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# Measurement of the cross-section for producing a $W$ boson in association with a single top quark in $pp$ collisions at $\sqrt{s} = 13$ TeV with ATLAS

The ATLAS Collaboration

The inclusive cross-section for the associated production of a  $W$  boson and top quark is measured using data from proton–proton collisions at  $\sqrt{s} = 13$  TeV. The dataset corresponds to an integrated luminosity of  $3.2 \text{ fb}^{-1}$ , and was collected in 2015 by the ATLAS detector at the Large Hadron Collider at CERN. Events are selected requiring two opposite sign isolated leptons and at least one jet; they are separated into signal and control regions based on their jet multiplicity and the number of jets that are identified as containing  $b$  hadrons. The  $Wt$  signal is then separated from the  $t\bar{t}$  background using boosted decision tree discriminants in two regions. The cross-section is extracted by fitting templates to the data distributions, and is measured to be  $\sigma_{Wt} = 94 \pm 10 \text{ (stat.)}_{-22}^{+28} \text{ (syst.)} \pm 2 \text{ (lumi.) pb}$ . The measurement is in agreement with the Standard Model prediction.

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## 1 Introduction

Top quarks can be produced singly via electroweak interactions involving a  $Wtb$  vertex. In the Standard Model (SM), single top quark production proceeds via three channels at leading order (LO), represented in Figures 1 and 2: production in association with a  $W$  boson ( $Wt$ ), the  $t$ -channel and the  $s$ -channel. At the Large Hadron Collider (LHC), the  $Wt$  channel is the mode with the second largest production cross-section, behind the dominant  $t$ -channel mode. The  $Wt$  channel represents approximately 24 % of the total single-top-quark production rate at  $\sqrt{s} = 13$  TeV, making it experimentally accessible for detailed measurements.

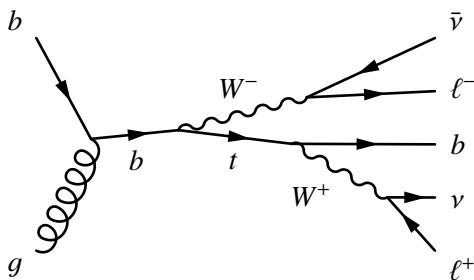


Figure 1: A representative leading-order Feynman diagram for the production of a single top quark in the  $Wt$  channel and the subsequent leptonic decay of both the  $W$  boson and top quark.

The cross-section for each of the three single-top-quark production channels is sensitive to the coupling between the  $W$  boson and the top quark. This coupling is parameterised by the relevant Cabibbo–Kobayashi–Maskawa (CKM) matrix element  $V_{tb}$  and form factor  $f_V^L$  [1–3] such that the proportionality is given by  $|f_V^L V_{tb}|^2$  [4, 5], assuming a left-handed vector interaction as given in the SM. Single top

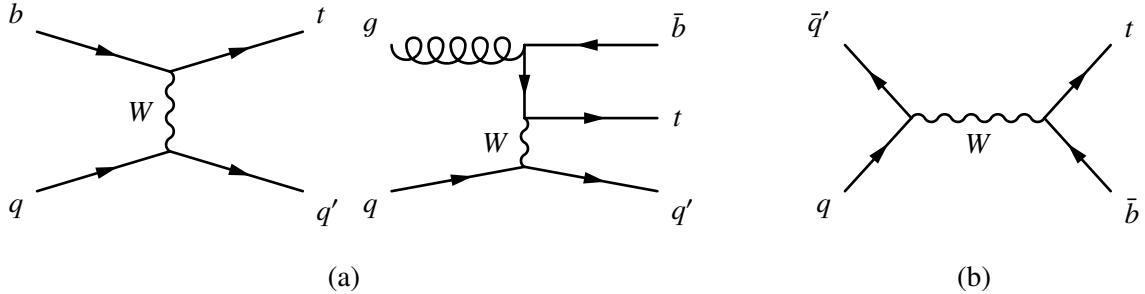


Figure 2: Representative leading-order Feynman diagrams for the production of a single top quark in (a) the  $t$ -channel and (b) the  $s$ -channel.

quark production therefore presents an opportunity for testing the structure of the SM, as well as probing classes of new-physics models that can affect the  $Wtb$  vertex. In contrast to the  $t$ - and  $s$ -channels, which are sensitive to both the existence of four-fermion operators and corrections to the  $Wtb$  vertex, the  $Wt$  channel only depends on the latter; it is therefore important to study this channel separately to provide a comparison with the other channels [6, 7].

The  $Wt$  channel was not accessible at the Tevatron due to its small cross-section in  $p\bar{p}$  collisions at  $\sqrt{s} = 1.96$  TeV. At the LHC, however, evidence of this process with 7 TeV collision data was presented by the ATLAS Collaboration [8] and by the CMS Collaboration [9]. With 8 TeV collision data, observations were made by the CMS Collaboration [10] and the ATLAS Collaboration [11] with cross-section measurements in good agreement with theoretical predictions.

This paper describes a measurement of the cross-section of the  $Wt$  process using  $\sqrt{s} = 13$  TeV proton–proton ( $pp$ ) collisions with an integrated luminosity of  $3.2 \text{ fb}^{-1}$ . The data were recorded with the ATLAS detector in 2015. The measurement is made using events containing at least one  $b$  jet (according to the definition in Section 4) and exactly two oppositely charged leptons in the final state, where a lepton ( $\ell$ ) is defined to be either an electron ( $e$ ) or a muon ( $\mu$ ), whether produced directly from the decay of a  $W$  boson or from the decay of an intermediate  $\tau$  lepton. The  $Wt$  signal enters this final state when the top quark decays into a  $W$  boson and a quark (which is assumed to be a  $b$ -quark), with both  $W$  bosons subsequently decaying into a neutrino and a lepton, as depicted in Figure 1. A minimal selection is applied to reduce background contributions from  $Z/\gamma^* + \text{jets}$  (hereafter called  $Z + \text{jets}$ ) events, diboson events, and events containing leptons that are misidentified or arise from the decay of hadrons. A boosted decision tree (BDT) analysis is performed to construct discriminants capable of separating the  $Wt$  signal from the dominant top quark pair ( $t\bar{t}$ ) background, and these discriminants are used in a profile-likelihood fit to extract the  $Wt$  cross-section. The top pair production background is described by simulation, which has been validated in previous ATLAS measurements [12].

The measurement technique is similar to that employed in the corresponding 8 TeV ATLAS measurement [11]. The most significant changes include modifications to the BDT training and the binning of the distribution used in the likelihood fit (discussed in Section 6 and Section 8 respectively), and an optimisation of cuts to more effectively reject  $Z + \text{jets}$  and other small backgrounds (discussed in Section 5).

## 2 The ATLAS detector

The ATLAS detector [13] at the LHC covers nearly the entire solid angle <sup>1</sup> around the collision point, and consists of an inner tracking detector (ID) surrounded by a thin superconducting solenoid magnet producing a 2 T axial magnetic field, electromagnetic (EM) and hadronic calorimeters, and an external muon spectrometer (MS). The ID consists of a high-granularity silicon pixel detector and a silicon microstrip tracker, together providing precision tracking in the pseudorapidity range  $|\eta| < 2.5$ , complemented by a transition radiation tracker providing tracking and electron identification information for  $|\eta| < 2.0$ . The innermost pixel layer, the insertable B-layer, was added between Run 1 and Run 2 of the LHC, at an innermost radius of 33 mm around a new, thinner, beam pipe [14]. A lead liquid-argon (LAr) electromagnetic calorimeter covers the region  $|\eta| < 3.2$ , and hadronic calorimetry is provided by steel/scintillator tile calorimeters within  $|\eta| < 1.7$  and copper/LAr hadronic endcap calorimeters in the range  $1.5 < |\eta| < 3.2$ . A LAr forward calorimeter with copper and tungsten absorbers covers the range  $3.1 < |\eta| < 4.9$ . The MS consists of precision tracking chambers covering the region  $|\eta| < 2.7$ , and separate trigger chambers covering  $|\eta| < 2.4$ . A two-level trigger system, using a custom hardware level followed by a software-based level, selects from the 40 MHz of collisions a maximum of around 1 kHz of interesting events for offline storage.

## 3 Data and simulation

The data events analysed in this paper correspond to an integrated luminosity of  $3.2 \text{ fb}^{-1}$  collected from the operation of the LHC in 2015 at  $\sqrt{s} = 13 \text{ TeV}$  with a bunch spacing of 25 ns and an average number of collisions per bunch crossing  $\langle\mu\rangle$  of around 14. They are required to be recorded in periods where all detector systems are flagged as operating normally. Additionally, individual events identified as containing corrupted data are rejected.

Monte Carlo (MC) simulation samples are used to estimate the efficiency to select signal and background events, train and test BDTs, estimate systematic uncertainties, and validate the analysis tools. The nominal samples (used for estimating the central values for efficiencies and background templates) were simulated with a full ATLAS detector simulation [15] implemented in GEANT 4 [16]. Many of the samples used in the estimation of systematic uncertainties were instead produced using ATLEFAST2 [17], which differs from the full simulation in that the ATLAS calorimeters and their responses are simulated using a faster approximation. Pile-up (additional  $pp$  collisions in the same or a nearby bunch crossing) was included in the simulation by overlaying collisions with the soft QCD processes of PYTHIA 8.186 [18] using a set of tuned parameters called the A2 tune [19] and the MSTW2008LO PDF set. Events were generated with a predefined distribution of the expected number of interactions per bunch crossing, then reweighted to match the actual observed data conditions. In all samples used for this analysis  $m_{\text{top}}$  was set to 172.5 GeV and the  $W \rightarrow \ell\nu$  branching ratio was set to 0.1080 per lepton flavour.

For the generation of  $Wt$  and  $t\bar{t}$  event samples [20], the PowHEG-Box v1 (v2 for  $t\bar{t}$ ) [21–25] generator with the CT10 PDF set [26] in the matrix element calculations is used. For these processes, top quark spin

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<sup>1</sup> ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the  $z$ -axis along the beam pipe. The  $x$ -axis points from the IP to the centre of the LHC ring, and the  $y$ -axis points upward. Cylindrical coordinates  $(r, \phi)$  are used in the transverse plane,  $\phi$  being the azimuthal angle around the  $z$ -axis. The pseudorapidity is defined in terms of the polar angle  $\theta$  as  $\eta = -\ln \tan(\theta/2)$ , while the rapidity is defined in terms of particle energies and the  $z$ -component of particle momenta as  $y = (1/2) \ln [(E + p_z)/(E - p_z)]$ .

correlations are preserved. The parton shower, fragmentation, and underlying event were simulated using PYTHIA 6.428 [27] with the CTEQ6L1 PDF set [28] and the corresponding Perugia 2012 (P2012) tune [29]. The EvtGEN v1.2.0 program [30] was used to simulate properties of the bottom and charmed hadron decays. The renormalisation and factorisation scales are set to  $m_{\text{top}}$  for the  $Wt$  process and  $\sqrt{m_{\text{top}}^2 + p_{\text{T}}(t)^2}$  for the  $t\bar{t}$  process. The diagram removal (DR) scheme [31], in which all next-to-leading order (NLO) diagrams that overlap with the  $t\bar{t}$  definition are removed from the calculation of the  $Wt$  amplitude, was employed to handle interference between  $Wt$  and  $t\bar{t}$  diagrams, and was applied to the  $Wt$  sample. For plotting and comparing pre-fit MC predictions to data, the predicted  $Wt$  cross-section at a  $\sqrt{s} = 13$  TeV is set to the NLO value with next-to-next-to-leading logarithmic (NNLL) soft-gluon corrections, calculated as  $\sigma_{\text{theory}} = 71.7 \pm 1.8$  (scale)  $\pm 3.4$  (PDF) pb [32], assuming a top quark mass ( $m_{\text{top}}$ ) of 172.5 GeV. The first uncertainty accounts for the renormalisation and factorisation scale variations (from  $m_{\text{top}}/2$  to  $2m_{\text{top}}$ ), while the second uncertainty originates from uncertainties in the MSTW2008 NLO parton distribution function (PDF) sets [33].

