique. You don't
6 see a lot of other agencies holding sessions of
7 this nature to say what could we do to further on
8 the whole industry as a whole, but also the
9 direction of Broadband in this particular case.
10 So I applaud you for actually holding this
11 session, both the morning session, as well as this
12 session.

13 I think the directives from this session
14 were somewhat clear at least to me. One is we do
15 have to have grand challenges. I think that's
16 very important to try -- as Adam brought up the
17 concept of having roadmaps. Roadmaps are a very
18 excellent device for driving technologies and
19 furthermore we need additional funding and
20 collaborative research. And just on that last
21 item, we could pick up various models for
22 collaborative research from various places.

1 I mentioned when I gave my opening
2 presentation that we participated, Motorola
3 participated, in the EU Framework Programs and
4 that is perhaps not the best way of spending your
5 money. We had this conversation at lunch with a
6 couple of us in the sense that there was a lot of
7 money involved but did it really drive fundamental
8 research; definitely not. It was not basic
9 research; it was applied research and politics
10 played a very significant role throughout that
11 whole process.

12 So there are ways -- there are probably
13 lessons learned that should be entered into that
14 phrase. But I think a collaboration is very
15 important between industry, academia, the national
16 labs, and the federal government in that regard.

17 MR. BAHL: Okay; about making research
18 glamorous. I think there is a way. And I think
19 the way is that if we can sort of like, you know,
20 if you can educate the public about the stuff
21 we've done, which is create -- has had large
22 societal impacts than it does become kind of

1 interesting and sexy.

2 But anyway, I think -- first of all, I
3 want to thank you. This is great. I -- it was
4 educative for me as well listening to everybody
5 here and the fact -- the openness of the process
6 is very, very good and heartening. I would say, I
7 think in terms of grand challenges, we should move
8 forward with things like 100 megabits, at least to
9 the anchor institutes. I think that's a good goal
10 to have that is doable and that's going to bring
11 us -- make it competitive.

12 I think you should keep pushing on the
13 white spaces stuff and make the ruling happen and
14 let it, you know, and open it up and the
15 innovations will start to happen and that's great.
16 I think -- it is true, researchers are very
17 motivated by funding. You know that, you know
18 that -- you know where there's money, they'll go.

19 And so if you can bring money to bare on
20 the is problem, I think -- you know, and then
21 start funding a lot of research, it's going to
22 help; it's going to help quite a bit. I do -- I

1 mean I agree with everybody else about the
2 collaborative stuff.

3 I also want to say one thing; that in
4 terms of all of this collaborative, you know, they
5 do exist, geniuses amongst us; well, you know,
6 maybe you, you know, since you were so -- but so
7 the little guys are as important sometimes to --
8 they'll find things that, you know, collaborations
9 may not be able to find. But on that note, thank
10 you very much for giving us this opportunity.

11 MR. SICKER: Thank you. We still have
12 some questions from the audience. The
13 Commissioner might have to leave.

14 MS. BAKER: --

15 MR. SICKER: Thank you for joining us.

16 MS. BAKER: Thanks guys; great to see
17 you.

18 SPEAKER: Thank you.

19 MR. SICKER: I'll ask you to stay a
20 little bit longer; Mike.

21 MR. NELSON: Michael Nelson with
22 Georgetown University, Communications Culture and

1 Technology Program. Adam started to answer my
2 question so let me see if I can get a few more
3 answers to my question which is about the issues I
4 work on. I tend to work on what we're going to
5 use the network for, and particularly, I spent a
6 lot of time with the future of computing, cloud
7 computing the internet of things.

8 And I'd just like to know if you've seen
9 any particularly good roadmaps developing to help
10 us understand what we're going to need to do to
11 the network to support these 5G, 6G networks.
12 We're going to have 500 billion devices connected
13 to the net. We're going to have people doing 50
14 percent of all of their computing out there in the
15 network and yet I haven't seen any good roadmaps
16 that indicate where the bottlenecks are going to
17 be in the network, whether we're actually going to
18 be able to support this fundamentally different
19 way of doing computing and of using the network.
20 So this is -- this is really a specific question
21 about where are the bottlenecks going to develop
22 and then a broader question about how do we start

1 addressing those questions and laying out a
2 research agenda.

3 MR. DROBOT: So let me make a -- comment
4 the following way. If you look at the way we have
5 built networks so far, okay, they're really quite
6 higher -- you have a core, you have something
7 regionally, you have metro area, you have access
8 lines, okay. And I don't care whether it's cable;
9 they're all built the same way. Okay; and there's
10 something in nature that tells you things not to
11 be built this way. So that's one part of it.

12 The second part of it is we used to have
13 this debate is -- intelligence going to be in the
14 network, is the intelligence going to be at the
15 edge. And as the cost of intelligence got lower
16 and lower, you find it's everywhere. It's at --
17 all of that.

