Form **990-PF**

Return of Private Foundation

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

F	or ca	lendar year 2019 or tax year beginning			, 2019	, and endi	ng		, 20
Na	ame of	foundation						A Employer identifi	cation number
	FOU	NDATION FOR A SMOKE-FREE WOR	LD, I	1C.				82-280083	3
Νι	umber	and street (or P.O. box number if mail is not delivere	to street a	ddress)		Room/suite	,	B Telephone number	er (see instructions)
	575	5TH AVENUE				14TH I	FL	(929) 44	6-0688
Ci	ty or to	own, state or province, country, and ZIP or foreign po	stal code						
								C If exemption applica pending, check here	tion is
	NEW	YORK, NY 10017						pending, check here	
G	Che	ck all that apply: Initial return		Initial return	of a former p	ublic char	ity	D 1. Foreign organizat	ions, check here
		Final return		Amended ret	turn			2. Foreign organizat	ions meeting the
		Address change		Name change	е			85% test, check h computation	
H	Che	eck type of organization: X Section 50	1(c <u>)(3</u>) e	xempt private f	oundation			E If private foundation	etatus was terminated ——
1	s	ection 4947(a)(1) nonexempt charitable trust	C	ther taxable pr				under section 507(b)	▶
I	Fair	market value of all assets at J Ac	ounting	method: Ca	ash 🔼 🛚 Acc	rual		F If the foundation is	in a 60-month termination
	end	of year (from Part II, col. (c), line	Other (s	pecify)				under section 507(b)	(1)(B), check here
_				(d), must be on ca	ash basis.)				
l	Part l	Analysis of Revenue and Expenses (Total of amounts in columns (b), (c), and (d)	(~)	Revenue and	(b) Net inve	etment	(c	:) Adjusted net	(d) Disbursements for charitable
		may not necessarily equal the amounts in	ex	kpenses per books	incon		ν,	income	purposes
_		column (a) (see instructions).)							(cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)	. 8	30,000,000.					
	2	attach Sch. B.		1 160 OF4	1 16	0.054			
	3	Interest on savings and temporary cash investments		1,160,854.	1,10	0,854.			
	4	Dividends and interest from securities	•						
		Gross rents	•						
4		Net rental income or (loss)							
Ę	6a b	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all							
Revenue	_	assets on line 6a				0.			
Re	7	Capital gain net income (from Part IV, line 2)				0.			
	8	Net short-term capital gain							
	9 10 a	Income modifications	•						
	h	and allowances							
	1	Less: Cost of goods sold Gross profit or (loss) (attach schedule)							
	11	Other income (attach schedule)							
	12	Total. Add lines 1 through 11		1,160,854.	1,16	0,854.			
	13	Compensation of officers, directors, trustees, etc.		2,401,017.					2,389,609
es	14	Other employee salaries and wages		6,750,858.					6,598,322
èns	15	Pension plans, employee benefits		723,800.					716,970
ğ	15 16a b c 17 18 19 20 21	Legal fees (attach schedule) ATCH 1		2,087,984.					2,369,601
Ш	b	Accounting fees (attach schedule)ATCH 2		379,428.					386,596
ξį	С	Other professional fees (attach schedule) [3] 1	0,880,605.					12,044,157
tra	17	Interest	•	20 245					
Jisi	18	Taxes (attach schedule) (see instructions)[4	•	38,345.					
Ē	19	Depreciation (attach schedule) and depletion	.	192,269.					061 050
₽	20	Occupancy	•	961,259.					961,259
٦	21	Travel, conferences, and meetings		2,357,191.					2,404,584
ā	22	Printing and publications		1,452,382.					1,466,946
ing	23 24 25	Other expenses (attach schedule) ATCH 5	•	1,752,302.					1,400,340
rat	24	Total operating and administrative expense	1 2	8,225,138.					29,338,044
be		Add lines 13 through 23	·	1,022,461.					41,088,249
O		Contributions, gifts, grants paid		9,247,599.		0.		0.	70,426,293
_	26	Total expenses and disbursements. Add lines 24 and 2		- , , , , , , , , ,		٠.		3.	.0,120,255
	27	Subtract line 26 from line 12:	. 1	1,913,255.					
		Excess of revenue over expenses and disbursement Net investment income (if negative, enter -0-			1,16	0,854.			
		Adjusted net income (if negative, enter -0-).			•				

Fo	m 990	PPF (2019) FOUNDATION FOR A SMOK	KE-FREE WORLD, INC	E. 82	-2800838 Page 2
Р	art II	Balance Sheets Attached schedules and amounts in the	Beginning of year	End of	year
		description column should be for end-of-year amounts only. (See instructions.)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	5,665,289.	2,241,333.	2,241,333.
	3	Accounts receivable ► 36,629.			
		Less: allowance for doubtful accounts ▶		36,629.	36,629.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts ▶			
ts	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges ATCH 6	64,337.	334,532.	334,532.
As	10a	Investments - U.S. and state government obligations (attach schedule)			
		Investments - corporate stock (attach schedule)			
		Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment: basis			
		Less: accumulated depreciation			
	12	(attach schedule) Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 7	45,426,152.	57,064,152.	57,064,152.
	14	Land, buildings, and equipment: basis			
		Less: accumulated depreciation 243,987.	80,361.	2,101,244.	2,101,244.
	15	(attach schedule) Other assets (describe ATCH 8)	209,772.	235,481.	235,481.
	16	Total assets (to be completed by all filers - see the			
		instructions. Also, see page 1, item I)	51,445,911.	62,013,371.	62,013,371.
	17	Accounts payable and accrued expenses	2,762,692.	1,634,451.	
	18	Grants payable	264,283.	46,729.	
S	19	Deferred revenue			
itie	20	Loans from officers, directors, trustees, and other disqualified persons			
Liabilities	21	Mortgages and other notes payable (attach schedule)			
Ë	22	Other liabilities (describe ▶)			
		,			
	23	Total liabilities (add lines 17 through 22)	3,026,975.	1,681,180.	
es		Foundations that follow FASB ASC 958, check here			
ce		and complete lines 24, 25, 29, and 30.			
lan	24	Net assets without donor restrictions			
or Fund Balance	25	Net assets with donor restrictions			
þ		Foundations that do not follow FASB ASC 958, check here			
-ur		and complete lines 26 through 30.			
٦r	26	Capital stock, trust principal, or current funds			
SC	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
Net Assets	28	Retained earnings, accumulated income, endowment, or other funds	48,418,936.	60,332,191.	
As	29	Total net assets or fund balances (see instructions)	48,418,936.	60,332,191.	
et ,	30	Total liabilities and net assets/fund balances (see			
Ž		instructions)	51,445,911.	62,013,371.	
P	art I	,		L.	
		al net assets or fund balances at beginning of year - Part I		ust agree with	
		-of-year figure reported on prior year's return)	· · ·	-	48,418,936.
2		er amount from Part I, line 27a			11,913,255.
3					
		l lines 1, 2, and 3			60,332,191.
5		creases not included in line 2 (itemize) ▶		5	
		al net assets or fund balances at end of year (line 4 minus	line 5) - Part II, column (b),		60,332,191.

Part IV Capital Gains					Page 🕽
Part IV Capital Gains	s and Losses for Tax on Inv	estment Income			
	escribe the kind(s) of property sold (for brick warehouse; or common stock, 200	•	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a			D - Donation		
b					
C					
d					
e					
-	(f) Depreciation allowed	(g) Cost or other basis		(h) Gain or (lo	
(e) Gross sales price	(or allowable)	plus expense of sale		((e) plus (f) minu	
a					
b					
С					
d					
e					
Complete only for assets	showing gain in column (h) and owned	by the foundation on 12/31/69.		Gains (Col. (h) ga	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	col.	(k), but not less the Losses (from columns)	
a					
b					
C					
d					
e					
	(If o	ain, also enter in Part I, line 7			
2 Capital gain net income		loss), enter -0- in Part I, line 7	2		
3 Net short-term capital	، gain or (loss) as defined in sections				
	Part I, line 8, column (c). See ins				
ii gaiii, also eillei iii i	art i, line o, coluinii (c). See iik	\mathbf{r}			
Part I lina 8		j	9		
	Under Section 4940(a) for Re		3 ncome		
Part V Qualification	Under Section 4940(e) for Re	educed Tax on Net Investment Inve		me.)	
Part V Qualification (For optional use by domest If section 4940(d)(2) applies	Under Section 4940(e) for Reic private foundations subject to the part blank.	educed Tax on Net Investment Inve	ment inco	, 	Yes X No
Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for	Under Section 4940(e) for Reic private foundations subject to the part blank. The section 4942 tax on the distribution of the section of the section 4942 tax on the distribution of the section of the section 4942 tax on the section of the section 4942 tax on the section of the	duced Tax on Net Investment In the section 4940(a) tax on net invest t	ment inco	,] Yes ◯X No
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Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate a (a) Base period years Calendar year (or tax year beginning in) 2018 2017 2016 2015 2014 2 Total of line 1, column 3 Average distribution rat the number of years the 4 Enter the net value of r 5 Multiply line 4 by line 3	Under Section 4940(e) for Reic private foundations subject to the private foundations subject to the private foundations subject to the private foundation subject to the private foundation 4942 tax on the distribution and the section 4940(e). It is a foundation for each year foundation for each year foundation for the 5-year base period - divide foundation has been in existence foundation for the section 4940(e).	duced Tax on Net Investment In the section 4940(a) tax on net invest t	ase period ing any en 2 3 4	d? tries. (d) Distribution ra (col. (b) divided by	tio col. (c)) 0.469370 1.912015 2.381385 1.190693 423,257. 475,646.
Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate a (a) Base period years Calendar year (or tax year beginning in) 2018 2017 2016 2015 2014 2 Total of line 1, column 3 Average distribution rat the number of years the 4 Enter the net value of r 5 Multiply line 4 by line 3	Under Section 4940(e) for Reic private foundations subject to the private foundations subject to the private foundations subject to the private foundation subject to the private foundation 4942 tax on the distribution and the section 4940(e). It is a foundation for each year foundation for each year foundation for the 5-year base period - divide foundation has been in existence foundation for the section 4940(e).	butable amount of any year in the bound to complete this part. Bar; see the instructions before make (c) Net value of noncharitable-use assets 65,459,724. 1,680,124. Determine the bound of any year in the bound of the part. 1,680,124.	ase period ing any en	d? tries. (d) Distribution ra (col. (b) divided by	tio col. (c)) 0.469370 1.912015 2.381385 1.190693 423,257.
Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate a (a) Base period years Calendar year (or tax year beginning in) 2018 2017 2016 2015 2014 2 Total of line 1, column 3 Average distribution rat the number of years the 4 Enter the net value of r 5 Multiply line 4 by line 3 6 Enter 1% of net investr	Under Section 4940(e) for Reic private foundations subject to the ic private foundations subject to the ic private foundations subject to the ic private foundations. The section 4942 tax on the distribution and the section 4940(e). It is mount in each column for each year with the interest in the section 4940(e). It is not in each column for each year with the interest in the section 4942 tax on the distribution in the section 4940(e). It is not in each column for each year with the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the sect	duced Tax on Net Investment In the section 4940(a) tax on net invest t	ase period ing any en 2 3 4 5	tries. (d) Distribution ra (col. (b) divided by 89,	tio col. (c)) 0.469370 1.912015 2.381385 1.190693 423,257. 475,646. 11,609.
Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate a (a) Base period years Calendar year (or tax year beginning in) 2018 2017 2016 2015 2014 2 Total of line 1, column 3 Average distribution rat the number of years the 4 Enter the net value of r 5 Multiply line 4 by line 3 6 Enter 1% of net investr	Under Section 4940(e) for Reic private foundations subject to the private foundations subject to the private foundations subject to the private foundation subject to the private foundation 4942 tax on the distribution and the section 4940(e). It is a foundation for each year foundation for each year foundation for the 5-year base period - divide foundation has been in existence foundation for the section 4940(e).	duced Tax on Net Investment In the section 4940(a) tax on net invest t	ase period ing any en 2 3 4	tries. (d) Distribution ra (col. (b) divided by 89,	tio col. (c)) 0.469370 1.912015 2.381385 1.190693 423,257. 475,646.
Part V Qualification (For optional use by domest If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate a (a) Base period years Calendar year (or tax year beginning in) 2018 2017 2016 2015 2014 2 Total of line 1, column 3 Average distribution rat the number of years the 4 Enter the net value of r 5 Multiply line 4 by line 3 6 Enter 1% of net investr 7 Add lines 5 and 6	Under Section 4940(e) for Reic private foundations subject to the ic private foundations subject to the ic private foundations subject to the ic private foundations. The section 4942 tax on the distribution and the section 4940(e). It is mount in each column for each year with the interest in the section 4940(e). It is not in each column for each year with the interest in the section 4942 tax on the distribution in the section 4940(e). It is not in each column for each year with the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the section 4942 tax on the distribution and the section 4940(e). It is not in the sect	duced Tax on Net Investment In the section 4940(a) tax on net invest t	ase period ing any en 2 3 4 5	d? tries. (d) Distribution ra (col. (b) divided by 89, 106,	tio col. (c)) 0.469370 1.912015 2.381385 1.190693 423,257. 475,646. 11,609.

