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# **An upper limit in Gibbs energy dissipation governs cellular metabolism**

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**The principles governing cellular metabolic operation are poorly understood. Because diverse organisms show similar metabolic flux patterns, we hypothesized that a fundamental thermodynamic constraint might shape cellular metabolism. Here, we developed a constraint-based model for *Saccharomyces cerevisiae* with a comprehensive description of biochemical thermodynamics including a Gibbs energy balance. Nonlinear regression analyses of quantitative metabolome and physiology data revealed the existence of an upper rate limit for cellular Gibbs energy dissipation. Applying this limit in flux balance analyses with growth maximization as the objective, our model correctly predicted the physiology and intracellular metabolic fluxes for different glucose uptake rates as well as the maximal growth rate. We found that cells arrange their intracellular metabolic fluxes in such a way that, with increasing glucose uptake rates, they can accomplish optimal growth rates, but stay below the critical rate limit in Gibbs energy dissipation. Once all possibilities for intracellular flux redistribution are exhausted, cells reach their maximal growth rate. This principle also holds for *Escherichia coli* and different carbon sources. Our work proposes that metabolic reaction stoichiometry, a limit in the cellular Gibbs energy dissipation rate, and the objective of growth maximization shape metabolism across organisms and conditions.**

A key question in metabolic research is to understand how and why cells organize their metabolism, i.e. their fluxes through the metabolic network, in a particular manner. Such understanding is highly relevant from a fundamental point of view, but also should enable us to devise computational methods for metabolic-flux prediction; an important capability for fundamental biology and biotechnology.

22 The archetype question in this context is why many pro- and eukaryotic cells – also under aerobic  
23 conditions – often use an inefficient fermentative metabolism. To this end, numerous explanations were  
24 offered, including the economics of enzyme production<sup>1,2</sup>, a ‘make-accumulate-consume’ strategy<sup>3</sup>,  
25 intracellular crowding<sup>4</sup>, limited nutrient transport capacity<sup>5</sup>, and adjustments to growth-dependent  
26 requirements<sup>6,7</sup>. Recently, the integration of proteome allocation constraints in metabolic models has led  
27 to predictions in good agreement with experimental data<sup>8,9</sup>. However, the fact that respiration and aerobic  
28 fermentation occur in many organisms, including bacteria<sup>4</sup>, fungi<sup>3</sup>, mammals<sup>6,7</sup>, and plants<sup>10</sup>, with  
29 fermentation occurring at high glucose uptake rates (GURs) and respiration at low GURs<sup>7,11</sup>, prompted us  
30 to ask, whether rather a fundamental thermodynamic principle could govern metabolism, on top of which  
31 the specific protein allocation constraints have evolved. Specifically, we hypothesized that the rate at  
32 which cells, as open and far-from-equilibrium systems<sup>12</sup>, can dissipate Gibbs energy to the extracellular  
33 environment<sup>13</sup> may be limited and that such a limit, should it exist, may constrain the metabolic fluxes.

34 Here, using a constraint-based thermodynamic model of *Saccharomyces cerevisiae* and nonlinear  
35 regression analysis of quantitative metabolome and physiology data, we identified an upper limit for the  
36 cellular Gibbs energy dissipation rate. When we used this rate limit in flux balance analyses (FBA) with  
37 growth maximization as objective function, we could generate correct predictions of metabolic  
38 phenotypes at diverse conditions. As we found the same principle to also hold in *Escherichia coli*, our  
39 work suggests that growth maximizing under the constraint of an upper rate limit in Gibbs energy  
40 dissipation must have been the general governing principle in shaping metabolism and its regulation.  
41 Furthermore, our work provides an important contribution to current predictive metabolic modelling for  
42 fundamental biology and biotechnology.

## 43 **RESULTS**

### 44 **Development of a combined thermodynamic and stoichiometric model**

45 To test our hypothesis, according to which cellular metabolism is limited by a certain critical rate of  
46 Gibbs energy dissipation, we used the yeast *S. cerevisiae* as a model and aimed to estimate cellular Gibbs  
47 energy dissipation rates from experimental data using regression analysis (Fig. 1). Specifically, we  
48 formulated a combined thermodynamic and stoichiometric metabolic network model, describing cellular  
49 metabolic operation through the variables metabolic flux (i.e. reaction rate),  $v$ , and metabolite  
50 concentration,  $c$ . At the basis of this model is a stoichiometric metabolic network model<sup>14</sup> (Supplementary  
51 Method 1.1 and Supplementary Note 1), which describes 241 metabolic processes of primary metabolism  
52 (i.e. chemical conversions and metabolite transport, *MET*) and their mitochondrial or cytosolic  
53 localization with mass balances for 156 metabolites (Tables 1-5 from Supplementary Data 1) as well as  
54 with pH-dependent proton and charge balances (Tables 6 and 7 from Supplementary Data 1). The

55 boundary of the system was defined around the extracellular space and the exchange of matter with the  
56 environment was realized through 15 exchange processes (*EXG*) (compare. Fig. 1).

57 To this model, we added a Gibbs energy balance stating that the sum of the Gibbs energy dissipation rates  
58 of the individual metabolic processes (i.e. the total cellular rate of Gibbs energy dissipation,  $g^{\text{diss}}$ ) must  
59 equal the sum of the rates at which Gibbs energy is exchanged with the environment (Supplementary  
60 Method 1.2). We defined the rate of Gibbs energy dissipation of a metabolic process as the product of the  
61 metabolic flux of the process and its Gibbs energy. The Gibbs energy of a metabolic process, in turn, was  
62 made a function of the substrate and product concentrations, the standard Gibbs energy of the reaction,  
63 and/or the Gibbs energy of the metabolite's transmembrane transport<sup>15</sup>. We transformed the standard  
64 Gibbs energies of the reaction to the respective compartmental pH values<sup>16</sup> (Supplementary Method 1.3).  
65 Finally, for each metabolic process, we added the second law of thermodynamics stating that the Gibbs  
66 energy dissipation rate must be negative for a metabolic process carrying flux (Method 1.4). All  
67 metabolic processes in the model were considered reversible.

#### 68 **Existence of a limit in the rate of cellular Gibbs energy dissipation**

69 To determine cellular Gibbs energy dissipation rates,  $g^{\text{diss}}$ , at different growth conditions, we analysed  
70 experimental data with regression analysis, using the developed model (Supplementary Fig. 1 and  
71 Supplementary Method 2.1). Specifically, we used physiological (i.e. growth rates, metabolite uptake and  
72 excretion rates) and metabolome data of *S. cerevisiae* obtained from eight different glucose-limited  
73 chemostat cultures<sup>17</sup>. In these cultures, metabolic operation ranged from respiration at low GURs to  
74 aerobic fermentation with ethanol production at high GURs. As Gibbs energies estimated with the  
75 component contribution method<sup>18</sup> contained uncertainties, and Gibbs energies were also not available for  
76 all metabolic reactions, we included the available standard Gibbs energies of reaction together with their  
77 respective uncertainties as experimental data in the regression.