The  $t\bar{t}$  cross-section is set to  $\sigma_{t\bar{t}} = 252.9^{+6.4}_{-8.6}$  (scale)  $\pm 11.7$  (PDF +  $\alpha_S$ ) pb as calculated with the Top++2.0 program to NNLO, including soft-gluon resummation to NNLL [34]. The first uncertainty comes from the independent variation of the factorisation and renormalisation scales,  $\mu_F$  and  $\mu_R$ , while the second one is associated with variations in the PDF and  $\alpha_S$ , following the PDF4LHC prescription with the MSTW2008 68 % CL NNLO, CT10 NNLO and NNPDF2.3 5f FFN PDF set [35–38]. Both calculations assume  $m_{\text{top}} = 172.5$  GeV.

Additional  $Wt$  samples were generated to estimate major systematic uncertainties. An alternative  $Wt$  sample was generated using the diagram subtraction (DS) scheme instead of DR, where a gauge-invariant subtraction term modifies the NLO  $Wt$  cross-section to locally cancel the double-resonant  $t\bar{t}$  contribution [31]. Another sample generated with MADGRAPH5\_aMC@NLO [39] (instead of the PowHEG-Box) interfaced with Herwig++ 2.7.1 [40] using ATLFast2 fast simulation is used to estimate uncertainties associated with the modelling of the NLO matrix element generator. A sample generated with PowHEG-Box interfaced with Herwig++ (instead of PYTHIA 6) is used to estimate uncertainties associated with the parton shower, hadronisation, and underlying-event models. In both cases the UE-EE-5 tune of Ref. [41] was used for the underlying event, and EvtGEN v1.2.0 was used to simulate properties of the bottom and charmed hadron decays. Finally, in order to estimate uncertainties arising from additional QCD radiation in the  $Wt$  events, a pair of samples were generated with PowHEG-Box interfaced with PYTHIA 6 using ATLFast2 and the P2012 tune with higher and lower radiation relative to the nominal set, together with varied renormalisation and factorisation scales. In these samples the resummation damping factor was doubled in the case of higher radiation. In order to avoid comparing two different detector response models when estimating systematic uncertainties, another version of the nominal PowHEG-Box with PYTHIA 6 sample was also produced with fast simulation.

Additional  $t\bar{t}$  samples were also generated to estimate major systematic uncertainties. As with the additional  $Wt$  samples, these are used to estimate the uncertainties associated with the matrix element generator (a sample produced using ATLFast2 fast simulation with MADGRAPH5\_aMC@NLO interfaced with Herwig++ 2.7.1), parton shower and hadronisation models (a sample produced using ATLFast2 with PowHEG-Box interfaced with Herwig++ 2.7.1) and additional QCD radiation (a pair of samples produced using full simulation with the P2012 higher and lower radiation-varied sets of parameters, as well as with varied renormalisation and factorisation scales).

Samples used to model the  $Z + \text{jets}$  background [42] were simulated with SHERPA 2.1.1 [43]. Matrix elements were calculated for up to two partons at NLO and four partons at LO using the Comix [44] and

`OPENLOOPS` [45] matrix element generators and merged with the `SHERPA` parton shower [46] using the ME+PS@NLO prescription [47]. The CT10 PDF set was used in conjunction with `SHERPA` parton shower tuning, with a generator-level cutoff on the dilepton invariant mass of  $m_{\ell\ell} > 40 \text{ GeV}$  applied. The Z + jets events are normalised to NNLO cross-sections.

Diboson processes with four charged leptons, three charged leptons and one neutrino, or two charged leptons and two neutrinos [48] were simulated using the `SHERPA` 2.1.1 generator. The matrix elements contain all diagrams with four electroweak vertices. The NLO corrections are used for the purely leptonic final states as well as for final states with two or four charged leptons plus one additional parton. For other final states with up to three additional partons, the LO calculations of the Comix and `OPENLOOPS` generators are used. Their outputs are combined with the `SHERPA` parton shower using the ME+PS@NLO prescription [47]. The PDF set used was CT10 with dedicated parton shower tuning. The generator-calculated cross-sections are used for diboson processes (already at NLO).

Finally, the very small W+jets contribution was simulated using PowHEG-Box v2 interfaced to the PyTHIA 8.186 [18] parton shower model. The CT10 PDF set was used in the matrix element. The AZNLO [49] tune was used, with PDF set CTEQ6L1, for the modelling of non-perturbative effects, and the EvrGen v1.2.0 program was used to simulate properties of the bottom and charmed hadron decays.

## 4 Object selection

Electron candidates are reconstructed from energy deposits in the EM calorimeter associated with ID tracks [50]. The deposits are required to be in the  $|\eta| < 2.47$  region, with the transition region between the barrel and endcap EM calorimeters,  $1.37 < |\eta| < 1.52$ , excluded. The candidate electrons are required to have transverse energy  $p_T > 20 \text{ GeV}$ . Further requirements on the electromagnetic shower shape, calorimeter energy to tracker momentum ratio, and other discriminating variables are combined into a likelihood-based object quality selection [50], optimised for strong background rejection. Candidate electrons also must satisfy requirements on the distance of the ID track to the reconstructed primary vertex in the event, which is identified as the vertex with the largest summed  $p_T^2$  of associated tracks. The transverse impact parameter significance must satisfy  $|d_0|/\sigma_{d_0} < 5$ , and the longitudinal impact parameter must satisfy  $|\Delta z_0 \sin \theta| < 0.5 \text{ mm}$ . Electrons are further required to be isolated based on ID tracks and topological clusters in the calorimeter [51], with an isolation efficiency of 90(99) % for  $p_T = 25(60) \text{ GeV}$ .

Muon candidates are identified by matching MS segments with ID tracks [52]. The candidates must satisfy requirements on hits in the MS and on the compatibility between ID and MS momentum measurements to remove fake muon signatures. Furthermore, they must have  $p_T > 20 \text{ GeV}$  as well as  $|\eta| < 2.5$  to ensure they are within coverage of the ID. Candidate muons must satisfy the following requirements on the distance of the combined ID and MS track to the primary vertex: the transverse impact parameter significance must satisfy  $|d_0|/\sigma_{d_0} < 3$ , and the longitudinal impact parameter must satisfy  $|\Delta z_0 \sin \theta| < 0.5 \text{ mm}$ . An isolation requirement is imposed based on ID tracks and topological clusters in the calorimeter, and results in an isolation efficiency of 90(99) % for  $p_T = 25(60) \text{ GeV}$ .

Single-lepton triggers used in this analysis are designed to select events containing a high- $p_T$ , well-identified charged lepton [53]. They require a  $p_T$  of at least 20 GeV for muons and 24 GeV for electrons, and also have requirements on the lepton quality and isolation. These are complemented by triggers with

higher  $p_T$  thresholds and relaxed isolation and identification requirements to ensure maximum efficiency at higher lepton  $p_T$ .

Jets are reconstructed from topological clusters in the calorimeter [54] using the anti- $k_t$  algorithm [55, 56] with a radius parameter of 0.4. They are energy-corrected to account for pile-up and calibrated using a  $p_T$ - and  $\eta$ -dependent correction derived from simulation [57]. They are required to have  $p_T > 25\text{ GeV}$  and  $|\eta| < 2.5$ . To suppress pile-up, a discriminant called the jet-vertex-tagger (JVT) is constructed using a two-dimensional likelihood method [58]. For jets with  $p_T < 60\text{ GeV}$  and  $|\eta| < 2.4$  a JVT requirement corresponding to a 92 % efficiency while rejecting 98 % of jets from pileup and noise is imposed.

Jets containing  $b$ -hadrons ( $b$ -jets) are tagged using a multivariate discriminant which exploits the long lifetime and large invariant mass of  $b$ -hadron decay products relative to  $c$ -hadrons and unstable light hadrons [59]. The discriminant is calibrated to achieve a 77 %  $b$ -tagging efficiency and rejection factor of about 4.5 against jets containing charm quarks ( $c$ -jet) and 140 against light-quark and gluon jets in a sample of simulated  $t\bar{t}$  events [60]. The  $b$ -tagging efficiency in simulation is corrected to the efficiency in data [61].

The missing transverse momentum vector is calculated as the negative vectorial sum transverse momenta of particles in the event. Its magnitude  $E_T^{\text{miss}}$  is a measure of the transverse momentum imbalance, primarily due to neutrinos that escape detection. In addition to the identified jets, electrons and muons, a track-based soft term is included in the  $E_T^{\text{miss}}$  calculation by considering tracks associated with the hard-scattering vertex in the event but not with an identified jet, electron, or muon [62, 63].

To avoid cases where the detector response to a single physical object is reconstructed as two separate final-state objects, several steps are followed to remove such overlaps. First, an electron sharing an ID track with a muon is removed to avoid cases where a muon mimics an electron through radiation of a hard photon. Next, the closest jet to each electron within an  $y-\phi$  cone of size  $\Delta R_{y,\phi} = \sqrt{(\Delta y)^2 + (\Delta \phi)^2} = 0.2$  is removed to reduce the probability of electrons being reconstructed as jets. Next, electrons with a distance  $\Delta R_{y,\phi} < 0.4$  from any of the remaining jets are removed to reduce backgrounds from non-prompt, non-isolated electrons originating from heavy-flavour hadron decays. Jets with fewer than three tracks and distance  $\Delta R_{y,\phi} < 0.4$  from a muon are then removed to reduce the number of fake jets from muons depositing energy in the calorimeters. Finally, muons with a distance  $\Delta R_{y,\phi} < 0.4$  from any of the surviving jets are removed to avoid contamination by non-prompt muons from heavy-flavour hadron decays.

## 5 Event selection and background estimation

Events are required to have at least one well-reconstructed interaction vertex, to pass a single-electron or single-muon trigger, and to contain at least one jet with  $p_T > 25\text{ GeV}$ . Events are required to contain exactly two charged leptons of opposite charge with  $p_T > 20\text{ GeV}$ ; events with a third lepton with  $p_T > 20\text{ GeV}$  are rejected. At least one lepton must have  $p_T > 25\text{ GeV}$ , and at least one of the selected electrons (muons) must be matched within a  $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$  cone of size 0.07 (0.1) to the electron (muon) selected online by the corresponding trigger.

In simulated events, information recorded by the event generator is used to identify events in which any selected lepton does not originate promptly from the hard-scatter process. These non-prompt or fake leptons arise from processes such as the decay of a  $b$ -hadron, photon conversion or hadron misidentification, and are identified when the electron or muon does not originate from the decay of a  $W$  or  $Z$  boson

(or a  $\tau$  lepton itself originating from a  $W$  or  $Z$ ). Events with a selected lepton which is non-prompt or fake are themselves labelled as fake and are treated as a contribution to the background.

After this selection has been made, a further set of requirements is imposed with the aim of reducing the contribution from the  $Z + \text{jets}$ , diboson and fake/non-prompt lepton backgrounds. The resultant sample is intended to consist almost entirely of  $Wt$  signal and  $t\bar{t}$  background, which are subsequently separated by the BDT analysis. Events in which the two leptons have the same flavour and an invariant mass consistent with a  $Z$  boson ( $81 < m_{\ell\ell} < 101$  GeV) are vetoed, as well as those with an invariant mass  $m_{\ell\ell} < 40$  GeV. Further requirements on  $E_T^{\text{miss}}$  and  $m_{\ell\ell}$  are chosen based on the flavour of the selected leptons (as shown in Table 1). Events with different-flavour leptons are required to have  $E_T^{\text{miss}} > 20$  GeV, with the requirement raised to  $E_T^{\text{miss}} > 50$  GeV when the dilepton invariant mass satisfies  $m_{\ell\ell} < 80$  GeV. All events with same-flavour leptons must satisfy  $E_T^{\text{miss}} > 40$  GeV. For same-flavour events, the  $Z + \text{jets}$  background is concentrated in a region of the  $m_{\ell\ell}-E_T^{\text{miss}}$  plane corresponding to values of  $m_{\ell\ell}$  near the  $Z$  mass, and towards low values of  $E_T^{\text{miss}}$ . Therefore, a selection in  $E_T^{\text{miss}}$  and  $m_{\ell\ell}$  is used to remove these backgrounds: events with  $40 \text{ GeV} < m_{\ell\ell} < 81 \text{ GeV}$  are required to satisfy  $4 \times E_T^{\text{miss}} > 5 \times m_{\ell\ell}$  while events with  $m_{\ell\ell} > 101$  GeV are required to satisfy  $2 \times m_{\ell\ell} + E_T^{\text{miss}} > 300$  GeV. The requirements for the same- and different-flavour events are chosen separately due to the kinematically different processes contributing to the  $Z + \text{jets}$  background, namely  $Z \rightarrow ee/\mu\mu$  in same-flavour events and  $Z \rightarrow \tau\tau$  in different-flavour events. These requirements reduce the  $Z + \text{jets}$  contributions in the signal regions to 12 % according to simulation.