Part VI instructions.

Form **990-PF** (2019)

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orm	990-PF (2019) FOUNDATION FOR A SMOKE-FREE WORLD, INC. 82-280	0838	F	Page 4
Par	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see it	nstru	ction	s)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1			
	Date of ruling or determination letter: (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check		23,2	217.
	here and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of			
	Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		22.0	117
3	Add lines 1 and 2		23,2	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		23,2	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0		23,2	<u>зт / .</u>
6	Credits/Payments:			
а	2019 estimated tax payments and 2018 overpayment credited to 2019 6a 25,000.			
b	1 0 0			
	Tax paid with application for extension of time to file (Form 8868)			
_d	Backup withholding erroneously withheld		25,0	000
7	Total credits and payments. Add lines 6a through 6d		25,0	
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached			
9	Tax add in the total of mice of and o le mere than me 7, onto a mount of our		1.5	783.
0 1	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
	t VII-A Statements Regarding Activities			
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No
ıa	participate or intervene in any political campaign?	1a	163	X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the			
b	instructions for the definition	1b		Х
	If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials			
	published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		Х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
-	(1) On the foundation. > \$ 0. (2) On foundation managers. > \$ 0.			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
-	on foundation managers. ► \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		Х
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		Х
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N	ĺΑ
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that			
	conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.			
	DE,NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			
	4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes,"			7.7
	complete Part XIV	9		X

10

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their

Χ

Par	rt VII-A Statements Regarding Activities (continued)			
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			
	person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► WWW.SMOKEFREEWORLD.ORG			
14	The books are in care of ▶ DAVID JANAZZO Telephone no. ▶ 929-446-	-0688	3	
	Located at ▶575 5TH AVENUE, 14TH FLOOR NEW YORK, NY ZIP+4 ▶ 10017			
15	The books are in care of ▶ DAVID JANAZZO Located at ▶575 5TH AVENUE, 14TH FLOOR NEW YORK, NY Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here	N/A	▶	
	and enter the amount of tax-exempt interest received or accrued during the year	1	1/A	
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of			
_	the foreign country >			
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			
	disqualified person? Yes X No (3) Furnish goods services or facilities to (or accept them from) a disqualified person? Yes X No			
	(c) I difficilly displayed to (or decept them form) a displaying person.			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	the benefit of doe of a disqualified person):			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government convices, in terminating manning of days.			
р	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in	4 h		Х
	Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		21
_	Organizations relying on a current notice regarding disaster assistance, check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that	1c		Х
•	were not corrected before the first day of the tax year beginning in 2019?	16		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
_	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines			
а	6d and 6e) for tax year(s) beginning before 2019?			
	If "Yes," list the years			
h	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
~	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement - see instructions.)	2b	N	Ά
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
_	•			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the			
	foundation had excess business holdings in 2019.)	3b	N/	Α
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		Х

orm 9	990-PF (2019) FOUNDATION FOR	R A SMOKE-FRE	E WORLD, INC.	82-2	2800838	F	age 6
Par	t VII-B Statements Regarding Activities	for Which Form	4720 May Be Req	uired (continued)			
5a	During the year, did the foundation pay or incur any amo	ount to:				Yes	No
	(1) Carry on propaganda, or otherwise attempt to influe	ence legislation (section	on 4945(e))?	Yes X No	,		
	(2) Influence the outcome of any specific public ele	ection (see section	4955); or to carry or	n,			
	directly or indirectly, any voter registration drive?			Yes X No	,		
	(3) Provide a grant to an individual for travel, study, or o	other similar purposes?	?	Yes X No)		
	(4) Provide a grant to an organization other than a	charitable, etc., org	ganization described i	n			
	section 4945(d)(4)(A)? See instructions			X Yes No	,		
	(5) Provide for any purpose other than religious, ch						
	purposes, or for the prevention of cruelty to children	or animals?		Yes X No	,		
b	If any answer is "Yes" to 5a(1)-(5), did any of the	transactions fail to	qualify under the e	xceptions described in	ո		
	Regulations section 53.4945 or in a current notice regar				. 5b		Х
	Organizations relying on a current notice regarding disas	ster assistance, check	here	N/A ▶			
С	If the answer is "Yes" to question 5a(4), does the						
•	because it maintained expenditure responsibility for the		•		,		
	If "Yes," attach the statement required by Regulations s	-					
6a	Did the foundation, during the year, receive any fur			ne			
va	on a personal benefit contract?				,		
b	Did the foundation, during the year, pay premiums, dire			. — —	6b		Х
	If "Yes" to 6b, file Form 8870.	only of mancony, on c	r personal benefit contre		. 05		
7a	At any time during the tax year, was the foundation a p	arty to a prohibited ta	v shalter transaction?	Yes X No	,		
b	If "Yes," did the foundation receive any proceeds or ha		-			N	Α
8	Is the foundation subject to the section 4960 tax on page	•			. 75	-11/	
Ū	remuneration or excess parachute payment(s) during the			Yes X No	,		
Par	t VIII Information About Officers, Directo						
	and Contractors		•				
1	List all officers, directors, trustees, and foundat	(b) Title, and average	(c) Compensation	(d) Contributions to	(a) Fymana		4
	(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	(e) Expense other allo		
			, , ,				
ATCI	H 10		2,401,016.	63,877.			0.
2	Compensation of five highest-paid employees	(other than thos	se included on line	e 1 - see instructi	ons). If no	ne, o	ente
	"NONE."	1	Г	(d) October distriction of the			
(a)		(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit	(e) Expens	e accou	ınt,
(a)	"NONE." Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expens	e accou owance	ınt, s
(a)		hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expensor	e accou owance	int, s
		hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expens other allo	e accou owance	ont,
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other allo	e accou	s [′]
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other allo	e accou	s [′]
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other all	e accou	s [′]
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other allo	e accou	s [′]
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other allo	e accou	s [′]
	Name and address of each employee paid more than \$50,000	hours per week		employee benefit plans and deferred compensation	(e) Expens other allo	e accou	s [′]

Total number of other employees paid over \$50,000.....

Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Emplo and Contractors (continued)	yees,
3 Five	highest-paid independent contractors for professional services. See instructions. If none, enter "NONI	E."
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
ATCH 12		8,203,103
	er of others receiving over \$50,000 for professional services	29
Part IX-A	Summary of Direct Charitable Activities	
	ndation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of as and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE A	ATTACHMENT 16	
		31,120,513.
2 SEE A	ATTACHMENT 16	, ,
		12,097,821.
3 SEE A	ATTACHMENT 16	
4		5,479,751.
Part IX-B	Summary of Program-Related Investments (see instructions)	
	e two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE		
2		
•	ogram-related investments. See instructions.	
NONE		
Total. Add	lines 1 through 3	

a riverage menting tall market raise of cooking of the riverage menting tall the riverage menting tall market raise of cooking of the riverage menting tall market raise of cooking of the riverage menting tall market raise of the riverage menting tall market raise of the riverage market market raise of the riverage market market raise of the riverage market	36,402. 48,630.
2 Monage monay tan manacitation of observations and a second of the seco	48,630.
	85,032.
c Fair market value of all other assets (see instructions)	85,032.
d Total (add lines 1a, b, and c)	
e Reduction claimed for blockage or other factors reported on lines 1a and	
1c (attach detailed explanation)	
2 Acquisition indebtedness applicable to line 1 assets	
	85,032.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see	
, g	61,775.
	23,257.
The value of helicitation and according caption into a first fine of Enter helicitation and of the transfer and the second of th	71,163.
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations	., 1, 100.
and certain foreign organizations, check here and do not complete this part.)	
1 Minimum investment return from Part X, line 6	71,163.
2a Tax on investment income for 2019 from Part VI, line 5	
b Income tax for 2019. (This does not include the tax from Part VI.)	
c Add lines 2a and 2b	23,217.
3 Distributable amount before adjustments. Subtract line 2c from line 1	47,946.
	65,839.
	13,785.
6 Deduction from distributable amount (see instructions)	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,	
	13,785.
Part XII Qualifying Distributions (see instructions)	
1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a Expenses, contributions, gifts, etc total from Part I, column (d), line 26	26,293.
b Program-related investments - total from Part IX-B	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,	
	00,000.
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	
b Cash distribution test (attach the required schedule)	
	26,293.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.	
	0.
	26,293.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	

Form **990-PF** (2019)

qualifies for the section 4940(e) reduction of tax in those years.

Pa	rt XIII Undistributed Income (see instr	uctions)			
		(a)	(b)	(c)	(d)
1	Distributable amount for 2019 from Part XI,	Corpus	Years prior to 2018	2018	2019
	line 7				4,513,785.
	Undistributed income, if any, as of the end of 2019:				
	Enter amount for 2018 only				
b	Total for prior years: 20 <u>17</u> ,20 <u>16</u> ,20 <u>15</u>				
3	Excess distributions carryover, if any, to 2019:				
а	From 2014				
b	From 2015				
C	From 2016				
d	From 2017 3,185,969.				
	From 2018	20 650 100			
f	Total of lines 3a through e	30,650,108.			
4	Qualifying distributions for 2019 from Part XII, line 4: ► \$ 72,326,293.				
а	Applied to 2018, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
С	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2019 distributable amount				4,513,785.
	Remaining amount distributed out of corpus	67,812,508.			
5	Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	98,462,616.			
	Prior years' undistributed income. Subtract				
N.	line 4b from line 2b				
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
	amount - see instructions				
е	Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be				
	distributed in 2020				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be				
	required - see instructions)				
	Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2020.	98,462,616.			
	Subtract lines 7 and 8 from line 6a	, , 0 = 0 .			
	Analysis of line 9:				
	Excess from 2015				
	Excess from 2016 3,185,969.				
	Excess from 2017 27,464,139.				
	Excess from 2019 67,812,508.				

