

Short communication:

Clinical application of a 3-dimensional morphometric apparatus for diagnosis and treatment of a Class III patient with facial asymmetry: a pilot study

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Abstract

This article demonstrated the usefulness of a non-contact 3-dimensional (3D) morphometric apparatus in orthodontic diagnosis and treatment evaluation.

A female patient, 23 years 6 months of age, had a Class III malocclusion with mandibular deviation. The 3D images taken by a 3D morphometric apparatus figured out her protrusive chin of 6 mm on the deviation side compared to the non-deviation side, and showed a possibility of orthognathic surgery. Before starting of orthodontic treatment, a diagnostic splint was used for 2 months to determine her proper mandibular position. The 3D images retaken for quantitative evaluation showed decrease of the mandibular protrusion by approximately 3 mm, and improvement of facial asymmetry. Then, we decided to treat the patient without orthognathic surgery. After 18 months of active orthodontic treatment with miniscrew anchorage, the mandibular deviation was improved and an acceptable occlusion was achieved. The 3D images at posttreatment demonstrated significant decrease of chin protrusion on the deviation side, and improvement of facial asymmetry. In conclusion, a 3D morphometric apparatus could provide quantitative data of facial asymmetry and chin protrusion and contributed decision making process of treatment planning in a patient with facial asymmetry.

1 **Introduction**

2 Evaluation of facial proportions is certainly important for orthodontic
3 diagnosis because numerous patients complain of their facial esthetics [1-3]. In
4 general, facial photographs and cephalometric radiographs are taken as
5 diagnostic records, and frontal view and facial profile are evaluated, respectively.
6 However, they have the inherent limitation of aesthetic assessment because of
7 2-dimensions [4, 5].

8 Recently, computed tomography (CT) has been widely used to evaluate 3-
9 dimensional (3D) morphology of both maxillofacial skeleton and soft tissue [6,
10 7]. Images of 3D-CT are quite useful as a diagnostic record but include some
11 concerns, i.e., higher radiation exposure and medical costs compared to
12 traditional radiographs [7]. Moreover, it is difficult to appreciate facial soft tissue
13 in a natural head position because CT images are generally taken under dorsal
14 position.

15 In medical field, a non-contact 3D morphometric apparatus is often applied
16 for 3D assessment such as evaluation of breast reconstruction surgery and
17 designing an auricular prosthesis [8, 9]. This system is easy to use as it is
18 automated and picture-capturing process is within less than 5 minutes. The 3D
19 photographs are taken in a natural head position. Additionally, these images can
20 be inverted, rotated, translated and zoomed. Therefore, it allows for more precise
21 measurement and analysis of facial soft tissues, particularly in frontal views.
22 Against these background, this device is becoming more acceptable in dental
23 field [10].

24 In this article, we demonstrate the usefulness of a non-contact 3D

morphometric apparatus for quantitative evaluation of facial soft tissues and orthodontic diagnosis and treatment evaluation in a patient with mandibular deviation.

CASE PRESENTATION

A female, 23 years 6 months of age, had a chief complaint of protrusive chin and facial asymmetry (Fig. 1A). Her mandible was deviated 2.5 mm to the left toward the facial midline. For evaluating the soft tissue, we used a non-contact 3D morphometric apparatus (Danae 200, NEC engineering, Yokohama, Japan) and a 3D image analyzing software (3D-Rugle, Medic engineering, Kyoto, Japan). When image analyzing software was applied, we could compare degree of facial asymmetry by the customized color scale (in millimeter) on facial deviation maps. The frontal face was divided at the facial midline and one image (right side) was inverted and superimposed to the other (left side). As the result, her soft tissue chin on the deviated (left) side was protruded 6 mm compared to that on the right side (Fig. 1B). The maxillary arch form was constricted and posterior crossbite was observed on the left side (Fig. 1C). Both overbite and overjet were 1 mm. The patient was diagnosed as having an Angle Class III malocclusion, a skeletal Class I jaw-base relationship with mandibular deviation. Before starting of orthodontic treatment, an occlusal splint was placed in the maxilla for seeking the proper mandibular position (Fig. 2A). After splint wear for about 2 months, mandibular deviation was slightly improved and mandibular dental midline was almost coincident with the facial midline. Quantitative evaluation with 3D morphometric apparatus demonstrated that the chin protrusion degree on the