18 So when you step back and you actually
19 look at the way people design stuff, okay, and you
20 know, we're at the -- we still, okay, as a
21 discipline -- solutions. Okay; the mathematics
22 and the understanding of networks, usage, how it

1 all comes together, is still very fragile and in
2 its infancy.

3 And so what you find is we create
4 bottlenecks; stuff isn't balanced. If the core
5 pipes aren't big enough, you know, you can't build
6 stuff on the edge; okay. If you build too much on
7 the edge you're paying for a resource that's not
8 revenue for you; okay. And so there's a lot of
9 stuff of that -- that we haven't discovered at
10 this point.

11 MR. BORTH: I don't think we know the
12 applications either. I mean we're using today's
13 applications that we found out, you know, we came
14 up with things like ADSL and, you know, they're
15 asymmetric type applications yet we found out --
16 all of a sudden it went -- it was fully
17 symmetrical or it went the other way. And we don't
18 know if it is. About a week and a half ago there
19 was a meeting up in Georgetown on -- by the FCC on
20 EMS applications for Broadband and there was a
21 pretty astute gentleman that noted that Broadband
22 to public safety was like creating a basement. If

1 you build a basement you'll fill it up and I think
2 that's the case here as we go about building it
3 and deploying Broadband we'll find new
4 applications that -- it's a little hard to predict
5 right now what the future will be.

6 MR. NELSON: But there is a fundamental
7 difference -- new architecture, new applications
8 --

9 SPEAKER: You're going to want to talk
10 to 10 or 15 or 50 things at once.

11 SPEAKER: Yeah.

12 SPEAKER: It's not like --

13 MR. DROBOT: And mobile.

14 SPEAKER: Exactly.

15 MR. DROBOT: And move that whole session
16 at the same time without breaking it three times,
17 like on the -- today.

18 SPEAKER: Right; yeah.

19 SPEAKER: Move it at 100 miles an hour
20 while you're at it.

21 SPEAKER: Yeah.

22 MR. WELDON: It's a very good question;

1 distributed cloud computing with many endpoints
2 being the cluster that you might need to talk to
3 as opposed to just cloud being it's not in your
4 home or -- that is a whole new -- in fact it
5 happens to be one of the grand challenges in Bell
6 Labs working on that is distributed cloud
7 computing to figure out exactly how you can
8 extenuate virtual machines and move your
9 application with low delay, high availability kind
10 of -- characteristics as opposed to net
11 characteristics. It's a very interesting
12 question.

13 And I think until you figure out what
14 you can do there you don't know the network
15 architecture. The two are tightly coupled of
16 course in that if there's a limit to how you can
17 -- and create resources dynamically, given current
18 computing technologies, then that dictates the
19 architecture that you realistically can deploy.

20 You might have to cluster right at the
21 edge and have those be the resources that you most
22 utilize and that it has something, you know,

1 again, somewhat -- as the secondary resource that
2 you utilize. This is -- again that the network
3 provider owns some -- computing resources, if not,
4 if they're highly distributed over the web, then
5 of course you've got many clusters that are -- but
6 they may not be the most efficient way to do high
7 -- low delay, high availability applications.

8 And it's going to be a whole set of
9 different things depending on the needs of the
10 application; how you extenuate it will change and
11 so the network design will change. So it's a free
12 space that needs much research I think.

13 MR. GREEN: No shortage of questions.
14 It's an equation with too many unknowns.

15 MR. WELDON: Exactly.

16 MR. GREEN: -- problem. And I don't
17 know. I kind of favor tinkering with
18 architectures; I think we can do a lot of
19 experimentation or even theoretical work with
20 different kinds of architectures so that as the
21 applications develop we would know which way to
22 move. But I think -- and I think there's --

1 that's quite interesting and it has, you know,
2 many parameters that can be changed. And we may
3 miss it even so but at least it would give us kind
4 of a store house of ideas that we could use to
5 address whatever the applications bring about
6 later on on the internet.

7 MR. DROBOT: You know, what -- one of
8 the things with cloud computing is this notion
9 that I can on demand get resources. When you look
10 at IP, which is the fundamental integration
11 mechanism today, it is fundamentally static. And
12 we haven't even broken, you know, how do you take
13 the fiber underneath that and switch it at this
14 point. So lots of room.

15 MR. GREEN: Physical layers to --

16 MR. SICKER: Okay; so we're about 20
17 minutes over so we don't have to pay you guys
18 overtime, I'm so pleased for the enthusiasm and
19 what I will ask, again, as I keep asking,
20 continue. Give me feedback to my public notice.
21 We need -- James Miller and I are going to be
22 writing this chapter together and we need input.

1 So I appreciate everything you can provide me.

2 Thank you.

3 (Whereupon, the PROCEEDINGS were
4 adjourned.)

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