Pa	rt XIV Private Op	erating Foundations	s (see instructions ar	nd Part VII-A, questi	on 9) 1	NOT APPLICABLE
1 a	If the foundation has	received a ruling or d	etermination letter that	t it is a private opera	ating	
	foundation, and the ruling				-	
b	Check box to indicate v)(3) or 4942(j)(5)
	Enter the lesser of the ad-	Tax year		Prior 3 years		
	justed net income from Part	(a) 2019	(b) 2018	(c) 2017	(d) 2016	e) Total
	I or the minimum investment		(5) 2010	(0) 2017	(4) 2010	
	return from Part X for each					
	year listed					
b	85% of line 2a					
С	Qualifying distributions from Part					
	XII, line 4, for each year listed					
a	Amounts included in line 2c not used directly for active conduct					
	of exempt activities					
е	Qualifying distributions made					
	directly for active conduct of exempt activities. Subtract line					
	2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
а	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying					
	under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test-					
	enter 2/3 of minimum invest-					
	ment return shown in Part X,					
c	line 6 for each year listed "Support" alternative test - enter:					
·	(1) Total support other than					
	gross investment income					
	(interest, dividends, rents, payments on securities					
	loans (section 512(a)(5)),					
	or royalties)					
	(2) Support from general public and 5 or more					
	exempt organizations as provided in section 4942					
	(j)(3)(B)(iii)					
	(3) Largest amount of sup- port from an exempt					
	organization an exempt					
_	(4) Gross investment income					
Pa		ntary Information (only if the founda	ntion had \$5,000 o	r more in assets at
	•	uring the year - see	•			
1	Information Regarding					
а	List any managers of					red by the foundation
	before the close of any	tax year (but only if tr	iey nave contributed n	nore than \$5,000). (Se	ee section 507(d)(2).)	
	N/A					
b	List any managers of					/ large portion of the
	ownership of a partner	ship or other entity) of	which the foundation	has a 10% or greater	interest.	
	N/A					
2	Information Regarding	g Contribution, Grant	, Gift, Loan, Scholarsh	ip, etc., Programs:		
	Check here ► if t	he foundation only	makes contributions	to preselected chari	table organizations a	and does not accep
	unsolicited requests for	or funds. If the found	ation makes gifts, gra	ants, etc., to individua	ls or organizations ur	nder other conditions
	complete items 2a, b,	c, and d. See instruction	ns.			
а	The name, address, a	nd telephone number	or email address of th	ne person to whom app	olications should be add	dressed:
	ATCH 13			•		
b	The form in which app	lications should be sul	omitted and information	on and materials they	should include:	
	7.7			-,		
	ATCH 13					
	Any submission deadli	nes:				
J	.,					
	ATCH 13					
	Any restrictions or li	mitations on awards	such as hy geogra	anhical areas charita	able fields kinds of	institutions or other
u	factors:	imations on awaius	, Judii as by yedyld	apriioai aicas, ciialila	ioio noido, kilius Ul	montanono, or other

ATCH 13

3 Grants and Contributions Paid Dur	ing the Year or App		Future Payment	
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	status of recipient	Contribution	
a Paid during the year				
ATCH 14				
Total			▶ 3a	41,088,249.
b Approved for future payment				
ATCH 15				
Total			▶ 3b	113,021,885.
10121			₽ 3h	

Part XV	I-A Analysis of Income-Prod	ucing Act	ivities			
Enter gross	s amounts unless otherwise indicated.	Unrela	ated business income	Excluded by	y section 512, 513, or 514	(e)
	n service revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
-						(
с						
d						
е						
f						
g Fees	and contracts from government agencies					
2 Membe	rship dues and assessments				1 110 071	
3 Interest	on savings and temporary cash investments •			14	1,160,854.	
4 Dividen	ds and interest from securities					
	tal income or (loss) from real estate:					
	t-financed property					
	debt-financed property					
	al income or (loss) from personal property					
	nvestment income					
	(loss) from sales of assets other than inventory					
	ome or (loss) from special events					
	profit or (loss) from sales of inventory.					
	evenue: a					
e						
	al. Add columns (b), (d), and (e)				1,160,854.	
	Add line 12, columns (b), (d), and (e)					1,160,854.
	sheet in line 13 instructions to verify calc					
Part XVI	-B Relationship of Activitie	s to the A	ccomplishment of Ex	xempt Pur	poses	
Line No.	Explain below how each activit	v for whic	n income is reported i	n column (e	e) of Part XVI-A contrib	uted importantly to th
•	accomplishment of the foundation	-				·
	'			71		, , ,
	27/2					
	N/A					

Page **13** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Part XVII **Organizations**

1				ngage in any of the fo						Yes	No
	in sec	ction 501(c) (other	than section	501(c)(3) organization	ns) or in se	ction 527, re	lating to	o political			
	organi	zations?									ĺ
а	Transf	ers from the reportin	ng foundation to a	a noncharitable exemp	t organization	of:					
	(1) Ca	sh							1a(1)		X
	(2) Otl	her assets							1a(2)		X
b	` '	transactions:							, ,		
			ncharitable exem	pt organization					1h/1)		Х
				ole exempt organization					1b(2)		Х
				issets							Х
		•	•								X
		_									
		_									X
				p or fundraising solicitat							X
С	Sharin	g of facilities, equipm	nent, mailing lists	, other assets, or paid	employees				1c		X
d	If the	answer to any of th	ne above is "Yes	," complete the follow	ving schedule	. Column (b) s	should a	always show	the	fair m	ıarke
	value	of the goods, other	assets, or servic	es given by the repor	ting foundatio	n. If the found	ation re	ceived less	than	fair m	arke
	value	in any transaction or	r sharing arrange	ement, show in colum	n (d) the valu	e of the goods	s, other	assets, or se	ervice	s rece	eived
(a) L	ine no.	(b) Amount involved	(c) Name of no	oncharitable exempt organizat	ion (d) D	escription of transf	ers, transa	actions, and shar	ing arra	angeme	nts
.,		N/A			N/A	•		,			
2a		-	-	liated with, or related			_		_		7
		, ,	•	tion 501(c)(3)) or in sec	ction 527?				Y	es 🛚 X	」No
b	If "Yes	s," complete the follo	wing schedule.								
		(a) Name of organization	n	(b) Type of organiz	zation	((c) Descrip	otion of relations	hip		
											-
	Unde	r penalties of perjury, I decla	are that I have examine	ed this return, including accomp	panying schedules a	nd statements, and t	o the best	of my knowledg	e and b	elief, it	is true
	corre			cpayer) is based on all information							
Sigr	1 	David Oan	12770	5/11/2020	CFO			May the IRS			
Her	e 🖳	z cer est goen	ui 30		/			with the pre			
	Sig	nature of officer or frustee	ω	Date	Title			See instructions	s. <u>X</u>	Yes	No
Dair	1	Print/Type preparer's na	ıme	Preparer's signature		Date 5/11/202	CI CI	neck if F	PTIN		
Paic		LAURA KIELCZI		Laura Kielczei	vski	5/11/202	.u se	elf-employed]	P007	4076	9
Pre	parer	Firm's name ► ER	NST & YOUNG	U.S. LLP			Firm's EI	N ▶34-65	655	96	
Use	Only	Firm's address > 5	TIMES SQUAR	E							
	,		W YORK, NY		1	0036	Phone no	212-77	73-30	000	
		1	,				I I HOHE H	,		D-PF	(2019)

Schedule B (Form 990, 990-EZ, or 990-PF)

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

Schedule of Contributors

OMB No. 1545-0047

2019

Department of the Treasury ► Go to www.irs.gov/Form990 for the latest information. Internal Revenue Service **Employer identification number** Name of the organization FOUNDATION FOR A SMOKE-FREE WORLD, INC. 82-2800838 Organization type (check one): Filers of: Section: Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule** \mid X \mid For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. **Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one

contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions

contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number 82-2800838

Part I Contributors (see instructions). Use duplicate copies of Part I	if additional space is needed.
------------------------------------------------------------------------	--------------------------------

(0)	(6)	(a)	(4)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1_	PMI GLOBAL SERVICES, INC 120 PARK AVENUE NEW YORK, NY 10017	\$80,000,000.	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number 82-2800838

Part II	Noncash Property	(see instructions)	Use duplicate copie	es of Part II if additiona	I space is needed
CII G III	140116a3111 10pcity	1300 111311 401101137.	. Use auplicate copi		i space is riceacu.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	

Name of or	rganization FOUNDATION FOR A SMOKE	-FREE WORLD, IN	IC.	Employer identification number 82-2800838
Part III	Exclusively religious, charitable, etc. (10) that total more than \$1,000 for the following line entry. For organizati contributions of \$1,000 or less for the Use duplicate copies of Part III if additional contributions.	the year from any ions completing Part e year. (Enter this in	one contributor. On the contributor on the contributor. On the contributor on the contributor. So that is a second or contributor. On the contributor on the contributor on the contributor on the contributor of the contribu	ribed in section 501(c)(7), (8), or Complete columns (a) through (e) and of exclusively religious, charitable, etc.
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held
	Transferee's name, address, ar	er of gift Relation	nship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held
(a) No. from Part I	Transferee's name, address, ar	(e) Transf		nship of transferor to transferee
	(b) Purpose of gift (c) Usa		of gift	(d) Description of how gift is held
	Transferee's name, address, ar	, ,	nnsfer of gift Relationship of transferor to transferee	
(a) Na				
(a) No. from Part I	(b) Purpose of gift	(c) Use	of gift	(d) Description of how gift is held
	Transferee's name, address, ar	(e) Transf nd ZIP + 4		nship of transferor to transferee

ATTACHMENT	1
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FORM 990PF, PART I - LEGAL FEE	FORM	990PF,	PART	I -	LEGAL	FEES
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DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
LEGAL FEES		2,087,984.			2,369,601.
	TOTALS	2,087,984.			2,369,601.

ATTACHMENT	2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT _INCOME_	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
ACCOUNTING FEES		379,428.			386,596.
	TOTALS	379,428.			386,596.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

	REVENUE AND EXPENSES	CHARITABLE
DESCRIPTION	PER BOOKS	PURPOSES
PROFESSIONAL SERVICES COMMUNICATIONS CONSULTING FEES	4,124,010. 5,088,722. 1,667,873.	5,287,562. 5,088,722. 1,667,873.
TOTAL	<u> </u>	12,044,157.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FED EXCISE TAX PAYMENT FED INCOME TAX PAYMENT 2019 TAX WITHHOLDING		30,280. 7,819. 246.
	TOTALS	38,345.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

	REVENUE	
	AND	
	EXPENSES	CHARITABLE
DESCRIPTION	PER BOOKS	PURPOSES
GENERAL OFFICE EXPENSES	493,695.	508,259.
COMPUTER & EQUIPMENT	52,942.	52,942.
MARKETING	1,311.	1,311.
RECRUITING SERVICES	852,017.	852,017.
TELEPHONE & INTERNET	51,613.	51,613.
MALAWI CASH ACCOUNT ACTIVITY	804.	804.
TOTALS	1,452,382.	1,466,946.

ALIACHMENI O	6	ATTACHMENT
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FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
PREPAID SERVICES PREPAID LICENSE FEES	209,077. 125,455.	209,077. 125,455.
TOTALS	334,532.	334,532.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
FIDELITY GOVT MONEY MARKET CAP	57,064,152.	57,064,152.
TOTALS	57,064,152.	57,064,152.