78 To enforce one common set of standard Gibbs energies of reaction across all experimental conditions  
79 with the same thermodynamic reference state (i.e. obeying the first law of thermodynamics, which we  
80 enforced by applying the loop law<sup>19,20</sup>), we performed one large regression across all conditions. In this  
81 large-scale multi-step nonlinear regression, we estimated for each condition its condition-dependent  
82 variables (i.e. fluxes, metabolite concentrations), and for all conditions together, a set of condition-  
83 independent standard Gibbs energies of reaction with minimal distance to the experimental data.

84 To prevent overfitting, we employed a parametric bootstrap approach (Supplementary Fig. 2a). The  
85 regression and a subsequent variability analysis of the solution space provided us with physiological  
86 ranges for the intracellular metabolite concentration and for the Gibbs energies of reaction (i.e. the lowest

87 and highest possible values across all experimental conditions reflecting the physiological bounds of  
88 metabolic operation), which we used to refine the scope of the model (Supplementary Method 2.2 and  
89 Tables 8 and 9 from Supplementary Data 1).

90 First, we found that the model with its thermodynamic and stoichiometric constraints could excellently be  
91 fitted to all data sets (Supplementary Fig. 2b-d), demonstrating that the developed model is able to  
92 describe the broad range of underlying metabolic operations, ranging from fully respiratory to  
93 fermentative conditions. Second, examining the cellular Gibbs energy dissipation rates,  $g^{\text{diss}}$ , determined  
94 for the different experimental conditions, we found that  $g^{\text{diss}}$  first linearly increased with increasing  
95 growth rate  $\mu$ , and then plateaued at  $\mu$ 's above  $0.3 \text{ h}^{-1}$  (Fig. 2). The existence of a plateau above a certain  
96  $\mu$  suggested – in line with our hypothesis – that there could be an upper rate limit,  $g^{\text{diss}}_{\text{lim}}$ , at which cells  
97 can dissipate Gibbs energy; here corresponding to  $-3.7 \text{ kJ gCDW}^{-1} \text{ h}^{-1}$ . Because the growth rate, at which  
98 this limit is reached, coincided with the onset of ethanol excretion, we speculated that this limit might  
99 cause the switch to fermentation at high GURs.

#### 100 **Accurate predictions of metabolic phenotypes**

101 To test whether such an upper limit in the Gibbs energy dissipation rate might govern metabolic  
102 operation, i.e. might be responsible for the different flux distributions at different GURs, we resorted to  
103 flux balance analysis, which computes metabolic flux distributions on the basis of a stoichiometric  
104 metabolic network model and mathematical optimization using an evolutionary optimization criterium<sup>14</sup>.  
105 Specifically, we used the objective of growth maximization (i.e. identifying the flux distribution that  
106 generates the maximal amount of biomass from the available nutrients) to simulate the combined  
107 thermodynamic and stoichiometric model, which we now additionally constrained by the hypothesized  
108 upper limit in the Gibbs energy dissipation rate,  $g^{\text{diss}}_{\text{lim}}$  (Supplementary Method 2.2). To solve this non-  
109 convex bilinear optimization problem, we transferred it into a mixed integer nonlinear program, which we  
110 then solved using a branch-and-cut global optimization algorithm<sup>21</sup> (Supplementary Methods 1.5, 1.6 and  
111 2.3).

112 While the objective of growth maximization alone could not predict flux distributions across experimental  
113 conditions<sup>22</sup>, using it in combination with the identified upper limit in  $g^{\text{diss}}$  we could correctly predict  
114 physiologies as observed in glucose-limited chemostat cultures and in glucose batch cultures, solely using  
115 the respective glucose uptake rates as input. For instance, growth rates were correctly predicted (Fig. 3a),  
116 and a respiratory metabolism at low GURs ( $< 3 \text{ mmol gCDW}^{-1} \text{ h}^{-1}$ , Fig. 3b-d) and aerobic fermentation  
117 with lowered oxygen uptake rates at GURs  $> 3 \text{ mmol gCDW}^{-1} \text{ h}^{-1}$  (Fig. 3b and c). At a GUR of  $22 \text{ mmol}$   
118  $\text{gCDW}^{-1} \text{ h}^{-1}$ , we predicted a maximal growth rate, followed by a decrease in the growth rate and glycerol  
119 production at further increased GURs, notably while still maximizing the growth rate in the optimization.

120 FBA simulations without a limit in  $g^{\text{diss}}$  predicted a respiratory metabolism for all GURs, and no maximal  
121 growth rate (compare dotted lines in Fig. 3a-d) and FBA simulations with other frequently-used  
122 objectives ('minimal sum of absolute fluxes', 'maximal ATP yield', 'maximal ATP yield per flux sum',  
123 'maximal biomass per biomass') and the  $g^{\text{diss}}_{\text{lim}}$ -constraint were unable to correctly predict the  
124 physiologies (compare dashed lines in Fig. 3a-d and Supplementary Fig. 6). Together with exhaustive  
125 sensitivity analyses with regards to various model parameters, e.g. lower and upper bounds of the  
126 intracellular metabolite concentrations, and Gibbs energies of reaction (Supplementary Fig. 3-5), this  
127 shows, that the excellent predictions obtained with growth maximization as objective and the constrained  
128 cellular Gibbs energy dissipation rate are not a trivial result of the earlier regression, nor are enforced by  
129 isolated elements of our model.

130 To further examine the predictions obtained with the model constrained by the rate limit in Gibbs energy  
131 dissipation, we compared intracellular flux predictions with results from  $^{13}\text{C}$ -based metabolic flux  
132 analysis ( $^{13}\text{C}$ -MFA). Here, we found that our predictions are in excellent agreement with fluxes  
133 determined with  $^{13}\text{C}$ -MFA, as evident from metabolic reactions located at key branch points in central  
134 metabolism (Fig. 4a-d and Supplementary Fig. 7). We found the expected flux reorganization patterns; for  
135 instance, redirection of flux from the pentose-phosphate pathway to glycolysis with increasing GUR (Fig.  
136 4a and b).

137 The fact that we could correctly predict extracellular physiologies including the maximal growth rate, as  
138 well as the experimentally observed reorganization pattern of intracellular metabolic fluxes with  
139 increasing GURs suggests that the objective of growth maximization under the constraint of an upper  
140 limit in the Gibbs energy dissipation rate could have been the governing principle in the evolution of  
141 metabolism and its regulation, at least in yeast.