The sample of selected events is divided into regions based on the number of jets and  $b$ -tagged jets. At LO, the signal process results in a final state with one  $b$ -jet arising from the top quark decay, and no additional jets, while the  $t\bar{t}$  process results in two  $b$ -jets from the top quark decays. Events with additional jets are also studied since the underlying event, higher order QCD and other effects may produce additional jets in signal events.

Corresponding to these expected final states, two signal regions are defined by the presence of exactly one  $b$ -tagged jet and either zero (denoted 1j1b) or one (denoted 2j1b) additional jet. A  $t\bar{t}$ -enriched control region is defined by the presence of exactly two jets, which are both  $b$ -tagged (denoted 2j2b). This control region is used to constrain the  $t\bar{t}$  background normalisation, and is expected to contain only a small (< 1 %) proportion of signal events. These three regions — 1j1b, 2j1b and 2j2b — are called the fit regions, as they are used in the simultaneous fit described in Section 8. The total efficiency in simulation to accept a dilepton  $Wt$  signal event into one of the signal or control regions is about 12 %, while the efficiency to accept a dilepton  $t\bar{t}$  background event to the same regions is about 5 % estimated in simulation. Event yields for each fit region are presented in Section 9. Two additional regions, in which events are required to contain one (denoted 1j0b) or two (denoted 2j0b) jets but no  $b$ -tagged jets are used to validate the description of the data by the simulation. The expected event yields (from MC simulation) for signal and backgrounds with their total systematic uncertainties, as well as the number of observed events in the data in the five regions are shown in Figure 3.

## 6 Separation of signal from background

After the event selection is performed, the data sample consists primarily of  $t\bar{t}$  events with a significant number of  $Wt$  signal events (see for example Figure 3). As there is no single observable that clearly discriminates between the  $Wt$  signal and the  $t\bar{t}$  background, several observables are combined into a single discriminator using a BDT technique [64]. A collection of decision trees is created that weakly separates

Table 1: Summary of event selection criteria used in the analysis.

	At least one jet with $p_T > 25 \text{ GeV}$ , $ \eta  < 2.5$	
	Exactly two leptons of opposite charge with $p_T > 20 \text{ GeV}$ , $ \eta  < 2.5$ for muons and $ \eta  < 2.47$ excluding $1.37 <  \eta  < 1.52$ for electrons	
	At least one lepton with $p_T > 25 \text{ GeV}$ , veto if third lepton with $p_T > 20 \text{ GeV}$	
	At least one lepton matched to the trigger object	
Different flavour	$E_T^{\text{miss}} > 50 \text{ GeV}$ ,	if $m_{\ell\ell} < 80 \text{ GeV}$
	$E_T^{\text{miss}} > 20 \text{ GeV}$ ,	if $m_{\ell\ell} > 80 \text{ GeV}$
Same flavour	$E_T^{\text{miss}} > 40 \text{ GeV}$ , veto,	always if $m_{\ell\ell} < 40 \text{ GeV}$
	$4E_T^{\text{miss}} > 5m_{\ell\ell}$ , veto,	if $40 \text{ GeV} < m_{\ell\ell} < 81 \text{ GeV}$ if $81 \text{ GeV} < m_{\ell\ell} < 101 \text{ GeV}$
	$2m_{\ell\ell} + E_T^{\text{miss}} > 300 \text{ GeV}$ ,	if $m_{\ell\ell} > 101 \text{ GeV}$

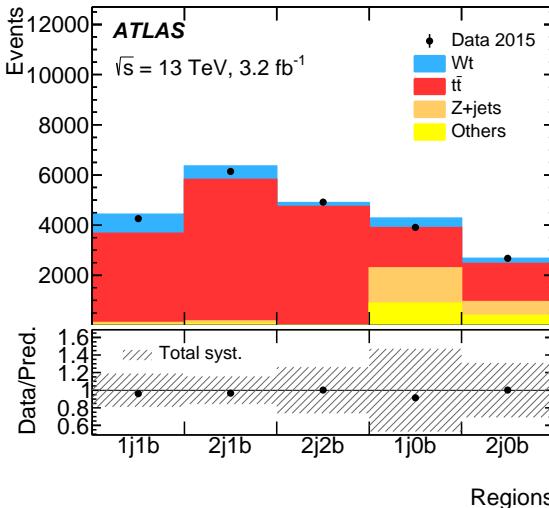


Figure 3: Expected event yields for signal and backgrounds with their total systematic uncertainty (discussed in Section 8) and the number of observed events in the data are shown in the three fit regions ( $1j1b$ ,  $2j1b$ , and  $2j2b$ ) and the two additional regions ( $1j0b$  and  $2j0b$ ). The signal and backgrounds are normalised to their theoretical predictions, and the error bands represent the total systematic uncertainties which are used in this analysis. The upper panel give the yields in number of events per bin, while the lower panel give the ratios of the numbers of observed events to the total prediction in each bin.

events into signal and background based on a number of binary decisions considering a single observable at a time. A boosting algorithm is then used to assign weights to each tree such that the ensemble of weak classifiers performs as a strong classifier [65]. In this analysis, the BDT implementation is provided by the TMVA package [66], using the GradientBoost algorithm.

Separate BDTs are prepared for the analysis regions 1j1b and 2j1b. Since the expected signal in the 2j2b region is minimal, no BDT is constructed here and a single bin is used. The BDTs are optimised to distinguish between  $Wt$  and  $t\bar{t}$  by using the nominal  $Wt$  MC sample, the alternative  $Wt$  MC sample with diagram subtraction scheme and the nominal  $t\bar{t}$  MC sample; for each sample, half of the events are used for training while the other half is reserved for testing. For each region, a large list of variables is prepared for the BDT. An optimisation procedure is then carried out in each region to select a subset of input variables and a set of BDT parameters (such as the number of trees in the ensemble and the maximum depth of the individual decision trees). The optimisation is designed to provide the best separation between the  $Wt$  signal and  $t\bar{t}$  background while avoiding sensitivity to statistical fluctuations in the training sample.

The variables considered are derived from the kinematic properties of subsets of the selected physics objects defined in Section 4 for each event. For a set of objects  $o_1 \dots o_n$ :  $p_T^{\text{sys}}(o_1 \dots o_n)$  is the transverse momenta of various subsets;  $H_T(o_1 \dots o_n)$  is the scalar sum of transverse momenta;  $\sum E_T$  is the scalar sum of the transverse momenta of all objects which contribute to the  $E_T^{\text{miss}}$  calculation;  $\sigma(p_T^{\text{sys}})$  is the ratio of  $p_T^{\text{sys}}$  to  $(H_T + \sum E_T)$ ;  $m(o_1 \dots o_n)$  is the invariant mass of various subsets;  $m_T(o_1 \dots o_n)$  is the transverse mass (i.e. the sum of the invariant masses of  $o_1 \dots o_n$  each projected onto the transverse plane); and  $E/m(o_1 \dots o_n)$  is the ratio of energy to invariant mass. Two-dimensional vectors such as  $\vec{E}_T^{\text{miss}}$  are assigned four-momenta by assuming zero mass and  $z$ -component. For two systems of objects  $s_1$  and  $s_2$ :  $\Delta R(s_1, s_2)$  is the separation in  $\phi-\eta$  space;  $\Delta p_T(s_1, s_2)$  is the  $p_T$  difference;  $\Delta\phi(s_1, s_2)$  is the  $\phi$  difference; and  $C(s_1, s_2)$ , the centrality, is the ratio of the scalar sum of  $p_T$  to the sum of energy.

The final set of input variables used in each BDT is listed in Table 2 along with the separating power of each variable.<sup>2</sup> In order to check that the variables and their correlations in  $Wt$  signal and the background events are well modelled by simulation, the distributions of these variables and the BDTs are compared between the MC prediction and the observed data, using a Kolmogorov–Smirnov (KS) statistical test [67] to check agreement. The distributions of the two most powerful variables in each fit region are shown in Figure 4. The MC predictions describe the data well, within the total systematic uncertainties.

## 7 Systematic uncertainties

The experimental sources of uncertainty include the measurement of the luminosity, lepton efficiency scale factors used to correct simulation to data, lepton energy scale and resolution,  $E_T^{\text{miss}}$  soft-term calculation, jet energy scale and resolution, and the  $b$ -tagging efficiency. Among these, the dominant sources of uncertainty are due to the determination of the jet energy scale (JES) and jet energy resolution. Table 3 gives a breakdown of uncertainties in the final fitted cross-section.

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<sup>2</sup> The separating power,  $S$ , is a measure of the difference between probability distributions of signal and background in the variable, and is defined as

$$\langle S^2 \rangle = \frac{1}{2} \int \frac{(Y_s(y) - Y_b(y))^2}{(Y_s(y) + Y_b(y))} dy$$

where  $Y_s(y)$  and  $Y_b(y)$  are the signal and background probability distribution functions of each variable  $y$ , respectively.

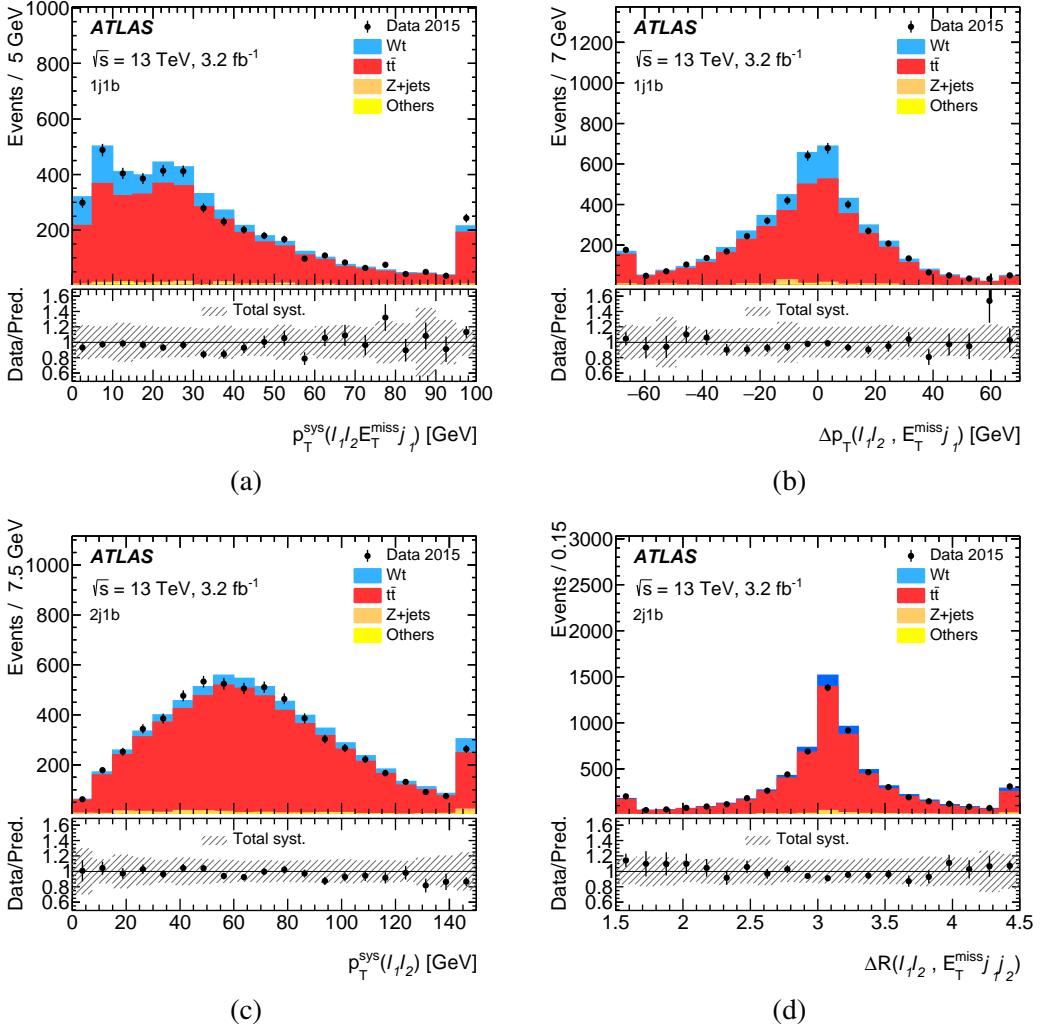


Figure 4: Distributions of the two most powerful BDT input variables in each fit region: in the 1j1b region (a)  $p_T^{\text{sys}}(\ell_1 \ell_2 E_T^{\text{miss}} j_1)$  and (b)  $\Delta p_T(\ell_1 \ell_2 E_T^{\text{miss}} j_1)$ ; in the 2j1b region (c)  $p_T^{\text{sys}}(\ell_1, \ell_2)$  and (d)  $\Delta R(\ell_1 \ell_2, E_T^{\text{miss}} j_1 j_2)$ . The signal and backgrounds are normalised to their theoretical predictions, and the error bands represent the total systematic uncertainties in the Monte Carlo predictions. The first and last bins of each distribution contain overflow events. The upper panel give the yields in number of events per bin, while the lower panel give the ratios of the numbers of observed events to the total prediction in each bin.