3 mm 3	~TTN/TTNTTT	\circ
A.II.A	CHMENT	ಜ

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
SECURITY DEPOSITS OTHER RECEIVABLES	157,313. 78,168.	157,313. 78,168.
TOTALS	235,481.	235,481.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	BR.)	Level 3, Al Reem Plaza Tower, Buheira Corniche, Sharjah P.O.Box: 22525 United Arab Emirates	5/8/2019	1,157,282	Survey on usage patterns and perceptions regarding tobacco products and alternative nicotine delivery systems among adults across 7 countries.	GRANTEE 1,157,282	No	Various	Various	The total spending of \$1,157,282 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	ABF GmbH	Semmelweisstras se 5 Planegg 82152 Germany	2/12/2019	1,460,780	Identify meaningful biomarkers that properly distinguish between nicotine product use groups	1,187,546	No	Various	Various	The total spending of \$1,187,546 as per report which is 81% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3	ACK Consultants	Burfield House 121-123 Athelstan Road Faversham United Kingdom ME13 8QW	5/31/2018	100,000	Alternative livelihoods for tobacco farmers in developing countries	98,414	No	Various	Various	The total spending of \$98,414 as per report which is 98% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
4	Agenome LLC	8a Regency Heights 3/2-1 Cleveland Road Bangalore 560005 India	2/12/2019	1,457,767	Assess cancer risk in smoking subjects by Next Generation Sequencing profiling of circulating free DNA and RNA. Create a genome database of nonsmoking populations to identify new genes that predispose individuals to cancer.	1,018,123	No	Various	Various	The total spending of \$1,018,123 as per report which is 70% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
5	Alternative Research Initiative	Office # 31, 1st Floor Al-Anayat Mall. G-11 Markaz Islamabad Pakistan	12/12/2018	123,420	Project 1: Conduct a knowledge, attitudes, and practices survey evaluating the use of HRPs, mainly e-cigarettes, in 11 urban districts of Pakistan. Project 2: Conduct a qualitative survey probing barriers to smoking cessation in marginalized areas of Islamabad, and assess the possible use of e-cigarettes in these areas.	103,817	No	Various	Various	The total spending of \$103,817 as per report which is 84% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
6	Asia Management Private Limited	No 10/1 Medhuziyaaraiy Magu Male 20097 Republic of Maldives	9/16/2019	E0 02E	Survey of Smoking Behavior of Young Adults. Development of 2 Year plan of action for ending youth smoking in the Maldives.					Report due January 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
	Behavioral Diagnostics, LLC	2500 Crosspark Road Coralville, IA 52241 USA	2/12/2019	1,290,660	Development of a kit for the detection and quantification of tobacco and other nicotine product use.	665,089	No	Various	Various	Total Expenditure of \$665,089 as per report which is 52% of utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
8	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor Woodland Hills, CA 91367 United States		299,767	Investigate the drivers for smoking cessation in five countries with alternative nicotine delivery device markets.					Report due January 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
9	Cambridge Design Partnership Ltd	The Long Barn, Church Road, Toft Cambridge CB23 2RF UK	4/30/2019	514,433	Investigate the impediments to adoption of RRP for cessation and Tobacco Harm Reduction in low and middle-income countries.	23,823	No	10/10/2019	10/10/2019	Total Expenditure of \$23,823 as per report which is 5% of utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
1	0 Causal Design	1440 G Street NW Washington, DC 20005 United States	2/22/2019	78,666	Act as a preferred research partner of the agricultural transformation initiative. Conduct adhoc analyses and assist in monitoring, evaluation, and learning support.	78,666	No	Various	Various	Total Expenditure of \$78,666 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
-	Central Region 1 Milk Producers Association		11/8/2018		Support dairy farming cooperatives in the Mchinji district as a viable alternative to tobacco farming.	24,928	No	Various	Various	Total Expenditure of \$24,928 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
-	Centre for Health 2 Research and Education Limited, UK	16 Guildford Drive Chandlers Ford Eastleigh Hampshire SO53 3PT UK	11/28/2019	450,115	Smoke-free UK landscaping project - reaching the 'hardcore' of smokers and supporting quitting. Achieve and sustain smoke free at UK's Priory Group Mental Health Hospitals.					Report due March 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	Centre of Research Excellence on Indigenous Sovereignty & Smoking (COREISS Limited)	109 Carlisle Road Torbay, Auckland 0632 New Zealand	05/30/2018 07/30/2019	1,798,829	Center of Excellence focusing on tobacco use, tobacco harm reduction and capacity building in indigenous people worldwide.	1,318,997	No	Various	Various	Total Expenditure of \$1,318,997 as per report which is 73% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
1		APARTMENT A1 & B3, HOUSE 09, ROAD 2/1 BANANI R/A, DHAKA 1213 Bangladesh	5/31/2018	92,620	Systematic review and situation analysis of smoking and perceptions about harm reduction amongst slum dwellers	52,930	No	Various	Various	The total spending of \$52,930 as per report which is 57% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	5 ECLAT srl	Torre Biologica lato nord, piano 11, stanza 2 Via S. Sofia, 89 Catania, Sicily Italy	02/01/2019 07/30/2019 02/12/2019 11/04/2019	8,036,493	Act as a hub for the global coordination of scientific research projects for advancing the science of tobacco cessation and harm reduction. ECLAT executes 5 primary project types: (1) cohort and clinical studies; (2) product characterization; (3) wellness studies; (4) technological innovation; and (5) knowledge sharing and capacity strengthening.	1,683,718	No	Various	Various	Total Expenditure of \$1,683,718 as per report which is 21% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
1	6 Embera Neuro Therapeutics	29 Babe Ruth Drive Sudbury, MA 01778 United States	8/28/2019	104,210	Tobacco Use Disorder clinical study.					Report due May 2020

	•	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	7	Etheim Biotics, LLC	34 Cessna Court Gaithersburg, MD 20879 United States	2/12/2019	3,422,527	Multi-regional study to examine the impact of smoking and vaping on the human microbiome.	1,390,928	No	Various	Various	Total Expenditure of \$1,390,928 as per report which is 41% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
1	.8	Euromonitor International	60-61 Britton Street London EC1M 5UX UK	2/12/2019	2,005,158	Manage work streams associated with the Tobacco Transformation Index, including stakeholder consultation, index research and scoring, reporting, and evaluation.	2,005,158	No	Various	Various	Total Expenditure of \$2,005,158 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTI	EE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
:	9 Farmer of Mal	rs Union	P.O. Box 30457 Lilongwe 3 Malawi	7/30/2019	125,000	Improve food security, nutrition, and income of households affected by March 2019 floods. Increase access to irrigation technology and productivity for smallholder farmers.					Report due February 2020.
	20 Feng l	Insight	7 Lewes Crescent Brighton BN2 1FH UK	6/18/2019	220,638	Conduct China policy landscape analysis and related high-level strategic review.	209,087	No	Various	Various	Total Expenditure of \$209,087 which is 95% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRAI	NTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
2	1 Fox	Foundation	1002 S. Church Avenue, Suite 10822 Tampa, FL 33629 United States	12/18/2018	59,910	Scoping for Behavioral Psychology Centre of Excellence	59,910	No	Various	Various	The total spending of \$59,910 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	Netw Nico Cons	work of otine sumer	Quai de l'Ile 13 CH-1204 Geneva Switzerland	11/26/2018 10/02/2019	159,900	Develop a business plan for INNCO, which aims to "represent consumers of low-risk, alternative nicotine products and to promote tobacco harm reduction on the global stage."	110,062	No	Various	Various	Total Expenditure of \$110,062 as per report which is 69% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
2	INTL FCSTone Financial Inc.	1251 NW Briarcliff Pkwy Suite 800 Kansas City, MO 64116 United States	2/4/2018	10,500	Event: Southern Africa Structured Trade Seminar 26-29 June 2018	10,000	No	7/7/2019	7/18/2019	The total spending of \$10,000 as per report which is 95% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	Action Change Limited	8 Northumberland Avenue London WC2N 5BY UK	12/2/2019		Extend the Tobacco Harm Reduction Scholarship programme for students and mentors in low and middle income countries. Consolidate Global State of Tobacco Harm Reduction's position as a trusted source of robust, objective, and independent information on Tobacco Harm Reduction.	473,628	No	Various	Various	Total Expenditure of \$473,628 as per report which is 34% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
2	Land O'Lakes 5 International Development	1725 DeSales Street, NW, Suite 800 Washington, DC 20036 United States	12/17/2018	2,400,000	Establishment of a Center of Excellence for Agricultural Transformation in Malawi.	307,774	No	Various	Various	Total Expenditure of \$307,774 as per report which is 13% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	Law Enforcement Action Partners	The Influence Foundation, Inc. 447 Broadway, 2nd Floor NY, NY 10013 United States	12/4/2018	130,000	Build capacity to share stories that present facts about Tobacco Harm Reduction and nicotine use to all stakeholders.	100,000	No	Various	Various	Total Expenditure of \$100,000 as per report which is 77% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
2	LTS 7 International Limited	P.O. Box 2344 244 Bwaila Street Area 15 Lilongwe Malawi	2/22/2019	60,638	Act as a preferred research partner of the agricultural transformation initiative. Conduct adhoc analyses and assist in monitoring, evaluation, and learning support.	60,638	No	Various	Various	Total Expenditure of \$60,638 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	Lumos 8 Diagnostics Pty Ltd	2724 Loker Ave West Carlsbad, CA 92010 United States	2/12/2019	1,225,310	Develop a rapid point of care assay to differentiate between multi-use of nicotine products.	89,983	No	Various	Various	Total Expenditure of \$89,983 as per report which is 7% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
2	Metaform Ventures LLC	505 Cento Ct Pleasanton, CA 94566-6329 United States	7/30/2019		Assess levels of harmful and potentially harmful constituents of smokeless tobacco products sold in India. Communicate findings to various stakeholders to standardize and regulate constituents of these products in India.					Report due January 2020.
3	Michigan State University	427 North Shaw Lane Rm 100 East Lansing, MI 48824 United States	12/17/2018	500,000	Establish a policy initiative in Malawi that offers research support to government policymakers. Identify reforms that can catalyze economic diversification.	500,000	No	Various	Various	Total Expenditure of \$500,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3	ORG Architecten	Koolmijnenkaai 30-34, Brussels 1080 Belgium	7/30/2019	93 , 645	Phase 2-A of the build and design phase of the Center for Agricultural Transformation (CAT) building / facility in Lilongwe, which will look at the design and ergonomic aspects of the building to meet and support the objectives of the CAT programme.					Report due January 2020.
3	Palladium Group Global	1331 Pennsylvania Avenue NW, Suite 600 Washington, DC 20004-1751 United States	6/3/2019		Work with local and international investors to package, structure, and vet large-scale transactions that provide new economic opportunities to tobacco farmers.	342,917	No	12/18/2019	2/14/2020	Total Expenditure of \$342,917 as per report which is 33% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3	Patras Science Park 3 (ΕΠΙΣΤΗΜΟΝΙΚΟ ΠΑΡΚΟ ΠΑΤΡΩΝ A.E)	University Campus, 26504 Rio Achaia Greece	5/30/2018 11/16/2018	105,985	Development of an Institute for Research and Innovation on Tobacco Harm Reduction - Perceived Risk Study and Intervention of Smoke- free Products	91,317	No	Various	Various	The total spending of \$91,317 as per report which is 86% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
3	QBal (Quality Belligerence)	Flat No 11, Second Floor E1 Block, Street 94 G11/3 Islamabad 44000 Pakistan	7/30/2019	200,000	Identify best practice evaluation methods for readily available tobacco and nicotine consumer products.	109,426	No	11/13/2019	11/21/2019	Total Expenditure of \$109,426 as per report which is 55% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3		7240 ACC Blvd. Raleigh, NC 27617 United States	2/12/2019	4,762,663	This translational research center will evaluate innovative smoking cessation and harm reduction strategies to help smokers quit.	1,304,839	No	Various	Various	Total Expenditure of \$1,304,839 as per report which is 27% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
3	Rotary Club of	District 9210 of Rotary International Platinum Hotel, City Center P.O. Box 498 Lilongwe MALAWI	2/13/2019		Manage a scholarship aimed at helping low-income students complete their university studies in Lilongwe.					Report due January 2020.

	GRA	ANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3)	Afr Fou Agr	thern cican undation for ciculture & mate Change	Box 379	5/31/2018	80,100	Transitioning Tobacco Farmers to Alternative Livelihoods	79,371	No	Various	Various	The total spending of \$79,371 as per report which is 99% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
3	8 Imp	ategic eact risors	6511 Griffith Road Laytonsville MD 20882 United States	7/30/2019		Extend mobile money wallet services of Telekom Networks Malawi (TNM) to reach smallholder farmers in tobacco growing regions.					Report due February 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3	SustainAbility Inc.	81 Prospect Street Brooklyn, NY 11201 United States	2/12/2019		Manage work streams associated with the Tobacco Transformation Index, including stakeholder consultation, index research and scoring, reporting, and evaluation.	3,042,140	No	Various	Various	Total Expenditure of \$3,042,140 which is 97% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
4	Telenor Health ASA	9th Floor, GrameenPhone House, Road Number 2, Bashundhara, Dhaka, 1229 Bangladesh	7/30/2019	74,400	Scoping work for development and implementation of a 21st Century Cohort Study.					Pending reports.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDEDBY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
4	University of Cape Town	Department of Psychiatry & Mental Health Faculty of Health Sciences, University of Cape Town Room 6 (North Wing), Education Centre, Valkenberg Hospital Observatory, 7925 Cape Town South Africa	2/12/2019	23,342	Scoping work for establishment of an African Centre of Excellence for Smoking and Mental Health.	23,342	No	6/27/2019	6/28/2019	Total Expenditure of \$23,342 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
4.	Vouth Pridge	LCUNA Building Bob Taylor's Junction- Paynesville City Liberia	12/12/2018	64,181	Determine pre- intervention conditions and best practice strategies to end smoking in Liberia.	64,182	No	Various	Various	Total Expenditure of \$64,182 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

ATTACHMENT 10

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DEREK YACH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	PRESIDENT/DIRECTOR 40.00	957,726.	16,755.	0.
ZOE FELDMAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 19.00	56,181.	0.	0.
DYBORN CHIBONGA 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 8.00	50,000.	0.	0.
THOMAS HARDING 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	COO (UNTIL 07/31/19) 40.00	593,043.	20,750.	0.
PAMELA PARIZEK 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHAIR/DIRECTOR 14.00	93,681.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MARTIN SKANCKE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (UNTIL 07/31/19) 4.00	41,712.	0.	0.
ANDREW MACLEOD 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (UNTIL 02/11/19) 10.00	41,552.	0.	0.
DARSHITA GILLIES 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 6.00	50,000.	0.	0.
PAUL GARDNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 7.00	50,000.	0.	0.
CORINNA LATHAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 11.00	50,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT	1.0	(CONT'D)
ATTACHMENT	T ()	(CONT D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
NORIKO TOJO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 6.00	44,158.	0.	0.
ELIZABETH A. SMITH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	SECRETARY (UNTIL 10/20/19) 6.00	0.	0.	0.
DAVID JANAZZO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CFO (AS OF 08/01/19) 40.00	314,567.	25,000.	0.
HEIDI GOLDSTEIN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	GEN. COUNSEL (AS OF 10/21/19) 40.00	58,396.	1,372.	0.
VANDANA ABRAMSON 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (AS OF 11/05/19) 2.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ANGELA MARSHALL HOFMANN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (AS OF 11/05/19) 1.00	0.	0.	0.
	GRAND TOTALS	2,401,016.	63,877.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

JOHL HART VIII COMERNOATION	OF THE FIVE HIGHEST TAID E		ATTACHMENT 11	
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	
JAMES LUTZWEILER 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-AGRICULTURE 40.00	377,845.	19,000.	
HEATHER MAJEWSKI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-SHARED VALUE INIT 40.00	321,679.	19,000.	
MICA WILSON 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-MKT & COMM. 40.00	269,269.	1,500.	
EHSAN LATIF 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-GRANT MGMT & DEV 40.00	217,541.	8,256.	
BRIAN ERKKILA 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-HEALTH,SCI&TECH 40.00	217,366.	18,505.	
	TOTAL COMPENSATION	1,403,700.	66,261.	