#### 142 **Identified principle also applies to *E. coli***

143 Because we conjectured that the two elements of this principle, i.e. growth maximization and the upper  
144 limit in the Gibbs energy dissipation rate might be of universal nature, next, using *E. coli* as model, we  
145 investigated whether this principle also applies to prokaryotes. Following the same workflow as outlined  
146 for *S. cerevisiae*, we formulated a combined thermodynamic and stoichiometric metabolic model; this  
147 time in genome-scale, encompassing 626 unique metabolites involved in 1062 metabolic processes<sup>29</sup>  
148 (Supplementary Methods 1.1-1.5, Supplementary Note 2 and Supplementary Data 2). Using this model  
149 and nonlinear regression (Supplementary Methods 3.1 and 3.2) with data from glucose-limited chemostat  
150 cultures<sup>30</sup>, we found, similar to yeast, that the cellular Gibbs energy dissipation rate,  $g^{\text{diss}}$ , first linearly  
151 increased with increasing GURs and then reached a plateau (at  $-4.9 \text{ kJ gCDW}^{-1} \text{ h}^{-1}$ ), at conditions where  
152 acetate is excreted (Supplementary Fig. 9 and 10). When we performed FBA simulations with growth

153 maximization as objective, and the identified upper rate limit in Gibbs energy dissipation,  $g^{\text{diss}}_{\text{lim}}$ , as  
154 constraint (Supplementary Methods 3.3 and 3.4), we again correctly predicted the metabolic shift from  
155 respiration to fermentation with increasing GURs, as well as the maximal growth rate (Fig. 5a). Notably,  
156 we found this flux reorganization pattern to be reflected in measured changes in protein abundances  
157 (Supplementary Fig. 11).

158 Next, we used this model to perform FBA simulations with different nutrients, where we allowed for  
159 unlimited substrate uptake. Specifically, we simulated growth in unlimited batch cultures on eight  
160 different carbon sources (acetate, fructose, galactose, gluconate, glucose, glycerol, pyruvate and  
161 succinate), on simultaneously present glucose and succinate, and on either glucose or glycerol  
162 supplemented with all proteinogenic amino acids; notably all conditions that were not used in the  
163 regression. Here, we found that our model could across the board predict the maximal growth rates, as  
164 well as uptake and excretion rates (Fig. 5b and Supplementary Fig. 12). Remarkably, this was even true  
165 for the cases where we simulated complex media with the possibility of unlimited uptake of all  
166 proteinogenic amino acids. The same model, not constrained by the upper rate limit in Gibbs energy  
167 dissipation, is not able to predict maximal growth rates (as maximization of growth would lead to an  
168 infinite substrate uptake and thus to infinite growth), and failed to predict the fermentative phenotypes  
169 (Supplementary Fig. 13). A comparison of the FBA predicted intracellular fluxes with  $^{13}\text{C}$ -based MFA-  
170 inferred flux distributions showed good agreement (Supplementary Fig. 14).

171 As our model connects fluxes and metabolite levels through the Gibbs energies of reaction, we next asked  
172 whether the metabolic rearrangements, necessary with increasing GURs, would require metabolite levels  
173 to follow certain trends. Indeed, for 36 metabolites we found a correlation (Spearman correlation  
174 coefficient  $>0.6$ ) between their concentrations and GUR. Of these 36 metabolites, experimental data as a  
175 function of GUR were available for coenzyme A, ribose 5-phosphate and  $\alpha$ -ketoglutarate. The profiles of  
176 these metabolites remarkably well matched with the predicted profiles (Fig. 5c). Notably,  $\alpha$ -ketoglutarate  
177 has been identified as an important metabolic regulator molecule<sup>31</sup>. Our analysis here suggests that the  
178 concentration of this metabolite is constrained in a GUR-dependent manner by thermodynamics, and thus  
179 having made it an ideal candidate as regulatory metabolite.

180 With these *E. coli* predictions agreeing well with respective experimental data, extending even to the  
181 predictions of some metabolite concentrations, this suggests that growth maximization under the  
182 constraint of a limited cellular Gibbs energy dissipation rate as metabolism-governing principle also  
183 applies to *E. coli* and carbon sources other than glucose, including complex media. This provides strong  
184 evidence for this principle to universally shaping cellular metabolism across organisms. Further, as the *E.*

185 *coli* model was a genome-scale model, this shows that the concept can also be implemented and applied  
186 on the genome-scale.

### 187 **Maximal growth under the rate limit in Gibbs energy dissipation**

188 Finally, we aimed to understand how the upper limit in Gibbs energy dissipation rate,  $g^{\text{diss}}_{\text{lim}}$ , governs  
189 metabolism. Therefore, we went back to yeast and the respective flux balance analyses simulations, from  
190 which we determined the Gibbs energy dissipation rate of each metabolic process,  $g$ , at different GURs.  
191 From these process- and GUR-specific dissipation rates, we identified seven clusters of metabolic  
192 processes that showed similar Gibbs energy dissipation trends with increasing GURs (Fig. 6a and  
193 Supplementary Fig. 15). Below GURs of 3 mmol gCDW<sup>-1</sup> h<sup>-1</sup>, we found that the processes related to  
194 respiration (respiration and energy metabolism clusters in Fig. 6a) contributed 45% to the total cellular  
195 Gibbs energy dissipation rate, which - in absolute terms - is still low at this point. After, with increasing  
196 GUR,  $g^{\text{diss}}_{\text{lim}}$  is reached, cells redirected metabolic fluxes from dissipation-intense pathways to less  
197 dissipation-intense pathways, i.e. to fermentative processes (pyruvate decarboxylase and pyruvate kinase  
198 clusters in Fig. 6a), which produced about 40% of the  $g^{\text{diss}}$  at GURs above 20 mmol gCDW<sup>-1</sup> h<sup>-1</sup>.

199 Such flux redirection not only occurred between respiration and fermentation, but also between other  
200 processes as indicated by the changes in the directionality patterns (Supplementary Fig. 17). Thus, the  
201 flux redirection, which occurs at increasing GURs, allows cells to achieve higher growth rates, while  
202 staying below  $g^{\text{diss}}_{\text{lim}}$ . Such flux redirection results in usage of pathways with lower carbon efficiencies  
203 and thus lower biomass yields (Fig. 6b). Once all possibilities for flux redirections are exhausted, upon a  
204 further enforced increase in the nutrient uptake, cells – in order to stay below the Gibbs energy dissipation  
205 rate limit – need to reduce their growth rate and to excrete other by-products (for instance, glycerol),  
206 which defines the maximal growth rate (compare Fig. 2).

## 207 **DISCUSSION**

208 Our finding answers central questions in metabolic research, e.g. what shapes metabolic fluxes, what  
209 limits growth rate, and what causes cells to change the way they operate their metabolism, as exemplified  
210 by the paradigm switch from respiration to aerobic fermentation. Although we cannot exclude the  
211 existence of a third correlated factor explaining our results, our work proposes growth maximization  
212 under the constraint of an upper limit in the cellular Gibbs energy dissipation rate as the basic principle  
213 underlying metabolism; also offering an explanation for the empirical description of Pareto-optimality in  
214 metabolism<sup>40</sup> (Supplementary Fig. 18). The limit in cellular Gibbs energy dissipation rate leads to a  
215 redirection of metabolic fluxes (for instance, from respiration to fermentation) as substrate uptake rates  
216 increase, and cells try to maximize growth.