Table 2: The variables used in each BDT and their separating powers (a measure of the difference between probability distributions of signal and background in the variable, denoted  $S$ ). The variables are derived from the four-momenta of the leading (sub-leading) lepton  $\ell_1$  ( $\ell_2$ ), the leading (sub-leading) jet  $j_1$  ( $j_2$ ) and  $E_T^{\text{miss}}$ . The last row gives the separation power of the BDT discriminant output.

1j1b		2j1b	
Variable	$S [10^{-2}]$	Variable	$S [10^{-2}]$
$p_T^{\text{sys}}(\ell_1 \ell_2 E_T^{\text{miss}} j_1)$	5.3	$p_T^{\text{sys}}(\ell_1 \ell_2)$	1.7
$\Delta p_T(\ell_1 \ell_2, E_T^{\text{miss}} j_1)$	2.9	$\Delta R(\ell_1 \ell_2, E_T^{\text{miss}} j_1 j_2)$	1.7
$\sum E_T$	2.7	$\Delta R(\ell_1 \ell_2, j_1 j_2)$	1.5
$\Delta p_T(\ell_1 \ell_2, E_T^{\text{miss}})$	1.2	$m(\ell_1 j_2)$	1.4
$p_T^{\text{sys}}(\ell_1 E_T^{\text{miss}} j_1)$	0.9	$\Delta p_T(\ell_1 \ell_2, E_T^{\text{miss}})$	1.4
$C(\ell_1 \ell_2)$	0.9	$\Delta p_T(\ell_1, j_1)$	1.4
$\Delta p_T(\ell_1, E_T^{\text{miss}})$	0.8	$m(\ell_1 j_1)$	1.3
BDT discriminant	8.6	$p_T(\ell_1)$	1.3
		$\sigma(p_T^{\text{sys}})(\ell_1 \ell_2 E_T^{\text{miss}} j_1)$	1.2
		$\Delta R(\ell_1, j_1)$	1.2
		$p_T(j_2)$	0.9
		$\sigma(p_T^{\text{sys}})(\ell_1 \ell_2 E_T^{\text{miss}} j_1 j_2)$	0.9
		$m(\ell_2 j_1 j_2)$	0.3
		$m(\ell_2 j_1)$	0.3
		$m(\ell_2 j_2)$	0.1
		BDT discriminant	10.9

The JES uncertainty [57] is divided into a total of 17 components, which are derived using  $\sqrt{s} = 13$  TeV data. The uncertainties from *in situ* analyses including studies of  $Z/\gamma$ +jet and dijet events are represented with six orthogonal components (JES Eff1–6) using the eigenvector decomposition procedure, as demonstrated in Ref. [68]. Other components are model uncertainties (such as flavour composition,  $\eta$  intercalibration model), and other systematics in the JES determination (such as pile-up jet area  $\rho$ ). The most significant JES uncertainty components for this analysis are the *in situ* calibration and the flavour composition uncertainty, which is the dependence of the jet calibration on the fraction of quark or gluon jets in data. The jet energy resolution uncertainty estimate [57] is based on comparisons of simulation and data using *in situ* studies with Run-1 data. These studies are then cross-calibrated and checked to confirm good agreement with Run-2 data.

As discussed in Section 4, the  $E_T^{\text{miss}}$  calculation includes contributions from hard sources, including leptons and jets, in addition to soft terms which arise primarily from low- $p_T$  pile-up jets and underlying-event activity. The uncertainty associated with the hard terms is propagated from the corresponding uncertainties in the energy/momentum scales and resolutions for jets and leptons, and is classified together with the uncertainty associated with the hard objects. The uncertainty associated with the soft term is estimated by comparing the simulated scale and resolution to that in data, including differences in uncertainties due to model dependence.

Uncertainties in the scale factors to correct the  $b$ -tagging efficiency in simulation to the efficiency in data are assessed using independent eigenvectors for the efficiency of  $b$ -jets,  $c$ -jets, light-parton jets, and two

extrapolation uncertainty factors. These  $b$ -tagging uncertainties are determined with  $\sqrt{s} = 13$  TeV data for  $b$ -jets, while for  $c$ -jets and light-parton jets they are determined in  $\sqrt{s} = 8$  TeV data, then extrapolated to and checked with  $\sqrt{s} = 13$  TeV data.

Systematic uncertainties in lepton momentum resolution and scale, trigger efficiency, isolation efficiency, and identification efficiency are also considered. These uncertainties arise from corrections to simulation based on studies of  $Z \rightarrow ee$  and  $Z \rightarrow \mu\mu$  data. In this analysis the effects of the uncertainties in these corrections are relatively small.

A 2.1 % uncertainty is assigned to the integrated luminosity determination for 2015 data. It is derived, following a methodology similar to that detailed in Ref. [69], from a calibration of the luminosity scale using  $x$ - $y$  beam-separation scans performed in August 2015.

Uncertainties stemming from theoretical models are estimated by comparing a set of predicted distributions produced with different assumptions and applying the difference observed as a weight to the nominal  $Wt$  or  $t\bar{t}$  distribution. The main uncertainties are due to the NLO matrix element (ME) generator, parton shower and hadronisation generator, initial- and final-state radiation (I/FSR) tuning and the PDF. The NLO matrix element uncertainty is estimated by comparing two NLO matching methods: the predictions of PowHEG-Box and MADGRAPH5\_aMC@NLO, both interfaced with Herwig++. The parton shower, hadronisation, and underlying-event model uncertainty is estimated by comparing PowHEG-Box interfaced with either PYTHIA 6 or HERWIG++. The uncertainty from the matrix element generator is treated as uncorrelated between the  $Wt$  and  $t\bar{t}$  processes, while the uncertainty from the parton shower generator is treated as correlated. The I/FSR tuning uncertainty is estimated by taking half of the difference between samples with PowHEG-Box interfaced with PYTHIA 6 tuned with either more or less radiation, and is uncorrelated between the  $Wt$  and  $t\bar{t}$  processes. The choice of scheme to account for the interference between the  $Wt$  and  $t\bar{t}$  processes constitutes another source of systematic uncertainty for the signal modelling, and it is estimated by comparing samples using either the diagram removal scheme or the diagram subtraction scheme, both generated with PowHEG-Box+PYTHIA 6. The uncertainty due to the choice of PDF is estimated using the PDF4LHC15 combined PDF set [70]. The difference between the central CT10 [26] prediction and the central PDF4LHC15 prediction (PDF central value) is taken and symmetrised together with the internal uncertainty set provided with PDF4LHC15. For  $t\bar{t}$  and  $Wt$  modelling, the NLO matrix element model, parton shower model, and PDF uncertainties are estimated using fast-simulated samples; for  $Wt$ , fast simulation is also used for I/FSR. In each case where results from two samples must be compared, fast simulated samples are only compared to other fast simulated samples.

Additionally, normalisation uncertainties of 100 % are assumed for the fake/non-prompt lepton backgrounds. The  $Z +$  jets backgrounds with one  $b$ -tagged jet are assigned a 50 % uncertainty, while a 100 % uncertainty is assumed for  $Z +$  jets events with two  $b$ -tagged jets. These uncertainties are chosen to be consistent with previous ATLAS studies of these processes in dedicated validation regions. Diboson backgrounds are assigned an uncertainty of 25 % to cover the difference between the predictions of the SHERPA and PowHEG-Box generators. These uncertainties are treated as uncorrelated across the various regions of jet and  $b$ -tagged jet multiplicity.

## 8 Extraction of signal cross-section

The  $Wt$  cross-section is extracted from the data using a profile-likelihood fit that combines inputs from each signal and control region to constrain backgrounds and systematic uncertainties. The fit uses the

`HistFitter` [71] software framework, which is in turn built on the `HistFactory`, `RooStats`, and `RooFit` [72] frameworks.

The fit uses the binned BDT response for MC events in two of the three fit regions (1j1b and 2j1b) and a single bin in the 2j2b region to construct templates for the  $Wt$  signal and each modelled background ( $t\bar{t}$ ,  $Z + \text{jets}$ , diboson, fake or non-prompt leptons). For each signal and background template, an additional template is constructed for each of the MC sample variations (see Section 7) accounting for a systematic uncertainty. Systematic uncertainties are considered by allowing Gaussian-constrained nuisance parameters to deform fit templates while simultaneously varying the normalisation of the templates. The normalisation of the  $t\bar{t}$  background,  $\mu_{t\bar{t}}$ , is also determined in the fit by assigning an unconstrained parameter to the  $t\bar{t}$  normalisation. Other backgrounds are constrained within their systematic uncertainties by Gaussian-constrained nuisance parameters, and all templates are affected by the overall luminosity uncertainty.

A global likelihood function is constructed to describe the level of agreement between data and prediction as a function of the parameter of interest, namely the  $Wt$  signal strength  $\mu_{Wt}$ , and a list of nuisance parameters each describing the influence of a different source of systematic uncertainty. The  $Wt$  cross-section and its uncertainty are extracted from the fitted value of  $\mu_{Wt}$ , with a value of unity corresponding to the predicted NLO+NNLL  $\sigma_{\text{theory}}$  value.

Table 3: Relative uncertainties in the  $Wt$  cross-section. These are estimated by fixing each uncertainty parameter to its post-fit  $\pm 1\sigma$  uncertainties, re-fitting, and assessing the change in the signal strength. Due to correlations between parameters, the individual uncertainty categories are not expected to add up to the total systematic uncertainty. The statistical uncertainty is evaluated by fitting without any nuisance parameters corresponding to systematic uncertainties in the fit, and the total systematic uncertainty is evaluated by subtracting the statistical uncertainty from the total uncertainty in quadrature.

Source	$\Delta\sigma_{Wt}/\sigma_{Wt}[\%]$
Jet energy scale	21
Jet energy resolution	8.6
$E_T^{\text{miss}}$ soft terms	5.3
$b$ -tagging	4.3
Luminosity	2.3
Lepton efficiency, energy scale and resolution	1.3
<hr/>	
NLO matrix element generator	18
Parton shower and hadronisation	7.1
Initial-/final-state radiation	6.4
Diagram removal/subtraction	5.3
Parton distribution function	2.7
Non- $t\bar{t}$ background normalisation	3.7
<hr/>	
Total systematic uncertainty	30
Data statistics	10
<hr/>	
Total uncertainty	31

## 9 Results

The expected and fitted yields from data are measured in the three fit regions. The majority of signal events fall in the 1j1b and 2j1b regions, with the former giving the better signal to background ratio as well as the larger yield of signal events. Table 4 shows the fitted yields of each process. From the fitted  $Wt$  yield, a cross-section is then extracted. The result is a measured cross-section of  $\sigma_{Wt} = 94 \pm 10 \text{ (stat.)}^{+28}_{-22} \text{ (syst.)} \pm 2 \text{ (lumi.) pb}$ , corresponding to an observed (expected) significance of  $4.5\sigma$  ( $3.9\sigma$ ).

