# Ripser <br> Efficient Computation of Vietoris-Rips Persistence Barcodes 

Ulrich Bauer

TUM
March 23, 2017

Computational and Statistical Aspects of Topological Data Analysis
Alan Turing Institute

## Persistent homology



















Vietoris-Rips persistence

## Vietoris-Rips filtrations

Consider a finite metric space ( $X, d$ ).
The Vietoris-Rips complex is the simplicial complex

$$
\operatorname{Rips}_{t}(X)=\{S \subseteq X \mid \operatorname{diam} S \leq t\}
$$

- 1-skeleton: all edges with pairwise distance $\leq t$
- all possible higher simplices (flag complex)


## Vietoris-Rips filtrations

Consider a finite metric space ( $X, d$ ).
The Vietoris-Rips complex is the simplicial complex

$$
\operatorname{Rips}_{t}(X)=\{S \subseteq X \mid \operatorname{diam} S \leq t\}
$$

- 1-skeleton: all edges with pairwise distance $\leq t$
- all possible higher simplices (flag complex)

Goal:

- compute persistence barcodes for $H_{d}\left(\operatorname{Rips}_{t}(X)\right)$ (in dimensions $0 \leq d \leq k$ )


## Demo: Ripser

## Example data set:

- 192 points on $\mathbb{S}^{2}$
- persistent homology barcodes up to dimension 2
- over 56 mio. simplices in 3-skeleton


## Demo: Ripser

## Example data set:

- 192 points on $\mathbb{S}^{2}$
- persistent homology barcodes up to dimension 2
- over 56 mio. simplices in 3-skeleton

Comparison with other software:

- javaplex: 3200 seconds, 12 GB
- Dionysus: 533 seconds, 3.4 GB
- GUDHI: 75 seconds, 2.9 GB
- DIPHA: 50 seconds, 6 GB
- Eirene: 12 seconds, 1.5 GB


## Demo: Ripser

## Example data set:

- 192 points on $\mathbb{S}^{2}$
- persistent homology barcodes up to dimension 2
- over 56 mio. simplices in 3-skeleton

Comparison with other software:

- javaplex: 3200 seconds, 12 GB
- Dionysus: 533 seconds, 3.4 GB
- GUDHI: 75 seconds, 2.9 GB
- DIPHA: 50 seconds, 6 GB
- Eirene: 12 seconds, 1.5 GB

Ripser: 1.2 seconds, 152 MB

## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$
- sparse distance matrices for distance threshold


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$
- sparse distance matrices for distance threshold
- open source (http://ripser .org)
- released in July 2016


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$
- sparse distance matrices for distance threshold
- open source (http://ripser .org)
- released in July 2016
- online version (http://live.ripser.org)
- launched in August 2016


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$
- sparse distance matrices for distance threshold
- open source (http://ripser .org)
- released in July 2016
- online version (http://live.ripser.org)
- launched in August 2016
- most efficient software for Vietoris-Rips persistence
- computes $H^{2}$ barcode for 50000 random points on a torus in 136 seconds / 9 GB (using distance threshold)


## Ripser

A software for computing Vietoris-Rips persistence barcodes

- about 1000 lines of $\mathrm{C}++$ code, no external dependencies
- support for
- coefficients in a prime field $\mathbb{F}_{p}$
- sparse distance matrices for distance threshold
- open source (http://ripser .org)
- released in July 2016
- online version (http://live.ripser.org)
- launched in August 2016
- most efficient software for Vietoris-Rips persistence
- computes $H^{2}$ barcode for 50000 random points on a torus in 136 seconds / 9 GB (using distance threshold)
- 2016 ATMCS Best New Software Award (jointly with RIVET)


## Design goals

Goals for previous projects:

- PHAT [B, Kerber, Reininghaus, Wagner 2013]: fast persistence computation (matrix reduction only)
- DIPHA [B, Kerber, Reininghaus 2014]: distributed persistence computation


## Design goals

Goals for previous projects:

- PHAT [B, Kerber, Reininghaus, Wagner 2013]: fast persistence computation (matrix reduction only)
- DIPHA [B, Kerber, Reininghaus 2014]: distributed persistence computation
Goals for Ripser:
- Use as little memory as possible
- Be reasonable about computation time