217 While traditionally only having been formulated for isolated systems close to equilibrium<sup>12</sup>, here we used  
218 the second law of thermodynamics for cells as open, out-of-equilibrium systems, as applied previously for  
219 cellular metabolism<sup>13,41-47</sup>. Following Erwin Schrödinger's notion that "*the essential thing in metabolism*  
220 *is that the organism succeeds in freeing itself from all the entropy it cannot help producing while alive*"<sup>48</sup>,  
221 our work suggests that there exists an upper rate limit at which cells can do so.

222 The identified upper rate limit in cellular Gibbs energy dissipation suggests that higher rates of Gibbs  
223 energy dissipation cannot be sustained, because this presumably has detrimental consequences for the  
224 functioning of cells. What could such consequences be? If the dissipated Gibbs energy is dissipated as  
225 heat, then the identified limit could be understood as a limit in heat transfer. Although it was suggested  
226 that mitochondria (notably a compartment where at certain conditions we predicted >50 % of the total  
227 cellular Gibbs energy dissipation, compare Fig. 6) could have an elevated temperature<sup>49,50</sup>, theoretical  
228 considerations argue against a significant and detrimental temperature increase inside individual cells<sup>51</sup>.  
229 On the other hand, during their catalytic cycle, enzymes are set in motion and Gibbs energy is therefore  
230 translated into work<sup>52-55</sup>. In fact, active metabolism has been found to increase cytoplasmic diffusion rates  
231 above the ones expected from thermal motion alone<sup>56-58</sup>. In turn, cytoplasmic motion was shown to  
232 negatively affect biomolecular functions, such as kinetic proofreading and gene regulation<sup>59,60</sup>. It is thus  
233 possible that the upper limit in the rate of cellular Gibbs energy dissipation reflects the limit of critical  
234 non-thermal motion inside the cell, beyond which biomolecular function would be compromised.

235 To maximize growth rate and at the same time avoid exceeding the critical Gibbs energy dissipation rate,  
236 cells need to have evolved respective sensing mechanisms and means to control metabolic fluxes by  
237 adjusting enzyme abundance and kinetics. If indeed cytoplasmic motion reflects the cellular Gibbs energy  
238 dissipation rate, then this could directly lead to differential regulation of gene expression. Alternatively,  
239 the recently uncovered cellular capability for metabolic flux sensing and flux-dependent regulation<sup>11,61</sup>  
240 could have evolved in a manner to ultimately avoid detrimental Gibbs energy dissipation rates.

241 Our approach of a limit in the cellular Gibbs energy dissipation rate is structurally similar to recent  
242 approaches using protein allocations constraints<sup>8,9</sup>, with a weighted sum of fluxes being the limiting  
243 element in both. In the protein allocation approaches, metabolic fluxes are weighted e.g. by the molecular  
244 mass and the catalytic efficiency of the respective enzymes<sup>9</sup>. In contrast to these static weights, in our  
245 approach, the weighting is provided by the Gibbs energies of reaction, which - being a function of flexible  
246 metabolite concentrations - can vary to some extent. We argue that the similarity is not only of technical  
247 nature, but likely has a biological or physical reason: To harness the energy, which is released during  
248 catabolism, cells need to partition their metabolism into reaction steps that release Gibbs energy amounts  
249 that can be stored, e.g. as ATP. Thus, an overall larger change in Gibbs energy in a pathway (e.g. as in

250 respiration compared to fermentation) requires a higher number of reaction steps, and thus a larger  
251 amount of enzyme.

252 Our work presents a fundamental understanding of metabolism, i.e. that the operation of cellular  
253 metabolism is constrained by a limit in the cellular Gibbs energy dissipation rate. This limit is likely a  
254 universal, physical constraint on metabolism and could also explain the Warburg effect in cancer cells.  
255 Future work will need to show how the Gibbs energy dissipation rate limits biomolecular function, and  
256 how it could have shaped the evolution of enzyme expression and kinetics. Moreover, our concept for  
257 metabolic flux prediction, although computationally demanding, can offer an advantage over current  
258 FBA-based methods as it does not require assumptions on reaction directionalities, and does not require  
259 any organism-specific hard-to-obtain information on e.g. protein abundances and catalytic efficiencies<sup>62</sup>.  
260 Thus, with this work, we not only present a fundamental understanding of metabolism, but also provide  
261 an important contribution to predictive metabolic modelling.

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## 270 **Author Contributions**

271 BN, SL and MH designed the study. BN and MH developed the concept. BN developed and implemented  
272 the model for *S. cerevisiae*. SL developed and implemented the model for *E. coli*. BN and SL carried out  
273 the simulations, analysed the data, and made the figures. BN, SL and MH wrote the manuscript.

## 274 **Data availability**

275 The data that support the plots within this paper and other findings of this study are available from the  
276 corresponding author upon reasonable request. The code is available from the corresponding author upon  
277 request and the code to perform the flux balance analyses is deposited on GitHub (DOI:  
278 10.5281/zenodo.1401220).

## 279 **Competing interests**

280 The authors declare no competing interests.



## 282 METHODS

### 283 Formulation of the combined thermodynamic and stoichiometric model

284 The combined thermodynamic and stoichiometric network model rests on steady-state mass balances for the  
285 metabolites  $i$ ,

$$286 \quad \sum_{j \in MET} S_{ij} v_j = v_{i \in EXG} \quad \forall i, \text{ Eq. 1}$$

287 where  $S_{ij}$  are the stoichiometric coefficients of the metabolic ( $j \in MET$ ) and exchange ( $i \in EXG$ ) processes;  $v_{j \in MET}$   
288 are the rates of metabolic processes, i.e. chemical conversions and/or metabolite transport; and  $v_{i \in EXG}$  are the rates of  
289 exchange processes, which describe the transfer of metabolites across the system boundary. In this stoichiometric  
290 network model, we included for each intra-cellular compartment steady-state pH-dependent proton and charge  
291 balances, enforcing that the metabolic fluxes are such that the pH in the respective compartments and the membrane  
292 potentials across the membranes are kept constant (Supplementary Method 1.1).