Figure 5 shows the fit parameters with the highest post-fit impact on the signal strength, and also gives the pre-fit impacts as well as pulls. Here the impact ( $\Delta\mu$ ) of a parameter is defined as the change in signal strength observed when fixing this parameter to its  $\pm 1\sigma$  values, fixing all other parameters to their nominal values, and fitting the signal strength. The change is taken with respect to the nominal pre-fit value for pre-fit impact and with respect to the nominal post-fit value for the post-fit impact. The pre-fit and post-fit impact are differentiated based on whether pre-fit or post-fit values of  $\pm 1\sigma$  variations are assumed for the parameter under consideration. The pull of a parameter  $\theta$  is defined as  $(\hat{\theta} - \theta_0)/\Delta\theta$ , where  $\theta_0$  and  $\hat{\theta}$  are the pre- and post-fit values of  $\theta$ , while  $\Delta\theta$  is the pre-fit uncertainty on  $\theta$ . The parameters with the highest post-fit impact are jet energy scale uncertainties and the modelling uncertainties due to parton shower and  $t\bar{t}$  initial- and final-state radiation. Some parameters fit to values which are significantly different from unity;  $t\bar{t}$  initial- and final-state radiation and the component JES Eff1 each exhibit this effect. This behaviour is expected as a few parameters could be pulled outside of the  $\pm 1\sigma$  band when there are a large number of parameters being fitted, while the majority should fall within the  $\pm 1\sigma$  range. Certain parameters are assigned post-fit uncertainties significantly smaller than the nominal pre-fit uncertainty values and are thus profiled or constrained by the observed data. For example, the uncertainty due to parton shower generator would be among the most dominant uncertainties without the constraints from profiling, with pre-fit impacts exceeding 60 % of the signal strength. However, information from the 2j2b region about the  $t\bar{t}$  normalisation and the relative yields in the signal regions significantly constrain these uncertainties. Another feature observed in this plot is how the final uncertainty is substantially smaller than the sum in quadrature of the individual impacts. This is due to the correlations between the uncertainties, and in particular to the constraint provided by the  $t\bar{t}$  normalisation.

The MC predictions and data yields for the BDT response after setting all fit parameters to their final best-fit values are shown in Figure 6, with error bands representing the total uncertainties in the fitted results. The NLO+NNLL cross-section prediction agrees well with the measured value, and  $\mu_{t\bar{t}}$  is fitted to  $0.98 \pm 0.05$ .

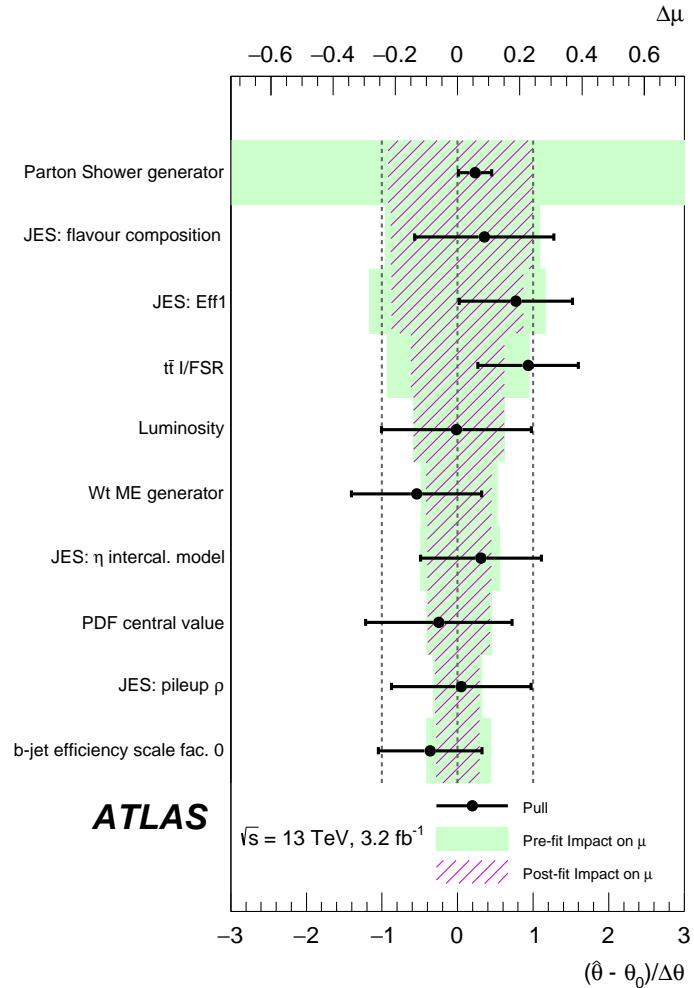


Figure 5: List of fit parameters ranked by post-fit impact on the signal strength. Impact ( $\Delta\mu$ ) is calculated by fixing the parameter to its  $\pm 1\sigma$  values, fixing all other parameters to their nominal values, re-fitting the signal strength, and evaluating the change in signal strength with respect to the nominal fit. Green bands indicate the impacts computed with  $\sigma$  corresponding to the pre-fit uncertainty, and hatched purple bands indicate the impacts computed with  $\sigma$  corresponding to the post-fit uncertainty. The black points represent  $(\hat{\theta} - \theta_0)/\Delta\theta$ , the pull of the post-fit parameter value, while the error bars are the post-fit errors of the fit parameter. The meanings of the labels and abbreviations are detailed in Section 7.

Table 4: Fit results for an integrated luminosity of  $3.2 \text{ fb}^{-1}$ . The errors shown are the final fitted uncertainties in the yields, including uncertainties in the fitted signal strength, systematic uncertainties, and statistical uncertainties, taking into account correlations and constraints induced by the fit.

	1j1b	2j1b	2j2b
Observed events	4254	6138	4912
Fitted events	4257	6139	4908
Fitted $Wt$ events	$910 \pm 210$	$640 \pm 160$	$210 \pm 82$
Fitted $t\bar{t}$ events	$3230 \pm 210$	$5340 \pm 160$	$4670 \pm 110$
Fitted $Z + \text{jets}$ events	$69 \pm 35$	$87 \pm 46$	$7.6 \pm 7.5$
Fitted fake events	$30 \pm 26$	$40 \pm 38$	$15 \pm 14$

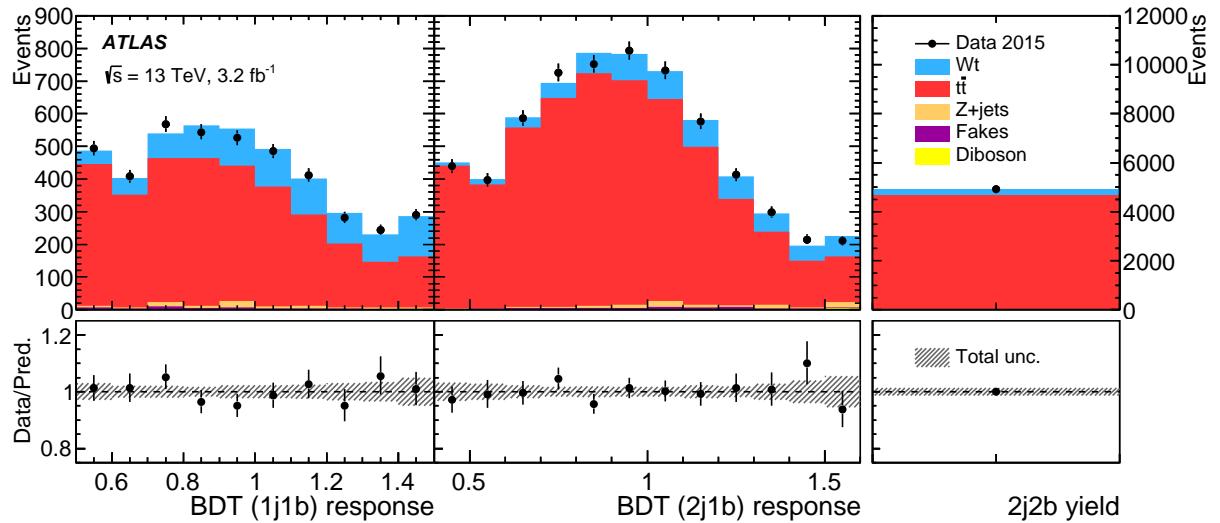


Figure 6: Post-fit distributions in the signal and control regions 1j1b, 2j1b, and 2j2b. The error bands represent the total uncertainties in the fitted results. The upper panels give the yields in number of events per bin, while the lower panels give the ratios of the numbers of observed events to the total prediction in each bin.

## 10 Conclusion

The inclusive cross-section for the associated production of a  $W$  boson and top quark is measured using  $3.2\text{ fb}^{-1}$  of  $pp$  collision data collected at  $\sqrt{s} = 13\text{ TeV}$  by the ATLAS detector at the LHC. The analysis uses dilepton events with at least one  $b$ -tagged jet. Events are separated into signal and control regions based on the number of jets and  $b$ -tagged jets, and the  $Wt$  signal is separated from the  $t\bar{t}$  background using a BDT discriminant. The cross-section is extracted by fitting templates to the BDT output distribution, and is measured to be  $\sigma_{Wt} = 94 \pm 10(\text{stat.})^{+28}_{-22}(\text{syst.}) \pm 2(\text{lumi.})\text{ pb}$ . The measured value is in good agreement with the SM prediction of  $\sigma_{\text{theory}} = 71.7 \pm 1.8(\text{scale}) \pm 3.4(\text{PDF})\text{ pb}$ .