deviation side was changed to 0.67- 2.0 mm, compared to that at pretreatment (Fig. 2B). Then, we decided to treat her with non-surgical camouflage treatment. After the maxillary expansion with a quad-helix, preadjusted-type multi-bracket appliances were placed in both arches (Figs. 3A and 3B). Then, 4 miniscrews (diameter, 1.4 mm; length, 6 or 8 mm; Absoanchor; Dentos, Daegu, South Korea) were inserted in posterior alveolus to distalize the maxillary and mandibular dentition (Figs. 3C and 3D). After 18 months of active treatment, the occlusion was much more stable, and acceptable intercuspation of the teeth was achieved with Class I canine and molar relationships (Fig. 4C). Dental midlines were coincident with the facial midline. Mandibular deviation was improved compared to pretreatment (Fig. 4A, D). 3D morphometric apparatus still indicated the chin protrusion on the deviated side, but it was significantly decreased to less than 3.3 mm (Fig. 4B).

DISCUSSION

Mandibular deviation is one of the most common problems in facial aesthetics [11]. In severe cases, patients have facial asymmetry and tend to undergo orthognathic surgery [12, 13]. On the other hand, recent development of temporary anchorage devices (TADs) gives these patients more chances to avoid orthognathic surgery because TADs provide various tooth movement including molar intrusion which could not be achieved with traditional orthodontic mechanics [14, 15]. Therefore, accurate evaluation of facial asymmetry and predictable treatment planning are more important in modern orthodontics.

1 In the present case, we applied a 3D morphometric apparatus for three
2 intended purposes through orthodontic treatment of a patient with mandibular
3 deviation. We should focus on lower face line that mandibular deviation was
4 clearly reflected. In the initial examination, it was used for quantification of facial
5 asymmetry in a frontal view. The patients are taken the images in a natural head
6 position, eye open and relaxed facial musculature. By image analyzing software,
7 a mirror-image analysis is performed to objectively quantify the degree of facial
8 asymmetry. As the result, the color map could graphically show the differences
9 of antero-posterior chin protrusion between deviation side and non-deviation side.
10 The color maps allow precise visualization of condition and are very convenient
11 for clinicians for analysis of facial asymmetry and its explanation for the patient.

12 Secondary, it was applied for quantitative comparison of soft tissue profile
13 before and after a splint therapy. A diagnostic splint therapy was performed to
14 confirm the proper mandibular position without occlusal interference by posterior
15 crossbite. As the result of 3D image comparison before and after the procedure,
16 we could get quantitative data for diagnosis and evidentially predict the results
17 after removal of occlusal interferences. Mandibular protrusion was definitely
18 decreased and the mandibular dental midline was coincident with the maxillary
19 dental midline and facial midline. According to these results, we decided to treat
20 this patient without orthognathic surgery. In severe mandibular deviation cases,
21 these detailed analyses of facial asymmetry may not mean a great deal; however,
22 it must be much important in mild to moderate cases.

23 Finally, treatment results were reassessed after active orthodontic
24 treatment. In the frontal cephalogram, the mandibular deviation to the left was

1 improved, but it was difficult to evaluate quantitatively. The mirror image at
2 posttreatment showed significant decrease of chin protrusion on the deviation
3 side. Superimposition of 3D images at pre- and posttreatment also showed
4 significant improvement of facial asymmetry with decrease of the volume at left
5 buccal region and increase of chin protrusion on the right side. These quantitative
6 assessments are also useful to verify the treatment results for further
7 advancement of orthodontic treatment.

8 In conclusion, a 3D morphometric apparatus could provide quantitative data
9 of facial asymmetry and chin protrusion and contributed decision making process
10 of treatment planning in a patient with facial asymmetry.