## The four special ingredients

The improved performance is based on 4 insights:

- Clearing inessential columns [Chen, Kerber 2011]
- Computing cohomology [de Silva et al. 2011]
- Implicit matrix reduction
- Apparent and emergent pairs


## The four special ingredients

The improved performance is based on 4 insights:

- Clearing inessential columns [Chen, Kerber 2011]
- Computing cohomology [de Silva et al. 2011]
- Implicit matrix reduction
- Apparent and emergent pairs

Lessons from PHAT:

- Clearing and cohomology yield considerable speedup,
- but only when both are used in conjuction!


## Matrix reduction

## Matrix reduction algorithm

Setting:

- finite metric space $X, n$ points
- persistent homology $H_{d}\left(\operatorname{Rips}_{t}(X) ; \mathbb{F}_{2}\right)$ in dimensions $d \leq k$ Notation:
- $D$ : boundary matrix of filtration
- $R_{i}$ : ith column of $R$


## Matrix reduction algorithm

Setting:

- finite metric space $X, n$ points
- persistent homology $H_{d}\left(\operatorname{Rips}_{t}(X) ; \mathbb{F}_{2}\right)$ in dimensions $d \leq k$ Notation:
- $D$ : boundary matrix of filtration
- $R_{i}$ : ith column of $R$

Algorithm:

- $R=D, V=I$
- while $\exists i<j$ with pivot $R_{i}=\operatorname{pivot} R_{j}$
- add $R_{i}$ to $R_{j}$, add $V_{i}$ to $V_{j}$


## Matrix reduction algorithm

Setting:

- finite metric space $X, n$ points
- persistent homology $H_{d}\left(\operatorname{Rips}_{t}(X) ; \mathbb{F}_{2}\right)$ in dimensions $d \leq k$ Notation:
- $D$ : boundary matrix of filtration
- $R_{i}: i$ th column of $R$

Algorithm:

- $R=D, V=I$
- while $\exists i<j$ with pivot $R_{i}=\operatorname{pivot} R_{j}$

$$
\text { - add } R_{i} \text { to } R_{j} \text {, add } V_{i} \text { to } V_{j}
$$

Result:

- $R=D \cdot V$ is reduced (unique pivots)
- $V$ is full rank upper triangular


## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} & & \text { positive indices, } \\
N & =\left\{j: R_{j} \neq 0\right\} & & \text { negative indices, } \\
E & =P \backslash \text { pivots } R & & \text { essential indices. }
\end{aligned}
$$

Then

## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} & & \text { positive indices, } \\
N & =\left\{j: R_{j} \neq 0\right\} & & \text { negative indices, } \\
E & =P \backslash \text { pivots } R & & \text { essential indices. }
\end{aligned}
$$

Then

$$
\widetilde{\Sigma}_{Z}=\left\{V_{i} \mid i \in P\right\}
$$

is a basis of $Z_{*}$,

## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} & & \text { positive indices, } \\
N & =\left\{j: R_{j} \neq 0\right\} & & \text { negative indices, } \\
E & =P \backslash \text { pivots } R & & \text { essential indices. }
\end{aligned}
$$

Then

$$
\begin{aligned}
& \widetilde{\Sigma}_{Z}=\left\{V_{i} \mid i \in P\right\} \\
& \Sigma_{B}=\left\{R_{j} \mid j \in N\right\}
\end{aligned}
$$

is a basis of $Z_{*}$,
is a basis of $B_{*}$,

## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} & & \text { positive indices, } \\
N & =\left\{j: R_{j} \neq 0\right\} & & \text { negative indices, } \\
E & =P \backslash \text { pivots } R & & \text { essential indices. }
\end{aligned}
$$

Then

$$
\begin{aligned}
& \widetilde{\Sigma}_{Z}=\left\{V_{i} \mid i \in P\right\} \\
& \Sigma_{B}=\left\{R_{j} \mid j \in N\right\} \\
& \Sigma_{Z}=\Sigma_{B} \cup\left\{V_{i} \mid i \in E\right\}
\end{aligned}
$$

is a basis of $Z_{*}$,
is a basis of $B_{*}$,
is another basis of $Z_{*}$.

## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} & & \text { positive indices, } \\
N & =\left\{j: R_{j} \neq 0\right\} & & \text { negative indices, } \\
E & =P \backslash \text { pivots } R & & \text { essential indices. }
\end{aligned}
$$

Then

$$
\begin{aligned}
& \widetilde{\Sigma}_{Z}=\left\{V_{i} \mid i \in P\right\} \\
& \Sigma_{B}=\left\{R_{j} \mid j \in N\right\} \\
& \Sigma_{Z}=\Sigma_{B} \cup\left\{V_{i} \mid i \in E\right\}
\end{aligned}
$$

is a basis of $Z_{*}$,
is a basis of $B_{*}$,
is another basis of $Z_{*}$.
Persistent homology is generated by the basis cycles $\Sigma_{Z}$.

## Compatible basis cycles

For a reduced boundary matrix $R=D \cdot V$, call

$$
\begin{aligned}
P & =\left\{i: R_{i}=0\right\} \\
N & =\left\{j: R_{j} \neq 0\right\} \\
E & =P \backslash \text { pivots } R
\end{aligned}
$$

positive indices, negative indices, essential indices.
Then

$$
\begin{aligned}
& \widetilde{\Sigma}_{Z}=\left\{V_{i} \mid i \in P\right\} \\
& \Sigma_{B}=\left\{R_{j} \mid j \in N\right\}
\end{aligned}
$$

$$
\Sigma_{Z}=\Sigma_{B} \cup\left\{V_{i} \mid i \in E\right\} \quad \text { is another basis of } Z_{*}
$$

Persistent homology is generated by the basis cycles $\Sigma_{Z}$.

- Persistence intervals: $\left\{[i, j) \mid i=\operatorname{pivot} R_{j}\right\} \cup\{[i, \infty) \mid i \in E\}$
- Columns with non-essential positive indices never used!

Clearing

## Clearing non-essential positive columns

Idea [Chen, Kerber 2011]:

- Don't reduce at non-essential positive indices
- Reduce boundary matrices of $\partial_{d}: C_{d} \rightarrow C_{d-1}$ in decreasing dimension $d=k+1, \ldots, 1$
- Whenever $i=\operatorname{pivot} R_{j}$ (in matrix for $\partial_{d}$ )
- Set $R_{i}$ to 0 (in matrix for $\partial_{d-1}$ )


## Clearing non-essential positive columns

Idea [Chen, Kerber 2011]:

- Don't reduce at non-essential positive indices
- Reduce boundary matrices of $\partial_{d}: C_{d} \rightarrow C_{d-1}$ in decreasing dimension $d=k+1, \ldots, 1$
- Whenever $i=\operatorname{pivot} R_{j}$ (in matrix for $\partial_{d}$ )
- Set $R_{i}$ to 0 (in matrix for $\partial_{d-1}$ )
- Set $V_{i}$ to $R_{j}$


## Clearing non-essential positive columns

Idea [Chen, Kerber 2011]:

- Don't reduce at non-essential positive indices
- Reduce boundary matrices of $\partial_{d}: C_{d} \rightarrow C_{d-1}$ in decreasing dimension $d=k+1, \ldots, 1$
- Whenever $i=\operatorname{pivot} R_{j}$ (in matrix for $\partial_{d}$ )
- Set $R_{i}$ to 0 (in matrix for $\partial_{d-1}$ )
- Set $V_{i}$ to $R_{j}$
- Still yields $R=D \cdot V$ reduced, $V$ full rank upper triangular


## Clearing non-essential positive columns

Idea [Chen, Kerber 2011]:

- Don't reduce at non-essential positive indices
- Reduce boundary matrices of $\partial_{d}: C_{d} \rightarrow C_{d-1}$ in decreasing dimension $d=k+1, \ldots, 1$
- Whenever $i=\operatorname{pivot} R_{j}$ (in matrix for $\partial_{d}$ )
- Set $R_{i}$ to 0 (in matrix for $\partial_{d-1}$ )
- Set $V_{i}$ to $R_{j}$
- Still yields $R=D \cdot V$ reduced, $V$ full rank upper triangular