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M. Aaboud<sup>137d</sup>, G. Aad<sup>88</sup>, B. Abbott<sup>115</sup>, J. Abdallah<sup>8</sup>, O. Abdinov<sup>12</sup>, B. Abelos<sup>119</sup>, R. Aben<sup>109</sup>, O.S. AbouZeid<sup>139</sup>, N.L. Abraham<sup>151</sup>, H. Abramowicz<sup>155</sup>, H. Abreu<sup>154</sup>, R. Abreu<sup>118</sup>, Y. Abulaiti<sup>148a,148b</sup>, B.S. Acharya<sup>167a,167b,a</sup>, S. Adachi<sup>157</sup>, L. Adamczyk<sup>41a</sup>, D.L. Adams<sup>27</sup>, J. Adelman<sup>110</sup>, S. Adomeit<sup>102</sup>, T. Adye<sup>133</sup>, A.A. Affolder<sup>77</sup>, T. Agatonovic-Jovin<sup>14</sup>, J.A. Aguilar-Saavedra<sup>128a,128f</sup>, S.P. Ahlen<sup>24</sup>, F. Ahmadov<sup>68,b</sup>, G. Aielli<sup>135a,135b</sup>, H. Akerstedt<sup>148a,148b</sup>, T.P.A. Åkesson<sup>84</sup>, A.V. Akimov<sup>98</sup>, G.L. Alberghi<sup>22a,22b</sup>, J. Albert<sup>172</sup>, S. Albrand<sup>58</sup>, M.J. Alconada Verzini<sup>74</sup>, M. Alekса<sup>32</sup>, I.N. Aleksandrov<sup>68</sup>, C. Alexa<sup>28b</sup>, G. Alexander<sup>155</sup>, T. Alexopoulos<sup>10</sup>, M. Alhroob<sup>115</sup>, B. Ali<sup>130</sup>, M. Aliev<sup>76a,76b</sup>, G. Alimonti<sup>94a</sup>, J. Alison<sup>33</sup>, S.P. Alkire<sup>38</sup>, B.M.M. Allbrooke<sup>151</sup>, B.W. Allen<sup>118</sup>, P.P. Allport<sup>19</sup>, A. Aloisio<sup>106a,106b</sup>, A. Alonso<sup>39</sup>, F. Alonso<sup>74</sup>, C. Alpigiani<sup>140</sup>, A.A. Alshehri<sup>56</sup>, M. Alstaty<sup>88</sup>, B. Alvarez Gonzalez<sup>32</sup>, D. Álvarez Piqueras<sup>170</sup>, M.G. Alviggi<sup>106a,106b</sup>, B.T. Amadio<sup>16</sup>, Y. Amaral Coutinho<sup>26a</sup>, C. Amelung<sup>25</sup>, D. Amidei<sup>92</sup>, S.P. Amor Dos Santos<sup>128a,128c</sup>, A. Amorim<sup>128a,128b</sup>, S. Amoroso<sup>32</sup>, G. Amundsen<sup>25</sup>, C. Anastopoulos<sup>141</sup>, L.S. Ancu<sup>52</sup>, N. Andari<sup>19</sup>, T. Andeen<sup>11</sup>, C.F. Anders<sup>60b</sup>, G. Anders<sup>32</sup>, J.K. Anders<sup>77</sup>, K.J. Anderson<sup>33</sup>, A. Andreazza<sup>94a,94b</sup>, V. Andrei<sup>60a</sup>, S. Angelidakis<sup>9</sup>, I. Angelozzi<sup>109</sup>, A. Angerami<sup>38</sup>, F. Anghinolfi<sup>32</sup>, A.V. Anisenkov<sup>111,c</sup>, N. Anjos<sup>13</sup>, A. Annovi<sup>126a,126b</sup>, C. Antel<sup>60a</sup>, M. Antonelli<sup>50</sup>, A. Antonov<sup>100,\*</sup>, D.J. Antrim<sup>166</sup>, F. Anulli<sup>134a</sup>, M. Aoki<sup>69</sup>, L. Aperio Bella<sup>19</sup>, G. Arabidze<sup>93</sup>, Y. Arai<sup>69</sup>, J.P. Araque<sup>128a</sup>, A.T.H. Arce<sup>48</sup>, F.A. Arduh<sup>74</sup>, J.-F. Arguin<sup>97</sup>, S. Argyropoulos<sup>66</sup>, M. Arik<sup>20a</sup>, A.J. Armbruster<sup>145</sup>, L.J. Armitage<sup>79</sup>, O. Arnaez<sup>32</sup>, H. Arnold<sup>51</sup>, M. Arratia<sup>30</sup>, O. Arslan<sup>23</sup>, A. Artamonov<sup>99</sup>, G. Artoni<sup>122</sup>, S. Artz<sup>86</sup>, S. Asai<sup>157</sup>, N. Asbah<sup>45</sup>, A. Ashkenazi<sup>155</sup>, B. 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 J.A. Bogaerts<sup>32</sup>, D. Bogavac<sup>14</sup>, A.G. Bogdanchikov<sup>111</sup>, C. Bohm<sup>148a</sup>, V. Boisvert<sup>80</sup>, P. Bokan<sup>14</sup>,  
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 I.A. Budagov<sup>68</sup>, F. Buehrer<sup>51</sup>, M.K. Bugge<sup>121</sup>, O. Bulekov<sup>100</sup>, D. Bullock<sup>8</sup>, H. Burckhart<sup>32</sup>, S. Burdin<sup>77</sup>,  
 C.D. Burgard<sup>51</sup>, A.M. Burger<sup>5</sup>, B. Burghgrave<sup>110</sup>, K. Burka<sup>42</sup>, S. Burke<sup>133</sup>, I. Burmeister<sup>46</sup>,  
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 V. Vrba<sup>129</sup>, M. Vreeswijk<sup>109</sup>, R. Vuillermet<sup>32</sup>, I. Vukotic<sup>33</sup>, P. Wagner<sup>23</sup>, W. Wagner<sup>178</sup>, H. Wahlberg<sup>74</sup>,  
 S. Wahrmund<sup>47</sup>, J. Wakabayashi<sup>105</sup>, J. Walder<sup>75</sup>, R. Walker<sup>102</sup>, W. Walkowiak<sup>143</sup>, V. Wallangen<sup>148a,148b</sup>,  
 C. Wang<sup>35b</sup>, C. Wang<sup>36b,88</sup>, F. Wang<sup>176</sup>, H. Wang<sup>16</sup>, H. Wang<sup>43</sup>, J. Wang<sup>45</sup>, J. Wang<sup>152</sup>, K. Wang<sup>90</sup>,  
 R. Wang<sup>6</sup>, S.M. Wang<sup>153</sup>, T. Wang<sup>38</sup>, W. Wang<sup>36a</sup>, C. Wanotayaroj<sup>118</sup>, A. Warburton<sup>90</sup>, C.P. Ward<sup>30</sup>,  
 D.R. Wardrop<sup>81</sup>, A. Washbrook<sup>49</sup>, P.M. Watkins<sup>19</sup>, A.T. Watson<sup>19</sup>, M.F. Watson<sup>19</sup>, G. Watts<sup>140</sup>,  
 S. Watts<sup>87</sup>, B.M. Waugh<sup>81</sup>, S. Webb<sup>86</sup>, M.S. Weber<sup>18</sup>, S.W. Weber<sup>177</sup>, S.A. Weber<sup>31</sup>, J.S. Webster<sup>6</sup>,  
 A.R. Weidberg<sup>122</sup>, B. Weinert<sup>64</sup>, J. Weingarten<sup>57</sup>, C. Weiser<sup>51</sup>, H. Weits<sup>109</sup>, P.S. Wells<sup>32</sup>, T. Wenaus<sup>27</sup>,  
 T. Wengler<sup>32</sup>, S. Wenig<sup>32</sup>, N. Wermes<sup>23</sup>, M.D. Werner<sup>67</sup>, P. Werner<sup>32</sup>, M. Wessels<sup>60a</sup>, J. Wetter<sup>165</sup>,  
 K. Whalen<sup>118</sup>, N.L. Whallon<sup>140</sup>, A.M. Wharton<sup>75</sup>, A. White<sup>8</sup>, M.J. White<sup>1</sup>, R. White<sup>34b</sup>, D. Whiteson<sup>166</sup>,  
 F.J. Wickens<sup>133</sup>, W. Wiedenmann<sup>176</sup>, M. Wielers<sup>133</sup>, C. Wiglesworth<sup>39</sup>, L.A.M. Wiik-Fuchs<sup>23</sup>,  
 A. Wildauer<sup>103</sup>, F. Wilk<sup>87</sup>, H.G. Wilkens<sup>32</sup>, H.H. Williams<sup>124</sup>, S. Williams<sup>109</sup>, C. Willis<sup>93</sup>, S. Willocq<sup>89</sup>,  
 J.A. Wilson<sup>19</sup>, I. Wingerter-Seez<sup>5</sup>, F. Winklmeier<sup>118</sup>, O.J. Winston<sup>151</sup>, B.T. Winter<sup>23</sup>, M. Wittgen<sup>145</sup>,  
 T.M.H. Wolf<sup>109</sup>, R. Wolff<sup>88</sup>, M.W. Wolter<sup>42</sup>, H. Wolters<sup>128a,128c</sup>, S.D. Worm<sup>133</sup>, B.K. Wosiek<sup>42</sup>,  
 J. Wotschack<sup>32</sup>, M.J. Woudstra<sup>87</sup>, K.W. Wozniak<sup>42</sup>, M. Wu<sup>58</sup>, M. Wu<sup>33</sup>, S.L. Wu<sup>176</sup>, X. Wu<sup>52</sup>, Y. Wu<sup>92</sup>,  
 T.R. Wyatt<sup>87</sup>, B.M. Wynne<sup>49</sup>, S. Xella<sup>39</sup>, Z. Xi<sup>92</sup>, D. Xu<sup>35a</sup>, L. Xu<sup>27</sup>, B. Yabsley<sup>152</sup>, S. Yacoob<sup>147a</sup>,  
 D. Yamaguchi<sup>159</sup>, Y. Yamaguchi<sup>120</sup>, A. Yamamoto<sup>69</sup>, S. Yamamoto<sup>157</sup>, T. Yamanaka<sup>157</sup>,  
 K. Yamauchi<sup>105</sup>, Y. Yamazaki<sup>70</sup>, Z. Yan<sup>24</sup>, H. Yang<sup>36c</sup>, H. Yang<sup>176</sup>, Y. Yang<sup>153</sup>, Z. Yang<sup>15</sup>, W-M. Yao<sup>16</sup>,  
 Y.C. Yap<sup>83</sup>, Y. Yasu<sup>69</sup>, E. Yatsenko<sup>5</sup>, K.H. Yau Wong<sup>23</sup>, J. Ye<sup>43</sup>, S. Ye<sup>27</sup>, I. Yeletskikh<sup>68</sup>, E. Yildirim<sup>86</sup>,  
 K. Yorita<sup>174</sup>, R. Yoshida<sup>6</sup>, K. Yoshihara<sup>124</sup>, C. Young<sup>145</sup>, C.J.S. Young<sup>32</sup>, S. Youssef<sup>24</sup>, D.R. Yu<sup>16</sup>,  
 J. Yu<sup>8</sup>, J.M. Yu<sup>92</sup>, J. Yu<sup>67</sup>, L. Yuan<sup>70</sup>, S.P.Y. Yuen<sup>23</sup>, I. Yusuff<sup>30,au</sup>, B. Zabinski<sup>42</sup>, G. Zacharis<sup>10</sup>,  
 R. Zaidan<sup>66</sup>, A.M. Zaitsev<sup>132,ae</sup>, N. Zakharchuk<sup>45</sup>, J. Zalieckas<sup>15</sup>, A. Zaman<sup>150</sup>, S. Zambito<sup>59</sup>,  
 L. Zanello<sup>134a,134b</sup>, D. Zanzi<sup>91</sup>, C. Zeitnitz<sup>178</sup>, M. Zeman<sup>130</sup>, A. Zemla<sup>41a</sup>, J.C. Zeng<sup>169</sup>, Q. Zeng<sup>145</sup>,  
 O. Zenin<sup>132</sup>, T. Ženiš<sup>146a</sup>, D. Zerwas<sup>119</sup>, D. Zhang<sup>92</sup>, F. Zhang<sup>176</sup>, G. Zhang<sup>36a,ao</sup>, H. Zhang<sup>35b</sup>,  
 J. Zhang<sup>6</sup>, L. Zhang<sup>51</sup>, L. Zhang<sup>36a</sup>, M. Zhang<sup>169</sup>, R. Zhang<sup>23</sup>, R. Zhang<sup>36a,aw</sup>, X. Zhang<sup>36b</sup>, Z. Zhang<sup>119</sup>,  
 X. Zhao<sup>43</sup>, Y. Zhao<sup>36b,aw</sup>, Z. Zhao<sup>36a</sup>, A. Zhemchugov<sup>68</sup>, J. Zhong<sup>122</sup>, B. Zhou<sup>92</sup>, C. Zhou<sup>176</sup>, L. Zhou<sup>38</sup>,  
 L. Zhou<sup>43</sup>, M. Zhou<sup>150</sup>, N. Zhou<sup>35c</sup>, C.G. Zhu<sup>36b</sup>, H. Zhu<sup>35a</sup>, J. Zhu<sup>92</sup>, Y. Zhu<sup>36a</sup>, X. Zhuang<sup>35a</sup>,  
 K. Zhukov<sup>98</sup>, A. Zibell<sup>177</sup>, D. Ziemska<sup>64</sup>, N.I. Zimine<sup>68</sup>, C. Zimmermann<sup>86</sup>, S. Zimmermann<sup>51</sup>,  
 Z. Zinonos<sup>57</sup>, M. Zinser<sup>86</sup>, M. Ziolkowski<sup>143</sup>, L. Živković<sup>14</sup>, G. Zobernig<sup>176</sup>, A. Zoccoli<sup>22a,22b</sup>,  
 M. zur Nedden<sup>17</sup>, L. Zwalski<sup>32</sup>.