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

	ATTACHMENT 12	
NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
SIGNALS ANALYTICS 1325 6TH AVE 25TH FLOOR NEW YORK, NY 10019	DATA PLATFORM DEV.	2,130,000.
RUDER FINN 425 E. 53RD ST. NEW YORK, NY 10022	PUBLIC RELATIONS	2,072,480.
BAKER & HOSTETLER LLP 45 ROCKEFELLER PLAZA NEW YORK, NY 10111	LEGAL FEES	1,647,929.
OGILVY PUBLIC RELATIONS WORLDWIDE 245 1ST STREET, 10TH FLOOR CAMBRIDGE, MA 02124	PUBLIC RELATIONS	1,214,702.
MARKETKONNECT LLC 1299 PENNSYLVANIA AVE. NW. SUITE 300 WASHINGTON, DC 20004	CONSULTING SERVICES	1,137,992.
TOTAL COMPENSAT	ION	8,203,103.

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

APPLICATION SHOULD BE ADDRESSED TO:

URL: SMOKEFREEWORLD. ORG SUPPORT@SMOKEFREEWORLD.ORG

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

SUBMISSION DEADLINES:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CONRAD FOUNDATION	N/A	SPONSORSHIP OF THE 2018-2019, 2019-2020, AND	356,578.
1120 NASA PARKWAY SUITE 108	PC	2020-2021 CONRAD SPIRIT OF INNOVATION CHALLENGE.	
HOUSTON, TX 77058			
ASIA MANAGEMENT PRIVATE LIMITED	N/A	SURVEY OF SMOKING BEHAVIOR OF YOUNG ADULTS.	59,925.
NO 10/1 MEDHUZIYAARAIY MAGU	NC	DEVELOPMENT OF 2 YEAR PLAN OF ACTION FOR ENDING	
MALE		YOUTH SMOKING IN THE MALDIVES.	
MALDIVES 20097			
ABF GMBH	N/A	IDENTIFY MEANINGFUL BIOMARKERS THAT PROPERLY	1,460,780.
SEMMELWEISSTRASSE 5	NC	DISTINGUISH BETWEEN NICOTINE PRODUCT USE GROUPS.	
PLANEGG			
GERMANY 82152			
ASIA PUBLIC HISTORY FOUNDATION INC	N/A	EXPLORE HISTORICAL ROUTES OF TOBACCO PRODUCTION,	50,000.
26 EVERETT ST	PC	CIRCULATION, AND ADDICTION IN ASIA AND CHINA AND	
CHILD HALL 115		POLICY-RELATED SOLUTIONS.	
CAMBRIDGE, MA 02138			
ALTERNATIVE RESEARCH INITIATIVE	N/A	PROJECT 1: CONDUCT A KNOWLEDGE, ATTITUDES, AND	123,420.
OFFICE #31, 1ST FL. AL-ANAYAT MALL.	NC	PRACTICES SURVEY EVALUATING THE USE OF HRPS,	
ISLAMABAD		MAINLY E-CIGARETTES, IN 11 URBAN DISTRICTS OF	
PAKISTAN G-11 MARKAZ		PAKISTAN. PROJECT 2: CONDUCT A QUALITATIVE SURVEY	
		PROBING BARRIERS TO SMOKING CESSATION IN	
		MARGINALIZED AREAS OF ISLAMABAD, AND ASSESS THE	
		POSSIBLE USE OF E-CIGARETTES IN THESE AREAS.	
BEHAVIORAL DIAGNOSTICS LLC	N/A	DEVELOPMENT OF A KIT FOR THE DETECTION AND	1,290,660.
2500 CROSSPARK ROAD	NC	QUANTIFICATION OF TOBACCO AND OTHER NICOTINE	
CORALVILLE, IA 52241		PRODUCT USE.	

ATTACHMENT 14

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CAMBRIDGE DESIGN PARTNERSHIP LTD	N/A	INVESTIGATE THE IMPEDIMENTS TO ADOPTION OF RRPS	514,433.
THE LONG BARN, CHURCH ROAD, TOFT	NC	FOR CESSATION AND TOBACCO HARM REDUCTION IN LOW-	
CAMBRIDGE		AND MIDDLE-INCOME COUNTRIES.	
UNITED KINGDOM CB23 2RF			
CENTRAL REGION MILK PRODUCERS ASSOCIATION	N/A	SUPPORT DAIRY FARMING COOPERATIVES IN THE MCHINJI	24,928.
P.O. BOX 376	NC	DISTRICT AS A VIABLE ALTERNATIVE TO TOBACCO	21,520.
LILONGWE		FARMING.	
MALAWI		THEFING.	
THE THE			
CAUSAL DESIGN	N/A	ACT AS A PREFERRED RESEARCH PARTNER OF THE	78,666.
1440 G STREET NW	NC	AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT	
WASHINGTON, DC 20005		AD-HOC ANALYSES AND ASSIST IN MONITORING,	
		EVALUATION, AND LEARNING SUPPORT.	
CENTRE OF RES. EXCEL. ON INDIGENOUS SOV & SMOKING	N/A	CENTER OF EXCELLENCE FOCUSING ON TOBACCO USE,	1,798,829.
109 CARLISLE ROAD	NC	TOBACCO HARM REDUCTION AND CAPACITY BUILDING IN	
TORBAY		INDIGENOUS PEOPLE WORLDWIDE.	
AUCKLAND			
NEW ZEALAND 0632			
CENTRE FOR HEALTH RESEARCH & EDUCATION LIMITED, UK	N/A	SMOKE-FREE UK LANDSCAPING PROJECT - REACHING THE	450,115.
16 GUILDFORD DRIVE	NC	'HARDCORE' OF SMOKERS AND SUPPORTING QUITTING.	
CHANDLERS FORD		ACHIEVE AND SUSTAIN SMOKE-FREE AT UK'S PRIORY	
EASTLEIGH, HAMPSHIRE		GROUP MENTAL HEALTH HOSPITALS.	
UNITED KINGDOM SO53 3PT			
DREXEL UNIVERSITY	N/A	SCOPING WORK FOR RESEARCH TO INVESTIGATE IMPACTS	69,711.
3141 CHESTNUT ST.	PC	OF RESIDUAL TOBACCO SMOKE AND E-CIGARETTE	
PHILADELPHIA, PA 19104		RESIDUE.	

ATTACHMENT 14

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ECLAT SRL	N/A	ACT AS A HUB FOR THE GLOBAL COORDINATION OF	8,036,493.
UNIVERSITY OF CATANIA	NC	SCIENTIFIC RESEARCH PROJECTS FOR ADVANCING THE	
TORRE BIOLOGICA		SCIENCE OF TOBACCO CESSATION AND HARM REDUCTION.	
CATANIA, SICILY		ECLAT EXECUTES 5 PRIMARY PROJECT TYPES: (1)	
ITALY		COHORT AND CLINICAL STUDIES; (2) PRODUCT	
		CHARACTERIZATION; (3) WELLNESS STUDIES; (4)	
		TECHNOLOGICAL INNOVATION; AND (5) KNOWLEDGE	
		SHARING AND CAPACITY STRENGTHENING.	
EMBERA NEURO THERAPEUTICS	N/A	TOBACCO USE DISORDER CLINICAL STUDY.	104,210.
29 BABE RUTH DRIVE	NC		
SUDBURY, MA 01778			
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS	N/A	DEVELOP A BUSINESS PLAN FOR INNCO, WHICH AIMS TO	159,900.
QUAI DE L'ILE 13	NC	"REPRESENT CONSUMERS OF LOW-RISK, ALTERNATIVE	
GENEVA		NICOTINE PRODUCTS AND TO PROMOTE TOBACCO HARM	
SWITZERLAND CH-1204		REDUCTION ON THE GLOBAL STAGE."	
KNOWLEDGE ACTION CHANGE LIMITED	N/A	EXTEND THE TOBACCO HARM REDUCTION SCHOLARSHIP	1,378,366.
8 NORTHUMBERLAND AVENUE	NC	PROGRAMME FOR STUDENTS AND MENTORS IN LOW- AND	
LONDON		MIDDLE-INCOME COUNTRIES.	
UNITED KINGDOM WC2N 5BY		CONSOLIDATE GLOBAL STATE OF TOBACCO HARM	
		REDUCTION'S POSITION AS A TRUSTED SOURCE OF	
		ROBUST, OBJECTIVE, AND INDEPENDENT INFORMATION ON	
		TOBACCO HARM REDUCTION.	
LUMOS DIAGNOSTICS PTY LTD	N/A	DEVELOP A RAPID POINT OF CARE ASSAY TO	1,225,310.
2724 LOKER AVE WEST	,		1,223,310.
	NC	DIFFERENTIATE BETWEEN MULTI-USE OF NICOTINE	
CARLSBAD, CA 92010		PRODUCTS.	

MALAWI

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
METAFORM VENTURES LLC	N/A	ASSESS LEVELS OF HARMFUL AND POTENTIALLY HARMFUL	446,411.
505 CENTO CT	NC	CONSTITUENTS OF SMOKELESS TOBACCO PRODUCTS SOLD	
PLEASANTON, CA 94566-6329		IN INDIA. COMMUNICATE FINDINGS TO VARIOUS	
		STAKEHOLDERS TO STANDARDIZE AND REGULATE	
		CONSTITUENTS OF THESE PRODUCTS IN INDIA.	
MEDICAL UNIVERSITY OF SOUTH CAROLINA FOUNDATION	N/A	SUPPORT OF LOCAL TOBACCO CONTROL EFFORTS	100,000.
COLCOCK HALL	PC		
179 ASHLEY ASHLEY			
CHARLESTON, SC 29425			
PALLADIUM GROUP GLOBAL	N/A	WORK WITH LOCAL AND INTERNATIONAL INVESTORS TO	1,026,256.
1331 PENNSYLVANIA AVENUE NW	NC	PACKAGE, STRUCTURE, AND VET LARGE-SCALE	
SUITE 600		TRANSACTIONS THAT PROVIDE NEW ECONOMIC	
WASHINGTON, DC 20004-1751		OPPORTUNITIES TO TOBACCO FARMERS	
QBAL (QUALITY BELLIGERENCE)	N/A	IDENTIFY BEST PRACTICE EVALUATION METHODS FOR	200,000.
OFFICE NO 1, 2ND FL, PLOT NO5	NC	READILY AVAILABLE TOBACCO AND NICOTINE CONSUMER	
ISLAMABAD		PRODUCTS.	
PAKISTAN			
ROSE RESEARCH CENTER, LLC	N/A	THIS TRANSLATIONAL RESEARCH CENTER WILL EVALUATE	4,762,663.
7240 ACC BLVD.	NC	INNOVATIVE SMOKING CESSATION AND HARM REDUCTION	1,,02,003.
RALEIGH, NC 27617		STRATEGIES TO HELP SMOKERS QUIT	
and		STATESTED TO HERE SHOULD VOIT	
ROTARY CLUB OF LILONGWE	N/A	MANAGE A SCHOLARSHIP AIMED AT HELPING LOW-INCOME	38,500.
DISTRICT 9210 OF ROTARY INTL	NC	STUDENTS COMPLETE THEIR UNIVERSITY STUDIES IN	
PLATINUM HOTEL, CITY CENTER		LILONGWE	
LILONGWE			