293 Next to the mass, proton and charge balances, we introduced a Gibbs energy balance, which states that the cellular  
294 Gibbs energy dissipation rate,  $g^{\text{diss}}$ , equals the sum of Gibbs energy exchange rates,  $g_{i \in EXG}$ , and the sum of Gibbs  
295 energy dissipation rates,  $g_{j \in MET}$ ,

$$296 \quad g^{\text{diss}} = \sum_{i \in EXG} g_i = \sum_{j \in MET} g_j \quad . \text{ Eq. 2}$$

297 The Gibbs energy exchange rates are defined as,

$$298 \quad g_i = \Delta_r G'_i v_i \quad \forall i \in EXG, \text{ Eq. 3}$$

299 where  $\Delta_r G'_{i \in EXG}$  are the Gibbs energies of formation of the metabolites transferred across the system boundary. The  
300 Gibbs energy dissipation rates are defined as,

$$301 \quad g_j = \Delta_r G'_j v_j \quad \forall j \in MET, \text{ Eq. 4}$$

302 where  $\Delta_r G'_{j \in MET}$  are the Gibbs energies of reaction of the cellular metabolic processes.

303 The Gibbs energies of reaction of the metabolic processes,  $\Delta_r G'_{j \in MET}$ , are due to chemical conversions and/or  
304 metabolite transport according to,

$$305 \quad \Delta_r G'_j = \Delta_r G_j^{\circ} + \Delta_r G_j^{\text{tr}} + RT \sum_{i \in h^*} S_{ij} \ln c_i \quad \forall j \in MET, \text{ Eq. 5}$$

306 where  $\Delta_r G_j^{\circ}$  are the standard Gibbs energies of the chemical conversions,  $\Delta_r G_j^{\text{tr}}$  the Gibbs energies of the  
307 metabolite transports,  $\ln c_i$  the natural logarithm of the concentration  $c_i$  of the metabolite  $i$ ,  $T$  the temperature and  $R$   
308 the universal gas constant.

309 To define the Gibbs energy exchange rates, we used Gibbs energies of formations,  $\Delta_r G'_{i \in EXG}$ , of the respective  
310 metabolites  $i \in EXG$  that are transferred across the system boundary,

$$311 \quad \Delta_r G'_i = \Delta_r G_i^{\circ} + RT \ln c_i \quad i \in EXG, \text{ Eq. 6}$$

312 where  $\Delta_r G^{\circ}_{i \in EXG}$  are the standard Gibbs energies of formation of the metabolites  $i \in EXG$ .

313 All standard Gibbs energies were estimated using the component-contribution method<sup>18</sup> and transformed<sup>16</sup> (indicated  
 314 by the apostrophe) to the pH values in the respective compartment. Further, we used the extended Debye-Hückel  
 315 equation to take into account the effect of electrolyte solution on charged metabolites<sup>16</sup> (Supplementary Methods 1.2  
 316 and 1.3).

317 The directionalities of the fluxes through the metabolic processes  $j \in MET$  were in principle assumed to be  
 318 reversible but need to obey the second law of thermodynamics, according to,

$$319 \quad g_j < 0 \quad \forall (j \in MET \wedge v_j \neq 0), \text{ Eq. 7}$$

320 where the Gibbs energy dissipation rate,  $g_{j \in MET}$ , has to be smaller than zero, in case there is flux through this  
 321 metabolic process (Supplementary Method 1.4).

322 Combining the relevant equations mentioned above, we formulated the combined thermodynamic and stoichiometric  
 323 model,  $M(v, \ln c) \leq 0$ , as a set of equalities and inequalities of the variables  $v$ , i.e. the rates of the metabolic processes  
 324  $j \in MET$  and the exchange processes  $i \in EXG$  and  $\ln c$ , i.e. the natural logarithm of the concentrations of the  
 325 metabolites  $i$ :

$$326 \quad \{M(v, \ln c) \leq 0\} \square \left\{ \begin{array}{l} \sum_{j \in MET} S_{ij} v_j = v_{i \in EXG} \quad \forall i \\ g^{\text{diss}} = \sum_{i \in EXG} g_i \\ g^{\text{diss}} = \sum_{j \in MET} g_j \\ g_j = \Delta_r G'_j v_j \quad \forall j \in MET \\ g_i = \Delta_r G'_i v_i \quad \forall i \in EXG \\ \Delta_r G'_j = \Delta_r G_j^{\circ} + \Delta_r G_j^{\text{t}} + RT \sum_{i \in \text{th}} S_{ij} \ln c_i \quad \forall j \in MET \\ \Delta_r G'_i = \Delta_r G_i^{\circ} + RT \ln c_i \quad i \in EXG \\ g_j < 0 \quad \forall (j \in MET \wedge v_j \neq 0) \end{array} \right\} . \text{ Eq. 8}$$

327 Before performing mathematical optimizations with this non-linear and non-convex model, we applied two  
 328 strategies to reduce the model size, without reducing the model's degrees of freedom. First, we defined the scope of  
 329 the predictions in terms of allowed exchange processes and removed all reactions from the model that could never  
 330 carry any metabolic flux under the specified conditions. Second, we identified reactions, which are fully coupled  
 331 (i.e. carry proportionally always the same flux) as done in Ref. <sup>63</sup> and reformulated the model,  $M(v, \ln c) \leq 0$ , by  
 332 replacing the reaction fluxes  $v$  with the flux through the group of coupled reactions,  $v^{\text{grp}}$ . Note that the reduced  
 333 model,  $M^{\text{grp}}(v, \ln c) \leq 0$ , strictly still only depends on the fluxes  $v$  and metabolite concentrations  $\ln c$  and that while  
 334 the mass balances and Gibbs energy balance are formulated using the flux through the reaction groups  $v^{\text{grp}}$ , the  
 335 second law of thermodynamics is still formulated for every metabolic process individually to not lose any  
 336 directionality constraints.

337 The reduced model together with a set of bounds,  $B(v, \ln c) \leq 0$ , on the variables  $v$  and  $\ln c$ , define the solution space  
 338  $\Omega$ .  $\Omega$  contains the space of mass-, proton- and charge-balanced and thermodynamically-feasible steady-state

339 solutions, in terms of rates  $v$  and metabolite concentrations  $\ln c$ . The set of bounds,  $B(v, \ln c) \leq 0$ , consist of  
340 constraints on the rates of the extracellular exchange processes, e.g. the uptake rate of a carbon source, the  
341 physiological ranges of the intracellular metabolite concentrations,  $\ln c$ , and Gibbs energies of reactions,  $\Delta_r G'$ , or an  
342 upper limit in the cellular Gibbs energy dissipation rate,  $g^{\text{diss}}$ . We analyzed the solution space of the metabolic  
343 network model,  $\Omega$ , using mathematical optimization, where we formulated different optimization problems, e.g.  
344 regression-, flux balance- and variability analyses (Supplementary Method 1.5).

### 345 **Implementation**

346 Because  $\Omega$  is non-convex and non-linear, the optimization problems can contain multiple local optima. In order to  
347 efficiently solve these problems, we first determined an approximate solution by solving a linear relaxation of the  
348 optimization problem with the mixed integer programming solver CPLEX 12 (IBM ILOG, Armonk, USA). Then,  
349 we used this approximate solution as starting point for the solution of the optimization problem with the global  
350 optimization solver ANTIGONE 1.0<sup>21</sup> or the local solver CONOPT3<sup>64</sup>.