<sup>1</sup> Department of Physics, University of Adelaide, Adelaide, Australia

<sup>2</sup> Physics Department, SUNY Albany, Albany NY, United States of America

<sup>3</sup> Department of Physics, University of Alberta, Edmonton AB, Canada

- <sup>4</sup> <sup>(a)</sup> Department of Physics, Ankara University, Ankara; <sup>(b)</sup> Istanbul Aydin University, Istanbul; <sup>(c)</sup> Division of Physics, TOBB University of Economics and Technology, Ankara, Turkey  
<sup>5</sup> LAPP, CNRS/IN2P3 and Université Savoie Mont Blanc, Annecy-le-Vieux, France  
<sup>6</sup> High Energy Physics Division, Argonne National Laboratory, Argonne IL, United States of America  
<sup>7</sup> Department of Physics, University of Arizona, Tucson AZ, United States of America  
<sup>8</sup> Department of Physics, The University of Texas at Arlington, Arlington TX, United States of America  
<sup>9</sup> Physics Department, National and Kapodistrian University of Athens, Athens, Greece  
<sup>10</sup> Physics Department, National Technical University of Athens, Zografou, Greece  
<sup>11</sup> Department of Physics, The University of Texas at Austin, Austin TX, United States of America  
<sup>12</sup> Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan  
<sup>13</sup> Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Barcelona, Spain  
<sup>14</sup> Institute of Physics, University of Belgrade, Belgrade, Serbia  
<sup>15</sup> Department for Physics and Technology, University of Bergen, Bergen, Norway  
<sup>16</sup> Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley CA, United States of America  
<sup>17</sup> Department of Physics, Humboldt University, Berlin, Germany  
<sup>18</sup> Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland  
<sup>19</sup> School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom  
<sup>20</sup> <sup>(a)</sup> Department of Physics, Bogazici University, Istanbul; <sup>(b)</sup> Department of Physics Engineering, Gaziantep University, Gaziantep; <sup>(d)</sup> Istanbul Bilgi University, Faculty of Engineering and Natural Sciences, Istanbul, Turkey; <sup>(e)</sup> Bahcesehir University, Faculty of Engineering and Natural Sciences, Istanbul, Turkey, Turkey  
<sup>21</sup> Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia  
<sup>22</sup> <sup>(a)</sup> INFN Sezione di Bologna; <sup>(b)</sup> Dipartimento di Fisica e Astronomia, Università di Bologna, Bologna, Italy  
<sup>23</sup> Physikalisches Institut, University of Bonn, Bonn, Germany  
<sup>24</sup> Department of Physics, Boston University, Boston MA, United States of America  
<sup>25</sup> Department of Physics, Brandeis University, Waltham MA, United States of America  
<sup>26</sup> <sup>(a)</sup> Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro; <sup>(b)</sup> Electrical Circuits Department, Federal University of Juiz de Fora (UFJF), Juiz de Fora; <sup>(c)</sup> Federal University of Sao Joao del Rei (UFSJ), Sao Joao del Rei; <sup>(d)</sup> Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil  
<sup>27</sup> Physics Department, Brookhaven National Laboratory, Upton NY, United States of America  
<sup>28</sup> <sup>(a)</sup> Transilvania University of Brasov, Brasov, Romania; <sup>(b)</sup> National Institute of Physics and Nuclear Engineering, Bucharest; <sup>(c)</sup> National Institute for Research and Development of Isotopic and Molecular Technologies, Physics Department, Cluj Napoca; <sup>(d)</sup> University Politehnica Bucharest, Bucharest; <sup>(e)</sup> West University in Timisoara, Timisoara, Romania  
<sup>29</sup> Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina  
<sup>30</sup> Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom  
<sup>31</sup> Department of Physics, Carleton University, Ottawa ON, Canada  
<sup>32</sup> CERN, Geneva, Switzerland  
<sup>33</sup> Enrico Fermi Institute, University of Chicago, Chicago IL, United States of America  
<sup>34</sup> <sup>(a)</sup> Departamento de Física, Pontificia Universidad Católica de Chile, Santiago; <sup>(b)</sup> Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile  
<sup>35</sup> <sup>(a)</sup> Institute of High Energy Physics, Chinese Academy of Sciences, Beijing; <sup>(b)</sup> Department of Physics, Nanjing University, Jiangsu; <sup>(c)</sup> Physics Department, Tsinghua University, Beijing 100084,

China

- <sup>36</sup> <sup>(a)</sup> Department of Modern Physics, University of Science and Technology of China, Anhui; <sup>(b)</sup> School of Physics, Shandong University, Shandong; <sup>(c)</sup> Department of Physics and Astronomy, Shanghai Key Laboratory for Particle Physics and Cosmology, Shanghai Jiao Tong University, Shanghai; (also affiliated with PKU-CHEP), China
- <sup>37</sup> Laboratoire de Physique Corpusculaire, Université Clermont Auvergne, Université Blaise Pascal, CNRS/IN2P3, Clermont-Ferrand, France
- <sup>38</sup> Nevis Laboratory, Columbia University, Irvington NY, United States of America
- <sup>39</sup> Niels Bohr Institute, University of Copenhagen, Kobenhavn, Denmark
- <sup>40</sup> <sup>(a)</sup> INFN Gruppo Collegato di Cosenza, Laboratori Nazionali di Frascati; <sup>(b)</sup> Dipartimento di Fisica, Università della Calabria, Rende, Italy
- <sup>41</sup> <sup>(a)</sup> AGH University of Science and Technology, Faculty of Physics and Applied Computer Science, Krakow; <sup>(b)</sup> Marian Smoluchowski Institute of Physics, Jagiellonian University, Krakow, Poland
- <sup>42</sup> Institute of Nuclear Physics Polish Academy of Sciences, Krakow, Poland
- <sup>43</sup> Physics Department, Southern Methodist University, Dallas TX, United States of America
- <sup>44</sup> Physics Department, University of Texas at Dallas, Richardson TX, United States of America
- <sup>45</sup> DESY, Hamburg and Zeuthen, Germany
- <sup>46</sup> Lehrstuhl für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
- <sup>47</sup> Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden, Germany
- <sup>48</sup> Department of Physics, Duke University, Durham NC, United States of America
- <sup>49</sup> SUPA - School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
- <sup>50</sup> INFN Laboratori Nazionali di Frascati, Frascati, Italy
- <sup>51</sup> Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg, Germany
- <sup>52</sup> Departement de Physique Nucleaire et Corpusculaire, Université de Genève, Geneva, Switzerland
- <sup>53</sup> <sup>(a)</sup> INFN Sezione di Genova; <sup>(b)</sup> Dipartimento di Fisica, Università di Genova, Genova, Italy
- <sup>54</sup> <sup>(a)</sup> E. Andronikashvili Institute of Physics, Iv. Javakhishvili Tbilisi State University, Tbilisi; <sup>(b)</sup> High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
- <sup>55</sup> II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
- <sup>56</sup> SUPA - School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
- <sup>57</sup> II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
- <sup>58</sup> Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS/IN2P3, Grenoble, France
- <sup>59</sup> Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA, United States of America
- <sup>60</sup> <sup>(a)</sup> Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg; <sup>(b)</sup> Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg; <sup>(c)</sup> ZITI Institut für technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany
- <sup>61</sup> Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan
- <sup>62</sup> <sup>(a)</sup> Department of Physics, The Chinese University of Hong Kong, Shatin, N.T., Hong Kong; <sup>(b)</sup> Department of Physics, The University of Hong Kong, Hong Kong; <sup>(c)</sup> Department of Physics and Institute for Advanced Study, The Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China
- <sup>63</sup> Department of Physics, National Tsing Hua University, Taiwan, Taiwan
- <sup>64</sup> Department of Physics, Indiana University, Bloomington IN, United States of America
- <sup>65</sup> Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria
- <sup>66</sup> University of Iowa, Iowa City IA, United States of America
- <sup>67</sup> Department of Physics and Astronomy, Iowa State University, Ames IA, United States of America

- <sup>68</sup> Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia  
<sup>69</sup> KEK, High Energy Accelerator Research Organization, Tsukuba, Japan  
<sup>70</sup> Graduate School of Science, Kobe University, Kobe, Japan  
<sup>71</sup> Faculty of Science, Kyoto University, Kyoto, Japan  
<sup>72</sup> Kyoto University of Education, Kyoto, Japan  
<sup>73</sup> Department of Physics, Kyushu University, Fukuoka, Japan  
<sup>74</sup> Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina  
<sup>75</sup> Physics Department, Lancaster University, Lancaster, United Kingdom  
<sup>76</sup> <sup>(a)</sup> INFN Sezione di Lecce; <sup>(b)</sup> Dipartimento di Matematica e Fisica, Università del Salento, Lecce, Italy  
<sup>77</sup> Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom  
<sup>78</sup> Department of Experimental Particle Physics, Jožef Stefan Institute and Department of Physics, University of Ljubljana, Ljubljana, Slovenia  
<sup>79</sup> School of Physics and Astronomy, Queen Mary University of London, London, United Kingdom  
<sup>80</sup> Department of Physics, Royal Holloway University of London, Surrey, United Kingdom  
<sup>81</sup> Department of Physics and Astronomy, University College London, London, United Kingdom  
<sup>82</sup> Louisiana Tech University, Ruston LA, United States of America  
<sup>83</sup> Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France  
<sup>84</sup> Fysiska institutionen, Lunds universitet, Lund, Sweden  
<sup>85</sup> Departamento de Fisica Teorica C-15, Universidad Autonoma de Madrid, Madrid, Spain  
<sup>86</sup> Institut für Physik, Universität Mainz, Mainz, Germany  
<sup>87</sup> School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom  
<sup>88</sup> CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France  
<sup>89</sup> Department of Physics, University of Massachusetts, Amherst MA, United States of America  
<sup>90</sup> Department of Physics, McGill University, Montreal QC, Canada  
<sup>91</sup> School of Physics, University of Melbourne, Victoria, Australia  
<sup>92</sup> Department of Physics, The University of Michigan, Ann Arbor MI, United States of America  
<sup>93</sup> Department of Physics and Astronomy, Michigan State University, East Lansing MI, United States of America  
<sup>94</sup> <sup>(a)</sup> INFN Sezione di Milano; <sup>(b)</sup> Dipartimento di Fisica, Università di Milano, Milano, Italy  
<sup>95</sup> B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of Belarus  
<sup>96</sup> Research Institute for Nuclear Problems of Byelorussian State University, Minsk, Republic of Belarus  
<sup>97</sup> Group of Particle Physics, University of Montreal, Montreal QC, Canada  
<sup>98</sup> P.N. Lebedev Physical Institute of the Russian Academy of Sciences, Moscow, Russia  
<sup>99</sup> Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia  
<sup>100</sup> National Research Nuclear University MEPhI, Moscow, Russia  
<sup>101</sup> D.V. Skobeltsyn Institute of Nuclear Physics, M.V. Lomonosov Moscow State University, Moscow, Russia  
<sup>102</sup> Fakultät für Physik, Ludwig-Maximilians-Universität München, München, Germany  
<sup>103</sup> Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), München, Germany  
<sup>104</sup> Nagasaki Institute of Applied Science, Nagasaki, Japan  
<sup>105</sup> Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya, Japan  
<sup>106</sup> <sup>(a)</sup> INFN Sezione di Napoli; <sup>(b)</sup> Dipartimento di Fisica, Università di Napoli, Napoli, Italy  
<sup>107</sup> Department of Physics and Astronomy, University of New Mexico, Albuquerque NM, United States of America