82-2800838

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CAPE TOWN, DEPARTMENT OF PSYCHIATRY	N/A	SCOPING WORK FOR ESTABLISHMENT OF AN AFRICAN COE	23,342.
DEPART. OF PSYCHIATRY & MENTAL HEAL	NC	FOR SMOKING AND MENTAL HEALTH.	
CAPE TOWN			
SOUTH AFRICA			
YALE UNIVERSITY	N/A	GLOBAL REVIEW OF TOBACCO CESSATION AND HARM	35,982.
149 ELM STREET	PC	REDUCTION SCIENCE	
NEW HAVEN, CT 06511			
MICHIGAN STATE UNIVERSITY (MSU)	N/A	ESTABLISH A POLICY INITIATIVE IN MALAWI THAT	500,000.
ALLIANCE FOR AFRICAN PARTNERSHIP	GOV	OFFERS RESEARCH SUPPORT TO GOVERNMENT	
427 NORTH SHAW LANE RM 100		POLICYMAKERS. IDENTIFY REFORMS THAT CAN CATALYZE	
EAST LANSING, MI 48824		ECONOMIC DIVERSIFICATION.	
YOUTH BRIDGE, INC.	N/A	DETERMINE PRE-INTERVENTION CONDITIONS AND BEST	64,181.
LCUNA BUILDING	NC	PRACTICE STRATEGIES TO END SMOKING IN LIBERIA.	
BOB TAYLOR'S JUNCTION-PAYNESVILLE			
LIBERIA			
A. C. NIELSEN AMER (SHJ. BR.)	N/A	SURVEY ON USAGE PATTERNS AND PERCEPTIONS	1,157,282.
LEVEL 3, AL REEM PLAZA TOWER	NC	REGARDING TOBACCO PRODUCTS AND ALTERNATIVE	
P.O. BOX 22525		NICOTINE DELIVERY SYSTEMS AMONG ADULTS ACROSS 7	
BUHEIRA CORNICHE, SHARJAH		COUNTRIES	
UNITED ARAB EMIRATES 22525			
AGENOME LLC	N/A	ASSESS CANCER RISK IN SMOKING SUBJECTS BY NEXT	1,457,767.
8A REGENCY HEIGHTS	NC	GENERATION SEQUENCING PROFILING OF CIRCULATING	
3/2-1 CLEVELAND ROAD		FREE DNA AND RNA. CREATE A GENOME DATABASE OF	
BANGALORE		NONSMOKING POPULATIONS TO IDENTIFY NEW GENES	
INDIA 560005		THAT PREDISPOSE INDIVIDUALS TO CANCER	

82-2800838

WASHINGTON, DC 20005

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	1112		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BOTEC ANALYSIS, LLC	N/A	INVESTIGATE THE DRIVERS FOR SMOKING CESSATION IN	299,767.
6303 OWENSMOUTH AVE, 10TH FLOOR	NC	FIVE COUNTRIES WITH ALTERNATIVE NICOTINE DELIVERY	
WOODLAND HILLS, CA 91367		DEVICE MARKETS	
ETHEIM BIOTICS, LLC	N/A	MULTI-REGIONAL STUDY TO EXAMINE THE IMPACT OF	3,422,527.
34 CESSNA COURT	NC	SMOKING AND VAPING ON THE HUMAN MICROBIOME	
GAITHERSBURG, MD 20879			
EUROMONITOR INTERNATIONAL INC	N/A	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO	2,005,158.
60-61 BRITTON STREET	NC	TRANSFORMATION INDEX, INCLUDING STAKEHOLDER	
LONDON		CONSULTATION, INDEX RESEARCH AND SCORING,	
UNITED KINGDOM EC1M 5UX		REPORTING, AND EVALUATION	
FARMERS UNION OF MALAWI	N/A	IMPROVE FOOD SECURITY, NUTRITION, AND INCOME OF	125,000.
P.O. BOX 30457	NC	HOUSEHOLDS AFFECTED BY MARCH 2019 FLOODS.	
LILONGWE		INCREASE ACCESS TO IRRIGATION TECHNOLOGY AND	
MALAWI		PRODUCTIVITY FOR SMALLHOLDER FARMERS	
FENG INSIGHT	N/A	CONDUCT CHINA POLICY LANDSCAPE ANALYSIS AND	220,638.
7 LEWES CRESCENT	NC	RELATED HIGH-LEVEL STRATEGIC REVIEW	
BRIGHTON			
UNITED KINGDOM BN2 1FH			
GLOBAL KNOWLEDGE INITIATIVE	N/A	ASSESSMENT AND INVESTIGATION OF THE	142,233.
1200 NEW YORK AVENUE NORTHWEST	PC	ENTREPRENEURIAL LANDSCAPE IN MALAWI	
SUITE 113			

2019 FORM 990-PF

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INSTITUTE OF INTERNATIONAL EDUCATION, INC. 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	N/A PC	DEVELOP AND MANAGE AN AGRICULTURAL TRANSFORMATION INITIATIVE AND SCHOLARSHIP FUND IN MALAWI	647,490.
LAND O'LAKES INTERNATIONAL DEVELOPMENT	N/A	ESTABLISHMENT OF A CENTER OF EXCELLENCE FOR	2,400,000.
1725 DESALES STREET, NW SUITE 800 WASHINGTON, DC 20036	NC	AGRICULTURAL TRANSFORMATION IN MALAWI	
LAW ENFORCEMENT ACTION PARTNERS THE INFLUENCE FOUNDATION, INC. 447 BROADWAY, 2ND FLOOR NEW YORK, NY 10013	N/A POF	BUILD CAPACITY TO SHARE STORIES THAT PRESENT FACTS ABOUT TOBACCO HARM REDUCTION AND NICOTINE USE TO ALL STAKEHOLDERS	130,000.
LTS INTERNATIONAL LIMITED 244 BWAILA STREET AREA 15 P.O. BOX 2344 LILONGWE MALAWI	N/A NC	ACT AS A PREFERRED RESEARCH PARTNER OF THE AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT AD-HOC ANALYSES AND ASSIST IN MONITORING, EVALUATION, AND LEARNING SUPPORT	60,638.
OPPORTUNITY INTERNATIONAL, INC. 550 W VAN BUREN SUITE 200 CHICAGO, IL 60607	N/A PC	DEVELOP INNOVATIVE FINANCIAL PRODUCTS AND TOOLS FOR FINANCIAL INSTITUTIONS AND FARMERS IN MALAWI	478,244.
ORG ARCHITECTEN EN STADSONTWERPERS BVBA KOOLMIJNENKAAI 30-34 BRUSSELS BELGIUM 1080	N/A NC	PHASE 2-A OF THE BUILD AND DESIGN PHASE OF THE CENTER FOR AGRICULTURAL TRANSFORMATION (CAT) BUILDING / FACILITY IN LILONGWE, WHICH WILL LOOK AT THE DESIGN AND ERGONOMIC ASPECTS OF THE BUILDING TO MEET AND SUPPORT THE OBJECTIVES OF THE CAT PROGRAMME	93,645.

ATTACHMENT 14

BANGLADESH 1229

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SAN FRANCISCO STUDY CENTRE INC.	N/A	PILOT INTERVENTION TO ASSESS THE APPLICATION OF A	830,684.
2 KORET WAY	PC	HARM REDUCTION MODEL TO REDUCE COMBUSTIBLE	
SAN FRANCISCO, CA 94143		TOBACCO USE IN SMOKERS WITH BEHAVIORAL HEALTH	
		DISORDERS	
STRATEGIC IMPACT ADVISORS	N/A	EXTEND MOBILE MONEY WALLET SERVICES OF TELEKOM	35,697.
6511 GRIFFITH ROAD	NC	NETWORKS MALAWI (TNM) TO REACH SMALLHOLDER	
LAYTONSVILLE, MD 20882		FARMERS IN TOBACCO GROWING REGIONS	
SUSTAINABILITY INC.	N/A	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO	3,127,420.
81 PROSPECT STREET	NC	TRANSFORMATION INDEX, INCLUDING STAKEHOLDER	
BROOKLYN, NY 11201		CONSULTATION, INDEX RESEARCH AND SCORING,	
		REPORTING, AND EVALUATION	
TELENOR HEALTH ASA	N/A	SCOPING WORK FOR DEVELOPMENT AND IMPLEMENTATION	74,400.
9TH FLOOR, GRAMEENPHONE HOUSE	NC	OF A 21ST CENTURY COHORT STUDY	
ROAD NUMBER 2, BASHUNDHARA			
DHAKA			

TOTAL CONTRIBUTIONS PAID 41,088,249.

ATTACHMENT 15

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
A. C. NIELSEN AMER (SHJ. BR.)	N/A	SURVEY ON USAGE PATTERNS AND PERCEPTIONS	385,759.
LEVEL 3, AL REEM PLAZA TOWER	NC	REGARDING TOBACCO PRODUCTS AND ALTERNATIVE	
P.O. BOX 22525, BUHEIRA CORNICHE		NICOTINE DELIVERY SYSTEMS AMONG ADULTS ACROSS 7	
SHARJAH		COUNTRIES	
UNITED ARAB EMIRATES 22525			
ABF GMBH	N/A	IDENTIFY MEANINGFUL BIOMARKERS THAT PROPERLY	1,460,780.
SEMMELWEISSTRASSE 5	NC	DISTINGUISH BETWEEN NICOTINE PRODUCT USE GROUPS	
PLANEGG			
GERMANY 82152			
AGENOME LLC	N/A	ASSESS CANCER RISK IN SMOKING SUBJECTS BY NEXT	2,190,070.
8A REGENCY HT., 3/2-1 CLEVELAND RD.	NC	GENERATION SEQUENCING PROFILING OF CIRCULATING	
BANGALORE		FREE DNA AND RNA. CREATE A GENOME DATABASE OF	
INDIA 560005		NONSMOKING POPULATIONS TO IDENTIFY NEW GENES THAT	
		PREDISPOSE INDIVIDUALS TO CANCER	
ASIA MANAGEMENT PRIVATE LIMITED	N/A	SURVEY OF SMOKING BEHAVIOR OF YOUNG ADULTS.	59,925.
NO 10/1 MEDHUZIYAARAIY MAGU	NC	DEVELOPMENT OF 2 YEAR PLAN OF ACTION FOR ENDING	
MALE 20097		YOUTH SMOKING IN THE MALDIVES	
MALDIVES			
DDVIVIOUS DELEVORENCE LLE	77/2		1 000 660
BEHAVIORAL DIAGNOSTICS, LLC	N/A	DEVELOPMENT OF A KIT FOR THE DETECTION AND	1,290,660.
2500 CROSSPARK ROAD	NC	QUANTIFICATION OF TOBACCO AND OTHER NICOTINE	
CORALVILLE, IA 52241		PRODUCT USE	
BOTEC ANALYSIS, LLC	N/A	INVESTIGATE THE DRIVERS FOR SMOKING CESSATION IN	699,457.
6303 OWENSMOUTH AVE, 10TH FLOOR	NC	FIVE COUNTRIES WITH ALTERNATIVE NICOTINE DELIVERY	033,137.
WOODLAND HILLS, CA 91367	-1	DEVICE MARKETS	