Note:

- reducing positive columns typically harder than negative
- with clearing: need only reduce essential positive columns


## Cohomology

## Persistent cohomology

We have seen: many columns of $R=D \cdot V$ are not needed

- Skip those inessential columns in matrix reduction


## Persistent cohomology

We have seen: many columns of $R=D \cdot V$ are not needed

- Skip those inessential columns in matrix reduction

For persistence barcodes in low dimensions $d \leq k$ :

- Number of skipped indices for reducing $D^{T}$ (cohomology) is much larger than for $D$ (homology)
- reducing boundary matrix produces basis for $H_{k+1}\left(K_{k+1}\right)$, which is not needed
- The resulting persistence barcode is the same [de Silva et al. 2011]


## Counting homology column reductions

- standard matrix reduction:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C_{d}(K)}=\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} Z_{d}(K)}
$$

## Counting homology column reductions

- standard matrix reduction:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C_{d}(K)}=\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} Z_{d}(K)}
$$

$$
k=2, n=192: \quad 56050096=1161471+54888625
$$

## Counting homology column reductions

- standard matrix reduction:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C_{d}(K)}=\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} Z_{d}(K)}
$$

$$
k=2, n=192: \quad 56050096=1161471+54888625
$$

- using clearing:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\underbrace{\binom{n-1}{k+2}}_{\operatorname{dim} H_{k+1}(K)}=\sum_{d=1}^{k+2}\binom{n-1}{d}
$$

## Counting homology column reductions

- standard matrix reduction:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C_{d}(K)}=\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} Z_{d}(K)}
$$

$$
k=2, n=192: \quad 56050096=1161471+54888625
$$

- using clearing:

$$
\sum_{d=1}^{k+1} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} B_{d-1}(K)}+\underbrace{\binom{n-1}{k+2}}_{\operatorname{dim} H_{k+1}(K)}=\sum_{d=1}^{k+2}\binom{n-1}{d}
$$

$$
k=2, n=192: \quad 54888816=1161471+53727345
$$

## Counting cohomology column reductions

- standard matrix reduction:

$$
\sum_{d=0}^{k} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C^{d}(K)}=\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\sum_{d=0}^{k} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} Z^{d}(K)}
$$

## Counting cohomology column reductions

- standard matrix reduction:

$$
\sum_{d=0}^{k} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C^{d}(K)}=\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\sum_{d=0}^{k} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} Z^{d}(K)}
$$

$$
k=2, n=192: \quad 1179808=18337+1161471
$$

## Counting cohomology column reductions

- standard matrix reduction:

$$
\sum_{d=0}^{k} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C^{d}(K)}=\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\sum_{d=0}^{k} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} Z^{d}(K)}
$$

$$
k=2, n=192: \quad 1179808=18337+1161471
$$

- using clearing:

$$
\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\underbrace{\binom{n-1}{0}}_{\operatorname{dim} H^{0}(K)}+=\sum_{d=0}^{k+1}\binom{n-1}{d}
$$

## Counting cohomology column reductions

- standard matrix reduction:

$$
\sum_{d=0}^{k} \underbrace{\binom{n}{d+1}}_{\operatorname{dim} C^{d}(K)}=\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\sum_{d=0}^{k} \underbrace{\binom{n-1}{d}}_{\operatorname{dim} Z^{d}(K)}
$$

$$
k=2, n=192: \quad 1179808=18337+1161471
$$

- using clearing:

$$
\sum_{d=0}^{k} \underbrace{\binom{n-1}{d+1}}_{\operatorname{dim} B^{d+1}(K)}+\underbrace{\binom{n-1}{0}}_{\operatorname{dim} H^{0}(K)}+=\sum_{d=0}^{k+1}\binom{n-1}{d}
$$

$$
k=2, n=192: \quad 1161472=1+1161471
$$

## Observations

For a typical input:

- $V$ has very few off-diagonal entries
- most negative columns of $D$ are already reduced