- <sup>108</sup> Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands
- <sup>109</sup> Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam, Netherlands
- <sup>110</sup> Department of Physics, Northern Illinois University, DeKalb IL, United States of America
- <sup>111</sup> Budker Institute of Nuclear Physics, SB RAS, Novosibirsk, Russia
- <sup>112</sup> Department of Physics, New York University, New York NY, United States of America
- <sup>113</sup> Ohio State University, Columbus OH, United States of America
- <sup>114</sup> Faculty of Science, Okayama University, Okayama, Japan
- <sup>115</sup> Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman OK, United States of America
- <sup>116</sup> Department of Physics, Oklahoma State University, Stillwater OK, United States of America
- <sup>117</sup> Palacký University, RCPTM, Olomouc, Czech Republic
- <sup>118</sup> Center for High Energy Physics, University of Oregon, Eugene OR, United States of America
- <sup>119</sup> LAL, Univ. Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France
- <sup>120</sup> Graduate School of Science, Osaka University, Osaka, Japan
- <sup>121</sup> Department of Physics, University of Oslo, Oslo, Norway
- <sup>122</sup> Department of Physics, Oxford University, Oxford, United Kingdom
- <sup>123</sup> <sup>(a)</sup> INFN Sezione di Pavia; <sup>(b)</sup> Dipartimento di Fisica, Università di Pavia, Pavia, Italy
- <sup>124</sup> Department of Physics, University of Pennsylvania, Philadelphia PA, United States of America
- <sup>125</sup> National Research Centre "Kurchatov Institute" B.P.Konstantinov Petersburg Nuclear Physics Institute, St. Petersburg, Russia
- <sup>126</sup> <sup>(a)</sup> INFN Sezione di Pisa; <sup>(b)</sup> Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy
- <sup>127</sup> Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh PA, United States of America
- <sup>128</sup> <sup>(a)</sup> Laboratório de Instrumentação e Física Experimental de Partículas - LIP, Lisboa; <sup>(b)</sup> Faculdade de Ciências, Universidade de Lisboa, Lisboa; <sup>(c)</sup> Department of Physics, University of Coimbra, Coimbra; <sup>(d)</sup> Centro de Física Nuclear da Universidade de Lisboa, Lisboa; <sup>(e)</sup> Departamento de Física, Universidade do Minho, Braga; <sup>(f)</sup> Departamento de Física Teórica y del Cosmos and CAFPE, Universidad de Granada, Granada (Spain); <sup>(g)</sup> Dep Física and CEFITEC of Faculdade de Ciencias e Tecnologia, Universidade Nova de Lisboa, Caparica, Portugal
- <sup>129</sup> Institute of Physics, Academy of Sciences of the Czech Republic, Praha, Czech Republic
- <sup>130</sup> Czech Technical University in Prague, Praha, Czech Republic
- <sup>131</sup> Faculty of Mathematics and Physics, Charles University in Prague, Praha, Czech Republic
- <sup>132</sup> State Research Center Institute for High Energy Physics (Protvino), NRC KI, Russia
- <sup>133</sup> Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom
- <sup>134</sup> <sup>(a)</sup> INFN Sezione di Roma; <sup>(b)</sup> Dipartimento di Fisica, Sapienza Università di Roma, Roma, Italy
- <sup>135</sup> <sup>(a)</sup> INFN Sezione di Roma Tor Vergata; <sup>(b)</sup> Dipartimento di Fisica, Università di Roma Tor Vergata, Roma, Italy
- <sup>136</sup> <sup>(a)</sup> INFN Sezione di Roma Tre; <sup>(b)</sup> Dipartimento di Matematica e Fisica, Università Roma Tre, Roma, Italy
- <sup>137</sup> <sup>(a)</sup> Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies - Université Hassan II, Casablanca; <sup>(b)</sup> Centre National de l'Energie des Sciences Techniques Nucléaires, Rabat; <sup>(c)</sup> Faculté des Sciences Semlalia, Université Cadi Ayyad, LPHEA-Marrakech; <sup>(d)</sup> Faculté des Sciences, Université Mohamed Premier and LPTPM, Oujda; <sup>(e)</sup> Faculté des sciences, Université Mohammed V, Rabat, Morocco
- <sup>138</sup> DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l'Univers), CEA Saclay

- (Commissariat à l'Energie Atomique et aux Energies Alternatives), Gif-sur-Yvette, France
- <sup>139</sup> Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz CA, United States of America
- <sup>140</sup> Department of Physics, University of Washington, Seattle WA, United States of America
- <sup>141</sup> Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom
- <sup>142</sup> Department of Physics, Shinshu University, Nagano, Japan
- <sup>143</sup> Fachbereich Physik, Universität Siegen, Siegen, Germany
- <sup>144</sup> Department of Physics, Simon Fraser University, Burnaby BC, Canada
- <sup>145</sup> SLAC National Accelerator Laboratory, Stanford CA, United States of America
- <sup>146</sup> <sup>(a)</sup> Faculty of Mathematics, Physics & Informatics, Comenius University, Bratislava; <sup>(b)</sup> Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic
- <sup>147</sup> <sup>(a)</sup> Department of Physics, University of Cape Town, Cape Town; <sup>(b)</sup> Department of Physics, University of Johannesburg, Johannesburg; <sup>(c)</sup> School of Physics, University of the Witwatersrand, Johannesburg, South Africa
- <sup>148</sup> <sup>(a)</sup> Department of Physics, Stockholm University; <sup>(b)</sup> The Oskar Klein Centre, Stockholm, Sweden
- <sup>149</sup> Physics Department, Royal Institute of Technology, Stockholm, Sweden
- <sup>150</sup> Departments of Physics & Astronomy and Chemistry, Stony Brook University, Stony Brook NY, United States of America
- <sup>151</sup> Department of Physics and Astronomy, University of Sussex, Brighton, United Kingdom
- <sup>152</sup> School of Physics, University of Sydney, Sydney, Australia
- <sup>153</sup> Institute of Physics, Academia Sinica, Taipei, Taiwan
- <sup>154</sup> Department of Physics, Technion: Israel Institute of Technology, Haifa, Israel
- <sup>155</sup> Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel
- <sup>156</sup> Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece
- <sup>157</sup> International Center for Elementary Particle Physics and Department of Physics, The University of Tokyo, Tokyo, Japan
- <sup>158</sup> Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan
- <sup>159</sup> Department of Physics, Tokyo Institute of Technology, Tokyo, Japan
- <sup>160</sup> Tomsk State University, Tomsk, Russia, Russia
- <sup>161</sup> Department of Physics, University of Toronto, Toronto ON, Canada
- <sup>162</sup> <sup>(a)</sup> INFN-TIFPA; <sup>(b)</sup> University of Trento, Trento, Italy, Italy
- <sup>163</sup> <sup>(a)</sup> TRIUMF, Vancouver BC; <sup>(b)</sup> Department of Physics and Astronomy, York University, Toronto ON, Canada
- <sup>164</sup> Faculty of Pure and Applied Sciences, and Center for Integrated Research in Fundamental Science and Engineering, University of Tsukuba, Tsukuba, Japan
- <sup>165</sup> Department of Physics and Astronomy, Tufts University, Medford MA, United States of America
- <sup>166</sup> Department of Physics and Astronomy, University of California Irvine, Irvine CA, United States of America
- <sup>167</sup> <sup>(a)</sup> INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine; <sup>(b)</sup> ICTP, Trieste; <sup>(c)</sup> Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine, Italy
- <sup>168</sup> Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
- <sup>169</sup> Department of Physics, University of Illinois, Urbana IL, United States of America
- <sup>170</sup> Instituto de Fisica Corpuscular (IFIC) and Departamento de Fisica Atomica, Molecular y Nuclear and Departamento de Ingeniería Electrónica and Instituto de Microelectrónica de Barcelona (IMB-CNM), University of Valencia and CSIC, Valencia, Spain

- <sup>171</sup> Department of Physics, University of British Columbia, Vancouver BC, Canada  
<sup>172</sup> Department of Physics and Astronomy, University of Victoria, Victoria BC, Canada  
<sup>173</sup> Department of Physics, University of Warwick, Coventry, United Kingdom  
<sup>174</sup> Waseda University, Tokyo, Japan  
<sup>175</sup> Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel  
<sup>176</sup> Department of Physics, University of Wisconsin, Madison WI, United States of America  
<sup>177</sup> Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany  
<sup>178</sup> Fakultät für Mathematik und Naturwissenschaften, Fachgruppe Physik, Bergische Universität Wuppertal, Wuppertal, Germany  
<sup>179</sup> Department of Physics, Yale University, New Haven CT, United States of America  
<sup>180</sup> Yerevan Physics Institute, Yerevan, Armenia  
<sup>181</sup> Centre de Calcul de l’Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne, France  
<sup>a</sup> Also at Department of Physics, King’s College London, London, United Kingdom  
<sup>b</sup> Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan  
<sup>c</sup> Also at Novosibirsk State University, Novosibirsk, Russia  
<sup>d</sup> Also at TRIUMF, Vancouver BC, Canada  
<sup>e</sup> Also at Department of Physics & Astronomy, University of Louisville, Louisville, KY, United States of America  
<sup>f</sup> Also at Physics Department, An-Najah National University, Nablus, Palestine  
<sup>g</sup> Also at Department of Physics, California State University, Fresno CA, United States of America  
<sup>h</sup> Also at Department of Physics, University of Fribourg, Fribourg, Switzerland  
<sup>i</sup> Also at Departament de Fisica de la Universitat Autonoma de Barcelona, Barcelona, Spain  
<sup>j</sup> Also at Departamento de Fisica e Astronomia, Faculdade de Ciencias, Universidade do Porto, Portugal  
<sup>k</sup> Also at Tomsk State University, Tomsk, Russia, Russia  
<sup>l</sup> Also at Universita di Napoli Parthenope, Napoli, Italy  
<sup>m</sup> Also at Institute of Particle Physics (IPP), Canada  
<sup>n</sup> Also at National Institute of Physics and Nuclear Engineering, Bucharest, Romania  
<sup>o</sup> Also at Department of Physics, St. Petersburg State Polytechnical University, St. Petersburg, Russia  
<sup>p</sup> Also at Department of Physics, The University of Michigan, Ann Arbor MI, United States of America  
<sup>q</sup> Also at Centre for High Performance Computing, CSIR Campus, Rosebank, Cape Town, South Africa  
<sup>r</sup> Also at Louisiana Tech University, Ruston LA, United States of America  
<sup>s</sup> Also at Institutio Catalana de Recerca i Estudis Avancats, ICREA, Barcelona, Spain  
<sup>t</sup> Also at Graduate School of Science, Osaka University, Osaka, Japan  
<sup>u</sup> Also at Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands  
<sup>v</sup> Also at Department of Physics, The University of Texas at Austin, Austin TX, United States of America  
<sup>w</sup> Also at Institute of Theoretical Physics, Ilia State University, Tbilisi, Georgia  
<sup>x</sup> Also at CERN, Geneva, Switzerland  
<sup>y</sup> Also at Georgian Technical University (GTU), Tbilisi, Georgia  
<sup>z</sup> Also at Ochadai Academic Production, Ochanomizu University, Tokyo, Japan  
<sup>aa</sup> Also at Manhattan College, New York NY, United States of America  
<sup>ab</sup> Also at Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan  
<sup>ac</sup> Also at School of Physics, Shandong University, Shandong, China  
<sup>ad</sup> Also at Department of Physics, California State University, Sacramento CA, United States of America  
<sup>ae</sup> Also at Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia  
<sup>af</sup> Also at Departement de Physique Nucleaire et Corpusculaire, Université de Genève, Geneva,

Switzerland

*ag* Also at Eotvos Lorand University, Budapest, Hungary

*ah* Also at Departments of Physics & Astronomy and Chemistry, Stony Brook University, Stony Brook NY, United States of America

*ai* Also at International School for Advanced Studies (SISSA), Trieste, Italy

*aj* Also at Department of Physics and Astronomy, University of South Carolina, Columbia SC, United States of America

*ak* Also at Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Barcelona, Spain

*al* Also at School of Physics and Engineering, Sun Yat-sen University, Guangzhou, China

*am* Also at Institute for Nuclear Research and Nuclear Energy (INRNE) of the Bulgarian Academy of Sciences, Sofia, Bulgaria

*an* Also at Faculty of Physics, M.V.Lomonosov Moscow State University, Moscow, Russia

*ao* Also at Institute of Physics, Academia Sinica, Taipei, Taiwan

*ap* Also at National Research Nuclear University MEPhI, Moscow, Russia

*aq* Also at Department of Physics, Stanford University, Stanford CA, United States of America

*ar* Also at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest, Hungary

*as* Also at Giresun University, Faculty of Engineering, Turkey

*at* Also at Flensburg University of Applied Sciences, Flensburg, Germany

*au* Also at University of Malaya, Department of Physics, Kuala Lumpur, Malaysia

*av* Also at CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France

*aw* Also at LAL, Univ. Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France

\* Deceased