11

Conflict of Interest Statement

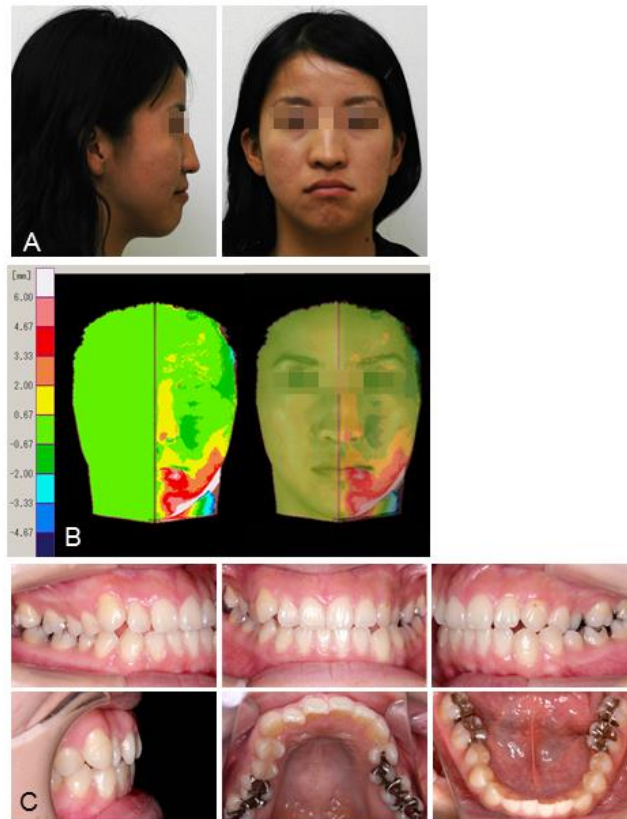
The authors declare that there is no conflict of interest.

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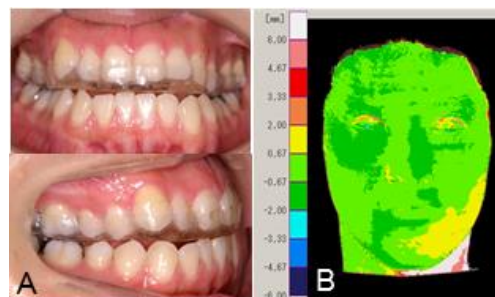
1 **FIGURE LEGENDS**



2

3 Fig. 1 Pretreatment: A, facial photographs; B, 3D color mapping image showed
 4 remarkable chin protrusion on the left side (light red areas indicated 4.67 to 6.0
 5 mm protrusion); C, intraoral photographs.

6



7

8 Fig. 2 Diagnostic splint therapy: A, intraoral photographs; B, 3D color mapping
 9 image after the therapy; Superimposition of images at pretreatment and post-

splint therapy demonstrated significant reduction of chin protrusion on the left side (yellow areas indicated 0.67 to 2.0 mm protrusion, which meant approximately 4 mm setback of the mandible compared to pretreatment).