## Observations

For a typical input:

- $V$ has very few off-diagonal entries
- most negative columns of $D$ are already reduced

Previous example ( $k=2, n=192$ ):

- Only 845 out of 1161471 columns have to be reduced


## Implicit matrix reduction

## Implicit matrix reduction

Standard approach:

- Boundary matrix $D$ for filtration-ordered basis
- Explicitly generated and stored in memory


## Implicit matrix reduction

## Standard approach:

- Boundary matrix $D$ for filtration-ordered basis
- Explicitly generated and stored in memory
- Matrix reduction: store only reduced matrix $R$
- transform $D$ into $R$ by column operations


## Implicit matrix reduction

Standard approach:

- Boundary matrix $D$ for filtration-ordered basis
- Explicitly generated and stored in memory
- Matrix reduction: store only reduced matrix $R$
- transform $D$ into $R$ by column operations

Approach for Ripser:

- Boundary matrix $D$ for lexicographically ordered basis
- Implicitly defined and recomputed when needed


## Implicit matrix reduction

Standard approach:

- Boundary matrix $D$ for filtration-ordered basis
- Explicitly generated and stored in memory
- Matrix reduction: store only reduced matrix $R$
- transform $D$ into $R$ by column operations

Approach for Ripser:

- Boundary matrix $D$ for lexicographically ordered basis
- Implicitly defined and recomputed when needed
- Matrix reduction in Ripser: store only coefficient matrix $V$
- recompute previous columns of $R=D \cdot V$ when needed
- Typically, $V$ is much sparser and smaller than $R$


## Oblivious matrix reduction

Algorithm variant:

- $R=D$
- $\operatorname{for} j=1, \ldots, n$
- while $\exists i<j$ with pivot $R_{i}=\operatorname{pivot} R_{j}$
- add $D_{i}$ to $R_{j}$


## Oblivious matrix reduction

Algorithm variant:

- $R=D$
- $\operatorname{for} j=1, \ldots, n$
- while $\exists i<j$ with pivot $R_{i}=\operatorname{pivot} R_{j}$
- add $D_{i}$ to $R_{j}$

Requires only

- current column $R_{j}$
- pivots of previous columns $R_{i}$
to obtain the persistence intervals: $\left\{[i, j) \mid i=\operatorname{pivot} R_{j}\right\} \cup\{[i, \infty) \mid i \in E\}$.


## Oblivious matrix reduction

Algorithm variant:

- $R=D$
- $\operatorname{for} j=1, \ldots, n$
- while $\exists i<j$ with pivot $R_{i}=\operatorname{pivot} R_{j}$
- add $D_{i}$ to $R_{j}$

Requires only

- current column $R_{j}$
- pivots of previous columns $R_{i}$
to obtain the persistence intervals: $\left\{[i, j) \mid i=\operatorname{pivot} R_{j}\right\} \cup\{[i, \infty) \mid i \in E\}$.
Corollary
The rank of an $m \times n$ matrix can be computed in $O(n)$ memory.

Apparent and emergent pairs

## Natural filtration settings

Typical assumptions on the filtration:

- general filtration
- filtration by singletons or pairs
- simplexwise filtration
persistence (in theory)
discrete Morse theory
persistence (computation)


## Natural filtration settings

Typical assumptions on the filtration:

- general filtration
- filtration by singletons or pairs
- simplexwise filtration
persistence (in theory)
discrete Morse theory
persistence (computation)

Conclusion:

- Discrete Morse theory sits in the middle between persistence and persistence (!)


## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and


## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and



## Discrete Morse theory

## Definition (Forman 1998)

A discrete vector field on a cell complex is a partition of the set of simplices into

- singleton sets $\{\phi\}$ (critical cells), and
- pairs $\{\sigma, \tau\}$, where $\sigma$ is a facet of $\tau$.


A function $f: K \rightarrow \mathbb{R}$ on a cell complex is a discrete Morse function if

- sublevel sets are subcomplexes, and
- level sets form a discrete vector field.



## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.

## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.
Theorem (Forman 1998)
 If $(s, t]$ contains no critical value of $f$, then the sublevel set $K_{t}$ collapses to $K_{s}$.

## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.
Theorem (Forman 1998)
 If $(s, t]$ contains no critical value off, then the sublevel set $K_{t}$ collapses to $K_{s}$.

## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.
Theorem (Forman 1998)


If $(s, t]$ contains no critical value of $f$, then the sublevel set $K_{t}$ collapses to $K_{s}$.

## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.
Theorem (Forman 1998)
 If ( $s, t]$ contains no critical value of $f$, then the sublevel set $K_{t}$ collapses to $K_{s}$. Corollary
$K \simeq M$ for some cell complex $M$ built from the critical cells of $f$.

## Fundamental theorem of discrete Morse theory

Let $f$ be a discrete Morse function on a cell complex $K$.
Theorem (Forman 1998)
 If $(s, t]$ contains no critical value of $f$, then the sublevel set $K_{t}$ collapses to $K_{s}$.

## Corollary

$K \simeq M$ for some cell complex $M$ built from the critical cells off .
This homotopy equivalence is compatible with the filtration.

## Corollary

$K$ and $M$ have isomorphic persistent homology (with regard to the sublevel sets off).

## Morse pairs and persistence pairs

Consider a Morse filtration (one or two simplices at a time).
Morse pair ( $\sigma, \tau$ ):

- inserting $\sigma$ and $\tau$ simultaneously does not change the homotopy type


## Morse pairs and persistence pairs

Consider a Morse filtration (one or two simplices at a time).
Morse pair ( $\sigma, \tau$ ):

- inserting $\sigma$ and $\tau$ simultaneously does not change the homotopy type

Consider a simplexwise filtration (one simplex at a time).
Persistence pair ( $\sigma, \tau$ ):

- inserting simplex $\sigma$ creates a new homological feature
- inserting $\tau$ destroys that feature again


## Apparent pairs

## Definition

In a simplexwise filtration, $(\sigma, \tau)$ is an apparent pair if

- $\sigma$ is the youngest face of $\tau$
- $\tau$ is the oldest coface of $\sigma$


## Lemma

Any apparent pairs is a persistence pair.

## Lemma

The apparent pairs form a discrete gradient.

- Generalizes a construction proposed by [Kahle 2011] for the study of random Rips filtrations


## From Morse theory to persistence and back

## Proposition (from Morse to persistence)

The pairs of a Morse filtration are apparent 0-persistence pairs for the canonical simplexwise refinement of the filtration.

## From Morse theory to persistence and back

## Proposition (from Morse to persistence)

The pairs of a Morse filtration are apparent 0-persistence pairs for the canonical simplexwise refinement of the filtration.

## Proposition (from persistence to Morse)

Consider an arbitrary filtration with a simplexwise refinement. The apparent 0 -persistence pairs yield a Morse filtration

- refining the original one, and
- refined by the simplexwise one.


## Emergent persistent pairs

Consider the lexicographically refined Rips filtration:

- increasing diameter, refined by
- lexicographic order

This is the simplexwise filtration for computations in Ripser.

## Lemma

Assume that

- $\tau$ is the lexicographically minimal proper coface of $\sigma$ with $\operatorname{diam}(\tau)=\operatorname{diam}(\sigma)$,
- and there is no persistence pair $(\rho, \tau)$ with $\sigma<\rho$.

Then $(\sigma, \tau)$ is an emergent persistence pair.

## Emergent persistent pairs

Consider the lexicographically refined Rips filtration:

- increasing diameter, refined by
- lexicographic order

This is the simplexwise filtration for computations in Ripser.

## Lemma

Assume that

- $\tau$ is the lexicographically minimal proper coface of $\sigma$ with $\operatorname{diam}(\tau)=\operatorname{diam}(\sigma)$,
- and there is no persistence pair $(\rho, \tau)$ with $\sigma<\rho$.

Then $(\sigma, \tau)$ is an emergent persistence pair.

- Includes all apparent persistence 0 pairs
- Can be identified without enumerating all cofaces of $\sigma$
- Provides a shortcut for computation


## Ripser Live: users from 156 different cities