ATTACHMENT 15

HOUSTON, TX 77058

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CAMBRIDGE DESIGN PARTNERSHIP LTD	N/A	INVESTIGATE THE IMPEDIMENTS TO ADOPTION OF RRPS	277,003.
THE LONG BARN, CHURCH ROAD	NC	FOR CESSATION AND TOBACCO HARM REDUCTION IN LOW-	277,003.
CAMBRIDGE	NC .	AND MIDDLE-INCOME COUNTRIES	
UNITED KINGDOM CB23 2RF		AND MIDDLE-INCOME COUNTRIES	
UNITED KINGDOM CB23 ZRF			
CAUSAL DESIGN	N/A	ACT AS A PREFERRED RESEARCH PARTNER OF THE	112,389.
1440 G STREET NW	NC	AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT	
WASHINGTON, DC 20005		AD-HOC ANALYSES AND ASSIST IN MONITORING,	
		EVALUATION, AND LEARNING SUPPORT	
CENTRE FOR HEALTH RESEARCH & EDUCATION LIMITED, UK	N/A	SMOKE-FREE UK LANDSCAPING PROJECT - REACHING THE	723,399.
16 GUILDFORD DRIVE, CHANDLERS FORD	NC	'HARDCORE' OF SMOKERS AND SUPPORTING QUITTING.	
EASTLEIGH		ACHIEVE AND SUSTAIN SMOKE-FREE AT UK'S PRIORY	
HAMPSHIRE		GROUP MENTAL HEALTH HOSPITALS	
UNITED KINGDOM SO53 3PT			
CENTRE OF RES. EXCEL. ON INDIGENOUS SOV & SMOKING	N/A	CENTER OF EXCELLENCE FOCUSING ON TOBACCO USE,	7,320,042.
109 CARLISLE ROAD	NC	TOBACCO HARM REDUCTION AND CAPACITY BUILDING IN	
TORBAY		INDIGENOUS PEOPLE WORLDWIDE	
AUCKLAND			
NEW ZEALAND 0632			
CONRAD FOUNDATION	N/A	SPONSORSHIP OF THE 2019-2020 AND 2020-2021 CONRAD	350,000.
1120 NASA PARKWAY SUITE 108	PC	SPIRIT OF INNOVATION CHALLENGE	

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ECLAT SRL	N/A	ACT AS A HUB FOR THE GLOBAL COORDINATION OF	22,928,186.
UNIVERSITY OF CATANIA	NC	SCIENTIFIC RESEARCH PROJECTS FOR ADVANCING THE	
TORRE BIOLOGICA		SCIENCE OF TOBACCO CESSATION AND HARM REDUCTION.	
CATANIA, SICILY		ECLAT EXECUTES 5 PRIMARY PROJECT TYPES: (1)	
ITALY		COHORT AND CLINICAL STUDIES; (2) PRODUCT	
		CHARACTERIZATION; (3) WELLNESS STUDIES; (4)	
		TECHNOLOGICAL INNOVATION; AND (5) KNOWLEDGE	
		SHARING AND CAPACITY STRENGTHENING	
EMBERA NEURO THERAPEUTICS	N/A	TOBACCO USE DISORDER CLINICAL STUDY	104,210.
29 BABE RUTH DRIVE	NC		
SUDBURY, MA 01778			
ERNST & YOUNG AG	N/A	SYNTHESIZE AND FORMULATE LESSONS LEARNED FROM	1,145,028.
MAAGPLATZ 1	NC	COUNTRIES WITH ANDS AVAILABILITY WHICH HAVE SEEN	1,145,020.
	NC		
ZURICH		DRASTIC DROPS IN NUMBER OF SMOKERS.	
SWITZERLAND 8010		IDENTIFICATION OF OBSERVABLE SMOKER	
		CHARACTERISTICS THAT ARE LINKED TO CESSATION	
		SUCCESS	
ETHEIM BIOTICS, LLC	N/A	MULTI-REGIONAL STUDY TO EXAMINE THE IMPACT OF	14,253,767.
34 CESSNA COURT	NC	SMOKING AND VAPING ON THE HUMAN MICROBIOME	
GAITHERSBURG, MD 20879			
EUROMONITOR INTERNATIONAL INC	N/A	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO	4,524,534.
60-61 BRITTON STREET	NC	TRANSFORMATION INDEX, INCLUDING STAKEHOLDER	
LONDON		CONSULTATION, INDEX RESEARCH AND SCORING,	
UNITED KINGDOM EC1M 5UX		REPORTING, AND EVALUATION	
FARMERS UNION OF MALAWI	N/A	IMPROVE FOOD SECURITY, NUTRITION, AND INCOME OF	125,000.
P.O. BOX 30457		HOUSEHOLDS AFFECTED BY MARCH 2019 FLOODS.	
		INCREASE ACCESS TO IRRIGATION TECHNOLOGY AND	
		PRODUCTIVITY FOR SMALLHOLDER FARMERS	

ATTACHMENT 15

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	1112		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LILONGWE	NC		
MALAWI			
INSTITUTE OF INTERNATIONAL EDUCATION, INC.	N/A	DEVELOP AND MANAGE AN AGRICULTURAL TRANSFORMATION	2,352,510.
809 UNITED NATIONS PLAZA	PC	INITIATIVE AND SCHOLARSHIP FUND IN MALAWI	
NEW YORK, NY 10017			
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS	N/A	DEVELOP A BUSINESS PLAN FOR INNCO, WHICH AIMS TO	99,750.
QUAI DE L'ILE 13	NC	"REPRESENT CONSUMERS OF LOW-RISK, ALTERNATIVE	
GENEVA		NICOTINE PRODUCTS AND TO PROMOTE TOBACCO HARM	
SWITZERLAND CH-1204		REDUCTION ON THE GLOBAL STAGE."	
KNOWLEDGE ACTION CHANGE LIMITED	N/A	EXTEND THE TOBACCO HARM REDUCTION SCHOLARSHIP	5,999,811.
8 NORTHUMBERLAND AVENUE	NC	PROGRAMME FOR STUDENTS AND MENTORS IN LOW- AND	
LONDON		MIDDLE-INCOME COUNTRIES. CONSOLIDATE GLOBAL STATE	
UNITED KINGDOM WC2N 5BY		OF TOBACCO HARM REDUCTION'S POSITION AS A TRUSTED	
		SOURCE OF ROBUST, OBJECTIVE, AND INDEPENDENT	
		INFORMATION ON TOBACCO HARM REDUCTION	
LAND O'LAKES INTERNATIONAL DEVELOPMENT	N/A	ESTABLISHMENT OF A CENTER OF EXCELLENCE FOR	9,600,000.
1725 DESALES STREET, NW	NC	AGRICULTURAL TRANSFORMATION IN MALAWI	
WASHINGTON, DC 20036			
LAW ENFORCEMENT ACTION PARTNERS	N/A	BUILD CAPACITY TO SHARE STORIES THAT PRESENT	60,000.
THE INFLUENCE FOUNDATION, INC.	POF	FACTS ABOUT TOBACCO HARM REDUCTION AND NICOTINE	
NEW YORK, NY 10013		USE TO ALL STAKEHOLDERS	

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LTS INTERNATIONAL LIMITED	N/A	ACT AS A PREFERRED RESEARCH PARTNER OF THE	135,391.
244 BWAILA ST AREA, 15 PO BOX 2344	NC	AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT	
LILONGWE		AD-HOC ANALYSES AND ASSIST IN MONITORING,	
MALAWI		EVALUATION, AND LEARNING SUPPORT	
LUMOS DIAGNOSTICS PTY LTD	N/A	DEVELOP A RAPID POINT OF CARE ASSAY TO	1,837,962.
2724 LOKER AVE WEST	NC	DIFFERENTIATE BETWEEN MULTI-USE OF NICOTINE	
CARLSBAD, CA 92010		PRODUCTS	
METAFORM VENTURES LLC	N/A	ASSESS LEVELS OF HARMFUL AND POTENTIALLY HARMFUL	1,041,625.
505 CENTO CT	NC	CONSTITUENTS OF SMOKELESS TOBACCO PRODUCTS SOLD	
PLEASANTON, CA 94566		IN INDIA. COMMUNICATE FINDINGS TO VARIOUS	
		STAKEHOLDERS TO STANDARDIZE AND REGULATE	
		CONSTITUENTS OF THESE PRODUCTS IN INDIA	
MICHIGAN STATE UNIVERSITY	N/A	ESTABLISH A POLICY INITIATIVE IN MALAWI THAT	5,200,000.
ALLIANCE FOR AFRICAN PARTNERSHIP	GOV	OFFERS RESEARCH SUPPORT TO GOVERNMENT	
427 NORTH SHAW LANE RM 100		POLICYMAKERS. IDENTIFY REFORMS THAT CAN CATALYZE	
EAST LANSING, MI 48824		ECONOMIC DIVERSIFICATION	
OPPORTUNITY INTERNATIONAL, INC.	N/A	DEVELOP INNOVATIVE FINANCIAL PRODUCTS AND TOOLS	63,139.
550 W VAN BUREN	PC	FOR FINANCIAL INSTITUTIONS AND FARMERS IN MALAWI	
CHICAGO, IL 60607			
ORG ARCHITECTEN EN STADSONTWERPERS BVBA	N/A	PHASE 2-A OF THE BUILD AND DESIGN PHASE OF THE	40,134.
KOOLMIJNENKAAI 30-34	NC	CENTER FOR AGRICULTURAL TRANSFORMATION (CAT)	
BRUSSELS		BUILDING / FACILITY IN LILONGWE, WHICH WILL LOOK	
BELGIUM 1080		AT THE DESIGN AND ERGONOMIC ASPECTS OF THE	
		BUILDING TO MEET AND SUPPORT THE OBJECTIVES OF	
		THE CAT PROGRAMME	

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BANGLADESH 1229

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PALLADIUM GROUP GLOBAL	N/A	WORK WITH LOCAL AND INTERNATIONAL INVESTORS TO	2,752,512.
1331 PENNSYLVANIA AVENUE NW	NC	PACKAGE, STRUCTURE, AND VET LARGE-SCALE	
WASHINGTON, DC 20004-1751		TRANSACTIONS THAT PROVIDE NEW ECONOMIC	
		OPPORTUNITIES TO TOBACCO FARMERS	
ROSE RESEARCH CENTER, LLC	N/A	THIS TRANSLATIONAL RESEARCH CENTER WILL EVALUATE	20,588,874.
7240 ACC BLVD	NC	INNOVATIVE SMOKING CESSATION AND HARM REDUCTION	
RALEIGH, NC 27617		STRATEGIES TO HELP SMOKERS QUIT	
SAN FRANCISCO STUDY CENTRE INC.	N/A	PILOT INTERVENTION TO ASSESS THE APPLICATION OF A	1,938,263.
2 KORET WAY	PC	HARM REDUCTION MODEL TO REDUCE COMBUSTIBLE	
SAN FRANCISCO, CA 94143		TOBACCO USE IN SMOKERS WITH BEHAVIORAL HEALTH	
		DISORDERS	
STRATEGIC IMPACT ADVISORS	N/A	EXTEND MOBILE MONEY WALLET SERVICES OF TELEKOM	142,787.
6511 GRIFFITH ROAD	NC	NETWORKS MALAWI (TNM) TO REACH SMALLHOLDER	
LAYTONSVILLE, MD 20882		FARMERS IN TOBACCO GROWING REGIONS	
SUSTAINABILITY INC.	N/A	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO	1,820,503.
81 PROSPECT STREET	NC	TRANSFORMATION INDEX, INCLUDING STAKEHOLDER	
BROOKLYN, NY 11201		CONSULTATION, INDEX RESEARCH AND SCORING,	
		REPORTING, AND EVALUATION	
TELENOR HEALTH ASA	N/A	SCOPING WORK FOR DEVELOPMENT AND IMPLEMENTATION	74,400.
9TH FLOOR, GRAMEENPHONE HOUSE RD #2	NC	OF A 21ST CENTURY COHORT STUDY	
BASHUNDHARA			

ATTACHMENT 15 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THANTHWE HOLDINGS LIMITED	N/A	CONTRIBUTE TO THE EXPANSION OF PROTECTED	250,600.
CHITIPI 6/1/53, P.O. BOX 1215	NC	CULTIVATION IN MALAWI AND NEIGHBORING COUNTRIES	
MALAWI			
VIDA NEWS	N/A	INCREASE PUBLIC AWARENESS OF THE DRIVERS OF	989,457.
1305 N 19TH ST	PC	SMOKING HARM AND OF THE AVAILABILITY OF	
MILWAUKEE, WI 53205		ALTERNATIVES WITH HIGH PRODUCTION-VALUE	
		EVIDENCE-BASED INTERVIEWS, SHORT-DOCUMENTARIES,	
		INFOGRAPHICS AND SOCIAL MEDIA INVOLVING	
		FOUNDATION GRANTEES, OTHER RESEARCHERS,	
		PHYSICIANS, ADVOCATES AND CONSUMERS, WITH A	
		STRONG GLOBAL FOCUS, AND SPECIFIC FOCUS ON INDIA	
YALE UNIVERSITY	N/A	GLOBAL REVIEW OF TOBACCO CESSATION AND HARM	83,958.
149 ELM STREET	PC	REDUCTION SCIENCE	
NEW HAVEN, CT 06520			