351 Generally, we implemented all optimization problems in the mathematical programming system GAMS (GAMS  
352 Development Corporation. General Algebraic Modeling System (GAMS) Release 24.2.2. Washington, DC, USA).  
353 The optimization problems were solved on computational clusters, where we used for the model development and  
354 testing a small test cluster, which consisted of 30 cores. For the large-scale studies, where we solved  $> 100000$   
355 optimization problems, we set up a cluster in Amazon's Elastic Compute Cloud, which consisted of 1248 cores, or  
356 used a managed HPC cluster, which consisted of 5640 cores. Solving these optimization problems typically took  
357 between 30 minutes and 14 hours (Supplementary Method 1.6).

### 358 **Regression analysis**

359 We estimated the cellular rates of Gibbs energy dissipation,  $g^{\text{diss}}$ , and a thermodynamic consistent set of standard  
360 Gibbs energies of reactions,  $\Delta_r G'^{\circ}$ , from experimental data and the reduced model,  $M^{\text{STP}}(v, \ln c) \leq 0$ . The  
361 experimental data consisted of (i) measured extracellular physiological rates and (ii) intracellular metabolite  
362 concentrations (only in case of *S. cerevisiae*), both determined for glucose-limited chemostat cultures at different  
363 dilution rates, and (iii) standard Gibbs energies of reactions, determined from the component contribution method<sup>18</sup>.

364 We formulated a non-linear regression analysis that we regularized by the Lasso method<sup>65</sup>. This regularization—  
365 done to prevent over fitting the data—included a regularization parameter  $\alpha$ , which was determined by model  
366 selection. The regression consisted of two steps: (i) determining the minimal training error as a function of  $\alpha$  and (ii)  
367 determining the goodness of fit using the reduced chi square  $\chi^2_{\text{red},\alpha}$  as a function of  $\alpha$ . The model selection was  
368 performed by repeating these two steps for different  $\alpha$  and selecting the  $\alpha$  with a reduced chi square  $\chi^2_{\text{red},\alpha}$  of 1,  
369 which means that the model and the data fit each other (Supplementary Methods 2.1 and 3.1).

370 Next, we determined physiological bounds for the Gibbs energies,  $\Delta_r G'_{j \in \text{MET}}$ , of the metabolic processes  $j \in \text{MET}$   
371 and for the metabolite concentrations,  $c_i$ . These physiological bounds (lower *lo*, and upper *up*) are required in our  
372 strategy to solve the flux balance analyses optimizations to formulate the linear relaxation and were defined by the

373 infimum and supremum, i.e. the smallest and greatest possible values of  $c$  and  $\Delta_r G'$  across all experimental  
374 conditions of the data sets as determined by variability analysis (Supplementary Methods 2.2 and 3.2).

### 375 **Flux balance analysis with the combined thermodynamic and stoichiometric model**

376 For different growth conditions, i.e. glucose uptake rates or carbon sources, we predicted metabolic fluxes using the  
377 reduced model,  $M^{\text{rep}}(v, \ln c) \leq 0$ , and flux balance analysis. Therefore, we defined the solution spaces of the flux  
378 balance analysis,  $\Omega^{\text{FBA}}$ : The metabolite concentrations,  $\ln c$ , and the Gibbs energies of reaction,  $\Delta_r G'$ , were  
379 constrained by the in the regression identified physiological bounds, and the standard Gibbs energies of reactions,  
380  $\Delta_r G'^{\circ}$ , were set to the identified thermodynamic consistent set. Furthermore, the cellular Gibbs energy dissipation  
381 rate,  $g^{\text{diss}}$ , was constrained by its identified upper limit  $g^{\text{diss}}_{\text{lim}}$  and the rates of exchange processes were constrained  
382 by the growth condition, such that any quantity of oxygen, phosphate, ammonium, water, protons, sulfate, etc.  
383 (resembling of what was available in the growth medium) could be taken up, and all other compounds could be  
384 excreted.

385 Then, we used flux balance analysis<sup>14</sup>, where we maximized the growth rate,  $\mu$ , in the solution space  $\Omega^{\text{FBA}}$ ,

$$386 \mu^* = \max \{ v_{\text{BMSYN}} : (v, \ln c) \in \Omega^{\text{FBA}} \}, \text{ Eq. 9}$$

387 where  $\mu^*$  is the optimal growth rate at a specific condition, and BMSYN is the biomass synthesis reaction  
388 (Supplementary Methods 2.3 and 3.3).

389 We then characterized the solution space  $\Omega^{\text{FBA}}_{\mu^*}$  for optimal growth rates, using flux variability analysis, and, as  
390 done earlier<sup>14,40,66</sup>, using Markov Chain Monte Carlo (MCMC) sampling (Supplementary Methods 2.4 and 3.4).

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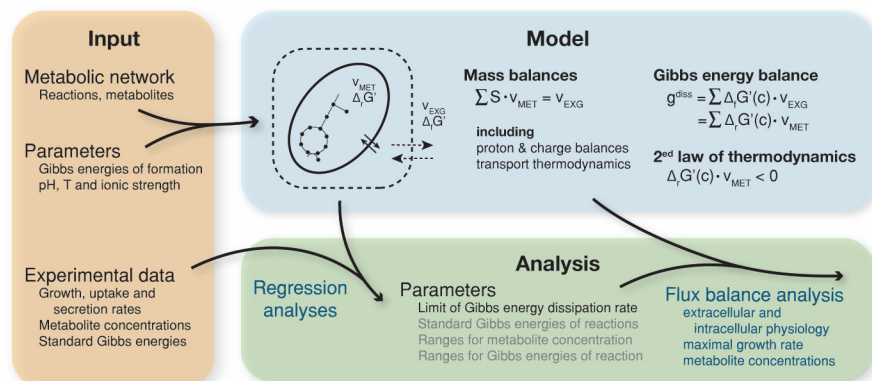
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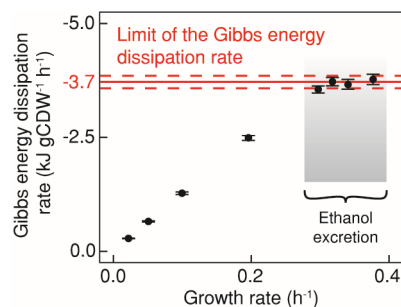
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535 **Figure 1. Overview of the workflow and model used.** We developed combined thermodynamic and stoichiometric constrained-  
 536 based models for *Saccharomyces cerevisiae* and *Escherichia coli*. With these models and experimental data, we performed  
 537 regression analyses to identify model parameters, amongst which is the limiting rate of cellular Gibbs energy dissipation. Using  
 538 these parameters in flux balance analyses, we then predicted various cellular phenotypes.  $S$  is the stoichiometric matrix,  $v$  the  
 539 rates of the respective processes (i.e. fluxes),  $c$  the metabolite concentrations,  $\Delta_r G$  the Gibbs energies of reaction,  $\Delta_f G$  the  
 540 metabolites' Gibbs formation energies,  $g$  the Gibbs energy dissipation rates, and the subscript  $MET$  denotes metabolic processes  
 541 and  $EXG$  exchange processes with the environment. Detailed model descriptions can be found in the Supplementary Methods  
 542 1.1-1.6, with the *S. cerevisiae*-specific details in Supplementary Note 1 and the *E. coli*-specific details in Supplementary Note 2.