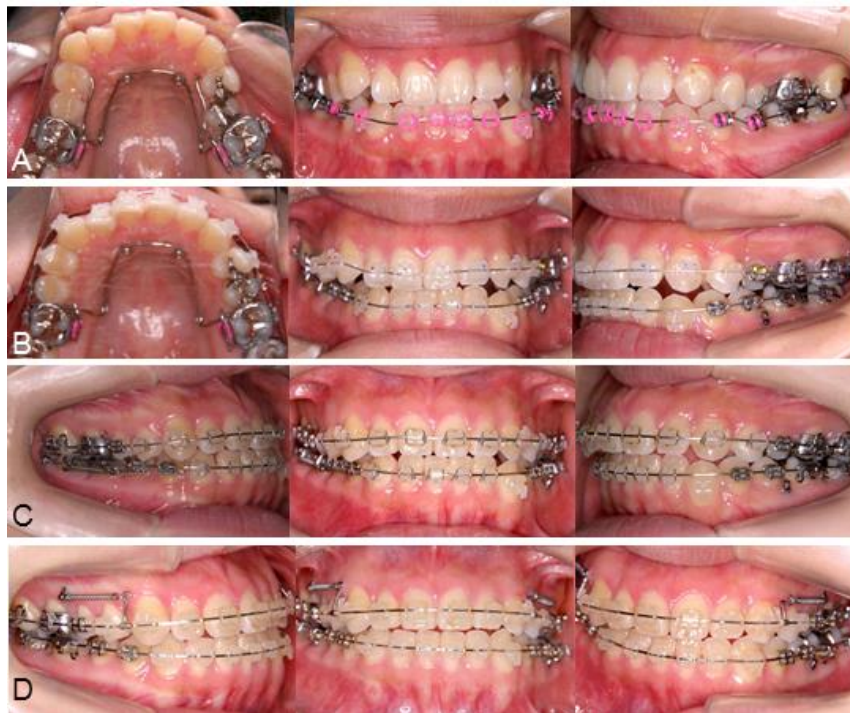


Fig. 3 Treatment progress: A, 1 month after the start of treatment; leveling and alignment were initiated in the mandible and a quad-helix was placed for the maxillary lateral expansion; B, 4 months later, after the improvement of posterior crossbite, leveling was started in the maxilla; C, 8 months later, miniscrews were implanted in the mandible, and the molar distalization was started with 200 g nickel-titanium closing coil springs; D, 15 months later, miniscrews were inserted in the maxilla and group distalization of the maxillary dentition was started.

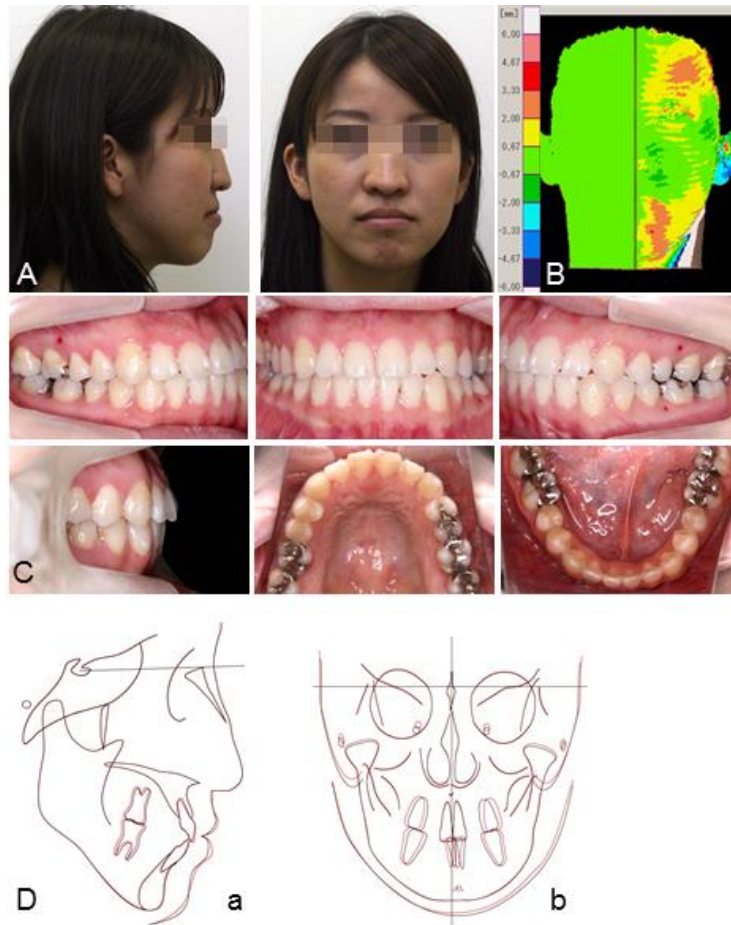


Fig. 4 Posttreatment: A, facial photographs; B, 3D color mapping image showed remarkable decrease of chin protrusion on the left side compared to pretreatment (orange areas indicated 2.0 to 3.3 mm protrusion); C, intraoral photographs; D, Cephalometric tracing at pretreatment (black line), posttreatment (red line) superimposed on (a) sella-nasion plane at sella, (b) latero-orbitale line at Crista galli.