TOTAL CONTRIBUTIONS APPROVED <u>113,021,885.</u>

990PF, PART IX - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

ATTACHMENT 16

THE FOUNDATION FOR A SMOKE-FREE WORLD ("FOUNDATION") WAS CREATED IN SEPTEMBER 2017 WITH THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING ITS SECOND FULL YEAR OF OPERATION, 2019, THE FOUNDATION BUILT ON ITS EARLY ACHIEVEMENTS AND MADE PROGRESS TOWARD REACHING AND SUSTAINING ITS AMBITIOUS GOAL. THE FOUNDATION'S PROJECTS ADDRESS EACH OF THE ITS THREE CORE PILLARS:

- HEALTH, SCIENCE, AND TECHNOLOGY: THE FOUNDATION SEEKS TO COMPLEMENT ONGOING TOBACCO CONTROL EFFORTS TO ACCELERATE QUITTING AND SWITCHING TO TOBACCO HARM REDUCTION PRODUCTS WHILE UNDERSTANDING THE INDIVIDUAL SMOKER. OVER THE NEXT SEVERAL YEARS, THE FOUNDATION PLANS TO LEVERAGE DATA, RESEARCH, AND INPUT FROM STAKEHOLDERS AND EXPERTS TO ACCELERATE THE DEVELOPMENT OF MORE EFFECTIVE TOOLS TO HELP SMOKERS QUIT OR REDUCE THEIR RISKS WITH A FOCUS ON BUILDING CAPACITY FOR RESEARCH TARGETING THE LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MOST SMOKERS LIVE.
- AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): THE FOUNDATION'S AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) IS PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. ITS GOAL IS TO MAKE THE AGRICULTURE SECTOR GLOBALLY MORE COMPETITIVE BY WORKING WITH SMALLHOLDER TOBACCO FARMERS TO HELP DEVELOP NEW BUSINESS MODELS THAT CREATE VALUE FOR PARTICIPANTS CURRENTLY DEPENDENT ON THE TOBACCO SUPPLY CHAIN. THIS WORK HAS STARTED IN MALAWI. TO ACHIEVE ITS MISSION, THE ATI IS PARTNERING WITH THE PRIVATE SECTOR, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT AND FINANCIAL STAKEHOLDERS TO CATALYZE INVESTMENT, DEVELOP AND STRENGTHEN EXTENSION SERVICES, AND PROMOTE ENTREPRENEURSHIP TO SUPPORT TOBACCO FARMERS IN THEIR EFFORTS TO TRANSITION TO ALTERNATIVE CROPS AND LIVELIHOODS.
- INDUSTRY TRANSFORMATION: THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS FOCUS ON DELIVERING CHANGE ACROSS THE GLOBAL TOBACCO INDUSTRY AND NICOTINE ECOSYSTEM. THE TOBACCO TRANSFORMATION INDEX, WHICH IS THE FIRST ACTION OF THE FOUNDATION'S INDUSTRY TRANSFORMATION INITIATIVE, WILL PROVIDE QUANTIFIABLE EVIDENCE OVER TIME OF WHAT STEPS THE LARGEST TOBACCO COMPANIES ARE TAKING TOWARD ACCELERATING THE REDUCTION OF HARM CAUSED BY SMOKING AS WELL AS ANY

ACTIONS THEY TAKE TO UNDERMINE THAT PROGRESS. THE INDEX IS A TOOL TO INDIRECTLY INCENTIVIZE THE NECESSARY TRANSFORMATION OF THE GLOBAL TOBACCO INDUSTRY FOR THE BENEFIT OF PUBLIC HEALTH.

EMBEDDED IN ALL OF THESE PRINCIPLES AND PROJECTS IS THE FOUNDATION'S COMMITMENT TO GENDER EQUALITY AND THE APPLICATION OF A COMPREHENSIVE GENDER PERSPECTIVE.

DURING 2019, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$41.0 MILLION IN SUPPORT OF ITS THREE CORE PILLARS. THE FOUNDATION HAS COMMITTED TO MORE THAN \$160 MILLION IN CONDITIONAL GRANTS THROUGH 2024 AND WILL CONTINUE TO ADD TO THOSE COMMITMENTS OVER TIME. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2019, PLEASE GO TO ITS ANNUAL REPORT WHICH CAN BE FOUND AT HTTPS://ANNUALREPORT2019.SMOKEFREEWORLD.ORG/.

DIRECT CHARITABLE ACTIVITIES IN 2019 SUPPORTED EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S STRATEGIC PLAN. EACH STRATEGY MAY FUND GRANTS AS WELL AS INCUR EXPENSES:

- HEALTH, SCIENCE, AND TECHNOLOGY (HST): (EXPENSES OF \$31,120,513, INCLUDING GRANT EXPENDITURES OF \$28,372,652)
- AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): (EXPENSES OF \$12,097,821, INCLUDING GRANT EXPENDITURES OF \$7,015,014)
- INDUSTRY TRANSFORMATION: (EXPENSES OF \$5,479,751, INCLUDING GRANT EXPENDITURES OF \$5,353,217)

ONE OF THE GAPS IN TOBACCO CONTROL THAT THE FOUNDATION ADDRESSES IS IMPROVING PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION'S GOAL IN LEVERAGING PUBLIC RELATIONS SUPPORT IS TO EDUCATE THE PUBLIC AND POLICYMAKERS ABOUT THE NEED FOR COLLABORATION AND INNOVATION TOWARD TOOLS THAT CAN HELP SMOKERS QUIT OR REDUCE THEIR RISK.

IN 2019, THE FOUNDATION'S PUBLIC RELATIONS EFFORTS SUPPORTED THE AMPLIFICATION OF THE RESEARCH RESULTS OF ITS HEALTH, SCIENCE, AND TECHNOLOGY GRANTEES AND RESEARCHERS. THEIR WORK PRIORITIZES NEW METHODS OF HARM REDUCTION AND CESSATION TO FULFILL THE FRAMEWORK CONVENTION ON TOBACCO CONTROL (FCTC), WHILE IMPORTANTLY RESPONDING TO THE BEHAVIORAL AND HEALTH NEEDS OF SMOKERS WHO STRUGGLE TO QUIT. THE FOUNDATION USED ITS DIGITAL AND SOCIAL CHANNELS TO DRIVE AWARNESS OF KEY GLOBAL STATISTICS AND REPORTS ON SMOKING BEHAVIORS, HARM REDUCTION, AND SMOKING CESSATION. ACTIVITIES ALSO FOCUSED ON PREPARATION TO SHARE FINDINGS FROM THE FOUNDATION'S SECOND GLOBAL POLL OF TOBACCO

SMOKERS IN SEVEN COUNTRIES WITH THE GOAL OF COMMUNICATING THE CHALLENGES SMOKERS FACE TO QUIT. AS THE AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) AND WORK IN MALAWI MOVED INTO ITS SECOND YEAR, PUBLIC RELATIONS AND COMMUNICATIONS ON SOCIAL CHANNELS RAISED AWARENESS FOR THE ISSUES FACING SMALLHOLDER TOBACCO FARMERS. THE WORK INCLUDED GENERATING MEDIA COVERAGE FOR THE ANNUAL AGRICULTURAL TRANSFORMATION SUMMIT EVENT IN MALAWI. AS PART OF ATI'S ONGOING COMMITMENT TO ECONOMIC DIVERSIFICATION IN MALAWI, THE EVENT CONVENED OVER 200 GUESTS FROM LOCAL GOVERNMENT, PRIVATE, AND FARMING SECTORS, AS WELL AS INTERNATIONAL DELEGATIONS. SUMMIT PARTICIPANTS EXCHANGED IDEAS AND DISCUSSED ISSUES. COMMUNICATIONS EFFORTS IN 2019 RELATED TO INDUSTRY TRANSFORMATION FOCUSED ON DEVELOPMENT OF THE TOBACCO TRANSFORMATION INDEX MICROSITE.

THE BREAKDOWN OF COMMUNICATIONS EXPENSES IN 2019 ACROSS THE FOUNDATION'S STRATEGIES IS ESTIMATED AS FOLLOWS: HEALTH, SCIENCE, AND TECHNOLOGY 60%; AGRICULTURAL TRANSFORMATION INITIATIVE 5%; INDUSTRY TRANSFORMATION 15%; GENERAL EDUCATION & AWARENESS 20%. (EXPENSES OF \$5,447,482)

EXAMPLES OF PARTICULAR PROJECTS WITHIN THE DIRECT CHARITABLE ACTIVITIES CLASSIFICATION FOR 2019 INCLUDE:

NICOTINE ECOSYSTEM DATA PLATFORM. CUSTOMIZED, DATA DRIVEN INTELLIGENCE PLATFORM DEVELOPED FOR THE FOUNDATION TO IDENTIFY, INVESTIGATE, AND TRACK SIGNALS, TRENDS, ASSETS, AND OPPORTUNITIES IN THE AREAS OF NICOTINE, SMOKING CESSATION, AND HARM REDUCTION. THE PLATFORM IS UTILIZED BY THE FOUNDATION AND ITS GRANTEES TO DRIVE THE RESEARCH AGENDA ACROSS A RANGE OF APPLICABLE TOPICS BASED ON THE DATA COLLECTED, ORGANIZED, AND PRESENTED TO THE END USER. A SECOND PHASE OF THE PROGRAM IS UNDER DEVELOPMENT TO ESTABLISH AN EARLY WARNING SYSTEM TO ALERT, CORRELATE, AND ANALYZE SYMPTOMS AND ADVERSE EVENTS RELATED TO VAPING. (AMOUNTS PAID TO ACQUIRE ASSETS AND EXPENSES: \$2,130,000)

THE FOUNDATION CREATED VIDEO CONTENT TO EDUCATE STAKEHOLDERS REGARDING THE FOUNDATION'S MISSION AND WORK. THIS VIDEO CONTENT ALLOWED THE FOUNDATION TO SHARE ITS MESSAGES ON THE FOUNDATION'S WEBSITE AND VIA SOCIAL CHANNELS. SEE HTTPS://WWW.SMOKEFREEWORLD.ORG/VIDEOS/.(EXPENSES: \$943,075)

THE VIDEOS INCLUDE:

• 2018 ANNUAL REPORT; AT THE FRONTLINES OF GENOMIC RESEARCH; CENTER OF EXCELLENCE FOR HARM REDUCTION; CONRAD CHALLENGE. • FIVE STATE OF SMOKING COUNTRY VIDEOS FOR THE FOLLOWING COUNTRIES: BRAZIL; LEBANON; MALAWI; RUSSIA; AND UNITED STATES; NURTURE WOMEN IN PREGNANCY VIDEO SERIES - DEVELOPED RELEASE STRATEGY; LEARNINGS FROM DRUG HARM REDUCTION FIELD APPLIED TO TOBACCO HARM REDUCTION FIELD - VIDEO FOOTAGE CAPTURED.

THE FOUNDATION CONVENED AND HOSTED GRANTEE MEETINGS FOR THE PURPOSE OF PROMOTING INFORMATION SHARING, KNOWLEDGE TRANSFER, AND COLLABORATION. THE MEETINGS WERE ORGANIZED BY STRATEGY -HEALTH, SCIENCE, AND TECHNOLOGY; AGRICULTURAL TRANSFORMATION INITIATIVE. (EXPENSES: \$597,023)

THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S AGENDA IN THE AREAS OF HEALTH, SCIENCE, AND TECHNOLOGY AND INDUSTRY TRANSFORMATION, INCLUDING GLOBAL STATE OF PLAY REGARDING LIFE AND HEALTH INSURERS ACTIVITIES RELATED TO SMOKING; WOMEN AS LEVERS OF CHANGE IN MALE-DOMINATED INDUSTRIES INCLUDING TOBACCO.

(EXPENSES: \$427,471)