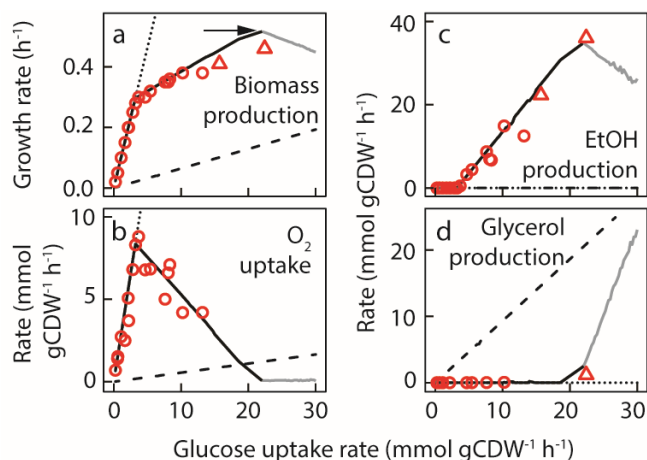
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544 **Figure 2. Rate of cellular Gibbs energy dissipation does not exceed an upper limit.** The median Gibbs energy dissipation  
 545 rate,  $g^{\text{diss}}$  (black dots), as determined by regression analysis including a parametric bootstrap ( $n = 2000$ ) using the combined  
 546 thermodynamic and stoichiometric constrained-based model, the physiological and metabolome data<sup>17</sup> and the Gibbs energies  
 547 from the component contribution method<sup>18</sup>, reached an upper limit, which coincides with the onset of aerobic fermentation, i.e.  
 548 ethanol excretion.  $g^{\text{diss}}_{\text{lim}}$  was determined from the  $g^{\text{diss}}$  values at the plateau. The solid red line represents the median of those  
 549 values and the dashed red lines the 97.5% confidence interval. Note that although the regression was largely underdetermined  
 550 (107 degrees of freedom, Supplementary Fig. 2a),  $g^{\text{diss}}$  could be estimated with high confidence, because  $g^{\text{diss}}$  could in principle  
 551 already directly be estimated using perfect physiological rate measurements (compare SEq. 4 in Supplementary Method 1.2).  
 552 Error bars represent the 97.5% confidence intervals as determined by the parametric bootstrap ( $n = 2000$ ).



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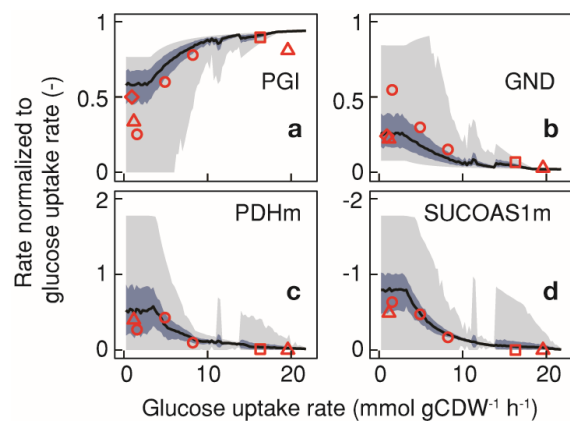
554 **Figure 3. Accurate predictions of cellular physiology with flux balance analysis (FBA) using the combined**  
 555 **thermodynamic and stoichiometric model constrained by  $g^{\text{diss}}_{\text{lim}}$ .** (a–d) Predictions of physiological rates for *S. cerevisiae*  
 556 growth on glucose (solid black line) with growth maximization as objective and constrained by the identified upper limit in the  
 557 Gibbs energy dissipation rate,  $g^{\text{diss}}_{\text{lim}}$ , of  $-3.7 \text{ kJ gCDW}^{-1} \text{ h}^{-1}$  as a constraint. Red circles represent experimentally determined  
 558 values from glucose-limited chemostat cultures<sup>17,23</sup> and red triangles values from glucose batch cultures<sup>23,24</sup>. The black arrow  
 559 points to the GUR at which the maximum growth rate was observed; solid grey lines represent predictions above this GUR.  
 560 Notably, at GURs  $>22 \text{ mmol gCDW}^{-1} \text{ h}^{-1}$  we found that the growth rate decreased again and cells started to massively increase  
 561 glycerol production. The fact that we could not find any experimental values with GURs  $>22 \text{ mmol gCDW}^{-1} \text{ h}^{-1}$  suggests that  
 562 cells restrict their glucose uptake rate in order to retain the maximal possible growth rate. The dotted black lines represent FBA  
 563 simulations with growth maximization as an objective, but without a constraint in  $g^{\text{diss}}$ . The dashed black lines represent  
 564 predictions with the ‘minimal sum of absolute fluxes’ as an objective and the  $g^{\text{diss}}_{\text{lim}}$ -constraint. The excellent predictions are not  
 565 a trivial result of our earlier regression as shown through sensitivity analyses with regards to various model parameters, e.g. lower  
 566 and upper bounds of intracellular metabolite concentrations, and the Gibbs energies of reaction (Supplementary Fig. 3-5).



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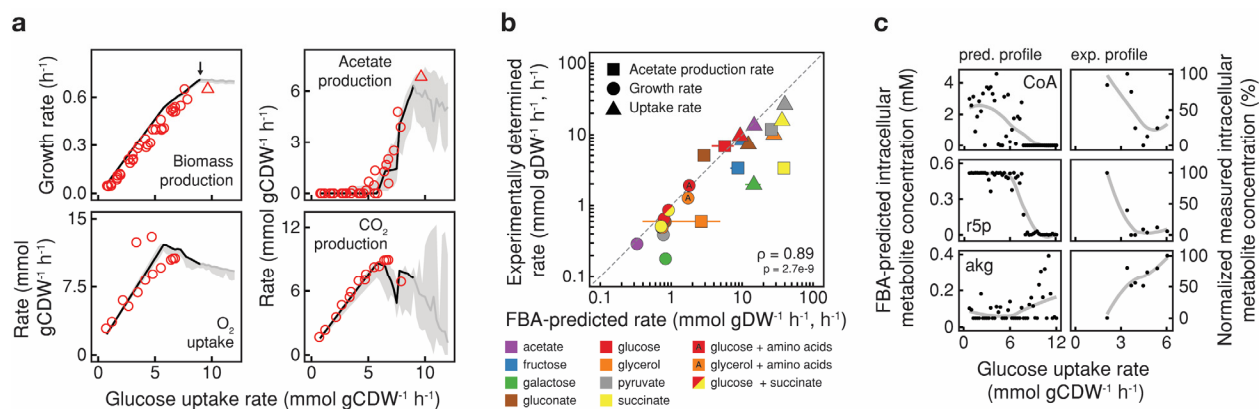


568 **Figure 4. Accurate predictions of intracellular fluxes with flux balance analysis (FBA) using the model constrained by**  
 569  **$g^{diss}_{lim}$ .** (a–d) FBA predicted and through  $^{13}\text{C}$  based metabolic flux analysis inferred intracellular fluxes at key branch points in  
 570 the central metabolism. These FBA predictions were obtained by means of flux variability analysis with the growth rates fixed to  
 571 the values obtained in the FBA optimizations and sampling of the solution space (Supplementary Fig. 8 and Supplementary  
 572 Methods 2.3 and 2.4). The graphs show flux boundaries from flux variability analyses (light grey areas) and the multivariate  
 573 distribution of intracellular fluxes obtained by sampling the solution space ( $n = 10'000'000$ ) of the  $g^{diss}_{lim}$ -constrained model for  
 574 optimal growth rates, with the black lines representing medians and the dark blue areas the 97.5% confidence intervals. The  
 575 symbols denote fluxes determined by  $^{13}\text{C}$ -based metabolic flux analysis; diamonds<sup>25</sup>; squares<sup>26</sup>; triangles<sup>27</sup>; circles<sup>28</sup>. Note  
 576 that the models used for these  $^{13}\text{C}$ -based metabolic flux analyses were small networks with about 20-30 reactions and included  
 577 heuristic assumptions on the reversibility of metabolic reactions. Therefore, these flux estimates may contain errors and biases as  
 578 discussed in Ref. <sup>25</sup> and should be understood as a comparison rather than a benchmark. PGI: glucose-6-phosphate isomerase;  
 579 GND: phosphogluconate dehydrogenase; PDHm: pyruvate dehydrogenase; SUCOAS1m: succinate-CoA ligase. Additional  
 580 intracellular fluxes are shown in Supplementary Fig. 7.



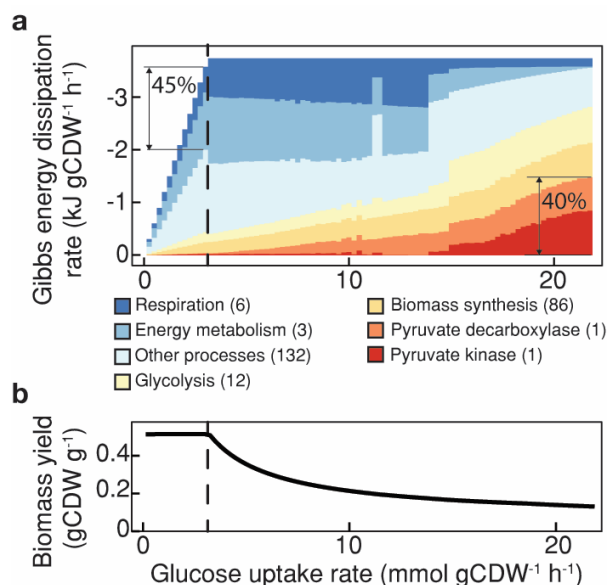
581

582 **Figure 5. Predictive capabilities of flux balance analysis (FBA) using the genome-scale combined thermodynamic and**  
583 **stoichiometric model of *E. coli* constrained by  $g^{\text{diss}}_{\text{lim}}$ .** (a) Predictions of physiological rates for *E. coli* growth on glucose with  
584 growth maximization as objective and the identified upper limit in the Gibbs energy dissipation rate,  $g^{\text{diss}}_{\text{lim}}$ , of  $-4.9 \text{ kJ gCDW}^{-1} \text{ h}^{-1}$   
585 as a constraint (solid black line). Red circles represent experimentally determined values from glucose-limited chemostat  
586 cultures<sup>30,32-35</sup>, and red triangles values from glucose batch cultures<sup>36</sup>. The black arrow points to the GUR, at which the maximum  
587 growth rate was obtained in the simulation; solid grey lines represent predictions above this GUR and the shaded grey area the  
588 variability determined through variability analysis. (b) Predictions of the maximal growth phenotype for growth on eight  
589 different carbon sources, on simultaneously present glucose and succinate, or on either glucose or glycerol supplemented with all  
590 proteinogenic amino acids; in all cases allowing for unlimited carbon source uptake<sup>37-39</sup>. The horizontal error bars represent the  
591 variability determined at the optimal solution. The goodness of FBA predictions was assessed using the person correlation  
592 coefficient ( $r$ ), where the p-values were derived using Student's  $t$ -test. (c) Concentration profiles of three metabolites (coenzyme  
593 A (CoA), ribose-5-phosphate (r5p) and  $\alpha$ -ketoglutarate (akg)), which in our simulations showed a correlative behavior with GUR,  
594 and for which experimental data were available. The experimental metabolite profiles were obtained in accelerostat experiments  
595 with MG1655<sup>33</sup>. Note that here the onset of acetate production occurs at a lower GUR of  $3.6 \text{ mmol gCDW}^{-1} \text{ h}^{-1}$ . For both, the  
596 predictions and experimental data, the concentration profiles (solid grey line) were obtained using a local polynomial regression  
597 method.



598

599 **Figure 6. Cells redistribute flux to avoid critical Gibbs energy dissipation rates. (a)** The limit in the Gibbs energy dissipation  
600 rate causes flux redistribution with increasing GURs, globally leading to a change from respiratory to fermentative pathways.  
601 Seven clusters of metabolic processes were identified by cluster analysis using the Euclidean distance between the Gibbs energy  
602 dissipation rates of metabolic processes at different GURs (for details of the processes in the clusters refer to Supplementary Fig.  
603 15). The Gibbs energy dissipation rates of the metabolic processes were obtained by sampling the solution space of the  $g^{\text{diss,lim}}$ -  
604 constrained model for optimal growth. The numbers in brackets indicate the number of processes in each cluster. The dashed line  
605 indicates the GUR at which ethanol production starts. An identical analysis of the data from the regression yielded similar results  
606 (compare Supplementary Fig. 16). Out of the 31 possible ATP or NAD(P)H consuming futile cycles, none carried a flux in the  
607 FBA optimizations and thus Gibbs energy is not dissipated through futile cycles. **(b)** The shift to less carbon-efficient pathways  
608 leads to reduced biomass yields with increasing GURs.



609